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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation JOHNSON DK CHAR SCHOLARSHIP FD		A Employer identification number 42-6401180	
Number and street (or P O box number if mail is not delivered to street address) 2701 EDGEWOOD PKWY SW		B Telephone number (see instructions) (877) 275-7034	
City or town, state or province, country, and ZIP or foreign postal code CEDAR RAPIDS, IA 52404		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input type="checkbox"/> \$ 255,084		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	6,073	6,073		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	24,187			
	b Gross sales price for all assets on line 6a 278,284				
	7 Capital gain net income (from Part IV , line 2) . . .		24,187		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	30,260	30,260		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	477			477
	b Accounting fees (attach schedule)	950			950
	c Other professional fees (attach schedule)	2,000	1,500		500
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	662	91		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	30			
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	4,119	1,591		1,927
	25 Contributions, gifts, grants paid	8,000			8,000
	26 Total expenses and disbursements. Add lines 24 and 25	12,119	1,591		9,927
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	18,141			
	b Net investment income (if negative, enter -0-)		28,669		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	681		
	2	Savings and temporary cash investments	11,687	7,601	7,601
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	213,279	236,408	247,483
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	225,647	244,009	255,084	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	225,647	244,009	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	225,647	244,009	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	225,647	244,009		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	225,647
2	Enter amount from Part I, line 27a	2	18,141
3	Other increases not included in line 2 (itemize) ▶ _____	3	725
4	Add lines 1, 2, and 3	4	244,513
5	Decreases not included in line 2 (itemize) ▶ _____	5	504
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	244,009

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	24,187
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	2,161

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	12,597	241,552	0 052150
2011	11,020	245,880	0 044819
2010	13,550	238,779	0 056747
2009	14,910	220,682	0 067563
2008	22,370	267,547	0 083611

2	Total of line 1, column (d).	2	0 304890
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 060978
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	244,815
5	Multiply line 4 by line 3.	5	14,928
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	287
7	Add lines 5 and 6.	7	15,215
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	9,927

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)				
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	573	
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	573	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				2
3 Add lines 1 and 2.				3
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	573	
6 Credits/Payments		7	392	
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a			392
b Exempt foreign organizations—tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d.		7	392	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	181	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded		11		

Part VII-A Statements Regarding Activities				
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): IA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶WWW BANKIOWA COM	13	Yes	
14	The books are in care of ▶BANKIOWA Telephone no ▶(877) 275-7034 Located at ▶2701 EDGEWOOD PKWY SW CEDAR RAPIDS IA ZIP +4 ▶52404			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here. ☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANKIOWA 2701 EDGEWOOD PARKWAY SW CEDAR RAPIDS, IA 52404	TRUSTEE 4 00	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

NONE

Total number of others receiving over \$50,000 for professional services. 1

Part IX-A

Expenses

1

Part IX-B

Amount

1 N/A

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	234,511
b	Average of monthly cash balances.	1b	14,032
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	248,543
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	248,543
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,728
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	244,815
6	Minimum investment return. Enter 5% of line 5.	6	12,241

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	12,241
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	573
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	573
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	11,668
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	11,668
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	11,668

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	9,927
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	9,927
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	9,927
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1Information Regarding Foundation Managers:

aList any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

bList any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

aThe name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ST PAUL'S LUTHERAN CHURCH
419 S 12TH ST
FORT DODGE,IA 50501
(515) 955-7285

bThe form in which applications should be submitted and information and materials they should include

APPLICATION FORM

cAny submission deadlines

HIGH SCHOOL GRADUATION

dAny restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

APPLICANTS MUST HAVE GRADUATED FROM ST PAUL'S LUTHERAN SCHOOL AND HAVE SUCCESSFULLY COMPLETED THE NECESSARY COURSES TO GAIN COLLEGE ADMISSION. APPLICANTS HAVE EXCELLENT CHARACTER, INDUSTRIOUS HABITS AND DEMONSTRATE THEIR WILLINGNESS AND CAPACITY TO SUCCESSFULLY AND PROFITABLY PURSUE FURTHER STUDY AT A COLLEGE LEVEL. PREFERENCE SHOULD BE GIVEN TO STUDENTS WHO MIGHT OTHERWISE, FOR FINANCIAL REASON, BE UNABLE TO PURSUE A COLLEGE EDUCATION

