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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation SLAGGIE FAMILY FOUNDATION		A Employer identification number 41-1878894	
Number and street (or P O box number if mail is not delivered to street address) 111 MARKET ST NO 3B		B Telephone number (see instructions) (507) 474-9150	
City or town, state or province, country, and ZIP or foreign postal code WINONA, MN 55987		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 27,162,951		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,821,040			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	173,474	173,474		
	4 Dividends and interest from securities.	304,465	304,465		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,145,370			
	b Gross sales price for all assets on line 6a 15,318,130				
	7 Capital gain net income (from Part IV, line 2) . . .		1,145,370		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	3,444,349	1,623,309	0	
	13 Compensation of officers, directors, trustees, etc	0	0	0	0
	14 Other employee salaries and wages	12,051	1,205	0	10,846
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	6,410	0	0	0
	c Other professional fees (attach schedule)	201,890	201,890	0	0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	24,586	140	0	926
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	1,518	152	0	1,366
	21 Travel, conferences, and meetings	1,240	124	0	1,116
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,496	0	0	0
	24 Total operating and administrative expenses. Add lines 13 through 23	250,191	203,511	0	14,254
	25 Contributions, gifts, grants paid	1,444,537			1,444,537
	26 Total expenses and disbursements. Add lines 24 and 25	1,694,728	203,511	0	1,458,791
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	1,749,621			
	b Net investment income (if negative, enter -0-)		1,419,798		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,171,293	2,542,138	2,542,138
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____	25,977	0	0
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	10,075,740	 11,674,342	19,800,538
	c	Investments—corporate bonds (attach schedule).	3,366,174	 4,179,948	4,142,954
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans	673,180	665,648	665,648
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ 11,673 Less accumulated depreciation (attach schedule) ▶ _____	11,673	 11,673	11,673
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	17,324,037	19,073,749	27,162,951
Liabilities	17	Accounts payable and accrued expenses	437	503	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	437	503	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	17,323,600	19,073,246	
	30	Total net assets or fund balances (see page 17 of the instructions)	17,323,600	19,073,246	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	17,324,037	19,073,749	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	17,323,600
2	Enter amount from Part I, line 27a	2	1,749,621
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	25
4	Add lines 1, 2, and 3	4	19,073,246
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	19,073,246

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b	PUBLICLY TRADED SECURITIES			
c	PUBLICLY TRADED SECURITIES			
d	CAPITAL GAINS DIVIDENDS	P		
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,363,016		9,743,449	619,567
b		30,948	-30,948
c 4,931,536		4,398,363	533,173
d 23,578			23,578
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			619,567
b			-30,948
c			533,173
d			23,578
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,145,370
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	617,109	19,251,384	0 032055
2011	1,107,396	15,236,121	0 072682
2010	449,044	13,764,544	0 032623
2009	590,551	11,354,267	0 052011
2008	554,593	10,252,569	0 054093

2 Total of line 1, column (d).	2	0 243464
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048693
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	24,852,680
5 Multiply line 4 by line 3.	5	1,210,152
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	14,198
7 Add lines 5 and 6.	7	1,224,350
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,458,791

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	14,198
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	14,198
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	14,198
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	15,600
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	15,600
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,402
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ 1,402 Refunded ☐	11	0

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ 0. (2) On foundation managers ☐ \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ MN _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> 	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of MICHAEL SLAGGIE Telephone no (507) 474-3005 Located at 111 MARKET STREET STE 3A WINONA MN ZIP+4 55987			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

Form 990-PF (2013)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	21,680,356
b	Average of monthly cash balances.	1b	2,856,715
c	Fair market value of all other assets (see instructions).	1c	694,076
d	Total (add lines 1a, b, and c).	1d	25,231,147
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	25,231,147
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	378,467
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	24,852,680
6	Minimum investment return. Enter 5% of line 5.	6	1,242,634

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,242,634
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	14,198
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	14,198
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,228,436
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,228,436
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,228,436

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,458,791
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,458,791
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	14,198
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,444,593
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,228,436
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			468,187	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,458,791				
a Applied to 2012, but not more than line 2a			468,187	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				990,604
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				237,832
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon.					
a	"Assets" alternative test—enter					
	(1) Value of all assets.					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization.					
	(4) Gross investment income.					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SLAGGIE FAMILY FOUNDATION
111 MARKET STREET STE 3B
WINONA, MN 55987
(507) 474-9150

b

The form in which applications should be submitted and information and materials they should include

