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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation KAPLAN FAMILY FOUNDATION		A Employer identification number 41-1794327
Number and street (or P.O. box number if mail is not delivered to street address) 6566 FRANCE AVENUE SO	Room/suite 701	B Telephone number 952-927-9338
City or town, state or province, country, and ZIP or foreign postal code MINNEAPOLIS, MN 55435		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,278,928.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		7.	7.		STATEMENT 1
4 Dividends and interest from securities		57,812.	57,812.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		347,537.			
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			347,537.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		405,356.	405,356.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		STMT 3 550.	550.		0.
c Other professional fees		STMT 4 885.	885.		0.
17 Interest					
18 Taxes		STMT 5 431.	431.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		7,534.	7,534.		0.
22 Printing and publications					
23 Other expenses		STMT 6 393.	393.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		9,793.	9,793.		0.
25 Contributions, gifts, grants paid		258,262.			258,262.
26 Total expenses and disbursements. Add lines 24 and 25		268,055.	9,793.		258,262.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		137,301.			
b Net investment income (if negative, enter -0-)			395,563.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	120,700.	46,010.	46,010.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	1,763,910.	2,030,465.	5,232,918.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,884,610.	2,076,475.	5,278,928.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,863,493.	1,918,057.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	21,117.	158,418.	
Net Assets or Fund Balances	30 Total net assets or fund balances	1,884,610.	2,076,475.	
	31 Total liabilities and net assets/fund balances	1,884,610.	2,076,475.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,884,610.
2 Enter amount from Part I, line 27a	2	137,301.
3 Other increases not included in line 2 (itemize) ▶	3	54,564.
4 Add lines 1, 2, and 3	4	2,076,475.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,076,475.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 535,878.		188,341.	347,537.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			347,537.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	347,537.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4	8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	7,911.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,911.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,911.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 1,489.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,489.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	136.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	6,558.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► HARVEY KAPLAN Telephone no. ► 952-927-9338 Located at ► 6566 FRANCE AVENUE SO, MINNEAPOLIS, MN ZIP+4 ► 55435-1714			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,787,902.
b	Average of monthly cash balances	1b	64,786.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,852,688.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,852,688.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	72,790.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,779,898.
6	Minimum investment return. Enter 5% of line 5	6	238,995.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	238,995.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	7,911.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,911.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	231,084.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	231,084.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	231,084.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	258,262.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	258,262.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	258,262.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				231,084.
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only			14,269.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 258,262.				
a Applied to 2012, but not more than line 2a			14,269.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				231,084.
e Remaining amount distributed out of corpus	12,909.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	12,909.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	12,909.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013	12,909.			