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	8,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
VANGUARD REIT VIPER	P	2012-11-21	2013-03-11
BANK IOWA - SEE ATTACHED LIST	P	2013-01-01	2013-12-31
BANK IOWA - SEE ATTACHED LIST	P	2012-01-01	2013-12-31
BANK IOWA - SEE ATTACHED LIST	P	2012-01-01	2013-12-31
293 676 SHS ARTISAN MID CAP FD	P	2012-04-10	2013-01-16
220 081 SHS GATEWAY FD	P	2012-08-03	2013-01-22
905 096 SHS PIMCO FOREIGN BD FD	P	2011-08-11	2013-01-22


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
279		249	30
66,076		64,123	1,953
33,637		30,628	3,009
155,479		137,670	17,809
5,943		5,811	132
6,050		6,004	46
9,775		9,612	163

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			30
			1,953
			3,009
			17,809
			132
			46
			163

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
IOWA CENTRAL COMMUNITY COLLEGE 1 TRITON CIR FORT DODGE,IA 50501	NONE	501(C)(3)	SCHOLARSHIP	2,500
IOWA STATE UNIVERSITY 0210 BEARDSHEAR HALL AMES,IA 50011	NONE	501(C)(3)	SCHOLARSHIP	1,000
CONCORDIA UNIVERSITY NEBRASKA 800 N COLUMBIA AVE SEWARD,NE 68434	NONE	501(C)(3)	SCHOLARSHIP	2,000
CLEMSON UNIVERSITY SIKES HALL BOX 345123 CLEMSON,SC 29634	NONE	501(C)(3)	SCHOLARSHIP	1,000
NORTH DAKOTA STATE UNIVERSITY PO BOX 6050 FARGO,ND 58108	NONE	501(C)(3)	SCHOLARSHIP	1,000
UNIVERSITY OF WISCONSIN - LA CROSSE 1725 STATE ST LA CROSSE,WI 54601	NONE	501(C)(3)	SCHOLARSHIP	500
Total  3a				8,000

TY 2013 Accounting Fees Schedule**Name:** JOHNSON DK CHAR SCHOLARSHIP FD**EIN:** 42-6401180

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	950			950

TY 2013 Compensation Explanation

Name: JOHNSON DK CHAR SCHOLARSHIP FD

EIN: 42-6401180

Person Name	Explanation
BANKIOWA	

TY 2013 Investments - Other Schedule

Name: JOHNSON DK CHAR SCHOLARSHIP FD

EIN: 42-6401180

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED LIST	AT COST	236,408	247,483

TY 2013 Legal Fees Schedule

Name: JOHNSON DK CHAR SCHOLARSHIP FD

EIN: 42-6401180

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	477			477

TY 2013 Other Decreases Schedule

Name: JOHNSON DK CHAR SCHOLARSHIP FD

EIN: 42-6401180

Description	Amount
MUTUAL FUND SUBTRACTION	504

TY 2013 Other Increases Schedule

Name: JOHNSON DK CHAR SCHOLARSHIP FD

EIN: 42-6401180

Description	Amount
MUTUAL FUND ADDITION	723
ROUNDING	2

TY 2013 Other Professional Fees Schedule

Name: JOHNSON DK CHAR SCHOLARSHIP FD

EIN: 42-6401180

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TRUSTEE FEES	2,000	1,500		500

TY 2013 Taxes Schedule**Name:** JOHNSON DK CHAR SCHOLARSHIP FD**EIN:** 42-6401180

