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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

Open to Public  
Inspection

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation PUELICHER FOUNDATION INC		A Employer identification number 39-6055461
Number and street (or P O box number if mail is not delivered to street address) 111 E KILBOURN AVE		B Telephone number (see instructions) (414) 287-7197
City or town, state or province, country, and ZIP or foreign postal code MILWAUKEE, WI 53202		C If exemption application is pending, check here ► <input type="checkbox"/>
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D</b> 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 2,978,197	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>

<b>Part I</b> Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	95,884	95,884		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	134,739			
	b Gross sales price for all assets on line 6a 272,646				
	7 Capital gain net income (from Part IV, line 2) . . . . .		134,739		
	8 Net short-term capital gain . . . . .			150	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	8,871	8,871		
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	239,494	239,494	150	
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	800			800
	c Other professional fees (attach schedule) . . . . .	6,462	6,462		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	5,094	1,782		
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .	200			
	23 Other expenses (attach schedule) . . . . .	300			
	<b>24 Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	12,856	8,244		800
	25 Contributions, gifts, grants paid. . . . .	222,000			222,000
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	234,856	8,244		222,800
	<b>27 Subtract line 26 from line 12</b>				
	<b>a Excess of revenue over expenses and disbursements</b>	4,638			
	<b>b Net investment income</b> (if negative, enter -0-) . . . . .		231,250		
	<b>c Adjusted net income</b> (if negative, enter -0-) . . . . .			150	

<b>Part II</b> <b>Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>					
1	Cash—non-interest-bearing . . . . .		47,251	5,751	5,751
2	Savings and temporary cash investments . . . . .		18,043	58,944	58,944
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable . . . . .				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
7	Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use . . . . .				
9	Prepaid expenses and deferred charges . . . . .				
10a	Investments—U S and state government obligations (attach schedule)				
b	Investments—corporate stock (attach schedule) . . . . .		1,697,659	1,778,580	2,912,912
c	Investments—corporate bonds (attach schedule) . . . . .		75,000		
11	Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►) . . . . .		1,067	590	590
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)		1,839,020	1,843,865	2,978,197
<b>Liabilities</b>					
17	Accounts payable and accrued expenses . . . . .				
18	Grants payable . . . . .				
19	Deferred revenue . . . . .				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule) . . . . .				
22	Other liabilities (describe ►) . . . . .				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .			0	
<b>Net Assets or Fund Balances</b>					
24	Unrestricted . . . . .				
25	Temporarily restricted . . . . .				
26	Permanently restricted . . . . .				
27	Capital stock, trust principal, or current funds . . . . .				
28	Paid-in or capital surplus, or land, bldg , and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds		1,839,020	1,843,865	
30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .		1,839,020	1,843,865	
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .		1,839,020	1,843,865	

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .		1	1,839,020
2	Enter amount from Part I, line 27a . . . . .		2	4,638
3	Other increases not included in line 2 (itemize) ►		3	207
4	Add lines 1, 2, and 3 . . . . .		4	1,843,865
5	Decreases not included in line 2 (itemize) ►		5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30		6	1,843,865

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1 a</b>	2694 207 SHS BMO MID-CAP GROWTH FD	P	1994-03-08	2013-12-20
<b>b</b>	1000 000 SHS COCA COLA CO COM	P	2007-05-17	2013-12-19
<b>c</b>	997 009 SHS FEDERATED INSTL HIGH YIELD BOND FD	P	2012-08-20	2013-06-05
<b>d</b>	6656 555 SHS FEDERATED INSTL HIGH YIELD BOND FD	P	2012-01-18	2013-06-05
<b>e</b>	LONG TERM CAPITAL GAIN DIVIDENDS	P	1990-01-01	2013-12-31
<b>(e) Gross sales price</b>		<b>(f) Depreciation allowed (or allowable)</b>	<b>(g) Cost or other basis plus expense of sale</b>	<b>(h) Gain or (loss) (e) plus (f) minus (g)</b>
<b>a</b>	60,000	0	36,757	23,243
<b>b</b>	39,810	0	26,150	13,660
<b>c</b>	10,150	0	10,000	150
<b>d</b>	67,764	0	65,000	2,764
<b>e</b>	94,922	0	0	94,922
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				<b>(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))</b>
<b>(i) F M V as of 12/31/69</b>	<b>(j) Adjusted basis as of 12/31/69</b>	<b>(k) Excess of col (i) over col (j), if any</b>		
<b>a</b>	0	0	0	23,243
<b>b</b>	0	0	0	13,660
<b>c</b>	0	0	0	150
<b>d</b>	0	0	0	2,764
<b>e</b>	0	0	0	94,922
<b>2</b>	Capital gain net income or (net capital loss)	<small>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</small>	<b>2</b>	134,739
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
	<small>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8</small>		<b>3</b>	150

