Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation
KIKKOMAN FOODS FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P.O. BOX 69

City or town, state or province, country, and ZIP or foreign postal code
WALWORTH, WI 53184

A Employer identification number
39-1763633

B Telephone number (see instructions)
( ) -

C If exemption application is pending check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 11,443,995.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ____________________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	13.	13.		ATCH 1
	4	Dividends and interest from securities	211,602.	211,602.		ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	798,883.			
	b	Gross sales price for all assets on line 6a 7,007,058.				
	7	Capital gain net income (from Part IV, line 2)		798,883.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,010,498.	1,010,498.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) *	5,535.			
	17	Interest				
	18	Taxes (attach schedule) (see instructions) ATCH 4	13,938.			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 5	13.	3.		10.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	19,486.	3.		10.
	25	Contributions, gifts, grants paid	429,072.			429,072.
	26	**Total expenses and disbursements.** Add lines 24 and 25	448,558.	3.		429,082.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	561,940.			
	b	**Net investment income** (if negative, enter -0-)		1,010,495.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	318.	133.	133.
	2	Savings and temporary cash investments	72,590.	139,207.	139,207.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule) ATCH 6	4,502,792.	4,703,051.	4,703,967.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 7	4,552,386.	4,912,249.	6,600,688.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	9,128,086.	9,754,640.	11,443,995.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ **check here and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	9,128,086.	9,754,640.	
	30	**Total net assets or fund balances** (see instructions)	9,128,086.	9,754,640.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	9,128,086.	9,754,640.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,128,086.
2	Enter amount from Part I, line 27a	2	561,940.
3	Other increases not included in line 2 (itemize) ▶ ATCH 8	3	64,614.
4	Add lines 1, 2, and 3	4	9,754,640.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,754,640.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	798,883.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss) enter -0- in Part I, line 8	}	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	448,631.	10,120,412.	0.044329
2011	482,070.	9,842,919.	0.048976
2010	449,420.	9,085,963.	0.049463
2009	348,335.	7,901,090.	0.044087
2008	532,089.	8,748,973.	0.060817

2 **Total** of line 1, column (d)	2	0.247672
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049534
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	10,763,869.
5 Multiply line 4 by line 3	5	533,177.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,105.
7 Add lines 5 and 6	7	543,282.
8 Enter qualifying distributions from Part XII, line 4	8	429,082.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______________ **(attach copy of letter if necessary - see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			**1**	20,210.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			**2**	
3	Add lines 1 and 2			**3**	20,210.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			**4**	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			**5**	20,210.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	**6a**	14,445.		
b	Exempt foreign organizations - tax withheld at source	**6b**			
c	Tax paid with application for extension of time to file (Form 8868)	**6c**			
d	Backup withholding erroneously withheld	**6d**			
7	Total credits and payments Add lines 6a through 6d			**7**	14,445.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			**8**	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			**9**	5,765.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			**10**	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax ▶** **Refunded ▶**			**11**	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	**1a**		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	**1b**		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	**1c**		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes " attach a detailed description of the activities*	**2**		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	**3**		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	**4a**		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	**4b**		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	**5**		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	**6**	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	**7**	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WI,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	**8b**	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV.*	**9**		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	**10**		X

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Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ KARL KEANE Telephone no ▶ (262)275-6181

Located at ▶ P.O. BOX 69 WALWORTH, WI ZIP+4 ▶ 53184

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No Reg Sec. 53.4941-(d)2(d)3

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No

		Line	Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No

If "Yes," list the years ▶ ________ , ________ , ________ , ________

		Line	Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here

▶ ________ , ________ , ________ , ________

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

		Line	Yes	No
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable scientific literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	10,605,762.
b	Average of monthly cash balances	1b	322,024.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	10,927,786.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	10,927,786.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	163,917.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,763,869.
6	**Minimum investment return.** Enter 5% of line 5	6	538,193.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	538,193.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	20,210.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	20,210.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	517,983.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	517,983.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	517,983.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	429,082.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	429,082.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	429,082.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					517,983.
2	Undistributed income, if any, as of the end of 2013					
a	Enter amount for 2012 only					
b	Total for prior years 20 11 ,20 10 ,20 09					
3	Excess distributions carryover, if any, to 2013					
a	From 2008	102,632.				
b	From 2009					
c	From 2010	188.				
d	From 2011					
e	From 2012					
f	**Total** of lines 3a through e		102,820.			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 429,082.					
a	Applied to 2012, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2013 distributable amount					429,082.
e	Remaining amount distributed out of corpus					
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		88,901.			88,901.
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		13,919.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		13,731.			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		188.			
10	Analysis of line 9					
a	Excess from 2009					
b	Excess from 2010	188.				
c	Excess from 2011					
d	Excess from 2012					
e	Excess from 2013					

