Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation THE JAMES L. VINCENT FOUNDATION
C/O LOWELL DIXON, TRUSTEE

A Employer identification number 41-2141038

Number and street (or P O box number if mail is not delivered to street address) 7 AUDUBON ROAD

Room/suite

B Telephone number (see instructions) (847) 234-5526

City or town, state or province, country, and ZIP or foreign postal code WESTON, MA 02493

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 1,631,399.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	7.	7.		ATCH 1
	4	Dividends and interest from securities	43,436.	43,436.		ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	57,890.			
	b	Gross sales price for all assets on line 6a 497,347.				
	7	Capital gain net income (from Part IV, line 2)		57,890.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) ATCH 3	490.	490.		
	12	**Total** Add lines 1 through 11	101,823.	101,823.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) *	5,544.	5,544.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) ATCH 5	669.	469.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 6	219.	219.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	6,432.	6,232.		
	25	Contributions, gifts, grants paid	230,850.			230,850.
	26	**Total expenses and disbursements** Add lines 24 and 25	237,282.	6,232.		230,850.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-135,459.			
	b	**Net investment income** (if negative, enter -0-)		95,591.		
	c	**Adjusted net income** (if negative, enter -0-)				

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JSA **For Paperwork Reduction Act Notice, see instructions** *ATCH 4

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	40,850.	71,918.	71,918.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)	661,936.	488,005.	658,761.
	c	Investments - corporate bonds (attach schedule)	861,699.	868,145.	900,720.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,564,485.	1,428,068.	1,631,399.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,564,485.	1,428,068.	
	30	**Total net assets or fund balances** (see instructions)	1,564,485.	1,428,068.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,564,485.	1,428,068.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,564,485.
2	Enter amount from Part I, line 27a	2	-135,459.
3	Other increases not included in line 2 (itemize) ▶ ATCH 7	3	127.
4	Add lines 1, 2, and 3	4	1,429,153.
5	Decreases not included in line 2 (itemize) ▶ ATCH 8	5	1,085.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,428,068.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	57,890.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	600,095.	2,071,335.	0.289714
2011	594,271.	2,777,109.	0.213989
2010	98,774.	2,589,814.	0.038139
2009	71,350.	2,277,861.	0.031323
2008	843,140.	2,937,124.	0.287063

2 Total of line 1, column (d)	2	0.860228
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.172046
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,685,289.
5 Multiply line 4 by line 3	5	289,947.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	956.
7 Add lines 5 and 6	7	290,903.
8 Enter qualifying distributions from Part XII, line 4	8	230,850.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b . . .			1	1,912.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2 . . .			3	1,912.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- . . .			5	1,912.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013 . . .	6a	956.		
b	Exempt foreign organizations - tax withheld at source . . .	6b			
c	Tax paid with application for extension of time to file (Form 8868) . . .	6c	3,000.		
d	Backup withholding erroneously withheld . . .	6d			
7	Total credits and payments Add lines 6a through 6d . . .			7	3,956.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached . . .			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . ▶			10	2,044.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 2,044. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . .	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . .	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year? . . .	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ __________ **(2)** On foundation managers ▶ $ __________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ __________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . .	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year? . . .	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . .	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* . . .	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* . . .	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* . . .	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ LOWELL DIXON, TRUSTEE Telephone no ▶ 847-234-5526 Located at ▶ 1401 NORTH LAKE ROAD LAKE FOREST, IL ZIP+4 ▶ 60045			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
			Yes	**No**
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	1,639,876.
b	Average of monthly cash balances	1b	71,077.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,710,953.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,710,953.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	25,664.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,685,289.
6	**Minimum investment return.** Enter 5% of line 5	6	84,264.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	84,264.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,912.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,912.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	82,352.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	82,352.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	82,352.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	230,850.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	230,850.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	230,850.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

				(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1		Distributable amount for 2013 from Part XI, line 7					82,352.
2		Undistributed income, if any, as of the end of 2013					
	a	Enter amount for 2012 only					
	b	Total for prior years 20 11 ,20 10 ,20 09					
3		Excess distributions carryover, if any, to 2013					
	a	From 2008	531,897.				
	b	From 2009					
	c	From 2010					
	d	From 2011	458,574.				
	e	From 2012	498,238.				
	f	**Total** of lines 3a through e		1,488,709.			
4		Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 230,850.					
	a	Applied to 2012, but not more than line 2a					
	b	Applied to undistributed income of prior years (Election required - see instructions)					
	c	Treated as distributions out of corpus (Election required - see instructions)					
	d	Applied to 2013 distributable amount					82,352.
	e	Remaining amount distributed out of corpus		148,498.			
5		Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6		**Enter the net total of each column as indicated below:**					
	a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,637,207.			
	b	Prior years' undistributed income Subtract line 4b from line 2b					
	c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
	d	Subtract line 6c from line 6b Taxable amount - see instructions					
	e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions					
	f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8		Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		531,897.			
9		**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		1,105,310.			
10		Analysis of line 9					
	a	Excess from 2009					
	b	Excess from 2010					
	c	Excess from 2011	458,574.				
	d	Excess from 2012	498,238.				
	e	Excess from 2013	148,498.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
VARIOUS - SEE ATTACHMENT				230,850.
Total . . . . . . ▶ **3a**				230,850.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	7.	
4 Dividends and interest from securities			14	43,436.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	57,890.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a OTHER INCOME			01	490.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				101,823.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . . 13 101,823.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ | 11/12/2014 ▶ Trustee

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JAMES M MEDEIROS	James M Medeiros	11/11/2014		P00237396

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP Firm's EIN ▶ 13-4008324

Firm's address ▶ 125 HIGH STREET
BOSTON, MA 02110 Phone no 617-530-7353

THE JAMES L. VINCENT FOUNDATION 41-2141038

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					1,142.	
		SANFORD BERNSTEIN #58605					VARIOUS	VARIOUS
39.		37.					2.	
		SANFORD BERNSTEIN #17988					VARIOUS	VARIOUS
496,166.		439,420.					56,746.	
TOTAL GAIN(LOSS)							57,890.	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SANFORD BERNSTEIN #17988		7.	7.
	TOTAL	7.	7.

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SANFORD BERNSTEIN #17988		29,289.	29,289.
SANFORD BERNSTEIN #58605		14,147.	14,147.
	TOTAL	43,436.	43,436.

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INCOME	490.	490.
TOTALS	490.	490.

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MANAGEMENT FEES	5,544.	5,544.
TOTALS	5,544.	5,544.

ATTACHMENT 5

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES PAID	434.	434.
COMMONWEALTH OF MASSACHUSETTS	35.	35.
US TREASURY	200.	
TOTALS	669.	469.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OFFICE SUPPLIES		180.	180.
SERVICE CHARGES		39.	39.
	TOTALS	219.	219.

ATTACHMENT 7

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
SANFORD BERNSTEIN #17988 NONDIV DIST	78.
OTHER INCOME - TIMING DIFFERENCE	14.
DIFFERENCE BETWEEN FMV AND BOOK VALUE	35.
TOTAL	127.

ATTACHMENT 8

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
OTHER ADJUSTMENTS	1,085.
TOTAL	1,085.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
LOWELL DIXON 1401 NORTH LAKE ROAD LAKE FOREST, IL 60045	TRUSTEE	0	0	0
AIMEE JAMISON 161 ELEANOR DRIVE WOODSIDE, CA 94062	TRUSTEE	0	0	0
CHRISTOPHER VINCENT 1213 17TH STREET BOULDER, CO 80302	TRUSTEE	0	0	0
	GRAND TOTALS	0	0	0

The James L. Vincent Foundation
Contributions, gifts, grants paid
For the period ended December 31, 2013

Date	Charitable Organization	Amount
1/15/2013	Newton-Wellesley Hospital Charitable Foundation	$ 50,000
3/6/2013	Weston Police Relief Association	$ 250
5/16/2013	Vail Valley Foundation	$ 15,000
6/5/2013	Weston Scouts, Inc.	$ 250
6/5/2013	Clearway School	$ 100
8/7/2013	Boston Symphony Orchestra	$ 25,000
9/12/2013	Newton-Wellesley Hospital Charitable Foundation	$ 40,000
10/3/2013	Weston Firefighters Relief Fund	$ 250
12/2/2013	Las Lomitas Education Foundation	$ 100,000
		$ 230,850

ATTACHMENT 10

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2013

Name of estate or trust THE JAMES L. VINCENT FOUNDATION
C/O LOWELL DIXON, TRUSTEE

