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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
ALEXANDER CHARITABLE FOUNDATION, INC
C/O WOLOSEK & WOLOSEK, CPA'S, S.C.

A Employer identification number
39-6045140

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
1910 7TH STREET SOUTH

B Telephone number (see instructions)
715-887-2455

City or town, state or province, country, and ZIP or foreign postal code
WISCONSIN RAPIDS WI 54494

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 19,335,417

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	250			
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	31	31		
	4	Dividends and interest from securities	521,171	521,171		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 STMT 1	1,506,352			
	b	Gross sales price for all assets on line 6a 27,830,103				
	7	Capital gain net income (from Part IV, line 2)		1,506,352		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) STMT 2	2,297	2,297		
	12	**Total.** Add lines 1 through 11	2,030,101	2,029,851	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	2,180			2,180
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 3	8,534	2,816		5,718
	c	Other professional fees (attach schedule) STMT 4	87,309	87,309		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 5	105,526	10,888		
	19	Depreciation (attach schedule) and depletion STMT 6	10,146			
	20	Occupancy	20,556			20,556
	21	Travel, conferences, and meetings	247			
	22	Printing and publications				
	23	Other expenses (att sch) STMT 7	40,930			40,930
	24	**Total operating and administrative expenses.** Add lines 13 through 23	275,428	101,013	0	69,384
	25	Contributions, gifts, grants paid	588,000			588,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	863,428	101,013	0	657,384
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,166,673			
	b	**Net investment income** (if negative, enter -0-)		1,928,838		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing	27,074	12,767	12,767
	2	Savings and temporary cash investments	576,408	926,719	926,719
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att. schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule) STMT 8	4,014,310		
	b	Investments – corporate stock (attach schedule) SEE STMT 9	3,797,641	6,587,255	7,321,461
	c	Investments – corporate bonds (attach schedule) SEE STMT 10	3,262,666	2,388,668	2,390,801
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) SEE STATEMENT 11	5,009,778	7,942,912	8,333,669
	14	Land, buildings, and equipment: basis ▶ 483,975			
		Less accumulated depreciation (attach sch.) ▶ STMT 12 260,416	224,200	223,559	350,000
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers – see the instructions. Also, see page 1, item I)	16,912,077	18,081,880	19,335,417
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	1,199,497	1,199,497	
	29	Retained earnings, accumulated income, endowment, or other funds	15,712,580	16,882,383	
	30	**Total net assets or fund balances** (see instructions)	16,912,077	18,081,880	
	31	**Total liabilities and net assets/fund balances** (see instructions)	16,912,077	18,081,880	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,912,077
2	Enter amount from Part I, line 27a	2	1,166,673
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 13	3	3,215
4	Add lines 1, 2, and 3	4	18,081,965
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 14	5	85
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	18,081,880

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE WORKSHEET			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	1,506,352
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	493,419

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	673,828	17,467,992	0.038575
2011	657,169	16,944,701	0.038783
2010	653,627	16,352,721	0.039971
2009	736,882	14,775,158	0.049873
2008	661,759	16,516,146	0.040067

2	Total of line 1, column (d)	2	0.207269
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.041454
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	17,908,689
5	Multiply line 4 by line 3	5	742,387
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	19,288
7	Add lines 5 and 6	7	761,675
8	Enter qualifying distributions from Part XII, line 4	8	666,888

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	38,577
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	38,577
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	38,577
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	48,000		
b	Exempt foreign organizations – tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	48,000
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	9,423
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 9,423 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ WOLOSEK & WOLOSEK, CPAS, S.C. Telephone no. ▶ 715-424-4600
1910 7TH ST SO
Located at ▶ WISCONSIN RAPIDS WI ZIP+4 ▶ 54494

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X	
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ CAYMAN ISLANDS			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53 4945–5(d).	N/A ☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b	X (No)
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 AMOUNTS PAID TO MAINTAIN THE OPERATING ASSETS OF AN EXHIBITION CENTER - SEE SCHEDULE E	69,384
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	18,159,692
b	Average of monthly cash balances	1b	21,718
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	18,181,410
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	18,181,410
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	272,721
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17,908,689
6	**Minimum investment return.** Enter 5% of line 5	6	895,434

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	895,434
2a	Tax on investment income for 2013 from Part VI, line 5	2a	38,577		
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	38,577
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	856,857
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	856,857
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	856,857

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	657,384
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	9,504
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	666,888
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	666,888

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					856,857
2 Undistributed income, if any, as of the end of 2013:					
a Enter amount for 2012 only				110,844	
b Total for prior years: 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2013:					
a From 2008					
b From 2009					
c From 2010					
d From 2011					
e From 2012					
f **Total** of lines 3a through e					
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 666,888					
a Applied to 2012, but not more than line 2a				110,844	
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2013 distributable amount					556,044
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5					
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount – see instructions					
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions					
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					300,813
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9					
a Excess from 2009					
b Excess from 2010					
c Excess from 2011					
d Excess from 2012					
e Excess from 2013					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include.

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 16				588,000
Total			▶ 3a	588,000
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	31	
4 Dividends and interest from securities			14	521,171	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,506,352	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b MISCELLANEOUS INCOME			14	2,297	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		2,029,851	0

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 2,029,851

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
N/A	

DAA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Signature of officer or trustee | Date 8/8/14 | Title PRESIDENT

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
MARY WOLOSEK	Mary Wolosek	8-5-14	
Firm's name ▶ WOLOSEK & WOLOSEK, CPAS, S.C.		PTIN	P00045328
Firm's address ▶ 1910 7TH STREET SOUTH WISCONSIN RAPIDS, WI 54494		Firm's EIN ▶	39-1699099
		Phone no	715-424-4600

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Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172
2013
Attachment Sequence No **179**

Name(s) shown on return: ALEXANDER CHARITABLE FOUNDATION, INC
C/O WOLOSEK & WOLOSEK, CPA'S, S.C.

Identifying number: 39-6045140

Business or activity to which this form relates
INDIRECT DEPRECIATION

Part I Election To Expense Certain Property Under Section 179
Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	9,504
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	0
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0- If married filing separately, see instructions	5	500,000

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	

8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7			8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8			9	0
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562			10	1,444
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)			11	0
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11			12	0
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12 ▶	13	1,444		

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	10,069
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only-see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property		9,504	15.0	MQ	S/L	79
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27.5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b)	(c)	(d)	(e)	(f)	(g)
20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	10,148
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

THERE ARE NO AMOUNTS FOR PAGE 2

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Capital Gains and Losses for Tax on Investment Income

Form **990-PF** | For calendar year 2013, or tax year beginning , and ending | **2013**

Name
ALEXANDER CHARITABLE FOUNDATION, INC
C/O WOLOSEK & WOLOSEK, CPA'S, S.C.

Employer Identification Number
39-6045140

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	PUBLICLY TRADED SECURITIES	P		
(2)	PUBLICLY TRADED SECURITIES	P		
(3)	PUBLICLY TRADED SECURITIES	P		
(4)	UNITED STATES TREAS NTS	P	VARIOUS	02/15/13
(5)	UNITED STATES TREAS NTS	P	VARIOUS	01/31/13
(6)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(7)	UNITED STATES TREAS NTS	P	VARIOUS	02/15/13
(8)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(9)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(10)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(11)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(12)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(13)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(14)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(15)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	254,787		248,575	6,212
(2)	1,618,152		1,634,067	-15,915
(3)	3,230,340		2,773,709	456,631
(4)	219,054		199,281	19,773
(5)	150,000		149,473	527
(6)	109,325		98,465	10,860
(7)	114,921		100,517	14,404
(8)	435,211		400,266	34,945
(9)	76,795		74,042	2,753
(10)	101,255		99,989	1,266
(11)	205,447		201,686	3,761
(12)	101,080		100,462	618
(13)	74,833		74,297	536
(14)	89,942		89,484	458
(15)	142,521		143,222	-701

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				6,212
(2)				-15,915
(3)				456,631
(4)				19,773
(5)				527
(6)				10,860
(7)				14,404
(8)				34,945
(9)				2,753
(10)				1,266
(11)				3,761
(12)				618
(13)				536
(14)				458
(15)				-701

Form 990-PF Capital Gains and Losses for Tax on Investment Income 2013

For calendar year 2013, or tax year beginning , and ending

Name
ALEXANDER CHARITABLE FOUNDATION, INC
C/O WOLOSEK & WOLOSEK, CPA'S, S.C.

Employer Identification Number
39-6045140

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(2)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(3)	UNITED STATES TREAS NTS	P	VARIOUS	01/15/13
(4)	UNITED STATES TREAS NTS	P	VARIOUS	02/15/13
(5)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(6)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(7)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(8)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(9)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(10)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(11)	UNITED STATES TREAS NTS	P	VARIOUS	03/19/13
(12)	FED HOM LN MTG CORP PARTN CTFS POOL	P	VARIOUS	VARIOUS
(13)	FED HOM LN MTG CORP PARTN CTFS POOL	P	VARIOUS	VARIOUS
(14)	FNMA GTD MTG PASS THRU CTFS POOL	P	VARIOUS	VARIOUS
(15)	FNMA GTD MTG PASS THRU CTFS POOL	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	399,117		400,111	-994
(2)	396,509		396,283	226
(3)	200,000		200,057	-57
(4)	217,093		200,615	16,478
(5)	118,068		111,533	6,535
(6)	284,274		263,982	20,292
(7)	115,736		105,783	9,953
(8)	112,244		99,313	12,931
(9)	117,883		112,696	5,187
(10)	161,403		154,740	6,663
(11)	237,650		237,117	533
(12)	66		66	
(13)	7,562		7,562	
(14)	7,432		7,432	
(15)	5,681		5,681	

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				-994
(2)				226
(3)				-57
(4)				16,478
(5)				6,535
(6)				20,292
(7)				9,953
(8)				12,931
(9)				5,187
(10)				6,663
(11)				533
(12)				
(13)				
(14)				
(15)				

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Form 990-PF	Capital Gains and Losses for Tax on Investment Income For calendar year 2013, or tax year beginning , and ending	2013

Name	Employer Identification Number
ALEXANDER CHARITABLE FOUNDATION, INC C/O WOLOSEK & WOLOSEK, CPA'S, S.C.	39-6045140

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	FNMA GTD MTG PASS THRU CTFS POOL	P	VARIOUS	VARIOUS
(2)	FNMA GTD MTG PASS THRU CTFS POOL	P	VARIOUS	VARIOUS
(3)	FNMA GTD MTG PASS THRU CTFS #MA1200	P	VARIOUS	VARIOUS
(4)	GNMA II GTD MTG PASS THRU CTFS POOL	P	VARIOUS	VARIOUS
(5)	GNMA GTD MTG PASS THRU CTFS POOL	P	VARIOUS	VARIOUS
(6)	WELLS FARGO MTG BACKED SECS	P	VARIOUS	VARIOUS
(7)	PWP TOKUM HEALTHCARE HEDGE FOCUS FD	P	04/29/13	09/16/13
(8)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(9)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(10)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(11)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(12)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(13)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(14)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(15)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	15,770		15,770	
(2)	16,892		16,892	
(3)	8,118		8,118	
(4)	376		376	
(5)	884		884	
(6)	1,259		1,259	
(7)	510,996		500,000	10,996
(8)	868,244		788,633	79,611
(9)	197,335		173,799	23,536
(10)	356,341		144,408	211,933
(11)	1,699,013		1,609,890	89,123
(12)	273		227	46
(13)	467		455	12
(14)	46,155		33,404	12,751
(15)	110,017		110,001	16

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				10,996
(8)				79,611
(9)				23,536
(10)				211,933
(11)				89,123
(12)				46
(13)				12
(14)				12,751
(15)				16

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Form 990-PF Capital Gains and Losses for Tax on Investment Income 2013

For calendar year 2013, or tax year beginning , and ending

Name: ALEXANDER CHARITABLE FOUNDATION, INC
C/O WOLOSEK & WOLOSEK, CPA'S, S.C.

Employer Identification Number: 39-6045140

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(2)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(3)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(4)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(5)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(6)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(7)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(8)	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
(9)	CAPITAL GAIN DISTRIBUTIONS			
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	20,559		1,187	19,372
(2)	4,395,387		4,208,198	187,189
(3)	193,184		157,150	36,034
(4)	362,179		368,398	-6,219
(5)	866,028		532,331	333,697
(6)	234,857		276,907	-42,050
(7)	8,597,485		8,684,958	-87,473
(8)	4,594			4,594
(9)	19,309			19,309
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				19,372
(2)				187,189
(3)				36,034
(4)				-6,219
(5)				333,697
(6)				-42,050
(7)				-87,473
(8)				4,594
(9)				19,309
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
MISCELLANEOUS INCOME	$ 2,297	$ 2,297	$
TOTAL	$ 2,297	$ 2,297	$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING SERVICES	$ 8,534	$ 2,816	$	$ 5,718
TOTAL	$ 8,534	$ 2,816	$ 0	$ 5,718

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGEMENT FEE	$ 87,394	$ 87,394	$	$
EXPENSE ON P/Y RETURN, C/Y BOOKS	-85	-85		
TOTAL	$ 87,309	$ 87,309	$ 0	$ 0

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FEDERAL INCOME TAXES	$ 94,638	$	$	$
FOREIGN TAX PAID	10,888	10,888		
TOTAL	$ 105,526	$ 10,888	$ 0	$ 0

Federal Statements

Statement 5 - Form 990-PF, Part I, Line 19 - Depreciation

Description							
Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
DEPRECIATION							
	$	$ 10,016			$ 10,146	$	$
TOTAL	$ 0	$ 10,016			$ 10,146	$ 0	$ 0

Statement 6 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
OFFICE SUPPLIES, POSTAGE	244			244
SUPPLIES, POSTAGE AND DUES	1,889			1,889
ADVERTISING	3,324			3,324
MEETINGS AND RECEPTIONS	13,789			13,789
MISCELLANEOUS	21,412			21,412
INSURANCE	272			272
TOTAL	$ 40,930	$ 0	$ 0	$ 40,930

Statement 7 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ACCR INTEREST PAID ON PURCHASE	$ 898	$	COST	$
U.S. TREASURY SECURITIES	4,013,412		COST	
TOTAL	$ 4,014,310	$ 0		$ 0

Statement 8 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
AMERICA MOVIL SAB DE C V SPONSORED	$ 30,554	$	COST	$
BANCO BRADESCO S A SPONSORED	34,849		COST	
ITAU UNIBANCO HLDG S A SPONSORED-PRE	34,589		COST	
SEE P/Y STATEMENT C-1	237,959		COST	
SEE STATEMENT C-2	1,802,738	3,045,573	COST	3,408,190
SEE STATEMENT C-3	835,036	873,295	COST	1,098,024
SEE STATEMENT C-4	821,916	971,961	COST	1,008,043
SEE STATEMENT C-6		705,998	COST	789,625
SEE STATEMENT C-7		990,428	COST	1,017,579
TOTAL	$ 3,797,641	$ 6,587,255		$ 7,321,461

Statement 9 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
FNMA #893537	$ 17,188	$ 12,889	COST	$ 13,774
FNMA #901198	44,720	27,296	COST	24,568
FEDERAL HOME LN #J03500	26,530	18,915	COST	19,031
FNMA #880974	21,199	14,162	COST	13,050
FNMA #944358	41,813	24,338	COST	27,507
FEDERAL HOME LN #D63861	643	577	COST	1,301
GNMA #002659	4,842	4,471	COST	2,033
GNMA #511734	5,273	4,387	COST	720
FNMA #MA1200	88,510	80,388	COST	74,779
WELLS FARGO MTG BACKED SECS	7,138	5,879	COST	6,208
SEE STATMENT D	3,004,810	2,195,366	COST	2,207,830
TOTAL	$ 3,262,666	$ 2,388,668		$ 2,390,801

Statement 10 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ALLIANZ NFJ SAMLL CAP VALUE FUND	$ 258,628	$	COST	$
ARTISAN MID-CAP VALUE FUND	282,015	282,015	COST	420,428
DODGE & COX INTERNATIONAL FUND	372,281		COST	
FEDERATED INSTITUTIONAL HIGH	200,264		COST	
GOLDMAN SACH SMALL CAP VALUE FUND	246,070		COST	
HARBOR INTERNATIONAL FUND	390,316		COST	
LAZARD EMERGING MARKETS PORTFOLIO	212,196		COST	
BMO SMALL CAP GROWTH FUND CLASS Y	156,521		COST	
VANGUARD MID-CAP INDEX FUND	372,955		COST	
WELLS FARGO ADVANTAGE EMERGING	39,872	39,872	COST	40,747
ISHARES BRAZIL FREE INDEX FD	130,940		COST	
ISHARES TAIWAN INDEX FD	241,931		COST	
ISHARES SOUTH KOREA INDEX FD	81,331		COST	
ISHARES MALAYSIA FREE INDEX	136,079		COST	
ISHARES CHINA 25 INDEX FD	78,324		COST	
ISHARES CHINA INDEX FD	369,694		COST	
ISHARES RUSSIA CAPPED INDEX	203,734		COST	
WISDOMTREE INDIA EARNINGS FD	385,724		COST	
ISHARES S & P MID-CAP ETF	298,249		COST	
ISHARES BARCLAYS 3-7 YR TREAS BD FD	245,702		COST	
PROSHARES ULTRA 7-10	175,424		COST	
PROSHARES ULTRA	131,528		COST	
FIDELITY ADVISOR FLOATING RATE HIGH		302,778	COST	303,079
EATON VANCE TAX MANAGED BUY WRITE		18,210	COST	19,723
MFS GOVT MKTS INC TR SH BEN INT		12,869	COST	11,059
TORTOISE ENERGY INFRASTRUCTURE CORP		93,814	COST	98,915
POWERSHARES ETF		29,358	COST	29,110
UBS AG LONDON BRH ETRACS ALERIAN MLP		102,102	COST	105,670
ISHARES TR S&P U S PFD STK INDEX FD		58,929	COST	54,140
ISHARES TR CORE S&P 500 ETF		322,845	COST	338,811
ISHARES TR CORE S&P MID-CAP ETF		334,183	COST	337,201
PROSHARES ULTRA S&P500		182,150	COST	202,043
PROSHARES ULTRA MIDCAP400		194,416	COST	198,218
CREDIT SUISSE NASSAU BRH ETN LKD		849,371	COST	970,192
ARC HEDGE FOCUS FD LTD SER 3		900,000	COST	858,782
CS FINISTERRE SOVEREIGN DEBT FD 4		450,000	COST	465,838
BLACKGOLD OPPORTUNITY HEDGE FOCUS FD		300,000	COST	305,267

