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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
GEGAX FAMILY FOUNDATION

A Employer identification number
41-1989478

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 75000

Room/suite

B Telephone number (see instructions)
(612) 215-3603

City or town, state or province, country, and ZIP or foreign postal code
DETROIT, MI 482757874

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 1,002,470

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify) ______
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	41,582	41,582		
	5a	Gross rents				
	b	Net rental income or (loss) ______				
	6a	Net gain or (loss) from sale of assets not on line 10	8,889			
	b	Gross sales price for all assets on line 6a 421,065				
	7	Capital gain net income (from Part IV, line 2)		8,889		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-57	-57		
	12	**Total.** Add lines 1 through 11	50,414	50,414		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	2,750	2,750		0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	9,105			0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings	9,515	9,515	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	2,421	2,421		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	23,791	14,686	0	0
	25	Contributions, gifts, grants paid	45,665			45,665
	26	**Total expenses and disbursements.** Add lines 24 and 25	69,456	14,686	0	45,665
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-19,042			
	b	**Net investment income** (if negative, enter -0-)		35,728		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	4,870	-109	-109
	2	Savings and temporary cash investments	24,704	45,684	45,684
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	220,439	235,755	330,996
	c	Investments—corporate bonds (attach schedule)	642,393	608,155	613,245
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	5,429	5,377	12,654
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	897,835	894,862	1,002,470
Liabilities	17	Accounts payable and accrued expenses		17,255	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		17,255	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	897,835	877,607	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	897,835	877,607	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	897,835	894,862	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	897,835
2	Enter amount from Part I, line 27a	2	-19,042
3	Other increases not included in line 2 (itemize) ▶	3	808
4	Add lines 1, 2, and 3	4	879,601
5	Decreases not included in line 2 (itemize) ▶	5	1,994
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	877,607

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	8,889
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	54,007	870,357	0 062052
2011	38,554	953,319	0 040442
2010	42,103	894,093	0 04709
2009	40,806	773,742	0 052739
2008	67,313	880,268	0 076469

2	**Total** of line 1, column (d).	2	0 278792
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 055758
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	953,928
5	Multiply line 4 by line 3.	5	53,189
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	357
7	Add lines 5 and 6.	7	53,546
8	Enter qualifying distributions from Part XII, line 4.	8	45,665

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	715
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	715
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	715
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 910		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 874		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	1,784
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	4
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,065
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 716 **Refunded** ▶	11	349

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶GEGAX COM

14 The books are in care of ▶COMERICA BANK Telephone no ▶(877) 405-1091

Located at ▶101 NORTH MAIN STREEET ANN ARBOR MI ZIP+4 ▶48104

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required *(continued)***

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** **No**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
THOMAS GEGAX 2810 W LAKE OF THE ISLES PARKWAY MINNEAPOLIS, MN 55416	DIRECTOR/PRESIDENT 1	0		
MARY WESCOTT 2810 W LAKE OF THE ISLES PARKWAY MINNEAPOLIS, MN 55416	DIRECTOR/VICE PRES /TREASURER 1	0		
T TRENT GEGAX 604 B WEST MARY ST AUSTIN, TX 78704	DIRECTOR/VICE PRES/SECRETARY 1	0		
CHRISTOPHER GEGAX 4336 YORK AVE S 3 MINNEAPOLIS, MN 55410	DIRECTOR/MEDIA 1	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	925,469
b	Average of monthly cash balances	1b	42,986
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	968,455
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	968,455
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,527
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	953,928
6	**Minimum investment return.** Enter 5% of line 5	6	47,696

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	47,696
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 715		
b	Income tax for 2013 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	715
3	Distributable amount before adjustments Subtract line 2c from line 1	3	46,981
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	46,981
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	46,981

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	45,665
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	45,665
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	45,665

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				46,981
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. 23,828				
b From 2009. 3,481				
c From 2010. 0				
d From 2011. 0				
e From 2012. 12,315				
f **Total** of lines 3a through e.	39,624			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 45,665				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				45,665
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	1,316			1,316
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	38,308			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	22,512			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	15,796			
10 Analysis of line 9				
a Excess from 2009. . . . 3,481				
b Excess from 2010. . . . 0				
c Excess from 2011. . . . 0				
d Excess from 2012. . . . 12,315				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

THOMAS L GEGAX
PO BOX 16323
MINNEAPOLIS,MN 55416
(612) 915-1112

b The form in which applications should be submitted and information and materials they should include

NONE

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				45,665
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14		
4 Dividends and interest from securities. . . .			14	41,582	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	8,889	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a OTHER REVENUE			14	-57	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				50,414	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 50,414