N/A

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Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALZHEIMER'S ASSOCIATION- NYC CHAPTER 360 LEXINGTON AVENUE, 4TH FLOOR NEW YORK, NY 10017		NC	MEDICAL	500.
AMERICAN JEWISH WORLD SERVICE 45 WEST 36TH STREET, 11TH FLOOR NEW YORK, NY 10018		NC	JEWISH SOCIAL SERVICES	5,000.
THE ARK 6450 NORTH CALIFORNIA AVENUE CHICAGO, IL 60645		NC	JEWISH SOCIAL SERVICES	10,000.
AVODAH 4707 N. BROADWAY, 3RD FLOOR CHICAGO, IL 60640		NC	JEWISH EDUCATION	3,000.
BETH EL SYNAGOGUE 5225 BARRY STREET MINNEAPOLIS, MN 55416		NC	JEWISH RELIGIOUS ORGANIZATION	8,252.
Total	SEE CONTINUATION SHEET(S) ▶ 3a			258,262.
b Approved for future payment				
NONE				
Total	▶ 3b			0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	350 SHS ENDO HEALTH SOLUTIONS INC	P	05/14/13	11/18/13
b	PERRIGO CO PLC ORD	P	12/19/13	12/30/13
c	2300 SHS OF BARD CR INC	P	01/01/00	06/18/13
d	1275 SHS OF COMMERCE BANCSHARES INC	P	01/01/00	12/27/13
e	4000 SHS OF ELAN CORP PLC	P	07/24/12	12/19/13
f	900 SHS OF PROCTER GAMBLE CO	P	07/27/71	11/13/13
g	300 SHS SALIX PHARMACEUTICAL	P	05/01/12	06/11/13
h	800 SHS TEXAS INSTRUMENTS	P	08/12/07	12/02/13
i	250 SHS TRIMBLE NAVIGATION LIMITED COM	P	08/15/05	01/09/13
j	125 SHS MALLINCKRODT PUB LTD ORD	P	05/19/08	09/03/13
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 22,377.		11,820.	10,557.
b 67.		68.	-1.
c 243,544.		60,729.	182,815.
d 52,052.		8,760.	43,292.
e 72,447.		54,610.	17,837.
f 73,790.		1,044.	72,746.
g 19,622.		14,983.	4,639.
h 30,826.		27,840.	2,986.
i 15,700.		4,639.	11,061.
j 5,453.		3,848.	1,605.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			10,557.
b			-1.
c			182,815.
d			43,292.
e			17,837.
f			72,746.
g			4,639.
h			2,986.
i			11,061.
j			1,605.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	347,537.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BLAKE SCHOOL PO BOX 86 MINNEAPOLIS, MN 55486		NC	EDUCATION	500.
BROWN UNIVERSITY PO BOX 1877 PROVIDENCE, RI 02912-1877		NC	EDUCATION	3,000.
BZBI SYNAGOGUE 300 S. 18TH STREET PHILADELPHIA, PA 19103		NC	JEWISH RELIGIOUS ORGANIZATION	8,980.
CBST CONGREGATION BEIT SIMCHAT TORAH 57 BETHUNE STREET NEW YORK, NY 10014		NC	JEWISH RELIGIOUS ORGANIZATION	1,730.
CITY MEALS ON WHEELS 355 LEXINGTON AVENUE NEW YORK, NY 10017		NC	EMERGENCY FOOD AND SHELTER	500.
DARCHEI NOAM SYNAGOGUE 2950 JOPPA AVENUE ST LOUIS PARK, MN 55416		NC	JEWISH RELIGIOUS ORGANIZATION	100.
DOCTORS WITHOUT BORDERS, USA PO BOX 5030 HAGGERSTOWN, MD 21741-5030		NC	MEDICAL	500.
DOROT 171 W. 85TH STREET NEW YORK, NY 10024		NC	JEWISH SOCIAL SERVICES	1,000.
HMJDS 4330 CEDAR LAKE ROAD ST LOUIS PARK, MN 55416		NC	JEWISH EDUCATION	23,350.
JEVS HUMAN SERVICES 1845 WALNUT STREET, 7TH FLOOR PHILADELPHIA, PA 19103		NC	JEWISH COMMUNITY ORGANIZATION	33,000.
Total from continuation sheets				231,510.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JCFS 216 W JACKSON STREET, #818 CHICAGO, IL 60606		NC	JEWISH SOCIAL SERVICES	10,000.
JCA 2375 UNIVERSITY AVENUE, STE 150 ST PAUL, MN 55114		NC	JEWISH SOCIAL SERVICES	2,000.
JCRC 12 N. 12TH STREET, SUITE 480 MINNEAPOLIS, MN 55403		NC	JEWISH COMMUNITY ORGANIZATION	5,000.
JCYS 180 W. WASHINGTON STREET, SUITE 1100 CHICAGO, IL 60602		NC	JEWISH SOCIAL SERVICES	3,000.
JEWISH FAMILY SERVICES OF KC 5801 W 115TH STREET, SUITE 103 OVERLAND PARK, KS 66211		NC	JEWISH SOCIAL SERVICES	1,000.
JEWISH FAMILY AND CHILDREN SERVICE OF GREATER PHILADELPHIA 2100 ARCH STREET PHILADELPHIA, PA 19103		NC	JEWISH SOCIAL SERVICES	3,120.
JEWISH FEDERATION OF CHICAGO 30 S. WELLS STREET, ROOM 3134 CHICAGO, IL 60606		NC	JEWISH COMMUNITY ORGANIZATION	4,000.
JEWISH FEDERATION OF PHILADELPHIA 2100 ARCH STREET PHILADELPHIA, PA 19103		NC	JEWISH COMMUNITY ORGANIZATION	20,000.
JEWISH FEDERATION OF MINNEAPOLIS 13100 WAYZATA BLVD, SUITE 200 MINNETONKA, MN 55305		NC	JEWISH COMMUNITY ORGANIZATION	7,000.
JEWISH RECONSTRUCTION CONGREGATION 303 DODGE AVENUE EVANSTON, IL 60202		NC	JEWISH RELIGIOUS ORGANIZATION	3,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH RECOVERY NETWORK 9225 MEDICINE LAKE ROAD, APT 111 MINNEAPOLIS, MN 55427		NC	MEDICAL	500.
JEWISH STUDY NETWORK 3626 EL CAMINO REAL PALO ALTO, CA 94306		NC	JEWISH COMMUNITY ORGANIZATION	600.
JR MASTERMAN HOME AND SCHOOL ASSOCIATION 1699 SPRING GARDEN STREET PHILADELPHIA, PA 19130		NC	EDUCATION	8,000.
MAZON 10495 SANTA MONICA BLVD, SUITE 100 LOS ANGELES, CA 90025		NC	JEWISH SOCIAL SERVICES	100.
MOCA 4604 CHICAGO AVE MINNEAPOLIS, MN 55407		NC	MEDICAL	100.
MOVEMBER FOUNDATION PO BOX 1595 CULVER CITY, CA 90232		NC	MEDICAL	300.
MINNEAPOLIS JEWISH COMMUNITY FOUNDATION 13100 WAYZATA BLVD, SUITE 200 MINNETONKA, MN 55305		NC	JEWISH SOCIAL SERVICES	70,000.
NATIONAL MS SOCIETY PO BOX 4527 NEW YORK, NY 10163		NC	MEDICAL	500.
NEW ISRAEL FUND PO BOX 96712 WASHINGTON, DC 20077-7438		NC	JEWISH COMMUNITY ORGANIZATION	4,000.
NEW ISRAEL FUND- VOICES OF CONSCIENCE 330 SEVENTH AVENUE, 11TH FLOOR NEW YORK, NY 10001		NC	JEWISH COMMUNITY ORGANIZATION	540.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK CARES 65 BROADWAY, 19TH FLOOR NEW YORK, NY 10006		NC	EDUCATION	1,000.
NEW YORK PUBLIC THEATER 425 LAFAYETTE STREET NEW YORK, NY 10003		NC	COMMUNITY ORGANIZATION	1,000.
OR CHADASH 9096 EAST BAHIA DRIVE SCOTTSDALE, AZ 85260		NC	JEWISH RELIGIOUS ORGANIZATION	1,100.
PLANNED PARENTHOOD 434 WEST 33RD STREET NEW YORK, NY 10001		NC	MEDICAL	1,000.
SABES JCC 4330 S. CEDAR LAKE ROAD MINNEAPOLIS, MN 55416		NC	JEWISH COMMUNITY ORGANIZATION	2,000.
SHALVA PO BOX 46375 CHICAGO, IL 60646		NC	JEWISH COMMUNITY ORGANIZATION	2,000.
TYPHOON HAITAN RELIEF FUND 13100 WAYZATA BLVD, SUITE 200 MINNETONKA, MN 55305		NC	EMERGENCY FOOD AND SHELTER	2,500.
UJA- FEDERATION OF NY 130 EAST 59TH STREET NEW YORK, NY 10022		NC	JEWISH COMMUNITY ORGANIZATION	4,240.
UNIVERSITY OF MINNESOTA FOUNDATION 200 OAK STREET SE MINNEAPOLIS, MN 55455		NC	EDUCATION	500.
WEST SIDE HEBREW CEMETERY BARCLAY ADN MARYLAND ST PAUL, MN 55106		NC	JEWISH COMMUNITY ORGANIZATION	500.
Total from continuation sheets				