Form **990-PF** (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test - enter **(1)** Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter **(1)** Total support other than gross investment income (interest dividends, rents, payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 10

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT 12

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT 13

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 14				429,072.
Total . . . . ▶ **3a**				429,072.
b *Approved for future payment*				
ATCH 11				
Total . . . . ▶ **3b**				905,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	13.	
4 Dividends and interest from securities			14	211,602.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	798,883.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d) and (e)				1,010,498.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 1,010,498.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ | 5/7/14 | ▶ TREASURER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Jennifer Fahey	Jennifer Fahey	05/02/2014		P00159945

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP
Firm's EIN ▶ 13-4008324
Firm's address ▶ 100 EAST WISCONSIN AVENUE
MILWAUKEE, WI 53202
Phone no 414-212-1600

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2013

Name of estate or trust	Employer identification number
KIKKOMAN FOODS FOUNDATION, INC.	39-1763633

Note: *Form 5227 filers need to complete only Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	225,620.	197,221.		28,399.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked	522,039.	525,636.		-3,597.
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶	7	24,802.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	17,142.	14,656.		2,486.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked	5,925,512.	5,470,662.		454,850.
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13 Capital gain distributions	13	316,745.
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶	16	774,081.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

	Part III **Summary of Parts I and II** **Caution:** *Read the instructions* ***before*** *completing this part*		**(1)** Beneficiaries' (see instr)	**(2)** Estate's or trust's	**(3)** Total
17	**Net short-term gain or (loss)**	17			24,802.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			774,081.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			798,883.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2) are net gains, go to Part V, and* ***do not*** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 19, column (3) **or** **b** $3,000 . . . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24 If zero or less, enter -0-			26			
27	Subtract line 26 from line 21 If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33 If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38 If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949.*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
KIKKOMAN FOODS FOUNDATION, INC.	39-1763633

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
JP MORGAN ACCT 4006 ST COVERED	VARIOUS	VARIOUS	225,620.	197,221.			28,399.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked)▶			225,620.	197,221.			28,399.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at** *www.irs gov/form8949*.

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: KIKKOMAN FOODS FOUNDATION, INC.

Social security number or taxpayer identification number: 39-1763633

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☒ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 **(a)** Description of property (Example 100 sh XYZ Co)	**(b)** Date acquired (Mo , day, yr)	**(c)** Date sold or disposed (Mo , day, yr)	**(d)** Proceeds (sales price) (see instructions)	**(e)** Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** **(f)** Code(s) from instructions	**(g)** Amount of adjustment	**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
JP MORGAN ACCT 4006 ST NON-COVERED	VARIOUS	06/21/2013	98,340.	100,470.			-2,130.
JP MORGAN ACCT 4009	VARIOUS	VARIOUS	194,730.	195,720.			-990.
JP MORGAN ACCT 6009	VARIOUS	VARIOUS	228,969.	229,446.			-477.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			522,039.	525,636.			-3,597.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
KIKKOMAN FOODS FOUNDATION, INC.	39-1763633

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
JP MORGAN ACCT 4006 LT COVERED	VARIOUS	06/13/2013	17,142.	14,656.			2,486.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			17,142.	14,656.			2,486.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side): KIKKOMAN FOODS FOUNDATION, INC.

Social security number or taxpayer identification number: 39-1763633

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☒ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
JP MORGAN ACCT 4006 LT NON-COVERED	VARIOUS	VARIOUS	5,925,512.	5470662.			454,850.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			5,925,512.	5470662.			454,850.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					316,745.	
		JP MORGAN ACCT 4006 ST COVERED					VARIOUS	VARIOUS
225,620.		197,221.					28,399.	
		JP MORGAN ACCT 4006 LT COVERED					VARIOUS	06/13/2013
17,142.		14,656.					2,486.	
		JP MORGAN ACCT 4006 ST NON-COVERED					VARIOUS	06/21/2013
98,340.		100,470.					-2,130.	
		JP MORGAN ACCT 4006 LT NON-COVERED					VARIOUS	VARIOUS
5,925,512.		5,470,662.					454,850.	
		JP MORGAN ACCT 4009 ST NON-COVERED					VARIOUS	VARIOUS
194,730.		195,720.					-990.	
		JP MORGAN ACCT 6009 ST NON-COVERED					VARIOUS	VARIOUS
228,969.		229,446.					-477.	
TOTAL GAIN(LOSS)							798,883.	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
JP MORGAN INTEREST		13.	13.
	TOTAL	13.	13.

