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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052





2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

|   |  |  |  |
|---|--|--|--|
| Name of foundation<br>JOHN OSTER FAMILY FOUNDATION INC  |  | A Employer identification number<br>39-6057530   |  |
| Number and street (or P O box number if mail is not delivered to street address)<br>PO BOX 340035   |  | B Telephone number (see instructions)<br>(414) 671-6800  |  |
| City or town, state or province, country, and ZIP or foreign postal code<br>MILWAUKEE, WI 53234   |  | C If exemption application is pending, check here <input type="checkbox"/>   |  |
| G Check all that apply<br><div><input type="checkbox"/> Initial return<br/><input type="checkbox"/> Final return<br/><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity<br/><input type="checkbox"/> Amended return<br/><input type="checkbox"/> Name change</div> |  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |  |
| H Check type of organization<br><input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation   |  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>  |  |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br>\$ 898,682  | J Accounting method<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>   |  |
| <input checked="" type="checkbox"/> Accrual   |  |  |  |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) ) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue   | 1 Contributions, gifts, grants, etc , received (attach schedule)                                     |                                    |                           |                         |   |
|   | 2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B |                                    |                           |                         |   |
|   | 3 Interest on savings and temporary cash investments   | 4,049                              | 4,049                     |                         |   |
|   | 4 Dividends and interest from securities. . . . .  | 9,769                              | 9,769                     |                         |   |
|   | 5a Gross rents . . . . .   |                                    |                           |                         |   |
|   | b Net rental income or (loss) _____  |                                    |                           |                         |   |
|   | 6a Net gain or (loss) from sale of assets not on line 10   | 6,156                              |                           |                         |   |
|   | b Gross sales price for all assets on line 6a<br>190,998   |                                    |                           |                         |   |
|   | 7 Capital gain net income (from Part IV, line 2) . . .   |                                    | 22,824                    |                         |   |
|   | 8 Net short-term capital gain . . . . .  |                                    |                           |                         |   |
|   | 9 Income modifications . . . . .   |                                    |                           |                         |   |
|   | 10a Gross sales less returns and allowances  |                                    |                           |                         |   |
| Operating and Administrative Expenses   | b Less Cost of goods sold . . . . .  |                                    |                           |                         |   |
|   | c Gross profit or (loss) (attach schedule) . . . . .   |                                    |                           |                         |   |
|   | 11 Other income (attach schedule) . . . . .  |                                    |                           |                         |   |
|   | 12 <b>Total.</b> Add lines 1 through 11 . . . . .  | 19,974                             | 36,642                    |                         |   |
|   | 13 Compensation of officers, directors, trustees, etc  |                                    |                           |                         |   |
|   | 14 Other employee salaries and wages . . . . .   |                                    |                           |                         |   |
|   | 15 Pension plans, employee benefits . . . . .  |                                    |                           |                         |   |
|   | 16a Legal fees (attach schedule) . . . . .   |                                    |                           |                         |   |
|   | b Accounting fees (attach schedule) . . . . .  | 1,375                              |                           |                         |   |
|   | c Other professional fees (attach schedule) . . . . .  | 1,853                              | 1,853                     |                         |   |
|   | 17 Interest . . . . .  |                                    |                           |                         |   |
|   | 18 Taxes (attach schedule) (see instructions)  | 348                                |                           |                         |   |
|   | 19 Depreciation (attach schedule) and depletion . . .  |                                    |                           |                         |   |
|   | 20 Occupancy . . . . .   |                                    |                           |                         |   |
|   | 21 Travel, conferences, and meetings . . . . .   |                                    |                           |                         |   |
|   | 22 Printing and publications . . . . .   |                                    |                           |                         |   |
|   | 23 Other expenses (attach schedule) . . . . .  | 10                                 |                           |                         |   |
|   | 24 <b>Total operating and administrative expenses.</b>   |                                    |                           |                         |   |
|   | Add lines 13 through 23 . . . . .  | 3,586                              | 1,853                     |                         | 0   |
|   | 25 Contributions, gifts, grants paid . . . . .   | 49,000                             |                           |                         | 49,000  |
|   | 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25                                      | 52,586                             | 1,853                     |                         | 49,000  |
|   | 27 Subtract line 26 from line 12   |                                    |                           |                         |   |
|   | a <b>Excess of revenue over expenses and disbursements</b>   | -32,612                            |                           |                         |   |
|   | b <b>Net investment income</b> (if negative, enter -0-)  |                                    | 34,789                    |                         |   |
|   | c <b>Adjusted net income</b> (if negative, enter -0-)  |                                    |                           |                         |   |

