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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation FRANCES & FRANK WILKINSON FOUNDATION		A Employer identification number 41-1911630
Number and street (or P O box number if mail is not delivered to street address) 188 BANK STREET SE	Room/suite	B Telephone number 612-373-7080
City or town, state or province, country, and ZIP or foreign postal code MINNEAPOLIS, MN 55414		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,712,682.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		34,061.	32,913.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		105,835.			
b Gross sales price for all assets on line 6a 310,889.					
7 Capital gain net income (from Part IV, line 2)			105,835.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		139,896.	138,748.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		1,675.	419.		1,256.
c Other professional fees STMT 3		11,976.	11,976.		0.
17 Interest					
18 Taxes STMT 4		1,005.	1,005.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		25.	0.		25.
24 Total operating and administrative expenses. Add lines 13 through 23		14,681.	13,400.		1,281.
25 Contributions, gifts, grants paid		131,500.			131,500.
26 Total expenses and disbursements. Add lines 24 and 25		146,181.	13,400.		132,781.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-6,285.			
b Net investment income (if negative, enter -0-)			125,348.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED MAY 30 2014

6/14/15

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	129,149.	74,699.	74,699.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	936,055.	946,660.	1,264,897.
	c Investments - corporate bonds STMT 7	322,653.	360,213.	373,086.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,387,857.	1,381,572.	1,712,682.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	1,387,857.	1,381,572.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	1,387,857.	1,381,572.		
31 Total liabilities and net assets/fund balances	1,387,857.	1,381,572.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,387,857.
2 Enter amount from Part I, line 27a	2	-6,285.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,381,572.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,381,572.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHARLES SCHWAB - (SEE ATTACHED)	P	VARIOUS	12/31/13
b CHARLES SCHWAB - (SEE ATTACHED)	P	VARIOUS	12/31/13
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14,400.		12,937.	1,463.
b 266,597.		192,117.	74,480.
c 29,892.			29,892.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			1,463.
b			74,480.
c			29,892.
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	105,835.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	140,639.	1,592,049.	.088338
2011	61,456.	1,648,531.	.037279
2010	153,771.	1,481,645.	.103784
2009	132,722.	1,332,488.	.099605
2008	142,848.	1,707,714.	.083649

2 Total of line 1, column (d)	2	.412655
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.082531
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,640,570.
5 Multiply line 4 by line 3	5	135,398.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,253.
7 Add lines 5 and 6	7	136,651.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	132,781.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,507.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,507.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,507.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,118.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,118.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,389.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>FRANK S. WILKINSON JR.</u> Telephone no. ► <u>(612) 373-7080</u> Located at ► <u>188 BANK ST SE, MINNEAPOLIS, MN</u> ZIP+4 ► <u>55414</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FRANK S. WILKINSON, JR. 188 BANK ST SE MINNEAPOLIS, MN 55414	PRESIDENT 5.00	0.	0.	0.
FRANCES WILKINSON 188 BANK ST SE MINNEAPOLIS, MN 55414	SECRETARY 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,549,120.
b	Average of monthly cash balances	1b	116,433.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,665,553.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,665,553.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	24,983.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,640,570.
6	Minimum investment return. Enter 5% of line 5	6	82,029.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	82,029.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,507.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,507.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	79,522.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	79,522.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	79,522.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	132,781.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	132,781.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	132,781.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				79,522.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				48,191.
c From 2010				155,100.
d From 2011				61,456.
e From 2012				141,475.
f Total of lines 3a through e	406,222.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$				132,781.
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				79,522.
e Remaining amount distributed out of corpus	53,259.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	459,481.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	459,481.			
10 Analysis of line 9:				
a Excess from 2009				48,191.
b Excess from 2010				155,100.
c Excess from 2011				61,456.
d Excess from 2012				141,475.
e Excess from 2013				53,259.

