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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

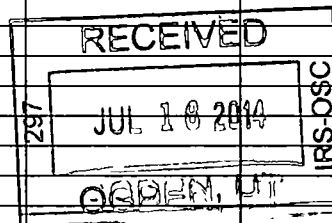
Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation AVIVA CHARITABLE FOUNDATION		A Employer identification number 42-1431745
Number and street (or P O box number if mail is not delivered to street address) 7700 MILLS CIVIC PARKWAY MS: 7C 10	Room/suite	B Telephone number (see instructions) (800) 315-3346
City or town, state or province, country, and ZIP or foreign postal code WEST DES MOINES, IA 50266-3862		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <input type="checkbox"/> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual 16) \$ 3,261,150. (Part I, column (d) must be on cash basis)		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		1,000,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		79,101.	79,101.		ATCH 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		62,154.			
b Gross sales price for all assets on line 6a 812,911.					
7 Capital gain net income (from Part IV, line 2)			62,154.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		1,141,255.	141,255.		
13 Compensation of officers, directors, trustees, etc.		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)					
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions)					
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
24 Total operating and administrative expenses. Add lines 13 through 23		0			
25 Contributions, gifts, grants paid		2,038,618.			2,038,618.
26 Total expenses and disbursements. Add lines 24 and 25		2,038,618.			2,038,618.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-897,363.			
b Net investment income (if negative, enter -0-)			141,255.		
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		305,271.	151,572.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule),				
	b	Investments - corporate stock (attach schedule) ATCH 2		3,458,626.	2,707,863.	3,261,150.
	c	Investments - corporate bonds (attach schedule),				
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		3,763,897.	2,859,435.	3,261,150.	
Liabilities	17	Accounts payable and accrued expenses		3,500.		
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		3,500.	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		3,760,397.	2,859,435.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, . . . <input type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions),		3,760,397.	2,859,435.	
31	Total liabilities and net assets/fund balances (see instructions)		3,763,897.	2,859,435.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return).	1	3,760,397.
2	Enter amount from Part I, line 27a.	2	-897,363.
3	Other increases not included in line 2 (itemize) ▶ ATCH 3	3	995.
4	Add lines 1, 2, and 3	4	2,864,029.
5	Decreases not included in line 2 (itemize) ▶ ATCH 4	5	4,594.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,859,435.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	62,154.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,947,867.	3,076,728.	0.633097
2011	1,155,578.	2,273,380.	0.508308
2010	1,182,356.	2,099,979.	0.563032
2009	1,149,660.	1,924,932.	0.597247
2008	1,055,818.	3,277,069.	0.322184
2 Total of line 1, column (d)			
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			
5 Multiply line 4 by line 3			
6 Enter 1% of net investment income (1% of Part I, line 27b)			
7 Add lines 5 and 6			
8 Enter qualifying distributions from Part XII, line 4			

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,413.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .		2	
3 Add lines 1 and 2		3	1,413.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,413.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	4,135.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,135.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,722.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 2,722. Refunded <input type="checkbox"/> <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IA, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address WWW.AVIVAUSA.COM				
14	The books are in care of KAREN LYNN Telephone no 515-342-3910			
Located at 7700 MILLS CIVIC PARKWAY WEST DES MOINES, IA ZIP+4 50266-3862				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		
Organizations relying on a current notice regarding disaster assistance check here		<input type="checkbox"/>
1b		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		
1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
2b		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)		
3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X
4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ **5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 5		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	3,170,079.
b	Average of monthly cash balances	1b	361,777.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,531,856.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,531,856.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	52,978.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,478,878.
6	Minimum investment return. Enter 5% of line 5	6	173,944.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	173,944.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,413.
b	Income tax for 2013. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,413.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	172,531.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	172,531.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	172,531.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,038,618.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4.	4	2,038,618.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,413.
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,037,205.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				172,531.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 11, 20 10, 20 09				
3 Excess distributions carryover, if any, to 2013				
a From 2008 894,047.				
b From 2009 1,053,953.				
c From 2010 1,078,335.				
d From 2011 1,042,871.				
e From 2012 1,797,059.				
f Total of lines 3a through e	5,866,265.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 2,038,618.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				172,531.
e Remaining amount distributed out of corpus	1,866,087.			
5 Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	7,732,352.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	894,047.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	6,838,305.			
10 Analysis of line 9				
a Excess from 2009 1,053,953.				
b Excess from 2010 1,078,335.				
c Excess from 2011 1,042,871.				
d Excess from 2012 1,797,059.				
e Excess from 2013 1,866,087.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(3) or	4942(1)(5)
---------------	------------

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2013

(b) 2012

(c) 2011

(d) 2010

(e) Total

b 85% of line 2a

C Qualifying distributions from Part XII, line 4 for each year listed . . .

