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Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2013Department of the Treasury
Internal Revenue Service▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation The Rosen Family Foundation, Inc.		A Employer identification number 41-2054672
Number and street (or P.O. box number if mail is not delivered to street address) PO Box 933	Room/suite	B Telephone number (see instructions) 507-238-6001
City or town, state or province, country, and ZIP or foreign postal code Fairmont, MN 56031		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,781,947	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

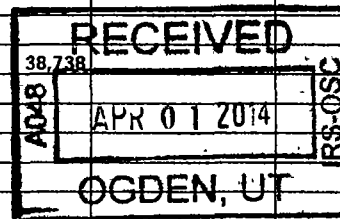
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	254,375			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	129	129		
	4 Dividends and interest from securities	32,996	32,996		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	38,738			
	b Gross sales price for all assets on line 6a 323,990				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	326,238	71,863			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) Sheet 1	7,899	7,770		129
	17 Interest				
	18 Taxes (attach schedule) (see instructions) Sheet 2	661	611		50
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23				
	25 Contributions, gifts, grants paid Sheet 3	179,250			179,250
26 Total expenses and disbursements. Add lines 24 and 25	187,810	8,381		179,429	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	138,428				
b Net investment income (if negative, enter -0-)		63,482			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form **990-PF** (2013)

SCANNED APR 07 2014



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	47,653	57,950	57,950
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) <i>Start 5</i>	1,387,214	1,515,345	1,723,997
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
Liabilities	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,434,867	1,573,295	1,781,947
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			
		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,434,867	1,573,295	
Net Assets or Fund Balances	30	Total net assets or fund balances (see instructions)			
	31	Total liabilities and net assets/fund balances (see instructions)	1,434,867	1,573,295	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,434,867
2	Enter amount from Part I, line 27a	2	138,428
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,573,295
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,573,295

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Mutual funds/stocks - statement 6	P	Various	Various
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 323,990		285,252	38,738	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	38,738
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	12,130	1,474,134	.008229
2011	289,219	1,389,536	.208141
2010	141,050	1,270,654	.111006
2009	205,048	1,053,368	.194659
2008	180,442	832,414	.216770
2	Total of line 1, column (d)		2 .738805
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 147761
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5		4 1,562,989
5	Multiply line 4 by line 3		5 230,949
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 635
7	Add lines 5 and 6		7 231,584
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8 179,429

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1		1,270
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		1,270
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		1,270
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3,620	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		3,620
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		2,350
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax 2,350 Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ <u>None</u> (2) On foundation managers. ► \$ <u>None</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ <u>None</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>Minnesota</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	✓	
14	The books are in care of ▶ <u>Dominick V Driano, Jr</u> Telephone no. ▶ <u>507-238-6001</u> Located at ▶ <u>PO Box 933, Fairmont, MN</u> ZIP+4 ▶ <u>56031</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No ✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	✓
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Statement 4				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 None	
2	
All other program-related investments. See instructions	
3 None	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,502,723
b	Average of monthly cash balances	1b	84,068
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,586,791
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,586,791
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	23,802
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,562,989
6	Minimum investment return. Enter 5% of line 5	6	78,149

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	78,149
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,270
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,270
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	76,879
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	76,879
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	76,879

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	179,429
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	179,429
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	179,429

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				76,879
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	139,087			
b From 2009	153,700			
c From 2010	79,312			
d From 2011	220,661			
e From 2012	12,130			
f Total of lines 3a through e	604,890			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 179,429				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				76,879
e Remaining amount distributed out of corpus	179,429		0	
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	784,319			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	139,087			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	645,232			
10 Analysis of line 9.				
a Excess from 2009	153,700			
b Excess from 2010	79,312			
c Excess from 2011	220,661			
d Excess from 2012	12,130			
e Excess from 2013	179,429			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling	N/A				
b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year (a) 2013	Prior 3 years (b) 2012 (c) 2011 (d) 2010			(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
None
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
None
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
N/A
b The form in which applications should be submitted and information and materials they should include:
N/A
c Any submission deadlines:
N/A
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

The Rosen Family Foundation, Inc.

Employer identification number

41-2054672

Organization type (check one):

Filers of:**Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Rosen's Diversified, Inc. PO Box 933 Fairmont, MN 56031	\$ 250,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

The Rosen Family Foundation, Inc
41-2054672
Supplementary Statements
For Year Ended 12/31/13

Statement 1 - Other Professional Fees

WealthOne-investment fees	7,770
CT Corporation-representation fee	129
	<u>7,899</u>