Federal Statements

Statement 10 - Form 990-PF, Part II, Line 13 - Other Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MILLENNIUM INTERNATIONAL HEDGEFOCUS	$	$ 300,000	COST	$ 300,000
CITIBANK CREDIT SUISSE DOLLAR SR LN		2,050,000	COST	2,062,818
C S SEC PROD FD LTD		720,000	COST	809,597
MORGAN CHASE BANK, CVF (CAYMAN)		400,000	COST	402,031
TOTAL	$ 5,009,778	$ 7,942,912		$ 8,333,669

Statement 11 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
BUILDING - 1131 WIS RIVER DR	$ 276,171	$ 276,171	$	$ 350,000
BUILDING IMPROVEMENTS	57,937	57,937		
FURNITURE, FIXTURES & ARTWORK	67,342	67,342		
LAND IMPROVEMENTS	58,710	68,214		
OFFICE EQUIPMENT	14,311	14,311		
ACCUM DEPRECIATION - BUILDING			151,989	
ACCUM DEPRECIATION - F & F			108,427	
TOTAL	$ 474,471	$ 483,975	$ 260,416	$ 350,000

Federal Statements

Statement 12 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
BOOK INCOME NOT ON RETURN	$ 3,215
TOTAL	$ 3,215

Statement 13 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
EXP ON RETURN IN '12, BOOKS '13	$ 85
TOTAL	$ 85

Foreign Country in which Financial Account is Held

Foreign Country Code	Foreign Country Name
CJ	CAYMAN ISLANDS

Federal Statements

Statement 14 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
JOHN A. CASEY 411 E WISCONSIN AVE MILWAUKEE WI 53202-4497	PRES/DIRECTO	1.00	0	0	0
LESLIE V. ARENDT 311 1ST ST PORT EDWARDS WI 54469	V PRES	1.00	0	0	0
CHARLES R. LESTER P.O. BOX 9 WISC. RAPIDS WI 54495	V PRES/DIREC	1.00	0	0	0
TRACY MCCORMICK 806 ROSEWOOD AVE WINNETKA IL 60093	DIRECTOR	1.00	0	0	0
TIM WRIGHT BOX 160 MANITOWISH WATERS WI 54545	DIRECTOR	1.00	0	0	0
J. MARSHALL BUEHLER 1081 2ND ST PORT EDWARDS WI 54469	V PRESIDENT	1.00	0	0	0
KAREN THIEL 911 CRAIG CT PORT EDWARDS WI 54469	SEC/TREAS	5.00	2,180	0	0
LAUREN ARENDT 311 FIRST STREET PORT EDWARDS WI 54469	DIRECTOR	1.00	0	0	0

Federal Statements

Statement 15 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name / Address	Address / Relationship	Address / Status	Purpose	Amount
AMERICAN FOLKLORE THEATRE			FINANCIAL NEEDS	45,000
AMERICAN PLAYERS THEATRE			SAME	5,000
STREETWISE			SAME	2,500
WORLD BICYCLE RELIEF			SAME	2,500
BOYS & GIRLS CLUB			SAME	5,000
CHICAGO CHILDRENS CHOIR			SAME	2,500
CHILDREN'S HOME AND AID			SAME	5,000
GREATER CHICAGO FOOD DEPOSITORY			SAME	5,000
DOOR COUNTY MEDICAL CENTER			SAME	20,000
DOOR COUNTY YMCA			SAME	25,000
DUPAGE EASTER SEAL TREATM			SAME	10,000
EXTENSION HOMELESS SHELTR			SAME	5,000
FAMILY CENTER			SAME	9,000
FRIENDS OF BURLEY ELEM FDN			SAME	5,000
GIRLS IN THE GAME			SAME	5,000
GRALAND CO DAY SCHOOL			SAME	1,000
MUSIC INSTITUTE OF CHICAGO			SAME	2,500

Federal Statements

Statement 15 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
KING BRUWAERT RETIREMENT HOUSE			SAME	5,000
LINKS FOUNDATION			SAME	5,000
LYRIC OPERA			SAME	5,000
MARINER SANDS CHAPEL			SAME	10,000
MARSHFIELD MEDICAL RESEAR			SAME	10,000
NORTHWESTERN UNIVERSITY			SAME	5,000
HISTORICAL CHICAGO-BUNGALOW ASSOC			SAME	5,000
OPERATION GRATITUDE			SAME	1,000
OPPORTUNITY DEVELOPMENT C			SAME	26,000
ORANGEWOOD CHILDREN'S HOME			SAME	2,500
PE EDUCATION FOUNDATION			SAME	1,000
RECOVERY FOUNDATION			SAME	1,000
REHABILITATION INSTITUTE OF CHICAGO			SAME	5,000
RIVERVIEW HOSPITAL			SAME	28,500
SHELTERBOX			SAME	1,000
SO WOOD CTY HISTORICAL MUSEUM			SAME	7,000
ST FRANCIS HOSPITAL			SAME	5,000

Statement 15 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
ST THOMAS MOORE HIGH SCHOOL			SAME	1,000
COMM FDN OF GREELEY AND WILD COUNTY			SAME	5,000
UNITED METHODIST CHURCH			SAME	36,000
URBAN PEAK			SAME	1,000
UW CANCER CENTER RIVERVW			SAME	75,000
BOULDER NORDIC JR RACE TEAM			SAME	5,000
WELLNESS CENTER			SAME	10,000
YMCA			SAME	152,000
INCOURAGE COMMUNITY FOUNDATION			SAME	9,000
U OF CO FDN SCHOOL OF EDUCATION			SAME	1,000
OPERATION BOOTSTRAP			SAME	500
SO WOOD CO EMERGENCY PANTRY SHELF			SAME	5,000
U OF CO FDN POTTER ALZHEIMERS RESEA			SAME	1,000
UWSP FOUNDATION			SAME	3,000
U OF DENVER DANILES COLLEGE OF BUSI			SAME	1,000
WAR MEMORIAL-VETERANS BOD			SAME	2,000
STUDENTS RUN LA			SAME	2,500

Federal Statements

Statement 15 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name / Address	Address / Relationship	Status	Purpose	Amount
TOTAL				588,000

Alexander Charitable Foundation,Inc
c/o Wolosek & Wolosek, CPA's, S.C.
1910 7th Street South
Wisconsin Rapids, WI 54494

Electing out of Bonus Depreciation Allowance for All Eligible Depreciable Property

The taxpayer elects out of first-year bonus depreciation allowance under IRC Section 168(k) for all eligible asset classes of depreciable property acquired after December 31, 2007. This election applies to all eligible depreciable property placed in service during the tax year.

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit www irs gov/efile and click on e-file for Charities & Nonprofits

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions ALEXANDER CHARITABLE FOUNDATION, INC C/O WOLOSEK & WOLOSEK, CPA'S, S.C.	Employer identification number (EIN) or 39-6045140
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 1910 7TH STREET SOUTH	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions WISCONSIN RAPIDS WI 54494	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ WOLOSEK & WOLOSEK, CPAS, S.C.
  1910 7TH ST SO
  WISCONSIN RAPIDS WI 54494

Telephone No ▶ 715-424-4600 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15/14 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [X] calendar year 2013 or
▶ [ ] tax year beginning , and ending

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 38,789
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 48,000
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago IL 60606
(800) 682-4335

#39-6045140

Discretionary Managed Portfolio
Statement

PART II – LINE 10b – STATEMENT C-2

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 91.00% of Portfolio								
Common Stocks								
ACCENTURE PLC IRELAND CLASS SHS			Security Identifier: ACN					
ISIN#IE00B4BNMY34			CUSIP: G1151C101					
Dividend Option: Cash								
12/18/13	1,301.000	75.3200	97,991.18	82.2200	106,968.22	8,977.04	2,419.86	2.26%
ABB LTD SPONSORED ADR			Security Identifier: ABB					
Dividend Option: Cash			CUSIP: 000375204					
12/11/12	4,948.000	20.0600	99,256.88	26.5600	131,418.88	32,162.00	3,483.87	2.65%
08/05/13	2,744.000	22.1300	60,724.44	26.5600	72,880.64	12,156.20	1,932.04	2.65%
Total Covered	**7,692.000**		**159,981.32**		**204,299.52**	**44,318.20**	**5,415.91**	
Total	**7,692.000**		**$159,981.32**		**$204,299.52**	**$44,318.20**	**$5,415.91**	
ALTRIA GROUP INC COM			Security Identifier: MO					
Dividend Option: Cash			CUSIP: 02209S103					
12/11/12	1,484.000	33.1400	49,179.76	38.3900	56,970.76	7,791.00	2,849.28	5.00%
08/05/13	884.000	35.5500	31,426.20	38.3900	33,936.76	2,510.56	1,697.28	5.00%
Total Covered	**2,368.000**		**80,605.96**		**90,907.52**	**10,301.56**	**4,546.56**	
Total	**2,368.000**		**$80,605.96**		**$90,907.52**	**$10,301.56**	**$4,546.56**	
ASTRAZENECA PLC SPONSORED ADR			Security Identifier: AZN					
Dividend Option: Cash			CUSIP: 046353108					
12/11/12	1,797.000	48.7540	87,610.75	59.3700	106,687.89	19,077.14	5,031.60	4.71%
05/30/13	222.000	52.2700	11,603.87	59.3700	13,180.14	1,576.27	621.60	4.71%
08/05/13	943.000	50.6590	47,771.34	59.3700	55,985.91	8,214.57	2,640.40	4.71%

#39-6045140 [redacted]

Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-2

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ASTRAZENECA PLC SPONSORED ADR *(continued)*								
Total Covered	**2,962.000**		**146,985.96**		**175,853.94**	**28,867.98**	**8,293.60**	
Total	**2,962.000**		**$146,985.96**		**$175,853.94**	**$28,867.98**	**$8,293.60**	
AUTOMATIC DATA PROCESSING INC COM			**Security Identifier: ADP**					
Dividend Option: Cash			CUSIP: 053015103					
12/18/13	792.000	78.3280	62,036.17	80.7990	63,992.81	1,956.64	1,520.64	2.37%
BASF SE SPONS ADR			**Security Identifier: BASFY**					
ISIN#US0552625057			CUSIP: 055262505					
Dividend Option: Cash								
12/11/12	524.000	92.8500	48,653.40	106.7770	55,951.15	7,297.75	1,292.13	2.30%
08/05/13	325.000	88.1200	28,639.00	106.7770	34,702.52	6,063.52	801.41	2.30%
Total Covered	**849.000**		**77,292.40**		**90,653.67**	**13,361.27**	**2,093.54**	
Total	**849.000**		**$77,292.40**		**$90,653.67**	**$13,361.27**	**$2,093.54**	
BEMIS CO INC COM			**Security Identifier: BMS**					
Dividend Option: Cash			CUSIP: 081437105					
12/11/12	1,012.000	33.3750	33,775.60	40.9600	41,451.52	7,675.92	1,052.48	2.53%
08/05/13	606.000	42.0300	25,470.18	40.9600	24,821.76	-648.42	630.24	2.53%
Total Covered	**1,618.000**		**59,245.78**		**66,273.28**	**7,027.50**	**1,682.72**	
Total	**1,618.000**		**$59,245.78**		**$66,273.28**	**$7,027.50**	**$1,682.72**	
BRITISH AMERN TOB PLC SPONSORED ADR			**Security Identifier: BTI**					
ISIN#US1104481072			CUSIP: 110448107					
Dividend Option: Cash								
05/29/13	440.000	111.6470	49,124.59	107.4200	47,264.80	-1,859.79	1,903.40	4.02%
08/05/13	263.000	108.2700	28,475.01	107.4200	28,251.46	-223.55	1,137.72	4.02%
Total Covered	**703.000**		**77,599.60**		**75,516.26**	**-2,083.34**	**3,041.12**	
Total	**703.000**		**$77,599.60**		**$75,516.26**	**-$2,083.34**	**$3,041.12**	
CA INC COM			**Security Identifier: CA**					
Dividend Option: Cash			CUSIP: 12673P105					
12/11/12	653.000	22.1110	14,438.68	33.6500	21,973.45	7,534.77	653.00	2.97%
08/05/13	1,426.000	30.5800	43,607.08	33.6500	47,984.90	4,377.82	1,426.00	2.97%
Total Covered	**2,079.000**		**58,045.76**		**69,958.35**	**11,912.59**	**2,079.00**	
Total	**2,079.000**		**$58,045.76**		**$69,958.35**	**$11,912.59**	**$2,079.00**	
CHINA MOBILE LTD SPON ADR S A			**Security Identifier: CHL**					
ISIN#US16941M099			CUSIP: 16941M109					
Dividend Option: Cash								
12/11/12	629.000	57.7120	36,300.91	52.2900	32,890.41	-3,410.50	1,267.68	3.85%
08/05/13	364.000	53.3380	19,415.21	52.2900	19,033.56	-381.65	733.60	3.85%
Total Covered	**993.000**		**55,716.12**		**51,923.97**	**-3,792.15**	**2,001.28**	
Total	**993.000**		**$55,716.12**		**$51,923.97**	**-$3,792.15**	**$2,001.28**	

#39-6045140

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago IL 60606
(800) 862-4335

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PART II – LINE 10b – STATEMENT C-2

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ERICSSON L M TEL CO ADR CL B SEK 10 NEW			**Security Identifier: ERIC**					
EXCH FOR ADR CL B SEK NEW			CUSIP: 294821608					
Dividend Option: Cash								
09/20/13	4,327.000	13.8700	60,015.92	12.2400	52,962.48	-7,053.44	1,272.72	2.40%
GENERAL ELECTRIC CO COM			**Security Identifier: GE**					
Dividend Option: Cash			CUSIP: 369604103					
05/29/13	4,208.000	23.6280	99,426.62	28.0300	117,950.24	18,523.62	3,703.04	3.13%
08/05/13	2,359.000	24.5890	58,006.63	28.0300	66,122.77	8,116.14	2,075.92	3.13%
Total Covered	**6,567.000**		**157,433.25**		**184,073.01**	**26,639.76**	**5,778.96**	
Total	**6,567.000**		**$157,433.25**		**$184,073.01**	**$26,639.76**	**$5,778.96**	
GENUINE PARTS CO			**Security Identifier: GPC**					
Dividend Option: Cash			CUSIP: 372460105					
12/11/12	580.000	63.6410	36,911.72	83.1900	48,250.20	11,338.48	1,247.00	2.58%
08/05/13	324.000	84.4640	27,366.46	83.1900	26,953.56	-412.90	696.60	2.58%
Total Covered	**904.000**		**64,278.18**		**75,203.76**	**10,925.58**	**1,943.60**	
Total	**904.000**		**$64,278.18**		**$75,203.76**	**$10,925.58**	**$1,943.60**	
HARRIS CORP DEL			**Security Identifier: HRS**					
Dividend Option: Cash			CUSIP: 413875105					
12/11/12	734.000	49.4200	36,274.28	69.8100	51,240.54	14,966.26	1,233.12	2.40%
05/29/13	411.000	51.1740	21,032.71	69.8100	28,691.91	7,659.20	690.48	2.40%
08/05/13	619.000	57.6670	35,696.18	69.8100	43,212.39	7,516.21	1,039.92	2.40%
Total Covered	**1,764.000**		**93,003.17**		**123,144.84**	**30,141.67**	**2,963.52**	
Total	**1,764.000**		**$93,003.17**		**$123,144.84**	**$30,141.67**	**$2,963.52**	
INTEL CORP COM			**Security Identifier: INTC**					
Dividend Option: Cash			CUSIP: 458140100					
12/11/12	1,265.000	20.6800	26,160.20	25.9550	32,833.08	6,672.88	1,138.50	3.46%
08/05/13	717.000	22.9490	16,454.79	25.9550	18,609.73	2,154.94	645.30	3.46%
Total Covered	**1,982.000**		**42,614.99**		**51,442.81**	**8,827.82**	**1,783.80**	
Total	**1,982.000**		**$42,614.99**		**$51,442.81**	**$8,827.82**	**$1,783.80**	

#39-6045140

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PART II – LINE 10b – STATEMENT C-2

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LILLY ELI & CO COM			Security Identifier: LLY					
Dividend Option: Cash			CUSIP: 532457108					
12/11/12	1,095.000	50.5490	55,351.70	51.0000	55,845.00	493.30	2,146.20	3.84%
08/05/13	572.000	54.2790	31,047.87	51.0000	29,172.00	-1,875.87	1,121.12	3.84%
Total Covered	**1,667.000**		**86,399.57**		**85,017.00**	**-1,382.57**	**3,267.32**	
Total	**1,667.000**		**$86,399.57**		**$85,017.00**	**-$1,382.57**	**$3,267.32**	
LORILLARD INC COM			Security Identifier: LO					
Dividend Option: Cash			CUSIP: 544147101					
12/11/12	1,296.000	40.1770	52,069.26	50.6800	65,681.28	13,612.02	2,851.20	4.34%
08/05/13	688.000	43.1890	29,714.37	50.6800	34,867.84	5,153.47	1,513.60	4.34%
Total Covered	**1,984.000**		**81,783.63**		**100,549.12**	**18,765.49**	**4,364.80**	
Total	**1,984.000**		**$81,783.63**		**$100,549.12**	**$18,765.49**	**$4,364.80**	
MATTEL INC COM			Security Identifier: MAT					
Dividend Option: Cash			CUSIP: 577081102					
12/11/12	1,172.000	37.4050	43,839.13	47.5800	55,763.76	11,924.63	1,687.68	3.02%
08/05/13	679.000	43.1290	29,284.93	47.5800	32,306.82	3,021.89	977.76	3.02%
Total Covered	**1,851.000**		**73,124.06**		**88,070.58**	**14,946.52**	**2,665.44**	
Total	**1,851.000**		**$73,124.06** - 2,176.56 = 70,947.50		**$88,070.58**	**$14,946.52**	**$2,665.44**	
MERCK & CO INC NEW COM			Security Identifier: MRK					
Dividend Option: Cash			CUSIP: 58933Y105					
02/22/13	760.000	42.6980	32,450.70	50.0500	38,038.00	5,587.30	1,337.60	3.51%
08/05/13	384.000	48.5990	18,662.20	50.0500	19,219.20	557.00	675.84	3.51%
Total Covered	**1,144.000**		**51,112.90**		**57,257.20**	**6,144.30**	**2,013.44**	
Total	**1,144.000**		**$51,112.90**		**$57,257.20**	**$6,144.30**	**$2,013.44**	
NOVARTIS AG SPONSORED ADR			Security Identifier: NVS					
Dividend Option: Cash			CUSIP: 66987V109					
05/29/13	955.000	72.6810	69,410.35	80.3800	76,762.90	7,352.55	1,964.91	2.55%
08/05/13	571.000	72.4090	41,345.53	80.3800	45,896.98	4,551.45	1,174.83	2.55%
Total Covered	**1,526.000**		**110,755.88**		**122,659.88**	**11,904.00**	**3,139.74**	
Total	**1,526.000**		**$110,755.88**		**$122,659.88**	**$11,904.00**	**$3,139.74**	
PPL CORP COM			Security Identifier: PPL					
Dividend Option: Cash			CUSIP: 69351T106					
12/11/12	1,235.000	29.0540	35,882.06	30.0900	37,161.15	1,279.09	1,815.45	4.88%
05/29/13	676.000	29.9900	20,273.24	30.0900	20,340.84	67.60	993.72	4.88%
08/05/13	1,140.000	31.8100	36,263.17	30.0900	34,302.60	-1,960.57	1,675.80	4.88%
Total Covered	**3,051.000**		**92,418.47**		**91,804.59**	**-613.88**	**4,484.97**	
Total	**3,051.000**		**$92,418.47**		**$91,804.59**	**-$613.88**	**$4,484.97**	