41-1794327

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
TD AMERITRADE	7.	7.	
TOTAL TO PART I, LINE 3	7.	7.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TD AMERITRADE	57,812.	0.	57,812.	57,812.	
TO PART I, LINE 4	57,812.	0.	57,812.	57,812.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	550.	550.		0.
TO FORM 990-PF, PG 1, LN 16B	550.	550.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT SERVICES	885.	885.		0.
TO FORM 990-PF, PG 1, LN 16C	885.	885.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL TAXES	431.	431.		0.	
TO FORM 990-PF, PG 1, LN 18	431.	431.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
STATE FILING FEES	25.	25.		0.	
OFFICE SUPPLIES	368.	368.		0.	
TO FORM 990-PF, PG 1, LN 23	393.	393.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
VARIOUS CORPORATE STOCK	2,030,465.	5,232,918.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,030,465.	5,232,918.		

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HARVEY KAPLAN 6566 FRANCE AVENUE SO, SUITE 701 EDINA, MN 55435	PRESIDENT 5.00	0.	0.	0.
MARJORIE KAPLAN 6566 FRANCE AVENUE SO, SUITE 701 EDINA, MN 55435	VICE PRESIDENT 1.00	0.	0.	0.
HELEN KAPLAN 139 W 85TH STREET, #5 NEW YORK, NY 10024	TREASURER 2.00	0.	0.	0.
LAURA KAPLAN 2828 SUNSET BLVD MINNEAPOLIS, MN 55416	DIRECTOR 1.00	0.	0.	0.
RACHEL KAPLAN 1714 W BYRON CHICAGO, IL 60613	SECRETARY 1.00	0.	0.	0.
BOB RIESMAN 1714 W BYRON CHICAGO, IL 60613	DIRECTOR 1.00	0.	0.	0.
LEAH KAPLAN 2117 PINE STREET PHILADELPHIA, PA 19103	DIRECTOR 1.00	0.	0.	0.
ROSS KAPLAN 2828 SUNSET BLVD MINNEAPOLIS, MN 55416	DIRECTOR 1.00	0.	0.	0.
JON SMOLLEN 2117 PINE STREET PHILADELPHIA, PA 19103	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.