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Form 990-PF

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>VAN HOE, GEORGE CHARITABLE TRUST U/A</b>			A Employer identification number <b>42-6602495</b>	
Number and street (or P O box number if mail is not delivered to street address) <b>Wells Fargo Bank N A Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041</b>		Room/suite		
City or town <b>Winston Salem</b>		State <b>NC</b>		B Telephone number (see instructions) <b>(888) 730-4933</b>
Foreign country name		Foreign province/state/county		C If exemption application is pending, check here <input type="checkbox"/>
Foreign postal code		D 1. Foreign organizations, check here <input type="checkbox"/>		
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity				
<input type="checkbox"/> Final return <input type="checkbox"/> Amended return				
<input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change				
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation				
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 540,285</b>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		
(Part I, column (d) must be on cash basis)		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>		
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	13,158	12,870		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	10,345			
	b Gross sales price for all assets on line 6a <b>165,652</b>				
	7 Capital gain net income (from Part IV, line 2)		10,345		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	23,503	23,215	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	8,858	6,644		2,214
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,098			1,098
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	311	112		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	10,267	6,756	0	3,312
	25 Contributions, gifts, grants paid	21,105			21,105
26 Total expenses and disbursements. Add lines 24 and 25	31,372	6,756	0	24,417	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-7,869				
b Net investment income (if negative, enter -0-)		16,459			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing		1,085	1,146	1,146
	2	Savings and temporary cash investments		5,646	8,982	8,982
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)			28,895	35,921
	11	Investments—land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)			477,995	438,498	494,236
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)			484,726	477,521	540,285
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	<b>Total liabilities</b> (add lines 17 through 22)			0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds			484,726	477,521
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see instructions)			484,726	477,521	
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)			484,726	477,521	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	484,726
2	Enter amount from Part I, line 27a	2	-7,869
3	Other increases not included in line 2 (itemize) <b>MUTUAL FUND &amp; CTF INCOME ADDITIONS</b>	3	1,666
4	Add lines 1, 2, and 3	4	478,523
5	Decreases not included in line 2 (itemize) <b>See Attached Statement</b>	5	1,002
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30	6	477,521

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Statement			
<b>b</b> See Attached Statement			
<b>c</b> See Attached Statement			
<b>d</b> See Attached Statement			
<b>e</b> See Attached Statement			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			0
<b>b</b>			0
<b>c</b>			0
<b>d</b>			0
<b>e</b>			0

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b>			0
<b>b</b>			0
<b>c</b>			0
<b>d</b>			0
<b>e</b>			0

  

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	10,345
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	25,242	525,451	0.048039
2011	24,726	523,723	0.047212
2010	18,229	507,150	0.035944
2009	27,896	465,667	0.059905
2008	26,099	528,141	0.049417

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.240517
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.048103
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	535,732
<b>5</b> Multiply line 4 by line 3	<b>5</b>	25,770
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	165
<b>7</b> Add lines 5 and 6	<b>7</b>	25,935
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	<b>8</b>	24,417

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	329
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2	<b>3</b>	329
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	329
<b>6</b>	<b>Credits/Payments:</b>		
<b>a</b>	2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	178
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	<b>Total credits and payments.</b> Add lines 6a through 6d	<b>7</b>	178
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	151
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	0
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <b>Refunded</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b>		X
<b>b</b>		X
<b>1c</b>		X
<b>d</b>		
<b>e</b>		
<b>2</b>		X
<b>3</b>		X
<b>4a</b>		X
<b>4b</b>	N/A	
<b>5</b>		X
<b>6</b>	X	
<b>7</b>	X	
<b>8a</b>		
<b>8b</b>	X	
<b>9</b>		X
<b>10</b>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ WELLS FARGO BANK N A . . . . . Telephone no ▶ 888-730-4933			
Located at ▶ 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC . . . . . ZIP+4 ▶ 27101-3818				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20 . . . . . 20 . . . . . 20 . . . . . 20 . . . . .			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) . . . . .	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 . . . . . 20 . . . . . 20 . . . . . 20 . . . . .		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . .	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A
6b	X
7b	N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank N.A. Trust Tax Dept 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC	TRUSTEE 4.00	8,858		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