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2012	443,820	2,649,288	0 167524
2011	359,500	2,955,428	0 121641
2010	352,000	2,898,994	0 121421
2009	489,267	2,789,429	0 175400
2008	526,500	4,299,965	0 122443
<b>2</b>			<b>2</b> 0 708429
<b>3</b>			<b>3</b> 0 141686
<b>4</b>			<b>4</b> 2,760,986
<b>5</b>			<b>5</b> 391,193
<b>6</b>			<b>6</b> 2,313
<b>7</b>			<b>7</b> 393,506
<b>8</b>			<b>8</b> 222,800

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
b	Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>	1	4,625
c	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	2	0
3	Add lines 1 and 2. . . . .	3	4,625
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	4,625
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3,180
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,445
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	4,625
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> 0 <b>Refunded</b> <input type="checkbox"/> 11	11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1) On the foundation</b> <input type="checkbox"/> \$ _____ <b>(2) On foundation managers</b> <input type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<input type="checkbox"/> Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<input type="checkbox"/> Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> WI		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<input type="checkbox"/> Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Website address ► N/A

14	The books are in care of ► BMO HARRIS BANK NA	Telephone no ► (414) 287-7197
	Located at ► 111 EAST KILBOURN AVE MILWAUKEE WI	ZIP +4 ► 53202

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
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See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

1a	During the year did the foundation (either directly or indirectly)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . .		1b
	Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input checked="" type="checkbox"/> Yes	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .		1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) . . . . .		2b
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . .		3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .		4b

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to  (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . . (3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). . . . . (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . 5b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . 6b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . If "Yes," attach the statement required by Regulations section 53.4945-5(d). 7b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . 6b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870. 7b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . . b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . 7b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation <b>(If not paid, enter -0-)</b>	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000. . . . . 0				

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

<b>(a) Name and address of each person paid more than \$50,000</b>	<b>(b) Type of service</b>	<b>(c) Compensation</b>
NONE		

## **Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	<b>Expenses</b>
<b>1</b> <hr/>	
<b>2</b> <hr/>	
<b>3</b> <hr/>	
<b>4</b> <hr/>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____ _____	
2 _____ _____	

All other program-related investments See page 24 of the instructions

**3** \_\_\_\_\_  
\_\_\_\_\_  
**Total.** Add lines 1 through 3

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes	
a	Average monthly fair market value of securities. . . . .	1a 2,775,922
b	Average of monthly cash balances. . . . .	1b 27,109
c	Fair market value of all other assets (see instructions). . . . .	1c 0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d 2,803,031
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2
3	Subtract line 2 from line 1d. . . . .	3 2,803,031
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4 42,045
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5 2,760,986
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6 138,049

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1 138,049
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a 4,625
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b
c	Add lines 2a and 2b. . . . .	2c 4,625
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3 133,424
4	Recoveries of amounts treated as qualifying distributions. . . . .	4
5	Add lines 3 and 4. . . . .	5 133,424
6	Deduction from distributable amount (see instructions). . . . .	6
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7 133,424

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a 222,800
b	Program-related investments—total from Part IX-B. . . . .	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required). . . . .	3a
b	Cash distribution test (attach the required schedule). . . . .	3b
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 222,800
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6 222,800

