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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation RUTH ST JOHN & JOHN DUNHAM WEST FOUNDATION, INC.		A Employer identification number 39-6056375
Number and street (or P.O. box number if mail is not delivered to street address) 915 MEMORIAL DRIVE	Room/suite	B Telephone number 920-684-6110
City or town, state or province, country, and ZIP or foreign postal code MANITOWOC, WI 54220		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 63,379,920.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		1,500,000.		N/A	
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		592.	592.		STATEMENT 1
4 Dividends and interest from securities		1,335,613.	1,193,403.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		6,424,749.			
b Gross sales price for all assets on line 6a 29,128,192.					
7 Capital gain net income (from Part IV, line 2)			6,424,749.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-184,858.	0.		STATEMENT 2
12 Total. Add lines 1 through 11		9,076,096.	7,618,744.		
13 Compensation of officers, directors, trustees, etc		190,053.	38,011.		152,042.
14 Other employee salaries and wages		188,501.	1,228.		187,273.
15 Pension plans, employee benefits		8,885.	651.		8,234.
16a Legal fees					
b Accounting fees STMT 3		22,425.	4,485.		17,940.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		253,864.	2,139.		35,296.
19 Depreciation and depletion		54,616.	4,454.		
20 Occupancy		24,822.	2,824.		21,998.
21 Travel, conferences, and meetings		1,427.	1,427.		0.
22 Printing and publications		3,411.	682.		2,729.
23 Other expenses STMT 5		541,277.	319,381.		221,896.
24 Total operating and administrative expenses. Add lines 13 through 23		1,289,281.	375,282.		647,408.
25 Contributions, gifts, grants paid		3,045,612.			3,202,412.
26 Total expenses and disbursements. Add lines 24 and 25		4,334,893.	375,282.		3,849,820.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		4,741,203.			
b Net investment income (if negative, enter -0-)			7,243,462.		
c Adjusted net income (if negative, enter -0-)				N/A	

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FOUNDATION, INC.**

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

	Beginning of year (a) Book Value	End of year	
		(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	176,044.	951,833.	951,833.
3 Accounts receivable ▶ 100,000.			
Less: allowance for doubtful accounts ▶		100,000.	100,000.
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock	49,415,674.		
c Investments - corporate bonds	12,777.		
11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 8	230,574.	61,559,541.	61,559,541.
14 Land, buildings, and equipment: basis ▶ 1,595,273.			
Less: accumulated depreciation ▶ 926,982.	687,888.	668,291.	668,291.
15 Other assets (describe ▶ STATEMENT 9)	174,276.	100,255.	100,255.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	50,697,233.	63,379,920.	63,379,920.
Liabilities			
17 Accounts payable and accrued expenses	-3,095.	426.	
18 Grants payable	105,000.	148,200.	
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶ STATEMENT 10)	118,010.	214,612.	
23 Total liabilities (add lines 17 through 22)	219,915.	363,238.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31			
24 Unrestricted	50,037,268.	62,879,211.	
25 Temporarily restricted	20,327.	6,021.	
26 Permanently restricted	419,723.	131,450.	
Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	50,477,318.	63,016,682.	
31 Total liabilities and net assets/fund balances	50,697,233.	63,379,920.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	50,477,318.
2 Enter amount from Part I, line 27a	4,741,203.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	7,844,492.
4 Add lines 1, 2, and 3	63,063,013.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	46,331.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	63,016,682.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE AINTREE SUMMARY	P		
b	SEE AINTREE SUMMARY	P		
c	LEGACY FINANCIAL	P		
d	EQUIPMENT	P		
e	ENBRIDGE ENERGY PARTNERS LP	P	01/01/96	11/18/13
f	FORM K-1 CAPITAL LOSS	P		
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,760,466.		11,106,778.	653,688.
b 17,279,200.		11,594,208.	5,684,992.
c 42,195.			42,195.
d	15,611.	15,736.	-125.
e 46,331.			46,331.
f		2,332.	-2,332.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			653,688.
b			5,684,992.
c			42,195.
d			-125.
e			46,331.
f			-2,332.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	6,424,749.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 29,128,192.	15,611.	22,719,054.	6,424,749.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			6,424,749.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	6,424,749.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	144,869.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	144,869.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	144,869.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	134,467.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	134,467.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	95.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	10,497.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>WI</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **N/A**

14 The books are in care of **MR. THOMAS J. BARE** Telephone no. **920-684-6110**
 Located at **915 MEMORIAL DRIVE, MANITOWOC, WI** ZIP+4 **54220**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **15** **N/A**

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** **Yes** **No**
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country **X**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years N/A		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		190,053.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

(continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 WEST OF THE LAKE GARDENS - SEE ATTACHED	366,941.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	50,965,010.
b Average of monthly cash balances	1b	332,766.
c Fair market value of all other assets	1c	111,106.
d Total (add lines 1a, b, and c)	1d	51,408,882.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	51,408,882.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	771,133.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	50,637,749.
6 Minimum investment return. Enter 5% of line 5	6	2,531,887.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	2,531,887.
2a Tax on investment income for 2013 from Part VI, line 5	2a	144,869.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	144,869.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	2,387,018.
4 Recoveries of amounts treated as qualifying distributions	4	200,000.
5 Add lines 3 and 4	5	2,587,018.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,587,018.

Part XII

Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,849,820.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	21,564.
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,871,384.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,871,384.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

RUTH ST JOHN & JOHN DUNHAM WEST
FOUNDATION, INC.

Form 990-PF (2013)

39-6056375 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,587,018.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			188,333.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 3,871,384.				
a Applied to 2012, but not more than line 2a			188,333.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				2,587,018.
e Remaining amount distributed out of corpus	1,096,033.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,096,033.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	1,096,033.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013	1,096,033.			

Form 990-PF (2013)

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

323621
10-10-13

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

RUTH ST JOHN & JOHN DUNHAM WEST
FOUNDATION, INC.

Employer identification number

39-6056375

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

RUTH ST JOHN & JOHN DUNHAM WEST
FOUNDATION, INC.

Employer identification number

39-6056375

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOSEPH GIEDEMANN TRUST 915 MEMORIAL DRIVE MANITOWOC, WI 54220	\$ 1,500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

RUTH ST JOHN & JOHN DUNHAM WEST
FOUNDATION, INC.

Employer identification number

39-6056375

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

**RUTH ST JOHN & JOHN DUNHAM WEST
FOUNDATION, INC.**

Employer identification number

39-6056375**Part III**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FIRST NATIONAL BANK	592.	592.	
TOTAL TO PART I, LINE 3	592.	592.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BUCKEYE PARTNERS LP	10,520.	0.	
KINDER MORGAN ENERGY LP	-96,753.	0.	
ENBRIDGE ENERGY PTR LP	-100,851.	0.	
OTHER INCOME	2,226.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-184,858.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A)- EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	22,425.	4,485.		17,940.
TO FORM 990-PF, PG 1, LN 16B	22,425.	4,485.		17,940.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL TAX	10,696.	2,139.		8,557.	
PYROLL TAX - GARDENS	26,739.	0.		26,739.	
UNRELATED BUSINESS TAX	-13,645.	0.		0.	
FEDERAL EXCISE TAX	230,074.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	253,864.	2,139.		35,296.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	38,644.	7,729.		30,915.	
INVESTMENT FEES	298,430.	298,430.		0.	
MISCELLANEOUS EXPENSE	3,673.	735.		2,938.	
REPAIRS	13,154.	2,631.		10,523.	
CONSULTING	49,282.	9,856.		39,426.	
GARDENS - PLANTS, SHRUBS,....	57,813.	0.		57,813.	
GARDENS - MAINTENANCE & REPAIRS	19,321.	0.		19,321.	
GARDENS - INSURANCE	53,379.	0.		53,379.	
GARDENS - SUPPLIES	7,235.	0.		7,235.	
GARDENS - TRAVEL, TRAINING,....	346.	0.		346.	
TO FORM 990-PF, PG 1, LN 23	541,277.	319,381.		221,896.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
DESCRIPTION		AMOUNT	
INCREASE IN UNREALIZED APPRECIATION OF SECURITIES		7,661,416.	
UNRELATED BUSINESS TAXABLE INCOME (LOSS) FROM PTPS		183,076.	
TOTAL TO FORM 990-PF, PART III, LINE 3		7,844,492.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
DESCRIPTION		AMOUNT	
ADDITIONAL TAXABLE INCOME ON SALE OF INVESTMENTS IN EXCESS OF BOOK		46,331.	
TOTAL TO FORM 990-PF, PART III, LINE 5		46,331.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AINTREE - SHORT TERM	FMV	61,559,541.	61,559,541.
TOTAL TO FORM 990-PF, PART II, LINE 13		61,559,541.	61,559,541.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED DIVIDENDS AND INTEREST	28,150.	68,600.	68,600.
PREPAID EXPENSE	25,284.	18,630.	18,630.
PREPAID INCOME TAX	120,842.	5,879.	5,879.
PREPAID SALARY	0.	3,146.	3,146.
PREPAID DIRECTOR FEES	0.	4,000.	4,000.
TO FORM 990-PF, PART II, LINE 15	174,276.	100,255.	100,255.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
DEFERRED EXCISE TAX	101,755.	178,998.	
PAYROLL TAXES PAYABLE	7,896.	3,625.	
UBTI TAX PAYABLE	8,359.	0.	
EXCISE TAX PAYABLE	0.	31,989.	
TOTAL TO FORM 990-PF, PART II, LINE 22	118,010.	214,612.	

BORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THOMAS J. BARE 915 MEMORIAL DRIVE MANITOWOC, WI 54220	PRESIDENT 10.00	70,000.	0.	0.
PHYLLIS SCHIPPERS 915 MEMORIAL DRIVE MANITOWOC, WI 54220	VICE PRESIDENT 6.00	12,000.	0.	0.
KATHY KOWALSKI 915 MEMORIAL DRIVE MANITOWOC, WI 54220	ASSISTANT SEC-TREAS 40.00	72,053.	0.	0.
JOHN JAGEMANN 915 MEMORIAL DRIVE MANITOWOC, WI 54220	DIRECTOR 3.00	12,000.	0.	0.
BERNADINE ZIMMER 915 MEMORIAL DRIVE MANITOWOC, WI 54220	TREASURER 6.00	12,000.	0.	0.
GAIL FOX 915 MEMORIAL DRIVE MANITOWOC, WI 54220	SECRETARY 6.00	12,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		190,053.	0.	0.

As of 12/23/13

2013 GRANTS MADE

<u>DATE</u>	<u>CHECK</u>	<u>DISTRIBUTED TO</u>	<u>ADDRESS</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>
3/27/2013	10283	ADD	4932 So 10th St. Manitowoc	Summer Camp	3,000.00
9/6/2013	Wire Transfs	Adam Steel Fund		Distr To LCF	1,500,000.00
3/28/2013	10285	American Heart Assoc	c/o1122 Park Row Blvd. Mtw	Heart-a-rama fundraiser	2,500.00
10/21/2013	10612	Assoc of Small Foundations	1720 N St., NW, Washington DC	Program Expenses	500.00
12/23/2013	10681	Aurora Medical Center Fd	5000 Memorial Dr., Two Rivers	Wellness Community Fund	5,000.00
5/13/2013	10316	Bellin College	725 S. Webster St., Green Bay	Capital Campaign	25,000.00
9/30/2013	10591	Bookworm Gardens	1415 Campus Dr., Sheboygan	Secret Garden Project	58,651.00
5/13/2013	10318	Capitol Civic Centre	P O Box 399, Manitowoc	Student Adventure Series	28,359.00
7/10/2013	10521	City of Manitowoc Fire Dept.	911 Franklin St., Manitowoc WI	Training Facility	15,000.00
7/11/2013	10532	Concordia University	12800 N LakeShore Dr Mequon	Endowment Fund	35,000.00
9/3/2013	10568	City of Manitowoc	900 Quay St., Manitowoc,	Showtime 2013 Sponsorship	2,000.00
3/27/2013	10284	Creative Learning Ctr	4404 Bellevue Pl., Two Rivers	Lighting, supplies & equip	15,000.00
1/3/2013	10213	Economic Develop Corp	P O Box 813, Manitowoc	Mini Chopper Program	10,000.00
9/30/2013	10592	Economic Develop. Corp	P O Box 813, Manitowoc	Mini Chopper Program	10,000.00
3/15/2013	10266	Family Connections	2508 S 8th St., Sheboygan	New roof	7,500.00
9/16/2013	10583	Friends of T R Senior Ctr	1520 17th St., Two Rivers, WI	Community Garden	5,000.00
9/16/2013	10562	Glacial Lakes Conservancy	P O Box 258, Kohler, WI 53044	Nature Preserve	5,000.00
5/16/2013	10321	Green Bay Botanical Gardens	2600 Larsen Rd Green Bay	Laser Engraving Program	35,000.00
3/6/2013	10262	John Michael Kohler Art Ctr	608 New York Ave., Sheboygan	Exhibits	50,000.00
3/14/2013	10265	Junior Achievement	1441 North Taylor Dr., Sheboygan	Business Challenge II Program	2,500.00
8/13/2013	10550	Kan Cool for Schools	P O Box 2254, Manitowoc, WI	Backpack program	5,000.00
1/2/2013	10207	Kewaunee Food Pantry	P O Box 151, Algomoa	Indebtedness and fencing	5,000.00
12/9/2013	10662	Kewaunee Food Pantry	P O Box 151, Algomoa	Indebtedness	4,000.00
7/10/2013	10522	Lakeland College	P O Box 359, Sheboygan, WI	Computer Science lab	40,000.00
1/7/2013	10214	Lakeshore Comm Fdt	915 Memorial Drive, Mtw.	Operating Expenses	15,000.00
2/28/2013	10255	Lakeshore Comm Fdt	915 Memorial Drive, Mtw	Operating Expenses	30,000.00
4/24/2013	10304	Lakeshore Comm Fdt	915 Memorial Drive, Mtw	Operating Expenses	30,000.00
6/10/2013	10345	Lakeshore Comm Fdt	915 Memorial Drive, Mtw	Transf. of Adam Steel Fund	149,180.91
6/28/2013	10514	Lakeshore Comm Fdt	915 Memorial Drive, Mtw	Accrued Int on Adam Steel	1,111.10
7/10/2013	10525	Lakeshore Comm Fdt	915 Memorial Drive, Mtw	Operating Expenses	30,000.00
9/30/2013	10593	Lakeshore Comm Fdt	915 Memorial Drive, Mtw	Operating Expenses	45,000.00
2/28/2013	10220	Lakeshore Tech College	1290 North Avenue, Cleveland	Adam Steel Fund	6,020.39
5/30/2013	10257	Lakeshore Tech College	1290 North Avenue, Cleveland	Phase II Environmental Campus	40,000.00
10/11/2013	10609	Lakeshore Tech College	1290 North Avenue, Cleveland	Scholarship program	5,000.00
6/28/2013	10351	Lakeshore Tech College	1290 North Avenue, Cleveland	Manufacturing Center	100,000.00

2/5/2013	10240	Lawrence University	711 E Blodt Way, Appleton	Upgrade internet	25,000.00
7/10/2013	10526	Lincoln Park Zoological Soc	P O Box 321, Manitowoc	Holiday Light Display	30,000.00
10/24/2013	10624	Lincoln Park Zoological Soc	P O Box 321, Manitowoc	Environment Improvements	33,000.00
2/25/2013	10231	Mainly Manitowoc	824 So 8th St, Manitowoc	Program Expenses	25,000.00
7/10/2013	10523	Manitowoc Cty Habitat for Hum	P O Box 631, Manitowoc,	Playground equipment	9,000.00
7/10/2013	10524	Manitowoc Cty Habitat for Hum	P.O Box 631, Manitowoc,	Housing	25,000.00
10/9/2013	10607	Manitowoc Cty Human Services	P O Box 1777, Manitowoc	Foster Parent Training Program	5,000.00
10/21/2013	10611	Manitowoc Cty Youth Sports	P O Box 5, St Nazianz	Sports programs	2,000.00
7/10/2013	10520	Manitowoc-TW YMCA	205 Maritime Dr, Manitowoc	Strong Kids Campaign	15,000.00
9/16/2013	10581	Mtw Cty Fish & Game	P O Box 0003, Manitowoc	Land Purchase	20,000.00
5/29/2013	10337	MPSD Foundation, Inc	c/o 2640 So 14th St, Mtw	Ron Rubic Muncipal Fld Entr	15,000.00
12/6/2013	10664	Mainly Manitowoc	824 S 8th St, Manitowoc	Operating Expenses	15,000.00
3/25/2013	10279	Manitowoc Aviation Resources	915 Memorial Drive, Mtw	Airshow 2013	5,000.00
10/3/2013	10600	Manitowoc Cty Historical Soc	1701 Michigan Ave, Mtw.	Purchase of Meeme House	25,000.00
7/22/2013	105334	Masquers, Inc	616 No 8th St, Manitowoc	Bldg renovations	25,000.00
3/15/2013	10263	Mtw Fire Department	911 Franklin St, Manitowoc, WI	Educational Program	240.00
9/3/2013	10569	Mtw Police Dept	910 Jay St, Manitowoc, WI	Equipment purchase	48,000.00
1/2/2013	10206	Painting Pathways	1226 Washington Blvd., Manitowoc	Program Expenses	50,000.00
4/9/2013	10292	Peter Quince Performers	P O Box 1652, Manitowoc	Performance Expenses	1,500.00
12/23/2013	10682	Peter's Pantry	843 So 21st St, Manitowoc	Unrestricted Gift	5,000.00
3/28/2013	10286	Rahr-West Art Musuem	610 N 8th St, Manitowoc,	Unsolicted grant	50,000.00
4/9/2013	10291	Rawhide, Inc	E7475 Rawhide Rd., New London	About Face Program	10,000.00
10/9/2013	10608	Ronald McDonald House	8948 Watertown Plank Rd, Wau	Expansion	5,000.00
1/29/2013	10234	Safe Place For Newborns	5000 W Chambers, Milw	Educational Program	5,000.00
2/20/2013	10254	Salvation Army	411 N 6th St, Manitowoc	Kitchen Facility Renovations	25,000.00
5/29/2013	10336	Salvation Army	411 N 6th St, Manitowoc	Unrestricted Gift	15,000.00
12/23/2013	10688	Salvation Army	411 N 6th St, Manitowoc	Year End Gift	5,000.00
12/13/2013	10675	Silver Creek Fire Dept	P O Box 1613, Manitowoc	Equipment purchase	5,000.00
2/20/2013	10252	Special Olympics	2140 Holmgren Way, Green Bay	Sponsorship	250.00
12/23/2013	10683	Two Rivers Ecumental Pantry	1902 22nd St, Two Rivers	Year End Gift	5,000.00
12/23/2013	10684	St Vincent De Paul - Two Rivers	Two Rivers, WI	Year End Gift	5,000.00
5/8/2013	10309	Two Rivers Historical Society	c/o P O Box 186, Two Rivers,	Woodworking Museum Purch	150,000.00
10/24/2013	10623	Two Rivers Historical Society	1622 Jefferson St, Two Rivers	Renovations	40,000.00
9/30/2013	10590	Univ of Wisconsin Fdgt	705 Vebahn St, Manitowoc	Scholarship program	12,000.00
4/10/2013	10289	Wisconsin Garden Club	c/o 75 E Water St, Markesan	Ruth West Hort Award	100.00
5/13/2013	10317	Wisconsin Maritime Museum	75 Maritime Dr, Manitowoc	Programs and Debt Reduction	75,000.00
4/5/2013	10288	Wisc Fdt. Of Indep Colleges	122 W Wash Ave, Ste 7, Mad	Work-to-college Program	36,000.00
6/11/2013	10347	Woodland Dunes	P O Box 486, Two Rivers	Maintenance and presv Prg	<u>60,000.00</u>
				TOTAL	3,202,412.40