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		No
(2) Other assets.	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
(4) Reimbursement arrangements.	**1b(4)**		No
(5) Loans or loan guarantees.	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-10-22	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JEFFREY E KUHLIN		2014-10-22		P00353001

Firm's name ▶	KPMG LLP	Firm's EIN ▶
Firm's address ▶	2020 N CENTRAL AVE STE 700 PHOENIX, AZ 85004	Phone no (855) 807-3423

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1405 05 BANC OF AMERICA FDG CORP CMO 5 75% 01/25		2012-05-09	2013-03-25
684 47 BANC OF AMERICA FDG CORP CMO 5 75% 01/25		2012-05-09	2013-04-25
2182 39 BANC OF AMERICA FDG CORP CMO 5 75% 01/25		2012-05-09	2013-05-28
460 4 BANC OF AMERICA FDG CORP CMO 5 75% 01/25		2012-05-09	2013-09-25
2645 78 BANC OF AMERICA FDG CORP CMO 5 5% 10/25/		2013-08-19	2013-09-26
774 97 BANC OF AMERICA FDG CORP CMO 5 5% 10/25/		2013-08-19	2013-10-25
2615 72 BANC OF AMERICA FDG CORP CMO 5 5% 10/25/		2013-08-19	2013-11-25
371 54 BANC OF AMERICA FDG CORP CMO 5 5% 10/25/		2013-08-19	2013-12-26
248 91 BANC OF AMERICA FDG CORP CMO 6% 11/25/20		2013-04-01	2013-05-28
786 89 BANC OF AMERICA FDG CORP CMO 6% 11/25/20		2013-04-01	2013-06-25
1009 98 BANC OF AMERICA FDG CORP CMO 6% 11/25/20		2013-04-01	2013-07-25
947 12 BANC OF AMERICA FDG CORP CMO 6% 11/25/20		2013-04-01	2013-08-26
521 79 BANC OF AMERICA FDG CORP CMO 6% 11/25/20		2013-04-01	2013-09-25
42 82 BANC OF AMERICA FDG CORP CMO 6% 11/25/20		2013-04-01	2013-10-25
486 62 BANC OF AMERICA FDG CORP CMO 6% 11/25/20		2013-04-01	2013-11-25
965 52 BANC OF AMERICA FDG CORP CMO 6% 11/25/20		2013-04-01	2013-12-26
27 49 BANC OF AMER FDG CORP CMO 5 5% 08/25/203		2009-08-12	2013-01-25
1018 62 BANC OF AMER FDG CORP CMO 5 5% 08/25/203		2009-08-12	2013-02-25
1123 16 BANC OF AMER FDG CORP CMO 5 5% 08/25/203		2009-08-12	2013-03-25
259 48 BANC OF AMER FDG CORP CMO 5 5% 08/25/203		2009-08-12	2013-04-25
376 04 BANC OF AMER FDG CORP CMO 5 5% 08/25/203		2009-08-12	2013-05-28
253 84 BANC OF AMER FDG CORP CMO 5 5% 08/25/203		2009-08-12	2013-06-25
281 38 BANC OF AMER FDG CORP CMO 5 5% 08/25/203		2009-08-12	2013-07-25
100 41 BANC OF AMER FDG CORP CMO 5 5% 08/25/203		2009-08-12	2013-08-26
574 66 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-01-25
636 05 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-02-25
247 06 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-03-25
339 19 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-04-25
155 37 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-05-28
236 66 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-06-25

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198 56 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-07-25
450 7 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-08-26
520 9 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-09-25
205 44 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-10-25
488 39 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-11-25
278 7 BANC AMER ALTERNATIVE LN TR CMO 5 5% 03/		2010-10-26	2013-12-26
1260 27 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-01-25
2175 66 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-02-25
1556 81 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-03-25
2082 28 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-04-25
1083 48 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-05-28
697 21 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-06-25
1354 49 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-07-25
1517 13 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-08-26
1400 85 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-09-25
996 49 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-10-25
1519 55 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-11-25
956 02 BANC AMER MTG SECS INC 5 5% 11/25/2035		2012-12-21	2013-12-26
848 93 BANC AMER FDG 2006-6 TR CMO 6% 08/25/203		2010-03-24	2013-01-25
2800 77 BANC AMER FDG 2006-6 TR CMO 6% 08/25/203		2010-03-24	2013-02-25
331 09 BANC AMER FDG 2006-6 TR CMO 6% 08/25/203		2010-03-24	2013-03-29
159 34 BANC AMER FDG 2007-2 TR MTG PASSTHRU CTF CL 1-A-7 CMO 5 75% 03/		2011-04-19	2013-01-25
73 49 BANC AMER FDG 2007-2 TR MTG PASSTHRU CTF CL 1-A-7 CMO 5 75% 03/2		2011-04-19	2013-02-25
227 6 BANC AMER FDG 2007-2 TR MTG PASSTHRU CTF CL 1-A-7 CMO 5 75% 03/2		2011-04-19	2013-03-25
167 72 BANC AMER FDG 2007-2 TR MTG PASSTHRU CTF CL 1-A-7 CMO 5 75% 03/		2011-04-19	2013-04-25
110 72 BANC AMER FDG 2007-2 TR MTG PASSTHRU CTF CL 1-A-7 CMO 5 75% 03/		2011-04-19	2013-05-31
12624 74 BANC AMER FDG 2007-2 TR MTG PASSTHRU CTF CL 1-A-7 CMO 5 75% 0		2011-04-19	2013-06-19
402 38 BANC AMER FDG 2007-2 TR MTG PASSTHRU CTF CL 1-A-7 CMO 5 75% 03/		2011-04-19	2013-06-25
631 19 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-01-25
71 13 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-02-25