| Part II Balance Sheets      |   | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )   | Beginning of year   | End of year    |                       |
|-----------------------------|---|---|---|----------------|-----------------------|
|                             |   |   | (a) Book Value  | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1   | Cash—non-interest-bearing . . . . .   | 776   | 330            | 330                   |
|                             | 2   | Savings and temporary cash investments . . . . .  | 117,396   | 148,480        | 148,480               |
|                             | 3   | Accounts receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____   |   |                |                       |
|                             | 4   | Pledges receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____  |   |                |                       |
|                             | 5   | Grants receivable . . . . .   |   |                |                       |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .   |   |                |                       |
|                             | 7   | Other notes and loans receivable (attach schedule) ▶ _____<br>Less allowance for doubtful accounts ▶ _____  |   |                |                       |
|                             | 8   | Inventories for sale or use . . . . .   |   |                |                       |
|                             | 9   | Prepaid expenses and deferred charges . . . . .   |   |                |                       |
|                             | 10a   | Investments—U S and state government obligations (attach schedule)  | 150,010   | 50,003         | 50,000                |
|                             | b   | Investments—corporate stock (attach schedule) . . . . .   | 326,853  | 352,928        | 520,097               |
|                             | c   | Investments—corporate bonds (attach schedule). . . . .  | 171,168  | 181,838        | 179,775               |
|                             | 11  | Investments—land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____   |   |                |                       |
|                             | 12  | Investments—mortgage loans . . . . .  |   |                |                       |
|                             | 13  | Investments—other (attach schedule) . . . . .   |   |                |                       |
|                             | 14  | Land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____   |   |                |                       |
| 15                          | Other assets (describe ▶ _____)   |  237  | 249   |                |                       |
| 16                          | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)                                    | 766,440   | 733,828   | 898,682        |                       |
| Liabilities                 | 17  | Accounts payable and accrued expenses . . . . .   |   |                |                       |
|                             | 18  | Grants payable . . . . .  |   |                |                       |
|                             | 19  | Deferred revenue . . . . .  |   |                |                       |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons  |   |                |                       |
|                             | 21  | Mortgages and other notes payable (attach schedule) . . . . .   |   |                |                       |
|                             | 22  | Other liabilities (describe ▶ _____)  |   |                |                       |
|                             | 23  | Total liabilities (add lines 17 through 22) . . . . .   |   | 0              |                       |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |   |   |                |                       |
|                             | 24  | Unrestricted . . . . .  |   |                |                       |
|                             | 25  | Temporarily restricted . . . . .  |   |                |                       |
|                             | 26  | Permanently restricted . . . . .  |   |                |                       |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.   |   |   |                |                       |
|                             | 27  | Capital stock, trust principal, or current funds . . . . .  |   |                |                       |
|                             | 28  | Paid-in or capital surplus, or land, bldg , and equipment fund  |   |                |                       |
|                             | 29  | Retained earnings, accumulated income, endowment, or other funds  | 766,440   | 733,828        |                       |
|                             | 30  | Total net assets or fund balances (see page 17 of the instructions) . . . . .   | 766,440   | 733,828        |                       |
|                             | 31  | Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .  | 766,440   | 733,828        |                       |

Part III Analysis of Changes in Net Assets or Fund Balances

|   |  |   |         |
|---|--|---|---------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . . | 1 | 766,440 |
| 2 | Enter amount from Part I, line 27a . . . . .   | 2 | -32,612 |
| 3 | Other increases not included in line 2 (itemize) ▶ _____   | 3 |         |
| 4 | Add lines 1, 2, and 3 . . . . .  | 4 | 733,828 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____   | 5 |         |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .  | 6 | 733,828 |

Part IV Capital Gains and Losses for Tax on Investment Income

|   |  |   |  |                                  |
|---|--|---|--|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) |  | (b) How acquired<br>P—Purchase<br>D—Donation    | (c) Date acquired<br>(mo , day, yr )   | (d) Date sold<br>(mo , day, yr ) |
| 1a  |  |   |  |                                  |
|   |  |   |  |                                  |
|   |  |   |  |                                  |
|   |  |   |  |                                  |
|   |  |   |  |                                  |
|   |  |   |  |                                  |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable)   | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)   |                                  |
| a   |  |   |  |                                  |
| b   |  |   |  |                                  |
| c   |  |   |  |                                  |
| d   |  |   |  |                                  |
| e   |  |   |  |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                       |  |   | (i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |                                  |
| (i) F M V as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69   | (k) Excess of col (i)<br>over col (j), if any   |  |                                  |
| a   |  |   |  |                                  |
| b   |  |   |  |                                  |
| c   |  |   |  |                                  |
| d   |  |   |  |                                  |
| e   |  |   |  |                                  |
| 2   | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }  |   | 2  | 22,824                           |
| 3   | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br><br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-<br>in Part I, line 8 . . . . . } |   | 3  |                                  |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

|  |  |  |   |
|--|--|--|---|
| (a)<br>Base period years Calendar<br>year (or tax year beginning in)   | (b)<br>Adjusted qualifying distributions   | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
| 2012   | 40,000   | 770,401                                      | 0 051921  |
| 2011   | 42,000   | 799,884                                      | 0 052508  |
| 2010   | 45,000   | 823,116                                      | 0 054670  |
| 2009   | 39,853   | 701,166                                      | 0 056838  |
| 2008   | 46,000   | 973,770                                      | 0 047239  |
|  |  |  |   |
| 2  | Total of line 1, column (d). . . . .   |  | 2 0 263176  |
| 3  | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . . |  | 3 0 052635  |
| 4  | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .  |  | 4 738,586   |
| 5  | Multiply line 4 by line 3. . . . .   |  | 5 38,875  |
| 6  | Enter 1% of net investment income (1% of Part I, line 27b). . . . .  |  | 6 348   |
| 7  | Add lines 5 and 6. . . . .   |  | 7 39,223  |
| 8  | Enter qualifying distributions from Part XII, line 4. . . . .  |  | 8 49,000  |
| If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions |  |  |   |

|   |           |           |     |
|---|-----------|-----------|-----|
| <b>Part VI    Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)</b>  |           |           |     |
| <b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1   |           |           |     |
| Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)  |           |           |     |
| <b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . . |           | <b>1</b>  | 348 |
| <b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)  |           |           |     |
| <b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  |           | <b>2</b>  |     |
| <b>3</b> Add lines 1 and 2. . . . .   |           | <b>3</b>  | 348 |
| <b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)  |           | <b>4</b>  |     |
| <b>5</b> <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .   |           | <b>5</b>  | 348 |
| <b>6</b> Credits/Payments   |           |           |     |
| <b>a</b> 2013 estimated tax payments and 2012 overpayment credited to 2013  | <b>6a</b> | 597       |     |
| <b>b</b> Exempt foreign organizations—tax withheld at source . . . . .  | <b>6b</b> |           |     |
| <b>c</b> Tax paid with application for extension of time to file (Form 8868)  | <b>6c</b> |           |     |
| <b>d</b> Backup withholding erroneously withheld . . . . .  | <b>6d</b> |           |     |
| <b>7</b> Total credits and payments. Add lines 6a through 6d. . . . .   |           | <b>7</b>  | 597 |
| <b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.  |           | <b>8</b>  |     |
| <b>9</b> <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <input type="checkbox"/>                                       |           | <b>9</b>  |     |
| <b>10</b> <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . <input type="checkbox"/>                              |           | <b>10</b> | 249 |
| <b>11</b> Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> 249 <b>Refunded</b> <input type="checkbox"/>                       |           | <b>11</b> |     |

