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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE MCKNIGHT ENDOWMENT FUND FOR NEUROSCIENCE		A Employer identification number 41-1563321
Number and street (or P O box number if mail is not delivered to street address) 710 SECOND STREET SOUTH	Room/suite 400	B Telephone number 612-333-4220
City or town, state or province, country, and ZIP or foreign postal code MINNEAPOLIS, MN 55401		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 16,423.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		3,661,200.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain				0.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		3,661,200.	0.	0.	
13 Compensation of officers, directors, trustees, etc		42,500.	0.	0.	42,500.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 1		8,145.	0.	0.	8,145.
c Other professional fees STMT 2		150,000.	0.	0.	150,000.
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		297,198.	0.	0.	297,198.
22 Printing and publications					
23 Other expenses STMT 3		4,971.	0.	0.	4,971.
24 Total operating and administrative expenses. Add lines 13 through 23		502,814.	0.	0.	502,814.
25 Contributions, gifts, grants paid		3,150,000.			3,150,000.
26 Total expenses and disbursements. Add lines 24 and 25		3,652,814.	0.	0.	3,652,814.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		8,386.			
b Net investment income (if negative, enter -0-)			0.		
c Adjusted net income (if negative, enter -0-)				0.	

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	8,037.	16,423.	16,423.	
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
		11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other					
14 Land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		8,037.	16,423.	16,423.	
Liabilities		17 Accounts payable and accrued expenses			
		18 Grants payable			
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
	23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	0.	0.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	8,037.	16,423.		
	30 Total net assets or fund balances	8,037.	16,423.		
	31 Total liabilities and net assets/fund balances	8,037.	16,423.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,037.
2 Enter amount from Part I, line 27a	2	8,386.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	16,423.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,423.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b	NONE		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4	8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
1c Did the foundation file Form 1120-POL for this year?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b If "Yes," has it filed a tax return on Form 990-T for this year?	<input type="checkbox"/>	<input type="checkbox"/>
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	<input checked="" type="checkbox"/>	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	<input checked="" type="checkbox"/>	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	<input checked="" type="checkbox"/>	
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.NEUROSCIENCE.MCKNIGHT.ORG	13	X	
14	The books are in care of ► RICK SCOTT Telephone no. ► 612-333-4220 Located at ► 710 SECOND STREET SOUTH, MINNEAPOLIS, MN ZIP+4 ► 55401			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

N/A

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 4		42,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
THE MCKNIGHT FOUNDATION - 710 SECOND STREET SO., SUITE 400, MINNEAPOLIS, MN 55401	ADMINISTRATIVE SERVICES	100,000.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 5	
	256,424.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	242,819.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	242,819.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	242,819.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,642.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	239,177.
6	Minimum investment return. Enter 5% of line 5	6	11,959.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,652,814.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,652,814.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,652,814.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years:				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0.	0.	0.	0.	0.
b 85% of line 2a	0.	0.	0.	0.	0.
c Qualifying distributions from Part XII, line 4 for each year listed	3,652,814.	3,492,831.	3,688,960.	3,985,495.	14,820,100.
d Amounts included in line 2c not used directly for active conduct of exempt activities	3,149,943.	2,966,958.	3,174,911.	3,474,232.	12,766,044.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	502,871.	525,873.	514,049.	511,263.	2,054,056.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets	16,423.	8,037.	10,868.	10,828.	46,156.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)	16,423.	8,037.	10,868.	10,828.	46,156.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0.
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)	3,661,200.	3,490,000.	3,689,000.	3,992,000.	14,832,200.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization	3,661,200.	3,490,000.	3,689,000.	3,992,000.	14,832,200.
(4) Gross investment income			0.	0.	0.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 6

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 6

c Any submission deadlines:

SEE STATEMENT 6

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 6

THE MCKNIGHT ENDOWMENT FUND FOR
NEUROSCIENCE

Form 990-PF (2013)

41-1563321 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 7	NONE		TO SUPPORT RESEARCH IN NEUROSCIENCE	3,150,000.
Total			3a	3,150,000.
b Approved for future payment				
SEE STATEMENT 7	NONE		TO SUPPORT RESEARCH IN NEUROSCIENCE	2,400,000.
Total			3b	2,400,000.