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ESTIMATED TAX PAYMENTS	571			
FOREIGN TAX WITHHELD	91	91		

Donald K Johnson Charitable Trust

Account #: 12121444

Holdings As Of: 12/31/2013

	Ticker	Shares	Price	Market Value	Cost	Unrealized Gain/Loss	% MV	Annual Yield	Est. Annual Income
Cash									
Income Cash				0 00	0 00		0 00		
Principal Cash				0 00	0 00		0 00		
	Total Cash			0 00	0 00		0 00		
Cash Equivalents									
Money Market - Taxable									
Federated Government Obligations Fund	GOIXX	7,601 4000	1 0000	7,601 40	7,601 40	0 00	2 98	0 01	0 76
Equity									
Stock - International Developed									
Ishares MSCI EAFE Index Fund	EFA	295 0000	67 0950	19,793 03	17,701 70	2,091 33	7 76	2 54	502 45
Stock - International Emerging									
Ishares MSCI Emerging Markets Index Fund	EEM	290 0000	41 7950	12,120 55	12,435 17	-314 62	4 75	2 05	248 94
Stock - Large Cap Growth									
Ishares Russell 1000 Growth Index Fund	IWF	330 0000	85 9500	28,363 50	23,426 54	4,936 96	11 12	1.39	395 08
Stock - Large Cap Value									
Ishares Russell 1000 Value Index Fund	IWD	285 0000	94 1700	26,838.45	23,227 36	3,611 09	10 52	1 91	513 00
Stock - Mid Cap									
Ishares Russell Midcap Index Fund	IWR	45 0000	149 9800	6,749 10	5,714 64	1,034 46	2 65	1 44	97 01
Stock - Small Cap									
Ishares Core S&P Small-Cap ETF	IJR	70 0000	109 1300	7,639 10	6,125 69	1,513 41	2 99	1 41	107 81
	Equity Total	1,315 0000		101,503 73	88,631 10	12,872 63	39 79	1 84	1,864.29
Fixed									
Mutual Funds - Fixed									
Federated Floating Rate Strategic Income Fund	FFRSX	2,430 5560	10 0800	24,500 00	24,500 00	0 00	9 60	3 70	906 92
Federated Real Return Bond Fund	RRFIX	1,661 0880	10 5600	17,541 09	18,500 00	-958 91	6 88	1 83	321.42
Federated Short Term Income Fund	FSTYX	2,822 5810	8 5700	24,189 52	24,500 00	-310 48	9 48	1 70	411 70
Federated Total Return Bond Fund	FTRBX	2,136 9154	10 8900	23,271 01	24,232 62	-961 61	9 12	3 58	833 61
Miller Convertible Fund	MCIFX	2,078 1380	12 4200	25,810 47	25,000 00	810 47	10 12	3 22	831 05
	Mutual Funds - Fixed Total	11,129 2784		115,312 09	116,732 62	-1,420 53	45 20	2 87	3,304 70

Donald K Johnson Charitable Trust

Account #: 12121444

Holdings As Of: 12/31/2013

	Ticker	Shares	Price	Market Value	Cost	Unrealized Gain/Loss	% MV	Annual Yield	Est. Annual Income
Other									
Other - Commodities									
	Ipath Dow Jones -AIG commodity index ETN DJP DJP	150 0000	36 7500	5,512 50	6,155 98	-643 48	2 16	0 00	0 00
	Ishares Tr S&p Global Energy Sector Indexfd IXC	155 0000	43 2200	6,699 10	6,274 31	424 79	2 63	0 03	2 14
	Ishares Tr S&p Global Timber & Forestryindex Fund WOOD	125 0000	52 8800	6,610 00	6,131 41	478 59	2 59	0 00	0 00
	Other - Commodities Total	430 0000		18,821 60	18,561 70	259 90	7 38	0 01	2 14
Real Estate									
Other - Real Estate									
	Ishares Dow Jones U S Real Estate Index Fund IYR	90 0000	63 0800	5,677 20	6,227 06	-549 86	2.23	0 66	37 30
	Ishares Tr S&p World Ex-us Ppty Index Fund WPS	165 0000	37 3800	6,167 70	6,254 90	-87 20	2 42	0 00	0 00
	Other - Real Estate Total	255 0000		11,844 90	12,481 96	-637 06	4 65	0 31	37 30
	Grand Total	20,730 6784		255,083.72	244,008 78	11,074 94	100 00	2 04	5,209 19

Capital Gain/Loss Summary

Capital Gain Term

	YTD Amount
Long Term	20,818 17
Short Term	1,983 57
Grand Total	22,801 74

Reported gains are based on settlement date to coincide with your transaction statement
For complete tax information, including trade details, contact your account administrator

Proceeds From Broker and Barter Exchange Transactions

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2013
Form 1099-B
OMB No
1545-0715
Copy B
For Recipient

Recipient's Name, Street Address, City, State & Zip Code

Donald K Johnson Charitable Trust
2701 Edgewood Pkwy SW
Cedar Rapids, IA 52404

Payer's Name, street address, city, state & zip code

Banklwa
230 1st St E
PO Box 229
Independence, IA 50644

319-334-7181

Payer's Federal Identification no

42-0247350

Recipient's Social Security Number

42-6401180

☐ CORRECTED (if checked)

