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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation DR. R.G. RAYMOND & SARAH RAYMOND FOUNDATION		A Employer identification number 39-6051142
Number and street (or P.O. box number if mail is not delivered to street address) C/O NAT'L EXCH BANK & TRUST 130 S MAIN	Room/suite	B Telephone number 920-923-7000
City or town, state or province, country, and ZIP or foreign postal code FOND DU LAC, WI 54935		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,433,697.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		3,526.	3,526.		STATEMENT 1
4 Dividends and interest from securities		25,843.	25,843.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		59,671.			
b Gross sales price for all assets on line 6a 245,910.					
7 Capital gain net income (from Part IV, line 2)			59,671.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,000.	0.		STATEMENT 3
12 Total. Add lines 1 through 11		90,040.	89,040.		
13 Compensation of officers, directors, trustees, etc		3,278.	2,130.		1,148.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		1,578.	0.		552.
b Accounting fees STMT 5		1,320.	0.		462.
c Other professional fees					
17 Interest					
18 Taxes STMT 6		1,359.	98.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		7,808.	5,075.		2,733.
24 Total operating and administrative expenses. Add lines 13 through 23		15,343.	7,303.		4,895.
25 Contributions, gifts, grants paid		68,500.			68,500.
26 Total expenses and disbursements. Add lines 24 and 25		83,843.	7,303.		73,395.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		6,197.			
b Net investment income (if negative, enter -0-)			81,737.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

DR. R.G. RAYMOND & SARAH RAYMOND

Form 990-PF (2013)

FOUNDATION

39-6051142

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 8	589,648.	723,617.	905,494.	
	c	Investments - corporate bonds STMT 9	149,317.	98,555.	100,742.	
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 10	503,767.	426,757.	427,461.		
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,242,732.	1,248,929.	1,433,697.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	1,007,433.	1,007,433.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds	235,299.	241,496.		
30	Total net assets or fund balances	1,242,732.	1,248,929.			
31	Total liabilities and net assets/fund balances	1,242,732.	1,248,929.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,242,732.
2	Enter amount from Part I, line 27a	2	6,197.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,248,929.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,248,929.

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10-10-13

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT A	P		
b SEE ATTACHED STATEMENT A	P		
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 80,691.		57,236.	23,455.
b 164,967.		129,003.	35,964.
c 252.			252.
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			23,455.
b			35,964.
c			252.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	59,671.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	73,316.	1,367,794.	.053602
2011	76,548.	1,402,837.	.054567
2010	73,460.	1,378,548.	.053288
2009	71,958.	1,270,378.	.056643
2008	78,824.	1,380,695.	.057090

2 Total of line 1, column (d)	2	.275190
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055038
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,395,219.
5 Multiply line 4 by line 3	5	76,790.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	817.
7 Add lines 5 and 6	7	77,607.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	73,395.

DR. R.G. RAYMOND & SARAH RAYMOND

Form 990-PF (2013)

FOUNDATION

39-6051142

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	1,635.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	1,635.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	1,635.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	960.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	960.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	675.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>WI</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2013)

DR. R.G. RAYMOND & SARAH RAYMOND
FOUNDATION

Form 990-PF (2013)

39-6051142

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of PETER STONE Telephone no. 920-921-7700 Located at NATIONAL EXCHG BANK & TRUST, FOND DU LAC, WI ZIP+4 54936-0827			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		3,278.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2013)

DR. R.G. RAYMOND & SARAH RAYMOND
FOUNDATION

Form 990-PF (2013)

39-6051142 Page 8

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,092,260.
b	Average of monthly cash balances	1b	324,206.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,416,466.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,416,466.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	21,247.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,395,219.
6	Minimum investment return. Enter 5% of line 5	6	69,761.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	69,761.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,635.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,635.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	68,126.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	68,126.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	68,126.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	73,395.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	73,395.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	73,395.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

DR. R.G. RAYMOND & SARAH RAYMOND
FOUNDATION

Form 990-PF (2013)

39-6051142 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				68,126.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	10,561.			
b From 2009	9,443.			
c From 2010	5,103.			
d From 2011	7,330.			
e From 2012	5,867.			
f Total of lines 3a through e	38,304.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	73,395.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				68,126.
e Remaining amount distributed out of corpus	5,269.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	43,573.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	10,561.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	33,012.			
10 Analysis of line 9:				
a Excess from 2009	9,443.			
b Excess from 2010	5,103.			
c Excess from 2011	7,330.			
d Excess from 2012	5,867.			
e Excess from 2013	5,269.			