Statement 2 - Taxes

Foreign tax paid	611
State of Minnesota-tax FYE 11/12 &	50
	<u>661</u>

Statement 3 - Grants and Contributions

Name and Address				Relationship	Status	Purpose	Amount
CREST	PO Box 185	Fairmont, MN 56031	None			Grant-General	12,000
Martin County Historical Society	304 E Blue Earth Ave	Fairmont, MN 56031	None			Grant-MCHS Year End Fundraising	5,000
Martin County Mentoring Network	201 Lake Avenue, Rm #104	Fairmont, MN 56031	None			Grant-annual Chnstmas party	1,000
Martin County Youth for Christ	213 Downtown Plaza	Fairmont, MN 56031	None			Grant-Youth For Chnst Golf Classic	2,500
Minnesota FFA Foundation	PO Box 365	Plainview, MN 55964	None			Grant-Star Partner Program	5,750
Progressive Ag Foundation	PO Box 2970	Denver, CO 80201	None			Grant-Progressive Agnculture Safet	25,000
							<u>51,250</u>
Grant-scholarships for post- seco							
A Lucia	Abella	1816 Oakdale Avenue	Green Bay	WI	54302	NWTC	500
Shevon	Acosta	1501 N Marshall #21	Fort Stockton	TX	79735	Midland College	1,000
Jesus	Andrade-Vera	3215 19th Avenue South	Minneapolis	MN	55407	University of St Thomas	1,250
Aaron	Avitia	4 Centennial Dr, PO Box 344	Gibbon	NE	68840	University of Nebraska-Kearney	1,000
Alicia	Avitia	4 Centennial Dr, PO Box 344	Gibbon	NE	68840	University of Nebraska-Lincoln	1,250
Oliver	Ballinger	1312 Cliffview Ave	Onalaska	WI	54650	University of Wisconsin-Stout	1,000
Brigham	Barzee	8029 N Flora Avenue	Kansas City	MO	64118	Brigham Young University	1,000
Tannia	Becerra	608 3rd Street S	Long Prairie	MN	56347	Alexandna Tech College	750
Colt	Benge	11465 Pawnee Rd	Gibbon	NE	68840	Central Community College	750
Brant	Bierhals	2305 Oliver Lane	Green Bay	WI	54303	NWTC	750
Abby	Bollinger	40544 254th Street	Mitchell	SD	57301	Mount Marty College	1,000
Robert	Borgstrom	1208 Meadow View Rd	Yankton	SD	57078	Mitchell Tech Institute	1,000
Haylie	Braaten	1509 John Street	Yankton	SD	57078	South Dakota State University	1,000
Mitchel	Brasel	1409 Willowdale Rd	Yankton	SD	57078	Mount Marty College	1,000
Shannon	Brasel	1409 Willowdale Rd	Yankton	SD	57078	University of South Dakota	1,000
Jordan	Brittenham	317 B East Adams St	Borger	TX	79007	West Texas A&M University	1,000
Reed	Bryant	PO Box 1098	Locust Grove	OK	74352	University of Science & Arts of OK	1,250
Kaitlin Jean	Buchta	22364 County Road 22	Clanssa	MN	56440	Central Lakes College	1,250
Andrea	Carey	1419 W Kenton Rd	Dexter	MO	63841	University of Illinois-Springfield	1,000
Elizabeth	Case	3395 Range Rd	Gibbon	NE	68840	Hastings College	1,000
Emily	Case	3395 Range Rd	Gibbon	NE	68840	Hastings College	1,000
Courtney	Clavers	1026 Golfview Drive	Brillion	WI	54110	Silver Lake College	1,000
Melissa	Cunel	229 De Leers Street	Green Bay	WI	54302	St. Norbert College	1,250
Samuel	Davidson	18877 Wilderness Dr	Staples	MN	56479	M State	1,000
Juan	Diaz	421 S Roosevelt St	Green Bay	WI	54301	University of Wisconsin-Platteville	1,250
Alexander	Drees	1533 Kinney Avenue	Cincinnati	OH	45231	Miami University	1,250
Courtney	Fender	411 Walnut	Yankton	SD	57078	University of South Dakota	1,000
Taylor	Fender	411 Walnut	Yankton	SD	57078	University of South Dakota	1,000
Kyndall	Fink	413 Highway St	Underwood	IA	51576	Iowa Western Community College	1,000
Brandon	Fishburn	12688 Fishburn Rd	Mechanicsburg	IL	62545	Illinois College	1,000
Apnl	Fletcher	822 D Avenue	Kearney	NE	68847	Wayne State College	750
Tyler	Gardner	545 S 2nd E Apt 311	Rexburg	ID	83440	BYU-Idaho	1,000
Brennan	Gille	5987 Geano Beach Road	Abrams	WI	54101	Saint Norbert College	1,000
Breanna	Gillming	PO Box 745	Gibbon	NE	68840	University of Nebraska-Kearney	1,250
Lindsay	Gillming	607 Court Street	Gibbon	NE	68840	UNMC College of Nursing	1,000
Adilene	Gonzalez	4217 Lanat Pl	Grand Island	NE	68803	University of Kearney	1,000
Cristian	Gonzalez	4826 S 20th	Omaha	NE	68107	University of Nebraska-Omaha	1,250
Nathaniel	Green	142 Scout Way	DePere	WI	54115	University of Wisconsin-Whitewater	1,250
Tory	Gross	911 Mulberry Street	Yankton	SD	57078	University of South Dakota	1,000
Levi	Gustafson	2571 Stella Court	Fairmont	MN	56031	Riverland Community College	1,000
Cenia	Guzman	806 Bellevue St	Green Bay	WI	54302	UW-Fox Valley	1,000
Jessica	Hackbarth	1036 S 10th Avenue	Wausau	WI	54401	UW-Green Bay	1,000
Rachael	Hansen	1610 LeBrun Road	DePere	WI	54115	University of Wisconsin-Green Bay	1,000
Joshua	Holmes	3639 Glenbrooke Lane	Green Bay	WI	54301	University of Wisconsin-Green Bay	1,000
Mark	Inbody	19590 County Road 12	Bluffton	OH	45817	University of Kentucky	1,000
Caitlyn	Jones	209 N Silver Way	Mustang	OK	73064	OSU-OKC	1,250
Megan	Jones	4120 Avenue D	Horsham	PA	19044	Drexel University	1,000
Chnstine	Kaiver	406 Church Ave	Casco	WI	54205	University of Wisconsin-Milwaukee	1,000
Courtney	King	8216 NW 87th	Oklahoma City	OK	73132	University of Central Oklahoma	1,250
Margaret	Koenig	308 B Street, PO Box 321	Shelton	NE	68876	University of Nebraska-Omaha	1,000