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
JP MORGAN DIVIDENDS		211,602.	211,602.
	TOTAL	211,602.	211,602.

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
MANAGEMENT FEES		5,535.
	TOTALS	5,535.

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
FOREIGN TAX WITHHELD	938.
TAX PAID IN 2012	13,000.
TOTALS	13,938.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
INTEREST EXPENSE		13.
	TOTALS	13.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
CORPORATE OBLIGATIONS		4,502,792.	4,703,051.	4,703,967.
	TOTALS	4,502,792.	4,703,051.	4,703,967.

ATTACHMENT 7

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
MUTUAL FUNDS		4,552,386.	4,912,249.	6,600,688.
	TOTALS	4,552,386.	4,912,249.	6,600,688.

ATTACHMENT 8

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
INCREASE RELATED TO NEW ACCOUNTS		64,614.
	TOTAL	64,614.

KIKKOMAN FOODS FOUNDATION, INC. 39-1763633

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
YUZABURO MOGI 2-1-1, NISHI-SHINBASHI MINATO-KU, TOKYO 105, JAPAN	DIRECTOR	0	0	0
MILTON E. NESHEK P.O. BOX 69 WALWORTH, WI 53184	DIRECTOR	0	0	0
ROBERT V. CONOVER P.O. BOX 69 WALWORTH, WI 53184	DIRECTOR	0	0	0
RYOHEI TSUJI, PH.D. P.O. BOX 69 WALWORTH, WI 53184	DIRECTOR	0	0	0
KAZUO SHIMIZU P.O. BOX 69 WALWORTH, WI 53184	DIRECTOR	0	0	0
KARL N. KEANE P.O. BOX 69 WALWORTH, WI 53184	DIRECTOR	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DANIEL P. MILLER P.O. BOX 69 WALWORTH, WI 53184	DIRECTOR	0	0	0
JAMES S. HANEY 5337 COMANCHE WAY MADISON, WI 53704	DIRECTOR	0	0	0
DR. ROBERT R. SPITZER, PH.D 361 PICKETT COURT BURLINGTON, WI 53105	DIRECTOR	0	0	0
WILLIAM E. NELSON N3350 VIA CASSIO LAKE GENEVA, WI 53147	DIRECTOR	0	0	0
OSAMU MOGI 2-1-1, NISHI-SHINBASHI MINATO-KU, TOKYO 105, JAPAN	DIRECTOR	0	0	0
KENICHI SAITO 2-1-1, NISHI-SHINBASHI MINATO-KU, TOKYO 105, JAPAN	DIRECTOR	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MAKOTO KUROSE 1000 GLENN DRIVE FOLSOM, CA 95630	DIRECTOR	0	0	0
DR. GILLES BOUSQUET 2249 KEYES AVENUE MADISON, WI 53711	DIRECTOR	0	0	0
NORIAKI HORIKIRI 2-1-1, NISHI-SHINBASHI MINATO,KU, TOKYO 105, JAPAN	DIRECTOR	0	0	0
	GRAND TOTALS	0	0	0

ATTACHMENT 10

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ROBERT V. CONOVER
P.O. BOX 69
WALWORTH, WI 53184
262-275-6181

KIKKOMAN FOODS FOUNDATION, INC 39-1763633

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UW FOUNDATION - JAPANESE FLOOR FUND			5,000
UW-MILWAUKEE SCHOOL OF FRESHWATER SCIENCES			900,000
		TOTAL CONTRIBUTIONS APPROVED	905,000.

KIKKOMAN FOODS FOUNDATION, INC.
WALWORTH, WISCONSIN 53184
FOR THE YEAR ENDED 12/31/2013

STATEMENT 12
E.I. #39-1763633
FORM 990-PF

The Foundation does not have a formal funding request application form. However, requests should be in writing, and include the following information:

- The organization's name, address, and phone number, along with the name of the contact person familiar with the details of the program.
- The type of program being funded, the target population, amount requested, and the date by which the funds are needed.
- A budget specifically outlining when and how the funding will be spent.
- The expected long-term and short-term results of the program.
- Information on amounts committed or pending from other sources for the program.
- A brief overview of the organization, including its history, purpose, number of members, the constituents it serves, geographic service area, volunteers, and general accomplishments to date.
- A copy of the organization's determination letter from the Internal Revenue Service indicating tax exemption as a 501 (c)(3) organization.

