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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

A Employer identification number

SEHGAL FAMILY FOUNDATION

42-1477858

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

100 COURT AVENUE, SUITE 211

B Telephone number

515-288-0010

City or town, state or province, country, and ZIP or foreign postal code

DES MOINES, IA 50309

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒ Cash☐ AccrualF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(from Part II, col. (c), line 16)

☐ Other (specify)

\$ 43,859,422. (Part I, column (d) must be on cash basis.)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

Operating and Administrative Expenses

1 Contributions, gifts, grants, etc., received

773,680.

N/A

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

68,742.

68,742.

STATEMENT 1

4 Dividends and interest from securities

1,204,597.

1,204,597.

STATEMENT 2

5a Gross rents

596,963.

596,963.

STATEMENT 3

b Net rental income or (loss) 596,963.

6a Net gain or (loss) from sale of assets not on line 10

1,432,029.

b Gross sales price for all assets on line 6a 14,325,743.

7 Capital gain net income (from Part IV, line 2)

1,432,029.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

120,745.

113,403.

STATEMENT 4

12 Total. Add lines 1 through 11

4,196,756.

3,415,734.

13 Compensation of officers, directors, trustees, etc

154,800.

0.

154,800.

14 Other employee salaries and wages

15 Pension plans, employee benefits

28,152.

0.

28,152.

16a Legal fees STMT 5

13,083.

0.

13,083.

b Accounting fees

c Other professional fees STMT 6

374,857.

151,489.

223,368.

17 Interest

4,563.

4,563.

0.

18 Taxes

STMT 7

100,688.

38,125.

11,863.

19 Depreciation and depletion

20 Occupancy

10,522.

0.

10,522.

21 Travel, conferences, and meetings

47,004.

0.

47,004.

22 Printing and publications

267.

0.

267.

23 Other expenses STMT 8

3,078,919.

480,788.

2,339,505.

24 Total operating and administrative expenses. Add lines 13 through 23

3,812,855.

674,965.

2,828,564.

25 Contributions, gifts, grants paid

26,703.

26,703.

26 Total expenses and disbursements. Add lines 24 and 25

3,839,558.

674,965.

2,855,267.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

357,198.

b Net investment income (if negative, enter -0-)

2,740,769.

c Adjusted net income (if negative, enter -0-)

N/A

323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,271,039.	2,151,307.	2,151,307.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	208,914.		
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 250,000.			
	Less: allowance for doubtful accounts ▶ 0.	250,000.	250,000.	250,000.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10	38,497,930.	39,186,779.	41,458,115.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	41,227,883.	41,588,086.	43,859,422.	
Liabilities	17 Accounts payable and accrued expenses	5,012.	7,805.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	5,012.	7,805.	
	Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐		
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here ▶ ☒				
27 Capital stock, trust principal, or current funds		0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds		41,222,871.	41,580,281.	
30 Total net assets or fund balances	41,222,871.	41,580,281.		
31 Total liabilities and net assets/fund balances	41,227,883.	41,588,086.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	41,222,871.
2 Enter amount from Part I, line 27a	2	357,198.
3 Other increases not included in line 2 (itemize) ▶ BOOK TO TAX TIMING DIFFERENCES	3	212.
4 Add lines 1, 2, and 3	4	41,580,281.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	41,580,281.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 14,325,743.		13,736,939.	1,432,029.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			1,432,029.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,432,029.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	2,857,124.	44,267,999.	.064542
2011	2,831,609.	44,979,145.	.062954
2010	4,191,968.	45,060,446.	.093030
2009	2,282,293.	43,011,275.	.053063
2008	2,683,708.	56,980,388.	.047099

2 Total of line 1, column (d)	2	.320688
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.064138
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	45,570,479.
5 Multiply line 4 by line 3	5	2,922,799.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	27,408.
7 Add lines 5 and 6	7	2,950,207.
8 Enter qualifying distributions from Part XII, line 4	8	2,855,267.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	54,815.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	54,815.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	54,815.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	78,840.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	30,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	108,840.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	54,025.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
4b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) IA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.SMSFOUNDATION.ORG	13	X	
14	The books are in care of ► JANET M. GAGE Telephone no. ► 515-288-0010 Located at ► 100 COURT AVENUE, SUITE 211, DES MOINES, IA ZIP+4 ► 50309			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► INDIA	16	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)		3b X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**

Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b** ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		154,800.	26,024.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 WATER MANAGEMENT: THE FOUNDATION WORKS TO ENSURE THAT SAFE DRINKING WATER IS AVAILABLE THROUGHOUT RURAL GURGAON AND TO PROMOTE "WATER LITERACY."	434,786.
2	
SEE STATEMENT 12	289,056.
3 INSTITUTIONAL CAPACITY BUILDING: THE FOUNDATION, THROUGH ITS CAPACITY BUILDING CENTER, AIMS TO CREATE AN EMPOWERED AND INVOLVED SOCIETY IN RURAL GURGAON.	232,676.
4 SUSTAINABLE AGRICULTURE: THE FOUNDATION WORKS TO ASSIST SMALL-SCALE FARMERS TO INCREASE AGRICULTURAL PRODUCTIVITY AND INCOME USING CUSTOMIZED AND SUSTAINABLE PRACTICES.	203,790.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	40,623,734.
b Average of monthly cash balances	1b	1,630,547.
c Fair market value of all other assets	1c	4,010,165.
d Total (add lines 1a, b, and c)	1d	46,264,446.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	46,264,446.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	693,967.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	45,570,479.
6 Minimum investment return. Enter 5% of line 5	6	2,278,524.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	2,278,524.
2a Tax on investment income for 2013 from Part VI, line 5	2a	54,815.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	54,815.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	2,223,709.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	2,223,709.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,223,709.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,855,267.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,855,267.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,855,267.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,223,709.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			855,996.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 2,855,267.				
a Applied to 2012, but not more than line 2a			855,996.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,999,271.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				224,438.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- | | |
|----------------|--|
| Part XV | Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.) |
|----------------|--|

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

Part XV Supplementary Information (continued)**3 . Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT D				26,703.
Total			3a	26,703.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	68,742.	
4	Dividends and interest from securities			14	1,204,597.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property			16	596,963.	
6	Net rental income or (loss) from personal property					
7	Other investment income			14	113,403.	
8	Gain or (loss) from sales of assets other than inventory			18	1,432,029.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a	UBTI FROM PASSTHROUGHS	523000	7,342.			
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		7,342.		3,415,734.	0.
13	Total. Add line 12, columns (b), (d), and (e)				3,423,076.	

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

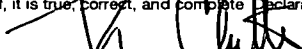
Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule. | | |
|--|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| N/A | | |
| | | |
| | | |
| | | |
| | | |

Sign Here  Signature of officer or trustee	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.			May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No	
	Date <u>11/13/14</u>	Title <u>Director</u>			
Paid Preparer Use Only	Print/Type preparer's name STEVEN J. ROY	Preparer's signature 	Date <u>11/10/14</u>	Check ☐ if self-employed	PTIN P01202297
	Firm's name ▶ NYEMASTER GOODE, P.C.				Firm's EIN ▶ 42-1154516
	Firm's address ▶ 700 WALNUT STREET, SUITE 1600 DES MOINES, IA 50309-3899				Phone no. (515) 283-3100

Form **990-PF** (2013)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Employer identification number

SEHGAL FAMILY FOUNDATION**42-1477858**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

SEHGAL FAMILY FOUNDATION

42-1477858

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	COCA COLA FOUNDATION 1 COCA COLA PLAZA NW ATLANTA, GA 30313	\$ 375,694.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	UNIVERSITY OF IOWA 107 CALVIN HALL IOWA CITY, IA 52242	\$ 22,268.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
3	TREES FOR LIFE 3006 ST LOUIS ST WICHITA, KS 67203	\$ 9,435.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	YORK UNIVERSITY 4700 KEELE STREET TORONTO, ONTARIO, CANADA	\$ 9,909.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
5	EMBASSY OF JAPAN PLOT 4&5, 50-G, SHANTIPATH, CHANAKYAPURI NEW DELHI, INDIA	\$ 83,386.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
6	THE MOSAIC COMPANY FOUNDATION 3033 CAMPUS DRIVE PLYMOUTH, MN 55441	\$ 47,075.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization SEHGAL FAMILY FOUNDATION	Employer identification number 42-1477858
---	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	COCA COLA INDIA FOUNDATION ENKAY TOWER, UDYOG VIHAR PHASE V GURGAON, INDIA	\$ 41,563.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
8	MOSAIC 111, RECTANGLE-1, SAKET DISTRICT CENTRE NEW DELHI, INDIA	\$ 80,621.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
9	HARYANA SCHOOL SHIKSHA PARIYOJNA PARISHAD GOVERNMENT OF HARYANA, PANCHKULA HARYANA, INDIA	\$ 14,858.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
10	NABARD PLOT NO. 3, SECTOR 34A CHANDIGARH, INDIA	\$ 6,386.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
11	SIR RATTAN TATA TRUST BOMBAY HOUSE, HOMI MODY STREET MUMBAI, INDIA	\$ 8,595.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
12	MINISTRY OF AGRICULTURE ATMA, GOVERNMENT OF INDIA NEW DELHI, INDIA	\$ 29,481.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Employer identification number

42-1477858

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization

Employer identification number

SEHGAL FAMILY FOUNDATION**42-1477858**

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

SEHGAL FAMILY FOUNDATION

Part IV. Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a K-1 ABERDEEN GLOBAL EQUITY FUND			
b K-1 ABERDEEN GLOBAL EQUITY FUND			
c K-1 ABERDEEN GLOBAL HIGH INCOME FUND			
d K-1 ABERDEEN GLOBAL HIGH INCOME FUND			
e K-1 COLCHESTER GLOBAL BOND FUND			
f K-1 COLCHESTER GLOBAL BOND FUND			
g K-1 DAVIDSON KEMPNER INST PARTNERS			
h K-1 DAVIDSON KEMPNER INST PARTNERS			
i K-1 DAVIDSON KEMPNER INST PARTNERS 1256			
j K-1 DAVIDSON KEMPNER INST PARTNERS 1256			
k K-1 GS CAPITAL PARTNERS V INSTITUTIONAL			
l K-1 GS CAPITAL PARTNERS V INSTITUTIONAL AIV			
m K-1 GARGOYLE HEDGED VALUE FUND			
n K-1 GARGOYLE HEDGED VALUE FUND			
o K-1 GARGOYLE HEDGED VALUE FUND 1256			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-16,869.
b			-2,303.
c			64,796.
d			69,195.
e			-561.
f			5,294.
g			16,964.
h			53,102.
i			10.
j			16.
k			316,486.
l			-43,320.
m			37,080.
n			168,988.
o			-63,672.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-16,869.
b			-2,303.
c			64,796.
d			69,195.
e			-561.
f			5,294.
g			16,964.
h			53,102.
i			10.
j			16.
k			316,486.
l			-43,320.
m			37,080.
n			168,988.
o			-63,672.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a K-1 GARGOYLE HEDGED VALUE FUND 1256				
b K-1 LS POWER EQUITY PARTNERS PIE I				
c K-1 LS POWER EQUITY PATNERS II PIE A				
d K-1 LS POWER EQUITY PATNERS II PIE				
e K-1 SWANK MLP CONVERGENCE FUND				
f K-1 TRADEWINDS FUND				
g NORTHERN TRUST ACCT 17-53726 (DETAIL ON STMT A)		P	VARIOUS	VARIOUS
h NORTHERN TRUST FORWARD FX CONTRACTS		P		
i NORTHERN TRUST CASH TRANSLATION LOSSES		P		
j BROOKFIELD PROPERTY PARTNERS		P	VARIOUS	04/16/13
k NABORS INSDUSTIRES LTD		P	02/14/11	04/10/13
l TRANSOCEAN LTD		P	02/14/11	09/19/13
m NORTHERN TRUST ACCT 26-55721 (DETAIL ON STMT B)		P	VARIOUS	VARIOUS
n AMERICAN TOWER CORP		P	01/09/13	08/06/13
o AMERICAN CAMPUS COMMUNITY		P	01/09/13	08/16/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-95,507.
b			-72,371.
c			14,147.
d			382,876.
e			189.
f			20,460.
g 7,280,538.		6,690,416.	590,122.
h			-5,612.
i			-6,163.
j 724.		618.	106.
k 4,113.		7,270.	-3,157.
l 51,890.		79,043.	-27,153.
m 3,623,164.		3,601,104.	22,060.
n 16,851.		18,655.	-1,804.
o 14,167.		19,374.	-5,207.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-95,507.
b			-72,371.
c			14,147.
d			382,876.
e			189.
f			20,460.
g			590,122.
h			-5,612.
i			-6,163.
j			106.
k			-3,157.
l			-27,153.
m			22,060.
n			-1,804.
o			-5,207.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