|   |  |            |           |
|---|--|------------|-----------|
| <b>Part VII-A    Statements Regarding Activities</b>  |  |            |           |
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .  |  | <b>Yes</b> | <b>No</b> |
|   | <b>1a</b>  |            | <b>No</b> |
|   | <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .<br><i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | <b>1b</b>  |           |
|   |  |            |           |
| <b>1c</b>   |  |            | <b>No</b> |
| <b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .  |  |            |           |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br><b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____   |  |            |           |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____   |  |            |           |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br><i>If "Yes," attach a detailed description of the activities.</i>   | <b>2</b>   |            | <b>No</b> |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .  | <b>3</b>   |            | <b>No</b> |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .   | <b>4a</b>  |            | <b>No</b> |
| <b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .  | <b>4b</b>  |            |           |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br><i>If "Yes," attach the statement required by General Instruction T.</i>   | <b>5</b>   |            | <b>No</b> |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . . | <b>6</b>   | <b>Yes</b> |           |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>  | <b>7</b>   | <b>Yes</b> |           |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions):<br><input type="checkbox"/> WI _____  |  |            |           |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>  | <b>8b</b>  | <b>Yes</b> |           |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?<br><i>If "Yes," complete Part XIV</i> . . . . .   | <b>9</b>   |            | <b>No</b> |
| <b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>  | <b>10</b>  |            | <b>No</b> |

Part VII-A

Statements Regarding Activities *(continued)*

|   |   |    |     |    |
|---|---|----|-----|----|
| 11  | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).  | 11 |     | No |
| 12  | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  | 12 |     | No |
| 13  | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?   | 13 | Yes |    |
| Website address ▶ NO WEBSITE  |   |    |     |    |
| 14  | The books are in care of ▶ JOHN OSTER Telephone no ▶ (414) 671-6800<br>Located at ▶ PO BOX 340035 MILWAUKEE WI ZIP +4 ▶ 53234   |    |     |    |
| 15  | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/><br>and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ | 15 |     |    |
| 16  | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?   | 16 | Yes | No |
| See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶ |   |    |     |    |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

|   |   |    |     |    |
|---|---|----|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |   |    | Yes | No |
| 1a  | During the year did the foundation (either directly or indirectly)<br>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |     |    |
| b   | If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>  | 1b |     |    |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .  | 1c |     |    |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))   |    |     |    |
| a   | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶ 20____, 20____, 20____, 20____   |    |     |    |
| b   | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .  | 2b |     |    |
| c   | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____   |    |     |    |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |    |     |    |
| b   | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .   | 3b |     |    |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | 4a |     | No |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?   | 4b |     | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

|    |  |   |    |    |
|----|--|---|----|----|
| 5a | During the year did the foundation pay or incur any amount to  |   |    |    |
|    | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |    |
|    | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |    |
|    | (3) Provide a grant to an individual for travel, study, or other similar purposes?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |    |
|    | (4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |    |
|    | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |    |
| b  | If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? |   | 5b |    |
|    | Organizations relying on a current notice regarding disaster assistance check here.  | <input checked="" type="checkbox"/>                                 |    |    |
| c  | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?   | <input type="checkbox"/> Yes <input type="checkbox"/> No            |    |    |
|    | If "Yes," attach the statement required by Regulations section 53.4945–5(d).   |   |    |    |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |    |
| b  | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?   |   | 6b | No |
|    | If "Yes" to 6b, file Form 8870.  |   |    |    |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |    |
| b  | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  |   | 7b |    |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

|  |   |   |   |                                       |
|--|---|---|---|---------------------------------------|
| 1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).                     |   |   |   |                                       |
| (a) Name and address   | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| JOHN OSTER   | TRUSTEE   | 0   | 0   | 0                                     |
| PO BOX 340035<br>MILWAUKEE, WI 53234   | 1 00  |   |   |                                       |
| JOSE M FERRER IV   | TRUSTEE   | 0   | 0   | 0                                     |
| PO BOX 340035<br>MILWAUKEE, WI 53234   | 1 00  |   |   |                                       |
| VICTORIA W BERGGREN  | TRUSTEE   | 0   | 0   | 0                                     |
| PO BOX 340035<br>MILWAUKEE, WI 53234   | 1 00  |   |   |                                       |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE." |   |   |   |                                       |
| (a) Name and address of each employee paid more than \$50,000  | (b) Title, and average hours per week devoted to position | (c) Compensation                          | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| NONE   |   |   |   |                                       |
|  |   |   |   |                                       |
|  |   |   |   |                                       |
|  |   |   |   |                                       |
|  |   |   |   |                                       |
|  |   |   |   |                                       |
|  |   |   |   |                                       |
|  |   |   |   |                                       |
|  |   |   |   |                                       |
| Total number of other employees paid over \$50,000.  |   |   |   |                                       |

## Part VIII

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

NONE

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

## Part IX-A

**1**

2

3

4

## Part IX-B

**1 N/A**

2

All other program-related investments See page 24 of the instructions

3

**Total.** Add lines 1 through 3 . . . . .



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |  |    |         |
|---|--|----|---------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes         |    |         |
| a | Average monthly fair market value of securities. . . . .   | 1a | 616,340 |
| b | Average of monthly cash balances. . . . .  | 1b | 133,493 |
| c | Fair market value of all other assets (see instructions). . . . .  | 1c | 0       |
| d | Total (add lines 1a, b, and c). . . . .  | 1d | 749,833 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . . | 1e |         |
| 2 | Acquisition indebtedness applicable to line 1 assets. . . . .  | 2  |         |
| 3 | Subtract line 2 from line 1d. . . . .  | 3  | 749,833 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .  | 4  | 11,247  |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4                | 5  | 738,586 |
| 6 | Minimum investment return. Enter 5% of line 5. . . . .   | 6  | 36,929  |

