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Form 990-PF

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0057

2013

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Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation

KAY M ANDERSON FOUNDATION

A Employer identification number

42-1363292

Number and street (or P O box number if mail is not delivered to street address)

PO BOX 307

Room/suite

B Telephone number (see instructions)

(712) 246-2029

City or town, state or province, country, and ZIP or foreign postal code

SHENANDOAH, IA 51601

C If exemption application is pending check here

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

D 1 Foreign organizations check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination, under section 507(b)(1)(B) check here

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c),

line 16) \$

1,646,132

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

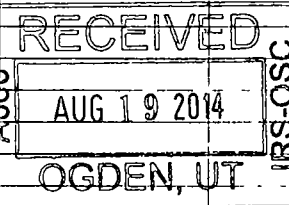
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Prizes and awards for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)			
2	Check ☒ if the foundation is not required to attach Sch. B			
3	Interest on savings and temporary cash investments			
4	Dividends and interest from securities	35,682	35,682	
5a	Gross rents			
b	Net rental income or (loss)			
6a	Net gain or (loss) from sale of assets not on line 10	56,106		
b	Gross sales price for all assets on line 6a	56,106		
7	Capital gain net income (from Part IV, line 2)		56,106	
8	Net short-term capital gain			
9	Income modifications			
10a	Gross sales less returns and allowances			
b	Less: Cost of goods sold			
c	Gross profit or (loss) (attach schedule)			
11	Other income (attach schedule)			
12	Total. Add lines 1 through 11	91,788	91,788	
13	Compensation of officers, directors, trustees, etc.	4,200		4,200
14	Other employee salaries and wages			
15	Pension plans, employee benefits			
16a	Legal fees (attach schedule)			
b	Accounting fees (attach schedule) STM108	4,950	4,950	
c	Other professional fees (attach schedule) STM109	8,468	8,468	
17	Interest			
18	Taxes (attach schedule) (see instructions) STM110	484	484	
19	Depreciation (attach schedule) and depletion			
20	Occupancy			
21	Travel, conferences, and meetings			
22	Printing and publications			
23	Other expenses (attach schedule) STM103	115	115	
24	Total operating and administrative expenses.			
	Add lines 13 through 23	18,217	14,017	4,200
25	Contributions, gifts, grants paid	54,737		54,737
26	Total expenses and disbursements. Add lines 24 and 25	72,954	14,017	58,937
27	Subtract line 26 from line 12			
a	Excess of revenue over expenses and disbursements	18,834		
b	Net investment income (if negative, enter -0-)		77,771	
c	Adjusted net income (if negative, enter -0-)			



For Paperwork Reduction Act Notice, see instructions.

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Form 990-PF 2013

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	60,860	61,218	61,218
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)	231	231	172
	b Investments - corporate stock (attach schedule)	942,740	1,006,216	1,318,988
	c Investments - corporate bonds (attach schedule)	264,670	219,670	226,754
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)	39,000	39,000	39,000	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,307,501	1,326,335	1,646,132	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	1,307,501	1,326,335	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	1,307,501	1,326,335	
	31 Total liabilities and net assets/fund balances (see instructions)	1,307,501	1,326,335	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,307,501
2 Enter amount from Part I, line 27a	2	18,834
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,326,335
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,326,335

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr., mo., day)	(d) Date sold (yr., mo., day)
1a CAPITAL GAINS DISTRIBUTION				
b UMB		P	2011-03-15	2013-06-01
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 48,099			48,099
b 45,063		37,056	8,007
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k) but not less than -0-) or Losses (from col (h))
a			48,099
b			8,007
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	56,106
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	83,466	1,328,168	0.062843
2011	60,736	1,317,348	0.046105
2010	62,402	1,272,607	0.049035
2009	58,652	1,153,079	0.050866
2008	58,280	1,264,348	0.046095

2 Total of line 1, column (d)	2	0.254943
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050989
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,484,426
5 Multiply line 4 by line 3	5	75,689
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	778
7 Add lines 5 and 6	7	76,467
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	58,937