## Part IX-A Summary of Direct Charitable Activities

**Part IX-B      Summary of Program-Related Investments (see instructions)**Form **990-PF** (2013)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	524,735
<b>b</b>	Average of monthly cash balances	<b>1b</b>	19,155
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	543,890
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	543,890
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	8,158
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	535,732
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	26,787

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	26,787
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	329
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	329
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	26,458
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	26,458
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	26,458

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	24,417
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	24,417
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	24,417

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				26,458
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only . . . . .			12,236	
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008 . . . . .				
<b>b</b> From 2009 . . . . .				
<b>c</b> From 2010 . . . . .				
<b>d</b> From 2011 . . . . .				
<b>e</b> From 2012 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .	0			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 24,417				
<b>a</b> Applied to 2012, but not more than line 2a . . . . .			12,236	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2013 distributable amount . . . . .				12,181
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				14,277
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009 . . . . .				
<b>b</b> Excess from 2010 . . . . .				
<b>c</b> Excess from 2011 . . . . .				
<b>d</b> Excess from 2012 . . . . .				
<b>e</b> Excess from 2013 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
				0
<b>b</b> 85% of line 2a				0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed				0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities				0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter:				
<b>(1)</b> Value of all assets				0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)				0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
<b>c</b> "Support" alternative test—enter:				
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
<b>(3)</b> Largest amount of support from an exempt organization				0
<b>(4)</b> Gross investment income				0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year See Attached Statement				
<b>Total</b>			<b>3a</b>	21,105
<b>b</b> Approved for future payment NONE				
<b>Total</b>			<b>3b</b>	0



Phone no 412-355-6000

10096300 Cash	42-6602495			1,145 75	1,145 75	1,145 75
<b>Cash Subtotal</b>				1,145 75		1,145 75
10096300 Invest - Corp Bonds	42-6602495	073902RU4	BEAR STEARNS CO INC 7 250% 2/01/18	35,921 10	30,000 00	28,895 40
<b>Invest - Corp Bonds Subtotal</b>				35,921 10		28,895 40
10096300 Invest - Other	42-6602495	04314H204	ARTISAN INTERNATIONAL FUND #661	15,089 40	495 06	10,777 34
10096300 Invest - Other	42-6602495	256210105	DODGE & COX INCOME FD COM #147	38,673 04	2,858 32	38,321 85
10096300 Invest - Other	42-6602495	411511504	HARBOR CAPITAL APRCTION-INST #2012	30,290 49	534 32	16,403 62
10096300 Invest - Other	42-6602495	589509108	MERGER FD SH BEN INT #260	16,127 05	1,007 31	15,971 82
10096300 Invest - Other	42-6602495	693390700	PIMCO TOTAL RET FD-INST #35	38,159 34	3,569 63	39,360.11
10096300 Invest - Other	42-6602495	693390882	PIMCO FOREIGN BD FD USD H-INST #103	24,370 80	2,316 62	24,682 45
10096300 Invest - Other	42-6602495	77957P105	T ROWE PRICE SHORT TERM BD FD #55	33,663 64	7,027 90	33,926 52
10096300 Invest - Other	42-6602495	04314H600	ARTISAN MID CAP FUND-INS #1333	18,856 92	378.43	15,093 91
10096300 Invest - Other	42-6602495	315920702	FID ADV EMER MKTS INC- CL I #607	7,957 31	596 05	8,154 00
10096300 Invest - Other	42-6602495	464287200	ISHARES CORE S & P 500 ETF	7,611 65	41 00	4,311 32
10096300 Invest - Other	42-6602495	51855Q655	LAUDUS MONDRIAN INTL FI-INST #2940	23,961.99	2,252 07	25,972 69
10096300 Invest - Other	42-6602495	277923728	EATON VANCE GLOBAL MACRO - I #0088	5,439.65	577 46	5,578 24
10096300 Invest - Other	42-6602495	256206103	DODGE & COX INT'L STOCK FD #1048	14,902 90	346 26	10,282 50
10096300 Invest - Other	42-6602495	552983694	MFS VALUE FUND-CLASS I #893	19,893 84	596 34	14,387 34
10096300 Invest - Other	42-6602495	261980494	DREYFUS EMG MKT DEBT LOC C-I #6083	18,545 58	1,337 10	19,624 45
10096300 Invest - Other	42-6602495	262028855	DRIEHAUS ACTIVE INCOME FUND	27,328 38	2,537.45	27,058.67
10096300 Invest - Other	42-6602495	339128100	JP MORGAN MID CAP VALUE-I #758	12,821 57	365 08	7,094.73
10096300 Invest - Other	42-6602495	63872T885	ASG GLOBAL ALTERNATIVES-Y #1993	10,823 86	946 97	10,975 00
10096300 Invest - Other	42-6602495	922908553	VANGUARD REIT VIPER	15,688 08	243 00	7,756 17
10096300 Invest - Other	42-6602495	922042858	VANGUARD FTSE EMERGING MARKETS ETF	12,794 54	311 00	10,919 66
10096300 Invest - Other	42-6602495	683974505	OPPENHEIMER DEVELOPING MKT-Y #788	13,101 53	348 82	10,650 69
10096300 Invest - Other	42-6602495	78463X863	SPDR DJ WILSHIRE INTERNATIONAL REAL	15,738 40	382 00	14,091 22
10096300 Invest - Other	42-6602495	76628T645	RIDGEWORTH SEIX HY BD-I #5855	31,832 83	3,254 89	31,018 80
10096300 Invest - Other	42-6602495	367829884	GATEWAY FUND - Y #1986	8,312 71	286 74	7,927 63
10096300 Invest - Other	42-6602495	483438305	KALMAR GR W/ VAL SM CAP-INS #4	8,091 96	354.76	6,166 92
10096300 Invest - Other	42-6602495	22544R305	CREDIT SUISSE COMM RET ST-I #2156	16,002 43	2,213.34	18,982 43
10096300 Invest - Other	42-6602495	487300808	KEELEY SMALL CAP VAL FD CL I #2251	8,156 05	210 81	3,008 19
<b>Invest - Other Subtotal</b>				494,235 94		438,498 27
10096300 Savings & Temp Inv	42-6602495	VP0528308	FEDERATED TREAS OBL FD 68	8,737.37	8,737 37	8,737.37
10096300 Savings & Temp Inv	42-6602495	VP0528308	FEDERATED TREAS OBL FD 68	244 94	244 94	244 94
<b>Savings &amp; Temp Inv Subtotal</b>				8,982 31		8,982 31
<b>Grand Total</b>				540,285 10		477,521 73