APPLICATION AVAILABLE VIA EMAIL OR BY CALLING OFFICE INCLUDE LETTER STATING REQUEST, ORGANIZATION STATUS AND EIN

c

Any submission deadlines

NO DUE DATE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,444,537
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Signature of officer or trustee

2014-08-06

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒

Yes

☐

No

Paid Preparer Use Only

Print/Type preparer's name JOHN WOLTER	Preparer's Signature	Date 2014-08-06	Check if self-employed ☒	PTIN P00031055	
Firm's name	HAWKINS ASH CPAS LLP			Firm's EIN	39-0912608
Firm's address	152 WEST 3RD STREET WINONA, MN 55987			Phone no	(507) 452-8313

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHEN M SLAGGIE	PRESIDENT 2 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				
BARBARA J SLAGGIE	SECRETARY 1 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				
MICHAEL J SLAGGIE	VP 12 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				
AMANDA SLAGGIE	TRUSTEE 1 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				
MATTHEW S SLAGGIE	TREASURER 1 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				
SARA J SLAGGIE POULOS	TRUSTEE 1 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				
GREGORY T POULOS	TRUSTEE 1 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				
MICHELLE M SCHLEHUBER	TRUSTEE 1 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				
THOMAS M SCHLEHUBER	TRUSTEE 1 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				
LINDSAY SLAGGIE	TRUSTEE 1 00	0	0	0
111 MARKET STREET SUITE 3B WINONA,MN 55987				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VARIOUS LOCAL DONATIONS LOCAL WINONA,MN 55987			CIVIC	8,787
SAN MARCO PARISH 851 SAN MARCO RD MARCO ISLAND,FL 34145			RELIGIOUS	250
FROZEN RIVER FILM FESTIVAL LOCAL WINONA,MN 55987			EDUCATIONAL	5,000
READY SET SCHOOL LOCAL WINONA,MN 55987			EDUCATIONAL	1,000
GRACE PLACE LOCAL WINONA,MN 55987			CIVIC	5,000
MN PRIVATE COLLEGE FUND 445 MINNESOTA STREET SUITE 500 ST PAUL,MN 55101			EDUCATIONAL	1,200
WINONA COMMUNITY FOUNDATION LOCAL WINONA,MN 55987			CIVIC	36,000
CHILDREN'S MEDICAL CENTER 1935 MEDICAL DISTRICT DR DALLAS,TX 75235			EDUCATIONAL/HEALTH	2,000
LOYOLA UNIVERSITY 1032 W SHERIDAN RD CHICAGO,IL 60660			EDUCATIONAL	6,000
MARQUETTE UNIVERSITY 1250 W WISCONSIN AVE MILWAUKEE,WI 53233			EDUCATIONAL	5,000
WINONA AREA CATHOLIC SCHOOLS LOCAL WINONA,MN 55987			EDUCATIONAL	5,000
CATHOLIC CHARITIES LOCAL WINONA,MN 55987			RELIGIOUS	5,000
ST BONIFACE CATHOLIC SCHOOL 501 MAIN ST COLD SPRING,MN 56320			EDUCATIONAL	1,000
CITY OF WINONA -WINONA FAMILY ART DAY LOCAL WINONA,MN 55987			EDUCATIONAL	2,000
WINONA STATE UNIVERSITY FOUNDATION LOCAL WINONA,MN 55987			EDUCATIONAL	500
Total			3a	1,444,537