Account Number

12121444 Donald K Johnson Charitable Trust

*Gross Proceeds less commissions and option premiums

CUSIP No	1a Date of Sale	1b Acquired Date	1c Type of gain or loss	Gain/Loss Amount	1d Stock or other symbol	1e Quantity sold	2a Stocks, bonds, etc *	2b If box checked, loss based on amount in 2a is not allowed	3 Cost or other basis	4 Federal income tax withheld	5 Wash sale loss disallowed	6a Check if noncovered security	6b Check if basis reported to IRS	7 Bartering	8 Description
LT															
261980494	03/11/2013	02/14/2012	LT	63.86	DOBIX	67.232000	1.033.35		969.49				X		Dreyfus Laurel FDS TR
339128100	03/11/2013	02/08/2010	LT	1,478.57	FLMVX	120.996000	3,744.82		2,266.25			X	X		JP Morgan Mid Cap Value I #758
448108100	03/11/2013	02/08/2008	LT	-1,399.12	HSGFX	277.604000	2,864.88		4,264.00			X	X		Hussman Strategic Growth Fund
922012858	03/11/2013	02/09/2009	LT	1,372.69	VWO	63.000000	2,781.40		1,408.71			X	X		Vanguard FTSE Emerging Markets ETF
22544R305	03/11/2013	10/12/2010	LT	-855.36	CRSOX	792.000000	6,272.64		7,128.00			X	X		Credit Suisse Commodity Return Plus Strategy FD
949917538	03/11/2013	10/12/2010	LT	9.24	SHYYX	22.000000	172.70		163.48			X	X		Wells Fargo FDS TR Advantage High Income FD CL I
448108100	03/11/2013	02/07/2011	LT	81.41	HSGFX	50.251000	5,859		600.00			X	X		Hussman Strategic Growth Fund
94975J581	03/11/2013	12/23/2008	LT	537.87	MBFIX	664.043000	8,479.83		7,941.96			X	X		Wells Fargo FDS TR Advantage Total Return BD FD CL I
922908553	03/11/2013	02/09/2009	LT	4,387.21	VNQ	108.000000	7,544.77		3,157.56			X	X		Vanguard REIT Viper
261980494	03/11/2013	08/12/2011	LT	64.15	DOBIX	128.288000	1,971.79		1,907.64			X	X		Dreyfus Laurel FDS TR
949917579	03/11/2013	02/07/2011	LT	44.44	SGVIX	153.251000	1,691.89		1,647.45			X	X		Wells Fargo FDS TR Advantage Govt Secs FD CL I
949917538	03/11/2013	10/20/2009	LT	75.09	SHYYX	79.884000	627.00		552.00			X	X		Wells Fargo FDS TR Advantage High Income FD CL I
7546JX863	03/11/2013	04/29/2011	LT	17.76	FWX	10.000000	423.60		405.84			X	X		SPDR DJ Wilshire Intl Real Estate ETF
448108100	03/11/2013	05/04/2010	LT	-49.50	HSGFX	22.000000	227.04		276.54			X	X		Hussman Strategic Growth Fund
949917538	03/11/2013	07/29/2008	LT	58.10	SHYYX	72.624000	570.10		512.00			X	X		Wells Fargo FDS TR Advantage High Income FD CL I
262028855	03/11/2013	08/12/2011	LT	109.04	LCMAX	454.348000	4,897.87		4,788.83			X	X		Dreyfus Active Income Fund
94975J581	03/11/2013	02/14/2012	LT	-33.25	MBFIX	184.714000	2,358.80		2,392.05				X		Wells Fargo FDS TR Advantage Total Return BD FD CL I
339128100	03/11/2013	08/12/2011	LT	438.55	FLMVX	48.619000	1,504.76		1,066.21			X	X		JP Morgan Mid Cap Value-I #758
448108100	03/11/2013	04/23/2008	LT	200.84	HSGFX	39.615000	408.83		609.67			X	X		Hussman Strategic Growth Fund