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- [illegible]

1 Information Regarding Foundation Managers:

- SEE STATEMENT 8

- NONE

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BRECK 123 OTTAWA AV. N. MINNEAPOLIS, MN 55422	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	1,000.
CONSERVATION MINNESOTA (C3) 1101 W RIVER PKWY, SUITE 250 MINNEAPOLIS, MN 55415	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	12,500.
DAVIDSON COLLEGE P.O. BOX 1385 DAVIDSON, MN 28036	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	3,000.
GUTHRIE THEATER 818 SOUTH 2ND ST MINNEAPOLIS, MN 55415	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	10,000.
MINNEAPOLIS INSTITUTE OF ART 1401 3RD AV. S. MINNEAPOLIS, MN 55405	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	2,500.
Total	SEE CONTINUATION SHEET(S)			131,500.
b Approved for future payment				
NONE				
Total				0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 5/14/14

► President
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

LORI HUME, CPA

Preparer's signature

LORI HUME, CPA

Date

05/06/14

Check ☐ self-employed

PTIN

P01263847

Firm's name ► WIPFLI LLP

Firm's EIN ► 39-0758449

Firm's address ► 7601 FRANCE AVENUE SOUTH, SUITE 400
MINNEAPOLIS, MN 55435

Phone no. 952-548-3400

Form **990-PF** (2013)



ACCREDITED INVESTORS INC.

*Real Life Planning®***Portfolio Statement**

As of 12/31/2013

Frances & Frank Wilkinson

<u>Description</u>	<u>Quantity</u>	<u>Acquisition Date</u>	<u>Cost Basis</u>	<u>Current Value</u>
Managed Assets				
Arbitrage				
AQR DIVERSIFIED ARBITRAG	5,645.463	01/13/2012	63,147.78	61,535.55
Foreign Stocks				
ARTISAN INTL SMALL CAP	2,377.519	09/17/2012	40,214.15	64,335.66
FIRST EAGLE OVERSEAS FUN	4,968.158	09/17/2012	103,771.25	116,751.71
IVA INTERNATIONAL	10,292.541	01/13/2012	153,720.89	177,649.26
T ROWE PRICE EUROPEAN	3,664.172	01/13/2012	63,938.72	78,596.49
VANGUARD EMERGING MAR	1,180	10/04/2011	41,010.11	48,545.20
Par 0.00				
WISDOMTREE EMERGING M	964	06/25/2012	47,849.33	49,192.92
			450,504.45	535,071.24
Intermediate-Term Bonds				
ABERDEEN TOTAL RETURN	6,306.155	09/19/2012	88,045.15	81,727.77
LOOMIS SAYLES BOND CL-I	9,805.972	12/31/2012	126,213.10	148,658.54
NEUBERGER BERMAN CORE	3,661.409	12/31/2012	38,134.95	37,529.44
PIMCO TOTAL RETURN FUND	7,435.692	05/10/2013	80,431.41	79,487.55
			332,824.61	347,403.30
Large Domestic Stocks				
GOODHAVEN FUND	909.722	02/03/2012	20,055.49	25,535.90
ISHARES RUSSELL 1000 GRO	812	03/12/2009	26,830.71	69,791.40
ISHARES S&P 500 INDEX	85	07/08/2010	9,742.04	15,780.25
JENSEN QUALITY GROWTH F	2,819.673	06/27/2012	69,618.20	106,527.25
OAKMARK SELECT FUND	2,353.511	01/13/2012	60,356.18	94,281.65
			186,602.62	311,916.45
Long-Term Bonds				
BLACKROCK NATIONAL MU	2,483.8	02/03/2012	27,388.71	25,682.49

Portfolio Statement
As of 12/31/2013

Frances & Frank Wilkinson

<u>Description</u>	<u>Quantity</u>	<u>Acquisition Date</u>	<u>Cost Basis</u>	<u>Current Value</u>
Managed Assets				
Long/Short (Fixed Income)				
JPMORGAN STRATEGIC INC	4,436.256	12/31/2012	51,720.41	52,747.08
Mid Cap Domestic Stocks				
BAIRD MID CAP INSTL CLASS	3,987.632	11/19/2012	45,163.12	59,814.48
Small Domestic Stocks				
CENTURY SMALL CAP SELEC	2,533.407	01/13/2012	40,673.04	88,137.23
ISHARES RUSSELL 2000	162	01/13/2012	10,016.99	18,688.32
SCHWAB RAFI 1500	5,341.802	02/03/2012	56,759.82	69,016.08
THIRD AVENUE SMALL CAP	2,465.401	01/13/2012	42,071.87	67,971.11
			149,521.72	243,812.74
			1,306,873.42	1,637,983.33
Unmanaged Assets				
Cash				
SCHWAB MONEY MARKET F			74,698.95	74,698.95
			74,698.95	74,698.95
			1,381,572.37	1,712,682.28

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB	63,953.	29,892.	34,061.	32,913.	
TO PART I, LINE 4	63,953.	29,892.	34,061.	32,913.	