Employer identification number 41-2141038

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked				
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	**4**	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	**5**	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	**6**	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back . . . . ▶	**7**	

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	496,205.	439,457.		56,748.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	**11**	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.	**12**	
13 Capital gain distributions.	**13**	1,142.
14 Gain from Form 4797, Part I.	**14**	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	**15**	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back . . . . ▶	**16**	57,890.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Part III	**Summary of Parts I and II** **Caution:** *Read the instructions* ***before*** *completing this part*				
17	**Net short-term gain or (loss)**	17			
18	**Net long-term gain or (loss):**				
a	Total for year	18a			57,890.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			57,890.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of **a** The loss on line 19, column (3) **or b** $3,000	20	( )

Note: *If the loss on line 19, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 18b, col. (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24 If zero or less, enter -0-			26			
27	Subtract line 26 from line 21 If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33 If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38 If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
THE JAMES L. VINCENT FOUNDATION	41-2141038

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ (F) Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
SANFORD BERNSTEIN #58605	VARIOUS	VARIOUS	39.	37.			2.
SANFORD BERNSTEIN #17988	VARIOUS	VARIOUS	496,166.	439,420.			56,746.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			496,205.	439,457.			56,748.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Capital Gains Report

JAMES L. VINCENT FOUNDATION (Account. 039-58605)

January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
LONG-TERM								
10/19/2006	01/29/2013	.749	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	13.97	13 07	10.46	9.79	0.67
10/19/2006	04/24/2013	1 071	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	14.10	13 07	15.10	14 00	1 10
10/19/2006	07/31/2013	1 025	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	13.49	13.07	13 83	13.40	0.43
			SUBTOTAL FOR LONG-TERM			39.39	37 19	2.20
TOTAL						39.39	37.19	2.20

** ACCOUNT TOTALS **

CURRENT PERIOD - G/L		2 20
LONG TERM - COVERED (COST BASIS REPORTED ON 1099-B)	0.00	
LONG TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)	0.00	
LONG TERM TOTAL		2.20

Capital-Gains Distributions: If you own shares of the Sanford C. Bernstein Fund, Inc , you may have received an annual capital-gains distribution (different from the capital gains or losses on securities sold, which are listed in this report). Long-term capital-gains distributions are a separate item on the I.R S. forms, and appear on the Summary-of-Key-Items report

Zero-Cost Transactions If you transferred securities to Bernstein for which the purchase price was unavailable, the total capital-gains figure that appears on this report needs to be adjusted. Any such transactions are segregated on the Capital-Gains report, with the cost entered as zero You will need to update the report data with the correct purchase information

This information should be reviewed by your tax advisor or accountant.

Capital Gains Report

JAMES L. VINCENT FOUNDATION (Account: 888-17988) January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
LONG-TERM								
02/21/2008	01/07/2013	1	GOOGLE INC-CL A	736.67	503.89	736.67	503.89	232.78
06/11/2012	01/07/2013	5	SHERWIN-WILLIAMS CO/THE	158.09	130.37	790.43	651.87	138.56
08/08/2011	01/07/2013	20	UNITEDHEALTH GROUP INC	52.03	42.64	1,040.51	852.82	187.69
05/31/2012	01/09/2013	15	INTL BUSINESS MACHINES CORP	191.94	193.60	2,879.13	2,903.96	-24.83
10/23/2012	01/09/2013	7	LIBERTY MEDIA CORP - LIBER-A	121.26	109.99	848.82	769.94	78.88
06/11/2012	01/09/2013	7	SHERWIN-WILLIAMS CO/THE	162.23	130.37	1,135.61	912.62	222.99
08/22/2012	01/09/2013	15	UNITED TECHNOLOGIES CORP	84.53	79.45	1,267.97	1,191.82	76.15
09/07/2012	01/09/2013	15	UNITED TECHNOLOGIES CORP	84.53	79.38	1,267.97	1,190.64	77.33
12/05/2008	01/10/2013	1,190 070	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	9.97	5.55	11,865.00	6,604.89	5,260.11
05/17/2010	01/10/2013	15	***ASTRAZENECA PLC-SPONS ADR	48.68	42.09	730.24	631.34	98.90
11/23/2010	01/10/2013	15	***ASTRAZENECA PLC-SPONS ADR	48.68	47.87	730.24	718.08	12.16
06/18/2012	01/10/2013	91 667	AB DISCOVERY VALUE FUND CL ADV	18.00	16.02	1,650.00	1,468.51	181.49
03/18/2011	01/10/2013	25	ALTRIA GROUP INC	32.64	24.77	816.12	619.16	196.96
03/18/2011	01/10/2013	45	ALTRIA GROUP INC	32.64	24.77	1,469.02	1,114.48	354.54
05/21/2012	01/10/2013	30	ALTRIA GROUP INC	32.64	31.59	979.34	947.72	31.62
06/18/2012	01/10/2013	251 .613	AB DISCOVERY GROWTH FND CL ADV	7.75	7.00	1,950.00	1,761.29	188.71
10/03/2008	01/10/2013	4	APPLE INC	516.16	104.00	2,064.65	416.01	1,648.64
09/13/2012	01/10/2013	25	BB&T CORP	30.42	33.26	760.55	831.51	-70.96
09/13/2012	01/10/2013	10	BB&T CORP	30.42	33.26	304.22	332.61	-28.39
09/14/2011	01/10/2013	30	***BP PLC-SPONS ADR	45.01	38.22	1,350.20	1,146.58	203.62
08/17/2012	01/10/2013	5	BIOGEN IDEC INC	143.87	144.95	719.35	724.76	-5.41
07/30/2012	01/10/2013	15	BECTON DICKINSON & CO	81.39	76.04	1,220.85	1,140.59	80.26
06/15/2012	01/10/2013	3	CHIPOTLE MEXICAN GRILL-CL A	295.18	400.96	885.54	1,202.89	-317.35
11/23/2011	01/10/2013	25	CIT GROUP INC	39.79	30.33	994.70	758.24	236.46
05/19/2011	01/10/2013	25	CITIGROUP INC	42.84	41.43	1,070.91	1,035.73	35.18
05/19/2011	01/10/2013	25	CITIGROUP INC	42.84	41.43	1,070.91	1,035.73	35.18
11/07/2012	01/10/2013	10	DIAMOND OFFSHORE DRILLING	72.10	67.97	721.00	679.75	41.25
05/04/2010	01/10/2013	15	DANAHER CORP	59.51	41.56	892.65	623.36	269.29
08/10/2011	01/10/2013	5	WALT DISNEY CO/THE	50.79	31.19	253.96	155.97	97.99
08/18/2011	01/10/2013	15	WALT DISNEY CO/THE	50.79	32.32	761.87	484.79	277.08
06/08/2012	01/10/2013	10	EXXON MOBIL CORP	88.80	80.16	887.99	801.56	86.43
06/28/2012	01/10/2013	10	***EATON CORP PLC	55.60	37.41	556.00	374.15	181.85
08/14/2012	01/10/2013	5	***EATON CORP PLC	55.60	45.69	278.00	228.47	49.53
09/26/2012	01/10/2013	25	FIDELITY NATIONAL FINANCIAL IN	25.28	21.18	632.00	529.54	102.46
04/04/2008	01/10/2013	1	GOOGLE INC-CL A	735.25	471.54	735.25	471.54	263.71
12/17/2009	01/10/2013	30	GENERAL ELECTRIC CO	21.11	15.60	633.37	468.06	165.31
11/12/2012	01/10/2013	2	INTUITIVE SURGICAL INC	508.09	532.77	1,016.18	1,065.54	-49.36
07/27/2012	01/10/2013	10	HOME DEPOT INC	63.44	53.66	634.40	536.64	97.76
08/16/2012	01/10/2013	4	INTL BUSINESS MACHINES CORP	192.20	199.72	768.81	798.89	-30.08
10/07/2010	01/10/2013	10	JOHNSON & JOHNSON	72.18	63.37	721.80	633.73	88.07
08/02/2012	01/10/2013	15	KRAFT FOODS GROUP INC-W/I	46.01	41.26	690.15	618.88	71.27
11/23/2010	01/10/2013	50	KROGER CO	25.54	23.04	1,276.96	1,151.94	125.02
10/23/2012	01/10/2013	5	LIBERTY MEDIA CORP - LIBER-A	120.77	109.99	603.85	549.96	53.89