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(1) Value of all assets
(2) Value of assets qualifying
under section
4942(j)(3)(B)(i).

"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

C "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization. . . .

(4) Gross investment income .

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

ATCH 6

b The form in which applications should be submitted and information and materials they should include

AN ORGANIZATION FACT SHEET IS AVAILABLE UPON REQUEST.

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATCH 7				
Total			3a	2,038,618.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	14.	
4 Dividends and interest from securities			14	79,086.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property .					
7 Other investment income			01		
8 Gain or (loss) from sales of assets other than inventory			18	62,155.	
9 Net income or (loss) from special events . . .			20		
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)				141,255.	
13 Total. Add line 12, columns (b), (d), and (e)				141,255.	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1)	Cash	1a(1)		X
(2)	Other assets	1a(2)		X
b	Other transactions			
(1)	Sales of assets to a noncharitable exempt organization	1b(1)		X
(2)	Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3)	Rental of facilities, equipment, or other assets	1b(3)		X
(4)	Reimbursement arrangements	1b(4)		X
(5)	Loans or loan guarantees	1b(5)		X
(6)	Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Karen J. Lynn 6/7/14

Signature of officer or trustee Date

HEAD OF CORP AFFAIR

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Paid Preparer Use Only	Firm's name ▶ Firm's address ▶	Preparer's signature 	Date	Check <input type="checkbox"/> if self-employed	PTIN
			Firm's EIN ▶	Phone no	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990**Name of the organization**

AVIVA CHARITABLE FOUNDATION

Employer identification number

42-1431745

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

JSA

3E1251 1 000

681430 K907

V 13-5F

Name of organization **AVIVA CHARITABLE FOUNDATION**Employer identification number
42-1431745**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AVIVA LIFE AND ANNUITY COMPANY 7700 MILLS CIVIC PARKWAY WEST DES MOINES, IA 50266-3862	\$ 1,000,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization **AVIVA CHARITABLE FOUNDATION**

Employer identification number

42-1431745

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----

Name of organization AVIVA CHARITABLE FOUNDATION

Employer identification number

42-1431745

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					25.	
26,822.		ISHARES IBOXX INVEST GR COR FD 25,767.					03/15/2012 1,055.	02/15/2013
138,153.		ISHARES RUSSELL 1000 GR INDEX 128,497.					04/10/2012 9,656.	02/15/2013
34,538.		ISHARES RUSSELL 1000 GR INDEX 32,261.					04/23/2012 2,277.	02/15/2013
45,928.		ISHARES RUSSELL 1000 GR INDEX 41,939.					04/23/2012 3,989.	04/19/2013
3,533.		ISHARES RUSSELL 1000 GR INDEX 3,136.					05/16/2012 397.	04/19/2013
143,569.		SPDR TRUST SERIES 1 128,598.					04/10/2012 14,971.	02/15/2013
53,061.		ISHARES BARCLAYS AGG.BD FD 54,697.					03/15/2012 -1,636.	07/08/2013
30,284.		ISHARES IBOXX INVEST GR COR FD 28,630.					03/15/2012 1,654.	04/19/2013
30,959.		SPDR TRUST SERIES 1 27,217.					04/10/2012 3,742.	04/19/2013
55,506.		ISHARES BARCLAYS AGG.BD.FD 51,437.					03/31/2008 4,069.	04/19/2013
42,448.		ISHARES BARCLAYS AGG.BD FD 41,149.					03/31/2008 1,299.	07/08/2013
2,980.		ISHARES IBOXX INVEST GR COR FD 2,645.					03/11/2010 335.	02/15/2013

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
154,969.		ISHARES IBOXX INVEST GR COR FD 141,255.					01/24/2011 13,714.	02/15/2013
4,558.		SPDR TRUST SERIES 1 3,878.					01/24/2011 680.	02/15/2013
45,578.		SPDR TRUST SERIES 1 39,651.					02/07/2011 5,927.	02/15/2013
TOTAL GAIN (LOSS)							<u>62,154.</u>	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS & INTEREST	79,101.	79,101.
TOTAL	<u>79,101.</u>	<u>79,101.</u>