DR. R.G. RAYMOND & SARAH RAYMOND

Form 990-PF (2013)

FOUNDATION

39-6051142 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BAY LAKES COUNCIL, BOY SCOUTS 2555 NORTHERN ROAD APPLETON, WI 54912	NONE		PROMOTE OUTDOOR ACTIVITIES	2,000.
BLANDINE HOUSE 25 N PARK AVENUE FOND DU LAC, WI 54935	NONE		REHAB OF HANDICAPPED & NURSING HOME SERVICES	1,000.
BROOKE INDUSTRIES 1257 INDUSTRIAL PARKWAY FOND DU LAC, WI 54937	NONE		REHAB OF HANDICAPPED & NURSING HOME SERVICES	2,000.
BROWNSVILLE PUBLIC LIBRARY 379 MAIN STREET BROWNSVILLE, WI 53006	NONE		CIVIC	8,000.
BROWNSVILLE RECREATION DEPT 514 RAILROAD STREET BROWNSVILLE, WI 53006	NONE		PROMOTE OUTDOOR ACTIVITIES	5,500.
Total	SEE CONTINUATION SHEET(S)			68,500.
b Approved for future payment				
NONE				
Total				0.

DR. R.G. RAYMOND & SARAH RAYMOND
FOUNDATION

39-6051142

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHURCH OF PEACE 158 S MILITARY ROAD FOND DU LAC, WI 54935	NONE		RELIGIOUS	250.
FIRST BAPTIST CHURCH 326 E MAIN STREET CAMPBELLSPORT, WI 53010	NONE		RELIGIOUS	250.
FDL BLUE LINE HOCKEY CLUB INC 550 FOND DU LAC AVENUE FOND DU LAC, WI 54935	NONE		COMMUNITY ASSISTANCE	3,000.
FOND DU LAC YMCA 90 W 2ND STREET FOND DU LAC, WI 54935	NONE		COMMUNITY ASSISTANCE	4,000.
LOAVES & FISHES PROGRAM 237 N MACY STREET FOND DU LAC, WI 54935	NONE		REHAB OF HANDICAPPED & NURSING HOME SERVICES	3,750.
LOMIRA QUAD GRAPHICS COMMUNITY FUND N61 W23044 HARRY'S WAY SUSSEX, WI 53089	NONE		COMMUNITY INVOLVEMENT	2,500.
MANITOU GIRL SCOUT COUNCIL 5212 WINDWARD COURT SHEBOYGAN, WI 53083	NONE		PROMOTE OUTDOOR ACTIVITIES	2,000.
MARIAN UNIVERSITY 45 S NATIONAL AVENUE FOND DU LAC, WI 54935	NONE		EDUCATION	4,000.
MAYVILLE MIDDLE SCHOOL 234 N JOHN STREET MAYVILLE, WI 53050	NONE		EDUCATION	500.
MAYVILLE PUBLIC LIBRARY 111 N MAIN STREET MAYVILLE, WI 53050	NONE		CIVIC	1,500.
Total from continuation sheets				50,000.

DR. R.G. RAYMOND & SARAH RAYMOND
FOUNDATION

39-6051142

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SALVATION ARMY 237 N MACY STREET FOND DU LAC, WI 54935	NONE		COMMUNITY ASSISTANCE	4,000.
SOLUTIONS CENTER SHELTER & SUPPORT 39 N SOPHIA STREET FOND DU LAC, WI 54935	NONE		CIVIC	2,500.
SONS OF ZEBEDEE W5882 CHURCH ROAD FOND DU LAC, WI 54937	NONE		RELIGIOUS	250.
SPILLMAN PUBLIC LIBRARY 719 WISCONSIN AVENUE NORTH FOND DU LAC, WI 54937	NONE		CIVIC	1,000.
ST. JOHN'S EVANGELICAL LUTHERAN CHURCH 550 WATER STREET LOMIRA, WI 53048	NONE		RELIGIOUS	500.
ST. LAWRENCE SEMINARY 301 CHURCH MT CALVARY, WI 53057	NONE		EDUCATION	250.
ST. MARY'S CHURCH 699 MILWAUKEE STREET LOMIRA, WI 53048	NONE		RELIGIOUS	6,000.
ST. MARY'S SCHOOL 28 NABER STREET MAYVILLE, WI 53050	NONE		EDUCATION	250.
ST. MARY'S SPRINGS ACADEMY 255 CTY ROAD K FOND DU LAC, WI 54935	NONE		EDUCATION	4,000.
ST. PAULS CATHEDRAL 51 W DIVISION STREET FOND DU LAC, WI 54935	NONE		RELIGIOUS	250.
Total from continuation sheets				