The following organizations are eligible to receive grants unless specifically excluded below:

- Organizations with 501 (c) (3) status that also fall under 509 (a) (1) or 509 (a) (2)
- Governmental units that fall under 509 (a) (1) but only if the gift or contribution is exclusively for public purposes

The foundation normally does not consider funding requests for the following:

- Individual persons and private organizations
- Raffle tickets, product purchases, etc.
- Political organizations, campaign committees, or lobbying groups
- Religious or sectarian organizations
- Performing and graphic art associations not in the state of Wisconsin
- Athletic events outside the Foundation's interests
- Scientific and developmental research outside the food area
- Support in the form of travel or lodging for individuals or groups
- Promotional events
- Organizations that discriminate on the basis of color, sex, religion, national origin, age, handicap, or veteran's status
- Organizations or purposes that might in any way be inconsistent with our goals, programs, products, or employees

KIKKOMAN FOODS FOUNDATION, INC.
2013 Contributions

DONEE	STATUS	PURPOSE	AMOUNT
The Wisconsin Policy Research Institute, Inc. P. O. Box 382 Hartland, WI 53029	501(c)(3)	Financial Support	$1,000.00
WMC Foundation 501 East Washington Avenue Madison, WI 53703	501(c)(3)	Sponsorship – Business Day in Madison	$3,500.00
UWM Foundation UWM Lubar School of Business P. O. Box 742 Milwaukee, WI 53201	501(c)(3)	Financial Support – Business Special Projects	$2,500.00
St. John's Shelter for Women and Children 2443 Fair Oaks Boulevard, #369 Sacramento, CA 95825	501(c)(3)	Financial Support	$1,000.00
Aurora University Music by the Lake 350 Constance Boulevard Williams Bay, WI 53191	501(c)(3)	Financial Support	$1,000.00
Big Foot High School P.O. Box 99 Walworth, WI 53184	501(c)(3)	3 Scholarships at $3,000.00	$9,000.00

DONEE	STATUS	PURPOSE	AMOUNT
Elkhorn High School 3 N. Jackson Street Elkhorn, WI 53121	501(c)(3)	1 Scholarship at $3,000.00	$3,000.00
Delavan - Darien High School 150 Cummings Street Delavan, WI 53115	501(c)(3)	1 Scholarship at $3,000.00	$3,000.00
Badger High School 220 South Street Lake Geneva, WI 53147	501(c)(3)	1 Scholarship at $3,000.00	$3,000.00
Folsom High School 1655 Iron Point Road Folsom, CA 95630-7801	501(c)(3)	3 Scholarship at $3,000.00	$9,000.00
The Japan America Society of Chicago 20 N. Clark Street Suite 750 Chicago, IL 60602	501(c)(3)	Society's Annual Program Fund	$1,000.00
The University of Wisconsin Foundation Food Research Institute 1550 Linden Drive Madison, WI 53706	501(c)(3)	Grant for Perpetual Scholarship	$30,000.00
The University of Wisconsin Foundation Food Research Institute 1550 Linden Drive Madison, WI 53706	501(c)(3)	Financial Support	$5,000.00
Wisconsin Public Television 821 University Avenue Madison, WI 53707	501(c)(3)	Financial Support – The Director's Circle	$1,000.00

DONEE	STATUS	PURPOSE	AMOUNT
Folsom Lake College Foundation 10 College Parkway Folsom, CA 95630	501(c)(3)	Financial Support for Scholarships	$3,000.00
Milwaukee Public Museum 800 Wells Street Milwaukee, WI 53233-1478	501(c)(3)	Financial Support – Annual Gala Event	$2,000.00
Alzheimer's Association 620 S. 76th Street Suite 160 Milwaukee, WI 53214	501(c)(3)	Financial Support	$500.00
Wisconsin Women in Government P. O. Box 2543 Madison, WI 53701	501(c)(3)	Financial Support	$500.00
SMILES N2666 County Road K Delavan, WI 53114	501(c)(3)	Financial Support – General Fund	$7,500.00
Southern Lakes Masterpiece Chorale P. O. Box 628 Delavan, WI 53115	501(c)(3)	Financial Support	$300.00
Wisconsin Manufacturers & Commerce WMC Foundation, Inc. 501 East Washington Avenue Madison, WI 53701	501(c)(3)	2013 Business World Program	$10,000.00
Natural Resources Foundation of Wisconsin	501(c)(3)	Stewardship Leader Sponsor	$500.00