WEST FOUNDATION [0200536]
Depreciation Expense

Financial

01/01/2013 - 12/31/2013

System No.	S	Description	Date In Service	Method / Conv.	Life	Cost / Other Basis	Bus./ Inv. %	Sec. 179/ Bonus	Salvage/ Basis Adj	Beg. Accum. Depreciation	Current Depreciation	Total Depreciation
E												
COAT RACK												
2			1/1/1991	SL / N/A	7.0000	96.43	100.0000	0.00	0.00	96.43	0.00	96.43
INVINCIBLE LATERAL FILES (2) 442-4S												
11			1/1/1991	SL / N/A	7.0000	700.00	100.0000	0.00	0.00	700.00	0.00	700.00
INVINCIBLE VDT 29" TABLE												
12			1/1/1991	SL / N/A	7.0000	150.00	100.0000	0.00	0.00	150.00	0.00	150.00
INVINCIBLE 4 DRW LAT FILES (2)												
13			1/1/1991	SL / N/A	7.0000	750.00	100.0000	0.00	0.00	750.00	0.00	750.00
CUSTOM MADE COAT NOOK												
17			5/1/1991	SL / N/A	5.0000	98.00	100.0000	0.00	0.00	98.00	0.00	98.00
ANTIQUE PERSIAN RUG												
27			3/1/1991	SL / N/A	5.0000	10,575.00	100.0000	0.00	0.00	10,575.00	0.00	10,575.00
IBM PS/2 MODEL 60 - 728008689												
29			1/1/1991	SL / N/A	5.0000	1,223.90	100.0000	0.00	0.00	1,223.90	0.00	1,223.90
SV1486 COLOR DISPLAY - 9205058838												
30			10/2/1993	SL / N/A	5.0000	412.00	100.0000	0.00	0.00	412.00	0.00	412.00
INTEL 80287 MATH COPROCESSOR												
31			1/1/1991	SL / N/A	5.0000	193.00	100.0000	0.00	0.00	193.00	0.00	193.00
INTEL ABOVE BRD 2 EXPANSION BOARD - PSMB5020												
32			1/1/1991	SL / N/A	5.0000	141.67	100.0000	0.00	0.00	141.67	0.00	141.67
6 - 256K MEMORY CHIP 14												
33			1/1/1991	SL / N/A	5.0000	196.00	100.0000	0.00	0.00	196.00	0.00	196.00
HAYES 2400 EXTERNAL MODEM												
34			1/1/1991	SL / N/A	5.0000	146.67	100.0000	0.00	0.00	146.67	0.00	146.67
HEWLETT PACKARD LASER JET II PRINTER - 2652J0465												
35			1/1/1991	SL / N/A	5.0000	891.67	100.0000	0.00	0.00	891.67	0.00	891.67
SHARP CS-1680 CALCULATOR - 42014221Y												
38			1/1/1991	SL / N/A	5.0000	55.33	100.0000	0.00	0.00	55.33	0.00	55.33
PANASONIC MP-S10 PAPER SHREDDER												
39			1/1/1991	SL / N/A	5.0000	96.00	100.0000	0.00	0.00	96.00	0.00	96.00
PANASONIC PHONE SYSTEM - KX-61610												
40			1/1/1991	SL / N/A	5.0000	526.11	100.0000	0.00	0.00	526.11	0.00	526.11
PANASONIC SPEAKER PHONES (6) - KX-T61630												
41			1/1/1991	SL / N/A	5.0000	682.33	100.0000	0.00	0.00	682.33	0.00	682.33
POWER SURGE PROTECTOR (PHONE SYSTEM)												
42			1/1/1991	SL / N/A	5.0000	30.20	100.0000	0.00	0.00	30.20	0.00	30.20
TIMEX QUARTZ CLOCK												
43			1/1/1991	SL / N/A	5.0000	6.32	100.0000	0.00	0.00	6.32	0.00	6.32
PANASONIC ELEC. PENCIL SHARPENER												
44			1/1/1991	SL / N/A	5.0000	9.98	100.0000	0.00	0.00	9.98	0.00	9.98
SWINGLINE 767 STAPLERS (2)												
45			1/1/1991	SL / N/A	5.0000	8.97	100.0000	0.00	0.00	8.97	0.00	8.97
DELONGHI TOASTER/BROILER OVEN - XU18ST/ER 88 1												
46			1/1/1991	SL / N/A	5.0000	58.97	100.0000	0.00	0.00	58.97	0.00	58.97
KRUPS COFFEE MAKER (2) - MODEL 150												

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E												
47	D	GE JEM20 MICROWAVE - AA949537S	1/1/1991 SL / N/A		5 0000	47.18	100.0000	0.00	0.00	47.18	0.00	47.18
48	D	KIRBY LEGEND II VACUUM	1/1/1991 SL / N/A		5.0000	110.92	100.0000	0.00	0.00	110.92	0.00	110.92
49	D	4' STEP LADDER	1/1/1991 SL / N/A		5.0000	236.00	100.0000	0.00	0.00	236.00	0.00	236.00
50		ONCE WRITE ONE ACCTG COMPUTER PROGRAM	1/1/1991 SL / N/A		5.0000	10.50	100.0000	0.00	0.00	10.50	0.00	10.50
52	D	DESK LAMP 4307-B9696	2/11/1991 SL / N/A		5.0000	313.95	100.0000	0.00	0.00	313.95	0.00	313.95
54	D	QUASAR TV/VCR - SB30070852	5/30/1991 SL / N/A		5.0000	275.00	100.0000	0.00	0.00	275.00	0.00	275.00
55	D	PANASONIC INKJET FAX - S/N 019407014449	6/3/1993 SL / N/A		5.0000	500.00	100.0000	0.00	0.00	500.00	0.00	500.00
57	D	ROSS 586 MINTOWER SERIAL #96071968	10/11/1994 SL / N/A		5.0000	1,260.00	100.0000	0.00	0.00	1,260.00	0.00	1,260.00
82	D	SF-2116 BOND COPIER & ACCESSORIES	8/16/1996 SL / N/A		5.0000	1,148.00	100.0000	0.00	0.00	1,148.00	0.00	1,148.00
83	D	P-165 PORTABLE RECORDER	8/20/1996 SL / N/A		5.0000	4,006.00	100.0000	0.00	0.00	4,006.00	0.00	4,006.00
84	D	MICROWAVE	10/31/1997 SL / N/A		7 0000	307.00	100.0000	0.00	0.00	307.00	0.00	307.00
85		SMC WORD PROCESSOR	12/18/1997 SL / N/A		5.0000	140.00	100.0000	0.00	0.00	140.00	0.00	140.00
89	D	HP LASERJET 6PXi PRINTER - S/N 2652J0465	11/11/1998 SL / N/A		5.0000	259.00	100.0000	0.00	0.00	259.00	0.00	259.00
93	D	COMPUTER MEMORY	11/13/1998 SL / N/A		5.0000	800.00	100.0000	0.00	0.00	800.00	0.00	800.00
104		COMPUTER MONITOR	2/10/2000 SL / N/A		5 0000	131.25	100.0000	0.00	0.00	131.25	0.00	131.25
119		PORTABLE RECORDER	1/26/2001 SL / N/A		5 0000	300.00	100.0000	0.00	0.00	300.00	0.00	300.00
120		NEW ACER AOPEN ATHLON COMPUTER	4/20/2001 SL / N/A		5 0000	326.50	100.0000	0.00	0.00	326.50	0.00	326.50
130		U SHAPE PENINSULA, CREDENZA, CHAIR, CHAIR MAT, BLINDS	11/11/2002 SL / N/A		5 0000	5,135.60	100.0000	0.00	0.00	5,135.60	0.00	5,135.60
132		Montara 120 Series Chair	11/15/2002 SL / N/A		5 0000	8,149.00	100.0000	0.00	0.00	8,149.00	0.00	8,149.00
152		4 Guest Chairs	3/18/2009 SL / N/A		7 0000	1,297.25	100.0000	0.00	0.00	694.95	185.32	880.27
153		Executive Double Ped Desk 30x6	3/18/2009 SL / N/A		7 0000	4,653.24	100.0000	0.00	0.00	2,492.81	664.75	3,157.56
154		Credenza 20x72	3/18/2009 SL / N/A		7.0000	2,216.29	100.0000	0.00	0.00	1,187.29	316.61	1,503.90
155			3/18/2009 SL / N/A		7 0000	1,986.35	100.0000	0.00	0.00	1,064.10	283.76	1,347.86