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
906 85 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-03-25
215 82 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-04-25
219 59 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-05-28
222 94 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-06-25
247 91 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-07-25
1381 09 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-08-26
954 01 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-09-25
1226 66 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-10-25
837 1 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-11-25
470 41 CMALT CITIMORTGAGE ALTERNATIVE L CMO 5 5		2008-05-21	2013-12-26
524 67 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-01-25
529 77 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-02-25
939 82 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-03-25
1090 89 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-04-25
654 66 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-05-28
1146 45 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-06-25
541 47 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-07-25
473 91 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-08-26
447 4 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-09-25
577 19 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-10-25
325 21 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-11-25
760 04 COUNTRYWIDE ALTERNATE LOAN INC CMO 5% 03		2012-03-02	2013-12-26
838 43 COUNTRYWIDE ALTERNATE LOAN INC CMO 6% 01		2013-05-28	2013-06-25
1237 44 COUNTRYWIDE ALTERNATE LOAN INC CMO 6% 01		2013-05-28	2013-07-25
1249 19 COUNTRYWIDE ALTERNATE LOAN INC CMO 6% 01		2013-05-28	2013-08-26
1248 18 COUNTRYWIDE ALTERNATE LOAN INC CMO 6% 01		2013-05-28	2013-09-25
555 COUNTRYWIDE ALTERNATE LOAN INC CMO 6% 01		2013-05-28	2013-10-25
843 87 COUNTRYWIDE ALTERNATE LOAN INC CMO 6% 01		2013-05-28	2013-11-25
549 87 COUNTRYWIDE ALTERNATE LOAN INC CMO 6% 01		2013-05-28	2013-12-26
281 47 COUNTRYWIDE INC CMO 5 5% 08/25/2033		2013-06-28	2013-08-27