SEHGAL FAMILY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SIMON PROPERTY GROUP INC	P	01/09/13	11/11/13
b RAYONIER INC REIT	P	01/09/13	11/11/13
c BNY MELLON	P	VARIOUS	VARIOUS
d TRADEWINDS FUND LP	P	01/01/07	04/29/13
e LLOYDMINSTER CANADIAN OPP FUND	P	08/01/07	11/01/13
f CAPITAL GAINS DIVIDENDS			
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,907.		10,391.	-484.
b 13,762.		16,696.	-2,934.
c 2,794,711.		2,815,653.	-20,942.
d 513,346.		477,719.	35,627.
e 202.			202.
f 2,368.			2,368.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-484.
b			-2,934.
c			-20,942.
d			35,627.
e			202.
f			2,368.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,432,029.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST CHECKING	2.	2.	
S.M. SEHGAL FOUNDATION INDIA	68,740.	68,740.	
TOTAL TO PART I, LINE 3	68,742.	68,742.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BNY MELLON	135,478.	0.	135,478.	135,478.	
GOLDMAN SACHS	218.	0.	218.	218.	
GS MEZZANINE PARTNERS	260,201.	0.	260,201.	260,201.	
GS VINTAGE FUND IV	123,465.	0.	123,465.	123,465.	
K-1 ABERDEEN GLOBAL EQUITY FUND	27,872.	0.	27,872.	27,872.	
K-1 ABERDEEN GLOBAL HIGH INCOME FUND	201,586.	0.	201,586.	201,586.	
K-1 COLCHESTER GLOBAL BOND FUND	28,163.	0.	28,163.	28,163.	
K-1 DAVIDSON KEMPNER INST PARTNERS	70,689.	0.	70,689.	70,689.	
K-1 GARGOYLE HEDGED VALUE FUND	19,838.	0.	19,838.	19,838.	
K-1 GS CAPITAL PARTNERS V INSTITUTIONAL	3,629.	0.	3,629.	3,629.	
K-1 GS CAPITAL PARTNERS V INSTITUTIONAL AIV	6,715.	0.	6,715.	6,715.	
K-1 INDIASTAR FUND	3,021.	0.	3,021.	3,021.	
K-1 LS POWER EQUITY PARTNERS PIE I	896.	0.	896.	896.	
K-1 LS POWER EQUITY PATNERS II PIE	106,643.	0.	106,643.	106,643.	
K-1 LS POWER EQUITY PATNERS II PIE A	14,014.	0.	14,014.	14,014.	

K-1 NGL ENERGY

PARTNERS

NORTHERN TRUST

TO PART I, LINE 4

121.	0.	121.	121.
204,416.	2,368.	202,048.	202,048.
1,206,965.	2,368.	1,204,597.	1,204,597.

FORM 990-PF

RENTAL INCOME

STATEMENT 3

KIND AND LOCATION OF PROPERTY

ACTIVITY
NUMBERGROSS
RENTAL INCOME

S.M. SEHGAL FOUNDATION INDIA

2

596,963.

TOTAL TO FORM 990-PF, PART I, LINE 5A

596,963.

FORM 990-PF

OTHER INCOME

STATEMENT 4

DESCRIPTION

(A)
REVENUE
PER BOOKS(B)
NET INVEST-
MENT INCOME(C)
ADJUSTED
NET INCOME

CLASS ACTION SETTLEMENTS

1,052.

1,052.

ORDINARY INCOME FROM PASSTHROUGHS

-336.

-336.

OTHER PORTF INCOME FROM PASSTHROUGHS

102,307.

102,307.

SECTION 988 INCOME FROM

PASSTHROUGHS

10,380.

10,380.

UBTI FROM PASSTHROUGHS

7,342.

0.

TOTAL TO FORM 990-PF, PART I, LINE 11

120,745.

113,403.

FORM 990-PF

LEGAL FEES

STATEMENT 5

DESCRIPTION

(A)
EXPENSES
PER BOOKS(B)
NET INVEST-
MENT INCOME(C)
ADJUSTED
NET INCOME(D)
CHARITABLE
PURPOSES

LEGAL AND ACCOUNTING FEES

13,083.

0.

13,083.

TO FM 990-PF, PG 1, LN 16A

13,083.

0.

13,083.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NORTHERN TRUST	41,735.	41,735.		0.
1832 ASSET MANAGEMENT	21,943.	21,943.		0.
CONSULTING FEES	223,368.	0.		223,368.
BNY MELLON	59,298.	59,298.		0.
COLCHESTER	4,718.	4,718.		0.
ABERDEEN ASSET MANAGMENT	5,717.	5,717.		0.
UNIPLAN INVESTMENT COUNSEL	3,719.	3,719.		0.
WHV INVESTMENT MANAGEMENT	14,359.	14,359.		0.
TO FORM 990-PF, PG 1, LN 16C	374,857.	151,489.		223,368.

FORM 990-PF

TAXES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
K-1 DAVIDSON KEMPNER INST PARTNERS	126.	126.		0.
K-1 ABERDEEN GLOBAL HIGH INCOME FUND	26.	26.		0.
K-1 COLCHESTER GLOBAL BOND FUND	200.	200.		0.
K-1 GARGOYLE HEDGED VALUE FUND	177.	177.		0.
FOREIGN TAX	9,067.	9,067.		0.
WITHHOLDING TAXES	26,757.	26,757.		0.
PAYROLL TAXES	11,863.	0.		11,863.
FEDERAL EXCISE TAX	50,700.	0.		0.
K-1 ABERDEEN GLOBAL EQUITY FUND	1,772.	1,772.		0.
TO FORM 990-PF, PG 1, LN 18	100,688.	38,125.		11,863.

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PORFOLIO EXPENSES FROM PASSTHROUGHS	281,628.	281,628.		0.
SECTION 59(E)(2) EXPENDITURES FROM PASSTHROUGHS	78.	78.		0.
PAYROLL SERVICE FEE	1,042.	0.		1,042.
POSTAGE AND SHIPPING	899.	0.		899.
COMPUTER EXPENSE	1,366.	0.		1,366.
MISCELLANEOUS	252.	0.		0.
OFFICE EXPENSE	384.	0.		384.
BANK FEES	102.	0.		102.
PROGRAM EXPENSE - INDIA	1,489,930.	0.		1,489,930.
CAPITAL WORK IN PROGRESS - INDIA	351,085.	0.		286,882.
SUPPORT SERVICES - INDIA	218,399.	0.		218,399.
MISCELLANEOUS EXPENSE - INDIA	10,424.	0.		10,424.
ADMINISTRATIVE EXPENSE - INDIA	132,178.	0.		132,178.
DUES AND MEMBERSHIP FEES	27,335.	0.		27,335.
FUNDRAISING EXPENSE	11,496.	0.		11,496.
OFFICE INSURANCE	290.	0.		290.
BUILDING OVERHEAD - INDIA	440,662.	199,082.		158,778.
FOREIGN CURRENCY ADJUSTMENT	111,369.	0.		0.
TO FORM 990-PF, PG 1, LN 23	3,078,919.	480,788.		2,339,505.

FOOTNOTES

STATEMENT 9

CONTROLLED FOREIGN PARTNERSHIP REPORTING

THE TAXPAYER WAS REQUIRED TO FILE FORM 8865, BUT IS NOT DOING SO UNDER THE CONSTRUCTIVE OWNERS EXCEPTION. THE TAXPAYER HAS AN INTEREST IN THE FOLLOWING ENTITY WHICH FILED FORM 8865:

THE DALRYMPLE GLOBAL RESOURCE FUND, LP
8117 PRESTON ROAD, SUITE 440
DALLAS, TX 75225

THE TAXPAYER WOULD HAVE HAD TO FILE FORM 8865 FOR ITS INDIRECT OWNERSHIP IN THE ENTITY LISTED BELOW BUT FOR THIS EXCEPTION.

THE DALRYMPLE GLOBAL RESOURCES MASTER FUND, LP

WALKER HOUSE, PO BOX 908GT
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS

CONTROLLED FOREIGN PARTNERSHIP REPORTING

THE TAXPAYER WAS REQUIRED TO FILE FORM 8865, BUT IS NOT DOING SO UNDER THE CONSTRUCTIVE OWNERS EXCEPTION. THE TAXPAYER HAS AN INTEREST IN THE FOLLOWING ENTITY WHICH FILED FORM 8865:

TRADEWINDS FUND, LP
THREE HARBOR DRIVE, SUITE 213
SAUSALITO, CA 94965

THE TAXPAYER WOULD HAVE HAD TO FILE FORM 8865 FOR ITS INDIRECT OWNERSHIP IN THE ENTITY LISTED BELOW BUT FOR THIS EXCEPTION.

TRADEWINDS MASTER FUND (BVI), LTD.
EIN: 98-0552409

CONTROLLED FOREIGN CORPORATION REPORTING

THE TAXPAYER IS NOT REQUIRED TO FILE FORM 5471 FOR THE FOREIGN CORPORATIONS LISTED BELOW UNDER THE CONSTRUCTIVE OWNERS FILING EXCEPTION TO TREASURY REGULATION SECTION 1.6038-2(J)(2). THE TAXPAYER HAS A PARTNERSHIP INTEREST IN:

LS POWER EQUITY PARTNERS PIE I, LP
FEIN: 20-2613942
1700 BROADWAY, 35TH FLOOR
NEW YORK, NY 10019

LS POWER EQUITY PARTNERS PIE I, LP INVESTS DIRECTLY IN THE FOLLOWING FOREIGN CORPORATIONS:

LSP CAL EB I, LTD
LSP PENN EB I, LTD

THE REQUIRED INFORMATION WAS FILED BY LS POWER EQUITY PARTNERS PIE I, LP.

IRS SERVICE CENTER WHERE RETURN WAS FILED: E-FILE

CONTROLLED FOREIGN CORPORATION REPORTING

THE TAXPAYER IS NOT REQUIRED TO FILE FORM 5471 FOR THE FOREIGN CORPORATIONS LISTED BELOW UNDER THE CONSTRUCTIVE OWNERS FILING EXCEPTION TO TREASURY REGULATION SECTION 1.6038-2(J)(2). THE TAXPAYER HAS A PARTNERSHIP INTEREST IN:

LS POWER EQUITY PARTNERS II PIE, LP
FEIN: 20-5721439

1700 BROADWAY, 35TH FLOOR
NEW YORK, NY 10019

LS POWER EQUITY PARTNERS II PIE, LP INVESTS DIRECTLY IN
THE FOLLOWING FOREIGN CORPORATIONS:

LSP COLUMBUS EB II, LTD
LSP PENN EB II, LTD
LSP CAL EB II, LTD

THE REQUIRED INFORMATION WAS FILED BY LS POWER EQUITY
PARTNERS II PIE, LP.