FORM 990-PF	ACCOUNTING FEES				STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREP FEES	1,675.	419.		1,256.
TO FORM 990-PF, PG 1, LN 16B	1,675.	419.		1,256.

FORM 990-PF	OTHER PROFESSIONAL FEES				STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	11,976.	11,976.		0.
TO FORM 990-PF, PG 1, LN 16C	11,976.	11,976.		0.

FORM 990-PF	TAXES				STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	1,005.	1,005.		0.
TO FORM 990-PF, PG 1, LN 18	1,005.	1,005.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MN STATE REGISTRATION FEE	25.	0.		25.	
TO FORM 990-PF, PG 1, LN 23	25.	0.		25.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CHARLES SCHWAB - SEE ATTACHED SCHEDULE	946,660.	1,264,897.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	946,660.	1,264,897.		

FORM 990-PF	CORPORATE BONDS		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CHARLES SCHWAB - SEE ATTACHED SCHEDULE	360,213.	373,086.		
TOTAL TO FORM 990-PF, PART II, LINE 10C	360,213.	373,086.		

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	8
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NAME OF MANAGER

FRANK S. WILKINSON, JR.
FRANCES WILKINSON

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MN OPERA 620 NORTH 1ST STREET MINNEAPOLIS, MN 55401	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	500.
MN PUBLIC RADIO 480 CEDAR ST ST PAUL, MN 55101	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	1,000.
PLOUGHSHARES FORT MASON CENTER B-330 SAN FRANCISCO, CA 94123	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	1,000.
PUBLIC THEATER 425 LAFAYETTE STREET NEW YORK, NY 10003	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	25,000.
ST PAUL CHAMBER ORCHESTRA 408 PETER ST. ST PAUL, MN 55102	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	500.
TRUST FOR PUBLIC LAND 2610 UNIVERSITY AV. ST PAUL, MN 55114	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	10,000.
TWIN CITIES PUBLIC TELEVISION 172 E. 4TH ST. ST PAUL, MN 55101	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	1,000.
UNION COLLEGE 807 UNION ST SCHENECTADY, NY 12308	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	500.
UNITED WAY 404 S. 8TH ST. MINNEAPOLIS, MN 55404	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	10,000.
WALKER ART CENTER 1750 HENNERPIN AVE MINNEAPOLIS, MN 55403	N/A	PUBLIC CHARITIES	GENERAL SUPPORT	52,500.
Total from continuation sheets				102,500.

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

FRANCES & FRANK WILKINSON FOUN

Corp

Total Known Realized Gain/(Loss) \$/%			Total Known Short-Term Gain/(Loss)			Total Known Long-Term Gain/(Loss)				
\$75,943.58/37.03%			\$1,463.90			\$74,479.68				
Total Known Proceeds			Total Known Cost Basis							
\$280,996.51			\$205,063.64							
Cost Basis - Realized Gain/(Loss) - Filtered by: From 01/01/2013 Through 12/31/2013										
Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Notes ¹
ARTJX	ARTISAN INTL SMALL CAP FUND INVESTOR SHARE	08/12/2013	Hide Lots	455.59800	\$11,800.00	\$6,969.77		\$4,830.23	\$4,830.23	69.30%
		08/12/2013	12/20/2006	183.27000	\$4,746.70	\$2,803.68		\$1,943.02	\$1,943.02	69.30% a
		08/12/2013	02/25/2009	272.32800	\$7,053.30	\$4,166.09		\$2,887.21	\$2,887.21	69.30% a
BMDIX	BAIRD MID CAP FUND INST	08/12/2013	Hide Lots	532.95500	\$7,500.00	\$6,002.39	\$1,497.61		\$1,497.61	24.95%
		08/12/2013	11/19/2012	492.69800	\$6,933.49	\$5,543.46	\$1,390.03		\$1,390.03	25.08%
		08/12/2013	12/26/2012	16.41100	\$230.94	\$187.08	\$43.86		\$43.86	23.44%
		08/12/2013	12/26/2012	23.84600	\$335.57	\$271.85	\$63.72		\$63.72	23.44%
BND	VANGUARD BOND INDEX FUNDTOTAL BOND MARKET ETF	07/08/2013	05/17/2012	416.00000	\$33,353.68	\$35,027.35		(\$1,673.67)	(\$1,673.67)	(4.78%)
BND	VANGUARD BOND INDEX FUNDTOTAL BOND MARKET ETF	07/08/2013	05/17/2012	0.35040	\$28.09	\$29.50		(\$1.41)	(\$1.41)	(4.78%)
CSMCX	CENTURY SMALL CAP SELECT INST CL	08/12/2013	Hide Lots	451.93200	\$14,600.00	\$7,820.03		\$6,779.97	\$6,779.97	86.70%
		08/12/2013	10/16/2008	272.24500	\$8,795.08	\$4,128.68		\$4,666.40	\$4,666.40	113.02% a
		08/12/2013	08/22/2011	179.68700	\$5,804.92	\$3,691.35		\$2,113.57	\$2,113.57	57.26%
CSMCX	CENTURY SMALL CAP SELECT INST CL	08/26/2013	10/16/2008	1.986.36900	\$64,100.00	\$30,123.89		\$33,976.11	\$33,976.11	112.79%
CSMCX	CENTURY SMALL CAP SELECT INST CL	01/22/2013	08/22/2011	490.38100	\$14,000.00	\$10,074.01		\$3,925.99	\$3,925.99	38.97%
GOODX	GOODHAVEN FD	02/12/2013	02/03/2012	467.15900	\$12,000.00	\$10,245.69		\$1,754.31	\$1,754.31	17.12%
IVIQX	IVA INTL FD CL I	04/10/2013	Hide Lots	560.09600	\$9,300.00	\$8,926.43		\$373.57	\$373.57	4.18%