ATTACHMENT 2

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ISHARES BARCLAYS AGG BD FD	244,658.	234,146.
THE FINANCIAL SEL SECT SPDR FD	188,364.	256,855.
RUSSELL MIDCAP INDEX FUND	160,367.	224,970.
MSCI EAFE FUND		
S & P MIDCAP 400	163,000.	231,990.
VANGUARD INTL EQUITY INDEX FUN		
RUSSELL 1000 GR INDEX	357,050.	477,023.
ISHARES IBOX INVEST GR COR FD	315,003.	302,598.
SPDR TRUST SERIES 1	591,270.	780,315.
S&P DEP RECEIPTS		
ENERGY SEL SECT SPDR	178,648.	221,275.
POWERSHARES SENIOR LOAN PORT	200,049.	201,528.
SPDR BARCAP SHRT TERM HI YIELD	121,219.	123,400.
VANGUARD MSCI EAFE ETF	83,529.	104,200.
VANGUARD MSCI EMERGING MARKETS	104,706.	102,850.
TOTALS	<u>2,707,863.</u>	<u>3,261,150.</u>

ATTACHMENT 3FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
OTHER INCREASE	995.
TOTAL	<u>995.</u>

ATTACHMENT 4FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
OTHER DECREASE	4,594.
TOTAL	<u>4,594.</u>

AVIVA CHARITABLE FOUNDATION

42-1431745

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 5

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

PRESIDENT

CHRISTOPHER J. LITTLEFIELD
7700 MILLS CIVIC PARKWAY
MS: 7C 10
WEST DES MOINES, IA 50266-3862

TREASURER

BRENDA CUSHING
7700 MILLS CIVIC PARKWAY
MS: 7C 10
WEST DES MOINES, IA 50266-3862

VICE PRESIDENT

KAREN LYNN
7700 MILLS CIVIC PARKWAY
MS: 7C 10
WEST DES MOINES, IA 50266-3862

SECRETARY

MICHAEL H. MILLER
7700 MILLS CIVIC PARKWAY
MS: 7C 10
WEST DES MOINES, IA 50266-3862

ASSISTANT SECRETARY

LINDA OLSON
7700 MILLS CIVIC PARKWAY
MS: 7C 10
WEST DES MOINES, IA 50266-3862

ATTACHMENT 6

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

KAREN LYNN
7700 MILLS CIVIC PARKWAY
WEST DES MOINES, IA 50266
515-342-3910

AVIVA CHARITABLE FOUNDATION

42-1431745

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MCKINLEY SCHOOL 1610 SE 6TH DES MOINES, IA 50315	NONE EXEMPT	PROVIDE BOOTS AND COATS FOR STUDENTS	42,159
ATTACHMENT SEE ATTACHMENT 8	NONE	VARIOUS - SEE ATTACHED SCHEDULE	1,996,459

TOTAL CONTRIBUTIONS PAID

2,038,618

**SCHEDULE D
(Form 1041)**Department of the Treasury
Internal Revenue Service**Capital Gains and Losses**

- Attach to Form 1041, Form 5227, or Form 990-T.
► Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
► Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

2013

Name of estate or trust

AVIVA CHARITABLE FOUNDATION

Employer identification number

42-1431745

Note: Form 5227 filers need to complete **only** Parts I and II**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked	392,543.	360,198.		32,345.
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ►				7 32,345.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked	420,343.	390,559.		29,784.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13 25.
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ►				16 29,809.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part**

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		32,345.
18 Net long-term gain or (loss):			
a Total for year	18a		29,809.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b		
c 28% rate gain	18c		
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		62,154.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
 a The loss on line 19, column (3) or b \$3,000 **20** ()

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if.

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T). . .	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-. . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-.	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-.	27		
28 Enter the smaller of the amount on line 21 or \$2,450	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26.	32		
33 Enter the smaller of line 21 or \$11,950.	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-.	35		
36 Enter the smaller of line 32 or line 35.	36		
37 Multiply line 36 by 15%. ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-.	40		
41 Multiply line 40 by 20% ▶	41		
42 Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041). . . .	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041). . . .	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36). ▶	45		

Schedule D (Form 1041) 2013

Sales and Other Dispositions of Capital Assets

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► **File with your Schedule D** to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment
Sequence No **12A**

Name(s) shown on return

AVIVA CHARITABLE FOUNDATION

Social security number or taxpayer identification number

42-1431745

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	ISHARES IBOXX INVEST COR FD	03/15/2012	02/15/2013	26,822.	25,767.			1,055.
	ISHARES RUSSELL 1000 INDEX	04/10/2012	02/15/2013	138,153.	128,497.			9,656.
	ISHARES RUSSELL 1000 INDEX	04/23/2012	02/15/2013	34,538.	32,261.			2,277.
	ISHARES RUSSELL 1000 INDEX	04/23/2012	04/19/2013	45,928.	41,939.			3,989.
	ISHARES RUSSELL 1000 INDEX	05/16/2012	04/19/2013	3,533.	3,136.			397.
	SPDR TRUST SERIES 1	04/10/2012	02/15/2013	143,569.	128,598.			14,971.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked)▶				392,543.	360,198.			32,345.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
3X2615 2 000

Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)