IRS SERVICE CENTER WHERE RETURN WAS FILED: E FILE

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST	COST	5,900,731.	5,739,548.
S.M. SEHGAL FOUNDATION INDIA	COST	619,997.	619,997.
GOLDMAN SACHS	COST	2,151,521.	2,140,327.
OTHER INVESTMENTS	COST	13,047,762.	14,470,774.
I3 ADVISORS/BNY MELLON MANAGED ACCOUNTS	COST	17,466,768.	18,487,469.
TOTAL TO FORM 990-PF, PART II, LINE 13		39,186,779.	41,458,115.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 11
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SURINDER M. SEHGAL 11550 PAIGE COURT CAPTIVA, FL 33924	DIRECTOR, PRES. & TREAS. 0.00	0.	0.	0.
EDDA G. SEHGAL 11550 PAIGE COURT CAPTIVA, FL 33924	DIRECTOR, V.PRES. & SEC. 0.00	0.	0.	0.
A.K. BAHL 117 SECTOR 17A, GURGAON HARYANA INDIA	TRUSTEE, INDIA FOUNDATION 0.00	0.	0.	0.
KENAI K. SEHGAL 145 SECOND AVENUE, APT 17 NEW YORK, NY 10003	DIRECTOR 0.00	0.	0.	0.
BERND U. SEHGAL 716 JUDSON AVENUE EVANSTON, IL 60202	DIRECTOR 20.00	41,633.	6,393.	0.
OLIVER S. SEHGAL 341 LAFAYETTE, APT 973 NEW YORK, NY 10012	DIRECTOR 0.00	0.	0.	0.

SEHGAL FAMILY FOUNDATION

42-1477858

VICKI D. SEHGAL
5125 WELKER AVENUE
DES MOINES, IA 50312

DIRECTOR
0.00

0. 0. 0.

RYAN CLUTTER
5125 WELKER AVENUE
DES MOINES, IA 50312

DIRECTOR
20.00

0. 0. 0.

MAUREEN SMITH
716 JUDSON AVENUE
EVANSTON, IL 60202

DIRECTOR
0.00

0. 0. 0.

RAJAT SEHGAL
5562 COACHLIGHT DRIVE
WEST DES MOINES, IA 50266

EXECUTIVE DIRECTOR
40.00

113,167. 19,631. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

154,800. 26,024. 0.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 12

ACTIVITY TWO

POLICY AND ADVOCACY: THE FOUNDATION, THOUGH ITS POLICY,
GOVERNANCE AND ADVOCACY CENTER, PROMOTES AWARENESS AMONG
RURAL COMMUNITIES ON POLICIES THAT ARE MEANT TO SUPPORT THE
CONSTITUTIONAL RIGHTS OF THE INDIAN PEOPLE.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

289,056.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 13

NAME OF MANAGER

SURINDER M. SEHGAL
EDDA G. SEHGAL

STATEMENT A

Sehgal Family Foundation
2013 Capital Gain(Loss) Detail - NT Account 17-53726

	Date of Sale	Date of Acquisition	Proceeds	Cost	Net Gain or Loss
BED BATH & BEYOND INC COM	01/11/13	09/07/11	28,160	28,970	(810)
BED BATH & BEYOND INC COM	01/11/13	12/01/11	16,896	18,047	(1,151)
BED BATH & BEYOND INC COM	01/11/13	07/17/12	5,632	6,133	(501)
BED BATH & BEYOND INC COM	01/11/13	08/03/12	16,896	18,689	(1,793)
BED BATH & BEYOND INC COM	01/11/13	10/25/12	16,896	17,583	(687)
DOLLAR TREE INC COM STK	01/11/13	09/07/11	30,349	28,848	1,500
DOLLAR TREE INC COM STK	01/11/13	12/01/11	15,174	16,472	(1,298)
DOLLAR TREE INC COM STK	01/11/13	08/03/12	15,174	20,549	(5,375)
DOLLAR TREE INC COM STK	01/11/13	10/25/12	11,381	12,059	(678)
MICROSOFT CORP COM	01/11/13	11/29/11	16,097	14,961	1,136
MICROSOFT CORP COM	01/11/13	03/26/12	13,414	16,214	(2,800)
MICROSOFT CORP COM	01/11/13	05/02/12	16,097	19,094	(2,997)
MICROSOFT CORP COM	01/11/13	08/03/12	13,414	14,943	(1,528)
MICROSOFT CORP COM	01/11/13	10/25/12	10,731	11,198	(467)
TJX COS INC COM NEW	01/11/13	08/23/11	13,165	8,161	5,004
TJX COS INC COM NEW	01/11/13	08/24/11	8,776	5,522	3,254
TJX COS INC COM NEW	01/11/13	10/12/11	8,776	5,763	3,013
TJX COS INC COM NEW	01/11/13	12/01/11	8,776	6,244	2,532
TJX COS INC COM NEW	01/11/13	12/01/11	8,776	6,257	2,520
ALTRIA GROUP INC COM	01/23/13	10/04/11	16,405	13,074	3,331
ALTRIA GROUP INC COM	01/23/13	10/12/11	6,562	5,592	970
ALTRIA GROUP INC COM	01/23/13	10/13/11	6,562	5,517	1,046
ALTRIA GROUP INC COM	01/23/13	11/03/11	6,562	5,496	1,066
ALTRIA GROUP INC COM	01/23/13	05/02/12	6,562	6,499	63
ALTRIA GROUP INC COM	01/23/13	07/17/12	3,281	3,567	(286)
ALTRIA GROUP INC COM	01/23/13	08/03/12	13,124	14,406	(1,282)
ALTRIA GROUP INC COM	01/23/13	10/25/12	13,124	12,856	268
ALTRIA GROUP INC COM	01/23/13	01/11/13	3,281	3,289	(8)
APPLE INC COM STK	01/23/13	07/14/11	35,685	25,058	10,627
APPLE INC COM STK	01/23/13	10/12/11	25,489	20,403	5,086
ASML HOLDING NV EUR0.09	01/23/13	05/02/12	4,182	3,112	1,071
ASML HOLDING NV EUR0.09	01/23/13	08/03/12	21,117	17,687	3,430
ASML HOLDING NV EUR0.09	01/23/13	10/26/12	15,838	12,481	3,357
ASML HOLDING NV EUR0.09	01/23/13	01/11/13	6,856	6,480	376
BRITISH AMERICAN TOBACCO ORD GBP0.25	01/23/13	03/26/12	20,277	20,612	(336)
BRITISH AMERICAN TOBACCO ORD GBP0.25	01/23/13	07/17/12	5,069	5,295	(226)
BRITISH AMERICAN TOBACCO ORD GBP0.25	01/23/13	08/03/12	25,346	27,236	(1,891)
BRITISH AMERICAN TOBACCO ORD GBP0.25	01/23/13	10/26/12	20,277	20,312	(36)
BRITISH AMERICAN TOBACCO ORD GBP0.25	01/23/13	01/11/13	5,069	5,095	(25)
BRITISH AMERICAN TOBACCO ORD GBP0.25	01/23/13	10/27/11	35,484	32,391	3,093
BRITISH AMERICAN TOBACCO ORD GBP0.25	01/23/13	11/03/11	15,207	13,891	1,317
COCA COLA CO COM	01/23/13	10/04/11	14,836	12,802	2,034
COCA COLA CO COM	01/23/13	10/13/11	22,254	20,166	2,088
COCA COLA CO COM	01/23/13	05/02/12	14,836	15,361	(526)
COCA COLA CO COM	01/23/13	07/17/12	7,418	7,814	(396)
COCA COLA CO COM	01/23/13	08/03/12	22,254	24,288	(2,034)
COCA COLA CO COM	01/23/13	10/25/12	14,836	14,844	(8)
COCA COLA CO COM	01/23/13	01/11/13	3,709	3,690	19
EMC CORP COM	01/23/13	11/03/11	17,159	17,143	16
EMC CORP COM	01/23/13	05/02/12	14,708	17,130	(2,422)
EMC CORP COM	01/23/13	08/03/12	9,805	10,697	(891)
EMC CORP COM	01/23/13	10/25/12	7,354	7,275	79
EMC CORP COM	01/23/13	01/11/13	2,451	2,394	57
GLAXOSMITHKLINE ORD GBP0.25	01/23/13	07/17/12	33,609	34,116	(506)
GLAXOSMITHKLINE ORD GBP0.25	01/23/13	08/03/12	11,203	11,673	(470)
GLAXOSMITHKLINE ORD GBP0.25	01/23/13	10/26/12	8,963	9,111	(148)
GLAXOSMITHKLINE ORD GBP0.25	01/23/13	01/11/13	2,241	2,240	1
GRAINGER W W INC COM	01/23/13	09/08/11	20,906	15,278	5,628

STATEMENT A

GRAINGER W W INC COM	01/23/13	10/27/11	20,906	17,464	3,442
GRAINGER W W INC COM	01/23/13	08/03/12	20,906	20,349	557
GRAINGER W W INC COM	01/23/13	10/25/12	20,906	19,927	979
INTERNATIONAL BUSINESS MACHS CORP COM	01/23/13	11/29/11	20,674	18,235	2,440
INTERNATIONAL BUSINESS MACHS CORP COM	01/23/13	12/01/11	41,349	37,648	3,701
INTERNATIONAL BUSINESS MACHS CORP COM	01/23/13	08/03/12	20,674	19,779	895
INTERNATIONAL BUSINESS MACHS CORP COM	01/23/13	10/25/12	20,674	19,152	1,522
JOHNSON & JOHNSON COM USD1	01/23/13	10/04/11	14,532	12,334	2,198
JOHNSON & JOHNSON COM USD1	01/23/13	10/27/11	21,797	19,578	2,220
JOHNSON & JOHNSON COM USD1	01/23/13	07/17/12	21,797	20,406	1,391
JOHNSON & JOHNSON COM USD1	01/23/13	08/03/12	14,532	13,850	682
JOHNSON & JOHNSON COM USD1	01/23/13	10/25/12	14,532	14,245	287
JOHNSON & JOHNSON COM USD1	01/23/13	01/11/13	7,266	7,257	9
MC DONALDS CORP COM	01/23/13	08/23/11	9,228	8,878	351
MC DONALDS CORP COM	01/23/13	10/12/11	27,685	26,596	1,089
MC DONALDS CORP COM	01/23/13	05/02/12	9,228	9,725	(497)
MC DONALDS CORP COM	01/23/13	08/03/12	18,457	18,070	387
MC DONALDS CORP COM	01/23/13	10/25/12	18,457	17,437	1,020
NIKE INC CL B	01/23/13	09/07/11	31,813	25,655	6,157
NIKE INC CL B	01/23/13	10/12/11	10,604	9,226	1,378
NIKE INC CL B	01/23/13	01/11/13	5,302	5,295	7
O REILLY AUTOMOTIVE INC NEW COM USD0.01	01/23/13	09/07/11	35,847	27,337	8,510
O REILLY AUTOMOTIVE INC NEW COM USD0.01	01/23/13	10/12/11	8,962	6,866	2,096
O REILLY AUTOMOTIVE INC NEW COM USD0.01	01/23/13	10/27/11	8,962	7,512	1,450
O REILLY AUTOMOTIVE INC NEW COM USD0.01	01/23/13	07/17/12	8,962	9,218	(257)
O REILLY AUTOMOTIVE INC NEW COM USD0.01	01/23/13	08/03/12	17,923	17,164	759
O REILLY AUTOMOTIVE INC NEW COM USD0.01	01/23/13	10/25/12	17,923	16,831	1,093
O REILLY AUTOMOTIVE INC NEW COM USD0.01	01/23/13	01/11/13	8,962	8,735	227
ROYAL DUTCH SHELL 'A'SHS EUR0.07(GBP)	01/23/13	08/03/12	10,504	10,548	(43)
ROYAL DUTCH SHELL 'A'SHS EUR0.07(GBP)	01/23/13	10/26/12	10,504	10,138	366
ROYAL DUTCH SHELL 'A'SHS EUR0.07(GBP)	01/23/13	01/11/13	3,501	3,490	11
ROYAL DUTCH SHELL 'A'SHS EUR0.07(GBP)	01/23/13	11/29/11	3,501	3,341	160
ROYAL DUTCH SHELL 'A'SHS EUR0.07(GBP)	01/23/13	08/23/11	31,512	29,002	2,510
SPECTRA ENERGY CORP COM STK	01/23/13	11/29/11	29,981	31,721	(1,740)
SPECTRA ENERGY CORP COM STK	01/23/13	08/03/12	8,177	8,865	(688)
SPECTRA ENERGY CORP COM STK	01/23/13	10/25/12	8,177	8,714	(537)
SPECTRA ENERGY CORP COM STK	01/23/13	01/11/13	2,726	2,788	(62)
TJX COS INC COM NEW	01/23/13	08/23/11	4,423	2,720	1,703
TJX COS INC COM NEW	01/23/13	09/07/11	17,694	10,599	7,095
TJX COS INC COM NEW	01/23/13	08/03/12	22,117	22,660	(542)
TJX COS INC COM NEW	01/23/13	10/25/12	17,694	16,663	1,031
VARIAN MEDICAL SYSTEMS INC	01/23/13	06/11/12	15,057	11,951	3,106
VARIAN MEDICAL SYSTEMS INC	01/23/13	06/13/12	7,529	5,954	1,575
VISA INC COM CL A STK	01/23/13	11/29/11	15,903	9,293	6,609
#REORG SIRIUS XM HLDGS INC COM	01/28/13	01/11/13	8,931	9,036	(104)
AMERICAN EXPRESS CO	01/28/13	01/11/13	4,707	4,901	(194)
AMERICAN TOWER CORP	01/28/13	06/27/11	790	520	270
CAMPARI EUR0.10	01/28/13	10/26/12	12,852	13,166	(314)
CBS CORP NEW CL B	01/28/13	01/23/13	1,267	1,253	13
CHUBB CORP COM	01/28/13	10/25/12	3,219	3,176	43
COSTCO WHOLESALE CORP NEW COM	01/28/13	10/13/11	4,086	3,267	819
DANAHER CORP COM	01/28/13	01/23/13	9,074	9,045	29
DEVON ENERGY CORP NEW COM	01/28/13	01/23/13	543	546	(3)
EQUIFAX INC COM	01/28/13	01/23/13	5,272	5,230	42
GLOBE SPECIALTY METALS INC COM STK	01/28/13	11/29/11	4,048	3,751	297
LEGRAND SA EUR4	01/28/13	01/23/13	12,068	11,685	383
NUCOR CORP COM	01/28/13	01/23/13	7,027	7,211	(185)
ROYAL GOLD INC COM STK USD0.01	01/28/13	01/23/13	4,525	4,847	(322)
SPIRAX-SARCO ENG ORD GBP0.25	01/28/13	01/23/13	9,209	9,264	(55)
THERMO FISHER CORP	01/28/13	01/23/13	8,464	8,371	93
TRANSDIGM GROUP INC COM	01/28/13	11/03/11	4,118	2,755	1,363
VISA INC COM CL A STK	01/28/13	11/29/11	4,745	2,788	1,957
AMERICAN EXPRESS CO	01/30/13	01/11/13	14,284	14,703	(419)

