



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF****Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2013**Department of the Treasury  
Internal Revenue Service▶ Do not enter Social Security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

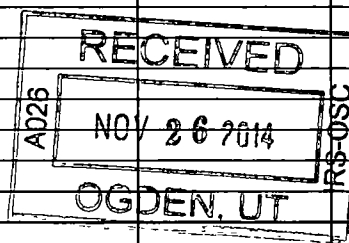
, 20

Name of foundation <b>A.Y. MCDONALD CHARITABLE FOUNDATION</b>		<b>A</b> Employer identification number <b>42-6119514</b>
Number and street (or P O box number if mail is not delivered to street address) <b>P.O. BOX 508</b>	Room/suite	<b>B</b> Telephone number (see instructions) <b>5635837311</b>
City or town, state or province, country, and ZIP or foreign postal code <b>DUBUQUE, IA 52004-0508</b>		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D</b> 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>5481212</b>	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)	<b>850000</b>			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	<b>1879</b>	<b>1879</b>	<b>1879</b>	
	4 Dividends and interest from securities	<b>100741</b>	<b>100741</b>	<b>100741</b>	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		<b>153455</b>		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	<b>22726</b>	<b>22726</b>	<b>22726</b>		
12 <b>Total.</b> Add lines 1 through 11	<b>975346</b>	<b>278801</b>	<b>125346</b>		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23				
	25 Contributions, gifts, grants paid	<b>313900</b>			
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	<b>313900</b>				
27 Subtract line 26 from line 12:					
a <b>Excess of revenue over expenses and disbursements</b>	<b>661446</b>				
b <b>Net investment income</b> (if negative, enter -0-)		<b>278801</b>			
c <b>Adjusted net income</b> (if negative, enter -0-)			<b>125346</b>		

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form **990-PF** (2013)

914

8

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b>	Cash—non-interest-bearing . . . . .	<b>324746</b>	<b>700912</b>	<b>700912</b>
	<b>2</b>	Savings and temporary cash investments . . . . .			
	<b>3</b>	Accounts receivable ▶ . . . . .			
		Less: allowance for doubtful accounts ▶ . . . . .			
	<b>4</b>	Pledges receivable ▶ . . . . .			
		Less: allowance for doubtful accounts ▶ . . . . .			
	<b>5</b>	Grants receivable . . . . .			
	<b>6</b>	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b>	Other notes and loans receivable (attach schedule) ▶ . . . . .			
		Less: allowance for doubtful accounts ▶ . . . . .			
	<b>8</b>	Inventories for sale or use . . . . .			
	<b>9</b>	Prepaid expenses and deferred charges . . . . .			
	<b>10a</b>	Investments—U.S. and state government obligations (attach schedule) . . . . .	<b>152285</b>	<b>118758</b>	<b>18758</b>
	<b>b</b>	Investments—corporate stock (attach schedule) . . . . .	<b>3595108</b>	<b>4661542</b>	<b>4661542</b>
	<b>c</b>	Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b>	Investments—land, buildings, and equipment: basis ▶ . . . . .			
		Less: accumulated depreciation (attach schedule) ▶ . . . . .			
	<b>12</b>	Investments—mortgage loans . . . . .			
	<b>13</b>	Investments—other (attach schedule) . . . . .			
	<b>14</b>	Land, buildings, and equipment: basis ▶ . . . . .			
		Less: accumulated depreciation (attach schedule) ▶ . . . . .			
	<b>15</b>	Other assets (describe ▶ . . . . .)			
	<b>16</b>	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	<b>4072139</b>	<b>5481212</b>	<b>5481212</b>
<b>Liabilities</b>	<b>17</b>	Accounts payable and accrued expenses . . . . .			
	<b>18</b>	Grants payable . . . . .			
	<b>19</b>	Deferred revenue . . . . .			
	<b>20</b>	Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	<b>21</b>	Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b>	Other liabilities (describe ▶ . . . . .)			
	<b>23</b>	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			
<b>Net Assets or Fund Balances</b>		<b>Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b>	Unrestricted . . . . .			
	<b>25</b>	Temporarily restricted . . . . .			
	<b>26</b>	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.</b>			
	<b>27</b>	Capital stock, trust principal, or current funds . . . . .			
	<b>28</b>	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	<b>29</b>	Retained earnings, accumulated income, endowment, or other funds . . . . .	<b>4072139</b>	<b>5481212</b>	
	<b>30</b>	<b>Total net assets or fund balances</b> (see instructions) . . . . .	<b>4072139</b>	<b>5481212</b>	
	<b>31</b>	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	<b>4072139</b>	<b>5481212</b>	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b>	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	<b>4072139</b>
<b>2</b>	Enter amount from Part I, line 27a . . . . .	<b>2</b>	<b>661446</b>
<b>3</b>	Other increases not included in line 2 (itemize) ▶ <b>CHANGE IN UNREALIZED GAINS</b> . . . . .	<b>3</b>	<b>747627</b>
<b>4</b>	Add lines 1, 2, and 3 . . . . .	<b>4</b>	<b>5481212</b>
<b>5</b>	Decreases not included in line 2 (itemize) ▶ . . . . .	<b>5</b>	
<b>6</b>	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	<b>6</b>	<b>5481212</b>

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE ATTACHED WORKSHEET</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	<b>153455</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			<b>3</b>	<b>0</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	362875	3808178	9.53
2011	363094	3686267	9.85
2010	289763	3238952	8.95
2009	254525	3248118	7.84
2008	246110	3563605	6.91
<b>2</b> Total of line 1, column (d)			<b>2</b> <b>43.08</b>
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> <b>8.62</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			<b>4</b> <b>4457540</b>
<b>5</b> Multiply line 4 by line 3			<b>5</b> <b>384240</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> <b>2788</b>
<b>7</b> Add lines 5 and 6			<b>7</b> <b>387028</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> <b>313900</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>		<b>5576</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		
<b>3</b>	Add lines 1 and 2	<b>3</b>		<b>5576</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>		<b>5576</b>
<b>6</b>	<b>Credits/Payments:</b>			
<b>a</b>	2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>		<b>3139</b>
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>		<b>3139</b>
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		<b>2437</b>
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		✓
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		✓
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ► <b>IOWA</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	✓	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>		✓
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		✓