Social security number or taxpayer identification number

AVIVA CHARITABLE FOUNDATION

42-1431745

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
1 ISHARES BARCLAYS AGG.B FD	03/15/2012	07/08/2013	53,061.	54,697.			-1,636.
ISHARES IBOXX INVEST G COR FD	03/15/2012	04/19/2013	30,284.	28,630.			1,654.
SPDR TRUST SERIES 1	04/10/2012	04/19/2013	30,959.	27,217.			3,742.
ISHARES BARCLAYS AGG.BD.FD	03/31/2008	04/19/2013	55,506.	51,437.			4,069.
ISHARES BARCLAYS AGG.B FD	03/31/2008	07/08/2013	42,448.	41,149.			1,299.
ISHARES IBOXX INVEST G COR FD	03/11/2010	02/15/2013	2,980.	2,645.			335.
ISHARES IBOXX INVEST G COR FD	01/24/2011	02/15/2013	154,969.	141,255.			13,714.
SPDR TRUST SERIES 1	01/24/2011	02/15/2013	4,558.	3,878.			680.
SPDR TRUST SERIES 1	02/07/2011	02/15/2013	45,578.	39,651.			5,927.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶			420,343.	390,559.			29,784.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Aviva USA Group Charitable Foundation
Grants and Contributions Paid During the Year
EIN # 42-1431745
Form 990 PF, Part XV
For Calendar Year 2013

Recipient Name	Address	Amount	Relationship to Contributor	Organizational Status of Recipient	Purpose of Grant or Contribution	Foundation status of recipient
ADOPT TOGETHER	Springboro, OH 45066	500 00	none	exempt	Health & Human Services	PC
ALS ASSOCIATION	Johnston, IA 50131	200 00	none	exempt	Health & Human Services	PC
ALS ASSOCIATION IOWA CHAPTER	Johnston, IA 50131	600 00	none	exempt	Health & Human Services	PC
ALZHEIMERS ASSOCIATION	West Des Moines, IA 50266	370 00	none	exempt	Health & Human Services	PC
AMANDA THE PANDA	West Des Moines, IA 50265	25 00	none	exempt	Civic & Community	PC
AMERICAN CANCER SOCIETY	Cedar Rapids, IA 52402	557 00	none	exempt	Health & Human Services	PC
AMERICAN CANCER SOCIETY WI CHAPTER	Waukesha, WI 53188	200 00	none	exempt	Health & Human Services	PC
AMERICAN DIABETES ASSOCIATION	Des Moines, IA 50301	355 00	none	exempt	Health & Human Services	PC
AMERICAN HEART ASSOCIATION	Des Moines, IA 50314-2527	1,510 00	none	exempt	Health & Human Services	PC
AMERICAN LUNG ASSOCIATION IN IOWA	Urbandale, IA 50322	200 00	none	exempt	Health & Human Services	PC
AMERICAN RED CROSS	Des Moines, IA 50312	5,250 00	none	exempt	Health & Human Services	PC
ANIMAL RESCUE LEAGUE	Des Moines, IA 50313	50 00	none	exempt	Civic & Community	PC
ANTI DEFAMATIONAL LEAGUE	New York, NY 10158	50 00	none	exempt	Health & Human Services	PC
ARTHRITIS FOUNDATION	Des Moines, IA 50322-4724	2,600 00	none	exempt	Health & Human Services	PC
AZUSA PACIFIC UNIVERSITY	AZUSA, CA 91702	300 00	none	exempt	Education	PC
BEST BUDDIES IOWA	Des Moines, IA 50325	2,500 00	none	exempt	Health & Human Services	PC
BETA THETA PI FOUNDATION	Oxford, OH 45056	25 00	none	exempt	Education	PC