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
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[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE MCKNIGHT ENDOWMENT FUND FOR
NEUROSCIENCE

Employer identification number

41-1563321

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year

▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

THE MCKNIGHT ENDOWMENT FUND FOR
NEUROSCIENCE

Employer identification number

41-1563321

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE MCKNIGHT FOUNDATION 710 SECOND STREET SO., SUITE 400 MINNEAPOLIS, MN 55401	\$ 3,661,200.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

THE MCKNIGHT ENDOWMENT FUND FOR
NEUROSCIENCE

Employer identification number

41-1563321

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

THE MCKNIGHT ENDOWMENT FUND FOR
NEUROSCIENCE

Employer identification number

41-1563321

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	ACCOUNTING FEES	STATEMENT	1
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,145.	0.	0.	8,145.
TO FORM 990-PF, PG 1, LN 16B	8,145.	0.	0.	8,145.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE SERVICE FEES	100,000.	0.	0.	100,000.
COMMITTEE MEMBER FEES	50,000.	0.	0.	50,000.
TO FORM 990-PF, PG 1, LN 16C	150,000.	0.	0.	150,000.

FORM 990-PF	OTHER EXPENSES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
POSTAGE AND SHIPPING	2,141.	0.	0.	2,141.
COMMUNICATION EXPENSE	2,500.	0.	0.	2,500.
MISCELLANEOUS	330.	0.	0.	330.
TO FORM 990-PF, PG 1, LN 23	4,971.	0.	0.	4,971.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	4
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THOMAS JESSELL, PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	PRESIDENT 1.00	12,000.	0.	0.
HUDA ZOGHBI, M.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	VICE PRESIDENT 1.00	7,000.	0.	0.
PATRICIA S. BINGER 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	SECRETARY/TREASURER 1.00	0.	0.	0.
ALLISON DOUPE, M.D., PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	DIRECTOR 2.00	5,000.	0.	0.
MICHAEL D. EHLERS, M.D. PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	DIRECTOR 1.00	0.	0.	0.
DAVID JULIUS, PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	DIRECTOR 1.00	500.	0.	0.
J. ANTHONY MOVSHON, PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	DIRECTOR 2.00	5,000.	0.	0.
ERIC J. NESTLER, M.D., PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	DIRECTOR 1.00	7,000.	0.	0.
CARLA J. SHATZ, PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	DIRECTOR 1.00	2,000.	0.	0.
DAVID W. TANK, PH.D. 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	DIRECTOR 2.00	4,000.	0.	0.
KATE WOLFORD 710 SECOND STREET SOUTH, SUITE 400 MINNEAPOLIS, MN 55401	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		42,500.	0.	0.

The McKnight Endowment Fund for Neuroscience
Identification No. 41-1563321
Supplementary Information
Form 990-PF IX-A, Line 1
2013

The 2013 McKnight Conference on Neuroscience was held beginning Friday June 7 at 6pm through Monday, June 10 at 10am, at the Aspen Meadows Resort Hotel and Conference Center in Aspen, Colorado. Ninety-three scientists attended, including seven members of the board of directors of the McKnight Endowment Fund for Neuroscience, ten members of the awards selection committees, one guest from the Kavli Foundation, one guest from the Simons Foundation, and five guest speakers. Other attendees included: three McKnight Foundation board members, two guests from the Grossman Institute for Quantitative Biology and Human Behavior, and three McKnight Foundation staff members.

Ten McKnight award recipients and five guest speakers presented the findings of their brain research projects during three conference sessions, a brain disease workshop, and two special lectures. One special lecture was on "Shaping Memory: The Conclusive Beginning and Inconclusive End of Consolidation." The other special lecture was on "Merging of our Senses: Building Blocks and Canonical Computations." The disease workshop topic was "The Genetics of Brain Disorders." The sessions included:

- Award recipient scientific presentations (two sessions)
- The technology workshop and technology demonstrations (one session)

Since the conference program incorporates 10 minutes of question and answer following each presentation, the conference audience benefited from an open discussion of the research work presented.

The McKnight Conference on Neuroscience provides a forum for: 1) sharing of research developments by and between McKnight awardees through the formal presentations; 2) sharing of research work in informal discussions; and 3) monitoring by the board of directors and review committee members of the research work being supported by the McKnight Endowment Fund for Neuroscience.

The next McKnight Conference on Neuroscience is scheduled to be held June 13 through June 16, 2014, at the Aspen Meadows Resort Hotel and Conference Center in Aspen, Colorado. Twelve recent recipients of McKnight awards; five former recipients of McKnight awards, one 25th Anniversary speaker, and two guest speakers will present the findings of their brain research projects.