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name

MASSACHUSETTS INSTITUTE OF TECHNOLOGY

Street

238 MAIN ST STE 200

City

CAMBRIDGE

State

MA

Zip Code

02142

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

21,105

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount



Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount				
Short Term CG Distributions										5,184				
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	X	ARTISAN INTERNATIONAL FU			7/28/2006		7/17/2013	2,500	2,573					-73
2	X	ARTISAN MID CAP FUND-INS			7/19/2013		10/14/2013	556	517					39
3	X	CREDIT SUISSE COMM RET S			8/19/2011		10/14/2013	4,300	5,395					-1,095
4	X	CREDIT SUISSE COMM RET S			10/8/2010		10/14/2013	977	1,192					-215
5	X	DODGE & COX INT'L STOCK F			2/5/2010		7/17/2013	1,564	1,284					280
6	X	DODGE & COX INT'L STOCK F			2/5/2010		7/17/2013	2,302	1,791					511
7	X	DODGE & COX INT'L STOCK F			2/5/2010		10/14/2013	248	179					69
8	X	DODGE & COX INCOME FD C			2/15/2013		7/17/2013	6,030	6,168					-138
9	X	DREYFUS EMG MKT DEBT LO			11/27/2012		7/17/2013	939	913					26
10	X	DREYFUS EMG MKT DEBT LO			261980494		2/13/2013	1,926	1,872					54
11	X	DREYFUS EMG MKT DEBT LO			8/19/2011		10/14/2013	4,337	4,591					-254
12	X	DREYFUS EMG MKT DEBT LO			10/8/2010		10/14/2013	3,752	3,959					-207
13	X	EATON VANCE GLOBAL MACH			7/19/2013		10/14/2013	5,049	5,141					-92
14	X	JP MORGAN MID CAP VALUE			8/25/2010		7/17/2013	88	50					38
15	X	JP MORGAN MID CAP VALUE			8/19/2011		7/17/2013	469	291					178
16	X	JP MORGAN MID CAP VALUE			2/15/2013		7/17/2013	171	153					18
17	X	JP MORGAN MID CAP VALUE			2/5/2010		7/17/2013	555	308					247
18	X	ARTISAN INTERNATIONAL FU			7/28/2006		2/13/2013	1,235	1,345					-110
19	X	ARTISAN INTERNATIONAL FU			7/28/2006		2/13/2013	49	53					4
20	X	GATEWAY FUND - Y #1986			2/15/2013		7/17/2013	7,821	7,732					89
21	X	GATEWAY FUND - Y #1986			9/13/2012		7/17/2013	5,873	5,777					96
22	X	HARBOR CAPITAL APRTION			9/13/2012		7/17/2013	153	136					17
23	X	HARBOR CAPITAL APRTION			11/27/2012		7/17/2013	1,157	993					174
24	X	HARBOR CAPITAL APRTION			2/15/2013		7/17/2013	5,948	5,375					573
25	X	HARBOR CAPITAL APRTION			5/29/2012		7/17/2013	2,486	2,072					414
26	X	HUSSMAN STRATEGIC GROW			5/11/2009		2/13/2013	571	704					-133
27	X	HUSSMAN STRATEGIC GROW			7/21/2009		2/13/2013	2,598	3,349					-750
28	X	HUSSMAN STRATEGIC GROW			2/5/2010		2/13/2013	1,485	1,843					-358
29	X	HUSSMAN STRATEGIC GROW			8/26/2010		2/13/2013	3,795	4,930					-1,135
30	X	HUSSMAN STRATEGIC GROW			10/8/2010		2/13/2013	477	604					-127
31	X	HUSSMAN STRATEGIC GROW			11/23/2010		2/13/2013	332	417					-85