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279 12 COUNTRYWIDE INC CMO 5 5% 08/25/2033		2013-06-28	2013-09-25
133 58 COUNTRYWIDE INC CMO 5 5% 08/25/2033		2013-06-28	2013-10-25
424 94 COUNTRYWIDE INC CMO 5 5% 08/25/2033		2013-06-28	2013-11-25
220 07 COUNTRYWIDE INC CMO 5 5% 08/25/2033		2013-06-28	2013-12-26
190 88 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/20		2011-07-27	2013-01-25
287 99 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/20		2011-07-27	2013-02-25
162 47 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/20		2011-07-27	2013-03-25
152 42 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/20		2011-07-27	2013-04-25
271 76 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/20		2011-07-27	2013-05-28
157 8 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/203		2011-07-27	2013-06-25
142 04 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/20		2011-07-27	2013-07-25
142 54 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/20		2011-07-27	2013-08-26
165 51 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/20		2011-07-27	2013-09-25
91 09 CHASE MTG 2005-S2 MC MTG PASSTHRU CTF CL A-25 CMO 5 5% 10/25/203		2011-07-27	2013-10-25
806 61 CREDIT SUISSE FIRST BOSTON MTGE CMO 5 5%		2012-11-29	2013-02-25
1613 06 CREDIT SUISSE FIRST BOSTON MTGE CMO 5 5%		2012-11-29	2013-05-28
2643 7 CREDIT SUISSE FIRST BOSTON MTGE CMO 5 5%		2012-11-29	2013-06-25
1123 23 CREDIT SUISSE FIRST BOSTON MTGE CMO 5 5%		2012-11-29	2013-07-25
1481 18 CREDIT SUISSE FIRST BOSTON MTGE CMO 5 5%		2012-11-29	2013-08-26
2231 68 CREDIT SUISSE FIRST BOSTON MTGE CMO 5 5%		2012-11-29	2013-09-25
745 72 CREDIT SUISSE FIRST BOSTON MTGE CMO 5 5%		2012-11-29	2013-10-25
711 87 CREDIT SUISSE FIRST BOSTON MTGE CMO 5 5%		2012-11-29	2013-12-26
1000 CREDIT SUISSE FIRST BOSTON MTGE SER 2005-4 CL 3A4 CMO 5% 06/25/2		2005-04-12	2013-03-26
1000 CREDIT SUISSE FIRST BOSTON MTGE SER 2005-4 CL 3A4 CMO 5% 06/25/2		2005-04-12	2013-04-25
1000 CREDIT SUISSE FIRST BOSTON MTGE SER 2005-4 CL 3A4 CMO 5% 06/25/2		2005-04-12	2013-05-28
1000 CREDIT SUISSE FIRST BOSTON MTGE SER 2005-4 CL 3A4 CMO 5% 06/25/2		2005-04-12	2013-07-26
1000 CREDIT SUISSE FIRST BOSTON MTGE SER 2005-4 CL 3A4 CMO 5% 06/25/2		2005-04-12	2013-11-26
55 04 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/20		2011-08-02	2013-01-25
82 06 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/20		2011-08-02	2013-02-25
51 99 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/20		2011-08-02	2013-03-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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78 03 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/20		2011-08-02	2013-04-25
53 33 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/20		2011-08-02	2013-05-28
58 26 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/20		2011-08-02	2013-06-25
57 32 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/20		2011-08-02	2013-07-25
58 23 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/20		2011-08-02	2013-08-26
162 04 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/2		2011-08-02	2013-09-25
210 91 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/2		2011-08-02	2013-10-25
73 69 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/20		2011-08-02	2013-11-25
165 27 CREDIT SUISSE FIRST BOSTON MTG CMO SER 2003 1 CL 1A1 7% 02/25/2		2011-08-02	2013-12-26
565 82 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-01-25
1005 38 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-02-25
346 97 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-03-25
232 45 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-04-25
263 94 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-05-28
443 54 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-06-25
75 47 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-07-25
772 09 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-08-26
93 7838 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-09-25
0162 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2013-08-21	2013-09-25
0412 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2013-08-21	2013-10-25
238 2188 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-10-25
0571 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2013-08-21	2013-11-25
330 3529 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-11-25
122 4988 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2006-12-04	2013-12-26
0212 CSMC MTG BACKED TR 2006-7 CMO 5 75% 08/2		2013-08-21	2013-12-26
1539 74 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-01-25
633 11 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-02-25
551 21 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-03-25
315 64 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-04-25
1041 47 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-05-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
588 68 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-06-25
722 06 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-07-25
1040 31 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-08-26
281 43 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-09-25
408 72 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-10-25
1779 4 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-11-25
596 52 FIRST HORIZON CMO I-A-4 5 5% 03/25/2035		2010-09-27	2013-12-26
426 06 FIRST HORIZON CMO 5% 08/25/2035		2009-11-09	2013-01-25
224 66 FIRST HORIZON CMO 5% 08/25/2035		2009-11-09	2013-02-25
182 79 FIRST HORIZON CMO 5% 08/25/2035		2009-11-09	2013-03-25
286 95 FIRST HORIZON CMO 5% 08/25/2035		2009-11-09	2013-04-25
18606 28 FIRST HORIZON CMO 5% 08/25/2035		2009-11-09	2013-05-13
932 15 FIRST HORIZON CMO 5% 08/25/2035		2009-11-09	2013-05-30
75 19 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-01-25
165 93 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-02-25
189 05 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-03-25
138 72 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-04-25
351 18 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-05-28
103 42 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-06-25
160 83 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-07-25
164 88 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-08-26
184 17 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-09-25
144 11 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-10-25
351 78 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-11-25
287 77 FIRST HORIZON MTG PASS-THROUGH T CMO 6 2		2012-06-11	2013-12-26
168 67 IMPAC CMO 6 02% 03/25/2033		2013-07-30	2013-09-25
853 22 IMPAC CMO 6 02% 03/25/2033		2013-07-30	2013-10-25
557 32 IMPAC CMO 6 02% 03/25/2033		2013-07-30	2013-11-25
201 79 IMPAC CMO 6 02% 03/25/2033		2013-07-30	2013-12-26
785 65 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-01-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