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E												
		Lateral File 2 Drawer										
156		Circular Table/Queen A	3/18/2009	SL / N/A	7.0000	1,342.38	100.0000	0.00	0.00	719.14	191.77	910.91
157		Chair, high back, Executive, Bk/	3/18/2009	SL / N/A	7.0000	1,767.16	100.0000	0.00	0.00	946.69	252.45	1,199.14
158			3/18/2009	SL / N/A	7.0000	829.24	100.0000	0.00	0.00	444.23	118.46	562.69
		Subtotal: E				54,596.36		0.00	0.00	48,053.66	2,013.12	50,066.78
		Less dispositions and exchanges:				12,658.46		0.00	0.00	12,658.46	0.00	12,658.46
		Net for E				41,937.90		0.00	0.00	35,395.20	2,013.12	37,408.32
G												
		NEW GREENHOUSE										
58		GREENHOUSES FROM ESTATE	2/1/1991	SL / N/A	20.0000	65,032.24	100.0000	0.00	0.00	65,032.24	0.00	65,032.24
59		FURNACE INSTALLATION IN NEW GREENHOUSE	2/1/1991	SL / N/A	20.0000	49,967.76	100.0000	0.00	0.00	49,967.76	0.00	49,967.76
60		ADD 200 AMP SERVICE TO GREENHOUSE	1/1/1992	SL / N/A	20.0000	4,225.40	100.0000	0.00	0.00	4,225.40	0.00	4,225.40
61		ADD 200 AMP SERVICE TO GREENHOUSE	3/29/1993	SL / N/A	20.0000	4,634.00	100.0000	0.00	0.00	4,576.08	57.92	4,634.00
62		NEW ROOF ON BARN	7/15/1993	SL / N/A	20.0000	2,222.00	100.0000	0.00	0.00	2,166.45	55.55	2,222.00
63		INSTALL NEW SEWER SUMPS & SPRINKLER SYSTEM	9/1/1993	SL / N/A	20.0000	9,895.00	100.0000	0.00	0.00	9,565.17	329.83	9,895.00
64		NEW STORAGE SHED AND LANDSCAPING	1/1/1994	MSL / MM	27.5000	21,117.86	100.0000	0.00	0.00	14,572.51	766.28	15,338.79
65		REMODEL BATHROOMS	9/1/1994	SL / N/A	20.0000	81,800.40	100.0000	0.00	0.00	74,983.70	4,090.02	79,073.72
76		GARDENS OFFICE (HAMMAN)	5/31/1995	SL / N/A	20.0000	1,623.00	100.0000	0.00	0.00	1,426.89	81.15	1,508.04
79		GREENHOUSE SHADING	3/31/1995	SL / N/A	20.0000	9,369.00	100.0000	0.00	0.00	8,314.99	468.45	8,783.44
80		NEW GREENHOUSE (DOWN PAYMENT)	1/31/1996	SL / N/A	5.0000	3,702.60	100.0000	0.00	0.00	3,702.60	0.00	3,702.60
86		SHADE SYSTEM FOR GREENHOUSE	12/15/1997	SL / N/A	20.0000	7,880.00	100.0000	0.00	0.00	5,942.83	394.00	6,336.83
87		VENTILATION SYSTEM	6/19/1997	SL / N/A	5.0000	10,945.00	100.0000	0.00	0.00	10,945.00	0.00	10,945.00
90		LANDSCAPING	8/19/1998	SL / N/A	20.0000	2,150.00	100.0000	0.00	0.00	1,540.83	107.50	1,648.33
91		NEW GREENHOUSE (also see fas # 86)	6/3/1998	SL / N/A	7.0000	9,246.46	100.0000	0.00	0.00	9,246.46	0.00	9,246.46
92		WATER HEATER FOR ORCHID HOUSE	7/31/1998	SL / N/A	20.0000	28,306.00	100.0000	0.00	0.00	20,403.91	1,415.30	21,819.21

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G												
94	D	15 X 45 HALF WAY GREENHOUSE	9/11/1998 SL / N/A		20 0000	437 81	100 0000	0 00	0 00	313 76	0 00	313.76
95		SUNKEN GARDEN ELECTRICAL	11/24/1998 SL / N/A		20 0000	26,162.00	100 0000	0 00	0 00	18,422.42	1,308.10	19,730.52
96		POTTING HOUSE REMODEL	2/17/1998 SL / N/A		20 0000	1,087 70	100 0000	0 00	0 00	806.78	54.39	861 17
101		NEW SIDEWALK & PATIO	8/5/1999 SL / N/A		20 0000	20,340.00	100 0000	0 00	0 00	13,644 75	1,017 00	14,661 75
102		MESH PANELS FOR LORD & BURNHAM GREENHOUSE	9/26/1999 SL / N/A		20 0000	8,645 00	100 0000	0 00	0 00	5,727.31	432 25	6,159 56
103		GREENHOUSE/LORD BURNHAM	11/3/1999 SL / N/A		7 0000	920.00	100 0000	0 00	0 00	920.00	0 00	920.00
113		CONCRETE PATHWAY IN GREENHOUSES	1/7/2000 SL / N/A		20 0000	12,945.00	100 0000	0 00	0 00	8,414.25	647.25	9,061.50
114		CONCRETE WORK IN FRONT OF GREENHOUSE	3/11/2000 SL / N/A		20 0000	1,254 00	100 0000	0 00	0 00	804.65	62 70	867 35
115		ASPHALT WORK	5/26/2000 SL / N/A		20 0000	2,280.00	100 0000	0 00	0 00	1,434 50	114 00	1,548 50
116		DRIVEWAY CONSTRUCTION	5/26/2000 SL / N/A		20 0000	1,856 00	100 0000	0 00	0 00	1,167.73	92.80	1,260.53
117		SEPTIC TANK WORK	7/24/2000 SL / N/A		20 0000	3,510 00	100 0000	0 00	0 00	2,179.13	175.50	2,354.63
118		SEPTIC TANK WORK	11/8/2000 SL / N/A		20 0000	15,140 00	100 0000	0 00	0 00	9,210.17	757 00	9,967.17
123		WINDOWS	5/17/2001 SL / N/A		20 0000	21,516 45	100 0000	0 00	0 00	12,461.58	1,075 82	13,537 40
124		TURF SPRINKLER SYSTEM	11/28/2001 SL / N/A		20 0000	48,860 50	100 0000	0 00	0 00	27,076 93	2,443 03	29,519.96
127		TURF SPRINKLER SYSTEM	5/23/2002 SL / N/A		20 0000	9,142.00	100 0000	0 00	0 00	4,837 64	457 10	5,294 74
128		TURF SPRINKLER SYSTEM	6/27/2002 SL / N/A		20 0000	9,142 00	100 0000	0 00	0 00	4,799 55	457 10	5,256.65
129		TURF SPRINKLER SYSTEM	10/30/2002 SL / N/A		20 0000	18,284.00	100 0000	0 00	0 00	9,294 37	914.20	10,208.57
131		ELECTRIC, COMMUNICATIONS, CATV CONDUITS	10/17/2002 SL / N/A		20 0000	9,440.00	100 0000	0 00	0 00	4,798 67	472 00	5,270.67
133		INSTALLATION OF CONDUIT FOR FOR FUTURE FENCE WIRING	10/28/2002 SL / N/A		20 0000	5,000 00	100 0000	0 00	0 00	2,541.67	250.00	2,791 67
134		INSTALL COMMUNICATION CONDUIT	11/19/2002 SL / N/A		20 0000	5,250 00	100 0000	0 00	0 00	2,646 87	262.50	2,909.37
138		FENCING AROUND PROPERTY	11/25/2003 SL / N/A		20 0000	60,607.00	100 0000	0 00	0 00	27,525.68	3,030.35	30,556.03
139		5 SECTION TURF SPRINKLER SYSTEM	5/15/2003 SL / N/A		20 0000	22,855 00	100 0000	0 00	0 00	11,046.58	1,142 75	12,189 33
140		3 SECTION TURF SPRINKLER SYSTEM	6/9/2003 SL / N/A		20 0000	13,713 00	100 0000	0 00	0 00	6,570 81	685 65	7,256.46