Kelsie	Kohlmeier	2200 School Lane	Suamico	WI	54313 Lawrence University	1,250
Jany	Kong	2409 Main Street Apt A	Stevens Point	WI	54481 Point	1,000
Aaron	Krueger	1555 Circle Drive	Platteville	WI	53818 University of Wisconsin-Platteville	1,000
Nancy	Lee	905 Spence Street	Green Bay	WI	54304 UW-Eau Claire	1,000
Nou	Lee	3221 N Lawe St #3	Appleton	WI	54911 University of Wisconsin-Fox Valley	1,250
Sergio	Leon-Martinez	1312 Elm Street	Green Bay	WI	54302 University of Wisconsin-Green Bay	1,000
Brandon	Lipp	1544 Kimball Street	Green Bay	WI	54302 UW-Madison	1,000
Jordin	Lockwood	2803 W 24th St #40	Kearney	NE	68845 University of Nebraska-Kearney	1,000
Monica	Lopez	518 E 2nd Street	Hastings	NE	68901 University of Nebraska-Lincoln	1,000
Patricia	Lopez	PO Box 222	Clanssa	MN	56440 Mayville State University	1,000
Goe Wamter	Lor	1335 S Norwood Ave Apt 6	Green Bay	WI	54304 UW-Stout	1,000
Kao	Lor	2030 Jamesford Ave	Green Bay	WI	54302 NWTC	1,000
Kia Tong	Lor	1335 S Norwood Ave Apt 6	Green Bay	WI	54304 UW-Milwaukee	1,000
See	Lor	532 S Ashland Ave	Green Bay	WI	54303 NWTC	750
Cheyann	Lovett	16310 S Conestoga Avenue	Bladen	NE	68928 Southeast Community College	1,000
Melissa	Lynn	110 S Rosser	Glendive	MT	59330 Dawson Community College	1,000
Lance	Lyons	705 B Street	Shelton	NE	68876 Central Community College	1,000
Molly	Magn	2584 Saybrook Road	University Heights	OH	44118 Xavier University	1,000
Evelyn	Martinez-Cadena	241 Meadowlark Lane	Melrose	MN	56352 Bethel University	1,000
Alexis	Martinson	206 E Ciro St	Truman	MN	56088 Presentation College	1,250
Bradley	Mayer	2021 Derckner Ave Apt 201	Green Bay	WI	54302 University of Wisconsin-Green Bay	1,000
Paige	McQuade	1570 Baywood Drive	West Fargo	ND	58078 North Dakota State University	1,250
Laura	Mejia Rosales	7854 74th Street S	Cottage Grove	MN	55016 Century College	1,000
Karley	Mendez	1632 Louise St	Green Bay	WI	54302 University of Wisconsin-Madison	1,000
Stephanie	Mendez	1632 Louise St	Green Bay	WI	54302 NWTC	1,000
Michelle	Mendoza	1357 Doblon St	Green Bay	WI	54302 NWTC	1,000
Andnana	Montejano	240 Irene Street	Green Bay	WI	54302 University of Wisconsin-Green Bay	1,250
Michelle	Moore	7936 NW 28th Terr	Bethany	OK	73008 Southern Nazarene University	1,250
Nicole	Moore	7936 NW 28th Terr	Bethany	OK	73008 Southern Nazarene University	1,250
Alberto	Mora Jr	1368 Doblon Street	Green Bay	WI	54302 NWTC	750
Ji	Moua	1753 Fiesta Ln	Green Bay	WI	54302 NWTC	750
Hunter	Mouw	2021 Freedom Street	Brookings	SD	57006 South Dakota State University	1,000
Emma Olivia	Myhre	615 6th Street SE	Long Prairie	MN	56347 University of North Dakota	1,000
Fabiola	Navarro	449 Robert Lane	Green Bay	WI	54311 University of Wisconsin-Oshkosh	1,000
Ashlie	Orcutt	2874 Mary Street	Omaha	NE	68112 Metropolitan Community College	750
Stephanie	Orcutt	2874 Mary Street	Omaha	NE	68048 Metropolitan Community College	1,000
Jose Juan	Orozco Tovar	410 2nd Avenue N	Long Prairie	MN	56347 Minnesota School of Business	1,250
Jesse Miguel	Perez	2323 Farlin Ave Apt 6	Green Bay	WI	54302 University of Wisconsin-Green Bay	1,000
Courtney	Phillips	129 E Cedar Street	Puloski	WI	54162 Johnson and Wales University	1,000
Elizabeth	Quezada Rodriguez	1410 East 7th Street	Grand Island	NE	68801 Central Community College	1,250
Shawn	Reeve	1201 Brookside Lane	Ottawa	KS	66067 Ottawa University	1,000
Ruben	Reyes	5621 122nd Street #3	Lubbock	TX	79424 South Plains College	1,250
Victoria	Reyes	5621 122nd Street #3	Lubbock	TX	79424 South Plains College	1,250
Juan	Rodriguez	1400 N Baird St Lot #99	Green Bay	WI	54302 Fox Valley Technical College	750
Cythia	Sanchez	1026 Cherry Street	Green Bay	WI	54301 University of Wisconsin-Green Bay	1,000
Rafael	Sanchez	2121 Rebecca St	Green Bay	WI	54311 University of Wisconsin-Green Bay	1,250
Yesenia	Sanchez	2121 Rebecca St	Green Bay	WI	54311 University of Wisconsin-Green Bay	1,250
Travis	Schiefelbein	35670 732nd Avenue	Kimball	MN	55353 University of Nebraska-Lincoln	1,000
Luke	Schroeder	29335 446th Avenue	Irene	SD	57037 University of South Dakota	1,000
Tate	Schroeder	916 Southland Ln, Apt 104	Brookings	SD	57006 South Dakota State University	1,000
Melanie	Schuler	553 Sand Hill Rd	Luxemburg	WI	54217 College	750
Ashley	Sharp	615 Cottonwood Lane	Liberty	MO	64068 Missouri State University	1,250
Dylan	Sharp	615 Cottonwood Lane	Liberty	MO	64068 Missouri State University	1,250
Hannah	Slater	2720 Quail Ridge Ct	Highland Village	TX	75077 Oklahoma State University	1,000
Abigail	Solano	1856 Basten St	Green Bay	WI	54302 University of Wisconsin-Green Bay	1,250
Anthony	Szech	603 3rd Ave SW	Long Prairie	MN	56347 Bemidji State University	1,250
Nicole	Szech	603 3rd Ave SW	Long Prairie	MN	56347 Bemidji State University	1,250
Allen	Thompson	202 Kruse Street, PO Box 13	Welcome	MN	56181 College	1,000
Justin	Thorstad	2312 Georgetown Place	Bellevue	NE	68123 Iowa Western Community College	1,250
Andrea	Tinoco	1943 Smith St	Green Bay	WI	54302 NWTC	1,000
Heather	Troiber	1036 S 10th Avenue	Wausau	WI	54401 Carroll University	1,000
Christopher	Vandervest	1435 Langlade Ave	Green Bay	WI	54304 University Minnesota Duluth	750
Adan	Vasquez	2202 Nicolet Dr Apt #10	Green Bay	WI	54311 NWTC	1,000
Ana	Vasquez	1312 W 9th Street	Hastings	NE	68901 Hastings College	1,000
Jessi	Vasquez	1312 W 9th Street	Hastings	NE	68901 Hastings College	1,000
Pa	Vue	1022 Newtols Street	Green Bay	WI	54302 University of Wisconsin-Madison	1,000
Youa	Vue	1022 Newtols Street	Green Bay	WI	54302 NWTC	1,000
Jessy	Wallace	1527 Thomas Rd	DuQuain	IL	62966 Mineral Area College	1,000
Sadie	Wilson	615 Cottonwood Lane	Liberty	MO	64068 University of Missouri-Kansas City	1,000
Alex	Wotachek	2711 Aquanus Rd	Green Bay	WI	54311 NWTC	1,000
Zachery	Wroblewski	1824 N Whitney Drive	Appleton	WI	54914 Fox Valley Technical College	750
Kia	Xiong	3029 Saybrook	Green Bay	WI	54311 Bellin College	1,000
Jesse	Yang	3118 Blakeley Ave #6	Eau Claire	WI	54701 University of Wisconsin-Eau Claire	750

