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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

Department of the Treasury Internal Revenue Service

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

For c	alendar year 2013 or tax year beginning		, and ending		
Nar	ne of foundation			A Employer identification	number
D	NE CAPLAN FAMILY FOUNDATION	ON		42-1464965	
Nurr	ber and street (or P O box number if mail is not delivered to street	address)	Room/suite	B Telephone number	
_1	OAKNOLL CT.			(319)466-3	098
City	or town, state or province, country, and ZIP or foreign po	ostal code		C If exemption application is p	ending, check here
I	OWA CITY, IA 52246	<u>. </u>			
G C	heck all that apply: Initial return	Initial return of a fo	rmer public charity	D 1. Foreign organizations	s, check here $ ightharpoonup$
	Final return	Amended return		2 Facetan example at the ma	esting the 9504 test
	Address change	Name change		2 Foreign organizations me check here and attach co	mputation Lest,
H_C	heck type of organization: X Section 501(c)(3) ex	•		E If private foundation state	
		Other taxable private founda		under section 507(b)(1)	(A), check here
	ir market value of all assets at end of year J Accounting		Accrual	F If the foundation is in a (
		her (specify)		under section 507(b)(1)	(B), check here
	\$ 598,514. (Part I, colui	mn (d) must be on cash b	asis.)		(4)
Pa	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes
	necessarily equal the amounts in column (a))		IIICOME	IIICOING	(cash basis only)
	1 Contributions, gifts, grants, etc., received	20,700.			<u> </u>
	2 Check Interest on savings and temporary				
	3 cash investments	11,294.	11,294.		STATEMENT 1
	4 Dividends and interest from securities	11,494.	11,474.		SIMIEMENI I
	5a Gross rents b Net rental income or (loss)		· · ·		
	`	15,763.			
ne	6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 8a 32,697.	13,703.			
Revenue	7 Capital gain net income (from Part IV, line 2)		15,763.		
æ	8 Net short-term capital gain				
	9 Income modifications				
	Gross sales less returns 10a and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total Add lines 1 through 11	47,757.	27,057.	0.	
	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				<u> </u>
ģ	15 Pension plans, employee benefits				
Expenses	16a Legal fees b Accounting fees STMT 2	820.	0.	0.	820.
xpe	b Accounting fees STMT 2 c Other professional fees	020.			020.
	17 Interest	H REC	EIVED. I		
ati	18 Taxes STMT 3	9 341.	တ္တြင္း	0.	0.
istr	19 Depreciation and depletion	341. 8 MAY	2 2014 Q		
. <u>Ē</u>	20 Occupancy	4	(Ó)		
Ad	21 Travel, conferences, and meetings	OGD	EN, UT		
pug	22 Printing and publications				
ğ	23 Other expenses STMT 4	1,378.	0.	_0.	1,378.
rati	24 Total operating and administrative		_	_	
Operating and Administrative	expenses. Add lines 13 through 23	2,539.	0.	0.	2,198.
J	25 Contributions, gifts, grants paid	18,950.			18,950.
	26 Total expenses and disbursements	21 400	0.	0.	21,148.
	Add lines 24 and 25	21,489.	<u> </u>		41,140.
	27 Subtract line 26 from line 12:	26,268.			
	Excess of revenue over expenses and disbursements Net investment income (if negative, enter -0-)	20,200.	27,057.		-
	C Adjusted net income (if negative, enter -0-)	-	<u> </u>	0.	
	1 2 residence menune franchen al anne a 1			 	

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30			
	(must agree with end-of-year figure reported on prior year's return)	1	63	09,959.
2	Enter amount from Part I, line 27a	2		26,268.
3	Other increases not included in line 2 (itemize)	3		0.
4	Add lines 1, 2, and 3	4	_ 5	36,227.
5	Decreases not included in line 2 (itemize)	5		0.
_	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6		36,227.
ž				000 DE (0040

Form 990-PF (2013)