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G												
141		FINAL GATE WORK	1/13/2004	SL / N/A	20 0000	8,599.85	100.0000	0.00	0.00	3,869.91	429.99	4,299.90
146		REPLACE PANELS AND POWER TO GREENHOUSES	1/18/2005	SL / N/A	20.0000	7,844.23	100.0000	0.00	0.00	3,105.00	392.21	3,497.21
149		COLORLED SIDEWALK	5/7/2007	SL / N/A	15.0000	5,825.00	100.0000	0.00	0.00	2,200.54	388.33	2,588.87
150		REFLECTING POND	10/2/2008	SL / N/A	15.0000	51,540.69	100.0000	0.00	0.00	14,603.21	3,436.05	18,039.26
151		GATE WORK	8/1/2008	SL / N/A	20 0000	14,384.00	100.0000	0.00	0.00	3,176.47	719.20	3,895.67
159		Replace roof system of gardener shop	6/30/2009	SL / N/A	20 0000	19,371.00	100.0000	0.00	0.00	3,389.93	968.55	4,358.48
160		DRIVEWAY ADDITION	8/16/2010	SL / N/A	20 0000	31,095.00	100.0000	0.00	0.00	3,757.31	1,554.75	5,312.06
Subtotal: G						769,183.95		0.00	0.00	497,360.89	31,506.57	528,867.56
Less dispositions and exchanges:						437.81		0.00	0.00	313.76	0.00	313.76
Net for: G						768,726.14		0.00	0.00	497,047.23	31,506.57	528,553.80
GE												
70		LAWN MOWER	6/12/1991	SL / N/A	7.0000	8,818.22	100.0000	0.00	0.00	8,818.22	0.00	8,818.22
74		TRAILER - S/N VT60255-1, 14DAD0817RC0000338	3/18/1994	SL / N/A	5 0000	850.00	100.0000	0.00	0.00	850.00	0.00	850.00
75		SHREDDER	5/6/1994	SL / N/A	5.0000	4,822.00	100.0000	0.00	0.00	4,822.00	0.00	4,822.00
78		OFFICE WORK STATION	3/23/1995	SL / N/A	5.0000	1,657.00	100.0000	0.00	0.00	1,657.00	0.00	1,657.00
100		VACUUM CLEANER	1/12/1999	SL / N/A	5.0000	289.94	100.0000	0.00	0.00	289.94	0.00	289.94
108		ROUTER	2/22/2000	SL / N/A	5 0000	184.87	100.0000	0.00	0.00	184.87	0.00	184.87
109		CORDLESS DRIVER DRILL	2/21/2000	SL / N/A	5.0000	251.99	100.0000	0.00	0.00	251.99	0.00	251.99
110		HAWK - ELECTRIC	5/18/2000	SL / N/A	5 0000	7,525.00	100.0000	0.00	0.00	7,525.00	0.00	7,525.00
111		CHIP/VAC BEAR-CAT	7/24/2000	SL / N/A	5.0000	1,790.34	100.0000	0.00	0.00	1,790.34	0.00	1,790.34
112		EZYDUMP BEAR-CAT	11/22/2000	SL / N/A	5.0000	449.00	100.0000	0.00	0.00	449.00	0.00	449.00
125		CHIPPER/SHREDDER	2/22/2001	SL / N/A	5.0000	400.00	100.0000	0.00	0.00	400.00	0.00	400.00
126		JOHN DEERE MOWER	2/26/2001	SL / N/A	5 0000	14,995.00	100.0000	0.00	0.00	14,995.00	0.00	14,995.00
		JOHN DEER COMPACT TRACTOR AND LOADER										

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GE												
142		NEW GENERATOR AND TRANSFER SWITCH	1/1/2004	SL / N/A	5.0000	14,700.00	100.0000	0.00	0.00	14,700.00	0.00	14,700.00
143		TORO TRACTION UNIT AND GRASS CATCHER	11/2/2004	SL / N/A	5.0000	55,763.00	100.0000	0.00	0.00	55,763.00	0.00	55,763.00
144		44" SNOWTHROWER	8/6/2004	SL / N/A	5.0000	6,661.19	100.0000	0.00	0.00	6,661.19	0.00	6,661.19
145		2006 GMC SIERRA 2WD REG #1GDJC34U36E226843	8/6/2004	SL / N/A	5.0000	1,680.00	100.0000	0.00	0.00	1,680.00	0.00	1,680.00
147		John Deere 47 in. Two-Stage Snow Blower	3/31/2006	SL / N/A	5.0000	27,195.67	100.0000	0.00	0.00	27,195.67	0.00	27,195.67
161			11/26/2012	SL / N/A	7.0000	5,449.00	100.0000	0.00	0.00	64.87	778.43	843.30
		Subtotal: GE				153,482.22		0.00	0.00	148,098.09	778.43	148,876.52
		Less dispositions and exchanges:				2,639.34		0.00	0.00	2,639.34	0.00	2,639.34
		Net for: GE				150,842.88		0.00	0.00	145,458.75	778.43	146,237.18
L												
LAND												
66			2/1/1991	No Calc / N/A	0.0000	215,000.00	100.0000	0.00	0.00	0.00	0.00	0.00
		Subtotal: L				215,000.00		0.00	0.00	0.00	0.00	0.00
		Less dispositions and exchanges:				0.00		0.00	0.00	0.00	0.00	0.00
		Net for: L				215,000.00		0.00	0.00	0.00	0.00	0.00
R												
RENTAL PROPERTY - HOUSE												
67			2/1/1991	SL / N/A	27.5000	145,000.00	100.0000	0.00	0.00	115,560.66	5,272.73	120,833.39
		NEW ROOF ON HOUSE			27.5000	63,073.84	100.0000	0.00	0.00	44,342.82	2,293.59	46,636.41
68		BLACK ALUMINUM CANOPY	9/1/1993	SL / N/A	20.0000	7,450.00	100.0000	0.00	0.00	5,432.29	372.50	5,804.79
98		CARRIER 58MXA120 FURNACE	3/26/1998	SL / N/A	20.0000	1,171.00	100.0000	0.00	0.00	863.61	58.55	922.16
99		CARRIER ROOFTOP	11/22/2000	SL / N/A	20.0000	5,751.00	100.0000	0.00	0.00	3,474.56	287.55	3,762.11
105		PLASTERING	5/10/2001	MSL / MM	27.5000	3,146.00	100.0000	0.00	0.00	1,332.69	114.22	1,446.91
121		ROOF WORK	10/25/2001	MSL / MM	27.5000	1,216.00	100.0000	0.00	0.00	494.52	44.29	538.81
122		ELECTRICAL WORK	12/17/2002	SL / N/A	39.0000	12,865.00	100.0000	0.00	0.00	3,298.70	329.87	3,628.57
135		ELECTRICAL WORK	3/31/2003	SL / N/A	39.0000	7,545.95	100.0000	0.00	0.00	1,886.53	193.49	2,080.02
136		WIRELESS FIRE ALARM SYSTEM	5/20/2003	SL / N/A	7.0000	6,845.00	100.0000	0.00	0.00	6,845.00	0.00	6,845.00
137		BATHROOM ADDITIONS IN PLACE OF GARAGE										

WEST FOUNDATION [0200536]
Depreciation Expense

Financial

01/01/2013 - 12/31/2013

System No	S	Description	Date In Service	Method / Conv.	Life	Cost / Other Basis	Bus / Inv. %	Sec. 179 / Bonus	Salvage / Basis Adj.	Beg. Accum. Depreciation	Current Depreciation	Total Depreciation
R												
148		Lighting Project/Electrical - office	8/31/2006	SL / N/A	39 0000	117,749.00	100 0000	0 00	0 00	19,121.66	3,019.21	22,140.87
162			10/24/2013	MSL / MM	39 0000	26,955.00	100.0000	0 00	0 00	0.00	143.99	143.99
		Subtotal: R				398,787.79		0 00	0 00	202,653.04	12,129.99	214,783.03
		Less dispositions and exchanges.				0.00		0 00	0 00	0.00	0 00	0 00
		Net for: R				398,787.79		0 00	0 00	202,653.04	12,129.99	214,783.03
RL												
		RENTAL PROPERTY LAND										
69			2/1/1991	No Calc / N/A	5 0000	20,000.00	100.0000	0 00	0 00	0.00	0.00	0.00
		Subtotal: RL				20,000.00		0 00	0 00	0.00	0.00	0.00
		Less dispositions and exchanges:				0.00		0 00	0 00	0.00	0.00	0.00
		Net for: RL				20,000.00		0 00	0 00	0.00	0.00	0.00
		Subtotal:				1,611,010.32		0 00	0 00	896,165.78	48,428.11	942,593.89
		Less dispositions and exchanges:				15,735.61		0 00	0 00	15,611.56	0.00	15,611.56
		Grand Totals:				1,595,274.71		0 00	0 00	880,554.22	48,428.11	926,982.33

32,344.00	+
22,272.00	=
54,616.00	*
54,616.00	+
46,428.00	-
8,188.00	*

674,791.00	+
252,191.00	=
926,982.00	*

NARRATIVE OF WEST OF THE LAKE GARDENS

West of the Lake Gardens was established by John and Ruth West as their private residence in 1934. Over the next fifty years Mrs. West developed the estate's six acres to include numerous display gardens and manicured lawns. The gardens received national acclaim in the 1950s and 1960s. Beginning in 1957 the gardens were opened to the public each summer and included an annual garden walk and garden tea, which is no longer done.

