Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
THE HERSCHEND FAMILY FOUNDATION

A Employer identification number
43-1391940

Number and street (or P O box number if mail is not delivered to street address) 100 CORPORATE PLACE
Room/suite

B Telephone number (see instructions)
(417) 334-0140

City or town, state or province, country, and ZIP or foreign postal code
BRANSON, MO 65616

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 3,345,687

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	500			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	64	64		
	4	Dividends and interest from securities	96,885	96,885		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		166,966		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	97,449	263,915		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,268			2,268
	c	Other professional fees (attach schedule)	15,159	15,159		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,077	2,048		29
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	165			165
	22	Printing and publications				
	23	Other expenses (attach schedule)	40			40
	24	**Total operating and administrative expenses.** Add lines 13 through 23	19,709	17,207		2,502
	25	Contributions, gifts, grants paid	402,506			402,506
	26	**Total expenses and disbursements.** Add lines 24 and 25	422,215	17,207		405,008
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-324,766			
	b	**Net investment income** (if negative, enter -0-)		246,708		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	461,553	517,827	521,567
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	374,916	374,916	388,397
	b Investments—corporate stock (attach schedule)	1,084,117	1,255,832	2,073,680
	c Investments—corporate bonds (attach schedule)	103,820	103,820	104,755
	11 Investments—land, buildings, and equipment basis ▶ 3,655			
	Less accumulated depreciation (attach schedule) ▶ 3,655		0	
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	368,425	246,425	257,288
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,392,831	2,498,820	3,345,687
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	2,366,593	2,472,582	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	26,238	26,238	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	2,392,831	2,498,820	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,392,831	2,498,820	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,392,831
2	Enter amount from Part I, line 27a	2	-324,766
3	Other increases not included in line 2 (itemize) ▶	3	430,755
4	Add lines 1, 2, and 3	4	2,498,820
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,498,820

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	166,966
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	280,854	3,010,938	0 093278
2011	259,393	3,129,613	0 082883
2010	1,415,193	4,128,260	0 342806
2009	302,283	4,249,409	0 071135
2008	330,678	4,519,559	0 073166

2	**Total** of line 1, column (d)	2	0 663268
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 132654
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,136,747
5	Multiply line 4 by line 3	5	416,102
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,467
7	Add lines 5 and 6	7	418,569
8	Enter qualifying distributions from Part XII, line 4	8	405,008

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	4,934
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	4,934
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	4,934
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	2,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	2,934
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 0 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶AUSTIN HERSCHEND Telephone no ▶(417) 334-0140

Located at ▶100 CORPORATE PLACE BRANSON MO ZIP+4 ▶65616

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Line	Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
AUSTIN HERSCHEND 100 CORPORATE PLACE BRANSON, MO 65616	PRESIDENT/DIRECTOR 1 00	0	0	0
JAMES R HERSCHEND 100 CORPORATE PLACE BRANSON, MO 65616	VICE PRES/DIRECTOR 1 00	0	0	0
TIFFANY KUEHN 100 CORPORATE PLACE BRANSON, MO 65616	SECRETARY/DIRECTOR 1 00	0	0	0
RON HERSCHEND 100 CORPORATE PLACE BRANSON, MO 65616	TREASURER/DIRECTOR 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	3,015,825
b	Average of monthly cash balances	1b	168,690
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	3,184,515
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,184,515
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	47,768
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,136,747
6	**Minimum investment return.** Enter 5% of line 5	6	156,837

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	156,837
2a	Tax on investment income for 2013 from Part VI, line 5	2a	4,934		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	4,934
3	Distributable amount before adjustments Subtract line 2c from line 1			3	151,903
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	151,903
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	151,903

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	405,008
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	405,008
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	405,008

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					151,903
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only.				148,902	
b Total for prior years 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2013					
a From 2008.	330,678				
b From 2009.	302,283				
c From 2010.	1,416,673				
d From 2011.	259,393				
e From 2012.	280,854				
f Total of lines 3a through e.		2,589,881			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 405,008					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2013 distributable amount.					
e Remaining amount distributed out of corpus		405,008			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		2,994,889			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				148,902	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					151,903
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .		330,678			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a		2,664,211			
10 Analysis of line 9					
a Excess from 2009. . . .	302,283				
b Excess from 2010. . . .	1,416,673				
c Excess from 2011. . . .	259,393				
d Excess from 2012. . . .	280,854				
e Excess from 2013. . . .	405,008				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JACK HERSCHEND