STATEMENT A

CHUBB CORP COM	01/30/13	07/31/12	40,218	36,385	3,833
CHUBB CORP COM	01/30/13	08/03/12	16,087	14,667	1,420
CHUBB CORP COM	01/30/13	10/25/12	12,870	12,702	167
DANAHER CORP COM	01/30/13	01/11/13	8,412	8,382	30
DANAHER CORP COM	01/30/13	01/23/13	9,012	9,045	(33)
PUB STORAGE COM	01/30/13	05/25/12	15,347	13,313	2,034
PUB STORAGE COM	01/30/13	05/29/12	15,347	13,411	1,936
PUB STORAGE COM	01/30/13	05/30/12	15,347	13,293	2,054
PUB STORAGE COM	01/30/13	08/03/12	15,347	15,020	327
PUB STORAGE COM	01/30/13	10/25/12	15,347	13,677	1,670
PUB STORAGE COM	01/30/13	01/28/13	6,139	6,125	14
TRANSDIGM GROUP INC COM	01/30/13	11/03/11	20,744	13,774	6,970
VISA INC COM CL A STK	01/30/13	11/29/11	10,914	6,505	4,409
VISA INC COM CL A STK	01/30/13	03/26/12	15,591	12,015	3,576
VISA INC COM CL A STK	01/30/13	08/03/12	15,591	13,005	2,587
VISA INC COM CL A STK	01/30/13	10/25/12	15,591	13,730	1,861
CAMPARI EUR0.10	01/31/13	10/26/12	786	823	(37)
CAMPARI EUR0.10	01/31/13	01/11/13	2,358	2,324	34
CAMPARI EUR0.10	01/31/13	01/23/13	10,219	10,197	22
SPIRAX-SARCO ENG ORD GBP0.25	01/31/13	01/23/13	360	371	(10)
AMERICAN TOWER CORP	02/04/13	06/27/11	45,043	30,653	14,390
AMERICAN TOWER CORP	02/04/13	10/25/12	3,054	2,929	125
AMERICAN TOWER CORP	02/04/13	01/11/13	7,634	7,884	(250)
ROYAL GOLD INC COM STK USD0.01	02/04/13	01/23/13	28,249	30,696	(2,447)
MOODYS CORP COM	02/05/13	01/23/13	5,768	6,394	(626)
MOODYS CORP COM	02/05/13	01/23/13	47,700	52,216	(4,516)
MOODYS CORP COM	02/05/13	01/28/13	481	542	(62)
ROYAL GOLD INC COM STK USD0.01	02/05/13	01/23/13	11,719	12,925	(1,206)
ROYAL GOLD INC COM STK USD0.01	02/06/13	01/23/13	7,338	8,078	(740)
ROYAL GOLD INC COM STK USD0.01	02/07/13	01/23/13	7,352	8,078	(725)
AMERICAN TOWER CORP	02/13/13	07/17/12	2,216	2,182	34
AMERICAN TOWER CORP	02/13/13	08/03/12	1,482	1,462	20
AMERICAN TOWER CORP	02/13/13	08/03/12	20,683	20,468	215
AMERICAN TOWER CORP	02/13/13	10/25/12	3,716	3,661	55
AMERICAN TOWER CORP	02/13/13	10/25/12	8,152	8,054	98
AMERICAN TOWER CORP	02/14/13	05/02/12	14,699	13,032	1,667
AMERICAN TOWER CORP	02/14/13	07/17/12	2,928	2,909	19
AMERICAN TOWER CORP	02/14/13	07/17/12	2,205	2,182	23
BEIERSDORF AG EUR1	03/05/13	01/23/13	35,172	34,225	947
BEIERSDORF AG EUR1	03/05/13	01/28/13	8,793	8,690	103
BEIERSDORF AG EUR1	03/06/13	01/23/13	17,552	17,113	440
DEVON ENERGY CORP NEW COM	03/12/13	02/20/13	46,659	46,467	192
EQUIFAX INC COM	03/12/13	01/23/13	57,082	58,692	(1,610)
SCHLUMBERGER LTD COM COM	03/12/13	01/30/13	25,517	26,030	(513)
DEVON ENERGY CORP NEW COM	03/13/13	01/23/13	60,715	59,480	1,235
DEVON ENERGY CORP NEW COM	03/13/13	02/20/13	3,899	3,919	(20)
NIKE INC CL B	03/18/13	08/03/12	21,724	18,738	2,986
NIKE INC CL B	03/18/13	10/25/12	10,862	9,186	1,676
NIKE INC CL B	03/18/13	01/11/13	27,155	26,475	680
NIKE INC CL B	03/18/13	01/28/13	543	549	(6)
SCHLUMBERGER LTD COM COM	03/26/13	01/30/13	57,746	61,526	(3,780)
SCHLUMBERGER LTD COM COM	03/26/13	02/20/13	25,912	27,521	(1,610)
ACCENTURE PLC SHS CL A NEW	04/05/13	02/04/13	3,029	2,929	100
ACCENTURE PLC SHS CL A NEW	04/05/13	03/26/13	25,744	25,338	407
ASML HOLDING NV EUR0 09	04/05/13	02/22/12	18,105	12,915	5,190
DANAHER CORP COM	04/05/13	01/11/13	57,538	57,476	62
PARKER-HANNIFIN CORP COM	04/05/13	01/11/13	52,619	53,411	(792)
PARKER-HANNIFIN CORP COM	04/05/13	01/28/13	6,139	6,579	(440)
ANADARKO PETRO CORP COM	04/15/13	03/28/13	52,717	58,725	(6,008)
FMC TECHNOLOGIES INC COM	04/23/13	01/30/13	3,033	2,803	230
FRUTAROM INDUSTRIE ILS1	04/23/13	01/29/13	4,411	3,984	427
GEBERIT AG CHF0.10 (REGD)	04/23/13	01/28/13	4,722	4,618	104
ICL-ISRAEL CHEM ILS1	04/23/13	01/29/13	3,715	4,055	(340)

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LEGRAND SA EUR4	04/23/13	01/23/13	3,489	3,595	(106)
NOBLE ENERGY INC COM	04/23/13	12/01/11	5,475	4,897	578
SCHOELLER BLECKMAN EUR1 (BR)	04/23/13	03/13/13	2,843	3,265	(421)
SPIRAX-SARCO ENG ORD GBP0.25	04/23/13	01/23/13	3,425	3,335	90
TRANSDIGM GROUP INC COM	04/23/13	11/03/11	2,925	1,837	1,088
VARIAN MEDICAL SYSTEMS INC	04/25/13	06/12/12	12,750	11,864	886
VARIAN MEDICAL SYSTEMS INC	04/25/13	06/13/12	6,375	5,954	421
VARIAN MEDICAL SYSTEMS INC	04/25/13	10/25/12	4,463	4,048	414
VARIAN MEDICAL SYSTEMS INC	04/25/13	01/28/13	3,825	4,364	(539)
VARIAN MEDICAL SYSTEMS INC	04/25/13	01/28/13	4,482	5,091	(610)
VARIAN MEDICAL SYSTEMS INC	04/26/13	08/03/12	6,599	5,766	833
VARIAN MEDICAL SYSTEMS INC	04/26/13	10/25/12	8,329	7,518	811
#REORG SIRIUS XM HLDGS INC COM	04/29/13	01/11/13	3,092	3,128	(36)
GLOBE SPECIALTY METALS INC COM STK	04/29/13	11/29/11	6,471	6,947	(476)
ICL-ISRAEL CHEM ILS1	04/29/13	01/29/13	50,920	58,523	(7,603)
PFEIFFER VAC TECHN NPV	04/29/13	01/23/13	46,644	48,952	(2,308)
PFEIFFER VAC TECHN NPV	04/29/13	01/28/13	11,661	12,498	(837)
SAP SE	04/29/13	01/23/13	54,154	55,208	(1,054)
SAP SE	04/29/13	01/28/13	4,642	4,859	(217)
SAP SE	04/29/13	01/31/13	774	817	(43)
VARIAN MEDICAL SYSTEMS INC	04/29/13	08/03/12	6,289	5,430	859
GLOBE SPECIALTY METALS INC COM STK	04/30/13	11/29/11	1,299	1,389	(90)
GLOBE SPECIALTY METALS INC COM STK	04/30/13	11/29/11	1,296	1,389	(94)
GLOBE SPECIALTY METALS INC COM STK	05/01/13	11/29/11	2,511	2,779	(267)
ANDRITZ AG NPV (BR)	05/02/13	04/29/13	50,623	57,984	(7,360)
GLOBE SPECIALTY METALS INC COM STK	05/02/13	11/29/11	6,410	6,947	(537)
GLOBE SPECIALTY METALS INC COM STK	05/02/13	11/29/11	1,304	1,389	(85)
GLOBE SPECIALTY METALS INC COM STK	05/03/13	11/29/11	401	417	(15)
GLOBE SPECIALTY METALS INC COM STK	05/03/13	01/23/13	1,204	1,381	(177)
GLOBE SPECIALTY METALS INC COM STK	05/06/13	01/23/13	790	921	(131)
GLOBE SPECIALTY METALS INC COM STK	05/07/13	01/23/13	4,994	6,140	(1,146)
GLOBE SPECIALTY METALS INC COM STK	05/08/13	01/23/13	2,533	3,070	(537)
GLOBE SPECIALTY METALS INC COM STK	05/09/13	01/23/13	1,270	1,535	(265)
GLOBE SPECIALTY METALS INC COM STK	05/10/13	01/23/13	1,268	1,535	(267)
ALLERGAN INC COM	05/16/13	01/23/13	35,210	36,612	(1,403)
ALLERGAN INC COM	05/16/13	01/28/13	5,030	5,335	(305)
GLOBE SPECIALTY METALS INC COM STK	05/16/13	01/23/13	1,268	1,535	(267)
ALLERGAN INC COM	05/17/13	01/23/13	45,238	47,073	(1,835)
GLOBE SPECIALTY METALS INC COM STK	05/20/13	01/23/13	1,273	1,535	(262)
HANNOVER RUECK SE ORD NPV(REGD)	05/27/13	01/23/13	51,775	55,278	(3,503)
HANNOVER RUECK SE ORD NPV(REGD)	05/27/13	01/28/13	3,698	4,047	(348)
HANNOVER RUECK SE ORD NPV(REGD)	05/27/13	05/21/13	6,657	6,868	(212)
BERKSHIRE HATHAWAY INC-CL B	05/30/13	05/21/13	17,219	16,897	322
CAMERON INTL CORP COM STK	05/30/13	03/12/13	20,073	20,904	(831)
CAMERON INTL CORP COM STK	05/30/13	03/13/13	28,227	29,487	(1,260)
CAMERON INTL CORP COM STK	05/30/13	03/13/13	8,155	8,559	(405)
CAMERON INTL CORP COM STK	05/30/13	04/29/13	3,764	3,648	116
CAMERON INTL CORP COM STK	05/30/13	05/21/13	6,273	6,477	(204)
DRESSER-RAND GROUP INC COM	05/30/13	05/21/13	6,205	6,533	(328)
FMC TECHNOLOGIES INC COM	05/30/13	01/30/13	1,717	1,401	316
FMC TECHNOLOGIES INC COM	05/30/13	05/21/13	7,440	7,662	(222)
GLOBE SPECIALTY METALS INC COM STK	05/30/13	01/23/13	1,253	1,535	(282)
GLOBE SPECIALTY METALS INC COM STK	05/30/13	01/23/13	1,245	1,535	(290)
GOOGLE INC CL A CL A	05/30/13	02/22/12	8,727	6,123	2,604
PLUM CREEK TIMBER CO INC COM	05/30/13	04/29/13	28,202	31,452	(3,250)
PLUM CREEK TIMBER CO INC COM	05/30/13	05/21/13	5,703	6,539	(837)
SIGNATURE BK NY N Y COM	05/30/13	04/29/13	7,725	7,220	505
SIGNATURE BK NY N Y COM	05/30/13	05/21/13	6,180	6,270	(89)
ASML HOLDING NV EUR0.09	05/31/13	01/31/13	24,849	22,729	2,119
ASML HOLDING NV EUR0.09	05/31/13	05/21/13	7,455	7,247	208
ASML HOLDING NV EUR0.09	05/31/13	02/22/12	21,453	11,947	9,506
ASML HOLDING NV EUR0.09	05/31/13	04/18/12	25,511	15,111	10,400
ASML HOLDING NV EUR0.09	05/31/13	05/02/12	1,325	816	509