Prior to her death in October 1990, Ruth West expressed a desire for the gardens to continue as they had during her lifetime. The West Foundation was then expanded to provide custodianship of the gardens, a responsibility which continues today.

Currently West of the Lake Gardens is open daily from mid-May (weather permitting) until the area's first frost. Limited parking is available on the grounds. According to Ruth West's wishes, the gardens remain open free of charge.

The property also includes four greenhouses, constructed between 1950 and 1991, an equipment garage and multi-purpose building with visitor restroom. The gardens are staffed by two full-time gardeners and part-time seasonal help.

Located on Highway 42 on the shores of Lake Michigan, the gardens are a natural stop for travelers visiting Door County and other points of interest in eastern Wisconsin.

No events took place during the year 2013 except the normal daily operations and open to public. We cannot determine how many people visit at the current time. An estimate is the number of brochures that are printed and of course, this is only an estimate of between 3,000 and 4,000 annually.

Ruth St John and John Dunham West Foundation
Attachment to Part IX-A, Line 1
December 31, 2013

39-6056375

West of the Lake Gardens - Current expenditures

Operating expenses:

Salaries and wages	182,360
Payroll tax	26,739
Employee benefits	5,628
Plants, Shrubs,...	57,813
Supplies & small equipment	7,235
Repairs & maintenance	19,321
Insurance	53,379
Travel, training,...	346
Utilities	14,120

366,941

Capital expenditures placed in service:

Greehouse equipment

-

Total to Part IX-A, Line 1

366,941

Part XVI-B

The six acres of the West Gardens were maintained by John Dunham West and Ruth St. John West as public gardens during their lifetimes. These individuals are the founders of the West Foundation and it was their expressed intent that the gardens continue to be maintained as a public facility by the foundation after their deaths. The house on the premises is presently the offices of the Ruth St. John and John Dunham West Foundation, Inc.

The Foundation received \$15 million dollars in investment type assets from the estates of Ruth and John West early in 1991. In addition to giving consideration to grant requests and the management of the gardens, the Foundation President, with approval of the board of directors, has the responsibility for keeping the funds of the Foundation properly invested. It is estimated that approximately ten percent of the directors fees and salaries paid the President and Office Manager plus the overall expenses of the foundation office are devoted to the administration of the investment program for the assets of the Foundation.

The schedule of grants made set forth as a part of this 990-PF, indicate a concentration of gifts to causes or organizations having a base in Manitowoc County. Separately the expenses connected with the maintenance of the garden are set forth as qualifying distributions in this schedule.

Ruth St John and John Dunham West Foundation, Inc
Aintree Realized Gains and Losses
31-Dec-13

Closing T/D	Opening T/D	Quantity	Symbol/Cusip	Sec Description	Cost Basis	Proceeds	Gain/Loss	Term
12/20/2013	05/22/2013	5,000 00	67073Y106	NV ENERGY INC COM SHS C/A EFF 12/20/13 1 OLD/ USD 23.75 P/S	104,503 50	118,750 00	14,246 50	Short
12/20/2013	03/25/2013	5,000 00	67073Y106	NV ENERGY INC COM SHS C/A EFF 12/20/13 1 OLD/ USD 23.75 P/S	99,800 00	118,750 00	18,950 00	Short
12/13/2013	04/15/2013	4,000 00	RIG	TRANSOCEAN LTD REG SHS ISIN#CH004826551	195,716 80	193,082 64	-2,634 16	Short
12/13/2013	03/25/2013	6,000 00	RIG	TRANSOCEAN LTD REG SHS ISIN#CH004826551	308,650 20	289,623 96	-19,026 24	Short
12/13/2013	09/24/2013	3,000 00	ROST	ROSS STORES INC (STATE OF INC CHGD FM CALF TO DFI AWARE)	215,159 40	215,335 96	176 56	Short
12/13/2013	05/22/2013	2,000 00	ROST	ROSS STORES INC (STATE OF INC CHGD FM CALF TO DFI AWARE)	132,043 00	143,557 30	11,514 30	Short
12/13/2013	03/25/2013	3,000 00	ROST	ROSS STORES INC (STATE OF INC CHGD FM CALF TO DFI AWARE)	177,714 00	215,335 95	37,621 95	Short
12/13/2013	02/20/2013	2,000.00	ROST	ROSS STORES INC (STATE OF INC CHGD FM CALF TO DFI AWARE)	118,836 80	143,557.30	24,720 50	Short
11/18/2013	03/25/2013	4,000 00	RIG	TRANSOCEAN LTD REG SHS ISIN#CH004826551	205,766.80	218,360 20	12,593 40	Short
11/18/2013	03/25/2013	2,000 00	MMM	3M CO COM	212,019 00	260,224 47	48,205 47	Short
11/18/2013	04/15/2013	2,000 00	EXPD	EXPEDITORS INTL WASH INC	72,296 60	86,733 48	14,436 88	Short
11/18/2013	03/25/2013	5,000 00	EXPD	EXPEDITORS INTL WASH INC	179,911 50	216,833 73	36,922 23	Short
11/18/2013	02/20/2013	5,000 00	EXPD	EXPEDITORS INTL WASH INC	210,606 00	216,833 73	6,227 73	Short
11/18/2013	10/16/2013	5,000 00	CRUS	CIRRUS LOGIC INC	120,420 00	99,109 27	-21,310 73	Short
11/18/2013	09/24/2013	15,000 00	CRUS	CIRRUS LOGIC INC	356,587 50	297,327 83	-59,259 67	Short
11/18/2013	05/22/2013	10,000 00	CRUS	CIRRUS LOGIC INC	229,824 00	198,218 55	-31,605 45	Short
10/16/2013	03/25/2013	500 00	MMM	3M CO COM	53,004 75	60,331 20	7,326 45	Short
10/16/2013	02/20/2013	2,500 00	MMM	3M CO COM	259,971 75	301,656 00	41,684 25	Short
09/24/2013	04/15/2013	1,000 00	MCD	MCDONALDS CORP	102,615 60	97,948 29	-4,667 31	Short
09/24/2013	11/08/2012	2,000 00	MCD	MCDONALDS CORP	170,931 00	195,896 59	24,965 59	Short
09/24/2013	10/22/2012	3,000 00	MCD	MCDONALDS CORP	264,311 10	293,844 89	29,533 79	Short
09/24/2013	03/25/2013	3,000 00	KALU	KAISER ALUM CORP COM PAR	190,477 80	212,185 40	21,707 60	Short
09/24/2013	03/25/2013	3,000 00	DE	DEERE & CO	261,168 90	253,393 59	-7,775 31	Short
09/24/2013	02/20/2013	2,000 00	DE	DEERE & CO	178,366 40	168,929 06	-9,437 34	Short
09/24/2013	03/25/2013	10,000 00	BRCM	BROADCOM CORP CL A	339,863 00	265,948.37	-73,914 63	Short
09/24/2013	02/20/2013	5,000 00	BRCM	BROADCOM CORP CL A	171,940 00	132,974 19	-38,965 81	Short
09/24/2013	11/16/2012	10,000 00	BRCM	BROADCOM CORP CL A	298,427 00	265,948 37	-32,478 63	Short
09/24/2013	05/22/2013	5,000 00	T	AT&T INC COM	184,036 00	170,690 52	-13,345 48	Short
09/24/2013	03/25/2013	5,000 00	T	AT&T INC COM	182,000 00	170,690 53	-11,309 47	Short
09/24/2013	02/20/2013	10,000 00	T	AT&T INC COM	357,027 00	341,381 06	-15,645 94	Short
05/22/2013	11/08/2012	1,000.00	GS	GOLDMAN SACHS GROUP INC COM	117,747 20	161,588 28	43,841 08	Short
05/22/2013	11/08/2012	5,000 00	FISV	FISERV INC COM	369,628 50	446,516 22	76,887 72	Short
05/22/2013	10/22/2012	1,000 00	FISV	FISERV INC COM	75,491 10	89,303 25	13,812 15	Short
05/22/2013	07/02/2012	1,000 00	FISV	FISERV INC COM	72,654.80	89,303 24	16,648 44	Short
05/22/2013	06/12/2012	3,000 00	FISV	FISERV INC COM	206,983 50	267,909 74	60,926 24	Short
05/22/2013	07/24/2012	3,000.00	CYH	COMMUNITY HEALTH SYS INC NEW COM	77,124 60	130,726.02	53,601 42	Short
05/22/2013	06/12/2012	500 00	AMGN	AMGEN INC COM	34,140 00	53,084 12	18,944 12	Short
05/22/2013	06/12/2012	2,000 00	ALL	ALLSTATE CORP COM	68,336 00	99,168 27	30,832 27	Short
04/15/2013	07/24/2012	13,000 00	SLF	SUN LIFE FINL INC COM ISIN#CA866796105	258,547 90	346,190 04	87,642 14	Short
04/15/2013	07/02/2012	2,000 00	SLF	SUN LIFE FINL INC COM ISIN#CA866796105	43,492 80	53,260.01	9,767 21	Short
04/15/2013	11/08/2012	5,000 00	BAX	BAXTER INTL INC COM	325,902 00	356,336 52	30,434 52	Short