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CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago, IL 60606
(800) 882-4339

#39-6045140

PART II – LINE 10b – STATEMENT C-2

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings (continued)

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
QUALCOMM INC			**Security Identifier: QCOM**					
Dividend Option: Cash			CUSIP: 747525103					
05/29/13	483.000	64.0610	30,941.46	74.2500	35,862.75	4,921.29	676.20	1.88%
08/05/13	296.000	65.7590	19,464.81	74.2500	21,978.00	2,513.19	414.40	1.88%
09/20/13	894.000	69.7390	62,346.93	74.2500	66,379.50	4,032.57	1,251.60	1.88%
Total Covered	**1,673.000**		**112,753.20**		**124,220.25**	**11,467.05**	**2,342.20**	
Total	**1,673.000**		**$112,753.20**		**$124,220.25**	**$11,467.05**	**$2,342.20**	
ROCHE HLDGS LTD SPONSORED ADR			**Security Identifier: RHHBY**					
ISIN#US7711951043			CUSIP: 771195104					
Dividend Option: Cash								
05/29/13	839.000	63.9760	53,676.03	70.0510	58,772.79	5,096.76	1,362.93	2.31%
08/05/13	489.000	61.9900	30,313.11	70.0510	34,254.94	3,941.83	794.37	2.31%
Total Covered	**1,328.000**		**83,989.14**		**93,027.73**	**9,038.59**	**2,157.30**	
Total	**1,328.000**		**$83,989.14**		**$93,027.73**	**$9,038.59**	**$2,157.30**	
SANOFI SPONS ADR			**Security Identifier: SNY**					
ISIN#US80105N1054			CUSIP: 80105N105					
Dividend Option: Cash								
12/11/12	1,592.000	46.9260	74,705.49	53.6300	85,378.96	10,673.47	1,997.56	2.33%
08/05/13	899.000	50.7600	45,633.15	53.6300	48,213.37	2,580.22	1,128.02	2.33%
Total Covered	**2,491.000**		**120,338.64**		**133,592.33**	**13,253.69**	**3,125.58**	
Total	**2,491.000**		**$120,338.64**		**$133,592.33**	**$13,253.69**	**$3,125.58**	
SIEMENS A G SPONSORED ADR			**Security Identifier: SI**					
ISIN#US8261975010			CUSIP: 826197501					
Dividend Option: Cash			-354.71	Basis assigned to Osram distribution				
12/11/12	941.000	107.0950	100,776.11	138.5100	130,337.91	29,561.80	2,768.33	2.12%
08/05/13	535.000	111.2490	59,518.21	138.5100	74,102.85	14,584.64	1,573.91	2.12%
Total Covered	**1,476.000**		**160,294.32**		**204,440.76**	**44,146.44**	**4,342.24**	
Total	**1,476.000**		**$160,294.32**		**$204,440.76**	**$44,146.44**	**$4,342.24**	
STANLEY BLACK & DECKER INC COM			**Security Identifier: SWK**					
Dividend Option: Cash			CUSIP: 854502101					
05/29/13	438.000	80.7350	35,361.93	80.6900	35,342.22	-19.71	876.00	2.47%
08/05/13	257.000	87.7670	22,556.11	80.6900	20,737.33	-1,818.78	514.00	2.47%

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Portfolio Holdings *(continued)*
#39-6045140

PART II – LINE 10b – STATEMENT C-2

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
STANLEY BLACK & DECKER INC COM *(continued)*								
Total Covered	**695.000**		**57,918.04**		**56,079.55**	**-1,838.49**	**1,390.00**	
Total	**695.000**		**$57,918.04**		**$56,079.55**	**-$1,838.49**	**$1,390.00**	
STATOIL ASA SPONSORED ADR			**Security Identifier: STO**					
ISIN#US85771P1021			CUSIP: 85771P102					
Dividend Option: Cash								
12/11/12	1,397.000	24.5590	34,309.34	24.1300	33,709.61	-599.73	1,199.74	3.55%
05/29/13	1,819.000	22.7620	41,403.89	24.1300	43,892.47	2,488.58	1,562.16	3.55%
08/05/13	1,947.000	21.2500	41,373.36	24.1300	46,981.11	5,607.75	1,672.08	3.55%
Total Covered	**5,163.000**		**117,086.59**		**124,583.19**	**7,496.60**	**4,433.98**	
Total	**5,163.000**		**$117,086.59**		**$124,583.19**	**$7,496.60**	**$4,433.98**	
SYMANTEC CORP			**Security Identifier: SYMC**					
Dividend Option: Cash			CUSIP: 871503108					
05/29/13	1,757.000	22.8700	40,182.59	23.5800	41,430.06	1,247.47	1,054.20	2.54%
08/05/13	1,034.000	26.5600	27,463.04	23.5800	24,381.72	-3,081.32	620.40	2.54%
Total Covered	**2,791.000**		**67,645.63**		**65,811.78**	**-1,833.85**	**1,674.60**	
Total	**2,791.000**		**$67,645.63**		**$65,811.78**	**-$1,833.85**	**$1,674.60**	
SYSCO CORP			**Security Identifier: SYY**					
Dividend Option: Cash			CUSIP: 871829107					
12/11/12	1,142.000	31.8680	36,393.48	36.1000	41,226.20	4,832.72	1,279.04	3.10%
08/05/13	589.000	34.8500	20,526.65	36.1000	21,262.90	736.25	659.68	3.10%
Total Covered	**1,731.000**		**56,920.13**		**62,489.10**	**5,568.97**	**1,938.72**	
Total	**1,731.000**		**$56,920.13**		**$62,489.10**	**$5,568.97**	**$1,938.72**	
THOMSON REUTERS CORP COM			**Security Identifier: TRI**					
ISIN#CA8849031056			CUSIP: 884903105					
Dividend Option: Cash								
12/11/12	2,136.000	28.5780	61,041.96	37.8200	80,783.52	19,741.56	2,776.80	3.43%
08/05/13	1,138.000	34.5290	39,294.00	37.8200	43,039.16	3,745.16	1,479.40	3.43%
Total Covered	**3,274.000**		**100,335.96**		**123,822.68**	**23,486.72**	**4,256.20**	
Total	**3,274.000**		**$100,335.96**		**$123,822.68**	**$23,486.72**	**$4,256.20**	
TOTAL S A SPONSORED ADR			**Security Identifier: TOT**					
Dividend Option: Cash			CUSIP: 89151E109					
12/11/12	1,773.000	50.9540	90,340.91	61.2700	108,631.71	18,290.80	4,656.57	4.28%
08/05/13	990.000	53.5000	52,964.90	61.2700	60,657.30	7,692.40	2,600.11	4.28%
Total Covered	**2,763.000**		**143,305.81**		**169,289.01**	**25,983.20**	**7,256.68**	
Total	**2,763.000**		**$143,305.81**		**$169,289.01**	**$25,983.20**	**$7,256.68**	

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CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago, IL 60606
(800) 682-4335

#39-6045140

PART II – LINE 10b – STATEMENT C-2

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
UNILEVER PLC SPON ADR NEW			**Security Identifier: UL**					
ISIN#US9047677045			CUSIP: 904767704					
Dividend Option: Cash								
05/29/13	1,280.000	42.9430	54,967.16	41.2000	52,736.00	-2,231.16	1,786.11	3.38%
08/05/13	757.000	41.3590	31,308.46	41.2000	31,188.40	-120.06	1,056.31	3.38%
Total Covered	**2,037.000**		**86,275.62**		**83,924.40**	**-2,351.22**	**2,842.42**	
Total	**2,037.000**		**$86,275.62**		**$83,924.40**	**-$2,351.22**	**$2,842.42**	
Total Common Stocks			**$2,895,303.35**		**$3,269,813.59**	**$374,510.24**	**$102,532.46**	
Preferred Stocks *(Listed by expiration date)*								
VALE SA ADR REPSTG PFD			**Security Identifier: VALE P**					
ISIN#US91912E2046			CUSIP: 91912E204					
Dividend Option: Cash								
12/11/12	4,656.000	18.2700	85,064.65	14.0100	65,230.56	-19,834.09	609.97	0.93%
08/05/13	2,834.000	12.5100	35,453.05	14.0100	39,704.34	4,251.29	371.27	0.93%
09/20/13	2,387.000	14.8620	35,475.59	14.0100	33,441.87	-2,033.72	312.71	0.93%
Total Covered	**9,877.000**		**155,993.29**		**138,376.77**	**-17,616.52**	**1,293.95**	
Total	**9,877.000**		**$155,993.29**		**$138,376.77**	**-$17,616.52**	**$1,293.95**	
Total Preferred Stocks			**$155,993.29**		**$138,376.77**	**-$17,616.52**	**$1,293.95**	
Total Equities			**$3,051,296.64**		**$3,408,190.36**	**$356,893.72**	**$103,826.41**	

Siemens <3,547.31>
~~3,047,749.33~~
Matter (2,176.56)
3,045,572.77

Unrealized Estimated Estimated

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CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago, IL 60606
(800) 662-4335

#39-6045140

Managed Account Statement

Statement Period: 12/01/2013 – 12/31/2013

Portfolio Holdings

PART II – LINE 10b – STATEMENT C-3

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 98.00% of Portfolio								
Common Stocks								
ABAXIS INC COM			Security Identifier: ABAX					
Dividend Option: Cash				CUSIP: 002567105				
12/12/12	971.000	38.8630	37,735.86	40.0120	38,851.65	1,115.79		
ADVISORY BRD CO COM			Security Identifier: ABCO					
Dividend Option: Cash				CUSIP: 00762W107				
12/12/12	164.000	49.0460	8,043.55	63.6700	10,441.88	2,398.33		
ANSYS INC COM			Security Identifier: ANSS					
Dividend Option: Cash				CUSIP: 03662Q105				
12/12/12	481.000	68.1480	32,778.95	87.2000	41,943.20	9,164.25		
APTARGROUP INC			Security Identifier: ATR					
Dividend Option: Cash				CUSIP: 038336103				
12/12/12	490.000	47.8600	23,451.35	67.8100	33,226.90	9,775.55	490.00	1.47%
BLACKBAUD INC COM			Security Identifier: BLKB					
Dividend Option: Cash				CUSIP: 09227Q100				
12/12/12	553.000	21.4890	11,883.47	37.6500	20,820.45	8,936.98	265.44	1.27%
BROWN & BROWN INC			Security Identifier: BRO					
Dividend Option: Cash				CUSIP: 115236101				
12/12/12	1,273.000	26.0600	33,174.25	31.3900	39,959.47	6,785.22	509.20	1.27%

#39-6045140 [redacted]

Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-3

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CHEFS WHSE INC COM			Security Identifier: CHEF					
Dividend Option: Cash			CUSIP: 163086101					
09/23/13	502.000	23.1250	11,608.90	29.1600	14,638.32	3,029.42		
CLARCOR INC			Security Identifier: CLC					
Dividend Option: Cash			CUSIP: 179895107					
01/25/13	481.000	49.9370	24,019.50	64.3500	30,952.35	6,932.85	327.08	1.05%
COHEN & STEERS INC COM			Security Identifier: CNS					
Dividend Option: Cash			CUSIP: 19247A100					
12/12/12	618.000	29.1360	18,005.93 - ~~233.35~~ 17,772.58	40.0600	24,757.08	6,751.15	494.40	1.99%
COMPUTER PROGRAMS & SYS INC COM			Security Identifier: CPSI					
Dividend Option: Cash			CUSIP: 205306103					
12/12/12	706.000	51.3280	36,237.56	61.8100	43,637.86	7,400.30	1,440.24	3.30%
COPART INC COM			Security Identifier: CPRT					
Dividend Option: Cash			CUSIP: 217204106					
12/12/12	1,337.000	30.1350	40,290.04	36.6500	49,001.05	8,711.01		
06/21/13	270.000	30.9640	8,360.31	36.6500	9,895.50	1,535.19		
Total Covered	**1,607.000**		**48,650.35**		**58,896.55**	**10,246.20**		
Total	**1,607.000**		**$48,650.35**		**$58,896.55**	**$10,246.20**	**$0.00**	
EXPONENT INC			Security Identifier: EXPO					
Dividend Option: Cash			CUSIP: 30214U102					
12/12/12	320.000	52.9700	16,950.40	77.2740	24,727.68	7,777.28	192.00	0.77%
02/21/13	254.000	51.6110	13,109.24	77.2740	19,627.60	6,518.36	152.40	0.77%
Total Covered	**574.000**		**30,059.64**		**44,355.28**	**14,295.64**	**344.40**	
Total	**574.000**		**$30,059.64**		**$44,355.28**	**$14,295.64**	**$344.40**	
FACTSET RESEARCH SYSTEMS INC			Security Identifier: FDS					
Dividend Option: Cash			CUSIP: 303075105					
12/12/12	374.000	95.5880	35,749.84	108.5800	40,608.92	4,859.08	523.60	1.28%
FEDERATED INVS INC PA CL B			Security Identifier: FII					
Dividend Option: Cash			CUSIP: 314211103					
12/12/12	1,641.000	20.2700	33,262.91	28.8000	47,260.80	13,997.89	1,641.00	3.47%
HAEMONETICS CORP MASS COM			Security Identifier: HAE					
Dividend Option: Cash			CUSIP: 405024100					
12/12/12	1,090.000	40.5280	44,175.72	42.1300	45,921.70	1,745.98		
HENRY JACK & ASSOC INC COM			Security Identifier: JKHY					
Dividend Option: Cash			CUSIP: 426281101					
12/12/12	953.000	39.2540	37,408.89	59.2100	56,427.13	19,018.24	762.40	1.35%

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CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago, IL 60606
(800) 662-4335

#39-6045140

PART II – LINE 10b – STATEMENT C-3

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
HIBBETT SPORTS INC COM			**Security Identifier: HIBB**					
Dividend Option: Cash			CUSIP: 428567101					
10/02/13	295.000	56.5130	16,671.36	67.1520	19,809.84	3,138.48		
12/16/13	206.000	64.5600	13,299.26	67.1520	13,833.31	534.05		
Total Covered	**501.000**		**29,970.62**		**33,643.15**	**3,672.53**		
Total	**501.000**		**$29,970.62**		**$33,643.15**	**$3,672.53**	**$0.00**	
HITTITE MICROWAVE CORP COM			**Security Identifier: HITT**					
Dividend Option: Cash			CUSIP: 43365Y104					
12/12/12	714.000	62.8520	44,876.61	61.7300	44,075.22	-801.39		
LANDSTAR SYSTEMS INC COM			**Security Identifier: LSTR**					
Dividend Option: Cash			CUSIP: 515098101					
12/12/12	485.000	48.9540	23,742.69	57.4500	27,863.25	4,120.56		
10/31/13	182.000	55.2720	10,059.52	57.4500	10,455.90	396.38		
Total Covered	**667.000**		**33,802.21**		**38,319.15**	**4,516.94**		
Total	**667.000**		**$33,802.21**		**$38,319.15**	**$4,516.94**	**$0.00**	
LINCOLN ELEC HLDGS INC COM			**Security Identifier: LECO**					
Dividend Option: Cash			CUSIP: 533900106					
12/12/12	160.000	48.3660	7,738.56	71.3400	11,414.40	3,675.84	147.20	1.28%
NVR INC			**Security Identifier: NVR**					
Dividend Option: Cash			CUSIP: 62944T105					
12/09/13	34.000	973.7690	33,108.15	1,026.0100	34,884.34	1,776.19		
NATIONAL INTERSTATE CORP COM			**Security Identifier: NATL**					
Dividend Option: Cash			CUSIP: 63654U100					
12/12/12	496.000	27.0900	13,436.59	23.0000	11,408.00	-2,028.59	218.24	1.91%
OWENS AND MINOR INC HLDGS CO INC			**Security Identifier: OMI**					
Dividend Option: Cash			CUSIP: 690732102					
12/12/12	953.000	28.0580	26,739.69	36.5600	34,841.68	8,101.99	914.88	2.62%
POOL CORP COM			**Security Identifier: POOL**					
Dividend Option: Cash			CUSIP: 73278L105					
12/12/12	385.000	41.4480	15,957.44	58.1400	22,383.90	6,426.46	292.60	1.30%

#39-6045140

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Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-3

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
RLI CORP			Security Identifier: RLI					
Dividend Option: Cash				CUSIP: 749607107				
12/12/12	417.000	64.8300	27,034.07	97.3800	40,607.46	13,573.39	567.12	1.39%
RPC INC COM			Security Identifier: RES					
Dividend Option: Cash				CUSIP: 749660106				
12/12/12	480.000	12.2700	5,889.55	17.8500	8,568.00	2,678.45	192.00	2.24%
05/08/13	1,117.000	13.1690	14,709.33	17.8500	19,938.45	5,229.12	446.80	2.24%
Total Covered	**1,597.000**		**20,598.88**		**28,506.45**	**7,907.57**	**638.80**	
Total	**1,597.000**		**$20,598.88**		**$28,506.45**	**$7,907.57**	**$638.80**	
RBC BEARINGS INC COM			Security Identifier: ROLL					
Dividend Option: Cash				CUSIP: 75524B104				
12/12/12	464.000	48.6940	22,594.16	70.7500	32,828.00	10,233.84		
ROLLINS INC			Security Identifier: ROL					
Dividend Option: Cash				CUSIP: 775711104				
12/12/12	385.000	22.6600	8,724.06	30.2900	11,661.65	2,937.59	138.60	1.18%
SIRONA DENTAL SYS INC COM			Security Identifier: SIRO					
Dividend Option: Cash				CUSIP: 82966C103				
09/30/13	245.000	66.7960	16,365.07	70.2000	17,199.00	833.93		
11/06/13	216.000	72.3670	15,631.25	70.2000	15,163.20	-468.05		
Total Covered	**461.000**		**31,996.32**		**32,362.20**	**365.88**		
Total	**461.000**		**$31,996.32**		**$32,362.20**	**$365.88**		
TECHNE CORP COM			Security Identifier: TECH					
Dividend Option: Cash				CUSIP: 878377100			0 • *	
12/12/12	616.000	69.3500	42,719.60	94.6700	58,316.72	15,597.12		1.30%
THOR INDS INC			Security Identifier: THO					
Dividend Option: Cash				CUSIP: 885160101				
01/09/13	283.000	40.3890	11,429.97	55.2300	15,630.09	4,200.12		1.66%
TORO CO			Security Identifier: TTC					
Dividend Option: Cash				CUSIP: 891092108				
12/12/12	856.000	42.7040	36,554.62	63.6000	54,441.60	17,886.98		1.25%
Total Common Stocks			**$873,528.22**		**$1,098,023.55**	**$224,495.33**		
Total Equities			**$873,528.22**		**$1,098,023.55**	**$224,495.33**		
		Cohen & Steers	(233.35)					
			873,294.87					