214 42 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-02-25
331 97 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-03-25
163 82 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-04-25
374 52 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-05-28
1069 56 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-06-25
327 69 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-07-25
581 94 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-08-26
304 42 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-09-25
22 5 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-10-25
469 55 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-11-25
125 62 INDYMAC MBS INC CMO A-1 5 5% 07/25/2035		2011-08-25	2013-12-26
198 13 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-01-25
254 41 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-02-25
160 29 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-03-25
199 56 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-04-25
303 76 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-05-28
195 5 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-06-25
295 63 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-07-25
335 91 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-08-26
243 51 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-09-25
149 29 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-10-25
158 56 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-11-25
9111 19 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-12-05
174 47 J P MORGAN MTG TR 2007-S3 CMO 6% 08/25/2		2008-06-10	2013-12-30
296 1 MASTR ALTERNATIVE CMO 5 5% 04/25/2035		2013-05-15	2013-06-25
343 32 MASTR ALTERNATIVE CMO 5 5% 04/25/2035		2013-05-15	2013-07-25
159 47 MASTR ALTERNATIVE CMO 5 5% 04/25/2035		2013-05-15	2013-08-26
363 55 MASTR ALTERNATIVE CMO 5 5% 04/25/2035		2013-05-15	2013-09-25
266 36 MASTR ALTERNATIVE CMO 5 5% 04/25/2035		2013-05-15	2013-10-25
205 33 MASTR ALTERNATIVE CMO 5 5% 04/25/2035		2013-05-15	2013-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
102 1 MASTR ALTERNATIVE CMO 5 5% 04/25/2035		2013-05-15	2013-12-26
641 86 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-01-25
837 07 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-02-25
67 97 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-03-25
652 21 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-04-25
742 71 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-05-28
484 33 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-06-25
1118 45 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-07-25
806 36 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-08-26
1422 6 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-09-25
920 62 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-10-25
531 63 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-11-25
1070 13 MORGAN STANLEY MTG LN TR CMO 5 5% 08/25/		2012-08-02	2013-12-26
1973 035 MUTUAL DISCOVERY FUND CL II		2011-02-08	2013-03-14
2311 62 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-01-25
1245 06 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-02-25
1454 53 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-03-25
508 8 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-04-25
1572 86 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-05-28
714 39 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-06-25
843 72 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-07-25
595 72 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-08-26
502 93 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-09-25
252 38 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-10-25
460 81 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-11-25
466 85 PRIME MTG TR SERIES 2004-2 CL A2 CMO 4 7		2012-09-07	2013-12-26
1865 3 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-01-25
254 25 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-02-25
246 64 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-03-25
491 28 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-04-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
472 53 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-05-28
479 54 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-06-25
508 68 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-07-25
423 69 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-08-26
571 17 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-09-25
171 39 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-10-25
566 76 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-11-25
17852 35 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-12-05
61 03 RESIDENTIAL FUNDING MTG SECS I CMO 5 5%		2011-01-26	2013-12-30
1430 66 RFMSI SER 2007-S6 TR CMO 6% 06/25/2037		2009-01-05	2013-01-25
1991 24 RFMSI SER 2007-S6 TR CMO 6% 06/25/2037		2009-01-05	2013-02-25
1414 64 RFMSI SER 2007-S6 TR CMO 6% 06/25/2037		2009-01-05	2013-03-25
81416 57 RFMSI SER 2007-S6 TR CMO 6% 06/25/2037		2009-01-05	2013-04-24
648 52 RFMSI SER 2007-S6 TR CMO 6% 06/25/2037		2009-01-05	2013-04-25
452 32 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-01-25
813 25 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-02-25
754 58 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-03-25
73 03 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-04-25
94 51 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-05-28
213 41 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-06-25
233 7 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-07-25
122 73 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-08-26
67 15 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-09-25
89 46 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-10-25
61 67 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-11-25
5717 6 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-12-05
88 WASHINGTON MUTUAL CMO 5 5% 08/25/2035		2010-06-03	2013-12-30
344 39 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-01-25
337 2 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-02-25
225 8 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-03-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
509 86 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-04-25
546 78 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-05-28
229 3 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-06-25
243 12 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-07-25
241 4 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-08-26
414 82 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-09-25
325 59 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-10-25
277 71 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-11-25
199 81 WELLS FARGO MTG BACKED SECS 2005 CMO 5 5		2011-09-27	2013-12-26