The McKnight Endowment Fund for Neuroscience
Identification No. 41-1563321
Supplementary Information
FORM 990-PF, PART XV, LINE 2
2013

THE MCKNIGHT AWARDS IN NEUROSCIENCE

The McKnight Endowment Fund for Neuroscience is an independent charitable organization established by The McKnight Foundation to carry out the intention of its founder, William L. McKnight (1887-1979). Mr. McKnight, who led the 3M company for three decades, had a personal interest in memory and its diseases and set aside part of his legacy to bring hope to those suffering from brain injury or disease and cognitive impairment.

The Endowment Fund fulfills this mission by supporting innovative research in neuroscience through three competitive annual awards. The awards complement each other to support both young and established neuroscientists and to encourage interdisciplinary collaboration. Each in a different way, the three programs seek out investigators whose research shows promise in bringing society closer to preventions, treatments, and cures for many devastating diseases. Research supported by the Endowment Fund has furthered understanding of Alzheimer's disease, Parkinson's disease, spinal cord injuries, and many other cognitive impairments.

The Endowment Fund is led by a board of eminent neuroscientists, with administrative support from The McKnight Foundation. Each award has its own selection committee, also made up of prominent scientists. The committee recommends awardees, and the board has the final approval.

McKnight Scholar Awards

The McKnight Scholar Awards encourage neuroscientists in the early stages of their careers to focus on disorders of learning and memory. These awards support young scientists who hold the M.D. and/or Ph.D. degree, who have completed formal postdoctoral training, and who demonstrate a commitment to neuroscience. The Endowment Fund especially seeks applicants working on problems that, if solved at the basic level, would have immediate and significant impact on clinically relevant issues, especially those related to deficits of memory and cognition. For descriptions of previous awards, see www.neuroscience.mcknight.org/.

Each year up to six Scholars are selected to receive three years of support at \$75,000 per year. Funds may be used in any way that will facilitate development of the Scholar's research program, but not for indirect costs. Scholars must be U.S. citizens or lawful permanent residents, be working at not-for-profit institutions within the United States, and hold tenured or tenure-track positions at their sponsoring institution. Employees of the Howard Hughes Medical Institute or scientists within the intramural program of the National Institutes of Health are not eligible.

Application materials are available in September each year, and completed applications should be emailed to an email account set up for this purpose (neuro@mcknight.org) in early January of the following year. Each applicant must ensure that four letters of reference are submitted to the email account; these letters are an integral part of the application. Awards are announced in May and begin on July 1.

McKnight Technological Innovations in Neuroscience Awards

These awards support scientists working on new and unusual approaches to understanding brain function. The program seeks to advance and enlarge the range of technologies available to the neurosciences and does not support research based primarily on existing techniques. The Fund is especially interested in how technology may be used or adapted to monitor, manipulate, analyze, or model brain function at any level, from the molecular to the entire organism. Collaborative and cross-disciplinary projects are encouraged. For descriptions of previous awards, see www.neuroscience.mcknight.org/.

The first step is to submit a two-page letter of intent describing the project and how the technology involved will enrich the neurosciences and become accessible to other research in the field. Investigators must be U.S citizens or lawful permanent residents, be working at not-for-profit institutions within the United States, and hold tenured or tenure-track positions at their sponsoring institution. Funds may be used toward a variety of research activities, but not the recipient's salary. Employees of the Howard Hughes Medical Institute or scientists within the intramural program of the National Institutes of Health are not eligible.

The selection committee will invite a few applicants to send detailed proposals, from which up to three awards will be made. Each award provides \$100,000 annually for two years. Letters of intent are due in early December and should be emailed to a an email account set up for this purpose (neuro@mcknight.org) for consideration. Awards are announced in late June and begin on August 1.

McKnight Memory and Cognitive Disorders Awards (formally the Neuroscience of Brain Disorders Awards)

Based on recommendations from an outside evaluation (performed in 2008), the Endowment Fund transformed the Neuroscience of Brain Disorders awards into the McKnight Memory and Cognitive Disorders Awards (MCD Awards). The MCD Awards support neuroscientists who are working to apply the knowledge achieved through basic or clincial research to human brain disorders that affect memory or cognition, e.g. Alzheimer's Disease. They are designed to stimulate innovative approaches that might lead to therapies and cures. Collaborative and cross-disciplinary projects are encouraged. For descriptions of previous awards, see www.neuroscience.mcknight.org/.

The first step is to submit a two-page letter of intent describing the project and how the research will be applied to improving the understanding of a brain disorder or disease, especially diseases related to memory or cognitive disorders. The letter should clearly describe how the proposed research will uncover mechanisms of brain injury or disease and how it will translate to diagnosis, prevention, treatment, or cure. Investigators must U.S citizens or lawful permanent residents, be working at not-for-profit institutions within the United States, and hold tenured or tenure-track positions at their sponsoring institution. Funds may be used toward a variety of research activities but not the recipient's salary. Employees of the Howard Hughes Medical Institute or scientists within the intramural program of the National Institutes of Health are not eligible.