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<b>11</b>		✓
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		✓
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	<b>13</b>	✓	
Website address ▶				
<b>14</b>	The books are in care of ▶ <b>AY MCDONALD MFG CO</b>	Telephone no. ▶	<b>15635837311</b>	
	Located at ▶ <b>4800 CHAVENELLE RD, DUBUQUE, IA</b>	ZIP+4 ▶	<b>52002</b>	
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . ▶ <input type="checkbox"/>	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <b>15</b>		
<b>16</b>	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	<b>1b</b>	
	Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	<b>1c</b>	✓
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	✓
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	✓
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	<b>4b</b>	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services . . . . . ▶		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b> N/A	
Total. Add lines 1 through 3 . . . . . ▶	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	<b>4308874</b>
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	<b>437857</b>
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	<b>4746731</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	<b>4746731</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	<b>71201</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>4675530</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	<b>233777</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	<b>233777</b>
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5 . . . . .	<b>2a</b>	<b>5576</b>
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	<b>5576</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	<b>228201</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	<b>228201</b>
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	<b>228201</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	<b>313900</b>
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>313900</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	<b>313900</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				<b>228201</b>
<b>2</b> Undistributed income, if any, as of the end of 2013:				
<b>a</b> Enter amount for 2012 only . . . . .				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008 . . . . . <b>86702</b>				
<b>b</b> From 2009 . . . . . <b>116926</b>				
<b>c</b> From 2010 . . . . . <b>132085</b>				
<b>d</b> From 2011 . . . . . <b>179818</b>				
<b>e</b> From 2012 . . . . . <b>173932</b>				
<b>f</b> <b>Total</b> of lines 3a through e . . . . .	<b>689463</b>			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ► \$ <b>313900</b>				
<b>a</b> Applied to 2012, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2013 distributable amount . . . . .				<b>228201</b>
<b>e</b> Remaining amount distributed out of corpus . . . . .	<b>85699</b>			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	<b>775162</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	<b>86702</b>			
<b>9</b> <b>Excess distributions carryover to 2014.</b> Subtract lines 7 and 8 from line 6a . . . . .	<b>688460</b>			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009 . . . . . <b>116926</b>				
<b>b</b> Excess from 2010 . . . . . <b>132085</b>				
<b>c</b> Excess from 2011 . . . . . <b>179818</b>				
<b>d</b> Excess from 2012 . . . . . <b>173932</b>				
<b>e</b> Excess from 2013 . . . . . <b>85699</b>				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b>	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . ▶				
<b>b</b>	Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
<b>2a</b>	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .				
	<b>Tax year</b>	<b>Prior 3 years</b>			<b>(e) Total</b>
	<b>(a) 2013</b>	<b>(b) 2012</b>	<b>(c) 2011</b>	<b>(d) 2010</b>	
<b>b</b>	85% of line 2a . . . . .				<b>N/A</b>
<b>c</b>	Qualifying distributions from Part XII, line 4 for each year listed . . . . .				<b>N/A</b>
<b>d</b>	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				<b>N/A</b>
<b>e</b>	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .				<b>N/A</b>
<b>3</b>	Complete 3a, b, or c for the alternative test relied upon:				
<b>a</b>	"Assets" alternative test—enter:				
	(1) Value of all assets . . . . .				<b>N/A</b>
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .				<b>N/A</b>
<b>b</b>	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .				
					<b>N/A</b>
<b>c</b>	"Support" alternative test—enter:				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .				<b>N/A</b>
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .				<b>N/A</b>
	(3) Largest amount of support from an exempt organization . . . . .				<b>N/A</b>
	(4) Gross investment income . . . . .				<b>N/A</b>

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

<b>1</b>	<b>Information Regarding Foundation Managers:</b>
<b>a</b>	List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
<b>NONE</b>	
<b>b</b>	List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
<b>NONE</b>	
<b>2</b>	<b>Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
<b>a</b>	The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
<b>b</b>	The form in which applications should be submitted and information and materials they should include:
<b>c</b>	Any submission deadlines:
<b>d</b>	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>  <b>SEE ATTACHED SCHEDULE</b>	<b>ALL RECIPIENTS ARE PUBLIC CHARITIES</b>		<b>ALL CONTRIBUTIONS MADE IN 2013 WERE IN ACCORD- ANCE WITH FOUNDATIONS ARTICLE OF INCORP.SEC III</b>	
<b>Total</b>			<b>3a</b>	<b>313900</b>
<b>b</b> <i>Approved for future payment</i>  <b>N/A</b>				
<b>Total</b>			<b>3b</b>	<b>0</b>

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
<b>1</b> Program service revenue:						
<b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> _____						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments . . . . .						
<b>3</b> Interest on savings and temporary cash investments			<b>14</b>	<b>1879</b>		
<b>4</b> Dividends and interest from securities . . . . .			<b>14</b>	<b>100741</b>		
<b>5</b> Net rental income or (loss) from real estate:						
<b>a</b> Debt-financed property . . . . .						
<b>b</b> Not debt-financed property . . . . .						
<b>6</b> Net rental income or (loss) from personal property						
<b>7</b> Other investment income . . . . .			<b>14</b>	<b>22726</b>		
<b>8</b> Gain or (loss) from sales of assets other than inventory						
<b>9</b> Net income or (loss) from special events . . . .						
<b>10</b> Gross profit or (loss) from sales of inventory . .						
<b>11</b> Other revenue: <b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .				<b>125346</b>		
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .				<b>13</b>		<b>125346</b>

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash . . . . .	<b>1a(1)</b>	✓
	(2) Other assets . . . . .	<b>1a(2)</b>	✓
<b>b</b>	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization . . . . .	<b>1b(1)</b>	✓
	(2) Purchases of assets from a noncharitable exempt organization . . . . .	<b>1b(2)</b>	✓
	(3) Rental of facilities, equipment, or other assets . . . . .	<b>1b(3)</b>	✓
	(4) Reimbursement arrangements . . . . .	<b>1b(4)</b>	✓
	(5) Loans or loan guarantees . . . . .	<b>1b(5)</b>	✓
	(6) Performance of services or membership or fundraising solicitations . . . . .	<b>1b(6)</b>	✓
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .	<b>1c</b>	✓
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If “Yes,” complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [Signature] Date: 11/15/14 Title: C.F.O.