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
06/15/2012	01/10/2013	10	MCDONALD'S CORP	91.29	90.45	912.89	904.50	8.39
09/24/2012	01/10/2013	15	MEDTRONIC INC	43.84	43.45	657.60	651.75	5.85
12/28/2011	01/10/2013	15	NV ENERGY INC	18.39	16.23	275.80	243.43	32.37
07/25/2012	01/10/2013	20	NV ENERGY INC	18.39	17.79	367.73	355.83	11.90
05/21/2012	01/10/2013	5	PHILIP MORRIS INTERNATIONAL	86.77	84.50	433.85	422.49	11.36
08/28/2012	01/10/2013	5	PHILIP MORRIS INTERNATIONAL	86.77	91.02	433.85	455.08	-21.23
11/12/2012	01/10/2013	10	***PARTNERRE LTD	83.03	78.96	830.32	789.65	40.67
11/12/2012	01/10/2013	1	***PARTNERRE LTD	83.03	78.96	83.03	78.96	4.07
11/12/2012	01/10/2013	3	***PARTNERRE LTD	83.03	78.96	249.09	236.89	12.20
11/14/2012	01/10/2013	2	***PARTNERRE LTD	83.03	78.61	166.06	157.22	8.84
12/26/2007	01/10/2013	40	PFIZER INC	26.70	23.17	1,068.14	926.64	141.50
06/26/2009	01/10/2013	60	PFIZER INC	26.70	15.20	1,602.21	912.28	689.93
08/04/2011	01/10/2013	5	PRECISION CASTPARTS CORP	188.98	151.85	944.89	759.24	185.65
06/11/2012	01/10/2013	4	SHERWIN-WILLIAMS CO/THE	162.20	130.37	648.80	521.49	127.31
07/24/2012	01/10/2013	15	TIME WARNER INC	49.75	37.57	746.25	563.50	182.75
06/22/2012	01/10/2013	5	UNION PACIFIC CORP	130.54	114.95	652.70	574.74	77.96
07/18/2012	01/10/2013	15	VERIZON COMMUNICATIONS INC	43.35	46.06	650.25	690.95	-40.70
06/27/2012	01/10/2013	5	VISA INC - CLASS A SHRS	160.08	122.91	800.40	614.56	185.84
02/13/2012	01/10/2013	20	WELLS FARGO & COMPANY	35.13	30.66	702.65	613.29	89.36
02/17/2012	01/10/2013	10	WELLS FARGO & COMPANY	35.13	30.82	351.33	308.24	43.09
04/25/2011	01/10/2013	20	WELLPOINT INC	62.16	71.94	1,243.13	1,438.79	-195.66
04/29/2011	01/10/2013	5	WELLPOINT INC	62.16	76.19	310.78	380.94	-70.16
05/28/2009	01/10/2013	886.040	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	14.04	12.22	12,440.00	10,827.41	1,612.59
12/09/2008	01/10/2013	241.087	BERNSTEIN EMERGING MARKETS PORTFOLIO	28.70	14.72	6,850.00	3,548.80	3,301.20
12/21/2007	01/10/2013	1,246.835	BERNSTEIN INTERNATIONAL PORTFOLIO	14.22	24.60	17,730.00	30,672.14	-12,942.14
12/23/2010	01/11/2013	10	CITRIX SYSTEMS INC	71.06	68.22	710.61	682.21	28.40
12/29/2011	01/11/2013	75	MGM RESORTS INTERNATIONAL	12.75	10.24	956.36	768.28	188.08
07/24/2012	01/11/2013	20	MACY'S INC	37.00	34.21	740.00	684.27	55.73
12/23/2011	01/14/2013	75	APPLIED MATERIALS INC	11.76	10.67	882.26	800.29	81.97
04/05/2012	01/14/2013	35	TIBCO SOFTWARE INC	22.78	32.42	797.17	1,134.61	-337.44
10/23/2012	01/16/2013	13	STARZ - A	15.73	12.59	204.45	163.71	40.74
08/17/2011	01/18/2013	20	LORILLARD INC	39.30	36.11	786.00	722.29	63.71
07/12/2011	01/23/2013	55	DELTA AIR LINES INC	13.88	8.66	763.44	476.03	287.41
04/30/2012	01/23/2013	20	DELTA AIR LINES INC	13.88	10.89	277.62	217.87	59.75
08/08/2011	01/23/2013	12	UNITEDHEALTH GROUP INC	55.73	42.64	668.71	511.69	157.02
08/12/2011	01/23/2013	3	UNITEDHEALTH GROUP INC	55.73	44.89	167.18	134.67	32.51
12/23/2010	01/24/2013	5	CITRIX SYSTEMS INC	69.33	68.22	346.65	341.10	5.55
03/10/2011	01/24/2013	10	CITRIX SYSTEMS INC	69.33	70.15	693.29	701.51	-8.22
05/18/2011	01/24/2013	5	CITRIX SYSTEMS INC	69.33	82.67	346.65	413.34	-66.69
03/27/2012	01/24/2013	10	NATIONAL - OILWELL INC	73.57	78.32	735.70	783.23	-47.53
09/05/2012	01/28/2013	30	PULTE GROUP INC	20.87	13.95	626.18	418.47	207.71
07/25/2012	01/29/2013	125	NV ENERGY INC	18.82	17.79	2,351.88	2,223.92	127.96
05/28/2009	01/29/2013	39.702	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	13.97	12.22	554.64	485.16	69.48
06/01/2012	02/01/2013	17	MCKESSON CORP	103.90	86.69	1,766.24	1,473.73	292.51
05/24/2012	02/01/2013	40	TIBCO SOFTWARE INC	23.79	29.58	951.43	1,183.20	-231.77