Part IV Capital Gains	and Losses for Tax on In	vestment	Income				
(a) List and desc 2-story brick wa	ribe the kind(s) of property sold (e.g arehouse; or common stock, 200 shs	., real estate, s. MLC Co.)		Ι Ρ-Ρι	acquired urchase onation	(c) Date acquire (mo., day, yr.)	
1a 563.380SH TIAA	CREF LARGE-CAP	RETAIL	CLASS			02/01/1	0 11/08/13
b 640.615SH TIAA	CREF GROWTH & N	D RETA	IL CLASS			11/14/0	7 11/12/13
c CAPITAL GAINS	DIVIDENDS						
<u>d</u>							
e				<u> </u>			
(e) Gross sales price	(f) Depreciation allowed (or allowable)		t or other basis xpense of sale			(h) Gain or ((e) plus (f) mil	loss) nus (g)
a 9,996.			9,00				991.
ь 9,996.			7,92	9.			2,067.
c 12,705.							12,705.
d							
<u>e</u>	<u> </u>	<u> </u>					
Complete only for assets showing	ng gain in column (h) and owned by	the foundation	on 12/31/69			Gains (Col. (h)	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis		cess of col. (I)		COL	(k), but not less Losses (from o	
(1) 1 1111 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	as of 12/31/69	over	col. (j), if any				
<u>a</u>							991.
b							2,067.
<u> </u>			· · · · · · · · · · · · · · · · · · ·				12,705.
<u>d</u>				_			
е		l	•				
2 Capital gain net income or (net ca	apital loss) $ \begin{cases} If gain, also enter \\ If (loss), enter -0 \end{cases} $	r in Part I, line i - in Part I, line	7 7	} 2			15,763.
3 Net short-term capital gain or (los	ss) as defined in sections 1222(5) ar	nd (6):					
If gain, also enter in Part I, line 8,		, ,		\			
If (loss), enter -0- in Part I, line 8 Part V Qualification U	Inder Section 4940(e) for	Deduced	Tau an Nat] 3	Ann and In a		/A
(For optional use by domestic private if section 4940(d)(2) applies, leave to Was the foundation liable for the sectif "Yes," the foundation does not qua	his part blank. Ition 4942 tax on the distributable am lify under section 4940(e). Do not co	nount of any ye	ar in the base pei	rıod?			Yes X No
1 Enter the appropriate amount in	each column for each year; see the i	nstructions bef	ore making any e	ntries.			, n
(a) Base period years Calendar year (or tax year beginni	(b) Ing in) Adjusted qualifying dis	stributions	Net value of no			(col. (b	(d) istribution ratio) divided by col. (c))
2012	2	4,223.			02,152		.048238
2011		5,381.			73,751		.053575
2010		5,171.			03,650		.062358
2009		2,465.			50,274		.064136
2008	2	0,860.		3	91,633	•	.053264
2 Total of line 1, column (d)						2	.281571
3 Average distribution ratio for the		on line 2 by 5,	or by the number	of years			056044
the foundation has been in existe	ence if less than 5 years					3	.056314
4 Enter the net value of noncharital	ble-use assets for 2013 from Part X,	line 5				4	560,133.
5 Multiply line 4 by line 3						5	31,543.
6 Enter 1% of net investment incor	me (1% of Part I line 27h)					6	271.
	(170 or 1 art i, illio 210)					7	31,814.
7 Add lines 5 and 6							
8 Enter qualifying distributions from If line 8 is equal to or greater that	m Part XII, line 4 n line 7, check the box in Part VI, line	e 1b, and comp	lete that part usir	ng a 1% t	ax rate.	8	21,148.
See the Part VI instructions.		,					