[redacted]

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
Eleven Madison Avenue
7th Floor
New York, NY 10010-3629
(800) 647-2516

#39-6045140

Managed Account
Statement

PART II – LINE 10b – STATEMENT C-4

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 95.00% of Portfolio								
Common Stocks								
ABB LTD SPONSORED ADR			**Security Identifier: ABB**					
Dividend Option: Cash			CUSIP: 000375204					
12/12/12	400.000	20.2500	8,100.00	26.5600	10,624.00	2,524.00	281.64	2.65%
09/23/13	430.000	23.7230	10,200.72	26.5600	11,420.80	1,220.08	302.76	2.65%
09/25/13	210.000	23.7830	4,994.41	26.5600	5,577.60	583.19	147.86	2.65%
Total Covered	**1,040.000**		**23,295.13**		**27,622.40**	**4,327.27**	**732.26**	
Total	**1,040.000**		**$23,295.13**		**$27,622.40**	**$4,327.27**	**$732.26**	
AT&T INC COM			**Security Identifier: T**					
Dividend Option: Cash			CUSIP: 00206R102					
09/23/13	400.000	34.3810	13,752.39	35.1600	14,064.00	311.61	736.00	5.23%
		282 day(s) added to your holding period as a result of a wash sale.						
09/23/13	400.000	35.5510	14,220.47	35.1600	14,064.00	-156.47	736.00	5.23%
		213 day(s) added to your holding period as a result of a wash sale.						
09/23/13	70.000	34.1700	2,391.92	35.1600	2,461.20	69.28	128.80	5.23%
Total Covered	**870.000**		**30,364.78**		**30,589.20**	**224.42**	**1,600.80**	
Total	**870.000**		**$30,364.78**		**$30,589.20**	**$224.42**	**$1,600.80**	
ALTRIA GROUP INC COM			**Security Identifier: MO**					
Dividend Option: Cash			CUSIP: 02209S103					
07/22/13	400.000	37.8130	15,125.33	38.3900	15,356.00	230.67	768.00	5.00%

#39-6045140 [redacted]

Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-4

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ALTRIA GROUP INC COM *(continued)*								
		60 day(s) added to your holding period as a result of a wash sale.						
07/22/13	50.000	36.9330	1,846.63	38.3900	1,919.50	72.87	96.00	5.00%
10/21/13	390.000	38.4720	15,003.93	38.3900	14,972.10	-31.83	748.80	5.00%
		88 day(s) added to your holding period as a result of a wash sale.						
Total Covered	**840.000**		**31,975.89**		**32,247.60**	**271.71**	**1,612.80**	
Total	**840.000**		**$31,975.89**		**$32,247.60**	**$271.71**	**$1,612.80**	
ASTRAZENECA PLC SPONSORED ADR			**Security Identifier: AZN**					
Dividend Option: Cash			CUSIP: 046353108					
11/19/13	200.000	53.4220	10,684.40	59.3700	11,874.00	1,189.60	560.00	4.71%
12/24/13	150.000	58.9920	8,848.80	59.3700	8,905.50	56.70	420.00	4.71%
Total Covered	**350.000**		**19,533.20**		**20,779.50**	**1,246.30**	**980.00**	
Total	**350.000**		**$19,533.20**		**$20,779.50**	**$1,246.30**	**$980.00**	
BCE INC COM NEW			**Security Identifier: BCE**					
ISIN#CA05534B7604 SHS			CUSIP: 05534B760					
Dividend Option: Cash								
03/18/13	400.000	45.5370	18,214.84	43.2900	17,316.00	-898.84	894.71	5.16%
09/25/13	60.000	42.8400	2,570.42	43.2900	2,597.40	26.98	134.21	5.16%
Total Covered	**460.000**		**20,785.26**		**19,913.40**	**-871.86**	**1,028.92**	
Total	**460.000**		**$20,785.26**		**$19,913.40**	**-$871.86**	**$1,028.92**	
BAXTER INTL INC COM			**Security Identifier: BAX**					
Dividend Option: Cash			CUSIP: 071813109					
07/22/13	250.000	74.2790	18,569.73	69.5500	17,387.50	-1,182.23	490.00	2.81%
09/25/13	90.000	67.0320	6,032.88	69.5500	6,259.50	226.62	176.40	2.81%
Total Covered	**340.000**		**24,602.61**		**23,647.00**	**-955.61**	**666.40**	
Total	**340.000**		**$24,602.61**		**$23,647.00**	**-$955.61**	**$666.40**	
BOEING CO COM			**Security Identifier: BA**					
Dividend Option: Cash			CUSIP: 097023105					
11/19/13	10.000	137.3790	1,373.79	136.4900	1,364.90	-8.89	29.20	2.13%
12/24/13	200.000	136.7510	27,350.10	136.4900	27,298.00	-52.10	584.00	2.13%
Total Covered	**210.000**		**28,723.89**		**28,662.90**	**-60.99**	**613.20**	
Total	**210.000**		**$28,723.89**		**$28,662.90**	**-$60.99**	**$613.20**	
CSX CORPORATION			**Security Identifier: CSX**					
Dividend Option: Cash			CUSIP: 126408103					
08/19/13	480.000	25.0050	12,002.45	28.7700	13,809.60	1,807.15	288.00	2.08%
09/23/13	460.000	26.9720	12,407.27	28.7700	13,234.20	826.93	276.00	2.08%
		123 day(s) added to your holding period as a result of a wash sale.						
09/25/13	40.000	26.7690	1,070.74	28.7700	1,150.80	80.06	24.00	2.08%
		123 day(s) added to your holding period as a result of a wash sale.						
09/25/13	60.000	26.0160	1,560.98	28.7700	1,726.20	165.22	36.00	2.08%

#39-6045140

PART II – LINE 10b – STATEMENT C-4

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CSX CORPORATION *(continued)*								
Total Covered	1,040.000		27,041.44		29,920.80	2,879.36	624.00	
Total	1,040.000		$27,041.44		$29,920.80	$2,879.36	$624.00	
CHEVRON CORP NEW COM			**Security Identifier: CVX**					
Dividend Option: Cash			CUSIP: 166764100					
07/11/13	50.000	123.9580	6,197.92	124.9100	6,245.50	47.58	200.00	3.20%
07/22/13	180.000	127.1740	22,891.27	124.9100	22,483.80	-407.47	720.00	3.20%
Total Covered	230.000		29,089.19		28,729.30	-359.89	920.00	
Total	230.000		$29,089.19		$28,729.30	-$359.89	$920.00	
CISCO SYSTEMS INC			**Security Identifier: CSCO**					
Dividend Option: Cash			CUSIP: 17275R102					
07/22/13	500.000	25.7060	12,852.80	22.4300	11,215.00	-1,637.80	340.00	3.03%
09/23/13	500.000	24.4030	12,201.71	22.4300	11,215.00	-986.71	340.00	3.03%
			87 day(s) added to your holding period as a result of a wash sale.					
09/23/13	230.000	24.3100	5,591.31	22.4300	5,158.90	-432.41	156.40	3.03%
Total Covered	1,230.000		30,645.82		27,588.90	-3,056.92	836.40	
Total	1,230.000		$30,645.82		$27,588.90	-$3,056.92	$836.40	
CONAGRA FOODS INC COM			**Security Identifier: CAG**					
Dividend Option: Cash			CUSIP: 205887102					
10/21/13	180.000	36.5690	6,582.49	33.7000	6,066.00	-516.49	180.00	2.96%
			88 day(s) added to your holding period as a result of a wash sale.					
10/21/13	220.000	31.1030	6,842.61	33.7000	7,414.00	571.39	220.00	2.96%
12/24/13	180.000	36.9830	6,656.90	33.7000	6,066.00	-590.90	180.00	2.96%
			151 day(s) added to your holding period as a result of a wash sale.					
12/24/13	100.000	33.5810	3,358.05	33.7000	3,370.00	11.95	100.00	2.96%
			60 day(s) added to your holding period as a result of a wash sale.					
12/24/13	20.000	36.7370	734.73	33.7000	674.00	-60.73	20.00	2.96%
			60 day(s) added to your holding period as a result of a wash sale.					
12/24/13	150.000	33.5380	5,030.76	33.7000	5,055.00	24.24	150.00	2.96%
Total Covered	850.000		29,205.54		28,645.00	-560.54	850.00	
Total	850.000		$29,205.54		$28,645.00	-$560.54	$850.00	

#39-6045140 [redacted]

Portfolio Holdings (continued)

PART II – LINE 10b – STATEMENT C-4

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CONOCOPHILLIPS COM			Security Identifier: COP					
Dividend Option: Cash			CUSIP: 20825C104					
02/15/11[3]	24.000	56.7350	1,361.65	70.6500	1,695.60	333.95	66.24	3.90%
04/13/12[3]	4.000	57.0630	228.25	70.6500	282.60	54.35	11.04	3.90%
12/12/12	172.000	58.5800	10,075.76	70.6500	12,151.80	2,076.04	474.72	3.90%
09/23/13	220.000	70.5900	15,529.76	70.6500	15,543.00	13.24	607.20	3.90%
Total Covered	**420.000**		**27,195.42**		**29,673.00**	**2,477.58**	**1,159.20**	
Total	**420.000**		**$27,195.42**		**$29,673.00**	**$2,477.58**	**$1,159.20**	
DOMINION RES INC VA COM			Security Identifier: D					
Dividend Option: Cash			CUSIP: 25746U109					
10/21/13	40.000	63.5950	2,543.79	64.6900	2,587.60	43.81	90.00	3.47%
11/19/13	190.000	67.7550	12,873.49	64.6900	12,291.10	-582.39	427.50	3.47%
Total Covered	**230.000**		**15,417.28**		**14,878.70**	**-538.58**	**517.50**	
Total	**230.000**		**$15,417.28**		**$14,878.70**	**-$538.58**	**$517.50**	
DU PONT E I DE NEMOURS & CO COM			Security Identifier: DD					
Dividend Option: Cash			CUSIP: 263534109					
07/22/13	220.000	57.0680	12,554.85	64.9700	14,293.40	1,738.55	396.00	2.77%
12/24/13	280.000	63.3580	17,740.32	64.9700	18,191.60	451.28	504.00	2.77%
Total Covered	**500.000**		**30,295.17**		**32,485.00**	**2,189.83**	**900.00**	
Total	**500.000**		**$30,295.17**		**$32,485.00**	**$2,189.83**	**$900.00**	
EXXON MOBIL CORP COM			Security Identifier: XOM					
Dividend Option: Cash			CUSIP: 30231G102					
09/26/13	30.000	91.6120	2,748.37	101.2000	3,036.00	287.63	75.60	2.49%
		73 day(s) added to your holding period as a result of a wash sale.						
12/03/13	100.000	94.0800	9,408.00	101.2000	10,120.00	712.00	252.00	2.49%
12/24/13	190.000	98.9660	18,803.58	101.2000	19,228.00	424.42	478.80	2.49%
Total Covered	**320.000**		**30,959.95**		**32,384.00**	**1,424.05**	**806.40**	
Total	**320.000**		**$30,959.95**		**$32,384.00**	**$1,424.05**	**$806.40**	
GENERAL ELECTRIC CO COM			Security Identifier: GE					
Dividend Option: Cash			CUSIP: 369604103					
12/12/12	600.000	21.9200	13,152.00	28.0300	16,818.00	3,666.00	528.00	3.13%
11/19/13	530.000	27.0500	14,336.50	28.0300	14,855.90	519.40	466.40	3.13%
11/19/13	10.000	27.2100	272.10	28.0300	280.30	8.20	8.80	3.13%
Total Covered	**1,140.000**		**27,760.60**		**31,954.20**	**4,193.60**	**1,003.20**	
Total	**1,140.000**		**$27,760.60**		**$31,954.20**	**$4,193.60**	**$1,003.20**	
GLAXOSMITHKLINE PLC SPONS ADR			Security Identifier: GSK					
Dividend Option: Cash			CUSIP: 37733W105					
01/22/13	200.000	44.6730	8,934.62	53.3900	10,678.00	1,743.38	481.80	4.51%
04/22/13	300.000	50.6350	15,190.35	53.3900	16,017.00	826.65	722.70	4.51%

[redacted]

#39-6045140

Managed Account Statement

PART II – LINE 10b – STATEMENT C-4

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
GLAXOSMITHKLINE PLC SPONS ADR *(continued)*								
09/25/13	80.000	51.0800	4,086.40	53.3900	4,271.20	184.80	192.72	4.51%
Total Covered	**580.000**		**28,211.37**		**30,966.20**	**2,754.83**	**1,397.22**	
Total	**580.000**		**$28,211.37**		**$30,966.20**	**$2,754.83**	**$1,397.22**	
HSBC HLDGS PLC SPONS ADR NEW			**Security Identifier: HSBC**					
Dividend Option: Cash			CUSIP: 404280406					
07/22/13	140.000	56.5600	7,918.40	55.1300	7,718.20	-200.20	336.00	4.35%
09/26/13	100.000	55.9110	5,591.07	55.1300	5,513.00	-78.07	240.00	4.35%
		60 day(s) added to your holding period as a result of a wash sale.						
09/26/13	210.000	54.9590	11,541.48	55.1300	11,577.30	35.82	504.00	4.35%
Total Covered	**450.000**		**25,050.95**		**24,808.50**	**-242.45**	**1,080.00**	
Total	**450.000**		**$25,050.95**		**$24,808.50**	**-$242.45**	**$1,080.00**	
INTEL CORP COM			**Security Identifier: INTC**					
Dividend Option: Cash			CUSIP: 458140100					
09/23/13	300.000	24.3190	7,295.65	25.9550	7,786.50	490.85	270.00	3.46%
		77 day(s) added to your holding period as a result of a wash sale.						
09/23/13	40.000	23.6700	946.81	25.9550	1,038.20	91.39	36.00	3.46%
12/24/13	480.000	25.4920	12,236.02	25.9550	12,458.40	222.38	432.00	3.46%
Total Covered	**820.000**		**20,478.48**		**21,283.10**	**804.62**	**738.00**	
Total	**820.000**		**$20,478.48**		**$21,283.10**	**$804.62**	**$738.00**	
JP MORGAN CHASE & CO COM			**Security Identifier: JPM**					
ISIN#US46625H1005			CUSIP: 46625H100					
Dividend Option: Cash								
11/19/13	70.000	56.9030	3,983.22	58.4800	4,093.60	110.38	106.40	2.59%
		155 day(s) added to your holding period as a result of a wash sale.						
11/19/13	40.000	60.0440	2,401.77	58.4800	2,339.20	-62.57	60.80	2.59%
		116 day(s) added to your holding period as a result of a wash sale.						
11/19/13	90.000	59.4790	5,353.12	58.4800	5,263.20	-89.92	136.80	2.59%
		112 day(s) added to your holding period as a result of a wash sale.						
11/19/13	50.000	56.4600	2,822.99	58.4800	2,924.00	101.01	76.00	2.59%
12/24/13	280.000	58.1230	16,274.33	58.4800	16,374.40	100.07	425.60	2.59%
Total Covered	**530.000**		**30,835.43**		**30,994.40**	**158.97**	**805.60**	
Total	**530.000**		**$30,835.43**		**$30,994.40**	**$158.97**	**$805.60**	

#39-6045140 [redacted]

Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-4

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
JOHNSON & JOHNSON COM			Security Identifier: JNJ					
Dividend Option: Cash			CUSIP: 478160104					
12/12/12	200.000	71.2300	14,246.00	91.5900	18,318.00	4,072.00	528.00	2.88%
09/23/13	200.000	89.0840	17,816.74	91.5900	18,318.00	501.26	528.00	2.88%
Total Covered	**400.000**		**32,062.74**		**36,636.00**	**4,573.26**	**1,056.00**	
Total	**400.000**		**$32,062.74**		**$36,636.00**	**$4,573.26**	**$1,056.00**	
LOCKHEED MARTIN CORP COM			Security Identifier: LMT					
Dividend Option: Cash			CUSIP: 539830109					
12/24/13	200.000	145.7330	29,146.62	148.6600	29,732.00	585.38	1,064.00	3.57%
MERCK & CO INC NEW COM			Security Identifier: MRK					
Dividend Option: Cash			CUSIP: 58933Y105					
07/22/13	20.000	47.8950	957.90	50.0500	1,001.00	43.10	35.20	3.51%
11/19/13	100.000	49.5670	4,956.68	50.0500	5,005.00	48.32	176.00	3.51%
			116 day(s) added to your holding period as a result of a wash sale.					
11/19/13	330.000	47.8910	15,803.97	50.0500	16,516.50	712.53	580.80	3.51%
12/24/13	180.000	49.5200	8,913.60	50.0500	9,009.00	95.40	316.80	3.51%
Total Covered	**630.000**		**30,632.15**		**31,531.50**	**899.35**	**1,108.80**	
Total	**630.000**		**$30,632.15**		**$31,531.50**	**$899.35**	**$1,108.80**	
METLIFE INC COM			Security Identifier: MET					
Dividend Option: Cash			CUSIP: 59156R108					
10/21/13	160.000	49.5330	7,925.25	53.9200	8,627.20	701.95	176.00	2.04%
11/19/13	30.000	52.3990	1,571.96	53.9200	1,617.60	45.64	33.00	2.04%
			25 day(s) added to your holding period as a result of a wash sale.					
11/19/13	250.000	52.1670	13,041.85	53.9200	13,480.00	438.15	275.00	2.04%
Total Covered	**440.000**		**22,539.06**		**23,724.80**	**1,185.74**	**484.00**	
Total	**440.000**		**$22,539.06**		**$23,724.80**	**$1,185.74**	**$484.00**	
MICROSOFT CORP COM			Security Identifier: MSFT					
Dividend Option: Cash			CUSIP: 594918104					
10/21/13	430.000	35.1290	15,105.38	37.4100	16,086.30	980.92	481.60	2.99%
11/19/13	480.000	36.9160	17,719.82	37.4100	17,956.80	236.98	537.60	2.99%
Total Covered	**910.000**		**32,825.20**		**34,043.10**	**1,217.90**	**1,019.20**	
Total	**910.000**		**$32,825.20**		**$34,043.10**	**$1,217.90**	**$1,019.20**	
NEXTERA ENERGY INC COM			Security Identifier: NEE					
Dividend Option: Cash			CUSIP: 65339F101					
10/21/13	130.000	83.6410	10,873.35	85.6200	11,130.60	257.25	343.20	3.08%
11/19/13	190.000	87.4360	16,612.90	85.6200	16,267.80	-345.10	501.60	3.08%
Total Covered	**320.000**		**27,486.25**		**27,398.40**	**-87.85**	**844.80**	
Total	**320.000**		**$27,486.25**		**$27,398.40**	**-$87.85**	**$844.80**	