CUSIP No	1a Date of Sale	1b Acquired Date	1c Type of gain or loss	Gain/Loss Amount	1d Stock or other symbol	1e Quantity sold	2a Stocks, bonds, etc.	2b If box checked, loss based on amount in 2a is not allowed	3 Cost or other basis	4 Federal income tax withheld	5 Wash sale loss disallowed	6a Check if noncovered security	6b Check if basis reported to IRS	7 Bartering	8 Description
LT															
922042858	03/11/2013	08/12/2011	LT	83.59	VVO	28.000000	1.236.17		1,152.58				X		Vanguard FTSE Emerging Markets ETF
589509108	03/11/2013	05/04/2010	LT	30.70	MERFX	307.600000	4.859.31		4,838.55			X	X		Merger Fund
949917538	03/11/2013	04/29/2011	LT	7.24	SHYYX	38.120000	299.24		292.00			X	X		Wells Fargo FDS TR Advantage High Income FD CL I
949650582	03/11/2013	02/07/2011	LT	-1.18	ESICX	14.791000	167.88		169.06			X	X		Wells Fargo Advantage FDS Intl BD FD Instl CL
949917538	03/11/2013	05/14/2008	LT	59.10	SHYYX	359.838000	2,824.73		2,765.63			X	X		Wells Fargo FDS TR Advantage High Income FD CL I
448108100	03/11/2013	10/20/2009	LT	-157.38	HSGFX	62.951000	649.69		807.03			X	X		Hussman Strategic Growth Fund
949917552	03/11/2013	02/08/2010	LT	525.12	SSHIX	3.088.917000	27,244.25		20,719.13			X	X		Wells Fargo FDS TR Advantage Short Term BD FD CL I
78463X863	03/11/2013	02/14/2012	LT	50.00	RWX	8.000000	338.88		288.88			X	X		SPDR DJ Wilshire Intl Real Estate ETF
008882532	03/11/2013	02/14/2012	LT	688.69	AIYX	235.053000	7,070.39		6,381.70				X		Invesco Intl Growth
261980494	03/11/2013	10/12/2010	LT	84.39	DBIX	291.000000	4,472.67		4,388.28			X	X		Dreyfus Laurel FDS TR
880196506	03/11/2013	02/14/2012	LT	587.19	TFFAX	1,030.153000	7,231.08		6,644.49				X		Templeton Foreign FD
78463X863	03/11/2013	05/02/2011	LT	13.07	RWX	8.000000	338.88		325.81			X	X		SPDR DJ Wilshire Intl Real Estate ETF
448108100	03/11/2013	10/12/2010	LT	-44.80	HSGFX	16.000000	165.12		209.52			X	X		Hussman Strategic Growth Fund
949917538	03/11/2013	10/15/2008	LT	343.78	SHYYX	188.889000	1,482.78		1,139.00			X	X		Wells Fargo FDS TR Advantage High Income FD CL I
94975P751	03/11/2013	10/12/2010	LT	15.47	EMGNX	119.000000	2,709.63		2,694.16			X	X		Wells Fargo FDS TR For Future Issues See 94975J
78463X863	03/11/2013	02/09/2009	LT	2,228.41	RWX	120.000000	5,083.21		2,854.80			X	X		SPDR DJ Wilshire Intl Real Estate ETF
448108100	03/11/2013	07/24/2009	LT	-145.34	HSGFX	48.126000	496.66		642.00			X	X		Hussman Strategic Growth Fund
22544R305	03/11/2013	08/12/2011	LT	-320.64	CRSOX	258.581000	2,047.96		2,368.60			X	X		Credit Suisse Commodity- Return Plus Strategy FD
949850582	03/11/2013	07/26/2010	LT	103.07	ESICX	859.000000	9,749.64		9,646.57			X	X		Wells Fargo Advantage FDS Intl BD FD Instl CL
77954Q106	03/11/2013	02/14/2012	LT	1,605.37	TRBCX	248.897000	12,330.35		10,724.98				X		T Rowe Price Blue Chip Growth
22544R305	03/11/2013	02/14/2012	LT	-56.97	CRSOX	105.496000	835.53		892.50				X		Credit Suisse Commodity- Return Plus Strategy FD
94975G685	03/11/2013	02/09/2009	LT	2,762.51	WFIOX	136.962000	7,074.09		4,311.58			X	X		Wells Fargo FDS TR For Futures Issues See 94975H
94975J268	03/11/2013	02/09/2009	LT	4,189.77	CBLSX	991.147000	9,614.12		5,424.35			X	X		Wells Fargo FDS TR Advantage C & B Large Cap Value FD CL I
261980494	03/11/2013	11/22/2010	LT	28.60	DBIX	55.000000	845.35		816.75			X	X		Dreyfus Laurel FDS TR
949917579	03/11/2013	10/07/2010	LT	567.03	SGVIX	1,052.533000	11,619.96		11,052.91			X	X		Wells Fargo FDS TR Advantage Govt Secs FD CL I
448108100	03/11/2013	11/22/2010	LT	-37.95	HSGFX	15.000000	154.80		192.75			X	X		Hussman Strategic Growth Fund
949917538	03/11/2013	07/24/2009	LT	1,043.37	SHYYX	828.073000	6,500.37		5,457.00			X	X		Wells Fargo FDS TR Advantage High Income FD CL I
94975P751	03/11/2013	08/12/2011	LT	46.68	EMGNX	54.282000	1,236.00		1,189.32			X	X		Wells Fargo FDS TR For Future Issues See 94975J
78463X863	03/11/2013	02/07/2011	LT	90.87	RWX	30.000000	1,270.80		1,179.93			X	X		SPDR DJ Wilshire Intl Real Estate ETF
949917538	03/11/2013	02/14/2012	LT	70.60	SHYYX	196.262000	1,540.66		1,470.00				X		Wells Fargo FDS TR Advantage High Income FD CL I
94975J581	03/11/2013	02/07/2011	LT	320.58	MBFIX	745.543000	9,520.58		9,200.00			X	X		Wells Fargo FDS TR Advantage Total Return BD FD CL I
LT Total				20,818.17											
ST															
94975J581	03/11/2013	11/19/2012	ST	-326.62	MBFIX	473.365000	6,044.87		6,371.49				X		Wells Fargo FDS TR Advantage Total Return BD FD CL I
922042858	03/11/2013	08/06/2012	ST	143.15	VVO	36.000000	1,589.36		1,446.21				X		Vanguard FTSE Emerging Markets ETF
464287648	03/11/2013	12/24/2012	ST	298.53	IWO	26.000000	2,777.87		2,479.34				X		iShares Russell 2000 Growth
261980494	03/11/2013	08/06/2012	SI	154.77	DBIX	217.985000	3,350.43		3,195.66				X		Dreyfus Laurel FDS TR
94975P447	03/11/2013	08/06/2012	ST	903.17	ESPNX	226.362000	6,000.85		5,097.68				X		Wells Fargo Adv SP
94975P751	03/11/2013	08/06/2012	ST	167.11	EMGNX	74.269000	1,691.11		1,524.00				X		Wells Fargo FDS TR For Future Issues See 94975J
949917652	03/11/2013	11/19/2012	ST	-0.64	SSHIX	64.000000	564.48		565.12				X		Wells Fargo FDS TR Advantage Short Term BD FD CL I
464287648	03/11/2013	11/21/2012	ST	578.73	IWO	30.000000	3,205.23		2,626.50				X		iShares Russell 2000 Growth