Manuel	Zavala Jr	1905 Harold St	Green Bay	WI	54302 NWTC	1,000
						128,000
						179,250

Statement 4 - Officers, Directors, Etc

<u>Name & Address</u>	<u>Title/Time</u>	<u>Compensation</u>	<u>Contribution to Emp Ben Plans</u>	<u>Exp A/C</u>
Thomas J Rosen 1120 Lake Avenue Fairmont, MN 56031	Pres/Sec 1 hour/week	None	None	None
Richard H Rosen 1120 Lake Avenue Fairmont, MN 56031	Treasurer 1 hour/week	None	None	None
Kann M Rosen 1120 Lake Avenue Fairmont, MN 56031	Director 1 hour/week	None	None	None
Dominick V Dnano, Jr 1120 Lake Avenue Fairmont, MN 56031	VP/Asst Sec 1 hour/week	None	None	None
Kay Dillon Jones 1120 Lake Avenue Fairmont, MN 56031	VP-Tax Services 1 hour/week	None	None	None

The Rosen Family Foundation, Inc.
EIN 41-2054672
Statement 5 - Unrealized Gains/Losses
As of 12/31/13

Ticker	Security Name	# Shares	Unit Cost	Cost Basis	Purchase Date	Market Price	Market Value	Unrealized Gain/Loss
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	127	84.62	10,748.74	06/03/2011	81.7	10,375.90	(370.84)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,967.6	83.99	333.24	07/12/2011	81.7	324.15	(8.09)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	4,086.4	86.69	354.25	08/09/2011	81.7	333.88	(20.39)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	1,989.9	88.251	175.81	09/12/2011	81.7	162.57	(13.04)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	1,884.4	87.142	164.21	10/12/2011	81.7	153.96	(10.25)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	1,947.4	87.83	171.04	11/09/2011	81.7	159.10	(11.94)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	271	87.347	23,871.04	11/28/2011	81.7	22,140.70	(1,530.34)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	68	87.34	5,839.12	11/28/2011	81.7	5,555.60	(383.52)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	232	87.35	20,285.20	11/28/2011	81.7	18,954.40	(1,310.80)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3.54	87.15	308.51	12/09/2011	81.7	289.22	(19.28)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	1,153	86.969	100.28	01/04/2012	81.7	94.20	(6.08)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,741	86.971	325.36	01/04/2012	81.7	305.64	(19.72)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	13,343	86.97	1,160.44	01/04/2012	81.7	1,090.12	(70.32)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,602	87.931	318.73	02/09/2012	81.7	294.28	(22.45)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,325	87.929	292.38	03/09/2012	81.7	271.65	(20.71)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,558	86.65	308.30	04/11/2012	81.7	290.89	(17.61)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	0,321	86.658	27.82	04/11/2012	81.7	26.23	(1.59)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,543	86.651	307.00	04/11/2012	81.7	289.48	(17.54)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,308	88.009	291.13	05/09/2012	81.7	270.28	(20.87)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,394	88.64	300.84	06/11/2012	81.7	277.29	(23.55)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,283	89.191	292.81	07/11/2012	81.7	268.22	(24.59)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,314	89.671	297.17	08/09/2012	81.7	270.75	(26.42)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2,606	89.87	234.20	09/12/2012	81.7	212.91	(21.29)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2,48	90.211	223.72	10/10/2012	81.7	202.62	(21.10)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2,545	90.121	229.38	11/09/2012	81.7	207.93	(21.43)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	199	90.32	17,973.68	11/09/2012	81.7	16,258.30	(1,715.38)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2,877	90.69	260.92	12/11/2012	81.7	235.05	(25.87)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,046	88.56	269.75	01/03/2013	81.7	248.86	(20.89)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	19,345	88.56	1,713.19	01/03/2013	81.7	1,580.49	(132.70)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	1,629	88.56	144.28	01/03/2013	81.7	133.09	(11.17)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,044	87.259	265.62	02/11/2013	81.7	248.69	(16.93)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2,785	87.67	244.16	03/11/2013	81.7	227.53	(16.63)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,093	88.056	272.38	04/09/2013	81.7	252.70	(19.66)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	4,301	88.251	379.57	04/11/2013	81.7	351.39	(28.18)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	0,426	88.257	37.60	04/11/2013	81.7	34.80	(2.80)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2,918	88.229	257.45	05/09/2013	81.7	238.40	(19.05)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,083	85.9	264.83	06/11/2013	81.7	251.88	(12.95)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,157	82.489	260.42	07/10/2013	81.7	257.93	(2.49)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,408	83.16	283.41	08/09/2013	81.7	278.43	(4.98)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2,674	81.671	218.39	09/11/2013	81.7	218.47	0.08