DR. R.G. RAYMOND & SARAH RAYMOND
FOUNDATION

39-6051142

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. PAULS CHURCH 605 HIGHLAND STREET BROWNSVILLE, WI 53006	NONE		RELIGIOUS	250.
THERESA PUBLIC LIBRARY 290 MAYVILLE STREET THERESA, WI 53091	NONE		CIVIC	1,000.
TRINITY METHODIST CHURCH 300 CHURCH STREET LOMIRA, WI 53048	NONE		RELIGIOUS	250.
VISTA WEE YON WA UNTKA N3398 CHAPEL HEIGHTS ROAD CAMPBELLSPORT, WI 53010	NONE		PROMOTE OUTDOOR ACTIVITIES	1,000.
WINNEBAGO LUTHERAN ACADEMY 475 E MERRILL AVENUE FOND DU LAC, WI 54935	NONE		EDUCATION	4,000.
THE ARC OF FOND DU LAC 500 N PARK AVE FOND DU LAC, WI 54935	NONE		EDUCATION	1,000.
BROWNSVILLE PARKS DEPARTMENT 871 MAIN STREET BROWNSVILLE, WI 53006	NONE		PROMOTE OUTDOOR ACTIVITY	1,750.
Total from continuation sheets				

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Long Term Sales Reported on 1099-B								
038222105	APPLIED MATLS INC COM		AMAT					
2000 0000	06/05/2013	Various	30,239 66	22,719 80	0 00	7,519 87	0 00	0 00
17275R102	CISCO SYSTEMS INC COM		CSCO					
500 0000	06/18/2013	05/10/2011	12,439 48	8,985 00	0 00	3,454.48	0 00	0 00
314211103	FEDERATED INVS INC PA CL B		FII					
700 0000	06/13/2013	08/04/2011	19,361 24	13,991 88	0 00	5,369 36	0 00	0 00
314211103	FEDERATED INVS INC PA CL B		FII					
700 0000	06/21/2013	08/22/2011	18,650 20	11,538 87	0 00	7,111 33	0 00	0 00
Total Long Term Sales Reported on 1099-B			80,690 58	57,235 55	0 00	23,455 04	0 00	0 00

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)						
Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a) Date of Acquisition	Stocks Bonds, etc (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)	
Long Term Sales Reported on 1099-B								
084664AZ4	BERKSHIRE HATHAWAY FIN CORP SR NT 4 50% OTD	BERK13						
50000 0000	01/11/2008 DUE 01/15/2013 01/15/2013 01/11/2008	50,000 00	50,000 00	0 00	0 00	0 00	0 00	
110122108	BRISTOL MYERS SQUIBB CO COM	BMJ						
200 0000	06/18/2013 06/11/2002	9,366 26	5,191 68	0 00	4,174 58	0 00	0 00	
166764100	CHEVRON TEXACO CORP COM	CVX						
125 0000	04/22/2013 10/03/2008	14,627 71	10,212 50	0 00	4,415 21	0 00	0 00	
166764100	CHEVRON TEXACO CORP COM	CVX						
125 0000	06/13/2013 Various	15,041 38	9,905 00	0 00	5,136 38	0 00	0 00	
26441C204	DUKE ENERGY CORP NEW COM NEW	DUK						
116 0000	06/19/2013 Various	7,812 13	5,897 65	0 00	1,914 48	0 00	0 00	
413875105	HARRIS CORP DEL COM	HRS						
200 0000	12/05/2013 02/29/2008	12,850 68	9,755 52	0 00	3,095 16	0 00	0 00	
478160104	JOHNSON & JOHNSON COM	JNJ						
100 0000	11/25/2013 02/07/2006	9,581 84	5,701 76	0 00	3,880 08	0 00	0 00	

DR RG RAYMOND & SARAH RAYMOND FOUNDATION

ATTACHMENT A
39-6051142

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)							
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld		
(Box 1a)	(Box 1a)		(Box 2a)				(Box 4)	(Box 15)		
494368103	KIMBERLY CLARK CORP COM		KMB							
100 0000	11/25/2013	12/18/2001	10,900 54	5,773 97	0 00	5,126 57	0 00	0 00		
594918104	MICROSOFT CORP COM		MSFT							
300 0000	06/18/2013	02/08/2008	10,499 25	8,556 00	0 00	1 943 25	0 00	0 00		
717081103	PFIZER INC COM		PFE							
300 0000	06/18/2013	10/14/2005	8,810 31	7,380 00	0 00	1,430 31	0 00	0 00		
863667101	STRYKER CORP COM		SYK							
100 0000	06/19/2013	01/21/2009	6,679 88	4,102 04	0 00	2,577 84	0 00	0 00		
886423102	TIDEWATER INC COM		TDW							
150 0000	06/18/2013	10/12/2006	8,796 63	6,527 10	0 00	2,269 53	0 00	0 00		
Total Long Term Sales Reported on 1099-B			164,966 61	129,003 22	0 00	35,963 39	0 00	0 00		