489 21 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-01-25
362 53 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-02-25
313 72 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-03-25
358 36 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-04-25
399 63 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-05-28
333 39 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-06-25
391 9 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-07-25
472 99 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-08-26
559 21 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-09-25
374 88 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-10-25
328 49 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-11-25
273 29 WELLS FARGO MTG BACKED SECS 2007 CMO 6%		2011-03-03	2013-12-26
436 11 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-01-25
43 7 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-02-25
635 11 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-03-25
748 69 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-04-25
1096 75 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-05-28
131 75 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-06-25
421 21 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-07-25
550 94 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-08-26
1010 3 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-09-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
118 4 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-10-25
110 59 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-11-25
821 1 WELLS FARGO MTG BACKED SECS 2007 CMO 6 2		2009-06-08	2013-12-26
247 99 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-01-25
132 48 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-02-25
248 24 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-03-25
528 52 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-04-25
297 97 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-05-28
311 05 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-06-25
269 35 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-07-25
493 32 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-08-26
300 29 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-09-25
381 7 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-10-25
142 99 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-11-25
9147 29 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2011-07-06	2013-12-05
196 82 WELLS FARGO MTG BACKED SECS 2006 CMO 5 7		2010-08-23	2013-12-30
2817 72 WELLS FARGO MTG BACKED SECS 2007 CMO 5 5		2012-02-13	2013-04-25
51232 88 WELLS FARGO MTG BACKED SECS 2007 CMO 5 5		2012-02-13	2013-05-23
5949 4 WELLS FARGO MTG BACKED SECS 2007 CMO 5 5		2012-02-13	2013-05-29
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,405		1,366	39
684		666	18
2,182		2,122	60
460		448	12
2,646		2,599	47
775		761	14
2,616		2,570	46
372		365	7
249		249	
787		787	
1,010		1,010	
947		947	
522		522	
43		43	
487		487	
966		966	
27		26	1
1,019		973	46
1,123		1,073	50
259		248	11
376		359	17
254		242	12
281		269	12
100		96	4
575		573	2
636		634	2
247		246	1
339		338	1
155		155	
237		236	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
199		198	1
451		450	1
521		520	1
205		205	
488		487	1
279		278	1
1,260		1,273	-13
2,176		2,197	-21
1,557		1,572	-15
2,082		2,103	-21
1,083		1,094	-11
697		704	-7
1,354		1,368	-14
1,517		1,532	-15
1,401		1,415	-14
996		1,006	-10
1,520		1,535	-15
956		966	-10
849		819	30
2,801		2,703	98
331		320	11
159		151	8
73		70	3
228		216	12
168		159	9
111		105	6
9,753		11,954	-2,201
402		381	21
631		620	11
71		70	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
907		891	16
216		212	4
220		216	4
223		219	4
248		244	4
1,381		1,358	23
954		938	16
1,227		1,206	21
837		823	14
470		462	8
525		522	3
530		527	3
940		935	5
1,091		1,085	6
655		651	4
1,146		1,141	5
541		539	2
474		472	2
447		445	2
577		574	3
325		324	1
760		756	4
838		828	10
1,237		1,223	14
1,249		1,234	15
1,248		1,233	15
555		548	7
844		834	10
550		543	7
281		242	39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
279		240	39
134		115	19
425		365	60
220		189	31
191		187	4
288		282	6
162		159	3
152		149	3
272		266	6
158		155	3
142		139	3
143		140	3
166		162	4
91		89	2
807		734	73
1,613		1,468	145
2,644		2,406	238
1,123		1,022	101
1,481		1,348	133
2,232		2,031	201
746		679	67
712		648	64
1,000		1,000	
1,000		1,000	
1,000		1,000	
1,000		1,000	
1,000		1,000	
55		55	
82		82	
52		52	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
78		78	
53		53	
58		58	
57		57	
58		58	
162		162	
211		211	
74		74	
165		165	
566		566	
1,005		1,005	
347		347	
232		232	
264		264	
444		443	1
75		75	
772		772	
94		94	
238		238	
330		330	
123		122	1
1,540		1,520	20
633		625	8
551		544	7
316		312	4
1,041		1,028	13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
589		581	8
722		713	9
1,040		1,027	13
281		278	3
409		404	5
1,779		1,757	22
597		589	8
426		358	68
225		189	36
183		154	29
287		241	46
16,001		15,629	372
932		783	149
75		73	2
166		160	6
189		182	7
139		134	5
351		339	12
103		100	3
161		155	6
165		159	6
184		178	6
144		139	5
352		339	13
288		278	10
169		168	1
853		848	5
557		554	3
202		201	1
786		753	33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
214		205	9
332		318	14
164		157	7
375		359	16
1,070		1,025	45
328		314	14
582		558	24
304		292	12
23		22	1
470		450	20
126		120	6
198		197	1
254		253	1
160		159	1
200		199	1
304		302	2
196		195	1
296		294	2
336		334	2
244		242	2
149		149	
159		158	1
7,471		9,066	-1,595
174		174	
296		296	
343		343	
159		159	
364		364	
266		266	
205		205	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
102		102	
642		632	10
837		825	12
68		67	1
652		642	10
743		732	11
484		477	7
1,118		1,102	16
806		794	12
1,423		1,401	22
921		907	14
532		524	8
1,070		1,054	16
60,000		59,684	316
2,312		2,367	-55
1,245		1,275	-30
1,455		1,489	-34
509		521	-12
1,573		1,610	-37
714		731	-17
844		864	-20
596		610	-14
503		515	-12
252		258	-6
461		472	-11
467		478	-11
1,865		1,844	21
254		251	3
247		244	3
491		486	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
473		467	6
480		474	6
509		503	6
424		419	5
571		565	6
171		169	2
567		560	7
14,728		17,652	-2,924
61		60	1
1,431		1,158	273
1,991		1,611	380
1,415		1,145	270
67,169		65,882	1,287
649		525	124
452		407	45
813		732	81
755		679	76
73		66	7
95		85	10
213		192	21
234		210	24
123		110	13
67		60	7
89		80	9
62		55	7
4,488		5,144	-656
88		79	9
344		338	6
337		330	7
226		221	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
510		500	10
547		536	11
229		225	4
243		238	5
241		237	4
415		407	8
326		319	7
278		272	6
200		196	4
489		487	2
363		361	2
314		312	2
358		357	1
400		398	2
333		332	1
392		390	2
473		471	2
559		556	3
375		373	2
328		327	1
273		272	1
436		367	69
44		37	7
635		535	100
749		631	118
1,097		924	173
132		111	21
421		355	66
551		464	87
1,010		851	159