32	X	HUSSMAN STRATEGIC GROW			2/4/2011		2/13/2013	1,215	1,400					-185
33	X	HUSSMAN STRATEGIC GROW			4/28/2011		2/13/2013	233	272					-39
34	X	HUSSMAN STRATEGIC GROW			8/19/2011		2/13/2013	672	821					-149
35	X	HUSSMAN STRATEGIC GROW			2/28/2012		2/13/2013	1,035	1,172					-137
36	X	ISHARES CORE S & P 500 ETF			9/11/2012		2/13/2013	2,444	2,311					133
37	X	ISHARES CORE S & P 500 ETF			9/11/2012		7/17/2013	3,380	2,889					491
38	X	ISHARES CORE S & P 500 ETF			10/11/2006		7/17/2013	4,563	3,649					914
39	X	ISHARES RUSSELL 2000 GRO			11/23/2012		2/13/2013	6,814	6,032					782
40	X	ISHARES RUSSELL 2000 GRO			11/23/2012		2/13/2013	6,505	6,219					286
41	X	KALMAR GR WWAL SM CAP F			2/15/2013		7/17/2013	658	573					85
42	X	KALMAR GR WWAL SM CAP F			2/15/2013		10/14/2013	437	347					90
43	X	KEELEY SMALL CAP VAL FD C			8/19/2011		2/13/2013	2,813	1,861					952
44	X	KEELEY SMALL CAP VAL FD C			4/20/2009		2/13/2013	3,950	1,784					2,166
45	X	KEELEY SMALL CAP VAL FD C			4/20/2009		7/17/2013	420	171					249
46	X	KEELEY SMALL CAP VAL FD C			4/20/2009		10/14/2013	147	57					90
47	X	LAUDUS MONDRIAN INT'L FLD			11/27/2012		2/13/2013	1,654	1,766					-112
48	X	LAUDUS MONDRIAN INT'L FLD			2/28/2012		2/13/2013	255	270					-15
49	X	MFS VALUE FUND-CLASS I #8			11/27/2012		7/17/2013	211	173					38
50	X	MFS VALUE FUND-CLASS I #8			2/15/2013		7/17/2013	1,570	1,404					166
51	X	MFS VALUE FUND-CLASS I #8			2/28/2012		7/17/2013	5,783	4,613					1,170
52	X	OPPENHEIMER DEVELOPING			2/15/2013		7/17/2013	139	143					-4
53	X	OPPENHEIMER DEVELOPING			2/28/2012		10/14/2013	179	159					20
54	X	OPPENHEIMER DEVELOPING			2/15/2013		10/14/2013	3,000	2,842					158
55	X	OPPENHEIMER DEVELOPING			9/13/2012		10/14/2013	145	129					16
56	X	PIMCO TOTAL RET FD-INST #			11/27/2012		2/13/2013	16,949	17,602					-653
57	X	PIMCO TOTAL RET FD-INST #			9/13/2012		2/13/2013	2,553	2,636					-83
58	X	PIMCO FOREIGN BD FD USD			8/19/2011		2/13/2013	1,226	1,215					11
59	X	RIDGEMORTH SEIX HY BD-#			2/28/2012		2/13/2013	2,445	2,342					103
60	X	RIDGEMORTH SEIX HY BD-#			8/19/2011		2/13/2013	1,452	1,346					106
61	X	SPDR DJ WILSHIRE INTERNA			11/13/2007		2/13/2013	500	719					-219
62	X	SPDR DJ WILSHIRE INTERNA			7/26/2010		10/14/2013	214	300					-86
63	X	TCW SMALL CAP GROWTH FL			5/3/2010		1/4/2013	824	816					8
64	X	TCW SMALL CAP GROWTH FL			7/23/2010		1/4/2013	385	335					50
65	X	TCW SMALL CAP GROWTH FL			8/26/2010		1/4/2013	637	528					109
66	X	TCW SMALL CAP GROWTH FL			8/19/2011		1/4/2013	3,595	3,110					485
67	X	TCW SMALL CAP GROWTH FL			5/29/2012		1/4/2013	958	927					31
68	X	VANGUARD FTSE EMERGING			11/19/2010		10/14/2013	253	282					-29