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				133,424
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008. . . . .				
<b>b</b> From 2009. . . . .	352,262			
<b>c</b> From 2010. . . . .	210,545			
<b>d</b> From 2011. . . . .	214,776			
<b>e</b> From 2012. . . . .	317,716			
<b>f Total of lines 3a through e. . . . .</b>	1,095,299			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ► \$ _____ 222,800				
<b>a</b> Applied to 2012, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2013 distributable amount. . . . .				133,424
<b>e</b> Remaining amount distributed out of corpus	89,376			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:	1,184,675			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	1,184,675			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009. . . . .	352,262			
<b>b</b> Excess from 2010. . . . .	210,545			
<b>c</b> Excess from 2011. . . . .	214,776			
<b>d</b> Excess from 2012. . . . .	317,716			
<b>e</b> Excess from 2013. . . . .	89,376			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . <input type="text"/>
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. . . . .
<b>b</b> 85% of line 2a. . . . .
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed. . . . .
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities. . . . .
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c. . . . .
<b>3</b> Complete 3a, b, or c for the alternative test relied upon
<b>a</b> "Assets" alternative test—enter
(1) Value of all assets. . . . .
(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .
<b>c</b> "Support" alternative test—enter
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .
(3) Largest amount of support from an exempt organization. . . . .
(4) Gross investment income. . . . .

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

JAMES B WIGDALE 770 NORTH WATER STR  
770 NORTH WATER STREET  
MILWAUKEE, WI 53202  
(414) 765-7707

**b** The form in which applications should be submitted and information and materials they should include

INFORMATION ABOUT EXPECTED COMMUNITY BENEFITS

**c** Any submission deadlines

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> SEE ATTACHED SCHEDULE OF CONTRIBUTIONS MILWAUKEE, WI 53202		N/A	CHARITABLE	222,000
<b>Total</b>			► 3a	222,000
<b>b Approved for future payment</b>				
<b>Total</b>			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . . .			14	95,884	
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property . . . . .					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	134,739	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory. . . . .					
<b>11</b> Other revenue a <u>MGMT FEE CREDIT</u>			14	8,861	
b <u>SECTION 1250 GAIN</u>			14	10	
c _____					
d _____					
e _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). . . . .				239,494	
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			13		239,494
(See worksheet in line 13 instructions to verify calculations.)					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Part XVI-B Line No.	Relationship of Activities to the Accomplishment of Exempt Purposes
	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )



**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

<b>(a) Name and address</b>	<b>(b) Title, and average hours per week devoted to position</b>	<b>(c) Compensation (If not paid, enter -0-)</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account, other allowances</b>
JAMES B WIGDALE	PRESIDENT 1 00	0	0	0
MI CORP 770 N WATER MILWAUKEE, WI 53202				
DIANE L SEBION	SEC/TREAS 1 00	0	0	0
MI CORP 770 N WATER MILWAUKEE, WI 53202				
JUDITH C MURPHY	DIRECTOR/CFO 1 00	0	0	0
111 E KILBOURN AVE MILWAUKEE, WI 53202				
NANCY GERFIN	ASST TREAS 1 00	0	0	0
770 N WATER ST MILWAUKEE, WI 53202				
MARY P UIHLEIN	DIRECTOR 1 00	0	0	0
770 N WATER ST MILWAUKEE, WI 53202				
MELISSA D SMID	DIRECTOR 1 00	0	0	0
770 N WATER ST MILWAUKEE, WI 53202				

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2013 Gain/Loss from Sale of Other Assets Schedule

**Name:** PUELICHER FOUNDATION INC

**EIN:** 39-6055461

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
2694 207 SHS BMO MID-CAP GROWTH FUND CL Y	1994-03	Purchased	2013-12		60,000	36,757			23,243	
1000 000 SHS COCA COLA CO COM	2007-05	Purchased	2013-12		39,810	26,150			13,660	
997 009 SHS FEDERATED INSTITUTIONAL HIGH YIELD BOND FD	2012-08	Purchased	2013-06		10,150	10,000			150	
6656 555 SHS FEDERATED INSTITUTIONAL HIGH YIELD BOND FD	2012-01	Purchased	2013-06		67,764	65,000			2,764	
LONG TERM CAPITAL GAIN DIVIDENDS	1990-01	Purchased	2013-12		94,922				94,922	

## TY 2013 Other Expenses Schedule

**Name:** PUELICHER FOUNDATION INC

**EIN:** 39-6055461

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING	300			

## TY 2013 Other Income Schedule

**Name:** PUELICHER FOUNDATION INC**EIN:** 39-6055461

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MGMT FEE CREDIT	8,861	8,861	
SECTION 1250 GAIN	10	10	