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	1,555
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,555
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,555
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 6a		
b	Exempt foreign organizations - tax withheld at source 6b		
c	Tax paid with application for extension of time to file (Form 8868) 6c		
d	Backup withholding erroneously withheld 6d		
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,555
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Y	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c) and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ DAVID LASHIER	Telephone no	▶ 712-246-2029	
	Located at ▶ 513 W SHERIDAN AVE, SHENANDOAH, IA	ZIP+4	▶ 51601	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041-Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☒ Yes	☐ No
If "Yes," list the years ▶ 2009		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 2009		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
DAVID LASHIER 513 W SHERIDAN AVE, IA 51601	TREASURER 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter

"NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,445,992
b	Average of monthly cash balances	1b	61,039
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	1,507,031
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,507,031
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	22,605
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,484,426
6	Minimum investment return. Enter 5% of line 5	6	74,221

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	74,221
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,555
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,555
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	72,666
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	72,666
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	72,666

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	58,937
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	58,937
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	58,937

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				17,666
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			33,369	
b Total for prior years <u>2009, 2010, 2011</u>		144,297		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>58,937</u>				
a Applied to 2012, but not more than line 2a			33,369	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				25,568
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		144,297		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions		144,297		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				47,098
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(i)(3) or 4942(j)(5) ☐ 4942(i)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

**ALL OF HER ASSETS,
K M ANDERSON CONTRIBUTED,**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE,

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

990APP

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
JAKE SCHUBERT 312 WEST ST SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	500
ASHLEIGH SONS 113 E CLARINDA SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	500
CHELSEA TEACHOUT 1653 400TH AVE SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	500
KAYLA ADAMSON 1191 190TH ST SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
COURTNEY APPERSON 1125 310TH ST SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
CARA BURROUGHS 406 LINDEN SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
CHRISTIAN DEBBAN 905 MAPLE SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
LAURA HANSEN 500 E NISHNA RD WASHTA, IA 51061	NONE		SCHOLARSHIP	1,000
Total			3a	
b Approved for future payment				
PAGE COUNTY CONSERVATION BOARD 2039 HWY 71 CLARINDA, IA 51632	NONE		PARK IMPROVEMENT	2,500
UCC 300 CHURCH ST SHENANDOAH, IA 51601			IMPROVEMENTS	1,000
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
LEAH MCINTOSH 400 PARK AVE SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
ADAM STAUFFER 1301 MONROE SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
STEPHEN LANGLEY 507 6TH AVE SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
JOCELYN PERRYMAN 400 S CENTER SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
BEN MULLIGAN 1307 JOHNSON DR SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
BAILEY DEBOLT 1196 190TH SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
LINDSEY HASTINGS 2109 E AVE SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
SAMANTHA BAKER 23 KERRY CIRCLE SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
Total			▶ 3a	
b Approved for future payment				
Total			▶ 3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
JACOB DOERR 4 MAYRIDGE DR SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
DEVIN EDDS 1010 TECUMSEH SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
HAYLEY ELLIS 807 S ELM SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	1,000
WHITNEY CROLL 120 VISTA AVE SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	500
KYLE HISER 608 S CENTER ST SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	500
SEAN SKILLERN 404 JOHNSON DR SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	500
RACHEL STAUFFER 1301 MITCHELL ST SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	500
ELIZABETH WILSON 200 E NISHNA SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	500
Total			3a	
b Approved for future payment				
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ISAAC WOOLERY 709 CHURCH ST SHENANDOAH, IA 51601	NONE		SCHOLARSHIP	500
CITY OF SHENANDOAH 500 W CLARINDA SHENANDOAH, IA 51601	NONE		PARKS & REC	4,330
UNITED METHODIST CHURCH 206 CHURCH ST SHENANDOAH, IA 51601	NONE		HISPANIC CENTFR	1,000
EMMANUEL LUTHERAN CHURCH 406 E PIONEER SHENANDOAH, IA 51601	NONE		BIBLE SCHOOL	1,000
SHENANDOAH MUSIC ASSOCIATION 405 PARK AVE SHENANDOAH, IA 51601	NONE		BUDGET	2,000
TURNBULL CHILD DEVELOPMENT CTR 1501 MUSTANG DRIVE SHENANDOAH, IA 51601	NONE		DEBT REDUCTION	10,000
SHENANDOAH COMMUNITY SCHOOLS 304 W NISHNA RD SHENANDOAH, IA 51601	NONE		AUDITORIUM IMPROVEMENT	1,000
UNITED METHODIST CHURCH 206 CHURCH ST SHENANDOAH, IA 51601	NONE		AFTER SCHOOL PROGRAM	2,000
Total			3a	
b Approved for future payment				
Total			3b	