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Totals		Cost, Other Basis and Expenses	Net Gain or Loss
									Gross Sales	Cost or Other Basis		
	Long Term CG Distributions	5,184										
	Short Term CG Distributions											
69	X VANGUARD FTSE EMERGING	922042858			2/13/2013		10/14/2013	2,619	2,761			-142
70	X VANGUARD REIT VIPER	922908553			5/7/2009		2/13/2013		126			152
71	X VANGUARD REIT VIPER	922908553			11/23/2012		2/13/2013	833	759			74
72	X PIMCO TOTAL RET FD-INST #	693390700			9/13/2012		7/17/2013	5,096	5,431			-335
73	X PIMCO FOREIGN BD FD USD	693390882			11/27/2012		2/13/2013	971	1,023			-54
74	X VANGUARD REIT VIPER	922908553			29/2009		2/13/2013		59			80
75	X VANGUARD REIT VIPER	922908553			29/2009		7/17/2013	575	234			341

Long Term CG Distributions Short Term CG Distributions		Amount	5184	Capital Gains/Losses Other sales										Sales		Basis and Expenses		Net Gain or Loss		
				Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improve-ments	Depreciation	Adjustments			
69	X	VANGUARD FTSE EMERGING	922042858																	
70	X	VANGUARD REIT VIPER	922908553																	
71	X	VANGUARD REIT VIPER	922908553																	
72	X	PIMCO TOTAL RET FD-INST #	693390700																	
73	X	PIMCO FOREIGN BD FD USD	693390882																	
74	X	VANGUARD REIT VIPER	922908553																	
75	X	VANGUARD REIT VIPER	922908553																	

**Part I, Line 16b (990-PF) - Accounting Fees**

		1,098	0	0	1,098
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
<b>1</b>	TAX PREP FEES	1,098			1,098

**Part I, Line 18 (990-PF) - Taxes**

		311	112	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	112	112		
2	PY EXCISE TAXES PAID	21			
3	ESTIMATED EXCISE PAYMENTS	178			

**Part II, Line 10c (990-PF) - Investments - Corporate Bonds**

		0	28,895	0	28,895	0	35,921
Description		Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year		
1	SEE ATTACHED	0	28,895	0	35,921		