## TY 2013 Other Increases Schedule

**Name:** PUELICHER FOUNDATION INC

**EIN:** 39-6055461

Description	Amount
CHANGE IN ACCRUAL	207

## TY 2013 Other Professional Fees Schedule

**Name:** PUELICHER FOUNDATION INC**EIN:** 39-6055461

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BMO HARRIS BANK, NA AGENT/INVT MGMT	6,462	6,462		

## TY 2013 Taxes Schedule

**Name:** PUELICHER FOUNDATION INC**EIN:** 39-6055461

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	1,782	1,782		
ESTIMATED TAXES	3,180			
PRIOR YEAR EXCISE TAX	132			

Organization	Address	Amount	Purpose
America Scores Milwaukee	7101 W Good Hope Rd, Milwaukee, WI 53223	\$5,000	Operating support
Atlantic Salmon Federation	PO Box 307, Calais, Maine 04619-0087	\$40,000	Research & Environment in memory of Jack Puelicher
Bear Trust International	PO Box 4006, Missoula, MT 59806	\$5,000	Great Lakes conservation project
Big Brothers Big Sisters of Metropolitan Milwaukee	788 N Jefferson St., Suite 600, Milwaukee, WI 53202-3739	\$1,000	Operating support
Boys and Girls Clubs of Greater Milwaukee	PO Box 12486, Milwaukee, WI 53212	\$10,000	Operating support
Cardinal Stritch University	6801 North Yates Road, Milwaukee, WI 53217-3985	\$5,000	Santa Chiara Fund
Feeding America Eastern Wisconsin	1700 W Fond Du Lac Ave., Milwaukee, WI 53205	\$5,000	Operating support
First Stage	325 West Walnut Street, Milwaukee, WI 53212	\$1,000	Program support
Independence First	\$40 South 1st Street Milwaukee, WI 53204-1605	\$10,000	Program support
International Crane Foundation	E-11376 Shady Lane Road, PO Box 447, Baraboo, WI 53913-C	\$5,000	Program support
Mequon Nature Preserve	8200 W. County Line Rd., Mequon, WI 53097	\$10,000	Science program for students
Milwaukee Art Museum	700 N Art Museum Dr., Milwaukee, WI 53202	\$5,000	Anniversary Year support
Milwaukee Debate League	PO Box 342146, Milwaukee, WI 53234	\$2,500	Operating support
Milwaukee Public Museum	890 W Wells St., Milwaukee, WI 53233-1478	\$25,000	Maintenance & enhancement of the Puelicher Butterfly Exhibit
Milwaukee School of Engineering	1025 N Broadway, Milwaukee, WI 53202-3109	\$25,000	Annual scholarship support
Milwaukee Symphony Orchestra	700 N Water St., Milwaukee, WI 53202	\$5,000	Annual Fund
National Multiple Sclerosis Society Wisconsin Chapter*	1120 James Dr., Suite A, Hartland, WI 53029	\$5,000	Research funding
Ozaukee Washington Land Trust	200 Washington St., West Bend, WI 53095	\$2,500	Building restoration project
Rawhide	E7475 Rawhide Rd., New London, WI 54961-9052	\$10,000	Youth Education Program
Riveredge Nature Center	4458 W Hawthorne Dr., Newburg, WI 53060	\$1,000	Operating support
Schlitz Audubon Nature Center	1111 E Brown Deer Rd., Milwaukee, WI 53217	\$2,500	Operating support for education classes
School Choice Wisconsin	219 N Milwaukee St., 1st floor, Milwaukee, WI 53202	\$2,500	Program support
Schools That Can Milwaukee	1521 N. Dr. Martin Luther King Dr., Unit A, Milwaukee, WI 5321	\$5,000	Operating support
United Community Center	1028 S 9th St., Milwaukee, WI 53201	\$10,000	Operating support
United Performing Arts Fund	301 West Wisconsin Avenue, Suite 600, Milwaukee, WI 53203	\$3,000	Operating support
United Way of Greater Milwaukee*	225 W. Vine St., Milwaukee, WI 53212	\$15,000	Community Impact Fund
Wisconsin Council on Economic Education, Inc.	7635 West Bluemound Road, Suite 106, Milwaukee, WI 53213	\$1,000	Financial Fitness for Life program
YMCA*	161 W. Wisconsin Ave., Suite 4000, Milwaukee, WI 53203	\$5,000	Camp Minikani & Camp Matawa operating expenses
		<u><u>\$222,000</u></u>	