#39-6045140

PART II – LINE 10b – STATEMENT C-4

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NOVARTIS AG SPONSORED ADR			**Security Identifier: NVS**					
Dividend Option: Cash			CUSIP: 66987V109					
07/22/13	420.000	72.9280	30,629.68	80.3800	33,759.60	3,129.92	864.14	2.55%
PHILIP MORRIS INTL INC COM			**Security Identifier: PM**					
Dividend Option: Cash			CUSIP: 718172109					
09/23/13	80.000	99.5000	7,959.96	87.1300	6,970.40	-989.56	300.80	4.31%
			123 day(s) added to your holding period as a result of a wash sale.					
11/19/13	100.000	98.4660	9,846.63	87.1300	8,713.00	-1,133.63	376.00	4.31%
			179 day(s) added to your holding period as a result of a wash sale.					
11/19/13	10.000	97.2810	972.81	87.1300	871.30	-101.51	37.60	4.31%
			86 day(s) added to your holding period as a result of a wash sale.					
11/19/13	90.000	102.5450	9,229.05	87.1300	7,841.70	-1,387.35	338.40	4.31%
			53 day(s) added to your holding period as a result of a wash sale.					
Total Covered	**280.000**		**28,008.45**		**24,396.40**	**-3,612.05**	**1,052.80**	
Total	**280.000**		**$28,008.45**		**$24,396.40**	**-$3,612.05**	**$1,052.80**	
RAYTHEON CO COM NEW			**Security Identifier: RTN**					
Dividend Option: Cash			CUSIP: 755111507					
09/23/13	180.000	78.6240	14,152.30	90.7000	16,326.00	2,173.70	396.00	2.42%
11/19/13	180.000	85.5690	15,402.49	90.7000	16,326.00	923.51	396.00	2.42%
Total Covered	**360.000**		**29,554.79**		**32,652.00**	**3,097.21**	**792.00**	
Total	**360.000**		**$29,554.79**		**$32,652.00**	**$3,097.21**	**$792.00**	
ROYAL DUTCH SHELL PLC SPONSORED ADR			**Security Identifier: RDS B**					
REPSTG B SHS ISIN#US7802591070			CUSIP: 780259107					
Dividend Option: Cash								
12/12/12	400.000	71.1900	28,476.00	75.1100	30,044.00	1,568.00	1,440.00	4.79%
SANOFI SPONS ADR			**Security Identifier: SNY**					
ISIN#US80105N1054			CUSIP: 80105N105					
Dividend Option: Cash								
07/26/13	200.000	53.1700	10,634.00	53.6300	10,726.00	92.00	250.95	2.33%
10/21/13	100.000	53.0330	5,303.34	53.6300	5,363.00	59.66	125.47	2.33%
			84 day(s) added to your holding period as a result of a wash sale.					
10/21/13	200.000	50.6190	10,123.89	53.6300	10,726.00	602.11	250.95	2.33%

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Portfolio Holdings (continued)

PART II – LINE 10b – STATEMENT C-4

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SANOFI SPONS ADR (continued)								
Total Covered	**500.000**		**26,061.23**		**26,815.00**	**753.77**	**627.37**	
Total	**500.000**		**$26,061.23**		**$26,815.00**	**$753.77**	**$627.37**	
SHAW COMMUNICATIONS INC CL B NON VTG			**Security Identifier: SJR**					
Dividend Option: Cash			CUSIP: 82028K200					
12/12/12	900.000	22.5060	20,255.04	24.3400	21,906.00	1,650.96	884.03	4.03%
UNILEVER NV NEW YORK SHS NEW			**Security Identifier: UN**					
Dividend Option: Cash			CUSIP: 904784709					
12/12/12	800.000	38.5200	30,816.00	40.2300	32,184.00	1,368.00	948.88	2.94%
VODAFONE GROUP PLC SPON ADR NEW			**Security Identifier: VOD**					
ISIN#US92857W2098			CUSIP: 92857W209					
Dividend Option: Cash								
07/22/13	500.000	29.7640	14,882.15	39.3100	19,655.00	4,772.85	794.75	4.04%
09/23/13	380.000	33.6320	12,780.20	39.3100	14,937.80	2,157.60	604.01	4.04%
Total Covered	**880.000**		**27,662.35**		**34,592.80**	**6,930.45**	**1,398.76**	
Total	**880.000**		**$27,662.35**		**$34,592.80**	**$6,930.45**	**$1,398.76**	
WELLS FARGO & CO NEW COM			**Security Identifier: WFC**					
Dividend Option: Cash			CUSIP: 949746101					
10/21/13	70.000	44.9460	3,146.23	45.4000	3,178.00	31.77	84.00	2.64%
			88 day(s) added to your holding period as a result of a wash sale.					
10/21/13	230.000	42.7460	9,831.47	45.4000	10,442.00	610.53	276.00	2.64%
12/24/13	140.000	45.3850	6,353.86	45.4000	6,356.00	2.14	168.00	2.64%
			151 day(s) added to your holding period as a result of a wash sale.					
12/24/13	20.000	45.7010	914.01	45.4000	908.00	-6.01	24.00	2.64%
			60 day(s) added to your holding period as a result of a wash sale.					
12/24/13	120.000	45.3650	5,443.82	45.4000	5,448.00	4.18	144.00	2.64%
Total Covered	**580.000**		**25,689.39**		**26,332.00**	**642.61**	**696.00**	
Total	**580.000**		**$25,689.39**		**$26,332.00**	**$642.61**	**$696.00**	
Total Common Stocks			**$953,312.35**		**$993,520.70**	**$40,208.35**	**$33,152.68**	
Options								
CALL 100 ASTRAZENECA PLC SPONSORED ADR			**Option Identifier: 99QABFZMI**					
EXP 01-18-14@60.000 OPTION ROOT= AZN			Underlying Security Identifier: AZN					
			Underlying Security Price: $59.37					
12/30/13 *	-3.000	0.4630	-138.81	0.4500	-135.00	3.81		
CALL 100 DU PONT E I DE NEMOURS & CO COM			**Option Identifier: 99QABF0BB**					
EXP 01-18-14@65.000 OPTION ROOT= DD			Underlying Security Identifier: DD					
			Underlying Security Price: $64.97					
12/26/13 *	-3.000	0.5700	-170.88	0.9500	-285.00	-114.12		

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PART II – LINE 10b – STATEMENT C-4

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Options *(continued)*								
CALL 100 VODAFONE GROUP PLC SPON ADR NEW ISIN#US92857W2098 EXP 01-18-14@40 000 OPTION ROOT= VOD			**Option Identifier: 99QABTAW6** Underlying Security Identifier: VOD Underlying Security Price: $39.31					
12/27/13 *	-5.000	0.2500	-124.81	0.3000	-150.00	-25.19		
CALL 100 METLIFE INC COM EXP 01-18-14@55.000 OPTION ROOT= MET			**Option Identifier: 99QACGL2Q** Underlying Security Identifier: MET Underlying Security Price: $53.92					
12/26/13 *	-3 000	0.6110	-183.21	0.4500	-135.00	48.21		
CALL 100 JOHNSON & JOHNSON COM EXP 01-18-14@92.500 OPTION ROOT= JNJ			**Option Identifier: 99QACYUMH** Underlying Security Identifier: JNJ Underlying Security Price: $91.59					
12/30/13 *	-2.000	0.6840	-136.88	0.5300	-106.00	30.88		
CALL 100 CONAGRA FOODS INC COM EXP 01-18-14@34.000 OPTION ROOT= CAG			**Option Identifier: 99QACYZRM** Underlying Security Identifier: CAG Underlying Security Price: $33.70					
12/30/13 *	-5 000	0.2500	-124.81	0.2700	-135.00	-10.19		
CALL 100 GENERAL ELECTRIC CO COM EXP 01-18-14@28.000 OPTION ROOT= GE			**Option Identifier: 99QACY07N** Underlying Security Identifier: GE Underlying Security Price: $28.03					
12/26/13 *	-6.000	0.3900	-233.96	0.4900	-294.00	-60.04		
CALL 100 INTEL CORP COM EXP 01-18-14@26 000 OPTION ROOT= INTC			**Option Identifier: 99QACY1L9** Underlying Security Identifier: INTC Underlying Security Price: $25.96					
12/26/13 *	-5.000	0.3700	-185.06	0.5200	-260.00	-74.94		
CALL 100 MICROSOFT CORP COM EXP 01-18-14@38 000 OPTION ROOT= MSFT			**Option Identifier: 99QACY2HV** Underlying Security Identifier: MSFT Underlying Security Price: $37.41					
12/30/13 *	-4.000	0.4000	-159.85	0.4100	-164.00	-4.15		
CALL 100 DOMINION RES INC VA COM EXP 01-18-14@65 000 OPTION ROOT= D			**Option Identifier: 99QAC2XDY** Underlying Security Identifier: D Underlying Security Price. $64.69					

#39-6045140

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PART II – LINE 10b – STATEMENT C-4

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Options *(continued)*								
CALL 100 DOMINION RES INC VA COM *(continued)*								
12/26/13*	-2.000	0.6000	-119.92	0.6500	-130.00	-10.08		
CALL 100 LOCKHEED MARTIN CORP COM			**Option Identifier: 99QADJ7A4**					
EXP 01-18-14@150 000 OPTION ROOT= LMT			Underlying Security Identifier: LMT					
			Underlying Security Price: $148.66					
12/26/13*	-2.000	1.4190	-283.84	1.4500	-290.00	-6.16		
Total Options			**-$1,862.03**		**-$2,084.00**	**-$221.97**	**$0.00**	
Real Estate Investment Trusts								
HEALTH CARE REIT INC COM			**Security Identifier: HCN**					
Dividend Option: Cash			CUSIP: 42217K106					
09/23/13	300.000	67.2110	20,163.40	53.5700	16,071.00	-4,092.40	918.00	5.71%
			186 day(s) added to your holding period as a result of a wash sale.					
09/23/13	10.000	67.3690	673.69	53.5700	535.70	-137.99	30.60	5.71%
			99 day(s) added to your holding period as a result of a wash sale.					
Total Covered	**310.000**		**20,837.09** - 326.05 = 20,511.04		**16,606.70**	**-4,230.39**		
Total	**310.000**		**$20,837.09**		**$16,606.70**	**-$4,230.39**	**948.60**	
Total Real Estate Investment Trusts			**$20,837.09**		**$16,606.70**	**-$4,230.39**	**$948.60**	
Total Equities			**$972,287.41**		**$1,008,043.40**	**$35,755.99**	**$948.60**	
							$34,101.28	

Health Care REIT (326.05)

971,961.56

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CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago IL 60606
(800) 682-4335

#39-6045140

PART II – LINE 10b – STATEMENT C-6

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 62.00% of Portfolio								
Common Stocks								
AIRCASTLE LTD SHS ISIN#BMG0219K1045			Security Identifier: AYR					
Dividend Option: Cash			CUSIP: G0129K104					
05/20/13	188.000	15.9120	2,991.47	19.1600	3,602.08	610.61	150.40	4.17%
05/21/13	330.000	15.8500	5,230.40	19.1600	6,322.80	1,092.40	264.00	4.17%
05/22/13	280.000	15.8090	4,426.55	19.1600	5,364.80	938.25	224.00	4.17%
05/23/13	207.000	15.8240	3,275.59	19.1600	3,966.12	690.53	165.60	4.17%
05/24/13	358.000	15.8980	5,691.66	19.1600	6,859.28	1,167.62	286.40	4.17%
05/28/13	200.000	15.8700	3,174.06	19.1600	3,832.00	657.94	160.00	4.17%
Total Covered	**1,563.000**		**24,789.73**		**29,947.08**	**5,157.35**	**1,250.40**	
Total	**1,563.000**		**$24,789.73**		**$29,947.08**	**$5,157.35**	**$1,250.40**	
EATON CORP PLC SHS			Security Identifier: ETN					
ISIN#IE00B8KQN827			CUSIP: G29183103					
Dividend Option: Cash								
02/26/13	135.000	59.4780	8,029.52	76.1200	10,276.20	2,246.68	226.80	2.20%
04/01/13	230.000	61.1930	14,074.39	76.1200	17,507.60	3,433.21	386.40	2.20%
04/03/13	100.000	59.7360	5,973.60	76.1200	7,612.00	1,638.40	168.00	2.20%
Total Covered	**465.000**		**28,077.51**		**35,395.80**	**7,318.29**	**781.20**	
Total	**465.000**		**$28,077.51**		**$35,395.80**	**$7,318.29**	**$781.20**	
BANCO LATINAMERICANO DE COMERCIO			Security Identifier: BLX					
EXTERIOR S A CL E ISIN#PAP169941328			CUSIP: P16994132					
Dividend Option: Cash								
02/05/13	1,080.000	23.6910	25,585.75	28.0200	30,261.60	4,675.85	1,512.00	4.99%
04/03/13	250.000	24.3800	6,094.98	28.0200	7,005.00	910.02	350.00	4.99%

#39-6045140 [redacted]

Portfolio Holdings (continued)

PART II – LINE 10b – STATEMENT C-6

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BANCO LATINAMERICANO DE COMERCIO *(continued)*								
Total Covered	**1,330.000**		**31,680.73**		**37,266.60**	**5,585.87**	**1,862.00**	
Total	**1,330.000**		**$31,680.73**		**$37,266.60**	**$5,585.87**	**$1,862.00**	
AT&T INC COM			**Security Identifier: T**					
Dividend Option: Cash			CUSIP: 00206R102					
02/05/13	540.000	35.5090	19,174.86	35.1600	18,986.40	-188.46	993.60	5.23%
04/03/13	160.000	37.3390	5,974.21	35.1600	5,625.60	-348.61	294.40	5.23%
Total Covered	**700.000**		**25,149.07**		**24,612.00**	**-537.07**	**1,288.00**	
Total	**700.000**		**$25,149.07**		**$24,612.00**	**-$537.07**	**$1,288.00**	
DU PONT E I DE NEMOURS & CO COM			**Security Identifier: DD**					
Dividend Option: Cash			CUSIP: 263534109					
02/05/13	450.000	47.6480	21,441.60	64.9700	29,236.50	7,794.90	810.00	2.77%
04/03/13	130.000	48.7160	6,333.10	64.9700	8,446.10	2,113.00	234.00	2.77%
Total Covered	**580.000**		**27,774.70**		**37,682.60**	**9,907.90**	**1,044.00**	
Total	**580.000**		**$27,774.70**		**$37,682.60**	**$9,907.90**	**$1,044.00**	
GATX CORP			**Security Identifier: GMT**					
Dividend Option: Cash			CUSIP: 361448103					
02/05/13	490.000	48.1100	23,573.85	52.1700	25,563.30	1,989.45	607.60	2.37%
04/03/13	150.000	50.3990	7,559.80	52.1700	7,825.50	265.70	186.00	2.37%
Total Covered	**640.000**		**31,133.65**		**33,388.80**	**2,255.15**	**793.60**	
Total	**640.000**		**$31,133.65**		**$33,388.80**	**$2,255.15**	**$793.60**	
GANNETT COMPANY INC			**Security Identifier: GCI**					
Dividend Option: Cash			CUSIP: 364730101					
02/21/13	1,150.000	19.7220	22,680.65	29.5800	34,017.00	11,336.35	920.00	2.70%
04/03/13	300.000	20.7500	6,224.97	29.5800	8,874.00	2,649.03	240.00	2.70%
Total Covered	**1,450.000**		**28,905.62**		**42,891.00**	**13,985.38**	**1,160.00**	
Total	**1,450.000**		**$28,905.62**		**$42,891.00**	**$13,985.38**	**$1,160.00**	
GENERAL ELECTRIC CO COM			**Security Identifier: GE**					
Dividend Option: Cash			CUSIP: 369604103					
02/06/13	820.000	22.4600	18,416.95	28.0300	22,984.60	4,567.65	721.60	3.13%
04/03/13	220.000	23.1290	5,088.34	28.0300	6,166.60	1,078.26	193.60	3.13%
Total Covered	**1,040.000**		**23,505.29**		**29,151.20**	**5,645.91**	**915.20**	
Total	**1,040.000**		**$23,505.29**		**$29,151.20**	**$5,645.91**	**$915.20**	
GENUINE PARTS CO			**Security Identifier: GPC**					
Dividend Option: Cash			CUSIP: 372460105					
02/05/13	360.000	68.8100	24,771.58	83.1900	29,948.40	5,176.82	774.00	2.58%
04/03/13	110.000	76.9500	8,464.49	83.1900	9,150.90	686.41	236.50	2.58%
Total Covered	**470.000**		**33,236.07**		**39,099.30**	**5,863.23**	**1,010.50**	
Total	**470.000**		**$33,236.07**		**$39,099.30**	**$5,863.23**	**$1,010.50**	