BIG BROTHERS BIG SISTERS	Clive, IA 50325-6900	10,050 00	none	exempt	Health & Human Services	PC
BOY SCOUTS OF AMERICA	Des Moines, IA 50313	150 00	none	exempt	Civic & Community	PC
BOYS & GIRLS CLUBS OF MAUI	Kahului, HI 96732	3,000 00	none	exempt	Civic & Community	PC
BOYS & GIRLS CLUBS OF TOPEKA	Topeka, KS 66605	10,000 00	none	exempt	Civic & Community	PC
BUENA VISTA UNIVERSITY	Storm Lake, IA 50588	3,240 00	none	exempt	Education	PC
CAMP HERTKOW HOLLOW	Des Moines, IA 50309	500 00	none	exempt	Civic & Community	PC
CATHOLIC CHARITIES DIOCESE OF DES MOINES	Des Moines, IA 50399	15,000 00	none	exempt	Civic & Community	PC
CENTRAL ACADEMY	Des Moines, IA 50309	10,000 00	none	exempt	Education	PC
CHILDREN AND FAMILIES OF IOWA	Des Moines, IA 50314	5,000 00	none	exempt	Civic & Community	PC
CHILDSERVE	Johnston, IA 50131	1,250 00	none	exempt	Civic & Community	PC
CHILDVOICE INTERNATIONAL	Newmarket, NH 03857	5,000 00	none	exempt	Health & Human Services	PC
CIVIC CENTER OF GREATER DES MOINES	Des Moines, IA 50309	500 00	none	exempt	Arts & Culture	PC
CIVIC MUSIC ASSOCIATION	Des Moines, IA 50314-1676	2,500 00	none	exempt	Arts & Culture	PC
CLARKE UNIVERSITY	Worcester, MA 01610	50 00	none	exempt	Education	PC
CLARKE COLLEGE/UNIVERSITY	Dubuque, IA 52001-3198	500 00	none	exempt	Education	PC
COMBINED JEWISH PHILANTHROPIES	Boston, MA 02110	120 00	none	exempt	Civic & Community	PC
CONSERVATION INTERNATIONAL	Arlington, VA 22202	100 00	none	exempt	Civic & Community	PC
CYSTIC FIBROSIS FOUNDATION	Des Moines, IA 50266	500 00	none	exempt	Health & Human Services	PC
DE PAUL UNIVERSITY	Chicago, IL 60604	1,000 00	none	exempt	Education	PC
DEPAUW UNIVERSITY	Greencastle, IN 46135	150 00	none	exempt	Education	PC
DES MOINES ART CENTER	Des Moines, IA 50312-2099	15,000 00	none	exempt	Arts & Culture	PC
DES MOINES ARTS FESTIVAL	Des Moines, IA 50309	25,500 00	none	exempt	Arts & Culture	PC
DES MOINES METRO OPERA, INC	Indianola, IA 50125	10,500 00	none	exempt	Arts & Culture	PC
DES MOINES SYMPHONY	Des Moines, IA 50309	10,000 00	none	exempt	Arts & Culture	PC
DES MOINES UNIVERSITY	Des Moines, IA 50312-4198	1,000 00	none	exempt	Education	PC
DMACC	Ankeny, IA 50023	1,000 00	none	exempt	Education	PC
DRAKE UNIVERSITY	Des Moines, IA 50311-4505	1,050 00	none	exempt	Education	PC
DSM I HAVE A DREAM FOUNDATION	Des Moines, IA 50311	10,000 00	none	exempt	Health & Human Services	PC
DSM PERFORMING ARTS	Des Moines, IA 50309	40,000 00	none	exempt	Arts & Culture	PC
DSM SOCIAL CLUB	Des Moines, IA 50393	10,000 00	none	exempt	Civic & Community	PC
DSM Y CAMP	Boone, IA 50036	350 00	none	exempt	Civic & Community	PC
DUKE UNIVERSITY	Durham, NC 27708	150 00	none	exempt	Education	PC
ELMHURST COLLEGE	Elmhurst, IL 60126	250 00	none	exempt	Education	PC
EPISCOPAL CHARITIES	San Francisco, CA 94108	100 00	none	exempt	Civic & Community	PC