\*2013 - \$25,000 check to United Way of Greater Milwaukee of which \$5,000 was for YMCA, \$5,000 for National MS Society, \$15,000 for United Way

Puelicher Foundation Inc AGY

**Account Number:** 01/01/13 through 12/31/13  
**Statement Period:**

## Asset and Liability Positions 12/31/13

Asset Description Asset ID (CUSIP) / Ticker		% of Asset Category	Shares / Par	Total Cost / Unit Price	Total Market / Unit Price	Market Appr/Depr	% of Acct at Mrkt	Accrued Income	Estimated Annual Income Rate	Market Yield
<b>Equity</b>										
<b>Common Stocks</b>										
<b>Consumer Discretionary</b>										
- Nike Inc Cl B 654105103 / NKE	600 000	1.62	23,252.97 38.755	47,184.00 78.640	23,931.03	1.59	144.00	576.00 0.96000	1.22%	
- Priceline Com Inc Com New 741503403 / PCLN	35,000	1.40	14,402.15 411.490	40,684.00 1,162.400	26,281.85	1.37				
<b>Total Consumer Discretionary</b>		<b>3.02</b>	<b>37,655.12</b>	<b>87,868.00</b>	<b>50,212.88</b>	<b>2.96</b>	<b>144.00</b>	<b>576.00</b>	<b>.66%</b>	
<b>Energy</b>										
- Chevron Corp New Com 166764100 / CVX	800,000	3.43	52,566.56 65.708	99,928.00 124.910	47,361.44	3.36		3,200.00 4.00000	3.20%	
- Exxon Mobil Corp Com 30231G102 / XOM	1,000,000	3.47	36,312.30 36.312	101,200.00 101.200	64,887.70	3.40		2,520.00 2.52000	2.49%	
<b>Total Energy</b>		<b>6.90</b>	<b>88,878.86</b>	<b>201,128.00</b>	<b>112,249.14</b>	<b>6.77</b>	<b>0.00</b>	<b>5,720.00</b>	<b>2.84%</b>	
<b>Financials</b>										
- US Bancorp Del Com New 902973304 / USB	500 000	69	16,544.90 33.090	20,200.00 40.400	3,655.10	68	115.00	460.00 0.92000	2.28%	
<b>Total Financials</b>		<b>.69</b>	<b>16,544.90</b>	<b>20,200.00</b>	<b>3,655.10</b>	<b>.68</b>	<b>115.00</b>	<b>460.00</b>	<b>2.28%</b>	
<b>Health Care</b>										
- Gilead Sciences Inc Com 375558103 / GILD	1,000,000	2.58	28,161.35 28.161	75,100.00 75.100	46,938.65	2.53				
- Johnson & Johnson Com 478160104 / JNJ	400 000	1.26	25,984.00 64.960	36,636.00 91.590	10,652.00	1.23				
- Pfizer Inc Com 717081103 / PFE	1,600,000	1.68	24,271.84 15.170	49,008.00 20.620	24,736.16	1.65				