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

OMB No. 1545-0047

**2013**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

A.Y. MCDONALD MFG. CO. CHARITABLE

Employer identification number

426119514

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☒ 501(c)( 3 ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year . . . . . ▶ \$ .....

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

A.Y. MCDONALD MFG. CO. CHARITABLE

426119514

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	A.Y. MCDONALD MFG. CO 4800 CHAVENELLE RD DUBUQUE, IA 52004-0508	\$ 313,900	<b>Person</b> <input checked="" type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions.)



**A.Y. McDONALD CHARITABLE FOUNDATION**

**990PF PART I, LINE 11. SCHEDULE OF OTHER INCOME 2013**

<u>TYPE</u>	<u>DESCRIPTION</u>	<u>DATE</u> <u>ACQUIRED</u>	<u>DATE</u> <u>SOLD</u>	<u>COST</u> <u>BASIS</u>	<u>INCOME</u> <u>(LOSS)</u>
(Form 1065, Part III, Box 1)					
ORDINARY BUSINESS INC	BUCKEYE PARTNERS LTD			529	
ORDINARY BUSINESS INC	EL PASO PIPELINE PARTNERS			(687)	
ORDINARY BUSINESS INC	KINDER MORGAN ENERGY PARTNERS			(126)	
ORDINARY BUSINESS INC	SUBURBAN PROPANE PARTNERS LP			3,617	
					<u>\$3,333</u>

A.Y. McDONALD CHARITABLE FOUNDATION  
990PF PART IV CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

2013

TYPE	DESCRIPTION	SHARES	HOW ACQUIRED	DATE ACQUIRED	DATE SOLD	GROSS SALES PRICE	NET PROCEEDS	COST BASIS	GAIN (LOSS)
FIXED INCOME	FRANKLIN CUSTODIAN	4,551	P	09/15/86	07/26/13	29,673	29,673	35,004	(5,331)
FIXED INCOME	ISHARES TR BARCLAYS TIPS BOND	200	P	01/09/04	07/26/13	22,454	22,454	20,661	1,793
US EQUITIES	POTASH CORP OF SASK INC	1,000	P	12/28/11	04/18/13	38,242	38,242	42,247	(4,005)
FUND	FIDELITY SELECT HEALTHCARE	1,647	P	12/31/10	04/18/13	249,965	249,965	180,478	69,487
FUND	SECTR SPDR SBI INDUST ETF	3,100	P	12/31/10	04/18/13	125,261	125,261	88,418	36,844
						465,595	465,595	366,807	98,788

DATE  
PAID

LT CAP GAIN	DIVIDEND GROWTH FUND	P		12/17/13					\$566
LT CAP GAIN	GNMA FUND ADMIRAL SHARES	P		03/38/13					\$89
LT CAP GAIN	INTER-TERM INVEST-GR ADMIN FUND	P		03/28/13, 12/17/13					\$4,591
LT CAP GAIN	TOTAL BOND MARKET INDEX ADM FUND	P		03/28/13, 12/17/13					\$135
LT CAP GAIN	COHEN & STEERS REALTY - CSRSX	P		7/2/13, 12/16/13					\$8,682
LT CAP GAIN	FIDELITY SELECT HEALTH CARE	P		4/15/13, 12/23/13					\$38,825
LT CAP GAIN	METROPOLITAN WEST TOTAL RETURN BOND	P		12/16/13					\$656
LT CAP GAIN	PIMCO TOTAL RETURN INSTL CL - PTTRX	P		12/12/13					\$538
LT CAP GAIN	RAYONIER INC.	P		03/28/13, 06/28/13, 09/30/13, 12/31/13					\$540
LT CAP GAIN	BUCKEYE PARTNERS LTD	P		12/31/13					7
LT CAP GAIN	EL PASO PIPELINE PARTNERS	P		12/31/13					106
LT CAP GAIN	KINDER MORGAN ENERGY PARTNERS	P		12/31/13					(82)
LT CAP GAIN	SUBURBAN PROPANE PARTNERS LP	P		12/31/13					15

\$54,667

\$153,455

E=EXCHANGE  
D=DISTRIBUTION  
P=PURCHASED  
S=SPLIT  
SP=SPIN OFF

A.Y. McDONALD CHARITABLE FOUNDATION  
990PF PART VIII

2013

INFORMATION ABOUT OFFICER, DIRECTORS, TRUSTEES

NAME AND ADDRESS	TITLE AND AVG HRS /WEEK	CONTRIBUTIONS TO PLAN	EXP ACCT & OTHER ALLOWANCE	COMPENSATION
R D McDONALD II, DUBUQUE, IOWA	PRESIDENT	NONE	NONE	NONE
J M McDONALD III, LEXINGTON, KY	TREASURER	NONE	NONE	NONE
SARAH HASKEN, DUBUQUE, IOWA	V P & CORP SECRETARY	NONE	NONE	NONE
SCOTT KNAPP, DUBUQUE, IOWA	VICE PRESIDENT	NONE	NONE	NONE
JOHN SCHMIDT, DUBUQUE, IOWA	DIRECTOR	NONE	NONE	NONE