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Recipient Name	Address	Amount	Relationship to Contributor	Organizational Status of Recipient	Purpose of Grant or Contribution	Foundation status of recipient
FAY SCHOOL FOUNDATION	Southborough, MA 01772	500.00	none	exempt	Education	PC
FOOD BANK OF IOWA	Des Moines, IA 50316	545.00	none	exempt	Civic & Community	PC
FOUNDATION FOR FSP	Newtown Square, PA 19073	250.00	none	exempt	Health & Human Services	PC
FRANKLIN COLLEGE	Franklin, IN 46131	100.00	none	exempt	Education	PC
FRIENDS OF IPTV	Johnston, IA 50131	260.00	none	exempt	Arts & Culture	PC
GOODWILL INDUSTRIES OF CENTRAL IOWA	Urbandale, IA 50322	11,250.00	none	exempt	Civic & Community	PC
GRAND VIEW COLLEGE	Des Moines, IA 50316	516.60	none	exempt	Education	PC
GREATER DALLAS COUNTY DEVELOPMENT ALLIANCE	West Des Moines, IA 50266	5,000.00	none	exempt	Civic & Community	PC
GREATER DES MOINES HABITAT FOR HUMANITY	Des Moines, IA 50313	15,500.00	none	exempt	Civic & Community	PC
GRINNELL COLLEGE	Grinnell, IA 50112	100.00	none	exempt	Education	PC
HABITAT FOR HUMANITY	Des Moines, IA 50303	100.00	none	exempt	Civic & Community	PC
HABITAT FOR HUMANITY OF IOWA	Des Moines, IA 50313	0.00	none	exempt	Civic & Community	PC
HABITAT FOR HUMANITY- TOPEKA	Topeka, KS 66611	10,000.00	none	exempt	Civic & Community	PC
HAMPDEN SYDNEY COLLEGE	Hampden Sydney, VA 23901	100.00	none	exempt	Education	PC
HOPE MINISTRIES	Des Moines, IA 50322	5,200.00	none	exempt	Health & Human Services	PC
HOSPICE OF CENTRAL IOWA	West Des Moines, IA 50265-4730	5,000.00	none	exempt	Health & Human Services	PC
HUI O WAI KAULUA	Lahaina, HI 96761	2,000.00	none	exempt	Civic & Community	PC
IA COLLEGE FOUNDATION	Des Moines, IA 50309	10,000.00	none	exempt	Education	PC
IA PTA	Runnells, IA 50237	50.00	none	exempt	Civic & Community	PC
IA PUBLIC RADIO	Des Moines, IA 50312	50.00	none	exempt	Civic & Community	PC
ILL MAKE ME A WORLD IN IOWA	Des Moines, IA 50314	3,500.00	none	exempt	Civic & Community	PC
INDIANA UNIVERSITY FOUNDATION	Bloomington, IN 47402	50.00	none	exempt	Education	PC
IOWA NATURAL HERITAGE FOUNDATION	Des Moines, IA 50309-2321	2,525.00	none	exempt	Civic & Community	PC
IOWA PUBLIC TELEVISION	Johnston, IA 50131-6400	225.00	none	exempt	Civic & Community	PC
IOWA STATE UNIVERSITY FOUNDATION	Ames, IA 50014-7164	1,500.00	none	exempt	Education	PC
JDRF - EASTERN IA CHAPTER	Cedar Rapids, IA 52406	25.00	none	exempt	Health & Human Services	PC
JDRF GREATER IOWA CHAPTER	West Des Moines, IA 50265	400.00	none	exempt	Health & Human Services	PC
JOHNSTON DOLLARS FOR SCHOLARS	Johnston, IA 50131	25.00	none	exempt	Health & Human Services	PC
JUVENILE DIABETES RESEARCH FOUNDATION	New York, NY 10004	3,000.00	none	exempt	Education	PC
KANSAS STATE UNIVERSITY FOUNDATION	Manhattan, KS 66502	1,000.00	none	exempt	Health & Human Services	PC
KETTERING UNIVERSITY	Flint, MI 48504	25.00	none	exempt	Education	PC
KUEMPER CATHOLIC SCHOOL SYSTEM	Carroll, IA 51401	30.00	none	exempt	Education	PC
LAMOTTE COMMUNITY FIRE DEPT	Lamotte, IA 52054	200.00	none	exempt	Civic & Community	PC
LIFE 107 1/96 1 KNWI	St. Paul, MN 55113	446.00	none	exempt	Civic & Community	PC
LIVING HISTORY FARMS	Urbandale, IA 50322	2,000.00	none	exempt	Civic & Community	PC
LUPUS FOUNDATION IOWA CHAPTER	Des Moines, IA 50310	240.00	none	exempt	Health & Human Services	PC
MAINSTREAM LIVING INC	Ames, IA 50010-5601	500.00	none	exempt	Health & Human Services	PC
MAKE A WISH FOUNDATION OF TX	Houston, TX 77005	100.00	none	exempt	Health & Human Services	PC
MAKE A WISH IOWA CHAPTER	Urbandale, IA 50322	10,000.00	none	exempt	Health & Human Services	PC
MARCH OF DIMES	Cedar Rapids, IA 52401	1,000.00	none	exempt	Health & Human Services	PC
MEALS FROM THE HEARTLAND	West Des Moines, IA 50266	7,500.00	none	exempt	Civic & Community	PC
MENTOR IOWA	Des Moines, IA 50312	5,000.00	none	exempt	Health & Human Services	PC
METRO ARTS ALLIANCE	Des Moines, IA 50309	1,000.00	none	exempt	Civic & Community	PC
MORNINGSIDE COLLEGE	Sioux City, IA 51106	50.00	none	exempt	Arts & Culture	PC
MOSAIC	Omaha, NE 68137	1,250.00	none	exempt	Education	PC
MUSSELLA FOUNDATION INC	Hewlett, NY 11557	25.00	none	exempt	Civic & Community	PC
MUSEUM OF FINE ARTS BOSTON	Pittsfield, MA 01202	110.00	none	exempt	Health & Human Services	PC
MUTTVILLE SENIOR DOG RESCUE	San Francisco, CA 94141	50.00	none	exempt	Civic & Community	PC
NATIONAL MULTIPLE SCLEROSIS SOCIETY	New York, NY 10017	335.00	none	exempt	Health & Human Services	PC
NATIONAL PARKS CONSERVATION ASSOC	Washington, DC 20001	50.00	none	exempt	Health & Human Services	PC
NORTHWESTERN COLLEGE	Roseville, MN 55113	92.00	none	exempt	Civic & Community	PC