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(c), or 4948 - see instructions)		990-PF (2013) DNE CAPLAN FAMILY FOUNDATION		<u>42-1</u>	<u> 464965</u>		Page 4
Date of ruling or determination letter: (attach copy of letter if necessary-see instructions) b Onnestic forundations that met the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b e All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, obt. (b). 2 Tax under section 511 (domestic section 4947(e)(1) trusts and taxable foundations only. Others enter -0-) 3 Add lines 1 and 2 3 S.541. 3 Sobtile A (nonemplax (domestic section 4947(e)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3.1 ffz for or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3.1 ffz for or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3.1 ffz for or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3.1 ffz for or less, enter -0- 5 Tax paid with application for extension of time to file (Form 8868) 6 Backup withholding erroneously withhold 7 Tolat credits and payments. Add lines 64 through 56 6 Backup withholding erroneously withhold 8 Either any pensity for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 8 Enter any pensity for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 9 Tax due if the fold of lines 5 and 5 is more than the total of lines 5 and 5, enter the amount overpaid 10 Overpayment. If their 7 is more than the total of lines 5 and 5, enter the amount overpaid 11 Tenter than amount of line 10 to be Credited to 201 standard tax. Pendential states and the part of the states of th				948 -	see instru	ictio	ns)
b Domestic foundations that meet the section 4947(e) requirements in Part V, check here ▶ ☐ and unter 1% of Part I, line 12 col. (b). 2 All other domestic foundations enter 2% of line 27th. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(e)(1) trusts and taxable foundations only. Others enter -0-) 3 Add lines 1 and 2 4 Subtile A (morrie) bax (domestic section 4947(e)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3.1/2400 or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3.1/2400 or less, enter -0- 6 Condist/Payments. 2 2 0. 3 3 541. 4 0. 5 Tax based on investment income. Subtract line 4 from line 3.1/2400 or less, enter -0- 5 Condist/Payments. 2 2 0. 3 3 541. 4 0. 5 Tax based on investment income. Subtract line 4 from line 3.1/2400 or less, enter -0- 5 Condist/Payments. 4 0. 5 Exterior organizations - tax withheld at Source 6 Bay and with a subtract line 4 from line 5 line 6 from 898.9 6 Bay Bay beginning to the foundation in 5 through 86 8 Backup withholding erroreously withheld 7 0. 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 9 548. 7 0. 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 9 548. 10 Enter the amount of line 10 to be. Credited to 2014 estimated tax because the foundation of line 10 to be. Credited to 2014 estimated tax because the foundation of line 10 to be. Credited to 2014 estimated tax because the foundation for line in the foundation attempt to influence any national, stale, or local legislation or did it participate or interven in any pothical campager? 8 Did it spend more than \$100 dump if he year (either directive or indirective) for policeal prepriotes give in introduction for line definition? 1 If the answer is 'Yes' to la or 10, attach a detailed description of the activities and capped on any mater							
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a All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, Others enter -0-) Add lines 1 and 2 Subtile A (increme) tax (domestic section 4947(a)(1) trusts and taxable foundations only, Others enter -0-) 5 5 5 5 5 5 5 5 5 5 5 5 6 Credits/Payments: 5 5 5 6 Credits/Payments: 8 2 2013 estimated tax payments and 2012 overpayment credited to 2013 8 Exempt foreign organizations - tax withheld at source 7 Crax paid with application for extension of time to file (Form 8868) 8 Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here □ if Form 2220 is attached 7 Total credits and payments. Add lines 6a through 6d 8 Total credits and payments. Add lines 5 and 6. Bin the annount of the 10 lines 5 and 8 is more than line 7, enter amount ower paid 10 11 Enter the amount of line 10 lines 5 and 8 is more than line 7, enter amount ower paid 11 12 13 14 15 15 15 15 15 15 15 15 15			inter 1%	1		5	<u>41.</u>
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3 541. Subtile A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0- 6 Credisch?ayments: 8 2013 estimated tax payments and 2012 overpayment credited to 2013 8 a							^
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6. CreditsPayments: a 2013 astimated tax payments and 2012 overpayment credited to 2013 b. Exempt foreign organizations - tax withheld at source or Tax paid with application for extension of time to file (Form 8668) d. Backup withholding erroneously withheld 7. Total credits and payments. Add lines 6 at through 6d 8. Enter any penalty for underpayment of estimated tax. Check here I of Form 2220 is attached 8. 7. 7 9. Tax due if the total of lines 5 and 8 is more than line 7, enter amount owed 9. 9. 548. 10. Overpayment. Il file 7 is more than the total of lines 5 and 8, enter the amount overpaid 10. The sound of line 10 be for Credited to 2014 estimated tax. Part VII-A Statements Regarding Activities 1a. During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any penalty or intervene in the state of the second of th) -)	- +			41
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E Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withhold 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated fax. Check here							
d Backup withholding erroneously withhold 7 Total credits and payments. Add lines 6s a through 6d 7 Total credits and payments. Add lines 6s a through 6d 8 7. 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed 9 548. 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be Credited to 2014 estimated tax ▶ 10 11 Enter the amount of line 10 to be Credited to 2014 estimated tax ▶ Refunded ▶ 11 12 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did it spend more than \$100 during the year (either directly) for political purposes (see instructions for the definition)? 1b X 1b L X 1c Hithe answer is "Yes" to 1a or 1b, attach a detailed description of the activities 1c Did the foundation file Form 1120-PDL for this year? 2c Did the foundation file Form 1120-PDL for this year? 3c Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: 3c Did the foundation in Erom 1120-PDL for this year? 3c Did the foundation magager in any activities that have not previously been reported to the IRS? 3c Place of the similar instruments? If "Yes," attach a detailed description of the activities 3c Did the foundation madager in any activities that have not previously been reported to the IRS? 3c Place of the similar instruments? If "Yes," attach a conformed copy of the changes 3d Did the foundation have unrelated description of the activities 3d Did the foundation have unrelated business gross income of \$1,000 or more during the year? 3d Place of the similar instruments? If "Yes," attach a conformed copy of the changes 3d Did the foundation have unrelated							
7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 8 7. 9 Tax due if the total of lines 5 and 8 is more than line 7, enter amount owed 9 5 48. 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount owed 10 Did the total of lines 5 and 8 is more than line 7, enter amount owerpaid 11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ▶ Refunded 11 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities c Did the foundation lite Form 1120-PDI. for this year? 2 Enter the emount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. Enter the rembursement (if any) by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. Enter the rembursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. Enter the rembursement (if any) paid by the foundation of the activities 3 Has the foundation engaged in any activities that have not previously been reported to the IRS; in its governing instrument, articles of incorporation, or bylaws, or other small instructions bylaws, or other small instructions, and previously legislate to the foundati		· · · · · · · · · · · · · · · · · · ·					
8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached		- Land of the state of the stat		,			Λ
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10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ▶ Refunded ▶ 11 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? 1b Line answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation monnection with the activities 1c Did the foundation flee Form 1120-POL for this year? 1c Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0.2 (2) On foundation managers. ▶ \$ 0.2 2 Has the foundation on paged in any activities that have not previously been reported to the IRS? 1f "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS? 1f "Yes," attach a detailed description of the activities 3 Has the foundation have unrelated business gross income of \$1,000 or more during the year? 1f "Yes," attach the statement required by General Instruction T 5 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 1 by language in the governing instrument, or \$ 100 or more during the year? 2 by language in the governing instrument? 3 by language in the governing instrument or approach that effectively amends the governing instrument on that on manadatory directions that conflict with the state law remain in the governing instrument? 2 by language in the governing instrumen							
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ▶ Refunded ▶ 11 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities c Did the foundation like Form 1120-POL for this year? d Enter the amount (if any) of lax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? N/A 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • by language in the governing instrument, or • Py state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or • By state legislation that effectively amends the governing instrument so that					·		10 •
Part VII-A Statements Regarding Activities		• •	Refunded		· · · · · · · · · · · · · · · · · · ·		
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orm 990-PF (2013) DNE CAPLAN FAMILY FOUNDATION	42-1464	<u> 1965</u>	Page 5
Part VII-A Statements Regarding Activities (continued)			
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
section 512(b)(13)? If "Yes," attach schedule (see instructions)		11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advise	ry privileges?		
If "Yes," attach statement (see instructions)		12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13 2	ζ
Website address ► N/A			
14 The books are in care of ▶ DR. RICHARD CAPLAN Telephone	no. ⊳ <u>(319)4</u>		<u> </u>
Located at ► 1 OAKNOLL CT, IOWA CITY, IA	_ ZIP+4 ▶ 52	2246	
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/2	
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank,		, Y	s No
securities, or other financial account in a foreign country?		16	X_
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign			1
country			
Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required		T	
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Y	s No
1a During the year did the foundation (either directly or indirectly):	, <u></u>		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes X No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	3 (==)		
a disqualified person?	Yes X No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	Yes X No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes X No		
(5) Transfer any income or assets to a disqualified person (or make any of either available] [##]		
for the benefit or use of a disqualified person)?	Yes X No		
(6) Agree to pay money or property to a government official? (Exception. Check "No"			
if the foundation agreed to make a grant to or to employ the official for a period after] []		
termination of government service, if terminating within 90 days.)	Yes X No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	37 / 3	l l	
section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b	
Organizations relying on a current notice regarding disaster assistance check here	-		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected		1	.
before the first day of the tax year beginning in 2013?		1c	<u> </u>
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation was a private operating foundation.	ion	1 1	
defined in section 4942(j)(3) or 4942(j)(5)):	2012		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) begins before 20123	Yes X No		
teme at the Second	ואו באבן אפזינ		
If "Yes," list the years ▶	rract		
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and at			
statement - see instructions.)	N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	14/11		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			1
during the year?	Yes X No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified person			
May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7))			
of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedu			
Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	,	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable pur	pose that		
had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b	х
	Fo		PF (2013)