RECIPIENT NAME	RECIPIENT RELATIONSHIP	RECIPIENT STATUS	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT PAID FOR YEAR
<b>EDUCATIONAL</b>				
CLARKE UNIVERSITY, 1550 Clarke Drive, Dubuque, IA 52001-9932	NONE	PUBLIC CHARITY	- Science Building Construction & Scholarship Assistance	25,000 00
STEPHEN HEMPSTEAD HIGH SCHOOL, 3715 Pennsylvania Ave, Dubuque, IA 52002	NONE	PUBLIC CHARITY	- 3-Way Tie - City Championship recognition	350 00
HOLY FAMILY CATHOLIC SCHOOLS, 2005 Kane St, Dubuque, IA, 52001-0538	NONE	PUBLIC CHARITY	- Annual Business Appeal	2,000 00
IOWA COLLEGE FOUNDATION, Suite 1034, 505 5th Ave, Des Moines, IA 50309	NONE	PUBLIC CHARITY	- General Scholarship Program	5,000 00
LORAS COLLEGE, 1450 Alta Vista St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Loras Parkway & Student Aid Award	30,000 00
NORTHEAST IOWA COMMUNITY COLLEGE FDN, 8342 NICC Dr, Peosta, IA 52068-9703	NONE	PUBLIC CHARITY	- Assist students seeking support in advanced manufacturing	5,000 00
DUBUQUE SENIOR HS, RAMS ATHLETIC BOOSTER CLUB, 1800 Clarke Dr, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Rams Athletic Booster Club, 3-Way Tie - City Championship recognition	350 00
TWO BY TWO CAMPUS, INC, 620 S Grandview Ave, Dubuque, IA 52003	NONE	PUBLIC CHARITY	- 2013-14 Community Character Education Partner	1,000 00
UNIVERSITY OF DUBUQUE, 2000 University Ave, Dubuque, IA, 52001-5099	NONE	PUBLIC CHARITY	- \$5,000 Annual Funding, \$32,000 Indoors Track & Heritage Center	37,000 00
UI FOUNDATION, PO Box 4550, Iowa City, IA 52244-4550	NONE	PUBLIC CHARITY	- Hawkeye Arena Enhancement, General Scholarship Fund	10,500 00
WAHLERT CATHOLIC HS ATHLETICS, 2005 Kane St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- 3-Way Tie - City Championship recognition	350 00
				<b>116,550 00</b>
<b>CULTURAL ACTIVITIES</b>				
NATL MISSISSIPPI RIVER MUSEUM & AQUAR, 350 East 3rd St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- 100k Pledge, General Support, America's River Project	20,000 00
DUBUQUE SYMPHONY ORCHESTRA, 2728 Asbury Road, Suite 900, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Co-Sponsorship of Classic 3 Concert	4,500 00
EAGLES "EYES ON THE FUTURE" COMMITTEE, 1575 Wood St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Fraternal Order of Eagles, General Support	350 00
FIRST CONGREGATIONAL UCC, 255 W 10th St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Organ Restoration Fund	20,000 00
NATL MISSISSIPPI RIVER MUSEUM & AQUAR, 350 East 3rd St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- 2013 Annual Appeal	1,000 00
VSA ARTS OF DUBUQUE, PO Box 91, Dubuque, IA 52004-0091	NONE	PUBLIC CHARITY	- Support Locally Disabled Individuals Through the Arts	250 00
				<b>46,100 00</b>
<b>HUMAN SERVICES</b>				
AREA RESIDENTIAL CARE, 3355 Kennedy Circle, Dubuque, IA 52002	NONE	PUBLIC CHARITY	- Construction project	2,500 00
CAMP ALBRECHT ACRES, 14837 Shermil Rd, PO Box 50, Shermil, IA 52073-0050	NONE	PUBLIC CHARITY	- Donation to Acres of the Midwest	500 00
CENTER GROVE UNITED METHODIST CHURCH, 3140 Brunskill Rd, Dubuque, IA 52003	NONE	PUBLIC CHARITY	- Kids against Hunger Event	250 00
COMMUNITY FDN OF GREATER DBQ, 700 Locust St, Suite 195, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- DBQ Community Days of Canning 4/26-27, Big Day of Dubuque Event	200 00
COMPASS TO CARE, 120 West Madison St, Suite 702, Chicago, IL 60602	NONE	PUBLIC CHARITY	- Hole Sponsor of Goodmann Golf Tournament	200 00
DUBUQUE COMMUNITY YMCA/YWCA, 35 N Booth St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Strong Kid Annual Campaign	1,000 00
DUBUQUE FOOD PANTRY, PO Box 1664, Dubuque, IA 52004-1664	NONE	PUBLIC CHARITY	- Christmas Appeal	500 00
GINTER THANKSGIVING DINNER, PO Box 512, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Thanksgiving Dinner	250 00
HILLCREST FAMILY SERVICES, 2005 Asbury Rd, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Pledge Payment - Family Life Center Building Project	20,000 00
HILLS & DALES, 1011 Davis St, Dubuque, IA 52001-1397	NONE	PUBLIC CHARITY	- Replace Van and Older Station Wagon	1,000 00
HOSPICE OF DBQ, 1670 JFK Rd, Dubuque, IA 52002	NONE	PUBLIC CHARITY	- Sponsor of Best Fest 2013	500 00
MAKE-A-WISH OF IOWA, 3024 104th St, Urbandale, IA 50322-3220	NONE	PUBLIC CHARITY	- Kid's Fishing Derby for Local Boys & Girls Club	3,000 00
MARCH OF DIMES, 350 N Grandview Ave, Ste 3332, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Dubuque March for Babies 4/20/13	500 00
OPENING DOORS, 1561 Jackson St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- 2013 Annual Appeal to help women & children of opening doors	500 00
OPENING DOORS MARIA HOUSE & TERESA SHELTER, 1561 Jackson St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Year-end Appeal for Homeless Women & Children DBQ	250 00
VETERAN'S FREEDOM CENTER, "OPERATION WE CARE", 2245 Kerper Blvd, Suite 1, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- SUPPORT VETERANS AND/OR VETERAN'S FAMILIES W/SPECI/	1,000 00
HILLCREST LIGHTS FESTIVAL, 2005 Asbury Rd, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Town Clock Display, Reflections in the Park Lights Festival	4,000 00
RIVERVIEW CENTER, 2600 Dodge St, Dubuque, IA 52003	NONE	PUBLIC CHARITY	- Annual Dinner to support creating a community free of violence	500 00
RONALD MCDONALD HOUSE, 730 Hawkins Dr, Iowa City, IA 52246-2509	NONE	PUBLIC CHARITY	- Dubuque Family Fun Run/Walk	250 00
SHALOM RETREAT CENTER, 1001 Davis St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- ADA Accessible Main Entrance Door	250 00
SPECIAL OLYMPICS IOWA, PO Box 620, Grmes, IA 50111	NONE	PUBLIC CHARITY	- Dubuque Winter Games	1,000 00
ST MARK COMMUNITY CENTER, 1201 Locust St, Dubuque, IA 52001-4709	NONE	PUBLIC CHARITY	- Ice Golf 2013	200 00
STONEHILL BENEVOLENT FDN, 3485 Windsor Ave, Dubuque, IA 5201-1312	NONE	PUBLIC CHARITY	- Annual Appeal	1,000 00
STONEHILL FRANCISCAN SERVICES, 3485 Windsor Avenue, Dubuque, IA 52001-1312	NONE	PUBLIC CHARITY	- Pledge payment - Community Canning for Community Campaign	20,000 00
THE DBQ COMMUNITY Y'S DOMESTIC VIOLENCE SHELTER	NONE	PUBLIC CHARITY	- Nights of Safety Program	250 00
UNITED WAY SERVICES INC, 215 W 6th St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Pledge - "Live United" supporting local agencies	36,850 00
DUBUQUE COMM YMCA NEW BEGINNING, 35 N Booth St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Support Veterans and Their Families' Special Needs	250 00
				<b>96,700 00</b>