Capital Gains Report

JAMES L. VINCENT FOUNDATION (Account: 888-17988) January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
08/22/2012	02/01/2013	5	TIBCO SOFTWARE INC	23.79	28.83	118.93	144.16	-25.23
11/23/2010	02/05/2013	35	***ASTRAZENECA PLC-SPONS ADR	48.01	47.87	1,680.34	1,675.52	4.82
12/20/2010	02/05/2013	25	***ASTRAZENECA PLC-SPONS ADR	48.01	45.89	1,200.24	1,147.25	52.99
02/21/2012	02/05/2013	25	***ASTRAZENECA PLC-SPONS ADR	48.01	45.09	1,200.24	1,127.30	72.94
09/13/2012	02/05/2013	10	BB&T CORP	31.00	33.26	310.04	332.61	-22.57
09/19/2012	02/05/2013	55	BB&T CORP	31.00	33.64	1,705.19	1,850.28	-145.09
04/15/2011	02/06/2013	30	AT&T INC	35.37	30.63	1,061.00	918.77	142.23
06/08/2012	02/06/2013	10	EXXON MOBIL CORP	89.67	80.16	896.65	801.56	95.09
05/21/2012	02/06/2013	25	PROCTER & GAMBLE CO	75.45	63.10	1,886.36	1,577.50	308.86
10/05/2012	02/07/2013	20	HARRIS CORP	46.49	51.87	929.89	1,037.42	-107.53
08/12/2011	02/07/2013	15	UNITEDHEALTH GROUP INC	57.00	44.89	855.05	673.37	181.68
12/21/2012	02/08/2013	45	MARSH & MCLENNAN COS INC	36.46	35.00	1,640.50	1,574.89	65.61
01/08/2013	02/08/2013	30	MARSH & MCLENNAN COS INC	36.46	35.00	1,093.67	1,049.90	43.77
05/17/2012	02/13/2013	25	***ASTRAZENECA PLC-SPONS ADR	45.88	42.44	1,146.91	1,061.00	85.91
08/29/2012	02/13/2013	10	***ASTRAZENECA PLC-SPONS ADR	45.88	47.02	458.77	470.16	-11.39
08/30/2012	02/15/2013	5	INTERCONTINENTALEXCHANGE INC	157.45	135.52	787.23	677.58	109.65
12/29/2011	02/15/2013	50	MGM RESORTS INTERNATIONAL	12.93	10.24	646.27	512.18	134.09
02/29/2012	02/15/2013	50	MGM RESORTS INTERNATIONAL	12.93	13.87	646.26	693.50	-47.24
12/10/2012	02/19/2013	12	ULTA SALON COSMETICS & FRAGRAN	85.29	99.85	1,023.45	1,198.18	-174.73
09/14/2011	02/21/2013	15	***BP PLC-SPONS ADR	40.73	38.22	610.98	573.29	37.69
10/07/2011	02/21/2013	40	***BP PLC-SPONS ADR	40.73	37.07	1,629.28	1,482.81	146.47
10/10/2011	02/21/2013	30	***BP PLC-SPONS ADR	40.73	38.18	1,221.96	1,145.32	76.64
11/04/2011	02/21/2013	50	***BP PLC-SPONS ADR	40.73	43.43	2,036.61	2,171.59	-134.98
02/29/2012	02/25/2013	25	MGM RESORTS INTERNATIONAL	12.49	13.87	312.19	346.75	-34.56
04/03/2012	02/25/2013	30	MGM RESORTS INTERNATIONAL	12.49	13.87	374.63	416.03	-41.40
11/08/2012	02/26/2013	30	DIRECTV	48.20	49.60	1,445.90	1,488.01	-42.11
08/17/2011	03/01/2013	20	LORILLARD INC	38.33	36.11	766.66	722.30	44.36
11/08/2012	03/06/2013	5	LINKEDIN CORP - A	174.36	99.60	871.78	498.00	373.78
04/30/2012	03/07/2013	20	COACH INC	48.65	73.14	973.09	1,462.87	-489.78
05/24/2012	03/07/2013	20	COACH INC	48.65	68.79	973.09	1,375.85	-402.76
07/20/2012	03/07/2013	2	CHIPOTLE MEXICAN GRILL-CL A	326.46	318.24	652.93	636.49	16.44
09/21/2012	03/11/2013	20	FIDELITY NATIONAL INFORMATION	38.31	32.60	766.20	652.00	114.20
05/24/2012	03/14/2013	10	F5 NETWORKS INC	91.58	109.62	915.82	1,096.16	-180.34
05/20/2011	03/15/2013	25	HEWLETT-PACKARD CO	21.99	36.32	549.67	908.09	-358.42
08/05/2011	03/15/2013	10	HEWLETT-PACKARD CO	21.99	32.48	219.87	324.79	-104.92
04/03/2012	03/15/2013	45	MGM RESORTS INTERNATIONAL	13.04	13.87	587.02	624.05	-37.03
06/14/2012	03/15/2013	100	MGM RESORTS INTERNATIONAL	13.04	11.04	1,304.48	1,104.36	200.12
06/11/2012	03/15/2013	5	SHERWIN-WILLIAMS CO/THE	169.49	130.37	847.45	651.87	195.58
10/05/2012	03/18/2013	20	HARRIS CORP	44.42	51.87	888.47	1,037.41	-148.94
01/07/2013	03/18/2013	15	HARRIS CORP	44.42	50.15	666.35	752.29	-85.94
06/01/2012	03/19/2013	18	MCKESSON CORP	105.82	86.69	1,904.70	1,560.42	344.28
05/17/2012	03/21/2013	15	AMGEN INC	94.02	71.38	1,410.28	1,070.70	339.58
07/11/2011	03/21/2013	25	CITIGROUP INC	45.99	40.73	1,149.80	1,018.15	131.65
07/19/2011	03/21/2013	25	CITIGROUP INC	45.99	37.69	1,149.80	942.32	207.48
07/22/2011	03/21/2013	5	CITIGROUP INC	45.99	40.18	229.96	200.90	29.06
11/07/2012	03/21/2013	10	DIAMOND OFFSHORE DRILLING	68.37	67.97	683.66	679.75	3.91
08/17/2011	03/21/2013	20	LORILLARD INC	39.82	36.11	796.30	722.29	74.01
10/11/2011	03/21/2013	45	LORILLARD INC	39.82	39.27	1,791.68	1,767.29	24.39
08/16/2012	03/21/2013	5	MICROSOFT CORP	28.11	31.55	140.57	157.76	-17.19

Capital Gains Report

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
09/12/2012	03/21/2013	20	MICROSOFT CORP	28.11	30.91	562.26	618.23	-55.97
07/30/2012	03/22/2013	10	BECTON DICKINSON & CO	92.22	76.04	922.16	760.40	161.76
06/11/2012	03/22/2013	1	SHERWIN-WILLIAMS CO/THE	168.54	130.37	168.54	130.37	38.17
06/11/2012	03/22/2013	9	SHERWIN-WILLIAMS CO/THE	168.54	130.37	1,516.90	1,173.36	343.54
10/16/2012	03/26/2013	25	KINDER MORGAN INC	37.69	35.48	942.35	886.97	55.38
07/01/2011	03/26/2013	5	TYSON FOODS INC-CL A	24.18	19.46	120.88	97.32	23.56
07/01/2011	03/26/2013	55	TYSON FOODS INC-CL A	24.18	19.46	1,329.67	1,070.49	259.18
12/14/2012	03/26/2013	13	ULTA SALON COSMETICS & FRAGRAN	78.00	94.73	1,014.02	1,231.47	-217.45
02/07/2012	04/02/2013	25	CISCO SYSTEMS INC	21.20	20.25	529.98	506.37	23.61
02/10/2012	04/02/2013	15	CISCO SYSTEMS INC	21.20	20.00	317.99	300.05	17.94
04/04/2008	04/04/2013	1	GOOGLE INC-CL A	794.05	471.54	794.05	471.54	322.51
08/03/2012	04/04/2013	10	IDEXX LABS CORP	88.81	89.91	888.14	899.06	-10.92
11/01/2011	04/05/2013	95	MICRON TECHNOLOGY INC	9.35	5.37	888.25	510.11	378.14
02/17/2012	04/05/2013	20	WELLS FARGO & COMPANY	36.75	30.82	734.94	616.47	118.47
03/08/2012	04/05/2013	5	WELLS FARGO & COMPANY	36.75	31.25	183.74	156.23	27.51
08/16/2010	04/08/2013	10	NOBLE ENERGY INC	114.24	67.23	1,142.44	672.29	470.15
08/29/2012	04/09/2013	40	***ASTRAZENECA PLC-SPONS ADR	50.64	47.02	2,025.70	1,880.66	145.04
06/08/2012	04/11/2013	15	EXXON MOBIL CORP	88.69	80.16	1,330.29	1,202.34	127.95
12/31/2012	04/16/2013	10	PETSMART	66.19	67.60	661.92	676.00	-14.08
08/22/2012	04/19/2013	45	TIBCO SOFTWARE INC	18.34	28.83	825.08	1,297.41	-472.33
08/03/2012	04/23/2013	15	IDEXX LABS CORP	86.60	89.91	1,299.00	1,348.59	-49.59
05/17/2011	04/23/2013	15	STARBUCKS CORP	59.27	35.14	889.10	527.13	361.97
05/28/2009	04/24/2013	6 408	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	14.10	12.22	90.35	78.31	12.04
03/31/2011	04/26/2013	15	INTUIT INC	58.70	52.59	880.45	788.91	91.54
08/03/2011	04/26/2013	25	INTUIT INC	58.70	45.69	1,467.41	1,142.13	325.28
05/09/2012	04/26/2013	20	INTUIT INC	58.70	56.23	1,173.93	1,124.52	49.41
02/07/2013	04/26/2013	15	INTUIT INC	58.70	62.48	880.45	937.27	-56.82
08/14/2012	05/01/2013	20	***EATON CORP PLC	59.55	45.69	1,191.06	913.88	277.18
02/23/2009	05/01/2013	8	***SCHLUMBERGER LTD	73.67	37.49	589.36	299.90	289.46
04/09/2010	05/01/2013	12	***SCHLUMBERGER LTD	73.67	66.52	884.05	798.26	85.79
08/12/2011	05/01/2013	17	UNITEDHEALTH GROUP INC	59.48	44.89	1,011.16	763.16	248.00
06/08/2012	05/02/2013	10	EXXON MOBIL CORP	88.60	80.16	885.95	801.56	84.39
01/25/2013	05/03/2013	8	CST BRANDS INC	29.73	32.82	237.81	262.57	-24.76
02/14/2013	05/03/2013	3	CST BRANDS INC	29.73	34.04	89.18	102.12	-12.94
11/23/2010	05/07/2013	25	KROGER CO	34.81	23.04	870.34	575.97	294.37
05/21/2012	05/08/2013	50	ALTRIA GROUP INC	36.48	31.59	1,824.24	1,579.53	244.71
07/26/2012	05/08/2013	30	ALTRIA GROUP INC	36.48	35.70	1,094.55	1,070.93	23.62
	05/10/2013		CST BRANDS INC			20.94		20.94
07/01/2011	05/10/2013	20	TYSON FOODS INC-CL A	24.76	19.46	495.13	389.27	105.86
05/22/2012	05/10/2013	15	TYSON FOODS INC-CL A	24.76	19.42	371.34	291.29	80.05
08/28/2012	05/13/2013	10	PHILIP MORRIS INTERNATIONAL	94.13	91.02	941.31	910.17	31.14
06/26/2009	05/14/2013	25	PFIZER INC	29.40	15.20	735.06	380.12	354.94
04/09/2010	05/14/2013	10	***SCHLUMBERGER LTD	76.98	66.52	769.76	665.22	104.54
06/12/2012	05/14/2013	30	US BANCORP	33.78	30.48	1,013.48	914.36	99.12
08/20/2012	05/14/2013	75	US BANCORP	33.78	33.08	2,533.69	2,480.80	52.89
02/07/2013	05/14/2013	25	US BANCORP	33.78	33.49	844.56	837.17	7.39
08/28/2012	05/16/2013	10	PHILIP MORRIS INTERNATIONAL	95.94	91.02	959.39	910.17	49.22
05/22/2012	05/16/2013	30	TYSON FOODS INC-CL A	25.08	19.42	752.40	582.59	169.81