orm 990-PF (2013) DNE CAPLAN FAMILY FOUNDA			<u>42-14649</u>	65	Page 6
Part VII-B Statements Regarding Activities for Which F	orm 4720 May Be F	Required (contin	ued)		
5a During the year did the foundation pay or incur any amount to:					
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	4945(e))?	Ye	s X No		
(2) Influence the outcome of any specific public election (see section 4955); or	to carry on, directly or indire				
any voter registration drive?			s X No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?)	Ye	s X No		
(4) Provide a grant to an organization other than a charitable, etc., organization	described in section				1
509(a)(1), (2), or (3), or section 4940(d)(2)?		Y6	s X No		
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or f				1
the prevention of cruelty to children or animals?		Y6	s X No		1
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	er the exceptions described i	ın Regulations			
section 53.4945 or in a current notice regarding disaster assistance (see instruc	ctions)?		N/A	5b	
Organizations relying on a current notice regarding disaster assistance check he	ere				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from	om the tax because it mainta	ined			
expenditure responsibility for the grant?	N	I/A 🔲 Ye	s 🔲 No		Ì
If "Yes," attach the statement required by Regulations section 53 4945	i-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to p	ay premiums on				
a personal benefit contract?		Ye	s X No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a po	ersonal benefit contract?			6b	X
If "Yes" to 6b, file Form 8870.					İ
7a At any time during the tax year, was the foundation a party to a prohibited tax sl	nelter transaction?	Y6	s X No		
b If "Yes," did the foundation receive any proceeds or have any net income attribu	table to the transaction?		N/A	7b	
Part VIII Information About Officers, Directors, Truste	es, Foundation Ma	nagers, Highly	/		
Paid Employees, and Contractors					
1 List all officers, directors, trustees, foundation managers and their of		Linkannanation	(d) Contributions to		
(a) Name and address	(b) Title, and average hours per week devoted	(c) Compensation (If not paid,	(d) Contributions to employee benefit plans and deferred	(e) Ex	pense t, other
	to position	`enter'-0-)	compensation	allowa	ances
SEE ATTACHED SCHEDULE					
				١.,	
	0.00	0.	0.	<u> </u>	<u> 360.</u>
·					
		ļ			
Compensation of five highest-paid employees (other than those inc	luded on line 1). If none	ontor "NONE "		L	
Compensation of live highest-paid employees (other than those inc	(b) Title, and average	enter NONE.	(d) Contributions to employee benefit plans	(e) Fx	nense
(a) Name and address of each employee paid more than \$50,000	hours per week	(c) Compensation	and deterred	(e) Ex accoun	t, other
NONE	devoted to position		compensation	allowa	ances
NONE					
			-	 	
				ļ	
		-		 	
 					