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2,517	83.421	209.97	10/09/2013	81.7	205.64	(4.33)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	224	84.096	18,837.50	11/07/2013	81.7	18,300.80	(536.70)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	2,597	83.84	217.73	11/12/2013	81.7	212.17	(5.56)
BLV	VANGUARD BD INDEX FDINC INTERMEDIATE TERM BD ETF	3,13	82.999	259.79	12/10/2013	81.7	255.72	(4.07)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	123	81.51	10,025.73	06/03/2011	81.6501	10,042.98	17.23
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	386	81.507	31,461.70	06/03/2011	81.6501	31,516.94	55.24
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	3,205.6	79.76	255.68	07/12/2011	81.6501	261.74	6.06
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	3,167.9	85.7	271.49	08/09/2011	81.6501	258.66	(12.83)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,936.4	89.371	262.43	09/12/2011	81.6501	239.78	(22.67)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,649.9	91.449	242.33	10/12/2011	81.6501	216.38	(25.97)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,844.3	90.859	258.43	11/09/2011	81.6501	232.24	(26.19)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,518	90.439	227.73	12/09/2011	81.6501	205.59	(22.14)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,607	91.891	239.56	01/04/2012	81.6501	212.86	(26.70)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	0,313	91.888	28.76	01/04/2012	81.6501	25.58	(3.20)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	5,917	92.03	544.54	01/05/2012	81.6501	483.12	(61.42)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,406	90.679	218.17	02/09/2012	81.6501	196.45	(21.72)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,448	91.598	224.23	03/09/2012	81.6501	199.88	(24.35)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	0,758	88.924	67.40	04/11/2012	81.6501	61.89	(5.51)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	0,379	88.924	33.70	04/11/2012	81.6501	30.95	(2.75)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,756	88.921	245.07	04/11/2012	81.6501	225.03	(20.04)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,573	91.499	235.43	05/09/2012	81.6501	210.09	(25.34)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,418	95.189	230.17	06/11/2012	81.6501	197.43	(32.74)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,421	95.531	231.28	07/11/2012	81.6501	197.67	(33.61)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,444	97.521	238.34	08/09/2012	81.6501	199.55	(38.79)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,278	96.839	220.80	09/12/2012	81.6501	188.00	(34.60)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,222	96.291	213.96	10/10/2012	81.6501	181.43	(32.53)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,314	96.3	222.84	11/09/2012	81.6501	188.94	(33.90)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	126	97.48	12,282.48	11/09/2012	81.6501	10,287.91	(1,994.57)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,612	97.34	254.25	12/11/2012	81.6501	213.27	(40.98)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,825	94.492	268.94	01/03/2013	81.6501	230.66	(38.28)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	9,983	94.5	943.39	01/04/2013	81.6501	815.11	(128.28)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,065	94.498	195.14	01/04/2013	81.6501	188.61	(26.53)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,863	90.759	259.84	02/11/2013	81.6501	233.78	(26.08)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,641	91.749	242.31	03/11/2013	81.6501	215.84	(26.67)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	3,195	92.76	298.37	04/09/2013	81.6501	280.87	(35.50)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	1,238	94	118.37	04/10/2013	81.6501	101.08	(15.29)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	0,117	94.002	11.00	04/10/2013	81.6501	9.55	(1.45)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,758	93.701	258.43	05/09/2013	81.6501	225.19	(33.24)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,982	88.859	264.88	06/11/2013	81.6501	243.48	(21.50)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	3,178	83.36	264.92	07/10/2013	81.6501	259.48	(5.44)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	3,374	83.119	280.44	08/09/2013	81.6501	275.49	(4.95)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,872	80.829	232.14	09/11/2013	81.6501	234.50	2.36
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2,857	82.78	238.50	10/09/2013	81.6501	233.27	(3.23)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	137	83.156	11,392.37	11/07/2013	81.6501	11,186.08	(206.31)

The Rosen Family Foundation, Inc.
EIN 41-2054672
Statement 5 - Unrealized Gains/Losses
As of 12/31/13