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

AUSTIN HERSCHEND
100 CORPORATE PLACE
BRANSON,MO 65616
(417) 334-0140

b The form in which applications should be submitted and information and materials they should include

APPLICATION FORM MAY BE REQUESTED FROM THE FOUNDATION OFFICE

c Any submission deadlines

APPLICATIONS WILL BE ACCEPTED THROUGHOUT THE YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ 3a				402,506
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	64	
4 Dividends and interest from securities. . . .			14	96,885	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				96,949	

13 Total. Add line 12, columns (b), (d), and (e). 13 96,949

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-05-05	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
GARY S BURGESS CPA		2014-05-13		
Firm's name ▶	Burgess Hastings & Dunlop PC		Firm's EIN ▶	
Firm's address ▶	1338 E Kingsley Suite C Springfield, MO 65804		Phone no (417) 883-9900	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
80,000 CAPMARK BANK CD	P	2008-07-25	2013-07-30
90,000 GE CAPITAL CD	P	2011-03-07	2013-09-11
75,000 GE MONEY BANK CD	P	2008-10-03	2013-10-09
75,000 HUNTINGTON NATL BANK CD	P	2003-03-13	2013-03-21
2,000 SHRS BP PLC ADR	P	2009-04-13	2013-01-10
2,500 SHRS GE COMPANY	P	2009-02-24	2013-07-15
500 SHRS JOHNSON & JOHNSON	P	1993-01-21	2013-07-15
300 3M CO	P	1990-10-15	2013-07-15
1118 STANLEY BLVD, BRANSON, MO	P	1983-08-01	2013-08-08
INSURANCE PROCEEDS ON PERSONAL PROPERTY	P	2010-08-01	2013-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
80,000	0	80,000	0
90,000	0	90,000	0
75,000	0	75,000	0
75,000	0	75,000	0
88,998	0	42,411	46,587
59,246	0	21,463	37,783
45,069	0	5,845	39,224
34,624	0	5,474	29,150
194,572	0	180,350	14,222
82,357	0	82,357	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	46,587
0	0	0	37,783
0	0	0	39,224
0	0	0	29,150
0	0	0	14,222
0	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ASSEMBLIES OF GOD P O BOX SPRINGFIELD,MO 65804		PUBLIC	RELIGIOUS	5,000
ALL BLESSING INTERNATIONAL P O BOX BRANSON,MO 65616		PUBLIC	RELIGIOUS	15,000
AUTO BY RENT P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	5,000
BART & BROWN REALTY P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	15,000
BIG BROTHER BIG SISTERS P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	5,000
BOB JONES & SON SERVICE COMPANY INC P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	2,000
BRADLEYVILLE SCHOOLS P O BOX BRADLEYVILLE,MO 65614		PUBLIC	EDUCATIONAL	2,000
BRANSON MASONIC LODGE P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	25,000
BRANSON POLICE DEPT 110 W MADDUX BRANSON,MO 65616		PUBLIC	CHARITABLE	20,000
THE CARING PEOPLE 164 CORPORATE PLACE BRANSON,MO 65616		PUBLIC	RELIGIOUS	12,500
CENTER FOR MISSION MOBILIZATION P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	25,000
CENTURYLINK P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	10,000
CHESAPEAKE VALLEY WATER COMPANY P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	5,000
CHILDREN'S MIRACLE NETWORK P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	5,000
CHRISTIAN ASSOCIATES P O BOX BRANSON,MO 65616		PUBLIC	RELIGIOUS	17,028
Total . . . . ▶ **3a**				402,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHURCH ARMY PO BOX 6224 BRANSON, MO 65616		PUBLIC	RELIGIOUS	135
CITY UTILITIES P O BOX SPRINGFIELD, MO 65802		PUBLIC	CHARITABLE	450
CLINE DENTAL P O SPRINGFIELD, MO 65802		PUBLIC	CHARITABLE	338