			-	 	
				 	
P. 1	<u> </u>	1		<u> </u>	
Total number of other employees paid over \$50,000			- Corm	990-PF	0

Form 990-PF (2013) DNE CAPLAN FAMILY FOUNDATION	42-	1464965 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundatio Paid Employees, and Contractors (continued)	n Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter "N	ONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
	. <u> </u>	
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical number of organizations and other beneficiaries served, conferences convened, research papers produce	information such as the d, etc.	Expenses
1 <u>N/A</u>		
		0.
2		
3		
4		
Part IX-B Summary of Program-Related Investments	440	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 N/A	1 and 2.	Amount
1 10/15		
		0.
2		
All other program-related investments. See instructions.		· · · · · · · · · · · · · · · · · · ·
3		
Total, Add lines 1 through 3	>	0.
		Form 990-PF (2013)

For	m 990-PF (2013) DNE CAPLAN FAMILY FOUNDATION	42-1	L464965 Page 8
P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations	ndations, se	e instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	565,501.
ь	Average of monthly cash balances	1b	3,162.
C	Fair market value of all other assets	10	-
d	Total (add lines 1a, b, and c)	1d	568,663.
	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0 •		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	568,663.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,530.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	560,133.
6	Minimum investment return. Enter 5% of line 5	6	28,007.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are foreign organizations check here ▶ ☐ and do not complete this part.)	id certain	
1	Minimum investment return from Part X, line 6	1	28,007.
2a	Tax on investment income for 2013 from Part VI, line 5		
b	Income tax for 2013. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	541.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	<u> 27,466.</u>
4	Recoveries of amounts treated as qualifying distributions	4	<u>0.</u>
5	Add lines 3 and 4	5	27,466.
6	Deduction from distributable amount (see instructions)	6	<u> </u>
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	27,466.
Р	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		04.440
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	21,148.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the	_	
	Suitability test (prior IRS approval required)	3a	
b	,	3b	01 110
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	21,148.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section

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income. Enter 1% of Part I, line 27b

Adjusted qualifying distributions. Subtract line 5 from line 4

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI,	· - · · · · · · · · · · · · · · · · · ·			
line 7				27,466.
2 Undistributed income, if any, as of the end of 2013				37,720,01
a Enter amount for 2012 only			0.	
b Total for prior years:				_
		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 1,245.				
b From 2009 5, 131.				
c From 2010 5,194.				
d From 2011 2,021.				
e From 2012				
f Total of lines 3a through e	13,591.			
4 Qualifying distributions for 2013 from				
Part XII, line 4: ► \$21,148.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2013 distributable amount				21,148.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount	6,318.			6,318.
must be shown in column (a)) 6 Enter the net total of each column as indicated below:				
	7,273.			
& Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,213.			
b Prior years' undistributed income. Subtract		^		
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of				
deficiency has been issued, or on which				
the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable	<u> </u>			
amount - see instructions		0.		
e Undistributed income for 2012. Subtract line		<u> </u>	· · · · · · ·	
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract			0.	
lines 4d and 5 from line 1. This amount must				
be distributed in 2014				0.
7 Amounts treated as distributions out of				<u></u>
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008		· · · · · · · · · · · · · · · · · · ·		
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014.				
Subtract lines 7 and 8 from line 6a	7,273.			
Analysis of line 9:			-	
a Excess from 2009 58.				
b Excess from 2010 5,194.				
c Excess from 2011 2,021.				
d Excess from 2012				
e Excess from 2013				
				Form 990-PF (2013)