Capital Gains Report

JAMES L. VINCENT FOUNDATION (Account· 888-17988) January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
09/26/2012	05/16/2013	5	TYSON FOODS INC-CL A	25.08	16.30	125.40	81.50	43.90
04/12/2012	05/16/2013	23	UNITEDHEALTH GROUP INC	61.48	58.38	1,413.98	1,342.70	71.28
01/11/2013	05/17/2013	5	GOLDMAN SACHS GROUP INC	157.84	136.67	789.21	683.35	105.86
08/06/2012	05/17/2013	20	MERCK & CO INC	45.53	44.55	910.54	890.91	19.63
09/24/2012	05/17/2013	10	MERCK & CO INC	45.53	44.91	455.27	449.14	6.13
09/24/2012	05/17/2013	35	MEDTRONIC INC	49.55	43.45	1,734.16	1,520.75	213.41
08/04/2011	05/17/2013	2	PRECISION CASTPARTS CORP	212.02	151.85	424.03	303.70	120.33
10/31/2011	05/17/2013	3	PRECISION CASTPARTS CORP	212.02	163.93	636.05	491.79	144.26
01/03/2013	05/20/2013	15	JM SMUCKER CO/THE	102.11	89.13	1,531.66	1,336.89	194.77
12/28/2012	05/23/2013	10	***EVEREST RE GROUP LTD	127.59	109.36	1,275.93	1,093.65	182.28
11/01/2011	05/24/2013	30	MICRON TECHNOLOGY INC	11.47	5.37	344.19	161.09	183.10
11/14/2011	05/24/2013	150	MICRON TECHNOLOGY INC	11.47	5.32	1,720.97	797.96	923.01
03/27/2012	06/04/2013	15	NATIONAL - OILWELL INC	69.80	78.32	1,046.97	1,174.84	-127.87
06/27/2012	06/05/2013	5	VISA INC - CLASS A SHRS	178.25	122.91	891.23	614.56	276.67
07/26/2012	06/06/2013	20	ALTRIA GROUP INC	35.65	35.70	712.95	713.96	-1.01
08/17/2012	06/06/2013	10	ALTRIA GROUP INC	35.65	35.34	356.48	353.36	3.12
11/14/2011	06/06/2013	15	HARLEY DAVIDSON INC	53.72	39.53	805.83	592.97	212.86
08/03/2012	06/06/2013	15	IDEXX LABS CORP	84.26	89.91	1,263.87	1,348.58	-84.71
11/23/2010	06/06/2013	25	KROGER CO	33.04	23.04	825.90	575.97	249.93
01/19/2011	06/06/2013	30	KROGER CO	33.04	21.52	991.09	645.64	345.45
05/24/2012	06/12/2013	5	F5 NETWORKS INC	74.41	109.62	372.07	548.08	-176.01
08/24/2012	06/12/2013	10	F5 NETWORKS INC	74.41	98.67	744.13	986.75	-242.62
02/01/2013	06/12/2013	10	F5 NETWORKS INC	74.41	105.65	744.13	1,056.49	-312.36
03/25/2013	06/13/2013	85	SAFEWAY INC	25.16	25.96	2,138.37	2,206.78	-68.41
03/26/2013	06/13/2013	5	SAFEWAY INC	25.16	26.05	125.79	130.25	-4.46
05/18/2011	07/02/2013	10	CITRIX SYSTEMS INC	61.60	82.67	616.00	826.67	-210.67
07/15/2011	07/02/2013	5	CITRIX SYSTEMS INC	61.60	75.96	308.00	379.79	-71.79
11/14/2011	07/05/2013	5	HARLEY DAVIDSON INC	55.22	39.53	276.10	197.66	78.44
07/13/2012	07/05/2013	11	HARLEY DAVIDSON INC	55.22	44.01	607.42	484.09	123.33
12/24/2012	07/08/2013	20	FISERV INC	89.84	79.56	1,796.82	1,591.17	205.65
01/15/2013	07/08/2013	15	FISERV INC	89.84	80.94	1,347.62	1,214.15	133.47
05/22/2013	07/08/2013	15	FISERV INC	89.84	89.60	1,347.62	1,344.00	3.62
12/23/2011	07/15/2013	50	APPLIED MATERIALS INC	16.63	10.67	831.64	533.52	298.12
01/31/2012	07/15/2013	25	APPLIED MATERIALS INC	16.63	12.27	415.82	306.80	109.02
06/08/2012	07/16/2013	15	EXXON MOBIL CORP	93.07	80.16	1,396.03	1,202.33	193.70
08/17/2012	07/16/2013	15	EXXON MOBIL CORP	93.07	88.43	1,396.02	1,326.49	69.53
02/08/2010	07/16/2013	100	GENERAL ELECTRIC CO	23.48	15.70	2,348.21	1,570.30	777.91
08/16/2012	07/16/2013	55	GENERAL ELECTRIC CO	23.48	20.95	1,291.52	1,152.40	139.12
10/03/2008	07/19/2013	2	APPLE INC	428.60	104.00	857.21	208.00	649.21
12/01/2009	07/19/2013	12	TIME WARNER CABLE	116.68	42.08	1,400.20	504.96	895.24
12/01/2009	07/19/2013	7	TIME WARNER CABLE	116.68	42.08	816.79	294.56	522.23
11/05/2012	07/24/2013	12	VERTEX PHARMACEUTICALS INC	85.28	46.07	1,023.39	552.88	470.51
07/13/2012	07/25/2013	24	HARLEY DAVIDSON INC	56.25	44.01	1,349.88	1,056.21	293.67
03/27/2012	07/29/2013	5	NATIONAL - OILWELL INC	70.94	78.32	354.70	391.61	-36.91
04/12/2012	07/29/2013	20	NATIONAL - OILWELL INC	70.94	80.28	1,418.78	1,605.63	-186.85
05/28/2009	07/31/2013	.647	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	13.49	12.23	8.73	7.91	0.82
07/15/2011	08/01/2013	10	CITRIX SYSTEMS INC	72.76	75.96	727.61	759.59	-31.98
10/31/2011	08/09/2013	7	PRECISION CASTPARTS CORP .	219.31	163.93	1,535.20	1,147.52	387.68