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
118		100	18
111		93	18
821		692	129
248		241	7
132		129	3
248		241	7
529		513	16
298		289	9
311		302	9
269		261	8
493		479	14
300		291	9
382		370	12
143		139	4
7,592		8,874	-1,282
197		190	7
2,818		2,484	334
49,184		45,162	4,022
5,949		5,244	705
			5,349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			39
			18
			60
			12
			47
			14
			46
			7
			1
			46
			50
			11
			17
			12
			12
			4
			2
			2
			1
			1
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			1
			1
			1
			-13
			-21
			-15
			-21
			-11
			-7
			-14
			-15
			-14
			-10
			-15
			-10
			30
			98
			11
			8
			3
			12
			9
			6
			-2,201
			21
			11
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16
			4
			4
			4
			4
			23
			16
			21
			14
			8
			3
			3
			5
			6
			4
			5
			2
			2
			2
			3
			1
			4
			10
			14
			15
			15
			7
			10
			7
			39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			39
			19
			60
			31
			4
			6
			3
			3
			6
			3
			3
			3
			4
			2
			73
			145
			238
			101
			133
			201
			67
			64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1
			1
			20
			8
			7
			4
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			8
			9
			13
			3
			5
			22
			8
			68
			36
			29
			46
			372
			149
			2
			6
			7
			5
			12
			3
			6
			6
			6
			5
			13
			10
			1
			5
			3
			1
			33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9
			14
			7
			16
			45
			14
			24
			12
			1
			20
			6
			1
			1
			1
			1
			2
			1
			2
			2
			2
			1
			-1,595