DONEE	STATUS	PURPOSE	AMOUNT
116 King Street Madison, WI 53703			
Milwaukee Art Museum 700 North Art Museum Drive Milwaukee, WI 53202	501(c)(3)	Financial Support	$5,000.00
Los Rios Foundation 1919 Spanos Court Sacramento, CA 95825	501(c)(3)	Folsom Lake College Foundation Benefit Event	$1,500.00
Milwaukee School of Engineering 1025 North Broadway Street Milwaukee, WI 53202	501(c)(3)	Annual Fund	$2,500.00
United Performing Arts Fund 301 W. Wisconsin Avenue Milwaukee, WI 53203	501(c)(3)	Financial Support	$1,000.00
Walworth Rescue Squad 247 North Main Street Walworth, WI 53184	501(c)(3)	Financial Support – Automatic Chest Compression Machine	$16,071.93
Folsom Parks & Recreation 50 Natoma Street Folsom, CA 95630	Municipal Government	Sponsorship - Annual Mayor's Cup	$1,000.00
Wisconsin Historical Society 816 State Street Madison, WI 53726-0050	501(c)(3)	Financial Support	$2,500.00
Glacier's Edge Boy Scouts Council	501(c)(3)	Financial Support	$1,000.00

DONEE	STATUS	PURPOSE	AMOUNT
P. O. Box 14135 Madison, WI 53708			
Geneva National Foundation 1221 Geneva National Avenue South Lake Geneva, WI 53147	501(c)(3)	Financial Support – L.E.O. Golf Outing	$1,200.00
Milwaukee Public Museum 800 Wells Street Milwaukee, WI 53233	501(c)(3)	Financial Support	$1,000.00
TGT Charity, Inc. 1213 North Sherman Avenue, #275 Madison, WI 53704	501(c)(3)	Financial Support	$1,000.00
The Salvation Army Disaster Service Center P. O. Box 12600 Oklahoma City, OK 73157	501(c)(3)	Financial Support – 2013 Oklahoma Tornado Relief Fund	$20,000.00
Open Arms Free Clinic 797 East Geneva Street Elkhorn, WI 53121	501(c)(3)	Financial Support	$5,000.00
Youth for Understanding USA, Inc. 6400 Goldsboro Road Bethesda, MD 20817	501(c)(3)	Financial Support – Scholarship Program	$59,370.00
The Japan America Society of Chicago 20 North Clark Street Chicago, IL 60602	501(c)(3)	Financial Support – Annual Program Fund	$1,000.00

DONEE	STATUS	PURPOSE	AMOUNT
The Boys and Girls Club of Walworth County P. O. Box 158 Delavan, WI 53115	501(c)(3)	Financial Support	$10,000.00
Aurora Lakeland Medical Center W39885 County Road NN Elkhorn, WI 53121	501(c)(3)	Financial Support-Cardiac Care Fund	$10,000.00
JAD Foundation 101 Terrace Drive Lake Geneva, WI 53147	501(c)3	Financial Support – W.C. Resource Center & Food Pantry	$5,000.00
MSOE 1025 North Broadway Milwaukee, WI 53202	501(c)(3)	Financial Support – Annual Golf Outing Golf fee, tee sponsor, scholarships	$6,200.00
Walworth County Alliance for Children W4063 Highway NN Elkhorn, WI 53121	501(c)(3)	Financial Support	$5,000.00
University of Wisconsin Foundation 1848 University Avenue Madison, WI 53726	501(c)(3)	Financial Support – Japanese Floor Fund	$5,000.00
Boys Scouts of America 330 South 84th Street Milwaukee, WI 53214	501(c)(3)	Financial Support	$5,000.00
Anderson Japanese Gardens 318 Spring Creek Road Rockford, IL 61107	501(c)(3)	Financial Support – General Fund	$5,000.00
Geneva Lake	501(c)(3)	Financial Support	$500.00

DONEE	STATUS	PURPOSE	AMOUNT
Environmental Agency P. O. Box 914 Williams Bay, WI 53191			
Folsom Chamber of Commerce 200 Wool Street Folsom, CA 95630	501(c)(3)	Financial Support –Bronze Shuttle Sponsor	$2,500.00
Geneva Lake Conservancy 398 Mill Street Fontana, WI 53125	501(c)(3)	Financial Support	$1,000.00
Walworth County Alliance for Children W4063 County Road NN Elkhorn, WI 53121	501(c)(3)	Financial Support – Treehouse Luncheon	$750.00
Wisconsin Taxpayers Alliance 401 North Lawn Avenue Madison, WI 53704	501(c)(3)	Financial Support	$1,380.00
Folsom Economic Development Corporation 200 Wool Street Folsom, CA 95630	501(c)(3)	Membership-10/1/13 thru 9/30/14	$5,000.00
United Way of Walworth County P. O. Box 1020 203 E. Walworth Street Elkhorn, WI 53121	501(c)(3)	Financial Support	$1,000.00
Wisconsin Economic Development Association, Inc. 10 E. Doty Street, Suite	501(c)(3)	Associate Corporate Sponsor	$500.00