CONVOY OF HOPE 330 S PATTERSON SPRINGFIELD, MO 65802		PUBLIC	CHARITABLE	600
CORE P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	5,000
CREDIT ACCEPTANCE P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	400
FAITH CARING HEALTH CENTER P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	238
FELLOWSHIP OF CHRISTIAN ATHLETES 206 N MAPLE BLUE EYE, MO 65611		PUBLIC	RELIGIOUS	137
FIREPROOF MINISTRIES P O BOX BRANSON, MO 65616		PUBLIC	RELIGIOUS	36
KANAKUK INSTITUTE PO BOX BRANSON, MO 65616		PUBLIC	RELIGIOUS	350
KIMBERLING CITY METHODIST CHURCH PO BOX 10 KIMBERLING CITY, MO 65686		PUBLIC	RELIGIOUS	342
LEUKEMIA & LYMPHOMA SOCIETY P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	125
LIFESONG UNITED METHODIST CHURCH PO BOX BRANSON, MO 65616		PUBLIC	RELIGIOUS	273
LIVE MINISTRIES P O BOX BRANSON, MO 65616		PUBLIC	RELIGIOUS	300
LIVING WORD CHURCH-ONEIGHTY P O BOX 2070 BRANSON WEST, MO 65737		PUBLIC	RELIGIOUS	80
Total . . . . ▶ **3a**				402,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LOWE'S P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	242
MARRIAGE ENCOUNTER P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	136
MERCY HOSPITAL SPRINGFIELD PO BOX SPRINGFIELD,MO 65804		PUBLIC	CHARITABLE	2,000
MOPS P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	5,000
NATIONAL CHRISTIAN FOUNDATION PO BOX BRANSON,MO 65616		PUBLIC	RELIGIOUS	168,756
ONE WORLD PASSION P O BOX BRANSON,MO 65616		PUBLIC	RELIGIOUS	300
OPTIONS PREGNANCY CENTER 309B ATLANTIC STREET BRANSON,MO 65616		PUBLIC	RELIGIOUS	150
OZARKS FOOD HARVEST 615 N GLENSTONE SPRINGFIELD,MO 65802		PUBLIC	CHARITABLE	140
PREGNANCY LIFELINE PO BOX BRANSON,MO 65616		PUBLIC	RELIGIOUS	10,000
PRESBYTERIAN PRESCHOOL 420 N MAIN BRANSON,MO 65616		PUBLIC	EDUCATIONAL	5,000
PRICE CUTTER P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	500
RENT A CENTER P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	140
REPUBLIC MIDDLE SCHOOL P O BOX REPUBLIC,MO 65738		PUBLIC	EDUCATIONAL	2,650
RONALD MCDONALD HOUSE P O BOX SPRINGFIELD,MO 65804		PUBLIC	CHARITABLE	1,000
ROST P O BOX BRANSON,MO 65616		PUBLIC	CHARITABLE	48
Total . . . . ▶ **3a**				402,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SGC PHARMACY P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	353
SHARE IT FORWARD PO BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	450
SPRINGFIELD FOUNDATION PO BOX SPRINGFIELD, MO 65804		PUBLIC	CHARITABLE	2,260
SPRINT P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	217
ST JUDE CHILDREN'S HOSPITAL P O BOX MEMPHIS, TN 38002		PUBLIC	CHARITABLE	1,908
STATE FARM P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	2,500
STONE COUNTY EMERGENCY FUND 105 STONEBRIDGE PKWY GALENA, MO 65656		PUBLIC	CHARITABLE	100
T J MAX P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	1,100
TABLE ROCK CHRISTIAN ASSOCIATES PO BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	6,000
TANEY COUNTY CHILD WELFARE ADVISORY COMMITTEE P O BOX BRANSON, MO 65616		PUBLIC	CRUELTY PREVENTION	1,000
TANEYVILLE UNION CHURCH P O BOX TANEYVILLE, MO 65759		PUBLIC	RELIGIOUS	500
TANGER OUTLET MALL P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	350
THE CRISIS CENTER PO BOX 282 BRANSON, MO 65616		PUBLIC	CRUELTY PREVENTION	2,519
TRI-COUNTY K-LIFE P O BOX BRANSON, MO 65616		PUBLIC	RELIGIOUS	500
TRI-LAKES K-LIFE P O BOX BRANSON, MO 65616		PUBLIC	RELIGIOUS	949
Total . . . . ▶ 3a				402,506