Ticker	Security Name	# Shares	Unit Cost	Cost Basis	Purchase Date	Market Price	Market Value	Unrealized Gain/Loss
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	2 818	82 871	233 53	11/12/2013	81 8501	230 09	(3 44)
BLV	VANGUARD BD INDEX FDINC LONG TERM BD ETF	3 406	81 581	277 88	12/10/2013	81 8501	278 10	0.24
BNDX	VANGUARD CHARLOTTE FDS TOTAL INTL BD	1798	49 65	89,270 70	07/15/2013	49 62	89,216 76	(53.94)
BNDX	VANGUARD CHARLOTTE FDS TOTAL INTL BD	2 538	49 6	125 88	08/09/2013	49 62	125 94	0.06
BNDX	VANGUARD CHARLOTTE FDS TOTAL INTL BD	1 801	49	88 25	09/11/2013	49 62	89 37	1 12
BNDX	VANGUARD CHARLOTTE FDS TOTAL INTL BD	2 501	49 72	124 35	10/09/2013	49 62	124 10	(0.25)
BNDX	VANGUARD CHARLOTTE FDS TOTAL INTL BD	882	50 04	44,135 28	11/07/2013	49 62	43,764 84	(370 44)
BNDX	VANGUARD CHARLOTTE FDS TOTAL INTL BD	2 314	49 92	115 51	11/12/2013	49 62	114 82	(0 69)
BNDX	VANGUARD CHARLOTTE FDS TOTAL INTL BD	2 705	49 69	134 48	12/10/2013	49 62	134 27	(0.19)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	978	81 097	79,312 87	06/03/2011	79 93	78,171 54	(1,141.33)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 7777	80 93	305 73	07/12/2011	79 93	301 95	(3 78)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 8741	81 48	315 86	08/09/2011	79 93	309 68	(6.00)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 5025	81 57	204 13	09/12/2011	79 93	200 02	(4.11)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 229	81 05	180 68	10/12/2011	79 93	178 16	(2.50)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 5126	81 469	204 70	11/09/2011	79 93	200 83	(3.87)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	390	81 208	31,671 12	11/28/2011	79 93	31,172 70	(498 42)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	100	81 21	8,121 00	11/28/2011	79 93	7,993 00	(128 00)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	300	81 21	24,363 00	11/28/2011	79 93	23,879 00	(384 00)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 359	81 21	272 78	12/09/2011	79 93	268 48	(4 30)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	1 803	80 853	145 78	01/04/2012	79 93	144 11	(1 67)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 46	80 85	279 74	01/04/2012	79 93	278 56	(3 18)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	9 254	80 85	748 18	01/04/2012	79 93	739 87	(8 52)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 404	81 28	276 68	02/09/2012	79 93	272 08	(4.60)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 165	81 171	258 91	03/09/2012	79 93	252 98	(3 93)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	0 686	80 81	55 44	04/11/2012	79 93	54 83	(0 61)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	0 239	80 813	19 31	04/11/2012	79 93	19 10	(0 21)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 36	80 81	271 52	04/11/2012	79 93	268 58	(2.96)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 235	81 12	262 42	05/09/2012	79 93	258 57	(3 85)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 211	81 081	280 35	06/11/2012	79 93	258 68	(3 69)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 022	81 131	245 18	07/11/2012	79 93	241 55	(3 63)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 103	81 229	252 05	08/09/2012	79 93	248 02	(4 03)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 783	81 319	226 31	09/12/2012	79 93	222 45	(3 88)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 642	81 4	215 08	10/10/2012	79 93	211 18	(3 88)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 693	81 249	218 80	11/09/2012	79 93	215 25	(3.55)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	479	81 297	38,941 28	11/09/2012	79 93	38,286 47	(654 79)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 122	81 319	253 88	12/11/2012	79 93	249 54	(4 34)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 188	81 015	258 28	01/03/2013	79 93	254 82	(3 46)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	6 158	81 02	498 82	01/04/2013	79 93	492 21	(6 71)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	1 01	81 02	81 83	01/04/2013	79 93	80 73	(1 10)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	3 182	80 911	257 48	02/11/2013	79 93	254 34	(3 12)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 746	80 97	222 34	03/11/2013	79 93	219 49	(2.85)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 861	80 964	231 64	04/09/2013	79 93	228 68	(2.96)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	1 557	81 018	128 15	04/11/2013	79 93	124 45	(1.70)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	0 271	81 019	21 98	04/11/2013	79 93	21 68	(0 30)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 761	80 99	223 81	05/09/2013	79 93	220 68	(2 92)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 772	80 549	223 28	06/11/2013	79 93	221 57	(1 71)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 63	79 849	210 00	07/10/2013	79 93	210 22	0.22
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 581	80 281	207 21	08/09/2013	79 93	208 30	(0.91)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 232	79 728	177 85	09/11/2013	79 93	178 40	0 45
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 138	80 339	171 78	10/09/2013	79 93	170 89	(0.87)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	534	80 567	43,022 78	11/07/2013	79 93	42,882 62	(340 16)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 232	80 512	179 70	11/12/2013	79 93	178 40	(1 30)
BSV	VANGUARD BD INDEX FDINC SHORT TERM BD ETF	2 633	80 381	211 64	12/10/2013	79 93	210 46	(1.18)
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	24	76 717	1,841 21	06/03/2011	109 95	2,838 80	797 59
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	400	76 72	30,888 00	06/03/2011	109 95	43,980 00	13,292 00
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	153	66 777	10,216 88	11/28/2011	109 95	16 822 35	6,605 47
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	12 897	69 46	895 83	01/04/2012	109 95	1,418 03	522 20
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	0 23	79 956	18 39	03/30/2012	109 95	25 29	8 90
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	139	77 45	10,765 55	11/09/2012	108 95	15,283 05	4,517 50
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	14 897	79 56	1,185 21	01/03/2013	108 95	1,637 93	452 72
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	0 152	90 742	13 79	03/28/2013	109 95	18 71	2 92
VB	VANGUARD INDEX FDS VANGUARD SMALL CAP ETF	174	104 626	18,204 92	11/07/2013	109 95	18,131 30	928 38
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	2138	38 047	81,344 49	06/03/2011	41 68	89,111 84	7,787 35
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	200	30 645	6,129 00	11/28/2011	41 68	8,336 00	2,207 00
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	100	30 65	3,085 00	11/28/2011	41 68	4,168 00	1,103 00
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	696	30 647	21,330 31	11/28/2011	41 68	29,009 28	7,678.97
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	100	30 65	3,085 00	11/28/2011	41 68	4,168 00	1,103.00
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	100	30 65	3,085 00	11/28/2011	41 68	4,168 00	1,103.00
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	193 7	30 57	5,921 41	12/30/2011	41 68	8,073 42	2,152.01
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	1 869	39 269	73 39	03/30/2012	41 68	77 90	4 51
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	86 092	33 361	2,872 12	10/02/2012	41 68	3,588 31	718.19
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	807	32 737	28,418 78	11/09/2012	41 68	33,835 76	7,217 00
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	49 976	35 043	1,751 31	12/31/2012	41 68	2,083 00	331 89
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	22 803	36 09	822 96	04/02/2013	41 68	950 43	127 47
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	78 559	35 62	2,798 27	07/02/2013	41 68	3,274 34	476.07
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	18 782	39 94	750 15	10/01/2013	41 68	782 83	32.68
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	859	40 305	34,622 00	11/07/2013	41 68	35,803 12	1,181 12
VEA	VANGUARD TAX-MANAGEDINTL FD MSCI EAFE ETF	28 219	41 15	1,181 21	12/31/2013	41 68	1,178 17	14 86
VHBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	1384	51 52	71,303 68	06/03/2011	51 0328	70,829 40	(674 28)
VHBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	5 28	52 11	275 14	07/11/2011	51 0328	269 45	(5 69)
VHBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	5 6017	51 77	280 00	08/08/2011	51 0328	285 87	(4 13)
VHBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	6 4286	52 36	338 80	09/09/2011	51 0328	328 07	(8 53)
VHBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	5 8595	52 16	305 83	10/11/2011	51 0328	299 03	(6 60)
VHBS	VANGUARD SCOTTSDALE FDS VANGUARD MTG BACKED SECS	5 8966	51 91	306 25	11/08/2011	51 0328	301 07	(5 18)