04/15/2013	10/22/2012	10,000 00 BAX	BAXTER INTL INC COM	616,877 00	712,673 03	95,796 03 Short
03/25/2013	06/12/2012	3,000 00 WDC	WESTERN DIGITAL CORP COM	90,867 00	141,757 62	50,890 62 Short
03/25/2013	02/20/2013	2,000 00 SLB	SCHLUMBERGER LTD COM ISIN#AN806857108	158,092 80	148,445 67	-9,647 13 Short
03/25/2013	11/08/2012	5,000 00 SLB	SCHLUMBERGER LTD COM ISIN#AN806857108	343,641 00	371,114 19	27,473 19 Short
03/25/2013	10/22/2012	3,000 00 MET	METLIFE INC COM	107,850 00	115,308 40	7,458.40 Short
03/25/2013	07/02/2012	2,000 00 MET	METLIFE INC COM	61,135 20	76,872 28	15,737 08 Short
03/25/2013	06/12/2012	5,000 00 MET	METLIFE INC COM	148,400 00	192,180 70	43,780 70 Short
03/25/2013	04/12/2012	5,000 00 MET	METLIFE INC COM	181,110 50	192,180 70	11,070 20 Short
03/25/2013	02/20/2013	2,000 00 HAR	HARMAN INTL INDS INC NEW COM	87,959 80	89,581 39	1,621 59 Short
03/25/2013	10/22/2012	10,000 00 DCOM	DIME CMNTY BANCSHARES INC COM	147,144 00	142,014 81	-5,129 19 Short
03/25/2013	05/16/2012	5,000 00 COH	COACH INC COM	340,451 50	249,994 40	-90,457 10 Short
03/25/2013	10/22/2012	2,000 00 APA	APACHE CORP COM	170,508 00	149,958 64	-20,549 36 Short
03/25/2013	06/12/2012	5,000 00 APA	APACHE CORP COM	416,940 00	374,896 60	-42,043 40 Short
03/25/2013	11/08/2012	5,000 00 ABT	ABBOTT LABS COM	153,424 37	169,853 19	16,428 82 Short
02/20/2013	05/16/2012	2,000 00 MRO	MARATHON OIL CORP COM	50,683 20	70,407 42	19,724 22 Short
02/20/2013	06/12/2012	25,000 00 F	FORD MOTOR CO DEL COM PAR	263,750 00	316,492 91	52,742 91 Short
02/20/2013	05/16/2012	10,000 00 F	FORD MOTOR CO DEL COM PAR	103,500 00	126,597 16	23,097 16 Short
02/20/2013	04/12/2012	5,000 00 F	FORD MOTOR CO DEL COM PAR	60,400 00	63,298.58	2,898 58 Short
				11,106,778 17	11,760,465 83	653,687 66
12/20/2013	07/02/2012	5,000 00 67073Y106	NV ENERGY INC COM SHS C/A EFF 12/20/13 1 OLD/	88,000 00	118,750 00	30,750 00 Long
12/20/2013	06/12/2012	9,000 00 67073Y106	NV ENERGY INC COM SHS C/A EFF 12/20/13 1 OLD/	155,088 00	213,750 00	58,662 00 Long
12/13/2013	07/08/2011	10,000 00 MTW	MANITOWOC INC	10,763 47	214,996 25	204,232 78 Long
12/13/2013	02/23/2012	200 00 GOOG	GOOGLE INC CL A	121,052 00	212,308 68	91,256 68 Long
12/13/2013	07/24/2012	5,000 00 CYH	COMMUNITY HEALTH SYS INC NEW COM	128,541 00	196,592 57	68,051 57 Long
11/18/2013	06/12/2012	8,000 00 WDC	WESTERN DIGITAL CORP COM	242,312 00	597,350 40	355,038 40 Long
11/18/2013	01/11/2012	5,500 00 ORCL	ORACLE CORP COM	147,950 00	192,001.65	44,051 65 Long
11/18/2013	11/16/2011	1,500 00 ORCL	ORACLE CORP COM	49,195 65	52,364 09	3,168 44 Long
11/18/2013	07/08/2011	5,000 00 MTW	MANITOWOC INC	5,381 73	97,909 29	92,527 56 Long
11/18/2013	01/11/2012	10,000 00 FNFG	FIRST NIAGARA FINL GROUP INC NEW COM	92,493 00	112,455.04	19,962 04 Long
11/18/2013	07/08/2011	3,000 00 XOM	EXXON MOBIL CORP COM	45,207 12	286,657 61	241,450 49 Long
11/18/2013	07/08/2011	5,000 00 EEP	ENBRIDGE ENERGY PARTNERS LP COM	85,595.30	149,055 90	63,460 60 Long
11/18/2013	07/24/2012	5,000 00 CYH	COMMUNITY HEALTH SYS INC NEW COM	128,541 00	207,253 89	78,712 89 Long
11/18/2013	07/13/2011	5,000 00 KO	COCA COLA COMPANY	170,850 00	200,746 50	29,896 50 Long
10/16/2013	06/12/2012	4,000 00 PG	PROCTER & GAMBLE CO COM	250,400 00	313,330 94	62,930 94 Long
10/16/2013	11/16/2011	1,000 00 ORCL	ORACLE CORP COM	32,797 10	33,119 41	322 31 Long
10/16/2013	11/01/2011	2,000 00 ORCL	ORACLE CORP COM	63,259 80	66,238 85	2,979 05 Long
10/16/2013	09/22/2011	5,000 00 ORCL	ORACLE CORP COM	143,150 00	165,597 12	22,447 12 Long
10/16/2013	08/12/2011	2,000 00 ORCL	ORACLE CORP COM	55,160 00	66,238 85	11,078 85 Long
10/16/2013	07/13/2011	10,000 00 ORI	OLD REPUBLIC INTL CORP	119,450 00	152,233 35	32,783 35 Long
10/16/2013	06/12/2012	5,000 00 67073Y106	NV ENERGY INC COM SHS C/A EFF 12/20/13 1 OLD/	86,160 00	118,404 43	32,244 43 Long
10/16/2013	07/13/2011	5,000 00 IP	INTERNATIONAL PAPER CO	153,019 50	225,048 58	72,029 08 Long
10/16/2013	02/23/2012	300.00 GOOG	GOOGLE INC CL A	181,578 00	268,495.32	86,917 32 Long
10/16/2013	01/11/2012	5,000.00 FNFG	FIRST NIAGARA FINL GROUP INC NEW COM	46,246 50	53,866 56	7,620 06 Long
10/16/2013	10/20/2011	10,000 00 FNFG	FIRST NIAGARA FINL GROUP INC NEW COM	89,381 00	107,733 12	18,352 12 Long
10/16/2013	07/08/2011	3,000 00 XOM	EXXON MOBIL CORP COM	45,207 13	262,179.83	216,972 70 Long
10/16/2013	11/16/2011	1,666 00 DUK	DUKE ENERGY CORP NEW COM NEW	102,107 74	114,629 63	12,521 89 Long