**Part II, Line 13 (990-PF) - Investments - Other**

		477,995	438,498	494,236
		Book Value	Book Value	FMV
		Beg. of Year	End of Year	End of Year
1	SEE ATTACHED	477,995	438,498	494,236

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 3 - Other increases not included in Part III, Line 2**

1	MUTUAL FUND & CTF INCOME ADDITIONS	1	1,666
2	Total	2	1,666

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**Line 5 - Decreases not included in Part III, Line 2**

1	COST BASIS ADJUSTMENT	1	118
2	MUTUAL FUND & CTF INCOME SUBTRACTION	2	718
3	PY RETURN OF CAPITAL ADJUSTMENT	3	166
4	Total	4	1,002

---

### Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions													Short Term CG Distributions												
Amount													Amount												
5,184													0												
0													0												
Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gain/Loss Minus Excess of FMV Over Adjusted Basis or Losses												
1 ARTISAN INTERNATIONAL FUND #661	04314H204		7/28/2008	7/17/2013	2,500		0	2,573	-73	0	0	0	-73												
2 ARTISAN MID CAP FUND-INS #1333	04314H200		7/19/2013	10/14/2013	556		0	517	39	0	0	0	39												
3 CREDIT SUISSE COMM RET ST I #2166	2254R2305		8/19/2011	10/14/2013	4,300		0	5,395	-1,095	0	0	0	-1,095												
4 CREDIT SUISSE COMM RET ST I #2166	2254R2305		10/8/2010	10/14/2013	877		0	1,192	-315	0	0	0	-315												
5 DODGE & COX INTL STOCK FD #1048	26520B103		2/5/2010	2/13/2013	1,564		0	1,884	-320	0	0	0	-320												
6 DODGE & COX INTL STOCK FD #1048	26520B103		2/5/2010	10/14/2013	2,302		0	1,794	508	0	0	0	508												
7 DODGE & COX INCOME FDCOM #147	26520B103		2/5/2010	10/14/2013	248		0	179	69	0	0	0	69												
8 DODGE & COX INCOME FDCOM #147	26520B103		2/15/2012	7/17/2013	6,030		0	6,168	-138	0	0	0	-138												
9 DREYFUS EMG MKT DEBT LOC C-I #908	26198D494		11/27/2012	2/13/2013	939		0	913	26	0	0	0	26												
10 DREYFUS EMG MKT DEBT LOC C-I #908	26198D494		8/19/2011	2/13/2013	1,926		0	1,872	54	0	0	0	54												
11 DREYFUS EMG MKT DEBT LOC C-I #908	26198D494		8/19/2011	10/14/2013	4,337		0	4,591	-254	0	0	0	-254												
12 DREYFUS EMG MKT DEBT LOC C-I #908	26198D494		10/8/2010	10/14/2013	3,752		0	3,959	-207	0	0	0	-207												
13 LEATON VANCE GLOBAL MACRO - I #008	277923728		7/19/2013	10/14/2013	5,049		0	5,141	-92	0	0	0	-92												
14 UP MORGAN MID CAP VALUE-I #758	339128100		8/28/2010	7/17/2013	88		0	50	38	0	0	0	38												
15 UP MORGAN MID CAP VALUE-I #758	339128100		8/19/2011	7/17/2013	489		0	291	178	0	0	0	178												
16 UP MORGAN MID CAP VALUE-I #758	339128100		2/15/2010	7/17/2013	171		0	153	18	0	0	0	18												
17 UP MORGAN MID CAP VALUE-I #758	339128100		2/5/2010	7/17/2013	555		0	308	247	0	0	0	247												
18 ARTISAN INTERNATIONAL FUND #661	04314H204		7/28/2008	2/13/2013	1,235		0	1,345	-110	0	0	0	-110												
19 ARTISAN INTERNATIONAL FUND #661	04314H204		7/28/2008	2/13/2013	49		0	53	-4	0	0	0	-4												
20 GATEWAY FUND - Y #1988	367823884		2/15/2013	7/17/2013	7,821		0	7,732	89	0	0	0	89												
21 GATEWAY FUND - Y #1988	367823884		9/13/2012	7/17/2013	5,873		0	5,777	96	0	0	0	96												