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
07/17/2012	08/12/2013	10	BERKSHIRE HATHAWAY INC-CL B	117.10	84.51	1,171.01	845.12	325.89
11/07/2012	08/14/2013	20	DIAMOND OFFSHORE DRILLING	67.26	67.98	1,345.18	1,359.51	-14.33
11/15/2012	08/14/2013	30	DIAMOND OFFSHORE DRILLING	67.26	63.26	2,017.77	1,897.67	120.10
05/17/2013	08/14/2013	10	DIAMOND OFFSHORE DRILLING	67.26	72.17	672.59	721.66	-49.07
12/01/2009	08/16/2013	1	TIME WARNER CABLE	110.01	42.08	110.01	42.08	67.93
11/21/2011	08/16/2013	15	TIME WARNER CABLE	110.01	60.04	1,650.14	900.54	749.60
01/31/2012	08/22/2013	100	APPLIED MATERIALS INC	15.66	12.27	1,565.95	1,227.18	338.77
07/17/2012	08/22/2013	100	APPLIED MATERIALS INC	15.66	10.29	1,565.95	1,029.50	536.45
06/08/2012	08/23/2013	10	CHEVRON CORP	118.97	100.20	1,189.66	1,001.97	187.69
08/17/2012	08/23/2013	2	CHEVRON CORP	118.97	112.91	237.93	225.82	12.11
02/06/2013	08/23/2013	45	GAMESTOP CORP-CLASS A	51.35	24.97	2,310.55	1,123.50	1,187.05
05/02/2013	08/26/2013	10	ALLERGAN INC	89.78	102.00	897.78	1,020.00	-122.22
10/26/2012	09/05/2013	3	AMAZON COM INC	294.13	235.65	882.39	706.96	175.43
06/18/2012	09/05/2013	56 684	AB DISCOVERY VALUE FUND CL ADV	21.17	16.02	1,200.00	908.08	291.92
06/18/2012	09/05/2013	160 .085	AB DISCOVERY GROWTH FND CL ADV	9.37	7.00	1,500.00	1,120.60	379.40
10/03/2008	09/05/2013	2	APPLE INC	494.61	104.00	989.22	208.01	781.21
12/12/2012	09/05/2013	75	BANK OF AMERICA CORP	14.43	10.62	1,082.59	796.14	286.45
09/20/2012	09/05/2013	7	BECTON DICKINSON & CO	98.75	79.18	691.22	554.26	136.96
03/31/2011	09/05/2013	15	COMCAST CORP-CLASS A	42.46	24.63	636.89	369.49	267.40
11/23/2011	09/05/2013	10	CIT GROUP INC	49.28	30.33	492.79	303.29	189.50
01/06/2012	09/05/2013	5	CIT GROUP INC	49.28	35.58	246.39	177.89	68.50
03/26/2013	09/05/2013	15	CAMPBELL SOUP CO	41.84	44.28	627.66	664.19	-36.53
11/27/2012	09/05/2013	20	DISCOVER FINANCIAL SERVICES	48.68	40.75	973.56	815.07	158.49
04/30/2012	09/05/2013	35	DELTA AIR LINES INC	20.25	10.89	708.84	381.28	327.56
08/18/2011	09/05/2013	10	WALT DISNEY CO/THE	61.25	32.32	612.45	323.19	289.26
11/18/2011	09/05/2013	5	WALT DISNEY CO/THE	61.25	35.58	306.23	177.92	128.31
10/03/2011	09/05/2013	4	EOG RESOURCES INC	161.26	69.52	645.02	278.07	366.95
12/28/2012	09/05/2013	5	***EVEREST RE GROUP LTD	136.45	109.37	682.23	546.83	135.40
03/11/2013	09/05/2013	10	EXXON MOBIL CORP	87.67	89.16	876.72	891.63	-14.91
10/26/2012	09/05/2013	75	FORD MOTOR CO	17.29	10.32	1,296.47	773.84	522.63
08/30/2012	09/05/2013	5	INTERCONTINENTALEXCHANGE INC	181.42	135.52	907.11	677.58	229.53
08/05/2011	09/05/2013	50	HEWLETT-PACKARD CO	22.10	32.48	1,104.86	1,623.96	-519.10
03/28/2012	09/05/2013	20	JPMORGAN CHASE & CO	52.23	46.10	1,044.50	921.99	122.51
10/07/2010	09/05/2013	5	JOHNSON & JOHNSON	87.01	63.37	435.03	316.86	118.17
10/11/2010	09/05/2013	5	JOHNSON & JOHNSON	87.01	63.24	435.03	316.21	118.82
01/19/2011	09/05/2013	20	KROGER CO	37.17	21.52	743.33	430.43	312.90
02/03/2012	09/05/2013	5	KROGER CO	37.17	24.04	185.83	120.20	65.63
11/08/2012	09/05/2013	3	LINKEDIN CORP - A	248.59	99.60	745.77	298.80	446.97
02/20/2013	09/05/2013	10	MONSANTO CO	101.24	99.16	1,012.44	991.64	20.80
07/24/2012	09/05/2013	15	MACY'S INC	45.77	34.21	686.49	513.20	173.29
03/15/2013	09/05/2013	10	MARATHON PETROLEUM CORP	72.79	87.82	727.89	878.22	-150.33
04/10/2013	09/05/2013	1	PRICELINE COM INC	955.71	718.53	955.71	718.53	237.18
06/26/2009	09/05/2013	25	PFIZER INC	28.21	15.20	705.18	380.11	325.07
10/31/2011	09/05/2013	3	PRECISION CASTPARTS CORP	217.03	163.93	651.10	491.80	159.30
01/11/2012	09/05/2013	1	PRECISION CASTPARTS CORP	217.03	174.49	217.03	174.49	42.54
03/18/2013	09/05/2013	8	STERICYCLE INC	112.25	101.25	898.00	809.97	88.03
04/09/2010	09/05/2013	3	***SCHLUMBERGER LTD	84.23	66.52	252.70	199.57	53.13

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
01/04/2012	09/05/2013	12	***SCHLUMBERGER LTD	84 23	69 94	1,010 80	839.32	171.48
06/11/2012	09/05/2013	4	SHERWIN-WILLIAMS CO/THE	174.25	130.38	696.98	521.50	175 48
05/18/2011	09/05/2013	15	STARBUCKS CORP	72.06	35 99	1,080.94	539 92	541 02
09/26/2012	09/05/2013	15	TJX COMPANIES INC	54 29	44 68	814.32	670.23	144.09
06/22/2012	09/05/2013	5	UNION PACIFIC CORP	156 26	114 95	781 31	574.75	206.56
07/18/2012	09/05/2013	15	VERIZON COMMUNICATIONS INC	46 51	46.06	697.62	690.95	6.67
04/29/2011	09/05/2013	15	WELLPOINT INC	87.93	76.19	1,318.98	1,142.80	176.18
08/17/2012	09/06/2013	10	BIOGEN IDEC INC	226.96	144.95	2,269.64	1,449.52	820.12
08/17/2012	09/06/2013	1	BIOGEN IDEC INC	226 96	144 95	226.96	144.95	82 01
03/11/2013	09/12/2013	40	EXXON MOBIL CORP	88.12	89 16	3,524 90	3,566 50	-41 60
05/21/2012	09/17/2013	15	WAL-MART STORES INC	75 20	62.92	1,127.97	943.79	184.18
11/27/2012	09/20/2013	70	DISCOVER FINANCIAL SERVICES	52 26	40 75	3,658 29	2,852 75	805.54
02/20/2013	09/23/2013	15	MONSANTO CO	105 94	99 16	1,589.17	1,487.46	101.71
03/18/2013	09/24/2013	17	STERICYCLE INC	114.35	101.25	1,943.96	1,721.18	222 78
10/03/2011	10/04/2013	7	EOG RESOURCES INC	173 35	69 52	1,213.45	486 62	726 83
07/23/2013	10/07/2013	60	ABERCROMBIE & FITCH CO-CL A	34 33	49.60	2,059.93	2,975.98	-916 05
10/16/2012	10/07/2013	25	KINDER MORGAN INC	34.88	35.48	871 93	886.97	-15.04
06/22/2012	10/08/2013	5	UNION PACIFIC CORP	153 18	114.95	765.89	574.74	191.15
07/24/2012	10/11/2013	30	MACY'S INC	42 56	34.21	1,276.92	1,026 40	250.52
04/30/2012	10/14/2013	35	DELTA AIR LINES INC	24.06	10 89	841 95	381.28	460.67
04/30/2012	10/14/2013	40	DELTA AIR LINES INC	24 06	10 89	962 23	435 75	526 48
07/25/2013	10/16/2013	30	EMC CORP/MASS	24 86	26.59	745.65	797.81	-52 16
05/01/2013	10/18/2013	15	BOEING CO/THE	121 96	91 33	1,829 34	1,370 01	459 33
03/18/2013	10/18/2013	10	ILLINOIS TOOL WORKS	77 49	62.08	774.86	620.82	154.04
04/13/2012	10/21/2013	15	COGNIZANT TECH SOLUTIONS-A	86.22	74 34	1,293.25	1,115.06	178 19
04/20/2012	10/21/2013	5	COGNIZANT TECH SOLUTIONS-A	86.22	72 18	431.08	360.88	70 20
10/16/2012	10/21/2013	30	KINDER MORGAN INC	35 40	35 48	1,061.95	1,064.37	-2 42
10/26/2012	10/21/2013	25	KINDER MORGAN INC	35 40	34.70	884.96	867 51	17.45
07/25/2013	10/22/2013	90	EMC CORP/MASS	24 13	26 59	2,171 40	2,393 42	-222.02
08/23/2013	10/22/2013	80	EMC CORP/MASS	24 13	26.39	1,930 14	2,111 58	-181 44
11/18/2011	10/23/2013	10	WALT DISNEY CO/THE	68 22	35.58	682 20	355 85	326 35
04/03/2013	10/24/2013	10	CAPITAL ONE FINANCIAL CORP	70 56	54 17	705 62	541.75	163.87
03/26/2013	10/24/2013	60	CAMPBELL SOUP CO	42.75	44.28	2,565.00	2,656.78	-91.78
09/26/2012	10/24/2013	95	FIDELITY NATIONAL FINANCIAL IN	27 09	21 18	2,573 25	2,012 25	561 00
12/31/2012	10/24/2013	12	PETSMART	72 67	67 60	872 07	811.20	60.87
03/01/2013	10/24/2013	13	PETSMART	72.67	65.05	944.74	845.69	99.05
01/06/2012	11/01/2013	25	CIT GROUP INC	48.02	35 58	1,200 39	889 44	310.95
10/03/2011	11/01/2013	15	***LYONDELLBASELL INDU-CL A	73.97	20 61	1,109.58	309 11	800 47
04/10/2013	11/06/2013	1	PRICELINE COM INC	1061.82	718 54	1,061.82	718.54	343.28
05/25/2011	11/08/2013	5	WELLPOINT INC	86 97	76 95	434 86	384.77	50 09
05/25/2011	11/08/2013	10	WELLPOINT INC	86 97	76 95	869.71	769.54	100 17
07/29/2011	11/08/2013	15	WELLPOINT INC	86 97	67.66	1,304 57	1,014 95	289.62
02/01/2012	11/08/2013	15	WELLPOINT INC	86.97	66 04	1,304.57	990.63	313.94
10/26/2012	11/15/2013	15	CITRIX SYSTEMS INC	56.20	62 80	842.97	942 05	-99 08
12/03/2012	11/15/2013	15	CITRIX SYSTEMS INC	56.20	60 62	842.97	909 27	-66.30
02/25/2013	11/15/2013	10	CITRIX SYSTEMS INC	56 20	72 63	561 98	726 30	-164.32
02/10/2012	11/18/2013	44	CISCO SYSTEMS INC	21 35	20.00	939.40	880.14	59.26
03/30/2012	11/18/2013	6	CISCO SYSTEMS INC	21 35	21 13	128.10	126.78	1.32
01/11/2013	11/18/2013	19	GOLDMAN SACHS GROUP INC	166.40	136 67	3,161 61	2,596 72	564.89