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |   |    |        |
|----|---|----|--------|
| 1  | Minimum investment return from Part X, line 6. . . . .  | 1  | 36,929 |
| 2a | Tax on investment income for 2013 from Part VI, line 5. . . . .   | 2a | 348    |
| b  | Income tax for 2013 (This does not include the tax from Part VI ). . . . .                                | 2b |        |
| c  | Add lines 2a and 2b. . . . .  | 2c | 348    |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1. . . . .                             | 3  | 36,581 |
| 4  | Recoveries of amounts treated as qualifying distributions. . . . .  | 4  |        |
| 5  | Add lines 3 and 4. . . . .  | 5  | 36,581 |
| 6  | Deduction from distributable amount (see instructions). . . . .   | 6  |        |
| 7  | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . . | 7  | 36,581 |

Part XII

Qualifying Distributions (see instructions)

|  |  |    |        |
|--|--|----|--------|
| 1  | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes  |    |        |
| a  | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .   | 1a | 49,000 |
| b  | Program-related investments—total from Part IX-B. . . . .  | 1b |        |
| 2  | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .   | 2  |        |
| 3  | Amounts set aside for specific charitable projects that satisfy the  |    |        |
| a  | Suitability test (prior IRS approval required). . . . .  | 3a |        |
| b  | Cash distribution test (attach the required schedule). . . . .   | 3b |        |
| 4  | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4  | 4  | 49,000 |
| 5  | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . . | 5  | 348    |
| 6  | Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .  | 6  | 48,652 |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years |  |    |        |

Part XIII

Undistributed Income (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7  |               |                            |             | 36,581      |
| 2 Undistributed income, if any, as of the end of 2013   |               |                            |             |             |
| a Enter amount for 2012 only. . . . .   |               |                            |             |             |
| b Total for prior years 20____, 20____, 20____  |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2013   |               |                            |             |             |
| a From 2008. . . . .  |               |                            |             |             |
| b From 2009. . . . .  | 5,089         |                            |             |             |
| c From 2010. . . . .  | 4,446         |                            |             |             |
| d From 2011. . . . .  | 2,821         |                            |             |             |
| e From 2012. . . . .  | 2,063         |                            |             |             |
| f Total of lines 3a through e. . . . .  | 14,419        |                            |             |             |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 49,000   |               |                            |             |             |
| a Applied to 2012, but not more than line 2a  |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . .  |               |                            |             |             |
| c Treated as distributions out of corpus (Election required—see instructions). . . . .  |               |                            |             |             |
| d Applied to 2013 distributable amount. . . . .   |               |                            |             | 36,581      |
| e Remaining amount distributed out of corpus  | 12,419        |                            |             |             |
| 5 Excess distributions carryover applied to 2013<br>(If an amount appears in column (d), the same amount must be shown in column (a).)  |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:  |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5   | 26,838        |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b. . . . .  |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               |                            |             |             |
| d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .   |               |                            |             |             |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .   |               |                            |             |             |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .   |               |                            |             | 0           |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .                                  |               |                            |             |             |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .  |               |                            |             |             |
| 9 Excess distributions carryover to 2014.<br>Subtract lines 7 and 8 from line 6a . . . . .  | 26,838        |                            |             |             |
| 10 Analysis of line 9   |               |                            |             |             |
| a Excess from 2009. . . .   | 5,089         |                            |             |             |
| b Excess from 2010. . . .   | 4,446         |                            |             |             |
| c Excess from 2011. . . .   | 2,821         |                            |             |             |
| d Excess from 2012. . . .   | 2,063         |                            |             |             |
| e Excess from 2013. . . .   | 12,419        |                            |             |             |

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

|   | Tax year | Prior 3 years |          |          | (e) Total |
|---|----------|---------------|----------|----------|-----------|
|   | (a) 2013 | (b) 2012      | (c) 2011 | (d) 2010 |           |
| <b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |          |               |          |          |           |
| <b>b</b> 85% of line 2a . . . . .   |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .  |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .  |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities<br>Subtract line 2d from line 2c . . . . .                                |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon  |          |               |          |          |           |
| <b>a</b> "Assets" alternative test—enter  |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .  |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)  |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .                               |          |               |          |          |           |
| <b>c</b> "Support" alternative test—enter   |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                      |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization  |          |               |          |          |           |
| <b>(4)</b> Gross investment income  |          |               |          |          |           |

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business)                 |   |                                |                                  |        |
| a Paid during the year<br>See Additional Data Table |   |                                |                                  |        |
| Total . . . . .                                     |   |                                | 3a                               | 49,000 |
| b Approved for future payment                       |   |                                |                                  |        |
| Total . . . . .                                     |   |                                | 3b                               |        |


Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business)   |   |                                |                                  |        |
| <b>a</b> Paid during the year   |   |                                |                                  |        |
| AMERICAN HEART ASSN<br>AMERICAN HEART ASSN 660 E<br>MASON ST STE 200<br>660 E MASON ST<br>MILWAUKEE,WI 53202                      | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 1,000  |
| AMERICAN LUNG ASSN<br>AMERICAN LUNG ASSN 13100 W<br>LISBON RDSTE 700<br>13100 W LISBON RD<br>BROOKFIELD,WI 530052508              | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 500    |
| ARTHRITIS FOUNDATION<br>ARTHRITIS FOUNDATION 1650 S<br>108TH ST<br>1650 S 108TH ST<br>WEST ALLIS,WI 532144021                     | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 500    |
| BLOOD CENTER RESEARCH FDN<br>BLOOD CENTER RESEARCH FDN<br>PO BOX 2178<br>PO BOX 2178<br>MILWAUKEE,WI 532012178                    | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 2,000  |
| VISION FORWARD ASSOC<br>VISION FORWARD ASSOC 5600 W<br>BROWN DEER RDSTE4<br>5600 W BROWN DEER RD<br>MILWAUKEE,WI 532232346        | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 500    |
| CHILDREN'S HOSPITAL FDN<br>CHILDREN'S HOSPITAL FDN MS<br>3050 PO BOX 1997<br>MS 3050 PO BOX 1997<br>MILWAUKEE,WI 532011997        | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 1,000  |
| COLUMBIA ST MARYS FDN<br>COLUMBIA ST MARYS FDN 4425 N<br>PORT WASHINGTON RD<br>4425 N PORT WASHINGTON<br>GLENDALE,WI 53212        | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 2,500  |
| CURATIVE FOUNDATION<br>CURATIVE FOUNDATION 1000 N<br>92ND ST<br>1000 N 92ND ST<br>MILWAUKEE,WI 532264800                          | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 1,000  |
| CURE ALZHEIMERS FUND<br>CURE ALZHEIMERS FUND 34<br>WASHINGTON ST STE 300<br>34 WASHINGTON ST<br>WELLESLEY HILLS,MA 024811903      | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 1,500  |
| LAKE WALES CARE CENTER<br>LAKE WALES CARE CENTER 140<br>EAST PARK AVE<br>140 EAST PARK AVE<br>LAKE WALES,FL 338534124             | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 2,000  |
| MEDICAL COLLEGE OF WI<br>MEDICAL COLLEGE OF WISCONSIN<br>PO BOX 26509<br>PO BOX 26509<br>MILWAUKEE,WI 532260509                   | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 1,500  |
| PREVENT BLINDNESS WI INC<br>PREVENT BLINDNESS WI 759 N<br>MILWAUKEE ST STE305<br>759 N MILWAUKEE ST<br>MILWAUKEE,WI 53202         | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 500    |
| ST FRANCIS CHILDRENS CTR<br>ST FRANCIS CHILDRENS CTR 6700<br>N PORT WASHINGTON RD<br>6700 N PORT WASHINGTON<br>MILWAUKEE,WI 53217 | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 500    |
| ST LUKES FOUNDATION<br>ST LUKES FOUNDATION PO BOX<br>2901<br>PO BOX 2901<br>MILWAUKEE,WI 532012901                                | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 1,000  |
| EVANS SCHOLARS FOUNDATION<br>EVANS SCHOLARS FOUNDATION 1<br>BRIAR RD<br>1 BRIAR RD<br>GOLF,IL 60029                               | NONE  | 501(C)(3)                      | EDUCATION                        | 1,000  |
| <b>Total . . . . .</b>  <b>3a</b>            |   |                                |                                  | 49,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business)  |   |                                |                                  |        |
| <b>a</b> Paid during the year  |   |                                |                                  |        |
| GOODWILL INDUSTRIES SE WI<br>GOODWILL INDUSTRIES SE<br>WISCONSIN PO BOX 250973<br>PO BOX 250973<br>MILWAUKEE, WI 532256518       | NONE  | 501(C)(3)                      | EDUCATION                        | 500    |
| MILWAUKEE SCH OF ENGINEER<br>MILWAUKEE SCH OF ENGINEERING<br>PO BOX 514058<br>PO BOX 514058<br>MILWAUKEE, WI 532039758           | NONE  | 501(C)(3)                      | EDUCATION                        | 1,000  |
| NOTRE DAME MIDDLE SCHOOL<br>NORTE DAME MIDDLE SCHOOL<br>1420 W SCOTT ST<br>1420 W SCOTT ST<br>MILWAUKEE, WI 532042269            | NONE  | 501(C)(3)                      | EDUCATION                        | 500    |
| PARTNERS ADV VALUES IN ED<br>PARTNERS ADV VALUES IN ED 135<br>W WELLS ST STE 850<br>135 W WELLS ST<br>MILWAUKEE, WI 53203        | NONE  | 501(C)(3)                      | EDUCATION                        | 1,000  |
| THE COTTAGE 1886 FDN<br>THE COTTAGE 1886 FDN PO BOX<br>593<br>PO BOX 593<br>PRINCETON, NJ 085420593                              | NONE  | 501(C)(3)                      | EDUCATION                        | 2,500  |
| WI COUNCIL ON ECON EDU<br>WI COUNCIL ON ECON EDU 7635W<br>BLUEMOUND RDSTE106<br>7635 W BLUEMOUND RD<br>MILWAUKEE, WI 53213       | NONE  | 501(C)(3)                      | EDUCATION                        | 500    |
| WI ASSN OF IND COLLEGES<br>WI ASSN OF IND COLLEGES 4425 N<br>PORT WASHINGTON RD<br>4425 N PORT WASHINGTON<br>MILWAUKEE, WI 53212 | NONE  | 501(C)(3)                      | EDUCATION                        | 500    |
| BONEFISH & TARPON TRUST<br>BONEFISH & TARPON TRUST 24<br>DOCKSIDE LANE<br>24 DOCKSIDE LANE<br>KEY LARGO, FL 330375267            | NONE  | 501(C)(3)                      | SCIENCE RESEARCH                 | 2,500  |
| BOYS & GIRLS CLUB<br>BOYS & GIRLS CLUB PO BOX 12486<br>PO BOX 12486<br>MILWAUKEE, WI 53212                                       | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| BOY SCOUTS OF AMERICA<br>BOY SCOUTS OF AMERICA 330 S<br>84TH ST<br>330 S 84TH ST<br>MILWAUKEE, WI 532141468                      | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 1,000  |
| FRIENDS MILWAUKEE PUB MUS<br>FRIENDS MILWAUKEE PUB MUS<br>800 W WELLS ST<br>800 W WELLS ST<br>MILWAUKEE, WI 532331478            | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| FRIENDS SCHLITZ AUDUBON C<br>FRIENDS SCHLITZ AUDUBON 1111<br>E BROWN DEER RD<br>1111 E BROWN DEER RD<br>MILWAUKEE, WI 53217      | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| GIRL SCOUTS OF MILWAUKEE<br>GIRL SCOUTS OF MILWAUKEE 131<br>S 69TH ST<br>131 S 69TH ST<br>MILWAUKEE, WI 532140999                | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| HERITAGE FOUNDATION<br>HERITAGE FOUNDATION 214<br>MASSACHSETTS AVE NE<br>214 MASSACHUSETTS AVE<br>WASHINGTON, DC 20002           | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| JUNIOR ACHIEVEMENT<br>JUNIOR ACHEIVEMENT 6924 N<br>PORT WASHINGTON RD<br>6924 N PORT WASHINGTON<br>MILWAUKEE, WI 532173995       | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| <b>Total . . . . . ▶ 3a</b>  |   |                                |                                  | 49,000 |