PART II – LINE 10b – STATEMENT C-6

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
HERCULES TECHNOLOGY GROWTH CAP INC COM								
Dividend Option: Cash			Security Identifier: HTGC					
			CUSIP: 427096508					
02/05/13	1,530.000	12.2300	18,711.75	16.4000	25,092.00	6,380.25	1,897.20	7.56%
04/03/13	330.000	11.9390	3,939.94	16.4000	5,412.00	1,472.06	409.20	7.56%
Total Covered	**1,860.000**		**22,651.69**		**30,504.00**	**7,852.31**	**2,306.40**	
Total	**1,860.000**		**$22,651.69**		**$30,504.00**	**$7,852.31**	**$2,306.40**	
HONEYWELL INTL INC COM								
Dividend Option: Cash			Security Identifier: HON					
			CUSIP: 438516106					
02/05/13	450.000	69.5910	31,316.00	91.3700	41,116.50	9,800.50	810.00	1.97%
04/03/13	130.000	73.7980	9,593.69	91.3700	11,878.10	2,284.41	234.00	1.97%
Total Covered	**580.000**		**40,909.69**		**52,994.60**	**12,084.91**	**1,044.00**	
Total	**580.000**		**$40,909.69**		**$52,994.60**	**$12,084.91**	**$1,044.00**	
KRAFT FOODS GROUP INC COM								
Dividend Option: Cash			Security Identifier: KRFT					
			CUSIP: 50076Q106					
02/05/13	160.000	47.2090	7,553.44	53.9100	8,625.60	1,072.16	336.00	3.89%
04/03/13	40.000	51.9680	2,078.72	53.9100	2,156.40	77.68	84.00	3.89%
Total Covered	**200.000**		**9,632.16**		**10,782.00**	**1,149.84**	**420.00**	
Total	**200.000**		**$9,632.16**		**$10,782.00**	**$1,149.84**	**$420.00**	
MICROCHIP TECHNOLOGY INC COM								
Dividend Option: Cash			Security Identifier: MCHP					
			CUSIP: 595017104					
02/05/13	810.000	34.1690	27,676.49	44.7500	36,247.50	8,571.01	1,148.58	3.16%
04/03/13	180.000	35.7380	6,432.88	44.7500	8,055.00	1,622.12	255.24	3.16%
Total Covered	**990.000**		**34,109.37**		**44,302.50**	**10,193.13**	**1,403.82**	
Total	**990.000**		**$34,109.37**		**$44,302.50**	**$10,193.13**	**$1,403.82**	
NEW RESIDENTIAL INVT CORP COM								
Dividend Option: Cash			Security Identifier: NRZ					
			CUSIP: 64828T102					
05/21/13	1,530.000	6.8900	10,541.70	6.6800	10,220.40	-321.30	1,071.00	10.47%
OLIN CORP NEW COM PAR $1								
Dividend Option: Cash			Security Identifier: OLN					
			CUSIP: 680665205					
02/05/13	1,450.000	23.6600	34,306.42	28.8500	41,832.50	7,526.08	1,160.00	2.77%
04/03/13	340.000	24.5580	8,349.87	28.8500	9,809.00	1,459.13	272.00	2.77%

#39-6045140 [redacted]

Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-6

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
OLIN-CORP-NEW-COM-PAR-$1 *(continued)*								
Total Covered	**1,790.000**		**42,656.29**		**51,641.50**	**8,985.21**	**1,432.00**	
Total	**1,790.000**		**$42,656.29**		**$51,641.50**	**$8,985.21**	**$1,432.00**	
PFIZER INC COM			**Security Identifier: PFE**					
Dividend Option: Cash			CUSIP: 717081103					
02/05/13	1,170.000	27.4380	32,102.46	30.6300	35,837.10	3,734.64	1,216.80	3.39%
04/03/13	290.000	28.9800	8,404.17	30.6300	8,882.70	478.53	301.60	3.39%
Total Covered	**1,460.000**		**40,506.63**		**44,719.80**	**4,213.17**	**1,518.40**	
Total	**1,460.000**		**$40,506.63**		**$44,719.80**	**$4,213.17**	**$1,518.40**	
Total Common Stocks			**$455,259.90**		**$554,599.18**	**$99,339.28**	**$19,300.52**	
Real Estate Investment Trusts								
DIGITAL RLTY TR INC COM			**Security Identifier: DLR**					
Dividend Option: Cash			CUSIP: 253868103					
02/05/13	320.000	68.0700	21,782.29	49.1200	15,718.40	-6,063.89	998.40	6.35%
04/03/13	90.000	66.5490	5,989.43	49.1200	4,420.80	-1,568.63	280.80	6.35%
Total Covered	**410.000**		**27,771.72**		**20,139.20**	**-7,632.52**	**1,279.20**	
Total	**410.000**		**$27,771.72**		**$20,139.20**	**-$7,632.52**	**$1,279.20**	
EPR PPTYS COM SH BEN INT			**Security Identifier: EPR**					
Dividend Option: Cash			CUSIP: 26884U109					
08/08/13	19.000	51.7190	982.67	49.1600	934.04	-48.63	60.03	6.42%
08/13/13	130.000	51.5550	6,702.18	49.1600	6,390.80	-311.38	410.75	6.42%
08/13/13	41.000	51.4600	2,109.86	49.1600	2,015.56	-94.30	129.54	6.42%
08/14/13	110.000	51.6180	5,677.93	49.1600	5,407.60	-270.33	347.56	6.42%
08/15/13	170.000	50.9740	8,665.55	49.1600	8,357.20	-308.35	537.13	6.42%
08/16/13	120.000	50.0630	6,007.57	49.1600	5,899.20	-108.37	379.15	6.42%
Total Covered	**590.000**		**30,145.76**		**29,004.40**	**-1,141.36**	**1,864.16**	
Total	**590.000**		**$30,145.76**		**$29,004.40**	**-$1,141.36**	**$1,864.16**	
HOSPITALITY PPTYS TR COM SH BEN INT			**Security Identifier: HPT**					
Dividend Option: Cash			CUSIP: 44106M102					
02/05/13	940.000	25.6100	24,073.02	27.0300	25,408.20	1,335.18	1,804.80	7.10%
04/03/13	230.000	27.2900	6,276.68	27.0300	6,216.90	-59.78	441.60	7.10%
Total Covered	**1,170.000**		**30,349.70**		**31,625.10**	**1,275.40**	**2,246.40**	
Total	**1,170.000**		**$30,349.70**		**$31,625.10**	**$1,275.40**	**$2,246.40**	
INVESCO MORTGAGE CAPITAL INC COM			**Security Identifier: IVR**					
Dividend Option: Cash			CUSIP: 46131B100					
05/14/13	930.000	19.9630	18,565.50	14.6800	13,652.40	-4,913.10	1,860.00	13.62%
LTC PROPERTIES INC			**Security Identifier: LTC**					
Dividend Option: Cash			CUSIP: 502175102					
02/05/13	540.000	37.1500	20,060.78	35.3900	19,110.60	-950.18	1,101.60	5.76%

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CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago IL 60606
(800) 682-4335

#39-6045140

Managed Account Statement

PART II – LINE 10b – STATEMENT C-6

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Real Estate Investment Trusts *(continued)*								
LTC PROPERTIES INC *(continued)*								
04/03/13	170.000	41.9100	7,124.68	35.3900	6,016.30	-1,108.38	346.80	5.76%
Total Covered	**710.000**		**27,185.46**		**25,126.90**	**-2,058.56**	**1,448.40**	
Total	**710.000**		**$27,185.46**		**$25,126.90**	**-$2,058.56**	**$1,448.40**	
NATIONAL HEALTH INVESTORS INC			Security Identifier: NHI					
Dividend Option: Cash				CUSIP: 63633D104				
02/05/13	400.000	63.5610	25,424.24	56.1000	22,440.00	-2,984.24	1,176.00	5.24%
04/03/13	120.000	65.2900	7,834.80	56.1000	6,732.00	-1,102.80	352.80	5.24%
Total Covered	**520.000**		**33,259.04**		**29,172.00**	**-4,087.04**	**1,528.80**	
Total	**520.000**		**$33,259.04**		**$29,172.00**	**-$4,087.04**	**$1,528.80**	
NEWCASTLE INVT CORP COM			Security Identifier: NCT					
Dividend Option: Cash				CUSIP: 65105M108				
05/14/13	1,530.000	7.7900	OK 11,918.24 - 370.12 = 11,546.	5.7400	8,782.20	-3,136.04	612.00	6.96%
OMEGA HEALTHCARE INVS INC COM			Security Identifier: OHI					
Dividend Option: Cash				CUSIP: 681936100				
02/05/13	1,210.000	25.6710	31,061.61	29.8000	36,058.00	4,996.39	2,323.20	6.44%
04/03/13	300.000	31.3700	9,410.91	29.8000	8,940.00	-470.91	576.00	6.44%
Total Covered	**1,510.000**		**40,472.52**		**44,998.00**	**4,525.48**	**2,899.20**	
Total	**1,510.000**		**$40,472.52**		**$44,998.00**	**$4,525.48**	**$2,899.20**	
PENNYMAC MTG INVT TR COM			Security Identifier: PMT					
Dividend Option: Cash				CUSIP: 70931T103				
05/14/13	750.000	23.9720	17,979.30	22.9600	17,220.00	-759.30	1,710.00	9.93%
REALTY INCOME CORP COM			Security Identifier: O					
Dividend Option: Cash				CUSIP: 756109104				
02/05/13	310.000	43.3670	13,443.86	37.3300	11,572.30	-1,871.56	677.66	5.85%
04/03/13	100.000	45.5400	4,553.99	37.3300	3,733.00	-820.99	218.60	5.85%
Total Covered	**410.000**		**17,997.85**		**15,305.30**	**-2,692.55**	**896.26**	
Total	**410.000**		**$17,997.85**		**$15,305.30**	**-$2,692.55**	**$896.26**	
Total Real Estate Investment Trusts			**$255,645.09**		**$235,025.50**	**-$20,619.59**	**$16,344.42**	
Total Equities			**$710,904.99**		**$789,624.68**	**$78,719.69**	**$35,644.94**	
		New Cashe	(370.12)					
		Other Adj	(4,536.87)					
			$705,998					

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
Eleven Madison Avenue
7th Floor
New York, NY 10010-3629
(800) 647-2516

#39-6045140

[redacted]

PART II – LINE 10b – STATEMENT C-7

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 97.00% of Portfolio								
Common Stocks								
SABMILLER PLC SHS			**Security Identifier: SBMRF**					
ISIN#GB0004835483				CUSIP: G77395104				
Dividend Option: Cash								
04/09/13	240.000	52.6240	12,629.69	51.3711	12,329.08	-300.61		
08/06/13	380.000	50.1670	19,063.35	51.3711	19,521.04	457.69		
Total Covered	**620.000**		**31,693.04**		**31,850.12**	**157.08**		
Total	**620.000**		**$31,693.04**		**$31,850.12**	**$157.08**	**$0.00**	
VALUE PARTNERS GROUP LTD SHS			**Security Identifier: VPGLF**					
ISIN#KYG931751005				CUSIP: G93175100				
Dividend Option: Cash								
04/17/13	1,090.000	0.5980	652.15	0.7738	843.49	191.34	8.06	0.95%
04/18/13	1,450.000	0.5950	863.33	0.7738	1,122.07	258.74	10.72	0.95%
04/19/13	1,080.000	0.6150	664.20	0.7738	835.75	171.55	7.98	0.95%
04/22/13	1,410.000	0.6210	874.91	0.7738	1,091.12	216.21	10.42	0.95%
04/23/13	1,050.000	0.6100	640.82	0.7738	812.54	171.72	7.76	0.95%
04/24/13	1,920.000	0.6160	1,183.49	0.7738	1,485.78	302.29	14.19	0.95%
08/06/13	19,000.000	0.5890	11,189.10	0.7738	14,703.04	3,513.94	140.41	0.95%
10/31/13	640.000	0.6080	389.25	0.7738	495.26	106.01	4.73	0.95%
11/01/13	2,980.000	0.6120	1,823.91	0.7738	2,306.06	482.15	22.02	0.95%
11/04/13	2,080.000	0.6060	1,260.48	0.7738	1,609.60	349.12	15.37	0.95%
11/05/13	2,070.000	0.6090	1,259.80	0.7738	1,601.86	342.06	15.30	0.95%
11/06/13	890.000	0.6090	542.01	0.7738	688.72	146.71	6.58	0.95%
11/07/13	1,800.000	0.6120	1,101.42	0.7738	1,392.92	291.50	13.30	0.95%
11/11/13	880.000	0.6030	530.38	0.7738	680.98	150.60	6.50	0.95%
11/12/13	880.000	0.6010	528.79	0.7738	680.98	152.19	6.50	0.95%
11/14/13	540.000	0.6040	326.11	0.7738	417.88	91.77	3.99	0.95%
11/15/13	240.000	0.6130	147.10	0.7738	185.71	38.61	1.77	0.95%
Total Covered	**40,000.000**		**23,977.25**		**30,953.76**	**6,976.51**	**295.60**	
Total	**40,000.000**		**$23,977.25**		**$30,953.76**	**$6,976.51**	**$295.60**	
XINGDA INTERNATIONAL HOLDINGS LIMITED SHS			**Security Identifier: XNGIF**					
ISIN#KYG9827V1068				CUSIP: G9827V106				
Dividend Option: Cash								
12/09/13	4,150.000	0.6200	2,572.59	0.5971	2,478.17	-94.42		

#39-6045140 [redacted]

PART II – LINE 10b – STATEMENT C-7

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
XINGDA INTERNATIONAL HOLDINGS LIMITED SHS *(continued)*								
12/10/13	3,400.000	0.6180	2,100.86	0.5971	2,030.31	-70.55		
12/11/13	2,380.000	0.6310	1,502.26	0.5971	1,421.21	-81.05		
12/12/13	1,360.000	0.6270	852.72	0.5971	812.12	-40.60		
12/13/13	1,690.000	0.6290	1,063.35	0.5971	1,009.18	-54.17		
12/16/13	2,700.000	0.6240	1,685.88	0.5971	1,612.30	-73.58		
12/17/13	3,320.000	0.6210	2,061.39	0.5971	1,982.53	-78.86		
12/18/13	1,290.000	0.6210	800.57	0.5971	770.32	-30.25		
12/19/13	710.000	0.6250	443.68	0.5971	423.99	-19.69		
Total Covered	**21,000.000**		**13,083.30**		**12,540.13**	**-543.17**		
Total	**21,000.000**		**$13,083.30**		**$12,540.13**	**-$543.17**	**$0.00**	
ELBIT SYSTEMS LTD			**Security Identifier: ESLT**					
Dividend Option: Cash			CUSIP: M3760D101					
04/10/13	10.000	41.8470	418.47	60.7300	607.30	188.83	12.00	1.97%
04/11/13	140.000	43.2280	6,051.86	60.7300	8,502.20	2,450.34	168.00	1.97%
04/14/13	30.000	43.1280	1,293.83	60.7300	1,821.90	528.07	36.00	1.97%
05/20/13	10.000	43.1410	431.41	60.7300	607.30	175.89	12.00	1.97%
05/22/13	30.000	43.3710	1,301.12	60.7300	1,821.90	520.78	36.00	1.97%
05/23/13	20.000	43.0000	859.99	60.7300	1,214.60	354.61	24.00	1.97%
05/28/13	20.000	43.1490	862.97	60.7300	1,214.60	351.63	24.00	1.97%
05/29/13	20.000	43.9590	879.18	60.7300	1,214.60	335.42	24.00	1.97%
06/04/13	10.000	43.6000	436.00	60.7300	607.30	171.30	12.00	1.97%
06/05/13	10.000	43.7610	437.61	60.7300	607.30	169.69	12.00	1.97%
08/06/13	400.000	44.4080	17,763.36	60.7300	24,292.00	6,528.64	480.00	1.97%
Total Covered	**700.000**		**30,735.80**		**42,511.00**	**11,775.20**	**840.00**	
Total	**700.000**		**$30,735.80**		**$42,511.00**	**$11,775.20**	**$840.00**	
COPA HOLDING S A CL A COM			**Security Identifier: CPA**					
ISIN#PAP310761054			CUSIP: P31076105					
Dividend Option: Cash								
04/05/13	40.000	112.7900	4,511.60	160.1100	6,404.40	1,892.80	116.80	1.82%
INDUSTRIAS PENOLES ORD SHS			**Security Identifier: IPOAF**					
ISIN#MXP554091415 ID # PE&OLES			CUSIP: P55409141					
Dividend Option: Cash								
04/05/13	275.000	46.1000	12,677.50	24.7537	6,807.28	-5,870.22	314.75	4.62%
BIDVEST GROUP LTD ISIN#ZAE000117321			**Security Identifier: BDVSF**					
Dividend Option: Cash			CUSIP: S1201R162					
04/09/13	80.000	26.1080	2,088.63	25.6338	2,050.71	-37.92	224.00	10.92%
04/11/13	200.000	25.9070	5,181.34	25.6338	5,126.77	-54.57	560.00	10.92%
08/06/13	500.000	25.1070	12,553.35	25.6338	12,816.94	263.59	1,400.00	10.92%

#39-6045140

PART II – LINE 10b – STATEMENT C-7

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BIDVEST GROUP LTD ISIN#ZAE000117321 *(continued)*								
Total Covered	**780.000**		19,823.32		19,994.42	171.10	2,184.00	
Total	**780.000**		**$19,823.32**		**$19,994.42**	**$171.10**	**$2,184.00**	
METROPOLITAN HLDGS LTD SHS			Security Identifier: S5064H112					
ISIN#ZAE000149902								
Dividend Option: Cash								
04/09/13	3,910.000	2.6780	10,470.20	2.4167	9,449.52	-1,020.68		
04/11/13	400.000	2.6390	1,055.64	2.4167	966.70	-88.94		
Total Covered	**4,310.000**		**11,525.84**		**10,416.22**	**-1,109.62**		
Total	**4,310.000**		**$11,525.84**		**$10,416.22**	**-$1,109.62**	**$0.00**	
MTN GROUP LTD SHS ISIN#ZAE000042164			Security Identifier: MTNOF					
Dividend Option: Cash			CUSIP: S8039R108					
04/09/13	540.000	18.1730	9,813.42	20.7306	11,194.53	1,381.11	2,570.40	22.96%
04/11/13	60.000	18.7650	1,125.89	20.7306	1,243.84	117.95	285.60	22.96%
08/06/13	780.000	19.4610	15,179.74	20.7306	16,169.88	990.14	3,712.80	22.96%
Total Covered	**1,380.000**		**26,119.05**		**28,608.25**	**2,489.20**	**6,568.80**	
Total	**1,380.000**		**$26,119.05**		**$28,608.25**	**$2,489.20**	**$6,568.80**	
ASSECO POLAND S A SHS			Security Identifier: ASOZF					
ISIN#PLSOFTB00016			CUSIP: X02540130					
Dividend Option: Cash								
07/11/13	160.000	13.4990	2,159.82	15.7000	2,512.00	352.18		
07/12/13	220.000	13.7760	3,030.72	15.7000	3,454.00	423.28		
07/15/13	90.000	13.9620	1,256.61	15.7000	1,413.00	156.39		
07/16/13	110.000	14.0860	1,549.42	15.7000	1,727.00	177.58		
07/17/13	100.000	14.2100	1,421.00	15.7000	1,570.00	149.00		
07/18/13	40.000	14.2330	569.33	15.7000	628.00	58.67		
08/06/13	1,060.000	14.5350	15,407.21	15.7000	16,642.00	1,234.79		
08/08/13	50.000	14.7980	739.92	15.7000	785.00	45.08		
08/09/13	30.000	14.7540	442.61	15.7000	471.00	28.39		
08/12/13	75.000	14.6700	1,100.27	15.7000	1,177.50	77.23		
08/13/13	15.000	14.6820	220.23	15.7000	235.50	15.27		