RECIPIENT NAME	RECIPIENT RELATIONSHIP	RECIPIENT STATUS	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT PAID FOR YEAR
<b>HEALTH</b>				
AMERICAN CANCER SOCIETY, 8364 Hickman Rd, Suite D, Des Moines, IA 50325	NONE	PUBLIC CHARITY	- Dubuque Relay for Life	1,000 00
AMERICAN RED CROSS, 2400 Asbury Rd, Dubuque, IA 52001-3076	NONE	PUBLIC CHARITY	- Save the Day Partnership, General Support	5,000 00
DUBUQUE MERCY HEALTH FOUNDATION, 250 Mercy Drive, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- ICU Project	5,000 00
FINLEY HEALTH FOUNDATION, 350 N Grandview Ave, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Pledge Payment - Wendt Regional Cancer Center	15,000 00
FINLEY HOSPITAL SUNSHINE CIRCLE, 350 N Grandview Ave, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Sunshine Fair + Luncheon to support patient care needs	250 00
VNA JUNIOR BOARD, 1454 Iowa St, PO Box 359, Dubuque, IA 52004-0359	NONE	PUBLIC CHARITY	- Annual Fall Gala, Support Immunizations and Services for Elderly and	1,000 00
				<u>27,250 00</u>
<b>PUBLIC AFFAIRS</b>				
CITY OF ASBURY LIBRARY BLDG FUND, 5900 Saratoga Plaza, Suite 5, Asbury, IA 52002	NONE	PUBLIC CHARITY	- Construction Asbury Community Project	5,000 00
DUBUQUE ARBORETUM & BOTANICAL GARDENS, 3800 Arboretum Dr, Dubuque, IA 52001-1040	NONE	PUBLIC CHARITY	- Pledge Payment - Securing the Future	3,000 00
DUBUQUE REGIONAL HUMANE SOCIETY, 4242 Chavenelle Rd, Dubuque, IA 52002	NONE	PUBLIC CHARITY	- New Animal Resource Center	10,000 00
				<u>18,000 00</u>
<b>YOUTH SERVICES</b>				
BIG BROTHERS BIG SISTERS, 2728 Asbury Rd, Ste 515, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Bowl for Kids' Sake 4/12/13, General Support	300 00
BOY SCOUTS OF AMERICA, NORTHEAST IA COUNCIL, PO Box 732, Dubuque, IA 52004-0732	NONE	PUBLIC CHARITY	- Dredge Lake John Deere At Camp C S Klaus	1,000 00
BOYS & GIRLS CLUB OF GREATER DUBUQUE, 1299 Locust St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Backboard Sponsor 3-on-3 shootout, General Support	1,450 00
COLTS DRUM & BUGLE CORPS, PO Box 515, Dubuque, IA 52004-0515	NONE	PUBLIC CHARITY	- "Building on our Legacy" Campaign	1,000 00
HY-VEE, 400 S Locust St, Dubuque, IA 52003	NONE	PUBLIC CHARITY	- KID'S FISHING DERBY FOR BENEFIT LOCAL BOYS & GIRLS CLUB	250 00
JUNIOR ACHIEVEMENT OF THE HEARTLAND, 800 12th Ave, Moline, IL 61265	NONE	PUBLIC CHARITY	- Partnership FY 12-13, relevant economic programs	4,200 00
ST MARK YOUTH ENRICHMENT, 1201 Locust St, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Community Outreach/Marketing Efforts	1,000 00
STORYBOOK HILL CHILDREN'S ZOO, 975 Grove Terrace, Dubuque, IA 52001	NONE	PUBLIC CHARITY	- Annual Appeal	100 00
				<u>9,300 00</u>
TOTAL CONTRIBUTIONS				<u>313,900 00</u>

**A.Y.McDONALD MFG. CO. CHARITABLE FOUNDATION**  
**AVERAGE VALUE OF SECURITIES**  
**12/31/2013**

	MARKET VALUE OF SECURITIES	INVESTMENT CASH	CHECKBOOK CASH	TOTAL
DECEMBER	3,747,393	134,695	194,052	3,340,157
JANUARY	3,932,267	493,437	169,560	4,595,264
FEBRUARY	3,974,272	498,842	141,916	4,615,030
MARCH	4,107,826	505,410	132,457	4,745,693
APRIL	3,747,704	920,101	104,460	4,772,266
MAY	4,487,555	116,119	63,612	4,667,286
JUNE	4,384,448	170,532	46,651	4,601,630
JULY	4,519,530	230,187	43,902	4,793,619
AUGUST	4,397,140	235,402	43,153	4,675,695
SEPTEMBER	4,526,878	194,158	77,442	4,798,478
OCTOBER	4,673,669	195,507	43,943	4,913,120
NOVEMBER	4,736,385	200,797	34,894	4,972,077
DECEMBER	4,780,300	624,729	76,183	5,481,212
	56,015,368	4,519,918	1,172,224	60,971,527
AVERAGE	4,308,874	347,686	90,171	4,746,731

**THE A. Y. McDONALD MFG. CO.**  
**CHARITABLE FOUNDATION**

FINANCIAL REPORT

December 31, 2013

To the Trustees  
The A. Y. McDonald Mfg. Co. Charitable Foundation

The accompanying balance sheets of the A. Y. McDonald Mfg. Co. Charitable Foundation have been prepared as of December 31, 2013 and 2012, along with related statements of income and foundation equity for the years then ended. These statements present the results of the Foundation's operations for the years ending December 31, 2013 and 2012, in conformity with generally accepted accounting principles applied on a consistent basis.

These statements were internally prepared using workpapers that summarize the statements received from Vanguard. No independent audit was performed in connection with these statements.

If you have any questions or comments, please feel free to contact me.