**Puelicher Foundation Inc AGY**

Account Number: 01/01/13 through 12/31/13  
Statement Period: 12/31/13

**Asset and Liability Positions  
12/31/13**

Asset Description Asset ID (CUSIP) / Ticker	Shares / Par	% of Asset Category at Mkt	Total Cost / Unit Price	Total Market / Unit Price	Market Appr/Depr	% of Acct at Mrkt	Accrued Income	Estimated Annual Income Rate	Market Yield
<b>Total Health Care</b>		<b>5.52</b>	<b>78,417.19</b>	<b>160,744.00</b>	<b>82,326.81</b>	<b>5.41</b>	<b>0.00</b>	<b>2,720.00</b>	<b>1.69%</b>
<b>Industrials</b>									
- General Elec Co Com 369604103 / GE	1,500 000	1.44	24,584.70 16,390	42,045.00 28,030	17,460.30	1.41	330 00	1,320 00 0 88000	3.14%
<b>Total Industrials</b>	<b>1.44</b>	<b>24,584.70</b>		<b>42,045.00</b>	<b>17,460.30</b>	<b>1.41</b>	<b>330.00</b>	<b>1,320.00</b>	<b>3.14%</b>
<b>Information Technology</b>									
- Apple Inc Com 037833100 / AAPL	200,000	3.85	55,309.00 276,545	112,204.00 561,020	56,895.00	3.77		2,440.00 12,20000	2.17%
- Cisco Sys Inc Com 17275R102 / CSCO	1,000 000	.77	1,722.22 1,722	22,430.00 22,430	20,707.78	75		680.00 0 68000	3.03%
- Google Inc Cl A 38239P508 / GOOG	50,000	1.92	31,127.50 622,550	56,035.50 1,120,710	24,908.00	1.89			
- International Business Machs Corp Com 459200101 / IBM	300,000	1.93	27,059.46 90,198	56,271.00 187,570	29,211.54	1.89		1,140.00 3,80000	2.03%
- Microsoft Corp Com 594918104 / MSFT	1,800,000	2.31	48,043.99 26,691	67,338.00 37,410	19,294.01	2.27		2,016.00 1,12000	2.99%
- Qualcomm Inc Com 747755103 / QCOM	900 000	2.29	39,641.38 44,046	66,825.00 74,250	27,183.62	2.25		1,260.00 1,40000	1.89%
<b>Total Information Technology</b>	<b>13.08</b>	<b>202,903.55</b>		<b>381,103.50</b>	<b>178,199.95</b>	<b>12.82</b>	<b>0.00</b>	<b>7,536.00</b>	<b>1.98%</b>
<b>Total Common Stocks</b>	<b>30.66</b>	<b>448,984.32</b>		<b>893,088.50</b>	<b>444,104.18</b>	<b>30.05</b>	<b>589.00</b>	<b>18,332.00</b>	<b>2.05%</b>

**Puelicher Foundation Inc AGY**

Account Number: 01/01/13 through 12/31/13  
Statement Period:

**Asset and Liability Positions  
12/31/13**

	<b>Asset Description Asset ID (CUSIP) / Ticker</b>	<b>Shares / Par</b>	<b>% of Category at Mrkt</b>	<b>Total Cost / Unit Price</b>	<b>Total Market / Unit Price</b>	<b>Market Appr/Depr</b>	<b>% of Acct at Mrkt</b>	<b>Accrued Income</b>	<b>Estimated Annual Income Rate</b>	<b>Market Yield</b>
<b>Equity Funds</b>										
<b>Small Cap</b>										
-	BMO Small-Cap Growth Fund Cl Y #612 09658L612 / MRS CX	20,789.851	15.95	273,963.75 13.178	464,653.17 22.350	190,689.42	15.63			
-	Goldman Sachs Small Cap Value Fund Inst #651 38742V209 / GSS IX	3,171.404	6.13	93,144.14 29.370	178,676.90 56.340	85,532.76	6.01		1,189.00 0.37500	6.7%
<b>Total Small Cap</b>		<b>22.09</b>	<b>367,107.89</b>		<b>643,330.07</b>	<b>276,222.18</b>	<b>21.64</b>	<b>0.00</b>	<b>1,189.00</b>	<b>.18%</b>
<b>Mid Cap</b>										
-	BMO Mid-Cap Value Fund Class Y #262 09658L695 / MRE EX	19,849.122	11.00	230,476.54 11.611	320,364.83 16.140	89,888.29	10.78		2,798.00 0.14100	8.7%
-	BMO Mid-Cap Growth Fund Class Y #261 09658L729 / MRM SX	14,435.402	11.24	172,109.57 11.923	327,394.92 22.680	155,285.35	11.01			
<b>Total Mid Cap</b>		<b>22.24</b>	<b>402,586.11</b>		<b>647,759.75</b>	<b>245,173.64</b>	<b>21.79</b>	<b>0.00</b>	<b>2,798.00</b>	<b>.43%</b>
<b>International</b>										
-	Dodge & Cox International Stock Fund #1048 256206103 / DOD FX	7,783.641	11.50	222,539.29 28.591	335,007.91 43.040	112,468.62	11.27		5,409.00 0.69500	1.61%
-	Harbor International Fund Inst #2011 411511306 / HAINX	4,286.534	10.45	233,739.51 54.529	304,386.78 71.010	70,647.27	10.24		6,408.00 1.49500	2.11%
<b>Total International</b>		<b>21.95</b>	<b>456,278.80</b>		<b>639,394.69</b>	<b>183,115.89</b>	<b>21.51</b>	<b>0.00</b>	<b>11,817.00</b>	<b>.85%</b>
<b>Other</b>										
-	BMO Lloyd George Emerging Markets Equity Fund Cl I # 754 09658L489 / MIE MX	6,974.181	3.07	103,623.30 14.858	89,339.26 12.810	-14,284.04	3.01		1,513.00 0.21700	1.69%