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Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-7

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ASSECO POLAND S A SHS *(continued)*								
Total Covered	**1,950.000**		**27,897.14**		**30,615.00**	**2,717.86**		
Total	**1,950.000**		**$27,897.14**		**$30,615.00**	**$2,717.86**	**$0.00**	
BANK PEKAO SA SHS			**Security Identifier: BKPKF**					
ISIN#PLPEKAO00016			CUSIP: X0641X106					
Dividend Option: Cash			Price Estimated as of: 12/30/13					
08/14/13	275.000	57.0550	15,690.04	59.5099	16,365.25	675.21		
09/19/13	140.000	57.8020	8,092.24	59.5099	8,331.40	239.16		
12/17/13	110.000	59.5580	6,551.38	59.5099	6,546.10	-5.28		
Total Covered	**525.000**		**30,333.66**		**31,242.75**	**909.09**		
Total	**525.000**		**$30,333.66**		**$31,242.75**	**$909.09**	**$0.00**	
POWSZECHNY ZAKLAD UBEZPIECZEN SA			**Security Identifier: X6919T107**					
SHS ISIN#PLPZU0000011			Price Estimated as of: 12/30/13					
Dividend Option: Cash								
04/26/13	20.000	131.6630	2,633.26	148.8412	2,976.83	343.57		
04/29/13	20.000	136.1670	2,723.34	148.8412	2,976.83	253.49		
05/15/13	30.000	136.6800	4,100.39	148.8412	4,465.24	364.85		
08/06/13	90.000	145.5280	13,097.49	148.8412	13,395.71	298.22		
Total Covered	**160.000**		**22,554.48**		**23,814.61**	**1,260.13**		
Total	**160.000**		**$22,554.48**		**$23,814.61**	**$1,260.13**	**$0.00**	
AIA GROUP LTD HONG KONG			**Security Identifier: AAIGF**					
SHS ISIN#HK0000069689			CUSIP: Y002A1105					
Dividend Option: Cash								
04/08/13	3,400.000	4.2590	14,480.60	5.0400	17,136.00	2,655.40	748.00	4.36%
08/06/13	3,000.000	4.6700	14,010.00	5.0400	15,120.00	1,110.00	660.00	4.36%
Total Covered	**6,400.000**		**28,490.60**		**32,256.00**	**3,765.40**	**1,408.00**	
Total	**6,400.000**		**$28,490.60**		**$32,256.00**	**$3,765.40**	**$1,408.00**	
ASCENDAS INDIA TRUST UNITS			**Security Identifier: ACNDF**					
ISIN#SG1V35936920			CUSIP: Y0259C104					
Dividend Option: Cash								
08/06/13	15,000.000	0.5720	8,586.00	0.5386	8,080.47	-505.53	342.90	4.24%
ASIAN PAY TELEVISION TR UNITS			**Security Identifier: APTTF**					
ISIN#SG2F77993036			CUSIP: Y0362V106					
Dividend Option: Cash								
07/09/13	3,110.000	0.6750	2,099.25	0.5862	1,823.18	-276.07		
07/10/13	3,840.000	0.6820	2,618.50	0.5862	2,251.12	-367.38		
07/11/13	3,050.000	0.6850	2,089.56	0.5862	1,788.00	-301.56		
08/06/13	26,000.000	0.7220	18,759.00	0.5862	15,241.98	-3,517.02		

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Managed Account Statement

PART II – LINE 10b – STATEMENT C-7

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ASIAN PAY TELEVISION TR UNITS *(continued)*								
Total Covered	**36,000.000**		**25,566.31**		**21,104.28**	**-4,462.03**		
Total	**36,000.000**		**$25,566.31**		**$21,104.28**	**-$4,462.03**	**$0.00**	
AXIATA GROUP BHD SHS			**Security Identifier: Y0488A101**					
ISIN#MYL6888OO001								
Dividend Option: Cash								
04/08/13	1,400.000	2.2630	3,168.06	2.1075	2,950.51	-217.55	65.83	2.23%
08/06/13	9,000.000	2.1540	19,382.40	2.1075	18,967.57	-414.83	423.17	2.23%
Total Covered	**10,400.000**		**22,550.46**		**21,918.08**	**-632.38**	**489.00**	
Total	**10,400.000**		**$22,550.46**		**$21,918.08**	**-$632.38**	**$489.00**	
BERJAYA SPORTS TOTO BERHAD			**Security Identifier: BJSAF**					
ISIN#MYL1562OO007			CUSIP: Y0849N107					
Dividend Option: Cash								
08/06/13	8,500.000	1.3260	11,271.85	1.2370	10,514.63	-757.22		
BOC HONG KONG HLDGS LTD SHS			**Security Identifier: BNKHF**					
ISIN#HK2388011192			CUSIP: Y0920U103					
Dividend Option: Cash								
04/08/13	3,000.000	3.2920	9,876.00	3.2050	9,615.01	-260.99	1,674.00	17.41%
08/06/13	5,000.000	3.2260	16,130.50	3.2050	16,025.01	-105.49	2,790.00	17.41%
Total Covered	**8,000.000**		**26,006.50**		**25,640.02**	**-366.48**	**4,464.00**	
Total	**8,000.000**		**$26,006.50**		**$25,640.02**	**-$366.48**	**$4,464.00**	
CHINA EVERBRIGHT IHD PACIFIC LTD SHS			**Security Identifier: CEVIF**					
ISIN#HK0165000859			CUSIP: Y1421G106					
Dividend Option: Cash								
12/02/13	2,810.000	1.7200	4,832.64	1.5812	4,443.23	-389.41		
12/03/13	3,560.000	1.7210	6,125.34	1.5812	5,629.15	-496.19		
12/04/13	1,630.000	1.7130	2,792.35	1.5812	2,577.39	-214.96		
12/17/13	1,260.000	1.6250	2,047.75	1.5812	1,992.34	-55.41		
12/18/13	1,070.000	1.6220	1,735.75	1.5812	1,691.91	-43.84		
12/19/13	1,060.000	1.6070	1,703.42	1.5812	1,676.09	-27.33		
Total Covered	**11,390.000**		**19,237.25**		**18,010.11**	**-1,227.14**		
Total	**11,390.000**		**$19,237.25**		**$18,010.11**	**-$1,227.14**	**$0.00**	

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Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-7

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
GENTING MALAYSIA BERHAD SHS			**Security Identifier: GMALF**					
ISIN#MYL4715OO008			CUSIP: Y2698A103					
Dividend Option: Cash								
08/02/13	1,670.000	1.4020	2,340.51	1.3378	2,234.14	-106.37		
08/05/13	1,670.000	1.4100	2,354.70	1.3378	2,234.14	-120.56		
08/06/13	5,840.000	1.3730	8,018.32	1.3378	7,812.80	-205.52		
09/05/13	2,470.000	1.2890	3,183.83	1.3378	3,304.39	120.56		
09/06/13	3,530.000	1.2630	4,458.39	1.3378	4,722.47	264.08		
Total Covered	**15,180.000**		**20,355.75**		**20,307.94**	**-47.81**		
Total	**15,180.000**		**$20,355.75**		**$20,307.94**	**-$47.81**	**$0.00**	
HYUNDAI MOTOR CO LTD SHS GDR REPR 1/2 NON			**Security Identifier: HYMTF**					
ISIN#USY38472125I VTG SH REG S			CUSIP: Y38472125					
Dividend Option: Cash								
04/09/13	270.000	32.0630	8,657.14	59.0000	15,930.00	7,272.86	104.80	0.65%
08/06/13	290.000	48.1810	13,972.52	59.0000	17,110.00	3,137.48	112.57	0.65%
Total Covered	**560.000**		**22,629.66**		**33,040.00**	**10,410.34**	**217.37**	
Total	**560.000**		**$22,629.66**		**$33,040.00**	**$10,410.34**	**$217.37**	
INDUSTRIAL AND COMMERCIAL BANK OF			**Security Identifier: IDCBF**					
CHINA LTD SHS H ISIN#CNE1000003G1			CUSIP: Y3990B112					
Dividend Option: Cash								
09/19/13	2,000.000	0.7380	1,475.40	0.6758	1,351.65	-123.75	67.06	4.96%
			134 day(s) added to your holding period as a result of a wash sale.					
09/19/13	2,000.000	0.7360	1,472.20	0.6758	1,351.65	-120.55	67.06	4.96%
			131 day(s) added to your holding period as a result of a wash sale.					
09/19/13	7,000.000	0.7260	5,078.52	0.6758	4,730.76	-347.76	234.72	4.96%
11/25/13	7,000.000	0.7210	5,048.40	0.6758	4,730.75	-317.65	234.73	4.96%
Total Covered	**18,000.000**		**13,074.52**		**12,164.81**	**-909.71**	**603.57**	
Total	**18,000.000**		**$13,074.52**		**$12,164.81**	**-$909.71**	**$603.57**	
MAJOR CINEPLEX GROUP PUBLIC CO LTD SHS			**Security Identifier: MCGRF**					
FOREIGN REGISTERD ISIN#TH0671010Z16			CUSIP: Y54190130					
Dividend Option: Cash			Price Estimated as of: 12/30/13					
04/09/13	5,400.000	0.7660	4,135.86	0.5499	2,970.00	-1,165.86		
08/06/13	30,200.000	0.6890	20,819.88	0.5499	16,610.00	-4,209.88		
Total Covered	**35,600.000**		**24,955.74**		**19,580.00**	**-5,375.74**		
Total	**35,600.000**		**$24,955.74**		**$19,580.00**	**-$5,375.74**	**$0.00**	
SJM HLDGS LTD COM			**Security Identifier: SJMHF**					
ISIN#HK0880043028			CUSIP: Y8076V106					
Dividend Option: Cash								
08/02/13	1,690.000	2.6000	4,394.00	3.3533	5,667.12	1,273.12		
08/05/13	1,140.000	2.5940	2,956.70	3.3533	3,822.79	866.09		

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Managed Account Statement

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PART II – LINE 10b – STATEMENT C-7

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SJM HLDGS LTD COM *(continued)*								
08/06/13	3,000.000	2.5860	7,758.90	3.3533	10,059.97	2,301.07		
10/02/13	4,000.000	2.8910	11,562.40	3.3533	13,413.30	1,850.90		
Total Covered	**9,830.000**		**26,672.00**		**32,963.18**	**6,291.18**		
Total	**9,830.000**		**$26,672.00**		**$32,963.18**	**$6,291.18**	**$0.00**	
TELEVISION BROADCAST LTD ORD SHS AFTER			**Security Identifier: TVBCF**					
BOARD LT CHANGE BOARD LOT 100			CUSIP: Y85830126					
ISIN#HK0000139300								
Dividend Option: Cash								
08/06/13	600.000	6.9790	4,187.24	6.6873	4,012.38	-174.86		
ADVANCED SEMICONDUCTOR ENGR			**Security Identifier: ASX**					
INC SPONSORED ADR			CUSIP: 00756M404					
Dividend Option: Cash								
04/05/13	2,760.000	4.0100	11,067.60	4.8000	13,248.00	2,180.40	332.67	2.51%
08/05/13	3,510.000	4.0800	14,320.10	4.8000	16,848.00	2,527.90	423.08	2.51%
Total Covered	**6,270.000**		**25,387.70**		**30,096.00**	**4,708.30**	**755.75**	
Total	**6,270.000**		**$25,387.70**		**$30,096.00**	**$4,708.30**	**$755.75**	
AMBEV S A SPONSORED ADR			**Security Identifier: ABEV**					
ISIN#US02319V1035			CUSIP: 02319V103					
Dividend Option: Cash								
04/05/13	1,650.000	8.3220	13,731.30	7.3500	12,127.50	-1,603.80		
08/05/13	1,700.000	7.5040	12,756.80	7.3500	12,495.00	-261.80		
Total Covered	**3,350.000**		**26,488.10**		**24,622.50**	**-1,865.60**		
Total	**3,350.000**		**$26,488.10**		**$24,622.50**	**-$1,865.60**	**$0.00**	
CHINA MOBILE LTD SPON ADR S A			**Security Identifier: CHL**					
ISIN#US16941M099			CUSIP: 16941M109					
Dividend Option: Cash								
08/05/13	260.000	53.3500	13,871.00	52.2900	13,595.40	-275.60	524.00	3.85%
CHUNGHWA TELECOM CO LTD SPONS ADR NEW			**Security Identifier: CHT**					
2011 ISIN#US17133Q5027			CUSIP: 17133Q502					
Dividend Option: Cash								
04/05/13	160.000	31.1400	4,982.40	30.9600	4,953.60	-28.80	193.54	3.90%

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Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-7

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CHUNGHWA TELECOM CO LTD SPONS ADR NEW *(continued)*								
08/05/13	330.000	31.7900	10,490.70	30.9600	10,216.80	-273.90	399.17	3.90%
Total Covered	**490.000**		**15,473.10**		**15,170.40**	**-302.70**	**592.71**	
Total	**490.000**		**$15,473.10**		**$15,170.40**	**-$302.70**	**$592.71**	
CIELO S A SPONSORED ADR			**Security Identifier: CIOXY**					
ISIN#US1717782023			CUSIP: 171778202					
Dividend Option: Cash								
04/09/13	156.000	26.2080	4,088.50	27.8270	4,341.01	252.51	145.16	3.34%
²COMPANHIA ENERGETICA DE MINAS GERAIS ADR			**Security Identifier: CIG**					
ISIN#US2044096012			CUSIP: 204409601					
Dividend Option: Cash								
10/10/13	1,160.000	9.1210	10,580.01	7.7900	9,036.40	-1,543.61	409.45	4.53%
GREEK ORGANISATION OF FOOTBALL			**Security Identifier: GOFPY**					
PROGNOSTICS SA ADR ISIN#US3924831031			CUSIP: 392483103					
Dividend Option: Cash								
10/01/13	170.000	5.6890	967.16	6.6620	1,132.54	165.38	42.20	3.72%
10/02/13	1,080.000	5.7720	6,233.87	6.6620	7,194.96	961.09	268.09	3.72%
10/02/13	150.000	5.7720	865.80	6.6620	999.30	133.50	37.24	3.72%
10/03/13	780.000	6.0370	4,708.94	6.6620	5,196.36	487.42	193.62	3.72%
10/04/13	210.000	6.2310	1,308.41	6.6620	1,399.02	90.61	52.13	3.72%
10/07/13	60.000	6.2820	376.94	6.6620	399.72	22.78	14.89	3.72%
10/09/13	50.000	6.2260	311.30	6.6620	333.10	21.80	12.42	3.72%
Total Covered	**2,500.000**		**14,772.42**		**16,655.00**	**1,882.58**	**620.59**	
Total	**2,500.000**		**$14,772.42**		**$16,655.00**	**$1,882.58**	**$620.59**	
GRUPO FINANCIERO SANTANDER MEXICO SAB			**Security Identifier: BSMX**					
DE CV SPONS ADR SHS SER B			CUSIP: 40053C105					
ISIN#US40053C1053								
Dividend Option: Cash								
04/05/13	490.000	15.4380	7,564.57	13.6400	6,683.60	-880.97	563.41	8.42%
08/05/13	400.000	16.0710	6,428.43	13.6400	5,456.00	-972.43	459.92	8.42%
		118 day(s) added to your holding period as a result of a wash sale.						
08/05/13	310.000	15.2100	4,714.98	13.6400	4,228.40	-486.58	356.44	8.42%
Total Covered	**1,200.000**		**18,707.98**		**16,368.00**	**-2,339.98**	**1,379.77**	
Total	**1,200.000**		**$18,707.98**		**$16,368.00**	**-$2,339.98**	**$1,379.77**	
ICICI BK LTD ADR ISIN#US45104G1040			**Security Identifier: IBN**					
Dividend Option: Cash			CUSIP: 45104G104					
09/09/13	640.000	31.2010	19,968.90	37.1700	23,788.80	3,819.90	426.88	1.79%
10/10/13	190.000	32.6260	6,198.86	37.1700	7,062.30	863.44	126.73	1.79%
Total Covered	**830.000**		**26,167.76**		**30,851.10**	**4,683.34**	**553.61**	
Total	**830.000**		**$26,167.76**		**$30,851.10**	**$4,683.34**	**$553.61**	

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Managed Account
Statement

PART II – LINE 10b – STATEMENT C-7

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
JSC MMC NORILSK NICKEL SPONSORED ADR			Security Identifier: NILSY					
ISIN#US46626D1081				CUSIP: 46626D108				
Dividend Option: Cash								
04/09/13	380.000	16.8150	6,389.66	16.4300	6,243.40	-146.26	592.81	9.49%
04/11/13	60.000	17.1120	1,026.72	16.4300	985.80	-40.92	93.60	9.49%
11/01/13	570.000	15.2610	8,698.83	16.4300	9,365.10	666.27	889.21	9.49%
Total Covered	**1,010.000**		**16,115.21**		**16,594.30**	**479.09**	**1,575.62**	
Total	**1,010.000**		**$16,115.21**		**$16,594.30**	**$479.09**	**$1,575.62**	
KT CORP SPON ADR			Security Identifier: KT					
Dividend Option: Cash				CUSIP: 48268K101				
09/06/13	150.000	16.7900	2,518.49	14.8700	2,230.50	-287.99	103.31	4.63%
09/09/13	170.000	16.8220	2,859.72	14.8700	2,527.90	-331.82	117.09	4.63%
09/10/13	410.000	16.8780	6,919.90	14.8700	6,096.70	-823.20	282.38	4.63%
Total Covered	**730.000**		**12,298.11**		**10,855.10**	**-1,443.01**	**502.78**	
Total	**730.000**		**$12,298.11**		**$10,855.10**	**-$1,443.01**	**$502.78**	
KCELL JT STK CO SPONSORED GDR REG S			Security Identifier: 48668G205					
ISIN#US48668G2057								
Dividend Option: Cash								
07/18/13	140.000	15.1490	2,120.92	17.1000	2,394.00	273.08		
07/18/13	100.000	15.1490	1,514.94	17.1000	1,710.00	195.06		
07/19/13	40.000	15.2000	608.00	17.1000	684.00	76.00		
07/22/13	110.000	15.7800	1,735.75	17.1000	1,881.00	145.25		
07/23/13	30.000	16.2060	486.17	17.1000	513.00	26.83		
08/06/13	1,180.000	16.2150	19,133.70	17.1000	20,178.00	1,044.30		
Total Covered	**1,600.000**		**25,599.48**		**27,360.00**	**1,760.52**		
Total	**1,600.000**		**$25,599.48**		**$27,360.00**	**$1,760.52**	**$0.00**	
MOBILE TELESYSTEMS OJSC SPONSORED ADR			Security Identifier: MBT					
ISIN#US6074091090				CUSIP: 607409109				
Dividend Option: Cash								
12/09/13	260.000	20.4560	5,318.48	21.6300	5,623.80	305.32	261.33	4.64%
12/12/13	10.000	20.0190	200.19	21.6300	216.30	16.11	10.05	4.64%
12/13/13	160.000	20.1050	3,216.83	21.6300	3,460.80	243.97	160.82	4.64%