DONEE	STATUS	PURPOSE	AMOUNT
500 Madison, WI 53703			
SMILES N2666 County Road K Darien, WI 53114	501(c)(3)	General Fund	$7,500.00
WMC Foundation 501 East Washington Avenue Madison, WI 53703	501(c)(3)	Gold Sponsorship	$3,500.00
Milwaukee Public Museum 800 Wells Street Milwaukee, WI 53233	501(c)(3)	Financial Support	$1.000.00
VSA Wisconsin 1709 Abert Avenue Madison, WI 53704	501(c)(3)	Financial Support	$2,500.00
Make-a-Wish Foundation 13195 W. Hampton Avenue Butler, WI 53007	501(c)(3)	Financial Support	$1,000.00
Mercy Foundation 3400 Data Drive Rancho Cordova, CA 95760	501(c)(3)	Financial Support	$500.00
Folsom Historical Society 823 Sutter Street Folsom, CA 95630	501(c)(3)	Financial Support	$500.00
United Negro College Fund 105 West Adams Street Chicago, IL 60603	501(c)(3)	Financial Support	$2,500.00

DONEE	STATUS	PURPOSE	AMOUNT
Twin Oaks Shelter for the Homeless 1545 Hobbs Drive Delavan, WI 53115	501(c)3	Financial Support	$2,000.00
Inspiration Ministries P. O. Box 948 Walworth, WI 53184	501(c)3	Financial Support	$2,500.00
Peterson Institute for International Economics 1750 Massachusetts Avenue, NW Washington, DC 20036	501(c)3	Financial Support – Corporate Contribution	$15,000.00
University of Wisconsin Milwaukee Foundation Chapman Hall P. O. Box 413 Milwaukee, WI 53201	501(c)3	Financial Support – School of Fresh Water Science	$100,000.00
UWM Foundation Lubar School of Business 3202 N. Maryland Avenue P. O. Box 742 Milwaukee, WI 53201-0742	501(c)3	Financial Support to Lubar School of Business as well as co-sponsorship for Kikkoman's 40th Anniversary	$10,000.00
Geneva Lake Conservancy P. O. Box 588 Fontana, WI 53125	501(c)3	Financial Support – Holly Ball	$300.00

Total Qualified Contributions for 2013 $429,071.93

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Capital Gain and Loss Schedule

KIKKOMAN FOODS FOUNDATION, INC.
Account Number W 76674-00-6

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM CORE BOND FD - SEL	WOBD		Various	3,462 352	40,440 28	41,503 11		-1,062 83
FUND 3720	4812C0381		06/21/13					
JPM EQUITY INDEX FD - SEL	HLEI		07/18/12	296 384	10,000 00	7,827 93		2,172 07
FUND 3129	4812C1553		01/18/13					
JPM EQUITY INDEX FD - SEL	HLEI		Various	1,343 992	48,625 63	40,517 49		8,108 14
FUND 3129	4812C1553		04/11/13					
JPM INTL EQUITY INDEX FD - SEL	OIEA		Various	2,655 533	50,561 36	43,159 86		7,401 50
FUND 3132	4812C1876		06/13/13					
JPM MKT EXP ENH INDEX FD - SEL	PGMI		Various	6,108 742	75,992 75	64,212 18		11,780 57
FUND 3708	4812C1637		06/13/13					
Total Short-Term Covered					**225,620.02**	**197,220.57**		**28,399.45**

Capital Gain and Loss Schedule

KIKKOMAN FOODS FOUNDATION, INC.
Account Number W 76674-00-6

Short-Term Non Covered

** Code W indicates Wash Sale*
L indicates a Nondeductible Loss other than a Wash Sale
*** Ordinary Income O indicates Ordinary Income gain or loss*
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM CORE BOND FD - SEL FUND 3720	WOBD 4812C0381		Various 06/21/13	8,419 536	98,340 19	100,470 21		-2,130 02
Total Short-Term Non Covered					**98,340.19**	**100,470.21**		**-2,130.02**