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
PRESBYTERIAN CHURCH 200 W CLARINDA AVE SHENANDOAH, IA 51601	NONE		IMPROVEMENTS	500
PAGE COUNTY CONSERVATION BOARD 2039 HWY 71 CLARINDA, IA 51632	NONE		PARK IMPROVEMENT	1,278
AMERICAN LEGION AUXILIARY 609 9TH AVE SHENANDOAH, IA 51601	NONE		PATRIOTISM	1,629
GREATER SHENANDOAH FOUNDATION 301 MAPLE SHENANDOAH, IA 51601	NONE		MENTORING	7,500
UCC 300 CHURCH ST SHENANDOAH, IA 51601			IMPROVEMENTS	1,000
Total			3a	54,237
b Approved for future payment				
Total			3b	3,500

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Terry Valle
Signature of officer or trustee

Date 8/17/17

Pres.

May the IRS discuss this return with the preparer shown below (see inst.)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name _____

DAVID LASHIER

Preparer's signature

1 June 1964

Date _____

07-30-2014

4. 12. 4. 1.

self-employed

P00295232

Firm's name ▶ MILLER SHEARER LASHIER & CO PC

Firm's EIN

Firm's address ► PO BOX 307

Phone no

SHENANDOAH IA 51601

712-246-2029

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return

Your Social Security Number

KAY M ANDERSON FOUNDATION

42-1363292

FORM 990PF, PART XV, LINE 2
APPLICATION SUBMISSION INFORMATION

GRANT PROGRAM

APPLICANT NAME

TERRY MILLER

ADDRESS

BOX 307

SHENANDOAH, IA 51601

TELEPHONE

712-246-2029

EMAIL ADDRESS

FORM & CONTENT

PRINTED APPLICATIONS ARE USED

SUBMISSION DEADLINE

NO

RESTRICTIONS ON AWARD

NO

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return

KAY M ANDERSON FOUNDATION

42-1362292

FORM 990PF, PART II, LINE 13 INVESTMENTS: OTHER SCHEDULE

STATEMENT #118

<u>CATEGORY</u>	<u>BOOK VALUE (BOY)</u>	<u>BOOK VALUE (EOY)</u>	<u>FMV (EOY)</u>
COMFED DODGE LTD PART	<u>39,000</u>	<u>39,000</u>	<u>39,000</u>
TOTAL	<u>39,000</u>	<u>39,000</u>	<u>39,000</u>

PG 01

FORM 990PF, PART II, LINE 10(A) INVESTMENTS: U.S. GOVERNMENT OBLIGATION SCHEDULE

STATEMENT #136

<u>CATEGORY</u>	<u>BOOK VALUE (BOY)</u>	<u>BOOK VALUE (EOY)</u>	<u>FMV (EOY)</u>
FED NATIL MTG ASSN	<u>231</u>	<u>231</u>	<u>172</u>
TOTALS	<u>231</u>	<u>231</u>	<u>172</u>