**Puelicher Foundation Inc AGY**

Account Number: 01/01/13 through 12/31/13  
Statement Period: 01/01/13 through 12/31/13

**Asset and Liability Positions**  
12/31/13

Asset Description Asset ID (CUSIP) / Ticker	Shares / Par Category	Total Cost / Unit Price	Total Market / Unit Price	Market Appr/Depr at Mrkt	% of Acct at Mrkt	Accrued Income	Estimated Annual Income Rate	Market Yield
<b>Total Other</b>		<b>3.07</b>	<b>103,623.30</b>	<b>89,339.26</b>	<b>-14,284.04</b>	<b>3.01</b>	<b>0.00</b>	<b>1,513.00</b>
<b>Total Equity Funds</b>		<b>69.34</b>	<b>1,329,596.10</b>	<b>2,019,823.77</b>	<b>690,227.67</b>	<b>67.95</b>	<b>0.00</b>	<b>17,317.00</b>
<b>Total Equity</b>		<b>100.00</b>	<b>1,778,580.42</b>	<b>2,912,912.27</b>	<b>1,134,331.85</b>	<b>98.00</b>	<b>589.00</b>	<b>35,649.00</b>
<b>Other Assets</b>								<b>1.22%</b>
<b>Other</b>								
- Letter of Direction of Sole Inv't Authority Last Priced 09/09/1999 928405M14	1.000	00	0.00	0.00	0.00	00		
- Investment Agency Agreement Last Priced 09/09/1999 993272T11	1 000	00	0.00	0.00	0.00	00		
<b>Total Other</b>		<b>.00</b>	<b>0.00</b>	<b>0.00</b>	<b>.00</b>	<b>.00</b>	<b>0.00</b>	<b>.00%</b>
<b>Total Other Assets</b>		<b>.00</b>	<b>0.00</b>	<b>0.00</b>	<b>.00</b>	<b>.00</b>	<b>0.00</b>	<b>.00%</b>
<b>Cash Equivalent</b>								
- Principal Cash	0 000	-847.82	-1,089,189.58	-1,089,189.58	0.00	-36.64		
- Income Cash	0 000	847.82	1,089,189.58	1,089,189.58	0.00	36.64		
- BMO Prime Money Market Cl Y #200 999993116	58,944.440	100.00	58,944.44 7,000	58,944.44 1,000	0.00	1.98	0.45	5.00 0.00010
<b>Total Cash Equivalent</b>		<b>100.00</b>	<b>58,944.44</b>	<b>58,944.44</b>	<b>0.00</b>	<b>1.98</b>	<b>0.45</b>	<b>5.00 .01%</b>
<b>Total Assets</b>		<b>1,837,524.86</b>	<b>2,971,856.71</b>	<b>1,134,331.85</b>	<b>99.98</b>	<b>589.45</b>	<b>35,654.00</b>	<b>1.20%</b>

**Puelicher Foundation Inc AGY**

Account Number: 01/01/13 through 12/31/13  
Statement Period: 01/01/13 through 12/31/13

**Asset and Liability Positions  
12/31/13**

	<b>Asset Description Asset ID (CUSIP) / Ticker</b>	<b>Shares / Par Category at Mrkt</b>	<b>Total Cost / Unit Price</b>	<b>Total Market / Unit Price</b>	<b>Market Appr/Depr</b>	<b>% of Acct at Mrkt</b>	<b>Accrued Income</b>	<b>Estimated Annual Income Rate</b>	<b>Market Yield</b>
Accruals									
Dividends			589.00	589.00	0.00	.02			
Interest			0.45	0.45	0.00	.00			
			<b>589.45</b>	<b>589.45</b>	<b>0.00</b>	<b>.02</b>			
<b>Total Accrued Income</b>									
<b>Total Assets and Accruals</b>		<b>1,838,114.31</b>	<b>2,972,446.16</b>	<b>1,134,331.85</b>	<b>100.00</b>	<b>589.45</b>	<b>35,654.00</b>	<b>1.20%</b>	