Part XIV Private Operating For	AN FAMILY undations (see in	FOUNDATION structions and Part V	II-A. guestion 9)	N/A	64965 Page
1 a If the foundation has received a ruling or d				N/A	
foundation, and the ruling is effective for 2		, , ,			
b Check box to indicate whether the foundat		•	in section	4942(j)(3) or 49	942(J)(5)
2 a Enter the lesser of the adjusted net	Tax year	Todhidation desembed	Prior 3 years	1 4042())(0) 01 40	7-2()/(0)
income from Part I or the minimum	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
investment return from Part X for	(2) 20 10	(6) 2012	(0) 2011	(4) 2010	(0) 10(a)
· · · · · · · · · · · · · · · · · · ·				1	
each year listed b 85% of line 2a			···		
		 	-		
c Qualifying distributions from Part XII,					
line 4 for each year listed			 		
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities		 	<u> </u>		
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter:					
(1) Value of all assets (2) Value of assets qualifying					
under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter					<u> </u>
2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)					
(3) Largest amount of support from an exempt organization					-
(4) Gross investment income			 		
Part XV Supplementary Inform	nation (Comple	te this part only	if the foundation	had \$5,000 or mo	re in accete
at any time during the	year-see inst	ructions.)	ii tiio iodiidation	1144 40,000 01 1110	ne iii assets
Information Regarding Foundation I List any managers of the foundation who h year (but only if they have contributed more NONE	ave contributed more	than 2% of the total con ection 507(d)(2).)	tributions received by the	foundation before the clos	e of any tax
b List any managers of the foundation who o	wn 10% or more of th	e stock of a cornoration	(or an equally large portion	on of the ownership of a na	rtnership or
other entity) of which the foundation has a	10% or greater interes	st.	(or an oqually large porter	on the evilorent of a pa	it thorothy of
NONE	0 - 1 0 - 1	<u> </u>			
2 Information Regarding Contribution Check here ► X if the foundation only the foundation makes gifts, grants, etc. (see	makes contributions	to preselected charitable	organizations and does n	not accept unsolicited reque complete items 2a, b, c, and	ests for funds. If d.
f a The name, address, and telephone number $f N/A$					
b The form in which applications should be s $\mathbf{N/A}$	ubmitted and informati	tion and materials they s	hould include:		·
c Any submission deadlines:					
N/A d Any restrictions or limitations on awards, si	IICh as by geographic	al areas, charitable fields	kinds of institutions, or o	other factore:	
N/A	uon as by geographica	ai ai cas, ciiai ilaule lielus,	vinas oi institutions, or (otilei lautuis.	
323601 10-10-13					Form 990-PF (2013)

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Part XVI-A	Analysis of Income-Producing	Activities
------------	------------------------------	------------

nter gross amounts unless otherwise indicated.	Unrelated	business income		y section 512, 513, or 514	(e)
	(a) Business	(b) Amount	(C) Exclu- sion	(d)	Related or exempt
1 Program service revenue:	code	Amount	code	Amount	function income
a					
b	_				
C	_		_		
d		_	_		
e					
Face and contracts from any argment agencies					
g Fees and contracts from government agencies					
2 Membership dues and assessments			- - -		
3 Interest on savings and temporary cash investments			14		
Dividends and interest from securities			14	11,294.	
5 Net rental income or (loss) from real estate:				11,494.	
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal		 			
property 7 Other investment income					
• • • • • • • • • • • • • • • • • • • •			+		
8 Gain or (loss) from sales of assets other			18	12 705	2 050
than inventory Net income or (loss) from special events			10	12,705.	3,058.
Gross profit or (loss) from sales of inventory		······································			
1 Other revenue:			+		
a	_		- - -		
d		<u> </u>	- 		
A					
2 Subtotal. Add columns (b), (d), and (e)		(5.	23,999.	3,058.
3 Total Add line 12, columns (b), (d), and (e)				13	27,057.
See worksheet in line 13 instructions to verify calculations.	.)			.*	
		maliahmant -f	Evamet D		
Part XVI-B Relationship of Activities	s to the Acco	iipiisnment of	Exempt P	urposes	
Line No. Explain below how each activity for which in	ncome is reported in	column (e) of Part XV	I-A contributed	importantly to the accompli	shment of