Scott S. Breitbach  
Cost Accountant



**THE A.Y. MCDONALD MFG. CO.  
CHARITABLE FOUNDATION  
BALANCE SHEET  
December 31, 2013 and 2012**

	<u>2013</u>	<u>2012</u>
<b><u>ASSETS</u></b>		
CASH	\$ <u>700,912</u>	\$ <u>324,746</u>
<b><u>INVESTMENTS AT MARKET</u></b>		
Notes, bonds, and preferred stock		
(cost 2013 \$75,548 2012 \$131,213 )	64,241	121,046
Equities		
(cost 2013 \$237,194 2012 \$279,441 )	438,433	423,915
Funds		
(cost 2013 \$2,952,940 2012 \$2,413,456 )	3,995,661	2,948,276
Other Investments		
(cost 2013 \$147,856 2012 \$147,856 )	- 281,965	254,155
TOTAL INVESTMENTS	\$ <u>4,780,300</u>	\$ <u>3,747,393</u>
<b>TOTAL ASSETS</b>	<b>\$ <u>5,481,212</u></b>	<b>\$ <u>4,072,139</u></b>

**LIABILITIES AND FOUNDATION EQUITY**

LIABILITIES, None	\$ <u>0</u>	\$ <u>0</u>
FOUNDATION EQUITY	\$ 4,204,850	3,389,444
UNREALIZED GAINS (LOSSES)	<u>1,276,362</u>	<u>682,695</u>
<b>TOTAL LIABILITIES</b>	<b>\$ <u>5,481,212</u></b>	<b>\$ <u>4,072,139</u></b>

# THE A. Y. McDONALD MFG. CO.

## CHARITABLE FOUNDATION

### STATEMENTS OF ACTIVITIES

Years Ended December 31, 2013 and 2012

	<u>2013</u>	<u>2012</u>
Operating revenues and support:		
Contributions from affiliates	\$ 850,000	\$ 350,000
Investment Income	125,346	95,354
Net realized gains (losses) on investments	153,455	52,735
Unrealized gains (losses)	593,667	198,107
Miscellaneous	0	0
	<u>1,722,468</u>	<u>696,196</u>
Operating expenses:		
Donations	313,900	362,875
Administrative and general	0	102
Taxes	0	1,500
Miscellaneous	0	0
	<u>313,900</u>	<u>364,477</u>
<b>Change in net assets</b>	<b>\$ 1,408,568</b>	<b>331,719</b>
Unrestricted net assets:		
Beginning	4,072,139	3,740,420
Ending	<u>\$ 5,480,708</u>	<u>\$ 4,072,139</u>

**THE A. Y. McDONALD MFG. CO.**  
**CHARITABLE FOUNDATION**  
**STATEMENT OF FOUNDATION EQUITY**  
**Years Ended December 31, 2013 and 2012**

	<u>2013</u>	<u>2012</u>
Foundation Equity Balance, beginning	\$ 3,389,444	\$ 3,255,832
Add net income	814,901	133,613
Foundation Equity Balance, ending	\$ <u>4,204,346</u>	\$ <u>3,389,444</u>

**A. Y. McDONALD MFG. CO.**  
**CHARITABLE FOUNDATION**  
**Balance Sheet Detail**  
**Investments**

<u>Par Value or Number of Shares</u>	<u>Description</u>	<b>December 31, 2013</b>	
		<u>Market Value</u>	<u>Cost</u>
	<u>U. S. GOVERNMENT &amp; AGENCY ISSUES:</u>		
11,397	Vanguard GNMA Fund Admiral Shares	118,758	122,076
	<b>TOTAL U. S. GOVERNMENT &amp; AGENCY ISSUES, NOTES &amp; BONDS</b>	<u><b>118,758</b></u>	<u><b>122,076</b></u>

**A. Y. MCDONALD MFG. CO.  
CHARITABLE FOUNDATION  
Balance Sheet Detail**

December 31, 2013

CASH	Market Value	Cost
<b><u>CASH AND CASH EQUIVALENTS</u></b>		
PRIME MONEY MKT FUND	624,729	-
DB&T CHECKING ACCOUNT	76,183	-
<b>TOTAL CASH INVESTMENTS</b>	<u>700,912</u>	<u>-</u>
 <b>INVESTMENTS</b>		
<b><u>EQUITIES</u></b>		
AMERICAN WATER WORKS COMPANY	84,520	45,436
BHP BILLITON LIMITED SPONS ADR	23,870	24,066
CALIFORNIA WTR SVC GROUP	46,140	25,346
DUKE POWER CO	72,668	44,961
GDF SUEZ SONS ADR	22,581	16,539
RAYONIER INC.	31,575	20,506
SPECTRA ENERGY	56,280	32,421
UNION PACIFIC	100,800	27,920
<b>TOTAL EQUITIES</b>	<u>438,433</u>	<u>237,194</u>
 <b><u>NOTES/BONDS/PREFERRED STOCKS</u></b>		
LOWES	28,001	25,548
STRATS WAL-MART FLTG RT	36,240	50,000
<b>TOTAL PREFERRED STOCK</b>	<u>64,241</u>	<u>75,548</u>
 <b><u>OTHER INVESTMENTS</u></b>		
BUCKEYE PARTNERS L P	35,505	22,873
EL PASO PIPELINE PARTNERS LP	72,000	51,337
KINDER MORGAN ENERGY	80,660	34,960
SUBURBAN PROPANE PARTNERS	93,800	38,686
<b>TOTAL OTHER INVESTMENTS</b>	<u>281,965</u>	<u>147,857</u>
 <b><u>FUNDS</u></b>		
COHEN & STEERS REALTY	267,602	313,728
DODGE & COX INCOME	99,379	102,278
FIDELITY SELECT HEALTHCARE	522,001	320,027
METROPOLITAN WEST TOTAL RETURN BOND CL I	107,790	112,403
PIMCO TOTAL RETURN INSTL CL	96,523	101,977
T ROWE PRICE REAL ESTATE	45,592	50,863
ISHARES S&P GLBL TCOM SEC ETF	114,421	85,307
ISHARES TRUST S&P LATIN AMERN 40 INDEX	37,040	50,244
PWRSH EXCH AEROSPACE ETF	268,410	143,873
SECTR SPDR CONS STAPLE ETF	262,178	163,679
SECTR SPDR SBI INDUST ETF	386,724	211,061
SPDR S&P INTL INDUST SECTOR ETF	126,400	91,846
SPDR S&P REGNL BANKING ETF	128,937	72,979
VANGUARD ENERGY ETF	461,470	281,476
VANGUARD HEALTH CARE ETF	154,683	77,487
VANGUARD INFO TECH ETF	179,080	104,690
VANGUARD MATERIALS ETF	57,820	35,841
VANGUARD UTILITIES ETF	91,604	70,316
DIVIDEND GROWTH FUND	117,896	71,943
GNMA ADMIRAL INVESTORS	118,758	122,076
INTER-TERM INVEST-GR ADMIN	249,239	259,755
REIT INDEX FUND ADMIN	44,046	51,504
TOTAL BOND MKT INDEX ADM	58,069	57,588
<b>TOTAL OTHER INVESTMENTS</b>	<u>3,995,661</u>	<u>2,952,940</u>
 <b>TOTAL CASH/ INVESTMENTS</b>		
	<u>5,481,212</u>	<u>3,413,539</u>