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UMCKC - WEB KIDS P O BOX KIMBERLING CITY, MO 65686		PUBLIC	RELIGIOUS	1,000
UNITED MINISTRIES IN HIGHER EDUCATION P O BRANSON, MO 65616		PUBLIC	RELIGIOUS	102
UTAH SKELETON & BOBSLED ASSOCIATION P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	210
WALMART PO BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	4,094
WHITE RIVER VALLEY ELECTRIC CORPORATION P O BOX BRANSON, MO 65616		PUBLIC	CHARITABLE	3,000
Total . . . . ▶ **3a**				402,506

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491133021354

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization	Employer identification number
THE HERSCHEND FAMILY FOUNDATION	43-1391940

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE HERSCHEND FAMILY FOUNDATION

Employer identification number
43-1391940

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NOT APPLICABLE TO ELIMINATE EFILE ERROR ONLY BRANSON, MO 65616	$ 5,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE HERSCHEND FAMILY FOUNDATION	43-1391940

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE HERSCHEND FAMILY FOUNDATION

Employer identification number
43-1391940

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	

TY 2013 Accounting Fees Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BHD, PC TAX PREPARATION	2,268			2,268

TY 2013 All Other Program Related Investments Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Category	Amount
NONE	

TY 2013 Investments Corporate Bonds Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JPMORGAN CHASE NOTES	103,820	104,755

TY 2013 Investments Corporate Stock Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CHARLES SCHWAB PFD	77,182	65,970
ABBOTT LABS	27,066	38,330
ABBVIE INC	29,350	52,810
AIR PRODUCTS & CHEMICALS	64,028	78,246
APPLE INC	99,948	112,204
AT&T INC	52,511	70,320
BECTON DICKINSON	54,558	77,343
CHUBB CORP	49,319	67,641
CONOCOPHILLIPS	19,563	70,650
EMERSON ELECTRIC	34,196	105,270
EXXON MOBILE	12,783	121,440
GENERAL ELECTRIC	28,110	70,075
ıSHARES CORE S&P MIDCAP	104,730	200,715
JOHNSON & JOHNSON	56,695	137,385
NEXTERA ENERGY	48,574	68,496
NORFOLK SOUTHERN	38,854	46,415
PHILLIPS 66	5,814	38,565
QUALCOMM	52,500	74,250
REALTY INCOME CORP REIT	51,069	52,262
V F CORPORATION	40,005	74,808
WISDOMTREE EMERGING ET MARKETS SMALLCAP	129,941	119,834
3M CO	18,744	98,175
IVY FDN INC ASSET STRATEGY FUND	160,292	232,476

TY 2013 Investments Government Obligations Schedule

Name:	THE HERSCHEND FAMILY FOUNDATION
EIN:	43-1391940
US Government Securities - End of Year Book Value:	374,916
US Government Securities - End of Year Fair Market Value:	388,397
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2013 Investments - Land Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER	3,655	3,655		

TY 2013 Investments - Other Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
WORLDS FOREMOST JUMBO CD		100,000	103,392
DISCOVER BANK CD		50,000	50,152
GOLDMAN SACHS BK CD		96,425	103,744

TY 2013 Other Expenses Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANNUAL REGISTRATION FEES	15			15
UTILITIES	25			25

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TY 2013 Other Increases Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Description	Amount
REALIZED CAPITAL GAINS	347,316
BASIS ADJUSTMENT	83,439

TY 2013 Other Professional Fees Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO #3459-7051 ASSET ADVISORY FEE	15,159	15,159		

TY 2013 Taxes Schedule

Name: THE HERSCHEND FAMILY FOUNDATION

EIN: 43-1391940

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	403	403		
IRC SEC 4940 TAX ON INVEST INC	1,645	1,645		
REAL ESTATE TAXES	29			29