Capital Gain and Loss Schedule

KIKKOMAN FOODS FOUNDATION, INC.
Account Number W 76674-00-6

Long-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale

** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM INTL EQUITY INDEX FD - SEL FUND 3132	OIEA 4812C1876		04/13/12 06/13/13	769 331	14,648 06	12,655 49		1,992 57
JPM MKT EXP ENH INDEX FD - SEL FUND 3708	PGMI 4812C1637		03/30/12 06/13/13	200 441	2,493 49	2,000 83		492 66
Total Long-Term Covered					**17,141.55**	**14,656.32**		**2,485.23**

Capital Gain and Loss Schedule

KIKKOMAN FOODS FOUNDATION, INC.
Account Number W 76674-00-6

Long-Term Non Covered

** Code W indicates Wash Sale*
L indicates a Nondeductible Loss other than a Wash Sale
*** Ordinary Income O indicates Ordinary Income gain or loss*
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM CORE BOND FD - SEL FUND 3720	WOBD 4812C0381		Various 06/21/13	405,318 633	4,734,121 62	4,426,191 65		307,929 97
JPM EQUITY INDEX FD - SEL FUND 3129	HLEI 4812C1553		Various 03/15/13	1,926 322	68,500 00	50,876 91		17,623 09
JPM EQUITY INDEX FD - SEL FUND 3129	HLEI 4812C1553		Various 04/11/13	6,947 882	251,374 37	183,503 43		67,870 94
JPM INTL EQUITY INDEX FD - SEL FUND 3132	OIEA 4812C1876		Various 06/13/13	23,634 594	450,002 66	471,858 08		-21,855 42
JPM MKT EXP ENH INDEX FD - SEL FUND 3708	PGMI 4812C1637		Various 06/13/13	33,883 743	421,513 76	338,231 70		83,282 06
Total Long-Term Non Covered					**5,925,512.41**	**5,470,661.77**		**454,850.64**

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Capital Gain and Loss Schedule

Short-Term Non Covered

KIKKOMAN FOODS FDN, INC.-CROSSOVER
Account Number S 12584-00-9

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
AT&T INC VAR RT 02/12/2016 DTD 02/12/2013	00206RBS0		07/26/13 11/20/13	25,000 000	24,936 50	25,084 38		-147 88
BNP PARIBAS MTN FLOATING RATE NOTE DEC 20 2014 DTD 12/20/2011	05567L3Q8		07/08/13 12/05/13	25,000 000	25,667 41	25,823 80		-156 39
FHLMC 2177 CL F VAR RT 08/15/2029 DTD 08/15/1999	3133TLPF9		07/02/13 07/16/13	669 280	669 28	671 37		-2 09
FHLMC 2177 CL F VAR RT 08/15/2029 DTD 08/15/1999	3133TLPF9		07/02/13 08/15/13	1,156 720	1,156 72	1,160 33		-3 61
FHLMC 2177 CL F VAR RT 08/15/2029 DTD 08/15/1999	3133TLPF9		07/02/13 09/16/13	874 560	874 56	877 29		-2 73
FHLMC 2177 CL F VAR RT 08/15/2029 DTD 08/15/1999	3133TLPF9		07/02/13 10/15/13	1,106 200	1,106 20	1,109 66		-3 46
FHLMC 2177 CL F VAR RT 08/15/2029 DTD 08/15/1999	3133TLPF9		07/02/13 11/15/13	851 880	851 88	854 54		-2 66
FHLMC 2177 CL F VAR RT 08/15/2029 DTD 08/15/1999	3133TLPF9		07/02/13 12/16/13	544 840	544 84	546 54		-1 70
FHLMC 2177 CL F VAR RT 08/15/2029 DTD 08/15/1999	3133TLPF9		07/02/13 01/15/14	562 640	562 64	564 40		-1 76

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Capital Gain and Loss Schedule