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
RG & SARAH RAYMOND AGENCY ACCT	3,526.	3,526.	
TOTAL TO PART I, LINE 3	3,526.	3,526.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RG & SARAH RAYMOND AGENCY ACCT	26,095.	252.	25,843.	25,843.	
TO PART I, LINE 4	26,095.	252.	25,843.	25,843.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PY CONTRIBUTION RETURNED	1,000.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,000.	0.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	1,578.	0.		552.
TO FM 990-PF, PG 1, LN 16A	1,578.	0.		552.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAX PREPARATION	1,320.	0.		462.	
TO FORM 990-PF, PG 1, LN 16B	1,320.	0.		462.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	98.	98.		0.	
EXCISE TAX	1,261.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	1,359.	98.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FIDUCIARY FEES	7,632.	4,961.		2,671.	
CONVERSION FEES	36.	23.		13.	
MISCELLANEOUS EXPENSE	140.	91.		49.	
TO FORM 990-PF, PG 1, LN 23	7,808.	5,075.		2,733.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
900 MARATHON OIL CORP	13,280.	31,770.		
250 KIMBERLY CLARK CORP	14,435.	26,115.		
400 PEPSICO INC COM	21,331.	33,176.		
1200 BARRICK GOLD CORP	22,646.	21,156.		
600 BRISTOL MYERS SQUIBB CO COM	14,235.	31,890.		

900 NEWMONT MINING CORP	27,730.	20,727.
1100 CATO CORP	17,548.	34,980.
500 TIDEWATER INC COM	17,608.	29,635.
1000 PFIZER INC COM	22,713.	30,630.
500 HARRIS CORP DEL COM	21,886.	34,905.
1400 INTEL CORP COM	27,171.	36,337.
800 LEXMARK INTL INC NEW CL A	38,374.	28,416.
800 MICROSOFT CORP COM	21,892.	29,928.
1200 VODAFONE GROUP PLC NEW	30,079.	47,172.
300 JOHNSON & JOHNSON COM	17,105.	27,477.
600 ASTRAZENECA PLC SPONSORED ADR	30,364.	35,622.
450 STRYKER CORP	18,266.	33,813.
550 LILLY ELI & CO	22,777.	28,050.
1300 SYMANTEC CORP	24,681.	30,654.
1950 AEROPOSTALE COM	35,717.	17,726.
1400 CISCO SYSTEMS INC COM	24,360.	31,402.
450 DUKE ENERGY CORP	21,426.	31,054.
900 SYSCO CORP COM	28,961.	32,490.
500 DEVON ENERGY CORP NEW COM	28,760.	30,935.
300 OCCIDENTAL PETE CORP DEL COM	27,310.	28,530.
1200 EMC CORP MASS COM	29,141.	30,180.
850 ORACLE CORP COM	28,222.	32,521.
2000 WESTERN UN CO COM	28,808.	34,500.
425 AT&T INC COM	14,328.	14,943.
1050 EXELON CORP COM	32,463.	28,760.
TOTAL TO FORM 990-PF, PART II, LINE 10B	723,617.	905,494.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
50000 GLAXOSMITHKLINE CAP INC GTD NT	48,196.	50,559.
50000 VERIZON COMMUNICATIONS INC	50,359.	50,183.
TOTAL TO FORM 990-PF, PART II, LINE 10C	98,555.	100,742.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BLACKROCK LIQUIDITY FUND TEMP FUND #24	COST	114,097.	114,097.
10348.071 VANGUARD SHORT TERM INMT GRADE ADMR	COST	110,000.	110,724.
2000 PIMCO ENHANCED SHORT MATURITY EXCHANGE TRADED FUND	COST	202,660.	202,640.
		426,757.	427,461.

TOTAL TO FORM 990-PF, PART II, LINE 13

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
PETER E. STONE 130 S MAIN STREET FOND DU LAC, WI 54935	TREASURER 0.75	0.	0.	0.
ROBERT V. EDGARTON PO BOX 1276 FOND DU LAC, WI 54936-1276	SECRETARY 1.75	800.	0.	0.
JOHN J. TAGLIAPIETRA PO BOX 153 BROWNSVILLE, WI 53006-0153	ASSISTANT SECRETARY 0.75	830.	0.	0.
DR. MICHAEL W. BACHHUBER 360 S. MOUNTAIN DR. MAYVILLE, WI 53050	PRESIDENT 0.75	818.	0.	0.
PATRICK D. MICHELS 817 WEST MAIN STREET BROWNSVILLE, WI 53006	VICE PRESIDENT 0.75	830.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		3,278.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT V. EDGARTON C/O DR. R.G. & SARAH RAYMOND FOUNDATION, INC.
PO BOX 1276
FOND DU LAC, WI 54936-1276

TELEPHONE NUMBER

920-922-0470

FORM AND CONTENT OF APPLICATIONS

NO SPECIFICATIONS

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

STATE OF WISCONSIN / PRIMARILY FOND DU LAC & DODGE COUNTIES PURPOSES:
REHABILITATION, NURSING, RECREATIONAL, CIVIC, RELIGIOUS, OR EDUCATIONAL.