22 HARBOR CAPITAL APRTION-INST #20	411511504		9/13/2012	7/17/2013	153		0	136	17	0	0	0	17												
23 HARBOR CAPITAL APRTION-INST #20	411511504		11/27/2012	7/17/2013	983		0	983	174	0	0	0	174												
24 HARBOR CAPITAL APRTION-INST #20	411511504		5/28/2012	7/17/2013	5,948		0	5,375	573	0	0	0	573												
25 HARBOR CAPITAL APRTION-INST #20	411511504		5/28/2012	7/17/2013	2,486		0	2,072	414	0	0	0	414												
26 HUSSMAN STRATEGIC GROWTH FUND	448108100		5/11/2009	2/13/2013	571		0	704	-133	0	0	0	-133												
27 HUSSMAN STRATEGIC GROWTH FUND	448108100		7/21/2009	2/13/2013	2,589		0	3,349	-750	0	0	0	-750												
28 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/5/2010	2/13/2013	1,485		0	1,843	-358	0	0	0	-358												
29 HUSSMAN STRATEGIC GROWTH FUND	448108100		8/26/2010	2/13/2013	3,785		0	4,930	-1,135	0	0	0	-1,135												
30 HUSSMAN STRATEGIC GROWTH FUND	448108100		10/8/2010	2/13/2013	477		0	604	-127	0	0	0	-127												
31 HUSSMAN STRATEGIC GROWTH FUND	448108100		11/23/2010	2/13/2013	332		0	417	-85	0	0	0	-85												
32 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/4/2011	2/13/2013	1,213		0	1,400	-185	0	0	0	-185												
33 HUSSMAN STRATEGIC GROWTH FUND	448108100		4/28/2011	2/13/2013	233		0	272	-39	0	0	0	-39												
34 HUSSMAN STRATEGIC GROWTH FUND	448108100		8/19/2011	2/13/2013	872		0	821	149	0	0	0	149												
35 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/28/2012	2/13/2013	1,035		0	1,172	-137	0	0	0	-137												
36 ISHARES CORE S & P 500 ETF	464287200		9/11/2012	2/13/2013	2,444		0	2,311	133	0	0	0	133												
37 ISHARES CORE S & P 500 ETF	464287200		9/11/2012	7/17/2013	3,380		0	2,889	491	0	0	0	491												
38 ISHARES CORE S & P 500 ETF	464287200		10/11/2008	7/17/2013	4,583		0	3,649	914	0	0	0	914												
39 ISHARES RUSSELL 2000 GROWTH	464287648		11/23/2012	2/13/2013	6,814		0	6,032	782	0	0	0	782												
40 ISHARES RUSSELL 2000 GROWTH	464287648		1/4/2013	2/13/2013	6,505		0	6,219	286	0	0	0	286												
41 KALMAR GR WVAL SM CAP FD #2	483438206		2/15/2013	7/17/2013	658		0	573	85	0	0	0	85												
42 KALMAR GR WVAL SM CAP FD #2	483438206		2/15/2013	10/14/2013	437		0	347	90	0	0	0	90												
43 KEELEY SMALL CAP VAL FD C/I #2251	487300808		8/19/2011	2/13/2013	2,813		0	1,861	952	0	0	0	952												
44 KEELEY SMALL CAP VAL FD C/I #2251	487300808		4/20/2009	2/13/2013	3,950		0	1,784	2,166	0	0	0	2,166												
45 KEELEY SMALL CAP VAL FD C/I #2251	487300808		4/20/2009	10/14/2013	420		0	171	249	0	0	0	249												
46 KEELEY SMALL CAP VAL FD C/I #2251	487300808		4/20/2009	10/14/2013	147		0	57	90	0	0	0	90												
47 LAUDUS MONDRIAN INTL FHNST #2945185Q555			11/27/2012	2/13/2013	1,654		0	1,766	-112	0	0	0	-112												
48 LAUDUS MONDRIAN INTL FHNST #2945185Q555			2/28/2012	2/13/2013	255		0	270	-15	0	0	0	-15												
49 MF'S VALUE FUND-CLASS I #893	552963694		11/27/2012	7/17/2013	211		0	173	38	0	0	0	38												
50 MF'S VALUE FUND-CLASS I #893	552963694		2/15/2013	7/17/2013	1,570		0	1,404	166	0	0	0	166												