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
11/13/2012	11/18/2013	20	KINDER MORGAN INC	35.65	32.55	712.98	651.01	61.97
06/09/2011	11/18/2013	15	VIACOM INC-CLASS B	79.78	48 73	1,196.77	730.96	465 81
10/26/2012	11/21/2013	3	AMAZON COM INC	366.03	235 65	1,098.10	706.95	391 15
08/17/2012	11/26/2013	4	BIOGEN IDEC INC	289.87	144.95	1,159.47	579 81	579.66
08/02/2012	11/26/2013	3	KRAFT FOODS GROUP INC-W/I	53.04	41 26	159 12	123.77	35.35
08/21/2012	11/26/2013	8	KRAFT FOODS GROUP INC-W/I	53 04	44.37	424.31	354 97	69 34
10/08/2012	11/26/2013	24	KRAFT FOODS GROUP INC-W/I	53.04	47.37	1,272 92	1,136.78	136 14
01/08/2013	11/26/2013	35	KRAFT FOODS GROUP INC-W/I	53.04	45.80	1,856.35	1,603.00	253 35
10/23/2013	11/26/2013	18	O'REILLY AUTOMOTIVE INC	124.89	133 68	2,248 00	2,406.25	-158.25
10/26/2012	11/27/2013	2	AMAZON.COM INC	385.80	235 65	771 60	471.30	300.30
12/05/2008	11/27/2013	681 818	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	10.12	5.55	6,900.00	3,784.09	3,115.91
12/10/2012	11/27/2013	5	AFFILIATED MANAGERS GROUP INC	200.17	127 99	1,000 83	639.96	360 87
06/18/2012	11/27/2013	83 763	AB DISCOVERY VALUE FUND CL ADV	23.28	16.02	1,950.00	1,341.88	608.12
06/18/2012	11/27/2013	173 .267	AB DISCOVERY GROWTH FND CL ADV	10 10	7.00	1,750.00	1,212.87	537.13
04/24/2013	11/27/2013	20	AMERICAN INTERNATIONAL GROUP	49.46	41 46	989 29	829.22	160.07
05/02/2013	11/27/2013	15	ALLERGAN INC	97.37	102 00	1,460.54	1,530 00	-69.46
10/03/2008	11/27/2013	2	APPLE INC	544.33	104.00	1,088.67	208.00	880.67
12/12/2012	11/27/2013	50	BANK OF AMERICA CORP	15 82	10 62	791 19	530.76	260.43
08/17/2012	11/27/2013	4	BIOGEN IDEC INC	292 49	144 95	1,169 97	579.81	590 16
07/17/2012	11/27/2013	7	BERKSHIRE HATHAWAY INC-CL B	116.32	84 51	814.22	591 58	222.64
05/01/2013	11/27/2013	10	BOEING CO/THE	134 89	91 33	1,348.90	913 34	435.56
04/23/2013	11/27/2013	5	COSTCO WHOLESALE CORP	125.33	106.92	626.67	534.62	92.05
04/20/2012	11/27/2013	10	COGNIZANT TECH SOLUTIONS-A	94.41	72 17	944.11	721 75	222.36
07/20/2012	11/27/2013	2	CHIPOTLE MEXICAN GRILL-CL A	524.83	318 24	1,049.66	636 49	413.17
06/17/2013	11/27/2013	20	CBS CORP-CLASS B NON VOTING	58 48	47.80	1,169 60	956.02	213.58
01/06/2012	11/27/2013	5	CIT GROUP INC	50 09	35 58	250 43	177.89	72 54
01/27/2012	11/27/2013	10	CIT GROUP INC	50 09	38.07	500 86	380.73	120 13
07/22/2011	11/27/2013	20	CITIGROUP INC	53 06	40 18	1,061 12	803.58	257 54
07/27/2011	11/27/2013	5	CITIGROUP INC	53.06	38 95	265 28	194.77	70.51
04/03/2013	11/27/2013	10	CAPITAL ONE FINANCIAL CORP	71.41	54 17	714.13	541 75	172 38
03/25/2013	11/27/2013	10	CHUBB CORP	97.05	86 71	970 53	867.15	103 38
03/30/2012	11/27/2013	30	CISCO SYSTEMS INC	21 22	21 13	636 67	633.91	2.76
01/18/2013	11/27/2013	20	CONAGRA FOODS INC	32 87	31.49	657 44	629.72	27.72
05/04/2010	11/27/2013	5	DANAHER CORP	75.36	41 56	376.82	207 78	169 04
10/26/2010	11/27/2013	20	DANAHER CORP	75.36	42 83	1,507.28	856 58	650.70
11/18/2011	11/27/2013	15	WALT DISNEY CO/THE	70.65	35 58	1,059.74	533 77	525 97
12/28/2012	11/27/2013	1	***EVEREST RE GROUP LTD	157.52	109 36	157.52	109 36	48 16
03/06/2013	11/27/2013	3	***EVEREST RE GROUP LTD	157 52	127 25	472.55	381 74	90 81
08/24/2012	11/27/2013	20	EBAY INC	49.31	47.21	986 13	944.28	41.85
08/14/2012	11/27/2013	10	***EATON CORP PLC	72 86	45 69	728 60	456.94	271.66
05/28/2013	11/27/2013	30	ELECTRONIC ARTS INC	22.16	23.15	664.93	694.56	-29 63
09/21/2012	11/27/2013	20	FIDELITY NATIONAL INFORMATION	50.73	32 60	1,014.55	652 00	362.55
06/25/2013	11/27/2013	40	GENWORTH FINANCIAL INC-CL A	15 13	10 80	605 20	431.82	173.38
04/04/2008	11/27/2013	2	GOOGLE INC-CL A	1062.62	471.53	2,125.23	943 07	1,182.16
06/12/2013	11/27/2013	10	GILEAD SCIENCES INC	74 75	51 84	747 54	518.43	229.11
08/23/2013	11/27/2013	15	HALLIBURTON CO	52.50	48.56	787.55	728 36	59.19