A part of BMO Financial Group

P.O. Box 4320

Carol Stream, Illinois 60197-4320

BMO HARRIS BANK N.A.  
P.O. BOX 94033  
PALATINE, IL 60094-4033

3392:

ACCOUNT NUMBER:

344311

01 09286

PUELICHER FOUNDATION INC  
C/O DONNA SWARTZ NW6  
770 N WATER ST  
MILWAUKEE WI 53202-3509

Statement Period  
12/01/13 TO 12/31/13  
IM0099002900000000

PAGE 1 OF 2 1/

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PLEASE READ THIS IMPORTANT NOTICE AND CHANGE IN TERMS FOR THIS DEPOSIT ACCOUNT THAT DESCRIBES CHANGES TO CERTAIN FEES AND AMENDS THE BMO HARRIS BANK BUSINESS SERVICE FEE SCHEDULE. THE CHANGES WILL BE EFFECTIVE FOR EACH STATEMENT PERIOD ENDING ON AND AFTER FEBRUARY 28, 2014. EXCEPT AS OTHERWISE COMMUNICATED BY US, ALL OTHER FEES WILL CONTINUE TO APPLY. IF YOU KEEP YOUR DEPOSIT ACCOUNT OPEN AFTER THESE FEES BECOME EFFECTIVE, YOU WILL BE DEEMED TO ACCEPT AND AGREE TO THESE CHANGES. A CHARGE OF \$4.00 WILL APPLY TO EACH ORDER FOR COIN, CURRENCY, OR BOTH COIN AND CURRENCY. THE FOLLOWING CHARGES WILL ALSO APPLY TO COIN AND CURRENCY ORDERS: \$0.70 PER STRAP FOR EACH STANDARD STRAP CURRENCY ORDER; \$0.04 PER PIECE FOR EACH NON-STANDARD STRAP CURRENCY ORDER; \$6.00 PER BOX FOR EACH STANDARD BOX COIN ORDER; \$0.20 PER ROLL WILL BE CHARGED FOR EACH PARTIAL BOX COIN ORDER. AS DESCRIBED IN THE BMO HARRIS BANK BUSINESS SERVICE FEE SCHEDULE, THIS ACCOUNT RECEIVES VARIABLE EARNINGS CREDIT THAT CAN BE USED TO OFFSET THESE FEES. THE FEES LISTED UNDER COIN/CURRENCY ARE DELETED IN THEIR ENTIRETY AND SUCH FEES WILL NO LONGER BE ASSESSED.

IF YOU HAVE QUESTIONS ABOUT ANY OF YOUR BMO HARRIS ACCOUNTS, PLEASE CALL US TOLL-FREE AT 1-888-340-2265. BMO HARRIS BANK(R) IS A TRADE NAME USED BY BMO HARRIS BANK N.A. MEMBER FDIC. EQUAL HOUSING LENDER. NMLS401052 VISIT US ONLINE AT [WWW.BMOHARRIS.COM](http://WWW.BMOHARRIS.COM).

## CHECKING ACCOUNTS

BUSINESS CHECKING  
ACCOUNT NUMBER

0024142206 (Checking)

PUELICHER FOUNDATION INC

### DEPOSIT ACCOUNT SUMMARY

Previous Balance as of November 30, 2013	6,050.95
1 Deposits (Plus)	106,000.00
8 Withdrawals (Minus)	92,500.00
Ending Balance as of December 31, 2013	19,550.95

### Deposits and Other Credits

Date	Amount	Description
Dec 23	106,000.00	ONLINE CREDIT MEMO

### Checks by Serial Number

Date	Serial #	Amount	Date	Serial #	Amount
Dec 31	1754	10,000.00	Dec 30	1759	5,000.00
Dec 27	1755	5,000.00	Dec 26	1760	2,500.00
Dec 26	1757*	10,000.00	Dec 31	1761	10,000.00
Dec 26	1758	25,000.00	Dec 27	1763*	25,000.00

\* Indicates break in check sequence