CUSIP No	1a Date of Sale	1b Acquired Date	1c Type of gain or loss	Gain/Loss Amount	1d Stock or other symbol	1e Quantity sold	2a Stocks, bonds, etc *	2b If box checked, loss based on amount in 2a is not allowed	3 Cost or other basis	4 Federal income tax withheld	5 Wash sale loss disallowed	6a Check if a noncovered security	6b Check if basis reported to IRS	7 Bartering	8 Description
ST															
922908553	03/11/2013	11/21/2012	ST	30.89	VNQ	4.000000	279.47		248.54			X	X		Vanguard REIT Viper
314208300	05/20/2013	03/15/2013	ST	181.68	FHBB	1.21124000	12,681.68		12,500.00				X		Federated Instl High Yield Bond
464287598	06/03/2013	03/15/2013	ST	59.39	IWD	20.000000	1,689.38		1,629.99				X		Ishares Russell 1000 Value Index Fund
314280101	06/03/2013	03/15/2013	ST	-267.38	FTNBX	2.22816400	25,000.00		25,267.38				X		Federated Total Return Bond Fund
464287614	06/03/2013	03/15/2013	ST	60.79	IWF	20.000000	1,480.58		1,419.79				X		Ishares Russell 1000 Growth Index Fund
			ST Total	1,983.57											
			Grand Total	22,801.74											

Regulated Futures Contracts

9 Profit or (loss) realized in 2013 on closed contracts	10 Unrealized profit or (loss) on open contracts - 12/31/2012	11 Unrealized profit or (loss) on open contracts - 12/31/2013	12 Aggregate profit or (loss) on contracts

State Withholdings

13 State	14 State identification no	15 State tax withheld

Instructions for Recipient

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock, if your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May

show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 as explained in the instructions for Schedule D (Form 1040).

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Schedule D (Form 1040) instructions or Pub. 550 for details about basis.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock, stock purchased before 2012, stock in most mutual funds and other regulated investment companies purchased before 2013, and stock purchased in or transferred to a dividend reinvestment plan before 2013.

Box 6b. If checked, the basis in box 3 has been reported to the IRS.

Box 7. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Box 8. Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, PFC, or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12)

Box 9. Shows the profit or (loss) realized on regulated futures or foreign currency contracts closed during 2013.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2012.

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered sold as of that date. This will become an adjustment reported in box 10 in 2014.

Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2013 Form 6781.

Boxes 13-15. Shows state income tax withheld.