51 MF'S VALUE FUND-CLASS I #893	552963694		2/28/2012	7/17/2013	4,613		0	4,613	1,170	0	0	0	1,170												
52 OPPENHEIMER DEVELOPING MKT-Y #7	683974505		2/15/2013	7/17/2013	139		0	143	-4	0	0	0	-4												
53 OPPENHEIMER DEVELOPING MKT-Y #7	683974505		2/28/2012	10/14/2013	179		0	159	20	0	0	0	20												
54 OPPENHEIMER DEVELOPING MKT-Y #7	683974505		2/15/2013	10/14/2013	3,000		0	2,842	158	0	0	0	158												
55 OPPENHEIMER DEVELOPING MKT-Y #7	683974505		9/13/2012	10/14/2013	145		0	129	16	0	0	0	16												
56 PINCO TOTAL RET FDNST #35	693380700		11/27/2012	2/13/2013	16,949		0	17,602	-653	0	0	0	-653												
57 PINCO TOTAL RET FDNST #35	693380700		9/13/2012	2/13/2013	2,553		0	2,636	-83	0	0	0	-83												
58 PINCO FOREIGN BD FUND-HNST #1	693380882		8/19/2011	2/13/2013	1,226		0	1,215	11	0	0	0	11												
59 RIDGEWORTH SEIX HY BDI #6555	768287845		2/28/2012	2/13/2013	2,445		0	2,342	103	0	0	0	103												
60 RIDGEWORTH SEIX HY BDI #6555	768287845		8/19/2011	2/13/2013	1,452		0	1,346	106	0	0	0	106												
61 SPDR D WILSHIRE INTERNATIONAL R	76463X663		11/13/2007	2/13/2013	900		0	719	181	0	0	0	181												
62 SPDR D WILSHIRE INTERNATIONAL R	76463X663		5/3/2010	10/14/2013	214		0	300	-86	0	0	0	-86												
63 ICW SMALL CAP GROWTH FUND-I #787324N649			8/28/2010	14/2013	824		0	816	8	0	0	0	8												
64 ICW SMALL CAP GROWTH FUND-I #787324N649			8/28/2010	14/2013	385		0	335	50	0	0	0	50												
65 ICW SMALL CAP GROWTH FUND-I #787324N649			8/28/2010	14/2013	3,595		0	3,110	485	0	0	0	485												
66 ICW SMALL CAP GROWTH FUND-I #787324N649			5/29/2012	14/2013	958		0	927	31	0	0	0	31												
67 VANGUARD FTSE EMERGING MARKET	922042858		11/19/2010	10/14/2013	253		0	282	-29	0	0	0	-29												
68 VANGUARD FTSE EMERGING MARKET	922042858		2/13/2013	10/14/2013	2,619		0	2,761	-142	0	0	0	-142												
69 VANGUARD REIT VIPER	922908553		7/7/2009	2/13/2013	278		0	128	152	0	0	0	152												
70 VANGUARD REIT VIPER	922908553		11/23/2012	2/13/2013	833		0	759	74	0	0	0	74												
71 PINCO TOTAL RET FDNST #35	693380882		9/13/2012	7/17/2013	5,096		0	5,431	-335	0	0	0	-335												
72 PINCO FOREIGN BD FUND-HNST #1	693380700		11/27/2012	2/13/2013	971		0	1,025	-54	0	0	0	-54												
73 VANGUARD REIT VIPER	922908553		2/9/2009	7/17/2013	139		0	59	80	0	0	0	80												
74 VANGUARD REIT VIPER	922908553		2/9/2009	7/17/2013	575		0	234	341	0	0	0	341												



Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N.A. Trust Tax D		1 W 4th St 4th Floor MAC D4000-041	Winston Salem	NC	27101-3818		TRUSTEE	4 00	8,858		
										8,858	0	0

**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

		Date	Amount
1	Credit from prior year return . . . . .		0
2	First quarter estimated tax payment . . . . .	5/7/2013	178
3	Second quarter estimated tax payment . . . . .		
4	Third quarter estimated tax payment . . . . .		
5	Fourth quarter estimated tax payment . . . . .		
6	Other payments . . . . .		
7	Total . . . . .		178

**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	12,236
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	12,236