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GLOBE SPECIALTY METALS INC COM STK	05/31/13	01/23/13	624	767	(143)
PLUM CREEK TIMBER CO INC COM	05/31/13	04/29/13	4,763	5,331	(568)
PLUM CREEK TIMBER CO INC COM	05/31/13	04/29/13	19,175	21,324	(2,148)
SIGNATURE BK NY N Y COM	05/31/13	04/29/13	7,759	7,220	539
UNIBAIL-RODAMCO SE EUR5	05/31/13	04/29/13	56,871	59,422	(2,551)
UNIBAIL-RODAMCO SE EUR5	05/31/13	05/21/13	39,562	42,780	(3,217)
SIGNATURE BK NY N Y COM	06/03/13	04/29/13	7,660	7,220	440
SIGNATURE BK NY N Y COM	06/04/13	04/29/13	7,730	7,220	510
DRESSER-RAND GROUP INC COM	06/05/13	02/01/13	839	873	(34)
DRESSER-RAND GROUP INC COM	06/05/13	02/01/13	1,259	1,310	(50)
DRESSER-RAND GROUP INC COM	06/05/13	02/04/13	5,037	5,193	(156)
DRESSER-RAND GROUP INC COM	06/05/13	02/05/13	2,458	2,528	(70)
DRESSER-RAND GROUP INC COM	06/05/13	02/05/13	2,399	2,467	(68)
DRESSER-RAND GROUP INC COM	06/05/13	05/21/13	600	653	(54)
FMC TECHNOLOGIES INC COM	06/05/13	01/30/13	11,622	9,810	1,812
COSTCO WHOLESALE CORP NEW COM	06/06/13	10/04/11	9,903	7,200	2,703
COSTCO WHOLESALE CORP NEW COM	06/06/13	10/13/11	17,605	13,067	4,538
COSTCO WHOLESALE CORP NEW COM	06/06/13	03/26/12	11,003	9,118	1,885
DRESSER-RAND GROUP INC COM	06/06/13	01/31/13	1,854	1,897	(44)
DRESSER-RAND GROUP INC COM	06/06/13	02/05/13	1,136	1,172	(36)
FMC TECHNOLOGIES INC COM	06/06/13	01/30/13	8,320	7,007	1,314
NOBLE ENERGY INC COM	06/06/13	12/01/11	5,685	4,897	788
NOBLE ENERGY INC COM	06/06/13	12/02/11	34,108	29,258	4,850
NOBLE ENERGY INC COM	06/06/13	12/05/11	18,760	16,077	2,682
NOBLE ENERGY INC COM	06/06/13	12/05/11	3,394	2,923	471
SIGNATURE BK NY N Y COM	06/06/13	04/29/13	7,630	7,220	410
WALT DISNEY CO	06/06/13	03/26/13	2,518	2,261	257
WALT DISNEY CO	06/06/13	05/21/13	6,924	7,269	(345)
DRESSER-RAND GROUP INC COM	06/07/13	01/30/13	4,386	4,372	15
DRESSER-RAND GROUP INC COM	06/07/13	01/31/13	1,097	1,102	(5)
FMC TECHNOLOGIES INC COM	06/07/13	01/30/13	11,203	9,343	1,860
SIGNATURE BK NY N Y COM	06/07/13	04/29/13	23,318	21,660	1,658
FMC TECHNOLOGIES INC COM	06/10/13	01/30/13	11,162	9,343	1,819
FMC TECHNOLOGIES INC COM	06/10/13	01/30/13	5,585	4,671	914
DRESSER-RAND GROUP INC COM	06/11/13	01/30/13	3,583	3,643	(60)
FMC TECHNOLOGIES INC COM	06/11/13	01/30/13	5,517	4,671	846
#REORG SIRIUS XM HLDGS INC COM	06/12/13	05/21/13	5,314	5,670	(356)
CBS CORP NEW CL B	06/12/13	05/21/13	6,965	7,680	(715)
DRESSER-RAND GROUP INC COM	06/12/13	01/30/13	9,374	9,715	(341)
ESTEE LAUDER COMPANIES INC CL A	06/12/13	05/21/13	4,759	4,987	(228)
FMC TECHNOLOGIES INC COM	06/12/13	01/30/13	2,735	2,333	402
FMC TECHNOLOGIES INC COM	06/12/13	01/30/13	2,735	2,336	400
GEBERIT AG CHF0 10 (REGD)	06/12/13	05/21/13	4,975	5,097	(122)
NOBLE ENERGY INC COM	06/12/13	12/05/11	572	487	85
NOBLE ENERGY INC COM	06/12/13	05/21/13	5,724	6,085	(361)
THERMO FISHER CORP	06/12/13	05/21/13	10,203	10,512	(308)
TOD'S SPA EUR2	06/12/13	02/22/12	8,478	6,085	2,392
WALT DISNEY CO	06/12/13	03/26/13	3,834	3,392	443
DRESSER-RAND GROUP INC COM	06/13/13	01/30/13	10,436	10,626	(190)
DRESSER-RAND GROUP INC COM	06/13/13	01/30/13	3,399	3,455	(55)
DRESSER-RAND GROUP INC COM	06/13/13	02/06/13	10,615	10,807	(192)
DRESSER-RAND GROUP INC COM	06/13/13	04/29/13	2,982	2,688	294
FMC TECHNOLOGIES INC COM	06/13/13	01/30/13	5,514	4,666	848
SPIRAX-SARCO ENG ORD GBP0.2596153	06/17/13	05/21/13	30	30	(1)
AMERICAN EXPRESS CO	06/18/13	02/07/13	15,025	12,453	2,573
AMERICAN EXPRESS CO	06/18/13	02/08/13	751	620	132
AMERICAN EXPRESS CO	06/18/13	05/21/13	12,771	12,805	(34)
AMERICAN EXPRESS CO	06/18/13	05/30/13	30,051	30,573	(522)
GLOBE SPECIALTY METALS INC COM STK	06/18/13	01/23/13	2,246	3,070	(824)
JPMORGAN CHASE & CO COM	06/21/13	05/30/13	57,301	61,226	(3,924)
GLOBE SPECIALTY METALS INC COM STK	06/27/13	01/23/13	1,099	1,535	(436)
#REORG SIRIUS XM HLDGS INC COM	06/28/13	01/11/13	23,324	21,831	1,494
#REORG SIRIUS XM HLDGS INC COM	06/28/13	05/21/13	4,152	4,305	(153)