Part X	Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes					
Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).	_				
	N/A	_				
		_				
		_				
		_				
		-				
		_				
		_				
		_				
		_				
		_				
		-				
		_				

Form **990-PF** (2013)

Sign	٩
Here	ì

Date

return with the preparer shown below (see instr.)? X Yes

	Print/Type preparer's name	Preparer's signature	Date	Check X If
		0	1	self- employed
Paid	BOB REHFUSS	Robert A Repfun	5-6-14	_
Preparer	Firm's name ► BOB REHFUSS	CPA PLC		Firm's EIN ▶
Lise Only				İ

P01260090 **►** 42-1522173

Firm's address ▶ 1101 5TH STREET, BOX 5718 CORALVILLE, IA 52241

Phone no. 319-248-0330 Form **990-PF** (2013)

Signature of officer or trustee

Title

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
 ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Employer identification number

	DNE CAPLAN FAMILY FOUNDATION	42-1464965
Organization type (chec		
Filers of:	Section:	
Form 990 or 990-EZ	501(c)() (enter number) organization	
	4947(a)(1) nonexempt charitable trust not treated as a private foundation	
	527 political organization	
Form 990-PF	X 501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust treated as a private foundation	
	501(c)(3) taxable private foundation	
	ion is covered by the General Rule or a Special Rule.	A. C.
Note: Only a section 50	01(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Ru	ne. See instructions
General Rule		
	ation filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in momplete Parts I and II.	oney or property) from any one
Special Rules		
509(a)(1) and 1	501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the reg I70(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the only form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II	
total contribution	601(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contrions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or edution of cruelty to children or animals. Complete Parts I, II, and III	
contributions for If this box is ch purpose Do no	501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributions did not to use exclusively for religious, charitable, etc., purposes, but these contributions did not to necked, enter here the total contributions that were received during the year for an exclusive of complete any of the parts unless the General Rule applies to this organization because it table, etc., contributions of \$5,000 or more during the year	tal to more than \$1,000 ely religious, charitable, etc ,
but it must answer "No"	on that is not covered by the General Rule and/or the Special Rules does not file Schedule E on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Forneet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)	The state of the s

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

DNE CAPLAN FAMILY FOUNDATION

42-1464965

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional	al space is needed	
(a) No	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RICHARD & FREDDA CAPLAN 1 OAKNOLL CT. IOWA CITY, IA 52246	\$19,000.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	DAVID & JONI CAPLAN 520 BROOKLYN PARK DRIVE IOWA CITY, IA 52246	\$1,700.	Person X Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Oncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash Complete Part II for noncash contributions.)

Name of organization

Employer identification number

DNE CAPLAN FAMILY FOUNDATION

42-1464965

Part II	Noncash Property (see instructions) Use duplicate copies of P	art II if additional space is needed	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 \$	i
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 \$	
	13		990, 990-EZ, or 990-PF)

Schedule B	3 (Form 990, 990-EZ, or 990-PF) (2013)		Page
Name of org	anization		Employer identification number
		_	40 1464065
DNE CA Part III	Exclusively religious, charitable, etc., ind year. Complete columns (a) through (e) and the total of exclusively religious, charitable, e	ividual contributions to section 501(c) the following line entry. For organizatio tc., contributions of \$1,000 or less for	42-1464965 (7), (8), or (10) organizations that total more than \$1,000 for the ons completing Part III, enter the year. (Enter this information once)
(a) No. from	Use duplicate copies of Part III if addition (b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_Part I			
		(e) Transfer of gift	t
-	Transferee's name, address, a	and ZIP + 4	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-		(2) Townston of military	
		(e) Transfer of gift	t
	Transferee's name, address, a	and ZIP + 4	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-		(e) Transfer of gift	t
-	Transferee's name, address, a	ind ZIP + 4	Relationship of transferor to transferee
(-) No			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
		(e) Transfer of gift	t
	Transferee's name, address, a	and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENT	DS AND INTER	EST FROM SECUP	ITIES ST	PATEMENT 1	
GROSS SOURCE AMOUNT	CAPITAL GAINS DIVIDEND	REVENUE	(B) NET INVEST- MENT INCOME		
DIVIDEND INCOME 23,999	9. 12,70	5. 11,294.	11,294.	11,294.	
TO PART I, LINE 4 23,999	9. 12,70	5. 11,294.	11,294.	11,294.	
FORM 990-PF	ACCOUNTI	NG FEES	នា	PATEMENT 2	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES, TAX PREP.	820.	0.	0.	820.	
TO FORM 990-PF, PG 1, LN 16B	820.	0.	0.	820.	
FORM 990-PF	TAX	ES	Sī	PATEMENT 3	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAX PAID	341.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	341.	0.	0.	0.	
FORM 990-PF	OTHER E	OTHER EXPENSES		PATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MEETING EXPENSES MISCELLANEOUS	1,360.	0.	0.	1,360.	
TO FORM 990-PF, PG 1, LN 23	1,378.	0.	0.	1,378.	