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business)  |   |                                |                                  |        |
| <b>a</b> Paid during the year  |   |                                |                                  |        |
| MILWAUKEE PUB LIBRARY FDN<br>MILWAUKEE PUB LIBRARY FDN 814 W WISCONSIN AVE<br>814 W WISCONSIN AVE<br>MILWAUKEE, WI 53233         | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| MOUNTAIN LAKE COM SERVICE<br>MOUNTAIN LAKE COMMUNITY SERVICE PO BOX 832<br>PO BOX 832<br>LAKE WALES, FL 338590832                | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 2,500  |
| NATL RIGHT WORK LEGAL DEF<br>NATL RIGHT WORK LEGAL 8001 BRADDOCK RD STE 600<br>8001 BRADDOCK RD<br>SPRINGFIELD, VA 22160         | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| PLANNED PARENTHOOD<br>PLANNED PARENTHOOD 302 N JACKSON ST<br>302 N JACKSON ST<br>MILWAUKEE, WI 53202                             | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| RAWHIDE BOYS RANCH<br>RAWHIDE BOYS RANCH 7475 RAWHIDE RD<br>7475 RAWHIDE RD<br>NEW LONDON, WI 549619052                          | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| SALVATION ARMY<br>SALVATION ARMY PO BOX 26019<br>PO BOX 26019<br>WAWAUTOSA, WI 532260019   | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| UNITED PERFORMING ARTS FU<br>UNITED PERFORMING ARTS 929 N WATER ST<br>929 N WATER ST<br>MILWAUKEE, WI 532023187                  | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| UNITED WAY OF GREATER MIL<br>UNITED WAY OF GREATER MILWAUKEE 225 W VINE ST<br>225 W VINE ST<br>MILWAUKEE, WI 53212               | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 1,500  |
| WMC FOUNDATION<br>WMC FOUNDATION 501 E WASHINGTON AVE<br>501 E WASHINGTON AVE<br>MADISON, WI 537032914                           | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| WISCONSIN 4-H FOUNDATION<br>WISCONSIN 4-H FOUNDATION 610 LANGDON ST<br>610 LANGDON ST<br>MADISON, WI 537031195                   | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| WI POLICY RESEARCH INSTIT<br>WI POLICY RESEARCH INSTITUTE PO BOX 487<br>PO BOX 487<br>THIENSVILLE, WI 53092                      | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 2,000  |
| WI TAXPAYERS ALLIANCE<br>WI TAXPAYERS ALLIANCE 401 N LAWN AVE<br>401 N LAWN AVE<br>MADISON, WI 537045033                         | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| YMCA OF METRO MILWAUKEE<br>YMCA OF METRO MILWAUKEE 161 W WISCONSIN AVE<br>161 W WISCONSIN AVE<br>MILWAUKEE, WI 532032601         | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| ZOOLOGICAL SOCIETY OF MIL<br>ZOOLOGICAL SOCIETY OF MILWAUKEE 10005 W BLUEMOUND RD<br>10005 W BLUEMOUND RD<br>MILWAUKEE, WI 53226 | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 1,000  |
| BOK TOWER GARDENS<br>BOK TOWER GARDENS 1151 TOWER BLVD<br>1151 TOWER BLVD<br>LAKE WALES, FL 33853                                | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 3,000  |
| <b>Total . . . . .</b>  <b>3a</b>           |   |                                |                                  | 49,000 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business)  |   |                                |                                  |        |
| <b>a</b> Paid during the year  |   |                                |                                  |        |
| MILWAUKEE COLLEGE PREP SCHOOL<br>MILWAUKEE COLLEGE PREP SCHOOL 2449 N 36TH ST<br>2449 N 36TH ST<br>MILWAUKEE, WI 53210       | NONE  | 501(C)(3)                      | EDUCATION                        | 500    |
| LAKE WALES CHARTER SCHOOL<br>LAKE WALES CHARTER SCHOOL 130 EAST CENTRAL AVE<br>130 E CENTRAL AVE<br>LAKE WALES, FL 33853     | NONE  | 501(C)(3)                      | EDUCATION                        | 1,000  |
| WINTER HAVEN HOSPITAL FOUNDATION<br>WINTER HAVEN HOSPITAL FOUNDATION 200 AVE F NE<br>200 AVE F NE<br>WINTER HAVEN, FL 33881  | NONE  | 501(C)(3)                      | MAINTENANCE OF HEALTH            | 1,000  |
| ALLIANCE FOR THE GREAT LAKES<br>ALLIANCE FOR THE GREAT LAKES 1845 N FARWELL AVE<br>1845 N FARWELL AVE<br>MILWAUKEE, WI 53202 | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 500    |
| WUWM FRIENDS<br>WUWM FRIENDS 111 E WISCONSIN AVE<br>111 E WISCONSIN AVE<br>MILWAUKEE, WI 53202                               | NONE  | 501(C)(3)                      | CIVIC ENHANCEMENT                | 1,000  |
| <b>Total . . . . .</b>  <b>3a</b>       |   |                                |                                  | 49,000 |