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OAKRIDGE NEIGHBORHOOD SERVICES	Des Moines, IA 50314	5,000.00	none	exempt	Civic & Community	PC
OGLALA LAKOTA COLLEGE	Kyle, SD 57752-0490	100.00	none	exempt	Education	PC
ORT AMERICA	New York, NY 10273	36.00	none	exempt	Education	PC
PFEIFFER UNIVERSITY	Charlotte, NC 28209-3217	100.00	none	exempt	Education	PC
PHOENIX RESCUE MISSION	Phoenix, AZ 85009	300.00	none	exempt	Civic & Community	PC
PLANNED PARENTHOOD OF THE HEARTLAND	Des Moines, IA 50305	250.00	none	exempt	Health & Human Services	PC
POLK COUNTY HOUSING TRUST FUND	Des Moines, IA 50309	10,000.00	none	exempt	Health & Human Services	PC
POTSDAM COLLEGE FOUNDATION INC	Potsdam, NY 13676	250.00	none	exempt	Education	PC
PROJECT TOPEKA FOOD DRIVE	Topeka, KS 66604	90.00	none	exempt	Health & Human Services	PC
PROVIDENCE COLLEGE	Providence, RI 02908	500.00	none	exempt	Education	PC
RALIEGH RINGERS	Raleigh, NC 27613	100.00	none	exempt	Civic & Community	PC
RECLAIM CHILDHOOD INC	San Francisco, CA 94133	500.00	none	exempt	Health & Human Services	PC
ROBINHOOD FOUNDATION	New York, NY 10152	500.00	none	exempt	Civic & Community	PC
RONALD MCDONALD HOUSE CHARITIES OF CENTR	Des Moines, IA 50314	2,500.00	none	exempt	Civic & Community	PC
RONALD MCDONALD HOUSE CHARITIES OF NORTHEAST KS	Topeka, KS 66606	5,000.00	none	exempt	Civic & Community	PC
SACRED HEART	West Des Moines, IA 50265	500.00	none	exempt	Education	PC
SAINT FRANCIS OF ASSISI SCHOOL FOUNDATION	West Des Moines, IA 50266	2,000.00	none	exempt	Education	PC
SAINT JOSEPH SCHOOL FOUNDATION	Des Moines, IA 50317	500.00	none	exempt	Education	PC
SALISBURY HOUSE	Des Moines, IA 50312	2,500.00	none	exempt	Civic & Community	PC
SALVATION ARMY	Des Moines, IA 50304-0933	500.00	none	exempt	Civic & Community	PC
SAN FRANCISCO AIDS FOUNDATION	San Francisco, CA 94103	225.00	none	exempt	Health & Human Services	PC
SCIENCE CENTER OF IOWA	Des Moines, IA 50309	10,000.00	none	exempt	Civic & Community	PC
SIMPSON COLLEGE	Indianola, IA 50125	250.00	none	exempt	Education	PC
SOUTH CENTRAL IA COMMUNITY FOUNDATION	Chantion, IA 50049	500.00	none	exempt	Civic & Community	PC
SPECIAL OLYMPICS IOWA INC	Gimes, IA 50111-4748	700.00	none	exempt	Health & Human Services	PC
ST AMBROSE UNIVERSITY	Davenport, IA 52803-9988	50.00	none	exempt	Education	PC
ST JUDE CHILDRENS RESEARCH HOSPITAL	Memphis, TN 38105	800.00	none	exempt	Health & Human Services	PC
STARS & CHAMPS MENTORING INC	Laurel, MS 39440	500.00	none	exempt	Health & Human Services	PC
SUSAN G KOMEN FOR THE CURE	Des Moines, IA 50309	25.00	none	exempt	Health & Human Services	PC
THE LEUKEMIA & LYMPHOMA SOCIETY	Clive, IA 50325	1,025.00	none	exempt	Health & Human Services	PC
THE PRINCIPAL CHARITY CLASSIC	Urbandale, IA 50322	11,806.00	none	exempt	Civic & Community	PC
THE RETIRED & SENIOR VOLUNTEER PROGRAM- SUFFOLK COU	Smithtown, NY 11787	2,500.00	none	exempt	Civic & Community	PC
UNICEF US FUND	Newark, NJ 07101	100.00	none	exempt	Civic & Community	PC
UNITED MITOCHONDRIAL DISEASE FOUNDATION	Pittsburgh, PA 15239	500.00	none	exempt	Health & Human Services	PC
UNITED NEGRO COLLEGE FUND	Fairfax, VA 22031-8044	250.00	none	exempt	Education	PC
UNITED WAY OF CENTRAL IOWA	Des Moines, IA 50314	1,504,381.89	none	exempt	Civic & Community	PC
UNITED WAY OF GREATER TOPEKA	Topeka, KS 66604-4057	35,105.76	none	exempt	Civic & Community	PC
UNITED WAY OF LONG ISLAND	Deer Park, NY 11729-5780	9,369.00	none	exempt	Civic & Community	PC
UNIVERSITY OF DAYTON	Dayton, OH 45409	25.00	none	exempt	Education	PC
UNIVERSITY OF INDIANAPOLIS	Indianapolis, IN 46208	125.00	none	exempt	Education	PC
UNIVERSITY OF IOWA FOUNDATION	Iowa City, IA 52244-4550	1,350.00	none	exempt	Education	PC
UNIVERSITY OF NEBRASKA FOUNDATION	Lincoln, NE 68508	500.00	none	exempt	Education	PC
UNIVERSITY OF NORTHERN IOWA	Cedar Falls, IA 50614-0282	1,000.00	none	exempt	Education	PC
UNIVERSITY OF NOTRE DAME	Notre Dame, IN 46556	1,000.00	none	exempt	Education	PC
US OLYMPIC COMMITTEE	Colorado Springs, CO 80909	50.00	none	exempt	Civic & Community	PC
VARIETY THE CHILDRENS CHARITY (CLUB OFIA	Des Moines, IA 50309	204.00	none	exempt	Civic & Community	PC
VETERANS OF FOREIGN WARS- US	TOPEKA KS 66608	40.00	none	exempt	Health & Human Services	PC
VOCAL ARTS ENSEMBLE OF GREATER DES MOINE	DES MOINES IA 50310	1,500.00	none	exempt	Civic & Community	PC
WARTBURG COLLEGE	Waverly, IA 50677	2,175.00	none	exempt	Education	PC
WAUKEE LEADERSHIP INSTITUTE	WAUKEE IA 50263	5,000.00	none	exempt	Civic & Community	PC
WESLEY RETIREMENT SERVICES	JOHNSTON IA 50131	2,500.00	none	exempt	Civic & Community	PC