FORM 990-PF OTHER	INVESTMENTS		STATEMENT 5
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
TIAA-CREF INVESTMENTS	COST	535,544.	597,831.
TOTAL TO FORM 990-PF, PART II, LINE 1	.3	535,544.	597,831.

DNE Caplan Family Foundation 12/31/2013 EIN 42-1464965 List of Officers, Directors, and their compensation: Form 990-PF, Part VIII #1.

	Title & avg hours			Paym		Expe Acco	unt &
Name/address	Per week	Compens	sation	Benefit	Plan	Other All	owances
Richard Caplan 1 Oaknoll Ct. Iowa City, IA 52246	President/ Director	\$	-	\$	-	\$	40.00
Daniel Caplan 1704 Glendale Iowa City, IA 52245	Director	\$	-	\$	-	\$	-
Aaron Caplan 208 42nd St Manhattan Beach, CA 90266	Vice-President Director	\$	-	\$	-	\$	433.60
David Caplan 520 Brookland Park Drive Iowa City, IA 52246	Treasurer	\$	-	\$	-	\$	-
Joel Caplan 29 Birkendene Rd. Caldwell, NJ 07006	Director	\$	-	\$	-	\$	-
Fredda Ellen Caplan 1 Oaknoll Ct. Iowa City, IA 52246	Secretary/ Director	\$	-	\$	-	\$	886.84
Bejamin C. Caplan 230 South Chestnut St, #3 North Liberty, IA 52317	Director						
llan J. Caplan 155 Payson Ave. #4k New York, NY 10034	Director						
Simona C. Caplan c/o Joel and Nancy Caplan 29 Birkendene Road Caldwell, NJ 07006	Director						
Tamar J. Caplan c/o Joel and Nancy Caplan 29 Birkendene Road Caldwell, NJ 07006	Director					-	
Shoshannah I. Eggers 101 Daffodil Lane Madison, WI 53714	Director						

DNE Caplan Family Foundation 12/31/2013 EIN 42-1464965 Grants & Contributions paid during the year or approved for future payment Form 990-PF, Part XV #3a

Name/address	Foundation Status	Purpose	Amount
Libn Foundation Eugene, OR	Operating	General Purpose	1,600
lowa Legal Aid Des Moines, IA	N/A	General Purpose	250
Preucil School of Music Foundation lowa City, IA	N/A	General Purpose	300
United Way of Johnson County lowa City, IA	Operating	General Purpose	2,000
Table to Table lowa City, IA	N/A	Food Distribution	500
Shulman Hillel Foundation lowa City, IA	N/A	General Purpose	1,800
Mazon Los Angeles, CA	Operating	Food Distribution	400
American Red Cross Cedar Rapids, IA	Operating	General Purpose	300
Foundation for Jewish Camping New York, NY	Operating	General Purpose	200
lowa City Jewish Federation lowa City, IA	Operating	General Purpose	1,800
Orchestra Iowa Cedar Rapids, IA	Operating	General Purpose	150
Humanities Iowa Iowa City, IA	Operating	General Purpose	100
Cedar Rapids Opera Theatre Cedar Rapids Iowa	Operating	General Purpose	100
Iowa City Free Medica! Clinic Iowa City, IA	N/A	Health Care Services	500
Riverside Theatre Iowa City, IA	Operating	General Purpose	200
University of Iowa Foundation Iowa City, IA	Operating	General Purpose	4,300
Piatigorsky Foundation New York, New York	Operating	General Purpose	150
Iowa Public Radio Des Moines, IA	Operating	General Purpose	300
Friends of Ypsilanti Youth Orchestra Ypsilanti, MI	Operating	General Purpose	100
Englert Theater Iowa City, IA	Operating	General Purpose	175
Des Moines Metro Opera Foundation Des Moines, IA	Operating	General Purpose	100
American Jewish World Services New York, New York	Operating	General Purpose	400
Free Lunch Program (United Way) Iowa City Iowa	Operating	General Purpose	400
Agudas Achim Iowa City Iowa	Building	General Purpose	1,700
American Academy of Dermatology lowa City Iowa	Operating	General Purpose	500
Dubuque Symphony Orchestra Dubuque Iowa	Operating	General Purpose	100
ProEueracy Worldwide Syracuse NY	Operating	General Purpose	125
Community Foundation of Johnson Co lowa City lowa	Operating	General Purpose	200
UNESCO City of Literature Iowa City Iowa	Operating	General Purpose	200 18,950