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ACCENTURE PLC SHS CL A NEW	06/28/13	02/04/13	53,964	55,655	(1,690)
ACCENTURE PLC SHS CL A NEW	06/28/13	05/21/13	5,679	6,638	(959)
ACCENTURE PLC SHS CL A NEW	06/28/13	06/12/13	23,425	26,547	(3,123)
ACCENTURE PLC SHS CL A NEW	06/28/13	06/12/13	4,970	5,631	(661)
AMERICAN EXPRESS CO	06/28/13	01/11/13	5,999	4,901	1,098
AMERICAN EXPRESS CO	06/28/13	02/08/13	29,248	24,165	5,082
GEBERIT AG CHF0.10 (REGD)	06/28/13	05/21/13	26,877	28,032	(1,155)
GOOGLE INC CL A CL A	06/28/13	02/22/12	34,995	24,493	10,502
LEGRAND SA EUR4	06/28/13	05/21/13	28,156	30,568	(2,412)
MASTERCARD INC CL A	06/28/13	05/21/13	5,691	5,885	(193)
MASTERCARD INC CL A	06/28/13	06/12/13	28,457	28,155	302
WELLS FARGO & CO NEW COM STK	06/28/13	05/30/13	29,468	29,379	89
GLOBE SPECIALTY METALS INC COM STK	07/02/13	01/23/13	1,117	1,535	(418)
GLOBE SPECIALTY METALS INC COM STK	07/09/13	01/23/13	1,103	1,535	(431)
CAMPARI EUR0.10	07/10/13	02/22/12	7,170	7,315	(146)
ESTEE LAUDER COMPANIES INC CL A	07/10/13	05/08/13	24,826	26,068	(1,242)
ESTEE LAUDER COMPANIES INC CL A	07/10/13	05/21/13	1,342	1,425	(83)
CAMPARI EUR0.10	07/11/13	02/22/12	26,704	27,067	(363)
CAMPARI EUR0.10	07/11/13	03/26/12	2,165	2,107	59
ESTEE LAUDER COMPANIES INC CL A	07/11/13	05/08/13	3,408	3,523	(114)
ESTEE LAUDER COMPANIES INC CL A	07/11/13	05/08/13	12,241	12,682	(441)
GLOBE SPECIALTY METALS INC COM STK	07/11/13	10/25/12	4,512	5,995	(1,483)
GLOBE SPECIALTY METALS INC COM STK	07/11/13	01/11/13	1,128	1,482	(354)
CAMPARI EUR0.10	07/12/13	07/17/12	2,891	2,724	167
CAMPARI EUR0.10	07/12/13	08/03/12	14,454	13,834	620
CAMPARI EUR0.10	07/12/13	05/21/13	5,276	5,522	(246)
ESTEE LAUDER COMPANIES INC CL A	07/12/13	05/08/13	6,846	7,045	(199)
ESTEE LAUDER COMPANIES INC CL A	07/15/13	05/08/13	5,433	5,636	(203)
STARWOOD HOTELS & RESORTS WORLDWIDE	07/17/13	05/08/13	59,047	58,967	80
STARWOOD HOTELS & RESORTS WORLDWIDE	07/17/13	05/22/13	5,971	6,069	(98)
THERMO FISHER CORP	07/17/13	01/23/13	42,923	34,183	8,740
THERMO FISHER CORP	07/17/13	05/21/13	1,752	1,752	(0)
THERMO FISHER CORP	07/17/13	07/12/13	7,008	7,084	(76)
ESTEE LAUDER COMPANIES INC CL A	07/18/13	05/08/13	3,215	3,382	(167)
ESTEE LAUDER COMPANIES INC CL A	07/19/13	05/08/13	804	845	(42)
AUTOMATIC DATA PROCESSING INC COM	08/13/13	07/17/13	69,860	69,637	232
GOOGLE INC CL A CL A	08/13/13	02/22/12	8,828	6,123	2,705
GOOGLE INC CL A CL A	08/13/13	05/25/12	8,828	5,909	2,919
MASTERCARD INC CL A	08/13/13	04/05/13	12,625	10,542	2,083
MASTERCARD INC CL A	08/13/13	06/12/13	6,312	5,631	681
MASTERCARD INC CL A	08/13/13	07/12/13	6,312	6,011	301
VISA INC COM CL A STK	08/13/13	06/28/13	66,461	68,182	(1,721)
WELLS FARGO & CO NEW COM STK	08/21/13	05/30/13	2,130	2,069	61
WELLS FARGO & CO NEW COM STK	08/21/13	07/08/13	30,246	30,395	(150)
INFICON HOLDING AG CHF5 (REGD)	08/22/13	08/03/12	12,756	6,973	5,782
INFICON HOLDING AG CHF5 (REGD)	08/26/13	02/16/11	19,407	9,166	10,241
INFICON HOLDING AG CHF5 (REGD)	08/26/13	08/03/12	19,407	10,460	8,947
BERKSHIRE HATHAWAY INC-CL B	08/28/13	01/28/13	3,372	2,911	461
BERKSHIRE HATHAWAY INC-CL B	08/28/13	01/30/13	30,349	26,120	4,229
BERKSHIRE HATHAWAY INC-CL B	08/28/13	02/04/13	26,977	23,231	3,746
BERKSHIRE HATHAWAY INC-CL B	08/28/13	05/21/13	2,248	2,253	(5)
INFICON HOLDING AG CHF5 (REGD)	08/28/13	02/16/11	12,566	6,111	6,455
INFICON HOLDING AG CHF5 (REGD)	08/28/13	02/15/11	18,849	9,088	9,761
JPMORGAN CHASE & CO COM	08/28/13	05/30/13	24,843	27,273	(2,430)
T ROWE PRICE GROUP INC	08/28/13	06/06/13	61,509	63,829	(2,320)
T ROWE PRICE GROUP INC	08/28/13	07/12/13	5,656	6,195	(539)
JPMORGAN CHASE & CO COM	08/29/13	05/30/13	6,618	7,236	(618)
BERKSHIRE HATHAWAY INC-CL B	09/10/13	01/23/13	32,760	27,890	4,869
BERKSHIRE HATHAWAY INC-CL B	09/10/13	01/30/13	1,130	967	162
WELLS FARGO & CO NEW COM STK	09/10/13	05/30/13	37,639	36,828	811
WELLS FARGO & CO NEW COM STK	09/10/13	06/06/13	101,076	95,482	5,593
APPLE INC COM STK	09/11/13	08/21/13	65,603	70,646	(5,043)
#REORG SIRIUS XM HLDGS INC COM	10/25/13	11/16/12	10,384	7,508	2,876

STATEMENT A

#REORG SIRIUS XM HLDGS INC COM	10/25/13	11/19/12	22,251	16,352	5,900
#REORG SIRIUS XM HLDGS INC COM	10/25/13	11/20/12	23,364	17,401	5,963
#REORG SIRIUS XM HLDGS INC COM	10/25/13	11/21/12	1,854	1,393	462
#REORG SIRIUS XM HLDGS INC COM	10/25/13	11/23/12	1,854	1,393	462
#REORG SIRIUS XM HLDGS INC COM	10/25/13	11/26/12	2,596	1,947	649
#REORG SIRIUS XM HLDGS INC COM	10/25/13	11/26/12	1,113	840	273
#REORG SIRIUS XM HLDGS INC COM	10/25/13	01/11/13	2,373	2,022	352
#REORG SIRIUS XM HLDGS INC COM	10/25/13	01/23/13	11,867	10,043	1,825
HOME DEPOT INC COM	10/29/13	07/17/13	65,764	69,511	(3,747)
MASTERCARD INC CL A	10/29/13	04/05/13	58,615	42,166	16,448
MICROSOFT CORP COM	10/29/13	08/28/13	74,086	69,039	5,046
TRANSDIGM GROUP INC COM	10/29/13	07/20/11	29,046	18,319	10,727
TRANSDIGM GROUP INC COM	10/29/13	08/03/12	14,523	12,423	2,100
TRANSDIGM GROUP INC COM	10/29/13	10/25/12	14,523	13,066	1,457
TRANSDIGM GROUP INC COM	10/29/13	05/21/13	7,262	7,463	(201)
VISA INC COM CL A STK	11/04/13	10/29/13	72,829	75,757	(2,929)
AXA EUR2.29	12/11/13	09/11/13	3,036	2,867	169
BERKSHIRE HATHAWAY INC-CL B	12/11/13	01/23/13	70,505	58,666	11,839
BNP PARIBAS EUR2	12/11/13	08/22/13	1,478	1,316	162
BNP PARIBAS EUR2	12/11/13	10/29/13	31,781	31,384	397
CITIGROUP INC COM NEW COM NEW	12/11/13	09/10/13	72,453	72,043	410
COSTCO WHOLESALE CORP NEW COM	12/11/13	08/03/12	23,571	19,443	4,128
COSTCO WHOLESALE CORP NEW COM	12/11/13	10/25/12	16,500	13,492	3,008
EBAY INC COM USD0 001	12/11/13	10/29/13	67,893	68,578	(685)
FORD MTR CO DEL COM PAR \$0.01	12/11/13	05/21/13	5,123	4,616	507
GEBERIT AG CHF0.10 (REGD)	12/11/13	05/21/13	5,745	5,097	648
GEBERIT AG CHF0.10 (REGD)	12/11/13	07/12/13	2,872	2,625	247
GEBERIT AG CHF0.10 (REGD)	12/11/13	10/29/13	37,340	36,854	486
GOOGLE INC CL A CL A	12/11/13	10/29/13	10,873	10,183	691
HALLIBURTON CO COM	12/11/13	10/30/13	23,723	25,539	(1,816)
HALLIBURTON CO COM	12/11/13	10/31/13	13,344	14,320	(976)
HALLIBURTON CO COM	12/11/13	11/05/13	24,712	26,577	(1,865)
JPMORGAN CHASE & CO COM	12/11/13	05/30/13	7,902	7,792	110
JPMORGAN CHASE & CO COM	12/11/13	06/06/13	64,346	60,227	4,119
JPMORGAN CHASE & CO COM	12/11/13	07/12/13	3,951	3,890	62
LEGRAND SA EUR4	12/11/13	01/23/13	68,446	56,627	11,819
LEGRAND SA EUR4	12/11/13	05/21/13	9,778	9,020	758
LLOYDS BANKING GP ORD GBP0 1	12/11/13	08/13/13	2,740	2,533	207
LLOYDS BANKING GP ORD GBP0.1	12/11/13	09/11/13	36,180	35,393	788
METLIFE INC COM STK USD0.01	12/11/13	06/28/13	77,985	69,271	8,714
METLIFE INC COM STK USD0 01	12/11/13	07/12/13	3,099	2,918	181
MORGAN STANLEY COM STK USD0.01	12/11/13	09/10/13	3,064	2,813	251
NOBLE ENERGY INC COM	12/11/13	08/03/12	27,663	17,580	10,083
NOBLE ENERGY INC COM	12/11/13	10/25/12	27,663	18,546	9,117
NOBLE ENERGY INC COM	12/11/13	10/29/13	15,214	16,950	(1,736)
NUCOR CORP COM	12/11/13	01/23/13	26,288	24,037	2,251
NUCOR CORP COM	12/11/13	10/29/13	14,195	13,874	321
RIETER HLDG AG CHF5 (REGD)	12/11/13	03/25/13	28,979	22,693	6,287
SCHWEITER TECH CHF1	12/11/13	02/15/11	7,089	7,108	(19)
SPIRAX-SARCO ENG ORD GBP0.2596153	12/11/13	05/21/13	4,729	4,533	197
TOD'S SPA EUR2	12/11/13	02/22/12	11,732	7,099	4,632
TOD'S SPA EUR2	12/11/13	10/26/12	16,759	11,363	5,396
JAPAN TOBACCO INC NPV	12/12/13	05/31/13	64,618	66,083	(1,465)
TOYOTA MOTOR CORP NPV	12/12/13	05/27/13	65,855	65,318	537
E TRADE FINL CORP COM	12/24/13	12/11/13	8,897	8,612	285
FORD MTR CO DEL COM PAR \$0.01	12/24/13	04/29/13	64,302	58,142	6,160
FORD MTR CO DEL COM PAR \$0.01	12/24/13	05/21/13	1,509	1,489	20
HALLIBURTON CO COM	12/24/13	10/29/13	34,389	36,014	(1,624)
HALLIBURTON CO COM	12/24/13	10/31/13	11,632	12,199	(567)
HALLIBURTON CO COM	12/24/13	11/01/13	15,172	15,858	(686)
HALLIBURTON CO COM	12/24/13	11/06/13	10,115	10,576	(462)
NOBLE ENERGY INC COM	12/24/13	01/28/13	8,079	6,424	1,654
NOBLE ENERGY INC COM	12/24/13	05/21/13	10,771	9,735	1,036

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NOBLE ENERGY INC COM	12/24/13	05/30/13	36,354	31,886	4,468
NOBLE ENERGY INC COM	12/24/13	07/12/13	6,732	6,436	297
NOBLE ENERGY INC COM	12/24/13	10/29/13	7,405	8,475	(1,070)
					356,446
NGL ENERGY PARTNERS LP COM UNIT	05/13/13	03/28/13	361,495	127,819	233,676
			7,280,538	6,690,416	590,122

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Sehgal Family Foundation
2013 Capital Gain(Loss) Detail - NT Account 26-55721