**EDUCATIONAL**

CLARKE UNIVERSITY  
HEMPSTEAD HIGH SCHOOL FOOTBALL  
HOLY FAMILY CATHOLIC SCHOOLS  
IOWA COLLEGE FOUNDATION  
LORAS COLLEGE  
NORTHEAST IOWA COMMUNITY COLLEGE FDN  
RAMS ATHLETIC BOOSTER CLUB  
TWO BY TWO  
UI FOUNDATION  
UNIVERSITY OF DUBUQUE  
UNIVERSITY OF IOWA FDN  
WAHLERT ATHLETICS

**PURPOSE OF GRANT OR CONTRIBUTION**

- Science Building Construction & Scholarship Assistance	25,000 00
- 3-Way Tie - City Championship recognition	350 00
- Annual Business Appeal	2,000 00
- Carver Hawkeye building project	5,500 00
- Loras Parkway & Student Aid Award	30,000 00
- Assist students seeking support in advanced manufacturing	5,000 00
- 3-Way Tie - City Championship recognition	350 00
- 2013-14 Community Character Education Partner	1,000 00
- General Support for the University	5,000 00
- \$5,000 Annual Funding, \$32,000 Indoors Track & Hentage Center	37,000 00
- Hawkeye Arena Enhancement	5,000 00
- 3-Way Tie - City Championship recognition	350 00
	<u>116,550 00</u>

**CULTURAL ACTIVITIES**

AMERICA'S RIVER II  
DUBUQUE SYMPHONY ORCHESTRA  
EAGLES "EYES ON THE FUTURE"  
FIRST CONGREGATIONAL UCC  
NATL MISSISSIPPI RIVER MUSEUM & AQUAR  
VSA ARTS OF DUBUQUE

- 100k Pledge	20,000 00
- Co-Sponsorship of Classic 3 Concert	4,500 00
- Fraternal Order of Eagles	350 00
- Organ Restoration Fund	20,000 00
- 2013 Annual Appeal	1,000 00
- Support Locally Disabled Individuals Through the Arts	250 00
	<u>46,100 00</u>

**HUMAN SERVICES**

AREA RESIDENTIAL CARE  
CAMP ALBRECHT  
CENTER GROVE UNITED METHODIST CHURCH  
COMMUNITY FDN OF GREATER DBQ  
COMPASS TO CARE  
DUBUQUE COMMUNITY YMCA/YWCA  
DUBUQUE FOOD PANTRY  
GINTER THANKSGIVING DINNER  
GROUP WORKCAMP FOUNDATION  
HILLCREST FAMILY SERVICES  
HILLS & DALES  
HOSPICE OF DBQ  
MAKE-A-WISH OF IOWA  
MARCH OF DIMES  
OPENING DOORS  
OPENING DOORS MARIA HOUSE & TERESA SHELTER  
OPERATION WE CARE  
REFLECTIONS IN THE PARK-A HILLCREST LIGHTS FESTIVAL  
RIVERVIEW CENTER  
RONALD McDONALD HOUSE  
SHALOM RETREAT CENTER  
SPECIAL OLYMPICS IOWA  
ST MARK COMMUNITY CENTER  
STONEHILL BENEVOLENT FDN  
STONEHILL FRANCISCAN SERVICES  
THE DBQ COMMUNITY Y'S DOMESTIC VIOLENCE SHELTER  
UNITED Way SERVICES INC  
YMCA New Beginning

- Construction project	2,500 00
- Donation to Acres of the Midwest	500 00
- Kids against Hunger Event	250 00
- DBQ Community Days of Canng 4/26-27/13	100 00
- Hole Sponsor of Goodmann Golf Tournament	200 00
- Strong Kid Annual Campaign	1,000 00
- Christmas Appeal	500 00
- Thanksgiving Dinner	250 00
- Big Day of Dubuque Event 5/4/13	100 00
- Pledge Payment - Family Life Center Building Project	20,000 00
- Replace Van and Older Station Wagon	1,000 00
- Sponsor of Best Fest 2013	500 00
- Kid's Fishing Derby for Local Boys & Girls Club	3,000 00
- Dubuque March for Babies 4/20/13	500 00
- 2013 Annual Appeal to help women & children of opening doors	500 00
- Year-end Appeal for Homeless Women & Children DBQ	250 00
- SUPPORT VETERANS AND/OR VETERAN'S FAMILIES W/SPECIA	1,000 00
- Town Clock Display	4,000 00
- Annual Dinner to support creating a community free of violence	500 00
- Dubuque Family Fun Run/Walk	250 00
- ADA Accessible Main Entrance Door	250 00
- Dubuque Winter Games	1,000 00
- Ice Golf 2013	200 00
- Annual Appeal	1,000 00
- Pledge payment - Community Caring for Community Campaign	20,000 00
- Nights of Safety Program	250 00
- Pledge - "Live United" supporting local agencies	36,850 00
- Support Veterans and Their Families' Special Needs	250 00
	<u>96,700 00</u>

**HEALTH**

AMERICAN CANCER SOCIETY  
AMERICAN RED CROSS  
DUBUQUE MERCY HEALTH FOUNDATION  
FINLEY HEALTH FOUNDATION  
FINLEY HOSPITAL SUNSHINE CIRCLE  
VNA JUNIOR BOARD