The Rosen Family Foundation, Inc.
EIN 41-2054672
Statement 5 - Unrealized Gains/Losses
As of 12/31/13

Ticker	Security Name	# Shares	Unit Cost	Cost Basis	Purchase Date	Market Price	Market Value	Unrealized Gain/Loss
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	107	51.804	5,543.03	11/28/2011	51.0328	5,460.51	(82.52)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	4,864	51.84	252.15	12/08/2011	51.0328	248.22	(3.93)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	18,456	51.81	958.21	01/04/2012	51.0328	941.88	(14.35)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	4,235	52.15	220.86	02/08/2012	51.0328	216.12	(4.74)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	4,544	52	236.29	03/08/2012	51.0328	231.89	(4.40)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	4,029	51.959	209.34	04/10/2012	51.0328	205.61	(3.73)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	4,241	52.3	221.80	05/08/2012	51.0328	216.43	(5.37)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	3,376	52.271	178.47	06/08/2012	51.0328	172.29	(4.18)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	3,712	52.521	194.98	07/10/2012	51.0328	189.43	(5.53)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	2,955	52.629	155.52	08/08/2012	51.0328	150.80	(4.72)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,878	52.79	99.14	09/11/2012	51.0328	95.84	(3.30)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,419	52.879	75.04	10/09/2012	51.0328	72.42	(2.62)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	522	52.559	27,435.80	11/09/2012	51.0328	26,639.12	(796.68)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,148	52.758	60.57	11/13/2012	51.0328	58.59	(1.98)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	0,336	52.652	17.69	12/10/2012	51.0328	17.15	(0.54)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,24	52.279	64.83	01/03/2013	51.0328	63.28	(1.55)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	0,394	52.282	20.60	01/04/2013	51.0328	20.11	(0.49)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	13,915	52.28	727.48	01/04/2013	51.0328	710.12	(17.36)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	0,965	52.15	50.32	02/08/2013	51.0328	49.25	(1.07)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,019	52.301	53.29	03/08/2013	51.0328	52.00	(1.29)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,245	52.37	85.20	04/08/2013	51.0328	83.54	(1.66)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,415	52.36	74.09	05/08/2013	51.0328	72.21	(1.88)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,323	51.541	68.18	06/10/2013	51.0328	67.52	(0.67)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,334	51.158	68.24	07/09/2013	51.0328	68.08	(0.16)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	2,089	51.149	108.85	08/08/2013	51.0328	106.81	(0.24)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	1,934	50.489	97.85	09/10/2013	51.0328	98.70	1.05
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	2,595	51.56	133.80	10/08/2013	51.0328	132.43	(1.37)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	403	51.724	20,844.77	11/07/2013	51.0328	20,586.22	(278.55)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	3,003	51.7	155.28	11/08/2013	51.0328	153.25	(2.01)
VMBS	VANGUARD SCOTTS DALE FDS VANGUARD MTG BACKED SECS	3,626	50.99	184.89	12/09/2013	51.0328	185.04	0.15
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	214	83.62	17,894.68	08/15/2012	102.94	22,029.16	4,134.48
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	2,553	87.229	222.70	10/02/2012	102.94	262.81	40.11
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	51	86.52	4,412.52	11/09/2012	102.94	5,249.94	837.42
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	7,565	89.841	879.65	12/31/2012	102.94	778.74	99.09
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	3,34	88.93	297.03	07/02/2013	102.94	343.82	46.79
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	1,046	99.866	104.46	10/01/2013	102.94	107.68	3.22
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	27	100.73	2,719.71	11/07/2013	102.94	2,779.38	59.67
VSS	VANGUARD INTL EQUITY INDEX FDS FTSE ALL	4,806	101.02	485.50	12/31/2013	102.94	494.73	9.23
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	137	55.97	7,687.89	06/03/2011	76.39	10,465.43	2,797.54
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	2146	55.967	120,105.18	06/03/2011	76.39	163,932.94	43,827.76
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	24,566	55.31	1,358.75	07/05/2011	76.39	1,876.60	517.85
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	31,529	48.49	1,528.84	10/03/2011	76.39	2,408.50	879.68
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	100	49.2	4,920.00	11/28/2011	76.39	7,639.00	2,719.00
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	100	49.21	4,921.00	11/28/2011	76.39	7,839.00	2,718.00
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	343	49.207	16,878.00	11/28/2011	76.39	26,201.77	9,323.77
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	37,754	52.36	1,976.80	01/04/2012	76.39	2,884.03	907.23
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	29,179	57.23	1,669.91	04/03/2012	76.39	2,228.98	559.07
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	34,552	54.25	1,874.45	07/03/2012	76.39	2,639.43	764.98
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	25,299	58.81	1,487.83	10/02/2012	76.39	1,932.59	444.78
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	821	57.127	48,901.27	11/09/2012	76.39	62,716.19	15,814.92
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	39,443	58.15	2,293.61	01/03/2013	76.39	3,013.05	719.44
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	28,168	65.02	1,831.48	04/02/2013	76.39	2,151.75	320.27
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	25,473	67.99	1,731.91	07/02/2013	76.39	1,945.88	213.97
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	23,191	70.54	1,635.89	10/01/2013	76.39	1,771.58	135.67
VTV	VANGUARD INDEX FDS VANGUARD VALUE ETF	569	73.355	41,739.00	11/07/2013	76.39	43,465.91	1,726.91
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	1092	64.09	69,986.28	06/03/2011	93.05	101,610.60	31,624.32
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	11,3261	63.84	723.06	07/05/2011	93.05	1,053.89	330.83
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	10,002	58.98	589.92	10/03/2011	93.05	930.89	340.77
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	349	59.947	20,921.50	11/28/2011	93.05	32,474.45	11,552.95
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	300	59.95	17,985.00	11/28/2011	93.05	27,815.00	9,830.00
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	14,92	61.61	919.22	01/04/2012	93.05	1,388.31	469.09
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	11,182	70.51	788.44	04/03/2012	93.05	1,040.49	252.05
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	12,055	66.13	797.20	07/03/2012	93.05	1,121.72	324.52
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	10,603	72.19	765.43	10/02/2012	93.05	986.61	221.18
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	734	68.99	50,838.66	11/09/2012	93.05	68,298.70	17,460.04
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	23,063	70.1	1,816.72	01/03/2013	93.05	2,146.01	529.29
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	11,393	76.79	874.87	04/02/2013	93.05	1,060.12	185.25
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	12,16	78.56	955.29	07/02/2013	93.05	1,131.49	176.20
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	8,477	84.99	720.48	10/01/2013	93.05	788.78	68.32
VUG	VANGUARD INDEX FDS VANGUARD GROWTH ETF	598	87.428	52,881.94	11/07/2013	93.05	55,643.90	3,361.96
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	320	48.707	15,588.24	06/03/2011	41.14	13,164.80	(2,421.44)
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	200	48.71	9,742.00	06/03/2011	41.14	8,228.00	(1,514.00)
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	314	38.647	12,135.16	11/28/2011	41.14	12,917.96	782.80
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	36,495	38.43	1,402.50	12/30/2011	41.14	1,501.40	98.90
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	14,36	41.805	800.32	10/02/2012	41.14	590.77	(8.55)
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	233	41.355	9,835.72	11/09/2012	41.14	9,855.62	(50.10)
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	14,32	43.707	625.88	12/31/2012	41.14	589.12	(36.76)
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	1,919	42.47	81.50	04/02/2013	41.14	78.95	(2.55)
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	18,604	38.27	711.88	07/02/2013	41.14	765.37	53.39
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	9,661	41.163	397.68	10/01/2013	41.14	397.45	(0.23)
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	96	40.905	3,926.88	11/07/2013	41.14	3,949.44	22.56
VWO	VANGUARD INTL EQUITYINDEX FDS MSCI EMERGING MKTS ETF	6,735	40.36	271.82	12/31/2013	41.14	277.08	5.26
Rounding				(0.34)			(0.39)	(0.05)
				1,515,344.58			1,723,998.81	208,652.25