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Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes ¹
		04/10/2013	11/17/2010	489 06700	\$8,120 61	\$7,793.74		\$326 87	\$326 87	4 19%	a
		04/10/2013	11/10/2011	71 02900	\$1,179 39	\$1,132 69		\$46.70	\$46 70	4 12%	
IWF	ISHARES TR RUSSELL 1000 GROWTH ETF	08/12/2013	03/12/2009	58 00000	\$4,455 23	\$1,916 48		\$2,538 75	\$2,538 75	132 47%	
<input checked="" type="checkbox"/> IWF	ISHARES TR RUSSELL 1000 GROWTH ETF	07/08/2013	Hide Lots	52 00000	\$3,853.02	\$1,744 03		\$2,108.99	\$2,108 99	120 93%	
		07/08/2013	03/12/2009	51 00000	\$3,778 92	\$1,685 18		\$2,093 74	\$2,093 74	124 24%	a
		07/08/2013	12/05/2011	1 00000	\$74 10	\$58.85		\$15 25	\$15 25	25 91%	
IWF	ISHARES TR RUSSELL 1000 GROWTH ETF	04/10/2013	12/05/2011	147.00000	\$10,592 74	\$8,650 72		\$1,942 02	\$1,942 02	22 45%	
<input checked="" type="checkbox"/> JENXS	JENSEN QUALITY GROWTH FD CL J	04/10/2013	Hide Lots	188 06000	\$6,300 00	\$4,988.31		\$1,311 69	\$1,311 69	26 30%	
		04/10/2013	02/22/2008	172 66900	\$5,784 40	\$4,549 83		\$1,234 57	\$1,234 57	27 13%	a
		04/10/2013	06/21/2011	8 01900	\$268 64	\$225 58		\$43 06	\$43 06	19.09%	
		04/10/2013	03/22/2012	7 37200	\$246 96	\$212 90		\$34 06	\$34 06	16 00%	
LSBDX	LOOMIS SAYLES BOND FUND CL I	04/10/2013	02/14/2011	519 09400	\$8,000 00	\$7,513 46		\$486 54	\$486 54	6 48%	
OAKLX	OAKMARK SELECT FUND	07/08/2013	02/22/2008	604 30500	\$21,900 00	\$14,702 74		\$7,197 26	\$7,197 26	48 95%	
<input checked="" type="checkbox"/> OAKLX	OAKMARK SELECT FUND	01/22/2013	Hide Lots	410 66500	\$13,400 00	\$10,049 01		\$3,350 99	\$3,350 99	33 35%	
		01/22/2013	02/22/2008	304 95600	\$9,950 72	\$7,419 58		\$2,531 14	\$2,531 14	34 11%	a
		01/22/2013	12/16/2010	5 86000	\$191 21	\$159 57		\$31 64	\$31 64	19 83%	
		01/22/2013	08/08/2011	93 57200	\$3,053 25	\$2,300 00		\$753 25	\$753 25	32 75%	
		01/22/2013	12/15/2011	6 27700	\$204 82	\$169 86		\$34 96	\$34 96	20 58%	
<input checked="" type="checkbox"/> PTTRX	PIMCO TOTAL RETURN FUND INSTL CL	04/10/2013	Hide Lots	613.47500	\$6,900 00	\$6,944 42	(\$33 71)		(\$33 71)	(0 49%)	
		04/10/2013	07/31/2012	27.78800	\$312 54	\$318 73	(\$2 40)		(\$2 40)	(0 75%)	w
		04/10/2013	11/11/2012	18 28500	\$205 66	\$212 58	\$0 00		\$0 00	0 00%	w
		04/10/2013	11/23/2012	0 94900	\$10 67	\$10 79	(\$0 12)		(\$0 12)	(1 11%)	
		04/10/2013	12/12/2012	56 06900	\$630 63	\$636.94	(\$6 31)		(\$6 31)	(0 99%)	
		04/10/2013	12/12/2012	25 95700	\$291 95	\$294 88	(\$2 93)		(\$2 93)	(0 99%)	
		04/10/2013	12/31/2012	32 87100	\$369 72	\$370 50	(\$0 78)		(\$0 78)	(0 21%)	
		04/10/2013	01/22/2013	451 55600	\$5,078 83	\$5,100 00	(\$21 17)		(\$21 17)	(0 42%)	