The selection committee will invite a few applicants to submit detailed proposals, from which up to four awards will be selected. Each provides \$100,000 annually for three years. Letters of intent are due in late March/early April and should be emailed to an email account set up for this purpose (neuro@mcknight.org) for consideration. Awards are announced in December and begin February 1 of the following year.

(2/14)

THE MCKNIGHT ENDOWMENT FUND FOR NEUROSCIENCE

<u>Awardee/Institution</u>	<u>Research Project</u>	<u>Unpaid Balance 12/31/12</u>	<u>Approved 2013</u>	<u>Paid 2013</u>	<u>Unpaid Balance 12/31/13</u>
<u>Memory & Cognitive Disorders Awards 2011-2013</u>					
Cristina Alberini Mount Sinai School of Medicine New York, NY	The Role of Astrocytes in Memory and Cognitive Disorders	100,000	0	100,000	0
Anis Contractor Northwestern University School of Medicine Evanston, IL	Activating Group I mGluRs to Repress Fear Memory	100,000	0	100,000	0
Loren Frank/Mary Dallman University of California, San Francisco San Francisco, CA	A Circuit Level Approach to Understanding and Treating Stress-Related Memory Disorders	100,000	0	100,000	0
Michael Mauk/Daniel Johnston U. of Texas at Austin Austin, TX	Cortical Persistent Activity Mechanisms of Working Memory	100,000	0	100,000	0
<u>Memory & Cognitive Disorders Awards 2012-2014</u>					
Ben Barres Stanford University School of Medicine Stanford, CA	Do Astrocytes Control Synaptic Turnover? A New Model for What Causes Alzheimer's Disease and How to Prevent It	200,000	0	100,000	100,000
Wen-Biao Gan Skirball Institute-NYU School of Medicine New York, NY	Microglial Function in Learning and Memory Disorders	200,000	0	100,000	100,000
Elizabeth Kensinger Boston College Boston, MA	Changes in the Temporal Dynamics and Connectivity of Emotional Memory Networks Across the Adult Lifespan	200,000	0	100,000	100,000
Brian Wiltgen University of Virginia Charlottesville, VA	Reactivation of Neocortical Memory Networks During Consolidation	200,000	0	100,000	100,000
Allison Barth Carnegie Mellon University Pittsburgh, PA	Cell-specific capture of experience-dependent plasticity in the neocortex	300,000	0	100,000	200,000

THE MCKNIGHT ENDOWMENT FUND FOR NEUROSCIENCE

<u>Awardee/Institution</u>	<u>Research Project</u>	<u>Unpaid Balance</u> <u>12/31/12</u>	<u>Approved 2013</u>	<u>Paid 2013</u>	<u>Unpaid Balance</u> <u>12/31/13</u>
Charles Gray Montana State University Bozeman, MT	Distributed processing underlying cognition	300,000	0	100,000	200,000
Geoffrey Kerchner & Anthony Wagner Stanford University School of Medicine Stanford, CA	Hippocampal structure and function in cognitive impairment	300,000	0	100,000	200,000
Attila Losonczy Columbia University New York, NY	Dissecting hippocampal microcircuit dysfunctions underlying cognitive memory deficits in schizophrenia	300,000	0	100,000	200,000
<u>Memory & Cognitive Disorders Awards 2014-2016</u>					
Nicole Calakos/Henry Yin Duke University Durham, NC	From good habit to bad: Examining the relationship between habit learning and compulsivity	0	300,000	0	300,000 *
Edward Chang University of California, San Francisco San Francisco, CA	How we learn words: the neurophysiology of verbal memory	0	300,000	0	300,000 *
Adam Kepecs Cold Spring Harbor Laboratory Cold Spring Harbor, NY	Cell-type specific cognitive broadcast signals from the nucleus basalis	0	300,000	0	300,000 *
John Wixted/Larry Squire University of California, San Diego San Diego, CA	The representation of episodic and semantic memory in single neurons of the human hippocampus	0	300,000	0	300,000 *
<u>Technological Innovation Awards 2012-2013</u>					
Don Arnold University of Southern California Los Angeles, CA	Ablating intrabodies-tools for direct ablation of endogenous proteins	100,000	0	100,000	0
James Eberwine & Ivan Dmochowski University of Pennsylvania Philadelphia, PA	TIVA-tag enables true neuronal systems genomics	25,000	0	25,000	0