	Date of Sale	Date of Acquisition	Proceeds	Cost	Net Gain or Loss
Short-Term Sales					
BANK NEW YORK INC MEDIUM TERM SR NTS 3 55 DUE 09-23-2021 BEO	01/14/13	07/31/12	27,142	25,835	1,308
WELLS FARGO & CO 3 5 DUE 03-08-2022	01/18/13	06/22/12	52,062	49,891	2,171
RIO TINTO FIN USA 3 75% DUE 09-20-2021	01/18/13	09/11/12	53,475	53,013	462
GNMA POOL #005228 3 5% 11-20-2026 BEO	01/23/13	02/13/12	1,271	1,271	0
GNMA POOL #005228 3 5% 11-20-2026 BEO	01/31/13	09/26/12	1,027	1,027	0
GNMA POOL #005228 3 5% 11-20-2026 BEO	02/14/13	09/26/12	1,086	1,086	0
GNMA POOL #005228 3 5% 11-20-2026 BEO	03/01/13	05/17/12	802	802	0
GNMA POOL #005228 3 5% 11-20-2026 BEO	04/10/13	07/31/12	1,588	1,588	0
ONTARIO PROV CDA BD 2 45% DUE 06-29-2022REG	04/22/13	06/07/12	25,291	24,903	387
FHLMC PREASSIGN 00079 1 75 05-30-2019	04/26/13	03/12/13	76,819	76,693	126
NIPPON TELEG & TEL CORP 1 4 DUE 07-18-2017 REG	04/26/13	03/12/13	50,026	49,933	94
UNITED STATES TREAS NTS 25 DUE 06-30-2014 REG	04/30/13	01/24/13	25,027	25,019	9
NATIONAL AUSTRALIA BK LIMITED 1 6 DUE 08-07-2015	05/01/13	07/31/12	50,669	49,968	701
UNITED STATES TREAS NTS .25 DUE 06-30-2014 REG	05/09/13	07/31/12	25,027	25,009	18
UNITED STATES TREAS NTS DTD 7-15-10 1.0%DUE 07-15-2013	05/09/13	01/24/13	50,006	50,383	(377)
US TREAS NTS 25 DUE 07-15-2015 REG	05/15/13	08/10/11	24,963	24,967	(4)
US TREAS NTS .25 DUE 07-15-2015 REG	05/15/13	02/08/13	24,964	24,967	(3)
US TREAS NTS .625 DUE 04-30-2013	05/20/13	06/07/12	50,017	50,162	(145)
UTD STATES TREAS 5% DUE 05-31-2013	05/23/13	06/29/12	25,009	25,064	(55)
UTD STATES TREAS 5% DUE 05-31-2013	05/23/13	07/11/12	25,011	25,064	(53)
SHELL INTL FIN B V 1 125% DUE 08-21-2017	05/23/13	07/20/12	75,148	74,569	579
ASTRAZENECA PLC 1 95% DUE 09-18-2019	05/23/13	07/31/12	25,347	24,969	378
UNITED STATES TREAS NTS 625 DUE 02-28-2013 REG	05/23/13	08/14/12	125,024	125,249	(225)
US TREAS NTS 625 DUE 01-31-2013	05/23/13	10/26/12	25,000	25,041	(41)
US TREAS NTS 1 375 DUE 03-15-2013 REG	05/23/13	02/11/13	100,066	100,555	(489)
BK NOVA SCOTIA B C 75% DUE 10-09-2015	05/23/13	03/12/13	49,941	49,999	(58)
SOCIE TE GENERALE 2 75 10-12-2017	05/23/13	03/12/13	51,105	49,961	1,145
UNITED STATES TREAS NTS DTD 10/31/2012 .25% DUE 10-31-2014 REG	06/17/13	08/10/11	100,105	99,907	199
UNITED STATES TREAS NTS DTD 10/31/2012 .25% DUE 10-31-2014 REG	06/20/13	06/07/12	50,045	49,953	91
BB&T CORP SR MEDIUM TERM NTS 1 45 01-12-2018	07/01/13	03/27/13	50,020	49,938	82
COSTCO WHSL CORP 1 7% DUE 12-15-2019	07/10/13	07/31/12	24,967	24,944	23
UTD STATES TREAS 25% DUE 11-30-2014	07/10/13	03/27/13	100,086	100,016	70
US TREAS NTS 625 DUE 04-30-2013	07/19/13	07/26/12	75,000	75,100	(100)
UTD STATES TREAS 5% DUE 05-31-2013	07/19/13	07/31/12	50,017	50,065	(47)
UNITED STATES TREAS NTS 0 25 10-31-2013	07/19/13	10/26/12	49,994	50,031	(37)
UNITED STATES TREAS NTS NT .375 DUE 06-30-2013 REG	07/19/13	12/14/12	50,021	50,045	(24)
UTD STATES TREAS 75% DUE 09-15-2013	07/19/13	03/12/13	50,000	50,176	(176)
VODAFONE GROUP PLC 1 5% DUE 02-19-2018	07/19/13	03/22/13	74,619	74,655	(36)
UNITED STATES TREAS NTS DTD 02/28/2013 .25% DUE 02-28-2015 REG	07/19/13	07/09/13	25,008	24,996	12
UNITED STATES TREAS NTS DTD 02/28/2013 .25% DUE 02-28-2015 REG	07/22/13	06/07/12	25,001	24,996	5
UNITED STATES TREAS NTS DTD 02/28/2013 .75% DUE 02-28-2018 REG	08/20/13	06/07/12	25,110	24,851	260
UNITED STATES TREAS NTS DTD 02/28/2013 1 25% DUE 02-29-2020 REG	09/16/13	02/08/13	24,826	24,767	59
UNITED STATES TREAS NTS DTD 02/28/2013 1 25% DUE 02-29-2020 REG	09/20/13	06/07/12	25,274	24,767	508
UNITED STATES TREAS NTS 1.5% DUE 12-31-2013 REG	10/16/13	02/08/13	50,000	50,518	(518)
US TREAS NTS 0 375 03-15-2016	10/17/13	04/05/13	24,916	24,996	(80)
UNITED STATES TREAS NTS DTD 7-15-10 1 0%DUE 07-15-2013	10/17/13	06/20/13	50,006	50,135	(129)
UNITED STATES TREAS NTS NT 375 DUE 06-30-2013 REG	10/18/13	09/11/13	50,000	50,033	(33)
UNITED STATES TREAS NTS 25 DUE 03-31-2014 REG	10/21/13	06/07/12	150,087	150,129	(42)
US TREAS NTS 00377 .25 DUE 11-30-2013 REG	11/20/13	06/07/12	125,000	125,093	(93)
UNITED STATES TREAS NTS 1.5% DUE 12-31-2013 REG	12/02/13	04/05/13	50,000	50,363	(363)
UTD STATES TREAS 1.25% DUE 02-15-2014	12/20/13	06/07/12	50,185	50,363	(178)
GEN ELEC CAP CORP MEDIUM TERM NTS BO 1.5 DUE 07-12-2016	12/31/13	03/22/13	80,429	79,974	454
UNITED STATES TREAS NTS DTD 04/30/2012 .25% DUE 04-30-2014 REG	12/31/13	06/20/13	50,035	50,049	(14)
			2,523,662	2,517,846	5,816
Long-Term Sales					
GNMA POOL #782520 5 5% 12-15-2038 BEO	01/17/12	08/10/11	808	808	0
GNMA POOL #782520 5 5% 12-15-2038 BEO	02/15/12	08/10/11	1,032	1,032	0
GNMA POOL #782520 5 5% 12-15-2038 BEO	05/15/12	08/10/11	1,210	1,210	0
GNMA POOL #782520 5.5% 12-15-2038 BEO	06/15/12	08/10/11	1,070	1,070	0
GNMA POOL #782520 5 5% 12-15-2038 BEO	10/22/12	06/07/12	969	969	0
GNMA POOL #782520 5 5% 12-15-2038 BEO	11/20/12	06/07/12	1,113	1,113	0
OCCIDENTAL PETE 1 75% DUE 02-15-2017	01/14/13	08/15/11	25,647	24,762	886
FEDERAL HOME LN MTG CORP 2 DUE 03-21-2019	01/15/13	08/10/11	50,000	50,000	0

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GNMA POOL #005228 3 5% 11-20-2026 BEO	01/18/13	10/04/12	748	748	0
GNMA POOL #005228 3 5% 11-20-2026 BEO	01/22/13	06/07/12	645	645	0
GNMA POOL #005228 3.5% 11-20-2026 BEO	01/25/13	11/28/12	1,101	1,101	0
3M CO 1.375 DUE 09-29-2016	01/31/13	09/26/11	8,155	7,953	202
3M CO 1.375 DUE 09-29-2016	01/31/13	09/26/11	17,330	16,857	473
PRIN LIFE INC 5.1% DUE 04-15-2014	02/05/13	04/13/04	15,739	14,975	765
PRIN LIFE INC 5 1% DUE 04-15-2014	02/05/13	05/05/05	41,972	40,950	1,022
GNMA POOL #005228 3.5% 11-20-2026 BEO	02/15/13	08/10/11	772	772	0
US TREAS NTS 1.375 DUE 03-15-2013 REG	02/22/13	02/09/11	25,017	25,268	(251)
ABBNEY NATL TREAS 4% DUE 04-27-2016	03/01/13	04/20/11	26,586	25,086	1,500
GNMA POOL #005228 3.5% 11-20-2026 BEO	03/15/13	08/10/11	689	689	0
HYDRO-QUEBEC GTD GLOBAL NT 2% DUE 06-30-2016 REG	03/25/13	06/24/11	20,809	20,036	773
HYDRO-QUEBEC GTD GLOBAL NT 2% DUE 06-30-2016 REG	03/25/13	06/24/11	15,607	15,025	582
HEWLETT PACKARD CO 3% DUE 09-15-2016	03/25/13	09/13/11	25,768	24,946	822
FEDERAL HOME LN MTG CORP .75 BDS DUE 03-28-2013 REG	03/28/13	02/15/11	75,000	74,615	385
BK MNTRL MED TERM SENR NTS BK EN DTD 1-11-12 2.5 1-11-17	04/10/13	01/06/12	26,172	24,949	1,224
GNMA POOL #005228 3.5% 11-20-2026 BEO	04/11/13	11/14/12	827	827	0
FHLMC PREASSIGN 00057 1 625 04-15-2013	04/15/13	02/17/11	75,000	75,959	(959)
GNMA POOL #005228 3 5% 11-20-2026 BEO	04/15/13	08/10/11	899	899	0
FNMA FANNIE MAE 1 375 11-15-2016	05/23/13	10/20/11	61,581	59,871	1,710
COLGATE-PALMOLIVE CO MTN BOOTRANCHE # TR00094 1.3 1-15-17/11-8-11	05/23/13	11/03/11	25,314	24,866	449
GNMA POOL #782520 5.5% 12-15-2038 BEO	05/29/13	08/10/11	20,945	22,293	(1,348)
VERIZON 6 1% DUE 04-15-2018	06/11/13	04/03/08	29,641	25,481	4,160
GENERAL ELEC CAP CORP MEDIUM TERM NT 4 375% DUE 09-16-2020	06/11/13	09/13/10	26,948	24,804	2,144
HYDRO-QUEBEC GTD GLOBAL NT 2% DUE 06-30-2016 REG	06/11/13	06/23/11	36,146	34,993	1,153
FHLB FEDERAL HOME LOAN BANKDUE 06/21/2013 1.875 06-21-2013	06/21/13	02/15/11	75,000	76,276	(1,276)
GNMA POOL #782520 5 5% 12-15-2038 BEO	06/21/13	08/10/11	0	125	(125)
WESTPAC BKG CORP 3% DUE 12-09-2015	07/19/13	12/02/10	31,413	29,968	1,445
ROYAL BK CDA GLOBAL MEDIUM TERM SR BK 1.15 DUE 03-13-2015	07/19/13	03/07/12	50,423	49,984	439
HSBC HLDGS PLC 4 DUE 03-30-2022	07/19/13	03/27/12	46,186	44,707	1,480
US TREAS NTS 125 DUE 08-31-2013	08/21/13	07/31/12	50,002	49,965	37
UTD STATES TREAS 1.25% DUE 02-15-2014	10/17/13	02/09/11	50,185	49,850	336
UNITED STATES TREAS NTS DTD 04/30/2012 .25% DUE 04-30-2014 REG	10/18/13	04/26/12	50,035	49,988	47
FNMA PREASSIGN 00441 2 875 12-11-2013	12/11/13	12/12/08	85,000	86,826	(1,826)
			1,099,502	1,083,258	16,244