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Symbol	Name	Sold/ Closed	Acquired/ Opened	Quantity Sold	Total Proceeds	Cost Basis	Short Term	Long Term	Total	Total %	Notes ¹
SFSNX	Schwab FUNDAMENTAL US SMALL CO INDEX	03/22/2013	02/03/2012	1,115 17400	\$12,200.00	\$11,608.96		\$591.04	\$591.04	5.09%	
SGOIX	FIRST EAGLE OVERSEAS FUND CL I	08/12/2013	01/03/2012	323 69000	\$7,700.00	\$6,808.01		\$891.99	\$891.99	13.10%	
TASCX	THIRD AVENUE SMALL CAP VALUE FUND INSTL CL	01/22/2013	Hide Lots	297 45800	\$7,000.00	\$4,943.95		\$2,056.05	\$2,056.05	41.59%	
		01/22/2013	10/16/2008	280 43100	\$6,599.31	\$4,608.02		\$1,991.29	\$1,991.29	43.21%	a
		01/22/2013	12/20/2011	8 49400	\$199.89	\$167.58		\$32.31	\$32.31	19.28%	
		01/22/2013	12/20/2011	8 53300	\$200.80	\$168.35		\$32.45	\$32.45	19.28%	
VWO	VANGUARD FTSE EMERGING MARKETS ETF	09/25/2013	10/04/2011	159 00000	\$6,513.44	\$5,525.94		\$987.50	\$987.50	17.87%	
VWO	VANGUARD FTSE EMERGING MARKETS ETF	04/10/2013	10/04/2011	128 00000	\$5,500.31	\$4,448.55		\$1,051.76	\$1,051.76	23.64%	

Disclosures

The Realized Gain/Loss page provides summary information of closed transactions. Not all closed transactions appear on this page.

We calculate cost basis using data that you or your client have provided to us or that we have obtained from market data supplied by third party sources. We may also display cost basis data that we have not calculated but that has been supplied by you or your client, a prior custodian or another third party source. Because the accuracy of cost basis data depends upon these third party inputs, we are not able to guarantee the availability, accuracy or completeness of such cost basis data.

Only covered transactions will be reported to the IRS. Schwab does not provide tax or legal advice. Please have your clients consult with a tax advisor regarding their specific tax situation.

Footnotes apply where indicated on the data view.

Missing: Indicates cost basis has not been provided for this security.

Not Tracked: Indicates original cost basis is not available.

- 1 a Data for this holding has been edited or provided by the Advisor
- e Data for this holding has been edited or provided by the end client
- t Data for this holding has been edited or provided by a third party
- w Cost Basis adjusted due to a wash sale

- 3 Cost Basis for fixed income securities is now adjusted to reflect amortized/accreted values. Click the acquired/opened date to view original cost in the Details page. Note: Some securities, including variable rate/term instruments and mortgage backed securities, may only reflect original cost even when the amortization/accretion preference is on.
- 8 Total excludes missing cost basis information, or values not tracked by Schwab.

(0307-0369)