10/16/2013	07/24/2012	5,000 00 CYH	COMMUNITY HEALTH SYS INC NEW COM	128,541 00	215,471 25	86,930 25 Long
10/16/2013	07/13/2011	1,200 00 KO	COCA COLA COMPANY	41,004 00	45,539 33	4,535 33 Long
10/16/2013	07/08/2011	3,800 00 KO	COCA COLA COMPANY	79,458 48	144,207 86	64,749 38 Long
09/24/2013	06/12/2012	1,000 00 PG	PROCTER & GAMBLE CO COM	62,600 00	78,848 62	16,248 62 Long
09/24/2013	09/22/2011	2,000 00 PG	PROCTER & GAMBLE CO COM	121,113 00	157,697 26	36,584 26 Long
09/24/2013	07/15/2011	1,000 00 PG	PROCTER & GAMBLE CO COM	64,780 00	78,848 63	14,068 63 Long
09/24/2013	08/12/2011	3,000 00 ORCL	ORACLE CORP COM	82,740 00	101,188 23	18,448 23 Long
09/24/2013	07/13/2011	15,000 00 ORI	OLD REPUBLIC INTL CORP	179,175 00	228,713 02	49,538 02 Long
09/24/2013	06/12/2012	1,000 00 67073Y106	NV ENERGY INC COM SHS C/A EFF 12/20/13 1 OLD/ USD 23.75 P/S	17,232 00	23,535.19	6,303 19 Long
09/24/2013	07/08/2011	15,000 00 MTW	MANITOWOC INC	16,145 20	291,888 92	275,743 72 Long
09/24/2013	10/20/2011	3,000 00 LOW	LOWES COS INC COM	63,510 00	144,897 47	81,387 47 Long
09/24/2013	07/02/2012	2,000 00 KALU	KAISER ALUM CORP COM PAR	103,421 80	141,456.94	38,035 14 Long
09/24/2013	06/12/2012	5,000 00 KALU	KAISER ALUM CORP COM PAR	242,690 50	353,642 35	110,951 85 Long
09/24/2013	02/06/2012	5,000 00 HFC	HOLLYFRONTIER CORP COM	163,450 00	211,935 31	48,485 31 Long
09/24/2013	02/03/2012	10,000 00 HFC	HOLLYFRONTIER CORP COM	326,327 00	423,870 62	97,543 62 Long
09/24/2013	01/11/2012	3,000 00 HFC	HOLLYFRONTIER CORP COM	79,132 20	127,161 19	48,028 99 Long
09/24/2013	08/26/2011	5,000 00 FNFG	FIRST NIAGARA FINL GROUP INC NEW COM	50,700 00	51,294 10	594 10 Long
09/24/2013	08/12/2011	5,000 00 FNFG	FIRST NIAGARA FINL GROUP INC NEW COM	52,600 00	51,294 11	-1,305.89 Long
09/24/2013	07/28/2011	5,000 00 FNFG	FIRST NIAGARA FINL GROUP INC NEW COM	62,150 00	51,294.11	-10,855 89 Long
09/24/2013	07/08/2011	2,000 00 XOM	EXXON MOBIL CORP COM	30,138 08	175,399 14	145,261.06 Long
09/24/2013	07/24/2012	5,000 00 DE	DEERE & CO	368,050 00	422,322 65	54,272.65 Long
09/24/2013	07/24/2012	2,000 00 CYH	COMMUNITY HEALTH SYS INC NEW COM	51,416 40	79,109 62	27,693 22 Long
09/24/2013	07/08/2011	10,000 00 KO	COCA COLA COMPANY	209,101 26	387,449 25	178,347 99 Long
05/22/2013	07/13/2011	2,000 00 WMT	WAL MART STORES INC COM	107,960 00	154,438 51	46,478 51 Long
05/22/2013	07/08/2011	3,000 00 VZ	VERIZON COMMUNICATIONS INC COM	77,756 46	156,309 28	78,552 82 Long
05/22/2013	07/13/2011	2,000 00 SBUX	STARBUCKS CORP COM	80,238.80	129,292 75	49,053 95 Long
05/22/2013	07/15/2011	2,000 00 PG	PROCTER & GAMBLE CO COM	129,560 00	157,887 25	28,327 25 Long
05/22/2013	05/16/2012	2,000 00 MRO	MARATHON OIL CORP COM	50,683 20	71,418 95	20,735 75 Long
05/22/2013	07/08/2011	10,000 00 MTW	MANITOWOC INC	10,763 47	206,018 41	195,254 94 Long
05/22/2013	10/20/2011	2,000 00 LOW	LOWES COS INC COM	42,340 00	86,248 49	43,908 49 Long
05/22/2013	07/15/2011	5,000 00 FNFG	FIRST NIAGARA FINL GROUP INC NEW COM	65,950 00	50,444 62	-15,505 38 Long
05/22/2013	07/13/2011	10,000 00 FNFG	FIRST NIAGARA FINL GROUP INC NEW COM	134,616 00	100,889 24	-33,726 76 Long
05/22/2013	02/03/2012	2,000 00 DIS	DISNEY WALT CO DISNEY COM	79,440 00	132,306 69	52,866 69 Long
05/22/2013	02/06/2012	2,000 00 CELG	CELGENE CORP	147,217 40	253,777.78	106,560 38 Long
04/15/2013	08/26/2011	5,000 00 SLF	SUN LIFE FINL INC COM ISIN#CA866796105	128,550.00	133,150 02	4,600 02 Long
04/15/2013	07/28/2011	5,000 00 SLF	SUN LIFE FINL INC COM ISIN#CA866796105	141,950 00	133,150 02	-8,799 98 Long
04/15/2013	07/13/2011	10,000 00 SLF	SUN LIFE FINL INC COM ISIN#CA866796105	296,260 00	266,300 03	-29,959 97 Long
04/15/2013	03/05/2012	5,000 00 MSFT	MICROSOFT CORP COM	159,050 00	144,346 76	-14,703 24 Long
04/15/2013	02/23/2012	5,000 00 MSFT	MICROSOFT CORP COM	157,350 00	144,346 77	-13,003 23 Long
04/15/2013	03/05/2012	4,000 00 INTC	INTEL CORP COM	106,304 80	85,998 07	-20,306 73 Long
04/15/2013	01/11/2012	1,000 00 INTC	INTEL CORP COM	25,770 00	21,499 52	-4,270 48 Long

04/15/2013	07/08/2011	2,000 00 KO	COCA COLA COMPANY	41,820 25	81,204 18	39,383 93 Long
03/25/2013	08/12/2011	1,000 00 V	VISA INC COM CL A	84,248 40	162,227 36	77,978 96 Long
03/25/2013	07/08/2011	5,000 00 VZ	VERIZON COMMUNICATIONS INC.COM	129,594 11	245,944 49	116,350 38 Long
03/25/2013	02/03/2012	5,000 00 MSFT	MICROSOFT CORP COM	151,600 00	140,751 34	-10,848 66 Long
03/25/2013	01/11/2012	5,000 00 MSFT	MICROSOFT CORP COM	139,700 00	140,751 35	1,051 35 Long
03/25/2013	02/23/2012	5,000 00 MET	METLIFE INC COM	190,416 50	192,180 70	1,764 20 Long
03/25/2013	09/22/2011	5,000 00 LOW	LOWES COS INC COM	95,100 00	191,865 70	96,765 70 Long
03/25/2013	01/11/2012	4,000 00 INTC	INTEL CORP COM	103,080 00	84,998 10	-18,081 90 Long
03/25/2013	11/16/2011	1,000 00 INTC	INTEL CORP COM	25,346 40	21,249 52	-4,096 88 Long
03/25/2013	02/06/2012	500 00 GOOG	GOOGLE INC CL A	304,625 00	408,315 10	103,690 10 Long
03/25/2013	02/23/2012	10,000 00 DCOM	DIME CMNTY BANCSHARES INC COM	140,555 00	142,014 82	1,459 82 Long
03/25/2013	01/11/2012	15,000 00 DCOM	DIME CMNTY BANCSHARES INC COM	201,342 00	213,022 23	11,680 23 Long
03/25/2013	08/26/2011	5,000 00 DCOM	DIME CMNTY BANCSHARES INC COM	58,073 00	71,007 41	12,934 41 Long
03/25/2013	08/12/2011	5,000 00 DCOM	DIME CMNTY BANCSHARES INC COM	56,715 50	71,007 41	14,291 91 Long
03/25/2013	07/28/2011	5,000 00 DCOM	DIME CMNTY BANCSHARES INC COM	68,800 00	71,007 41	2,207 41 Long
03/25/2013	07/15/2011	5,000 00 DCOM	DIME CMNTY BANCSHARES INC COM	73,546 00	71,007 41	-2,538 59 Long
03/25/2013	07/13/2011	5,000 00 DCOM	DIME CMNTY BANCSHARES INC COM	73,844 00	71,007 41	-2,836 59 Long
03/25/2013	02/03/2012	5,000 00 COH	COACH INC COM	360,100 00	249,994 40	-110,105 60 Long
03/25/2013	02/06/2012	2,000 00 ABT	ABBOTT LABS COM	53,116 79	67,941 28	14,824 49 Long
03/25/2013	07/22/2011	5,000 00 ABT	ABBOTT LABS COM	126,630 28	169,853 19	43,222 91 Long
02/20/2013	07/13/2011	2,000 00 SBUX	STARBUCKS CORP COM	80,238 80	107,797 78	27,558 98 Long
02/20/2013	01/11/2012	10,000 00 MSFT	MICROSOFT CORP COM	279,400 00	279,293 74	-106 26 Long
02/20/2013	02/03/2012	5,000 00 MET	METLIFE INC COM	189,600 00	180,866 44	-8,733 56 Long
02/20/2013	07/13/2011	5,000 00 MET	METLIFE INC COM	210,950 00	180,866 45	-30,083 55 Long
02/20/2013	07/08/2011	75,000 00 MTW	MANITOWOC INC	80,726 01	1,439,592 75	1,358,866 74 Long
02/20/2013	08/12/2011	10,000 00 LOW	LOWES COS INC COM	191,600 00	392,171 21	200,571 21 Long
02/20/2013	01/11/2012	2,000 00 HFC	HOLLYFRONTIER CORP COM	52,754 80	110,211 33	57,456 53 Long
02/20/2013	07/13/2011	15,000 00 FNFG	FIRST NIAGARA FINL GROUP INC NEW.COM	201,924 00	122,290 76	-79,633 24 Long
02/20/2013	07/13/2011	10,000 00 DCOM	DIME CMNTY BANCSHARES INC COM	147,688 00	142,917 79	-4,770 21 Long
02/20/2013	01/11/2012	5,000 00 COH	COACH INC COM	304,950 00	241,644 58	-63,305 42 Long
				11,594,207 93	17,279,199 83	5,684,991 90
				22,700,986 10	29,039,665 66	6,338,679 56