## TY 2013 Accounting Fees Schedule

**Name:** JOHN OSTER FAMILY FOUNDATION INC

**EIN:** 39-6057530

| Category                    | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|-----------------------------|--------|--------------------------|------------------------|---|
| INDIRECT ACCOUNTING<br>FEES | 1,375  |                          |                        |   |

## TY 2013 Compensation Explanation

**Name:** JOHN OSTER FAMILY FOUNDATION INC

**EIN:** 39-6057530

| Person Name         | Explanation |
|---------------------|-------------|
| JOHN OSTER          |             |
| JOSE M FERRER IV    |             |
| VICTORIA W BERGGREN |             |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: JOHN OSTER FAMILY FOUNDATION INC

EIN: 39-6057530

| Name                 | Date Acquired | How Acquired | Date Sold | Purchaser Name | Gross Sales Price | Basis   | Basis Method | Sales Expenses | Total (net) | Accumulated Depreciation |
|----------------------|---------------|--------------|-----------|----------------|-------------------|---------|--------------|----------------|-------------|--------------------------|
| BOND- SOUTH CAROLINA | 2007-09       | PURCHASE     | 2013-12   |                | 78,491            | 100,007 |              |                | -21,516     |                          |
| SCHEDULE             | 2012-06       | PURCHASE     | 2013-06   |                | 3,365             | 3,314   |              |                | 51          |                          |
| SCHEDULE             | 2010-04       | PURCHASE     | 2013-06   |                | 56,849            | 51,646  |              |                | 5,203       |                          |
| PNC ULTRA SHORT BOND | 2009-12       | PURCHASE     | 2013-06   |                | 29,469            | 29,875  |              |                | -406        |                          |

**TY 2013 Investments Corporate  
Bonds Schedule**

**Name:** JOHN OSTER FAMILY FOUNDATION INC  
**EIN:** 39-6057530

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|--------------|------------------------|-------------------------------|
| FIXED        | 181,838                | 179,775                       |

# TY 2013 Investments Corporate Stock Schedule

**Name:** JOHN OSTER FAMILY FOUNDATION INC

**EIN:** 39-6057530

| Name of Stock | End of Year Book<br>Value | End of Year Fair<br>Market Value |
|---------------|---------------------------|----------------------------------|
| EQUITY        | 307,456                   | 452,893                          |
| MIXED         | 45,472                    | 67,204                           |

TY 2013 Other Assets Schedule

**Name:** JOHN OSTER FAMILY FOUNDATION INC

**EIN:** 39-6057530

| Description        | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|--------------------|--------------------------------|--------------------------|---------------------------------|
| PREPAID EXCISE TAX | 237                            | 249                      |                                 |



## TY 2013 Other Expenses Schedule

**Name:** JOHN OSTER FAMILY FOUNDATION INC

**EIN:** 39-6057530

| Description             | Revenue and Expenses<br>per Books | Net Investment<br>Income | Adjusted Net Income | Disbursements for<br>Charitable Purposes |
|-------------------------|-----------------------------------|--------------------------|---------------------|--|
| EXPENSES                |                                   |                          |                     |  |
| STATE ANNUAL REPORT FEE | 10                                |                          |                     |  |

## TY 2013 Other Professional Fees Schedule

**Name:** JOHN OSTER FAMILY FOUNDATION INC

**EIN:** 39-6057530

| Category      | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|---------------|--------|--------------------------|------------------------|---|
| ADVISORY FEES | 1,853  | 1,853                    |                        |   |

TY 2013 Taxes Schedule

**Name:** JOHN OSTER FAMILY FOUNDATION INC

**EIN:** 39-6057530

| Category   | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|------------|--------|--------------------------|------------------------|---|
| EXCISE TAX | 348    |                          |                        |   |



## ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

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### SCHEDULE OF REALIZED GAINS AND LOSSES

|                             | PRIOR PERIOD | THIS PERIOD | ADJUSTMENTS | THIS YEAR  |
|-----------------------------|--------------|-------------|-------------|------------|
| Total Realized Gain or Loss | 4,847.96     | -21,515.67  | 0.00        | -16,667.71 |
| Short-term                  | 0.00         | 0.00        | 0.00        | 0.00       |
| Long-term                   | 4,847.96     | -21,515.67  | 0.00        | -16,667.71 |

| QUANTITY  | DESCRIPTION                             | SYMBOL | OPEN DATE | NET COST  | CLOSE DATE | NET PROCEEDS | REALIZED GAIN/LOSS |
|-----------|---|--------|-----------|-----------|------------|--------------|--------------------|
| Long Term |   |        |           |           |            |              |                    |
| 3,293.179 | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 04/05/10  | 45,518.00 | 06/11/13   | 50,279.32    | 4,761.32 V         |
| 16.252    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 04/29/10  | 226.84    | 06/11/13   | 248.14       | 21.30 V            |
| 15.405    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 05/28/10  | 206.36    | 06/11/13   | 235.21       | 28.85 V            |
| 15.016    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 06/24/10  | 204.31    | 06/11/13   | 229.27       | 24.96 V            |
| 16.723    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 07/29/10  | 231.40    | 06/11/13   | 255.33       | 23.93 V            |
| 14.982    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 08/26/10  | 207.61    | 06/11/13   | 228.75       | 21.14 V            |
| 14.998    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 09/23/10  | 211.59    | 06/11/13   | 228.99       | 17.40 V            |
| 14.331    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 10/28/10  | 206.07    | 06/11/13   | 218.80       | 12.73 V            |
| 14.911    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 11/26/10  | 211.72    | 06/11/13   | 227.67       | 15.95 V            |
| 22.172    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 12/13/10  | 312.80    | 06/11/13   | 338.52       | 25.72 V            |
| 14.733    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 01/27/11  | 212.30    | 06/11/13   | 224.95       | 12.65 V            |
| 14.376    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 02/24/11  | 208.01    | 06/11/13   | 219.49       | 11.48 V            |
| 14.982    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 03/23/11  | 217.23    | 06/11/13   | 228.75       | 11.52 V            |
| 13.759    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 04/27/11  | 204.89    | 06/11/13   | 210.08       | 5.19 V             |
| 14.671    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 05/25/11  | 218.33    | 06/11/13   | 224.01       | 5.68 V             |
| 13.941    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 06/22/11  | 205.50    | 06/11/13   | 212.86       | 7.36 V             |