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			10
			12
			1
			10
			11
			7
			16
			12
			22
			14
			8
			16
			316
			-55
			-30
			-34
			-12
			-37
			-17
			-20
			-14
			-12
			-6
			-11
			-11
			21
			3
			3
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			6
			6
			6
			5
			6
			2
			7
			-2,924
			1
			273
			380
			270
			1,287
			124
			45
			81
			76
			7
			10
			21
			24
			13
			7
			9
			7
			-656
			9
			6
			7
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			10
			11
			4
			5
			4
			8
			7
			6
			4
			2
			2
			2
			1
			2
			1
			2
			2
			3
			2
			1
			1
			69
			7
			100
			118
			173
			21
			66
			87
			159

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			18
			18
			129
			7
			3
			7
			16
			9
			9
			8
			14
			9
			12
			4
			-1,282
			7
			334
			4,022
			705

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FIRST COMMUNITY RECOVERY CHURCH 1220 LOGAN AVENUE NORTH MINNEAPOLIS, MN 55411	NONE	EXEMPT	SUPPORT	4,000
CONGREGATION BNAI JESHURUN 2109 BROADWAY SUITE 203 NEW YORK, NY 10023	NONE	EXEMPT	SUPPORT	3,840
WATERKEEPER ALLIANCE 50 SOUTH BUCKHOUT STREET SUITE 302 IRVINGTON, NY 10533	NONE	EXEMPT	SUPPORT	14,755
CENTER FOR SCIENCE IN THE PUBLIC INTEREST 1220 L ST MW SUITE 300 WASHINGTON, DC 20005	NONE	EXEMPT	SUPPORT	10,000
ALLIANCE FOR A BETTER MINNESOTA 1600 UNIVERSITY AVENUE WEST SAINT PAUL, MN 55104	NONE	EXEMPT	SUPPORT	1,100
THE SOURCE OF SYNERGY FOUNDATION 132 EAST 43RD STREET STE 117 NEW YORK, NY 10017	NONE	EXEMPT	SUPPORT	500
LA JOLLA PARK & REC 7632 DRAPER AVENUE LA JOLLA, CA 92037	NONE	EXEMPT	SUPPORT	500
WELLSTONE ACTION FUND 2446 UNIVERSITY AVENUE W SUITE 170 SAINT PAUL, MN 55114	NONE	EXEMPT	SUPPORT	1,500
WALKER ART CENTER 1750 HENNEPIN AVENUE MINNEAPOLIS, MN 55403	NONE	EXEMPT	SUPPORT	90
FIRST UNIVERSALIST CHURH 3400 DUPONT AVENUE SOUTH MINNEAPOLIS, MN 55408	NONE	EXEMPT	SUPPORT	1,000
SCIENCE MUSEUM OF MINNESOTA 120 KELLOGG BLVD W ST PAUL, MN 55102	NONE	EXEMPT	SUPPORT	500
NATIONAL MULTIPLE SCLEROSIS SOCIETY 200 12TH AVE S MINNEAPOLIS, MN 55415	NONE	EXEMPT	SUPPORT	200
HOSPITALITY HOUSE YOUTH DEVELOPMENT PO BOX 11008 1220 LOGAN AVENUE N MINNEAPOLIS, MN 55411	NONE	EXEMPT	SUPPORT	250
LAKE HARRIET COMMUNITY SCHOOLPTA 4912 VINCENT AVEUNE S MINNEAPOLIS, MN 55410	NONE	EXEMPT	SUPPORT	1,500
ALLIANCE FOR SUSTAINABILIT 2801 21ST AVE S MINNEAPOLIS, MN 55407	NONE	EXEMPT	SUPPORT	100
Total . . . . ▶ **3a**				45,665

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CITIZENS FOR A SAFER MINNESOTA 2395 UNIVERSITY AVE SUITE 317 ST APUL,MN 55114	NONE	EXEMPT	SUPPORT	1,500
HIGHLAWN MONTESSORI SCHOO 3531 SOMERSET DRIVE PRAIRIE VILLAGE,KS 66208	NONE	EXEMPT	SUPPORT	1,080
ONE YOGA TWO 721 WEST 26TH STREEET NO B MINNEAPOLIS,MN 55405	NONE	EXEMPT	SUPPORT	250
GIVING BACK TO AFRICA INC PO BOX 2328 BLOOMINGTON,IN 47402	NONE	EXEMPT	SUPPORT	3,000
Total . . . . ▶ **3a**				45,665

TY 2013 Investments Corporate Bonds Schedule

Name: GEGAX FAMILY FOUNDATION

EIN: 41-1989478

Name of Bond	End of Year Book Value	End of Year Fair Market Value
VARIOUS CORPORATE BONDS	608,155	613,245

TY 2013 Investments Corporate Stock Schedule

Name: GEGAX FAMILY FOUNDATION

EIN: 41-1989478

Name of Stock	End of Year Book Value	End of Year Fair Market Value
RBC - PACIFIC BOOKER	37,386	106,925
PIPER JAFFERY - PACIFIC BOOKER	12,614	35,640
FRANKLIN MUTUAL DISCOVERY FD	110,755	120,604
PIMCO ALL ASSET AUTH FD CL C	75,000	67,827

TY 2013 Investments - Other Schedule

Name: GEGAX FAMILY FOUNDATION

EIN: 41-1989478

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PS HOLDINGS LLC	AT COST	5,377	12,654

TY 2013 Other Decreases Schedule

Name: GEGAX FAMILY FOUNDATION

EIN: 41-1989478

Description	Amount
DIFFERENCE BETWEEN CASH RECEIPTS AND TAXABLE INCOME	1,941
PARTENRSHIP DIFFERENCE CASH AND TAXABLE INCOME	53

TY 2013 Other Increases Schedule

Name: GEGAX FAMILY FOUNDATION

EIN: 41-1989478

Description	Amount
REIMBURSEMENT OF EXPENSES BY DIRECTORS	808