The Rosen Family Foundation, Inc.
EIN 41-2054672
Statement 6 - Realized Gains/Loss
1/1/13 - 12/31/13

Symbol	Security	Transaction	Shares	Share Price	Cost Basis	Date Acquired	Status	Date Sold	Value per Share	Sales Price	Realized Gain/Loss
VSS	VANGUARD INTL EQUITY	SELL	81	83.61987654	6,773.21	08/15/2012	short-term	08/13/2013	96.52	7,818.12	1,044.91
	Total Short-Term				6,773.21					7,818.12	1,044.91
BLV	VANGUARD BD INDEX FDINC	SELL	134	81.51	10,922.34	06/03/2011	long-term	08/13/2013	82.53	11,059.49	137.15
BIV	VANGUARD BD INDEX FDINC	SELL	134	159.1361194	21,324.24	06/03/2011	long-term	08/13/2013	155.85	20,884.12	(440.12)
BSV	VANGUARD BD INDEX FDINC	SELL	208	81.1	16,868.80	06/03/2011	long-term	08/13/2013	80.15	16,672.13	(196.67)
BSV	VANGUARD BD INDEX FDINC	SELL	204	81.09710784	16,543.81	06/03/2011	long-term	08/13/2013	80.15	16,351.52	(192.29)
VEA	VANGUARD TAX-	SELL	512	38.05	19,481.60	06/03/2011	long-term	08/13/2013	38.48	19,699.20	217.60
VEA	VANGUARD TAX-	SELL	127	38.04708661	4,831.98	06/03/2011	long-term	08/13/2013	38.47	4,885.83	53.85
VWO	VANGUARD INTL	SELL	273	48.70710623	13,297.04	06/03/2011	long-term	08/13/2013	40.15	10,962.18	(2,334.86)
VMBS	VANGUARD SCOTTSDALE FDS	SELL	613	51.48	31,557.24	06/03/2011	long-term	08/13/2013	50.96	31,239.64	(317.60)
VMBS	VANGUARD SCOTTSDALE FDS	SELL	235	51.52	12,107.20	06/03/2011	long-term	08/13/2013	50.96	11,976.05	(131.15)
VUG	VANGUARD INDEX FDS	SELL	478	64.08709205	30,833.63	06/03/2011	long-term	07/15/2013	81.49	38,950.20	8,316.57
VUG	VANGUARD INDEX FDS	SELL	808	64.09	51,784.72	06/03/2011	long-term	07/15/2013	82.22	66,434.33	14,649.61
VTV	VANGUARD INDEX FDS	SELL	851	55.97	47,630.47	06/03/2011	long-term	08/13/2013	70.62	60,094.90	12,464.43
VB	VANGUARD INDEX FDS	SELL	69	76.71710145	5,293.48	06/03/2011	long-term	08/13/2013	100.90	6,962.10	1,668.62
	Capital gain distributions				(3,798.21)						3,798.21
	Total Long-Term				278,478.34					316,171.69	37,693.35
					285,251.55					323,989.81	38,738.26