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return

FEIN

KAY M ANDERSON FOUNDATION

42-1363292

FORM 990PF, PART II, LINE 10(B)
INVESTMENTS: CORPORATE STOCK SCHEDULE

STATEMENT #137

<u>CATEGORY</u>	<u>BOY</u>	<u>BOOK VALUE</u>	<u>EOY FMV</u>
AT&T	8,320	8,433	10,775
HOSPIRA, INC	3,252	3,252	5,036
ABBOTT LABORATORIES	13,294	5,027	46,763
JOHN HANCOCK ADV GLOBAL	20,000	20,000	12,460
BRISTOL MYERS	12,540	12,540	42,520
COMCAST CORP CLASS A	4,494	4,494	17,928
ALCATEL - LUCENT	1,229	1,229	154
WESTERN UNION	3,185	3,185	6,900
ZIMMER HOLDING	635	635	7,455
PROCTOR & GAMBLE CO	21,166	21,166	32,564
CIT GROUP, INC	9,375	9,375	12,876
GENERAL MOTORS	25,052	25,052	4,291
EXELON CORP	13,051	13,051	8,277
FEDERATED INVESTORS	9,449	9,448	17,280
KINDER MORGAN	9,451	9,451	10,800
PAYCHEX, INC	8,735	8,735	13,659
PEPSICO	12,879	12,879	16,588
LOCKHEED MARTIN CO	11,744	11,744	22,299
ROYAL BANK OF CANADA	9,491	9,491	13,446
VAN GUARD GLOBAL EQUITY FD	115,708	117,409	113,222
HARBOR CAPITAL	58,752	61,738	98,533
MAIRS & POWER	94,141	97,314	166,043
T ROWE PRICE FD	83,595	83,643	126,872
AMERICAN BEACON LARGE CAP	84,039	85,316	100,571
COLUMBIA VALUE AND RESTRUCTING	88,052	104,989	97,239
PERKINS MID CAP	98,447	112,421	109,741
JANUS GLOBAL SELECT	51,507	51,703	51,181
MERIDIAN GROWTH FD	71,157	94,229	89,147
ABBVIE INC		8,267	61,178
TOTALS	<u>942,740</u>	<u>1,006,216</u>	<u>1,318,988</u>

Federal Supporting Statements

2013 PG 01

Name(s) as shown on return

KAY M ANDERSON FOUNDATION

42-1363292

FORM 990PF, PART II, LINE 10(C)
INVESTMENTS: CORPORATE BOND SCHEDULE

STATEMENT #138

<u>CATEGORY</u>	<u>BOY</u>	<u>BOOK VALUE</u>	<u>EOY FMV</u>
AMERICAN GENERAL FINANCE	50,000	50,000	50,006
BARCLAYS BANK	25,000	25,000	25,225
GMAC TERM NOTE	20,000		
ROYAL BANK OF SCOTLAND	25,000	25,000	26,184
JOHN DEERE CAP CORP	25,000		
GENERAL ELECTRIC CORP	10,000	10,000	10,822
BANK OF AMERICA	20,000	20,000	21,261
HARTFORD LIFE INSURANCE	30,000	30,000	29,775
GENERAL ELECTRIC CORP	20,000	20,000	21,163
MERRILL LYNCH & CO	19,670	19,670	22,004
GOLDMAN SACHS	20,000	20,000	20,314
TOTALS	264,670	219,670	226,754

Federal Supporting Statements

2013 PG 01

Your Social Security Number
42-1363292

Name(s) as shown on return

KAY M ANDERSON FOUNDATION

STATEMENT #103

FORM 990PF, PART I, LINE 23 - OTHER EXPENSES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
OFFICE SUPPLIES	24	24	0	0
POSTAGE	91	91	0	0
TOTALS	115	115	0	0

PG 01

STATEMENT #108

FORM 990PF, PART I, LINE 16(B) - ACCOUNTING FEES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
ACCOUNTING FEES	4,950	4,950	0	0
TOTALS	4,950	4,950	0	0

Federal Supporting Statements

2013 PG 01

Your Social Security Number

42-1363292

Name(s) as shown on return

KAY M ANDERSON FOUNDATION

STATEMENT #109

FORM 990PF, PART 1, LINE 16(C) - OTHER PROFESSIONAL FEES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
INVESTMENT ADVISORY FEE	8,468	8,468	0	0
TOTALS	8,468	8,468	0	0

PG 01

STATEMENT #110

FORM 990PF, PART 1, LINE 18 - TAXES SCHEDULE

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
INCOME TAX	484	484	0	0
TOTALS	484	484	0	0