Capital Gains Report

JAMES L. VINCENT FOUNDATION (Account: 888-17988) January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
11/12/2012	11/27/2013	4	INTUITIVE SURGICAL INC	376.86	532.77	1,507.44	2,131.07	-623.63
10/17/2013	11/27/2013	10	HESS CORP	81.55	82.29	815.48	822.88	-7.40
08/30/2012	11/27/2013	7	INTERCONTINENTALEXCHANGE GROUP	213.73	135.51	1,496.12	948.60	547.52
08/05/2011	11/27/2013	50	HEWLETT-PACKARD CO	27.56	32.48	1,377.97	1,623.95	-245.98
07/27/2012	11/27/2013	10	HOME DEPOT INC	80.56	53.66	805.60	536.64	268.96
08/17/2012	11/27/2013	5	HOME DEPOT INC	80.56	56.92	402.80	284.58	118.22
08/16/2012	11/27/2013	6	INTL BUSINESS MACHINES CORP	179.09	199.72	1,074.57	1,198.34	-123.77
02/03/2012	11/27/2013	20	KROGER CO	41.97	24.04	839.40	480.80	358.60
10/03/2011	11/27/2013	5	***LYONDELLBASELL INDU-CL A	77.38	20.61	386.89	103.03	283.86
05/01/2012	11/27/2013	5	***LYONDELLBASELL INDU-CL A	77.38	43.06	386.89	215.30	171.59
10/23/2012	11/27/2013	4	LIBERTY MEDIA CORP	154.58	97.40	618.31	389.59	228.72
07/24/2012	11/27/2013	15	MACY'S INC	53.26	34.21	798.85	513.20	285.65
09/24/2012	11/27/2013	15	MERCK & CO INC	49.75	44.91	746.25	673.70	72.55
06/15/2012	11/27/2013	10	MCDONALD'S CORP	97.17	90.45	971.66	904.50	67.16
09/24/2012	11/27/2013	20	MEDTRONIC INC	57.38	43.45	1,147.68	869.00	278.68
02/24/2011	11/27/2013	15	NOBLE ENERGY INC	69.67	43.74	1,045.11	656.09	389.02
09/13/2013	11/27/2013	10	OCCIDENTAL PETROLEUM CORP	94.99	91.03	949.89	910.30	39.59
04/10/2013	11/27/2013	1	PRICELINE.COM INC	1186.95	718.53	1,186.95	718.53	468.42
02/08/2013	11/27/2013	15	PATTERSON COS INC	41.38	37.27	620.70	559.08	61.62
08/28/2012	11/27/2013	15	PHILIP MORRIS INTERNATIONAL	85.47	91.02	1,281.98	1,365.25	-83.27
09/25/2013	11/27/2013	5	PARKER HANNIFIN CORP	118.29	109.09	591.47	545.45	46.02
06/26/2009	11/27/2013	15	PFIZER INC	31.90	15.20	478.48	228.07	250.41
07/29/2010	11/27/2013	35	PFIZER INC	31.90	15.20	1,116.44	532.06	584.38
09/05/2012	11/27/2013	35	PULTE GROUP INC	18.90	13.95	661.47	488.22	173.25
01/11/2012	11/27/2013	4	PRECISION CASTPARTS CORP	259.94	174.49	1,039.74	697.98	341.76
02/02/2012	11/27/2013	1	PRECISION CASTPARTS CORP	259.94	166.48	259.94	166.48	93.46
05/22/2012	11/27/2013	15	REYNOLDS AMERICAN INC	50.59	41.41	758.85	621.15	137.70
01/03/2013	11/27/2013	6	JM SMUCKER CO/THE	105.24	89.13	631.44	534.76	96.68
01/25/2012	11/27/2013	15	***SCHLUMBERGER LTD	87.91	73.78	1,318.70	1,106.73	211.97
05/18/2011	11/27/2013	10	STARBUCKS CORP	81.47	35.99	814.67	359.94	454.73
09/26/2012	11/27/2013	15	TJX COMPANIES INC	63.24	44.68	948.53	670.23	278.30
07/24/2012	11/27/2013	15	TIME WARNER INC	65.64	37.57	984.54	563.50	421.04
06/22/2012	11/27/2013	5	UNION PACIFIC CORP	162.95	114.95	814.75	574.75	240.00
01/25/2013	11/27/2013	25	VALERO ENERGY CORP	46.20	34.65	1,155.11	866.24	288.87
06/09/2011	11/27/2013	10	VIACOM INC-CLASS B	79.89	48.73	798.92	487.31	311.61
03/08/2012	11/27/2013	25	WELLS FARGO & COMPANY	44.28	31.25	1,107.08	781.17	325.91
03/21/2012	11/27/2013	15	WELLS FARGO & COMPANY	44.28	34.23	664.25	513.51	150.74
05/21/2012	11/27/2013	10	WAL-MART STORES INC	80.88	62.92	808.80	629.19	179.61
05/28/2009	11/27/2013	808.006	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	13.49	12.22	10,900.00	9,873.83	1,026.17
12/21/2007	11/27/2013	339.441	BERNSTEIN INTERNATIONAL PORTFOLIO	16.33	24.60	5,543.07	8,350.25	-2,807.18
09/18/2008	11/27/2013	1,494.607	BERNSTEIN INTERNATIONAL PORTFOLIO	16.33	17.14	24,406.93	25,617.56	-1,210.63
05/17/2012	12/03/2013	45	WELLPOINT INC	92.62	65.91	4,167.77	2,965.95	1,201.82
03/30/2012	12/16/2013	5	CISCO SYSTEMS INC	20.40	21.13	102.02	105.65	-3.63
08/17/2012	12/16/2013	65	CISCO SYSTEMS INC	20.40	19.07	1,326.25	1,239.58	86.67
11/12/2012	12/16/2013	1	INTUITIVE SURGICAL INC	368.31	532.77	368.31	532.77	-164.46
11/12/2012	12/16/2013	2	INTUITIVE SURGICAL INC	368.31	526.75	736.61	1,053.50	-316.89

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
04/22/2013	12/16/2013	2	INTUITIVE SURGICAL INC	368 31	476 88	736 61	953.77	-217.16
07/15/2013	12/16/2013	2	INTUITIVE SURGICAL INC	368.31	427.06	736.61	854 12	-117.51
07/19/2013	12/16/2013	3	INTUITIVE SURGICAL INC	368.31	378.12	1,104.92	1,134.36	-29.44
09/25/2012	12/16/2013	10	INTL BUSINESS MACHINES CORP	174 69	205.72	1,746 87	2,057.19	-310.32
03/20/2013	12/20/2013	6	WW GRAINGER INC	248 42	225.61	1,490 49	1,353 65	136.84
01/03/2013	12/23/2013	4	JM SMUCKER CO/THE	101 70	89 13	406 80	356.50	50 30
01/11/2013	12/23/2013	10	JM SMUCKER CO/THE	101 70	88 59	1,016.99	885.95	131.04
02/07/2013	12/23/2013	10	JM SMUCKER CO/THE	101.70	88.50	1,016.99	885 00	131.99
			SUBTOTAL FOR LONG-TERM			496,165 53	439,420.43	56,745.10
TOTAL						496,165.53	439,420.43	56,745.10

** ACCOUNT TOTALS **

CURRENT PERIOD - G/L		56,745 10
LONG TERM - COVERED (COST BASIS REPORTED ON 1099-B)	0 00	
LONG TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)	0.00	
LONG TERM TOTAL		56,745 10

Capital-Gains Distributions If you own shares of the Sanford C Bernstein Fund, Inc , you may have received an annual capital-gains distribution (different from the capital gains or losses on securities sold, which are listed in this report). Long-term capital-gains distributions are a separate item on the I.R S. forms, and appear on the Summary-of-Key-Items report

Zero-Cost Transactions: If you transferred securities to Bernstein for which the purchase price was unavailable, the total capital-gains figure that appears on this report needs to be adjusted Any such transactions are segregated on the Capital-Gains report, with the cost entered as zero You will need to update the report data with the correct purchase information

This information should be reviewed by your tax advisor or accountant