THE MCKNIGHT ENDOWMENT FUND FOR NEUROSCIENCE

<u>Awardee/Institution</u>	<u>Research Project</u>	<u>Unpaid Balance</u> <u>12/31/12</u>	<u>Approved 2013</u>	<u>Paid 2013</u>	<u>Unpaid Balance</u> <u>12/31/13</u>
Doris Tsao & William Tyler California Inst. of Technology/Virginia Tech Carilion Pasadena, CA/Roanoke, VA	Functional modulation of intact primate brain circuits using pulsed ultrasounds	100,000	0	100,000	0
Samuel S.H. Wang Princeton University Princeton, NJ	Transcending the dynamic limits of genetically endodable calcium indicators	75,000	0	75,000	0
<u>Technological Innovation Awards 2013-2014</u>					
Thomas Clandinin Stanford University Palo Alto, CA	A genetic method for mapping neuronal networks defined by electrical synapses	0	200,000	100,000	100,000 *
Matthew Kennedy/Chandra Tucker University of Colorado, Denver Denver, CO	Optical tools to manipulate synapses and circuits	0	200,000	100,000	100,000 *
Zachary Knight University of California, San Francisco San Francisco, CA	Sequencing neuromodulation with engineered ribosomes	0	200,000	100,000	100,000 *
<u>Scholar Awards 2011-2013</u>					
Adam Carter New York University New York, NY	Synapse Specificity in Striatal Circuits	75,000	0	75,000	0
Sandeep Robert Datta Harvard Medical School Cambridge, MA	Neural Mechanisms Underlying Sensory-Driven Behaviors	75,000	0	75,000	0
Qing Fan Columbia University New York, NY	Molecular Mechanism of Metabotropic GABA Receptor Function	75,000	0	75,000	0
Ila Fiete University of Texas, Austin Austin, TX	Cortical Error-Correction for Near-Exact Computation	75,000	0	75,000	0

THE MCKNIGHT ENDOWMENT FUND FOR NEUROSCIENCE

<u>Awardee/Institution</u>	<u>Research Project</u>	<u>Unpaid Balance 12/31/12</u>	<u>Approved 2013</u>	<u>Paid 2013</u>	<u>Unpaid Balance 12/31/13</u>
Winrich Freiwald Rockefeller University New York, NY	From Face Recognition to Social Cognition	75,000	0	75,000	0
Nathaniel Sawtell Columbia University New York, NY	Mechanisms for Sensory Prediction in Cerebellar Circuits	75,000	0	75,000	0
<u>Scholar Awards 2012-2014</u>					
Anne Churchland Cold Spring Harbor Laboratory Cold Spring Harbor, NY	Neural Circuits for Multisensory Decision-Making	150,000	0	75,000	75,000
Patrick Drew Pennsylvania State University University Park, PA	Imaging Neurovascular Coupling in the Behaving Animal	150,000	0	75,000	75,000
David Freedman University of Chicago Chicago, IL	Neuronal Mechanism of Visual Categorization and Decision-Making	150,000	0	75,000	75,000
Mala Murthy Princeton University Princeton, NJ	Neural Mechanisms Underlying Acoustic Communication in Drosophila	150,000	0	75,000	75,000
Jonathan Pillow University of Texas at Austin Austin, TX	Deciphering Cortical Representations at the Level of Spikes, Currents, and Conductances	150,000	0	75,000	75,000
Vanessa Ruta Rockefeller University New York, NY	The Functional Organization of the Neural Circuits Underlying Olfactory Learning	150,000	0	75,000	75,000
<u>Scholar Awards 2013-2014</u>					
Hillel Adesnik University of California, Berkeley Berkeley, CA	Optically probing the neural basis of perception	0	225,000	75,000	150,000 *

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Mark Churchland Columbia University New York, NY	The neural substrate of voluntary movement initiation	0	225,000	75,000	150,000 *
Elissa Hallem University of California, Los Angeles Los Angeles, NY	Functional organisation of sensory circuits in c elegans	0	225,000	75,000	150,000 *
Andrew Huberman University of California, San Diego San Diego, CA	Trans-synaptic circuits for processing directional motion	0	225,000	75,000	150,000 *
Dayu Lin NYU Langone Medical Center New York, NY	The circuit mechanism of lateral septum mediated aggression modulation	0	225,000	75,000	150,000 *
Nicole Rust University of Pennsylvania Philadelphia, PA	The neural mechanisms responsible for identifying objects and finding targets	0	225,000	75,000	150,000 *
Totals		4,050,000	3,150,000	3,150,000	4,050,000

* Total approved in current year for payment in future years - \$2,400,000