		Date of				
		Date of Sale	Acquisition	Proceeds	Cost	Net Gain or Loss
MORGAN STANLEY GLOB 4.75% 4/01/14		01/04/2013	Various	41,415	41,687	(272)
INTEL CORP 1.95% 10/01/16		01/09/2013	Various	41,478	39,939	1,539
AMERICAN INTL GROUP 4.25% 9/15/14		01/10/2013	Various	21,099	19,802	1,298
FED HOME LOAN MTG CO 2.875% 2/09/15		01/11/2013	Various	36,852	36,327	524
UNITED STATES T-NOTE 2.25% 7/31/18		01/15/2013	Various	16,131	15,659	473
CATHOLIC HEALTH INITI 2.95% 11/01/22		01/15/2013	Various	15,112	14,960	152
U.S. TREASURY NOTE 2.625% 11/15/20		01/16/2013	Various	70,934	60,908	10,026
DR PEPPER SNAPPLE GROU 2.9% 1/15/16		01/18/2013	Various	21,099	20,797	302
PRIVATE EXPORT FNDG 4.375% 3/15/19		01/29/2013	Various	11,729	10,614	1,115
U.S. TREASURY NOTE 0.75% 9/15/13		01/29/2013	Various	5,019	4,980	39
PRIVATE EXPORT FDG 1.45% 8/15/19		01/29/2013	Various	34,840	34,930	(90)
FED HOME LOAN BANK 3.625% 10/18/13		01/31/2013	Various	66,607	68,808	(2,201)
WAL-MART STORES INC 2.8% 4/15/16		02/25/2013	Various	47,944	44,834	3,110
U S TREASURY NOTE 0.75% 10/31/17		02/28/2013	Various	5,011	5,026	(15)
U.S. TREASURY NOTE 2.375% 9/30/14		03/06/2013	Various	31,004	31,524	(519)
FED NATL MTGE ASSN 3.0% 9/16/14		03/26/2013	Various	5,200	5,239	(38)
U.S. TREASURY NOTE 1.75% 5/15/22		03/27/2013	Various	90,327	91,052	(725)
DIAGEO FINANCE BV 5.5% 4/01/13		04/01/2013	Various	15,000	16,245	(1,245)
U.S. TREASURY NOTE 0.75% 9/15/13		04/03/2013	Various	50,144	49,802	342
U S TREASURY NOTE 1.25% 2/29/20		04/10/2013	Various	50,238	50,141	97
U.S. TREASURY NOTE 0.875% 4/30/17		04/17/2013	Various	101,445	100,649	796
BEAR STEARNS CO INC 5.55% 1/22/17		04/24/2013	Various	45,544	44,248	1,297
U.S. TREASURY NOTE 2.625% 1/31/18		05/01/2013	Various	21,904	20,233	1,671
GENERAL ELEC CAP CORP 4.8% 5/01/13		05/01/2013	Various	45,000	48,081	(3,081)
ROGERS WIRELESS INC 6.375% 3/01/14		05/10/2013	02/16/2011	26,128	27,999	(1,872)
TELEFONICA EMISIONES 4.949% 1/15/15		05/28/2013	Various	36,919	36,702	217
U.S. TREASURY NOTE 1.25% 4/30/19		06/03/2013	09/21/2012	24,983	25,293	(310)
U S ETREASURY NOTE 0.625% 4/30/18		06/03/2013	05/10/2013	4,906	4,963	(56)
U.S. TREASURY NOTE 2.625% 1/31/18		06/19/2013	05/19/2011	10,740	10,116	623
PRIVATE EXPORT FUNDI 2.45% 7/15/24		07/02/2013	Various	91,851	99,604	(7,753)
U S TREASURY NOTE 1.625% 11/15/22		07/02/2013	12/19/2012	42,020	44,350	(2,329)
U.S. TREASURY NOTE 1.75% 5/15/22		08/02/2013	06/29/2012	33,065	35,317	(2,252)
U S TREASURY NOTE 1.625% 11/15/22		08/02/2013	12/19/2012	18,479	19,711	(1,232)
NORTH TEX TWY AUTH 2.441% 9/01/13		09/01/2013	Various	45,000	45,250	(250)
VERIZON COMMUNICATIONS 5.5% 2/15/18		09/10/2013	02/11/2011	27,192	27,287	(95)
VERIZON COMMUNICATIONS 5.5% 2/15/18		09/11/2013	02/11/2011	16,569	16,372	197
CITIGROUP INC 5.0% 9/15/14		09/13/2013	02/11/2011	25,929	26,170	(242)
TSY INFL IX N/B 0.125% 4/15/18		09/16/2013	04/17/2013	61,921	65,383	(3,463)
U.S. TREASURY NOTE 3.75% 11/15/18		09/19/2013	02/18/2011	50,099	46,897	3,202
U.S. TREASURY NOTE 2.375% 7/31/17		09/19/2013	05/19/2011	5,243	5,026	217
U.S. TREASURY NOTE 2.625% 1/31/18		09/19/2013	05/19/2011	21,127	20,233	894
U.S. TREASURY NOTE 1.5% 3/31/19		09/19/2013	04/03/2012	9,934	9,888	47
UNITED STATES T-NOTE 2.25% 7/31/18		09/19/2013	08/24/2011	10,368	10,439	(71)
U.S. TREASURY NOTE 1.25% 4/30/19		09/19/2013	09/21/2012	24,445	25,293	(848)
U.S. TREASURY NOTE 2.625% 1/31/18		09/20/2013	05/19/2011	5,284	5,058	226
U.S. TREASURY NOTE 2.625% 1/31/18		09/24/2013	05/19/2011	15,881	15,175	706
U.S. TREASURY NOTE 2.625% 1/31/18		09/24/2013	05/19/2011	5,290	5,058	231
UNITED STATES TIPS 0.625% 7/15/21		09/25/2013	08/05/2011	26,947	26,915	32
U S TREASURY NOTE 0.625% 9/30/17		09/25/2013	10/22/2012	19,633	19,859	(226)
US TREASURY INFLATION INDEXED BOND		09/25/2013	03/27/2013	39,253	43,681	(4,428)
U.S. TREASURY NOTE 2.625% 1/31/18		10/01/2013	05/19/2001	5,303	5,058	245
U.S. TREASURY NOTE 2.375% 7/31/17		10/02/2013	05/18/2012	5,260	5,026	235
U.S. TREASURY NOTE 0.875% 4/30/17		10/02/2013	05/18/2012	4,997	5,032	(35)
US TREASURY INFLATION INDEXED BOND		10/02/2013	03/27/2013	44,283	49,147	(4,864)
KRAFT FOODS INC 4.125% 2/09/16		10/08/2013	03/01/2011	5,336	5,212	124
JOHNSON CONTROLS INC 5.5% 1/15/16		10/08/2013	03/24/2011	5,476	5,554	(78)
UNITED STATES TREAS NT .25% 12/15/15		10/29/2013	01/16/2013	14,970	14,958	12
U.S. TREASURY NOTE 2.0% 2/15/22		10/31/2013	02/23/2012	14,676	15,009	(334)
DAIMLERCHRYSLER NORTH 6.5% 11/15/13		11/15/2013	02/17/2011	30,000	33,710	(3,710)
U.S. TREASURY NOTE 0.75% 12/15/13		12/15/2013	Various	175,000	176,346	(1,346)

Sehgal Family Foundation
2013 Capital Gain(Loss) Detail - BNY Fixed Income
STATEMENT C

TSY INFL IX N/B 2.375% 1/15/17	12/18/2013	02/10/2011	12,794	12,745	50
MCDONALD'S CORP 5.8% 10/15/17	12/18/2013	02/11/2011	11,605	11,424	181
PFIZER INC 6.2% 3/15/19	12/18/2013	03/29/2011	5,979	5,765	214
DOW CHEMICAL CO/THE 4.25% 11/15/20	12/18/2013	11/04/2011	5,341	5,120	221
U.S. TREASURY NOTE 2.0% 1/31/16	12/18/2013	02/10/2011	46,557	44,690	1,867
JOHN DEERE CAPITAL CO 1.25% 12/02/14	12/18/2013	11/29/2011	10,083	9,987	95
ROYAL BANK OF CANADA 1.15% 3/13/15	12/18/2013	03/07/2012	15,123	14,995	127
U.S. TREASURY NOTE 0.25% 8/15/15	12/18/2013	08/14/2012	4,999	4,980	19
FED HOME LOAN BANK 0.625% 12/28/16	12/18/2013	11/14/2013	9,978	9,974	4
WACHOVIA CORPORATION 5.25% 8/01/14	12/18/2013	11/14/2012	10,272	10,697	(425)
UNITED TECHNOLOGIES 6.125% 2/01/19	12/18/2013	09/28/2011	11,944	12,456	(512)
CATERPILLAR FINANCIA 6.125% 2/17/14	12/18/2013	03/01/2011	20,168	22,627	(2,459)
FED NATL MTGE ASSN 3.0% 9/16/14	12/18/2013	03/11/2011	20,421	20,955	(533)
TD AMERITRADE HLDG CO 4.15% 12/01/14	12/18/2013	02/23/2011	10,323	10,441	(118)
SIMON PROPERTY GROUP 4.2% 2/01/15	12/18/2013	05/12/2011	10,310	10,667	(357)
UNITED STATES TIPS 0.625% 7/15/21	12/18/2013	08/05/2011	5,316	5,387	(72)
U.S. TREASURY NOTE 2.0% 2/15/22	12/18/2013	Various	139,471	144,104	(4,632)
MANITOBA PROVINCE 1.75% 5/30/19	12/18/2013	05/23/2012	34,587	34,961	(374)
U S TREASURY NOTE 0.625% 9/30/17	12/18/2013	10/22/2012	98,543	99,297	(755)
TSY INFL IX N/B 0.125% 4/15/18	12/18/2013	04/17/2013	15,565	16,359	(794)
APPLE INC 1.0% 5/03/18	12/18/2013	04/30/2013	4,859	4,982	(123)
SOCIETE GENERALE 2.625% 10/01/18	12/18/2013	11/21/2013	5,070	5,083	(13)
NOVA SCOTIA PROVINCE 5.125% 1/26/17	12/19/2013	03/03/2011	11,265	11,079	187
TSY INFL IX N/B 1.375% 7/15/18	12/19/2013	02/10/2011	47,117	45,031	2,085
ONTARIO (PROVINCE OF) 4.0% 10/07/19	12/19/2013	02/28/2011	5,453	5,105	348
U S TREASURY NOTE 1.25% 3/15/14	12/19/2013	03/30/2011	205,568	204,905	663
UNITED STATES TREAS NT .25% 12/15/15	12/19/2013	01/16/2013	14,971	14,958	12
US TSY INFL IX N/B 0.125% 4/15/16	12/19/2013	10/02/13	16,301	16,333	(32)
US TSY INFL INDX BD 0.125% 4/15/17	12/19/2013	10/02/2013	15,871	15,940	(69)
U.S. TREASURY NOTE 1.75% 5/15/22	12/19/2013	01/15/2013	23,273	24,994	(1,721)
UNITED STATES TREAS 0.625% 10/15/16	12/19/2013	12/12/2013	50,004	50,039	(35)
			2,794,711	2,815,653	(20,942)

STATEMENT D

Name & Address	Relationship	Foundation Status	Purpose	Amount
Alliance for the Great Lakes 17 N. State Street, Suite 1390 Chicago, IL 60602	None	Public	Support the charitable purpose of protecting and preserving the Great Lakes.	10,000
Bharathi Theertha 1213 Stonebriar Court Naperville, IL 60540	None	Public	Support the charitable purpose of providing education and awareness of safe water and sanitation issues.	500
Chicago Council on Global Affairs 332 South Michigan Avenue, Suite 1100 Chicago, IL 60604-4416	None	Public	Support the charitable purpose of sustaining public and leadership programs in Chicago.	2,500
Ding Darling Wildlife Society 1 Wildlife Dr. P.O. Box 565 Sanibel Island, FL 33957	None	Public	Support the charitable purpose of providing environmental education, research and conservation.	250
Food Bank of Iowa 2220 E. 17th Street Des Moines, IA 50316	None	Public	Support the charitable purpose of alleviating hunger in Iowa through food distribution, partnership, and education.	1,000
India Association of Fort Myers P.O. Box 07183 Fort Myers, FL 33919	None	Public	Support the charitable purpose of celebrating the rich culture and festivals associated with India to promote the understanding and tolerance of people of Indian origin.	1,000
Special Olympics Iowa 551 S.E. Dovetail Road Grimes, IA 50111	None	Public	Support the charitable purpose of providing individuals with intellectual disabilities the opportunity to participate in athletic competition to improve confidence and self-esteem.	1,500
United Way of Lee County 7273 Concourse Drive Fort Myers, FL 33908	None	Public	Support the charitable purpose of funding local charitable organizations that make a measurable impact on the lives of individuals in the community.	2,000
Institute of Social Sciences No. 8, Nelson Mandela Road New Delhi, India	None	Public	Support the charitable purpose of providing education and of human rights and women's empowerment.	860
AADHAR 181/A, Bhoorgaon, Panditwan-II, Dehradun Uttarakhand, India	None	Governmental	Support the charitable purpose of assisting families to rebuild after losing their homes to the flooding in Uttarakhand in 2012.	7,093
				26,703

STATEMENT D