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WABASHA COUNTY HISTORICAL MUSEUM 70537 206TH AVENUE READS LANDING,MN 55968			EDUCATIONAL	1,000
ELKS NATIONAL FOUNDATION 2750 NORTH LAKEVIEW AVENUE CHICAGO,IL 606142256			CIVIC	2,500
UNITED WAY LOCAL WINONA,MN 55987			CIVIC	3,000
NATIONAL COUNCIL OF FOUNDATIONS 2121 CRYSTAL DRIVE SUITE 700 ARLINGTON,VA 22202			CIVIC/DUES	1,500
BAYSTATE JDRF 60 WALNUT STREET SUITE 201 WELLESLEY HILLS,MA 02181			EDUCATIONAL	40,500
CHOTOMOW CHILDRENS FOUNDATION 4756 BANNING AVENUE SUITE 206 SAINT PAUL,MN 55110			CIVIC	5,000
IMMACULATE HEART OF MARY SEMINARY LOCAL WINONA,MN 55987			RELIGIOUS	1,000
MIDWEST MUSIC FEST LOCAL WINONA,MN 55987			EDUCATIONAL/ART/CULTURE	5,000
ST STANISLAUS KOSKA LOCAL WINONA,MN 55987			RELIGIOUS	30,000
AMERICAN RED CROSS LOCAL WINONA,MN 55987			CIVIC	28,000
SALVATION ARMY LOCAL WINONA,MN 55987			CIVIC	1,000
BK 5K YOUTH FUND LOCAL WINONA,MN 55987			CIVIC	3,000
DIOCESE OF WINONA LOCAL WINONA,MN 55987			RELIGIOUS	12,000
CONVENT OF THE VISITATION SCHOOL 2455 VISITATION DRIVE MENDOTA HEIGHTS,MN 55120			EDUCATION	25,000
COTTER HIGH SCHOOL LOCAL WINONA,MN 55987			EDUCATIONAL	17,500
Total  3a				1,444,537

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WINONA COUNTY HISTORICAL SOCIETY LOCAL WINONA,MN 55987			EDUCATIONAL	20,000
ST FELIX SCHOOL 130 3RD STREET EAST WABASHA,MN 55981			EDUCATIONAL	22,000
UNIVERSITY OF MINNESOTA MEDICAL FOUNDATION 200 OAK STREET SE SUITE 500 MINNEAPOLIS,MN 554552010			EDUCATIONAL/HEALTH	5,000
WINONA VOLUNTEER SERVICES LOCAL WINONA,MN 55987			CIVIC	7,500
BEDTIME BUNDLES 1083 N COLLIER BLVD 227 MARCO ISLAND,FL 34145			CIVIC	5,500
MAYO CLINIC 200 FIRST STREET SW ROCHESTER,MN 55905			EDUCATIONAL/HEALTH	5,000
WINONA HEALTH FOUNDATION LOCAL WINONA,MN 55987			EDUCATIONAL/HEALTH	800
CATHOLIC SCHOOLS FOUNDATION 260 FRANKLIN STREET SUITE 630 BOSTON,MA 02110			EDUCATIONAL	2,500
MN MARINE ART MUSEUM LOCAL WINONA,MN 55987			EDUCATIONAL/ART	850,000
HOME & COMMUNITY OPTIONS LOCAL WINONA,MN 55987			EDUCATIONAL/CIVIC	1,000
ST MARY'S UNIVERSITY LOCAL WINONA,MN 55987			EDUCATIONAL	26,500
MN BEETHOVEN FESTIVAL LOCAL WINONA,MN 55987			EDUCATIONAL/ART	25,000
BIG BROTHERS BIG SISTERS LOCAL WINONA,MN 55987			EDUCATIONAL	10,000
COMPASS FOR KIDS 4 MILITIA DRIVE LEXINGTON,MA 02421			EDUCATIONAL	10,000
LORAS COLLEGE 1450 ALTA VISTA STREET DUBUQUE,IA 52001			EDUCATIONAL	2,500
Total  3a				1,444,537

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WINONA AREA HUMANE SOCIETY LOCAL WINONA,MN 55987			CIVIC	15,000
SMILE TRAIN PO BOX 966231 WASHINGTON,DC 200906231			CIVIC/HEALTH	2,500
DODGE NATURE CENTER 365 MARIE AVENUE WEST WEST SAINT PAUL,MN 55118			EDUCATIONAL	10,000
ASSUMPTION CHURCH 323 WEST ILLINOIS STREET CHICAGO,IL 60654			RELIGIOUS	1,000
ST NORBERT'S COLLEGE 100 GRANT STREET DE PERE,WI 54115			EDUCATIONAL	1,000
LEXINGTON EDUCATION FUND 594 MARRETT RD SUITE 7 LEXINGTON,MA 074217605			EDUCATION	5,000
ST BRIGID'S PARISH 2001 MASSACHUSETTS AVENUE LEXINGTON,MA 02421			RELIGIOUS	1,000
BOYS & GIRLS CLUB OF BOSTON 50 CONGRESS STREET BOSTON,MA 02109			EDUCATIONAL/CIVIC	10,000
LOVELANE 40 BAKER BRIDGE ROAD LINCOLN,MA 01773			EDUCATIONAL	5,000
KELVAR MAIR FOUNDATION PO BOX 8 HASTINGS,MN 55033			EDUCATIONAL/CIVIC	17,000
CHILDREN'S MUSEUM OF LACROSSE 207 5TH AVE SOUTH LA CROSSE,WI 54601			EDUCATIONAL	3,000
WINONA DAC LOCAL WINONA,MN 55987			EDUCATIONAL/HEALTH/CIVIC	1,000
THEATER DU MISSISSIPPI LOCAL WINONA,MN 55987			EDUCATIONAL/ART/CULTURE	4,500
FAMILY & CHILDREN'S CENTER LOCAL WINONA,MN 55987			EDUCATIONAL/CIVIC	51,000
MORRIE MILLER FOUNDATION LOCAL WINONA,MN 55987			EDUCATIONAL/HEALTH	2,000
Total  3a				1,444,537