JOHN OSTER FAM FOUNDATION INC  
ATTN JOHN OSTER III

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| QUANTITY  | DESCRIPTION                             | SYMBOL | OPEN DATE | NET COST | CLOSE DATE | NET PROCEEDS | REALIZED GAIN/LOSS |
|-----------|---|--------|-----------|----------|------------|--------------|--------------------|
| Long Term |   |        |           |          |            |              |                    |
| 14.074    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 07/27/11  | 210.56   | 06/11/13   | 214.88       | 4.32 V             |
| 15.368    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 08/24/11  | 222.06   | 06/11/13   | 234.65       | 12.59 V            |
| 14.075    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 09/21/11  | 201.26   | 06/11/13   | 214.90       | 13.64 V            |
| 14.869    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 10/26/11  | 212.45   | 06/11/13   | 227.02       | 14.57 V            |
| 16.878    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 11/23/11  | 234.56   | 06/11/13   | 257.70       | 23.14 V            |
| 44.976    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 12/15/11  | 617.35   | 06/11/13   | 686.69       | 69.34 V            |
| 12.114    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 01/24/12  | 173.10   | 06/11/13   | 184.96       | 11.86 V            |
| 14.818    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 02/23/12  | 215.90   | 06/11/13   | 226.24       | 10.34 V            |
| 14.968    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 03/26/12  | 218.99   | 06/11/13   | 228.54       | 9.55 V             |
| 15.554    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 04/24/12  | 227.09   | 06/11/13   | 237.47       | 10.38 V            |
| 16.474    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 05/24/12  | 235.09   | 06/11/13   | 251.52       | 16.43 V            |
| 15.572    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 06/25/12  | 224.23   | 06/11/13   | 237.75       | 13.52 V            |
| 16.262    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 07/24/12  | 235.63   | 06/11/13   | 248.28       | 12.65 V            |
| 17.033    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 08/24/12  | 250.90   | 06/11/13   | 260.05       | 9.15 V             |
| 13.868    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 09/24/12  | 208.72   | 06/11/13   | 211.73       | 3.01 V             |
| 16.044    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 10/23/12  | 241.63   | 06/11/13   | 244.96       | 3.33 V             |
| 15.098    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 11/21/12  | 225.56   | 06/11/13   | 230.51       | 4.95 V             |
| 50.900    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 12/18/12  | 767.07   | 06/11/13   | 777.13       | 10.06 V            |
| 13.617    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 01/29/13  | 208.62   | 06/11/13   | 207.90       | -0.72 V            |
| 15.160    | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL | LSBDX  | 02/26/13  | 229.52   | 06/11/13   | 231.46       | 1.94 V             |



## ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

Account number  
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| QUANTITY                           | DESCRIPTION  | SYMBOL    | OPEN DATE | NET COST   | CLOSE DATE | NET PROCEEDS | REALIZED GAIN/LOSS |
|------------------------------------|--|-----------|-----------|------------|------------|--------------|--------------------|
| <b>Long Term</b>                   |  |           |           |            |            |              |                    |
| 15 070                             | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL                          | LSBDX     | 03/27/13  | 230 42     | 06/11/13   | 230 08       | -0 34 V            |
| 14 687                             | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL                          | LSBDX     | 04/26/13  | 227 79     | 06/11/13   | 224 24       | -3.55 V            |
| 17 065                             | LOOMIS SAYLES INVT TR<br>BD FD INSTL CL                          | LSBDX     | 05/29/13  | 264 34     | 06/11/13   | 260 54       | -3.80 V            |
| 2,956.686                          | PNC ULTRA SHORT BOND<br>CLASS I                                  | PNCIX     | 12/01/09  | 29,874 74  | 06/11/13   | 29,469 16    | -405.58 V          |
| 100,000 000                        | SOUTH CAROLINA STUDENT LN CORP<br>ARS-STUDENT LN BKD NTS-SER A-1 | 83715AAA7 | 09/28/07  | 100,006.67 | 12/24/13   | 78,491 00    | -21,515 67 V       |
| <b>Long Term Subtotal</b>          |  |           |           |            |            |              | <b>-16,667.71</b>  |
| <b>TOTAL REALIZED GAIN OR LOSS</b> |  |           |           |            |            |              | <b>-16,667.71</b>  |

V - Cost basis for this tax lot was determined by specific lot identification methodology, based on instructions you provided or by your standing orders on file at the time of the trade

## MONEY MARKET DETAIL

\* Transaction details are only provided for money market funds that are set up for automated sweep

### US GOVT MONEY MARKET FUND RBC SELECT CLASS

| DATE     | DESCRIPTION                  | AMOUNT       | DATE     | DESCRIPTION       | AMOUNT       |
|----------|------------------------------|--------------|----------|-------------------|--------------|
| 12/01/13 | BALANCE FORWARD              | \$117,620.21 | 12/23/13 | PURCHASE          | 348 87       |
| 12/30/13 | PURCHASE                     | 79,415 92    | 12/31/13 | DIVIDEND REINVEST | 1.16         |
| 12/31/13 | SAME DAY, SEE DISCLOSURE PG2 | -4,906.24    | 12/31/13 | ENDING BALANCE    | \$192,479 92 |

INCOME FROM DECEMBER 1, 2013 - DECEMBER 31, 2013: \$1.16