- Dubuque Relay for Life	1,000 00
- Save the Day Partnership, General Support	5,000 00
- ICU Project	5,000 00
- Pledge Payment - Wendt Regional Cancer Center	15,000 00
- Sunshine Fair + Luncheon to support patient core needs	250 00
- Annual Fall Gala	1,000 00
	<u>27,250 00</u>

**PUBLIC AFFAIRS**

CITY OF ASBURY LIBRARY BLDG FUND  
DUBUQUE ARBORETUM & BOTANICAL GARDENS  
DUBUQUE REGIONAL HUMANE SOCIETY

- Construction Asbury Community Project	5,000 00
- Pledge Payment - Securing the Future	3,000 00
- New Animal Resource Center	10,000 00
	<u>18,000 00</u>

**YOUTH SERVICES**

BIG BROTHERS BIG SISTERS  
BOY SCOUTS OF AMERICA  
BOYS & GIRLS CLUB OF GREATER DUBUQUE  
COLTS DRUM & BUGLE CORPS  
HY-VEE  
JUNIOR ACHIEVEMENT OF THE HEARTLAND  
ST MARK YOUTH ENRICHMENT  
STORYBOOK HILL CHILDREN'S ZOO

- Bowl for Kids' Sake 4/12/13, General Support	300 00
- Dredge Lake John Deere At Camp C S Klaus	1,000 00
- Backboard Sponsor 3-on-3 shootout, General Support	1,450 00
- "Building on our Legacy" Campaign	1,000 00
- KID'S FISHING DERBY FOR BENEFIT LOCAL BOYS & GIRLS CLU	250 00
- Partnership FY 12-13, relevant economic programs	4,200 00
- Community Outreach/Marketing Efforts	1,000 00
- Annual Appeal	100 00
	<u>9,300 00</u>

TOTAL CONTRIBUTIONS

313,900 00

**A. Y. McDONALD MFG. CO.**  
**CHARITABLE FOUNDATION**  
**Summary of Cash Receipts and Disbursements**

Year Ended  
12/31/2013

Proceeds from sales of securities:

Common Stocks	\$ 413,468	
Bonds & Notes	<u>52,126</u>	\$ 465,594
Dividends		55,785
Interest		1,767
Capital Gains		343
Other		34,537
Principle		0
Funding from A. Y. McDonald Mfg, Inc.		850,000

\$ 1,408,027

Purchase of securities:

Common Stocks/ Funds	\$ 660,105	
Bonds & Notes	<u>0</u>	\$ 660,105

Income Tax Payment	0
Contributions to Charitable Organizations	313,900
Management Fees & Miscellaneous	0

\$ 974,005

Increase (decrease) in cash during the year \$ 434,022

Cash balance, beginning \$ 266,892

Cash balance, ending \$ 700,914

**A.Y. MCDONALD MFG. CO.  
CHARITABLE FOUNDATION  
YEAR END HISTORY**

	<u>DB&amp;T CHECKING</u>	<u>VANGUARD MONEY MARKET</u>	<u>VANGUARD INVESTMENTS</u>	<u>TOTAL ASSETS</u>	<u>TOTAL DONATIONS</u>
<u>1994</u>	\$1,762	\$13,659	\$670,910	\$686,331	\$92,764
<u>1995</u>	\$5,482	\$32,371	\$704,897	\$742,750	\$96,483
<u>1996</u>	\$11,828	\$21,516	\$681,472	\$714,816	\$92,430
<u>1997</u>	\$19,542	\$127,714	\$1,448,608	\$1,595,864	\$91,633
<u>1998</u>	\$3,258	\$67,779	\$1,713,127	\$1,784,164	\$104,472
<u>1999</u>	\$6,770	\$105,178	\$1,768,345	\$1,880,293	\$155,648
<u>2000</u>	\$2,084	\$57,360	\$1,821,976	\$1,881,420	\$152,785
<u>2001</u>	\$1,536	\$14,271	\$2,614,389	\$2,630,196	\$193,361
<u>2002</u>	\$2,758	\$49,506	\$2,173,972	\$2,226,236	\$193,928
<u>2003</u>	\$78,255	\$60,024	\$2,494,368	\$2,632,647	\$178,642
<u>2004</u>	\$46,191	\$58,511	\$2,740,653	\$2,845,355	\$160,292
<u>2005</u>	\$325,696	\$69,951	\$2,455,772	\$2,851,419	\$406,980
<u>2006</u>	\$220,168	\$68,909	\$3,231,743	\$3,520,820	\$207,790
<u>2007</u>	\$30,591	\$273,740	\$3,499,782	\$3,804,113	\$215,740
<u>2008</u>	\$53,943	\$349,157	\$2,433,014	\$2,836,114	\$246,110
<u>2009</u>	\$43,375	\$252,273	\$3,044,509	\$3,340,157	\$254,525
<u>2010</u>	\$65,169	\$124,839	\$3,430,472	\$3,620,479	\$289,763
<u>2011</u>	\$104,497	\$162,395	\$3,473,529	\$3,740,420	\$363,094
<u>2012</u>	\$190,052	\$134,695	\$3,747,393	\$4,072,139	\$362,875
<u>2013</u>	\$76,183	\$624,729	\$4,780,300	\$5,481,212	\$313,900

DUE TO NEW GAAP REQUIREMENTS, EFFECTIVE 2001 WE WERE REQUIRED TO RECOGNIZE UNREALIZED GAINS AND LOSSES. THEREFORE WE ARE NOW REPORTING FAIR MARKET VALUES. PRIOR TO 2001 WE WERE REPORTING HISTORICAL COSTS.



- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	A.Y. MCDONALD CHARITABLE FOUNDATION	42-6119514
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	P.O. BOX 508	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	DUBUQUE, IA 52004-0508	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

AY MCDONALD MFG CO

- The books are in the care of ☒ 4800 CHAVENELLE RD - DUBUQUE, IA 52002

Telephone No. ☒ 563-583-7311

Fax No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013, or other tax year beginning                     , and ending                     

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

**ADDITIONAL TIME IS REQUIRED IN ORDER TO GATHER THE INFORMATION  
NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.**

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	3,139.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	3,139.
c <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title ☒ DIRECTOR

Date ☐

Form 8868 (Rev. 1-2014)