Aviva USA Group Charitable Foundation
Grants and Contributions Paid During the Year
EIN # 42-1431745
Form 990 PF, Part XV
For Calendar Year 2013

Recipient Name	Address	Amount	Relationship to Contributor	Organizational Status of Recipient	Purpose of Grant or Contribution	Foundation status of recipient
WEST DES MOINES COMMUNITY CELEBRATION	West Des Moines, IA	25,000 00	none	exempt	Civic & Community	PC
WILLIAMS COLLEGE	Williamstown, MA 01267	250 00	none	exempt	Education	PC
WOMANS LEADERSHIP CONNECTION	DES MOINES IA 50314	1,250 00	none	exempt	Civic & Community	PC
WOUNDED WARRIOR	TOPEKA KS 66675	500 00	none	exempt	Civic & Community	PC
YMCA OF GREATER DES MOINES	Des Moines, IA 50309	1,000 00	none	exempt	Civic & Community	PC
YOUNG WOMENS RESOURCE CENTER	Des Moines, IA 50309	5,500 00	none	exempt	Civic & Community	PC
YOUTH EMERGENCY SERVICES & SHELTER	Des Moines, IA 50309	10,100 00	none	exempt	Civic & Community	PC
YOUTH HOMES OF MID-AMERICA	Johnston, Ia 50131	10,500 00	none	exempt	Civic & Community	PC
		<u>1,996,459.25</u>				

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	AVIVA CHARITABLE FOUNDATION	42-1431745
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	7700 MILLS CIVIC PARKWAY, MS 7C-10	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	WEST DES MOINES, IA 50266-3862	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 0 ☐ 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► **AVIVA CHARITABLE FOUNDATION**

Telephone No. ► **800-315-3346** Fax No. ► **877-411-3020**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15**, 20 **14**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 20 **13** or

► ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	1367
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1635
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☐ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return. See instructions.	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
City, town or post office, state, and ZIP code. For a foreign address, see instructions		

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ _____
Telephone No. ▶ _____ Fax No ▶ _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until _____, 20 ____.
- 5 For calendar year _____, or other tax year beginning _____, 20 _____, and ending _____, 20 ____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension _____

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ *Kevin J. Lynn*

Title ▶ HEAD OF CORPORATE AFFAIRS

Date ▶ 4/15/14

Form 8868 (Rev. 1-2014)