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Portfolio Holdings *(continued)*

PART II – LINE 10b – STATEMENT C-7

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MOBILE TELESYSTEMS OJSC SPONSORED ADR *(continued)*								
12/16/13	340.000	20.2730	6,892.92	21.6300	7,354.20	461.28	341.73	4.64%
Total Covered	**770.000**		**15,628.42**		**16,655.10**	**1,026.68**	**773.93**	
Total	**770.000**		**$15,628.42**		**$16,655.10**	**$1,026.68**	**$773.93**	
LUKOIL CO SPOND ADR SHS			**Security Identifier: LUKOY**					
ISIN#US6778621044			CUSIP: 677862104					
Dividend Option: Cash								
04/05/13	240.000	61.5000	14,760.00	62.0720	14,897.28	137.28	877.38	5.88%
08/05/13	280.000	59.6000	16,688.00	62.0720	17,380.16	692.16	1,023.60	5.88%
Total Covered	**520.000**		**31,448.00**		**32,277.44**	**829.44**	**1,900.98**	
Total	**520.000**		**$31,448.00**		**$32,277.44**	**$829.44**	**$1,900.98**	
PACIFIC RUBIALES ENERGY CORP COM NEW			**Security Identifier: PEGFF**					
ISIN#CA69480U2065			CUSIP: 69480U206					
Dividend Option: Cash								
04/05/13	190.000	19.1800	3,644.20	17.2644	3,280.24	-363.96	125.40	3.82%
08/05/13	660.000	19.9520	13,168.58	17.2644	11,394.51	-1,774.07	435.60	3.82%
Total Covered	**850.000**		**16,812.78**		**14,674.75**	**-2,138.03**	**561.00**	
Total	**850.000**		**$16,812.78**		**$14,674.75**	**-$2,138.03**	**$561.00**	
PERUSAHAAN PERSEROAN PERSERO P.T.			**Security Identifier: TLK**					
TELEKOMUNIKASI INDONESIA ADR RPSTG			CUSIP: 715684106					
40 SER-B SHS-								
Dividend Option: Cash								
04/05/13	230.000	43.8000	10,074.00	35.8500	8,245.50	-1,828.50	280.43	3.40%
08/05/13	220.000	45.7260	10,059.81	35.8500	7,887.00	-2,172.81	268.24	3.40%
Total Covered	**450.000**		**20,133.81**		**16,132.50**	**-4,001.31**	**548.67**	
Total	**450.000**		**$20,133.81**		**$16,132.50**	**-$4,001.31**	**$548.67**	
PETROCHINA CO LTD SPONS ADR			**Security Identifier: PTR**					
ISIN#US71646E1001			CUSIP: 71646E100					
Dividend Option: Cash								
04/05/13	50.000	126.7600	6,338.00	109.7400	5,487.00	-851.00	210.72	3.84%
PHILIPPINE LONG DISTANCE TEL CO ADR			**Security Identifier: PHI**					
ISIN#US7182526043 RPSTG 1 SH COM			CUSIP: 718252604					
Dividend Option: Cash								
04/05/13	40.000	69.5990	2,783.95	60.0800	2,403.20	-380.75	85.44	3.55%
08/05/13	260.000	71.4480	18,576.38	60.0800	15,620.80	-2,955.58	555.34	3.55%
Total Covered	**300.000**		**21,360.33**		**18,024.00**	**-3,336.33**	**640.78**	
Total	**300.000**		**$21,360.33**		**$18,024.00**	**-$3,336.33**	**$640.78**	

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PART II – LINE 10b – STATEMENT C-7

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SASOL LTD SPONSORED ADR			**Security Identifier: SSL**					
Dividend Option: Cash			CUSIP: 803866300					
04/08/13	180.000	43.4540	7,821.79	49.4500	8,901.00	1,079.21	298.94	3.35%
08/08/13	150 000	47.3300	7,099.50	49.4500	7,417.50	318.00	249.11	3.35%
Total Covered	**330.000**		**14,921.29**		**16,318.50**	**1,397.21**	**548.05**	
Total	**330.000**		**$14,921.29**		**$16,318.50**	**$1,397.21**	**$548.05**	
SBERBANK RUSSIA SPONS ADR			**Security Identifier: SBRCY**					
ISIN#US80585Y3080			CUSIP: 80585Y308					
Dividend Option: Cash								
04/05/13	1,190.000	12.6100	15,005.90	12.3150	14,654.85	-351.05	289.42	1.97%
08/05/13	990.000	11.7100	11,592.90	12.3150	12,191.85	598.95	240.77	1.97%
Total Covered	**2,180.000**		**26,598.80**		**26,846.70**	**247.90**	**530.19**	
Total	**2,180.000**		**$26,598.80**		**$26,846.70**	**$247.90**	**$530.19**	
SILICONWARE PRECISION INDS LTD			**Security Identifier: SPIL**					
SPONSORED ADR SPIL ISIN#US8270848646			CUSIP: 827084864					
Dividend Option: Cash								
04/05/13	1,750.000	5.6100	9,816.80	5.9800	10,465.00	648.20	281.24	2.68%
08/05/13	1,990.000	5.4790	10,903.01	5.9800	11,900.20	997.19	319.80	2.68%
Total Covered	**3,740.000**		**20,719.81**		**22,365.20**	**1,645.39**	**601.04**	
Total	**3,740.000**		**$20,719.81**		**$22,365.20**	**$1,645.39**	**$601.04**	
TAIWAN SEMICONDUCTOR MFG CO SPONSORED			**Security Identifier: TSM**					
ADR ISIN#US8740391003			CUSIP: 874039100					
Dividend Option: Cash								
04/05/13	680.000	16.6000	11,288.00	17.4400	11,859.20	571.20	272.59	2.29%
08/05/13	870 000	16.7590	14,580.68	17.4400	15,172.80	592.12	348.75	2.29%
Total Covered	**1,550.000**		**25,868.68**		**27,032.00**	**1,163.32**	**621.34**	
Total	**1,550.000**		**$25,868.68**		**$27,032.00**	**$1,163.32**	**$621.34**	
TENARIS S A SPONSORED ADR			**Security Identifier: TS**					
Dividend Option: Cash			CUSIP: 88031M109					
04/09/13	170.000	40.4760	6,880.84	43.6900	7,427.30	546.46	146.20	1.96%
08/05/13	290.000	44.5000	12,905.00	43.6900	12,670.10	-234.90	249.40	1.96%

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PART II – LINE 10b – STATEMENT C-7

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TENARIS S A SPONSORED ADR *(continued)*								
Total Covered	**460.000**		19,785.84		20,097.40	311.56	395.60	
Total	**460.000**		$19,785.84		$20,097.40	$311.56	$395.60	
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR			**Security Identifier: TEVA**					
ISIN#US8816242098				CUSIP: 881624209				
Dividend Option: Cash								
04/05/13	290.000	39.2800	11,391.20	40.0800	11,623.20	232.00	315.02	2.71%
Total Common Stocks			$949,072.19		$978,428.84	$29,356.65	$32,551.55	
Real Estate Investment Trusts								
DEUTSCHE BANK MEXICO SA REIT			**Security Identifier: DBMBF**					
ISIN#MXCFFI0U0002				CUSIP: P3515D155				
Dividend Option: Cash								
05/20/13	600.000	2.5750	1,544.97	1.9692	1,181.56	-363.41		
05/21/13	2,190.000	2.5550	5,595.14	1.9692	4,312.71	-1,282.43		
05/22/13	1,130.000	2.5380	2,867.67	1.9692	2,225.28	-642.39		
08/06/13	7,350.000	2.0530	15,087.76	1.9692	14,474.15	-613.61		
Total Covered	**11,270.000**		**25,095.54**		**22,193.70**	**-2,901.84**		
Total	**11,270.000**		**$25,095.54** - 429.83 = 24,665.71		**$22,193.70**	**-$2,901.84**	**$0.00**	
DEUTSCHE BANK MEXICO SA REAL ESTATE			**Security Identifier: FBASF**					
INVESTMENT TR ISIN#MXCFFU000001				CUSIP: P3515D163				
Dividend Option: Cash								
08/06/13	5,310.000	3.1720	16,840.89	3.1932	16,956.38	115.49		
Total Real Estate Investment Trusts			$41,936.43		$39,150.08	-$2,786.35	$0.00	
Total Equities			$991,008.62		$1,017,578.92	$26,570.30	$32,551.55	

Deutsche Bank Mexico (429.83)
990,578.79
Other (150.64)
990,428.15

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago, IL 60606
(800) 682-4335

[redacted]

Brokerage Account Statement

39-6045140

Part II - Line 10c - Statement D

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Corporate Bonds

1,5TOTAL CAP CDA LTD GTD NT **Security Identifier: 89153UAA9**

ISIN#US89153UAA97 0.000% 01/17/14 B/E
DTD 01/28/11 CALLABLE Moody Rating Aa1 S & P Rating AA-

Please Provide *	85,000.000	N/A	Please Provide	100.0190	85,016.15	N/A		0.00		

Original Cost Basis: Please Provide 85000.00

1MORGAN STANLEY FIXED RT SR NT SER F **Security Identifier: 61747WAD1**

2.875% 01/24/14 B/E DTD 01/25/11
1ST CPN DTE 07/24/11 CPN PMT SEMI ANNUAL Moody Rating BAA2 S & P
Rating A-

01/20/11 *,3,12	90,000.000	99.9970	89,996.98	100.1300	90,117.00	120.02	1,128.44		2,587.50	2.87%

Original Cost Basis: $89,869.50

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Portfolio Holdings (continued)

Part II - Line 10c - Statement D

Date Acquired	Quantity	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
TIME WARNER CABLE INC GTD NTS 7.500% 04/01/14 B/E DTD 03/26/09 CALLABLE 1ST CPN DTE 10/01/09S & P Rating BBB		**Security Identifier: 88732JAR9**							
03/23/09 *,3,12	65,000.000	99.9720	64,981.98	101.6600	66,079.00	1,097.02	1,218.75	4,875.00	7.37%
			Original Cost Basis: $64,697.10						
TOYOTA MTR CR CORP MEDIUM TERM NTS FIXED RT NTS SER B 1.250% 11/17/14 B/E DTD 11/17/11 1ST CPN DTE 05/17/12Moody Rating AA3 S & P Rating AA-		**Security Identifier: 89233P5N2**							
11/14/11 *,3,12	100,000.000	99.9350	99,934.51	100.8310	100,831.00	896.49	152.78	1,250.00	1.23%
			Original Cost Basis: $99,780.00						
BERKSHIRE HATHAWAY INC DEL FIXED RT SR NT 3.200% 02/11/15 B/E DTD 02/11/10 CALLABLEMoody Rating AA2 S & P Rating AA		**Security Identifier: 084670AV0**							
02/04/10 *,3,12	80,000.000	99.9800	79,984.27	103.0700	82,456.00	2,471.73	995.56	2,560.00	3.10%
			Original Cost Basis: $79,933.60						
COVIDIEN INTL FIN SA GTD SR NT ISIN#US22303QAM24 1.350% 05/29/15 B/E DTD 05/30/12 CALLABLEMoody Rating Baa1 S & P Rating A		**Security Identifier: 22303QAM2**							
05/22/12 *,3,12	60,000.000	99.9850	59,990.84	100.7340	60,440.40	449.56	72.00	810.00	1.34%
			Original Cost Basis: $59,980.80						
INTERNATIONAL CCE INC FIXED RT 2.125% 09/15/15 B/E DTD 09/14/10 1ST CPN DTE 03/15/11 CPN PMT SEMI ANNUALMoody Rating A3 S & P Rating BBB		**Security Identifier: 459284AA3**							
09/07/10 *,3,12	80,000.000	99.8700	79,895.80	101.9360	81,548.80	1,653.00	500.56	1,700.00	2.08%
			Original Cost Basis: $79,705.60						
BARCLAYS FINL LLC NTS LKD WORST PERFORMING INDEX 0.000% 10/19/15 B/E DTD 10/18/13 CALLABLE 01/21/14		**Security Identifier: 067387GN4**							
10/14/13 *	175,000.000	100.0000	175,000.00	100.2300	175,402.50	402.50	0.00		
			Original Cost Basis: $175,000.00						
JPMORGAN CHASE BK NEW YORK N Y MEDIUM TERM BK NT 9.250% 10/19/15 B/E DTD 10/18/13 CALLABLE 01/17/14		**Security Identifier: 46632FCZ6**							
10/11/13 *	200,000.000	100.0000	200,000.00	99.4100	198,820.00	-1,180.00	3,751.39	18,500.00	9.30%
			Original Cost Basis: $200,000.00						

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
227 West Monroe Street 31st Floor
Chicago IL 60606
(800) 682-4335

Brokerage
Account Statement

#39-6045140

Part II - Line 10c - Statement A

Statement Period: 12/01/2013 - 12/31/2013

Portfolio Holdings *(continued)*

Date Acquired	Quantity	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds *(continued)*									
SOCIETE GENERALE MEDIUM-TERM-NTS — **Security Identifier: 83368WFQ9** ISIN#US83368WFQ96 6.500% 10/30/15 B/E DTD 10/31/13 FOREIGN SECURITY									
10/25/13 *	450,000.000	100.0000	450,000.00	97.1100	436,995.00	-13,005.00	4,875.00	29,250.00	6.69%
		Original Cost Basis: $450,000.00							
DIRECTV HLDGS LLC / DIRECTV FING INC GTD — **Security Identifier: 25459HAV7** SR FIXED RT NT 3.125% 02/15/16 B/E DTD 08/17/10 1ST CPN DTE 02/15/11Moody Rating BAA2 S & P Rating BBB-									
07/26/12 *,3,12	45,000.000	103.1060	46,397.84	104.0220	46,809.90	412.06	531.25	1,406.25	3.00%
		Original Cost Basis: $47,303.10							
AT&T INC FIXED RT NT 2.950% 05/15/16 B/E — **Security Identifier: 00206RAW2** DTD 04/29/11 1ST CPN DTE 11/15/11 CPN PMT SEMI ANNUAL ON MAY 15 AND NOV 15Moody Rating A3 S & P Rating A-									
04/26/11 *,3,12	60,000.000	99.9110	59,946.55	104.2750	62,565.00	2,618.45	226.17	1,770.00	2.82%
		Original Cost Basis: $59,890.80							
ROYAL BK CDA GLOBAL MED TRM NT — **Security Identifier: 78008TLB8** ISIN#US78008TLB88 2.300% 07/20/16 B/E DTD 07/20/11 FOREIGN SECURITYMoody Rating Aa3 S & P Rating AA-									
07/13/11 *,3,12	90,000.000	99.9680	89,971.14	103.3780	93,040.20	3,069.06	925.75	2,070.00	2.22%
		Original Cost Basis: $89,945.10							
CRH AMER INC NT 6.000% 09/30/16 B/E — **Security Identifier: 12626PAG8** DTD 09/14/06 1ST CPN DTE 03/30/07 CPN PMT SEMI ANNUAL ON MAR 30 AND SEP 30Moody Rating BAA2 S & P Rating BBB+									
09/07/06 *,3,12	85,000.000	99.7910	84,822.42	111.7120	94,955.20	10,132.78	1,275.00	5,100.00	5.37%
		Original Cost Basis: $84,472.15							
TORONTO DOMINION BK SR MEDIUM TERM — **Security Identifier: 89114QAE8** ISIN#US89114QAE89 2.375% 10/19/16 B/E DTD 10/19/11 CALLABLEMoody Rating Aa1 S & P Rating AA-									
10/12/11 *,3,12	90,000.000	99.6800	89,711.84	103.8230	93,440.70	3,728.86	427.50	2,137.50	2.28%

#39-6045140 [redacted]

Portfolio Holdings (continued) Part II - Line 10c - Statement D

Date Acquired	Quantity	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds *(continued)*									
TORONTO DOMINION BK SR MEDIUM TERM *(continued)*									
			Original Cost Basis: $89,499.60						
UNITED STATES BANCORP MEDIUM U S			**Security Identifier: 91159HHB9**						
BANCORP MEDIUM TERM NTS- FIXED RT SER T									
2.200% 11/15/16 B/E DTD 11/03/11 Moody Rating A1 S & P Rating A+									
10/27/11 *,3,12	75,000.000	99.8500	74,887.68	103.2700	77,452.50	2,564.82	210.83	1,650.00	2.13%
			Original Cost Basis: $74,808.00						
STATOIL HYDRO ASA NT			**Security Identifier: 85771PAD4**						
1.800% 11/23/16 B/E DTD 11/23/11									
CALLABLE FOREIGN SECURITYMoody Rating Aa2 S & P Rating AA-									
11/16/11 *,3,12	80,000.000	99.9040	79,923.46	102.2300	81,784.00	1,860.54	152.00	1,440.00	1.76%
			Original Cost Basis: $79,870.40						
BARCLAYS FINL LLC NT LKD PERFORMANCE			**Security Identifier: 067387EE6**						
BASKET ASIAN EQUITY INDICES									
0.000% 04/28/17 B/E DTD 01/31/13									
01/25/13 *	200,000.000	100.0000	200,000.00	97.0900	194,180.00	-5,820.00	0.00		
			Original Cost Basis: $200,000.00						
GLAXOSMITHKLINE CAP PLC			**Security Identifier: 377373AC9**						
GTD NT ISIN#US377373AC98									
1.500% 05/08/17 B/E DTD 05/09/12Moody Rating A1 S & P Rating A+									
05/02/12 *,3,12	30,000.000	99.7560	29,926.75	100.0450	30,013.50	86.75	66.25	450.00	1.49%
			Original Cost Basis: $29,892.30						
HEWLETT PACKARD CO GLOBAL NT			**Security Identifier: 428236BW2**						
2.600% 09/15/17 B/E DTD 03/12/12									
CALLABLE 1ST CPN DTE 09/15/12Moody Rating BAA1 S & P Rating BBB+									
03/07/12 *,3,12	55,000.000	99.9900	54,994.32	101.6050	55,882.75	888.43	421.06	1,430.00	2.55%
			Original Cost Basis: $54,991.75						
Total Corporate Bonds			**$2,110,366.38**		**$2,207,829.60**	**$12,447.07**	**$16,930.29**	**$78,986.25**	
			+ 85,000.00						
			$2,195,366.38				**589.69**	**$78,986.25**	