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEWYORK,NY 100015004			EDUCATIONAL/CIVIC	1,000
MAKE A WISH FOUNDATION 4742 N 24TH STREET SUITE 400 PHOENIX,AZ 850164862			EDUCATIONAL/CIVIC	10,000
HERMITS OF ST MARY OF CARMEL 33005 STINSON RIDGE RD HOUSTON,MN 55943			RELIGIOUS	6,000
ST JOHN'S ABBEY 31802 COUNTY ROAD 159 COLLEGEVILLE,MN 56321			EDUCATIONAL	5,000
HERITAGE MIDDLE SCHOOL 1897 DELAWARE AVE MENDOTA HEIGHTS,MN 55118			EDUCATIONAL	22,000
RIVERFRONT FOUNDATION 3000 SOUTH AVENUE LA CROSSE,WI 54601			EDUCATIONAL	11,000
ST JOHN'S UNIVERSITY PO BOX 2000 COLLEGEVILLE,MN 56321			EDUCATIONAL	4,000
LET'S GO FISHING LOCAL WINONA,MN 55987			EDUCATIONAL	1,000
OPERATION SMILE 3641 FACULTY BOULEVARD VIRGINIA BEACH,VA 23453			CIVIC/HEALTH	2,500
Total  3a				1,444,537

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization SLAGGIE FAMILY FOUNDATION	Employer identification number 41-1878894
---	--

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization SLAGGIE FAMILY FOUNDATION	Employer identification number 41-1878894
--	---

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NIPPER NCLA TRUST NO 1 111 MARKET ST STE 3B WINONA, MN 55987	\$ 1,821,040	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization SLAGGIE FAMILY FOUNDATION	Employer identification number 41-1878894
--	---

Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization SLAGGIE FAMILY FOUNDATION	Employer identification number 41-1878894
--	---

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	6,410	0	0	0

**TY 2013 Investments Corporate
Bonds Schedule**

Name: SLAGGIE FAMILY FOUNDATION
EIN: 41-1878894

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BONDS	4,179,948	4,142,954

**TY 2013 Investments Corporate
Stock Schedule**

Name: SLAGGIE FAMILY FOUNDATION
EIN: 41-1878894

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCKS	11,674,342	19,800,538

TY 2013 Land, Etc. Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
WINONA WATERS OFFICE	8,500	0	8,500	8,500
FURNITURE	3,173	0	3,173	3,173

TY 2013 Other Expenses Schedule**Name:** SLAGGIE FAMILY FOUNDATION**EIN:** 41-1878894

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATION EXPENSES	131	0	0	0
OFFICE EXPENSES	840	0	0	0
DUES & ASSOCIATIONS	1,500	0	0	0
MN REGISTRATION FEE	25	0	0	0

TY 2013 Other Increases Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Description	Amount
MN REGISTRATION FEE ACCRUED LAST YEAR	25

TY 2013 Other Professional Fees Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	201,890	201,890	0	0

TY 2013 Substantial Contributors Schedule

Name: SLAGGIE FAMILY FOUNDATION

EIN: 41-1878894

Name	Address
NIPPER NCLA TRUST NO 1	111 MARKET STREET STE 3A WINONA, MN 55987
STEPHEN M SLAGGIE	111 MARKET STREET STE 3B WINONA, MN 55987
BARBARA SLAGGIE	111 MARKET STREET STE 3B WINONA, MN 55987

TY 2013 Taxes Schedule**Name:** SLAGGIE FAMILY FOUNDATION**EIN:** 41-1878894

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	1,029	103	0	926
FOREIGN TAX	37	37	0	0
EXCISE TAX	23,520	0	0	0