KIKKOMAN FOODS FDN, INC.-CROSSOVER
Account Number S 12584-00-9

Short-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FHLMC 2396 CL FN VAR RT 12/15/2031 DTD 12/15/2001	31339LJH4		07/03/13 07/15/13	2,008 270	2,008 27	2,022 08		-13 81
FHLMC 2396 CL FN VAR RT 12/15/2031 DTD 12/15/2001	31339LJH4		07/03/13 08/15/13	1,827 630	1,827 63	1,840 19		-12 56
FHLMC 2396 CL FN VAR RT 12/15/2031 DTD 12/15/2001	31339LJH4		07/03/13 09/16/13	888 350	888 35	894 46		-6 11
FHLMC 2396 CL FN VAR RT 12/15/2031 DTD 12/15/2001	31339LJH4		07/03/13 10/15/13	1,589 300	1,589 30	1,600 23		-10 93
FHLMC 2396 CL FN VAR RT 12/15/2031 DTD 12/15/2001	31339LJH4		07/03/13 11/15/13	636 440	636 44	640 82		-4 38
FHLMC 2396 CL FN VAR RT 12/15/2031 DTD 12/15/2001	31339LJH4		07/03/13 12/16/13	834 400	834 40	840 14		-5 74
FHLMC 2396 CL FN VAR RT 12/15/2031 DTD 12/15/2001	31339LJH4		07/03/13 01/15/14	438 570	438 57	441 59		-3 02
FHLMC REMIC 3030 CL FL VAR RT 09/15/2035 DTD 09/15/2005	31396AKM5		07/03/13 07/15/13	707 180	707 18	706 52		66
FHLMC REMIC 3030 CL FL VAR RT 09/15/2035 DTD 09/15/2005	31396AKM5		07/03/13 08/15/13	1,011 970	1,011 97	1,011 02		95
FHLMC REMIC 3030 CL FL VAR RT 09/15/2035 DTD 09/15/2005	31396AKM5		07/03/13 09/16/13	737 440	737 44	736 75		69

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Capital Gain and Loss Schedule

Short-Term Non Covered

KIKKOMAN FOODS FDN, INC.-CROSSOVER
Account Number S 12584-00-9

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FHLMC REMIC 3030 CL FL VAR RT 09/15/2035 DTD 09/15/2005	31396AKM5		07/03/13 10/15/13	692 210	692 21	691 56		65
FHLMC REMIC 3030 CL FL VAR RT 09/15/2035 DTD 09/15/2005	31396AKM5		07/03/13 11/15/13	617 220	617 22	616 64		58
FHLMC REMIC 3030 CL FL VAR RT 09/15/2035 DTD 09/15/2005	31396AKM5		07/03/13 12/16/13	843 280	843 28	842 49		79
FHLMC REMIC 3030 CL FL VAR RT 09/15/2035 DTD 09/15/2005	31396AKM5		07/03/13 01/15/14	1,048 520	1,048 52	1,047 54		98
FHLMC 2707 CL FH VAR RT 04/15/2032 DTD 11/15/2003	31394LT36		06/28/13 07/15/13	891 980	891 98	902 01		-10 03
FHLMC 2707 CL FH VAR RT 04/15/2032 DTD 11/15/2003	31394LT36		06/28/13 08/15/13	780 430	780 43	789 21		-8 78
FHLMC 2707 CL FH VAR RT 04/15/2032 DTD 11/15/2003	31394LT36		06/28/13 09/16/13	865 350	865 35	875 09		-9 74
FHLMC 2707 CL FH VAR RT 04/15/2032 DTD 11/15/2003	31394LT36		06/28/13 10/15/13	592 610	592 61	599 28		-6 67
FHLMC 2707 CL FH VAR RT 04/15/2032 DTD 11/15/2003	31394LT36		06/28/13 11/15/13	639 610	639 61	646 81		-7 20
FHLMC 2707 CL FH VAR RT 04/15/2032 DTD 11/15/2003	31394LT36		06/28/13 12/16/13	670 640	670 64	678 18		-7 54
FHLMC 2707 CL FH VAR RT 04/15/2032 DTD 11/15/2003	31394LT36		06/28/13 01/15/14	2,070 280	2,070 28	2,093 57		-23 29
FNMA SER 2012-15 CL BF FLOATING RATE NOTE MAR 25 2042 DTD 02/25/2012	3136A4LD9		07/03/13 07/25/13	457 750	457 75	456 61		1 14

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Capital Gain and Loss Schedule

Short-Term Non Covered

KIKKOMAN FOODS FDN, INC.-CROSSOVER
Account Number S 12584-00-9

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FNMA SER 2012-15 CL BF FLOATING RATE NOTE MAR 25 2042 DTD 02/25/2012	3136A4LD9		07/03/13 08/26/13	379 520	379 52	378 57		95
FNMA SER 2012-15 CL BF FLOATING RATE NOTE MAR 25 2042 DTD 02/25/2012	3136A4LD9		07/03/13 09/25/13	347 720	347 72	346 85		87
FNMA SER 2012-15 CL BF FLOATING RATE NOTE MAR 25 2042 DTD 02/25/2012	3136A4LD9		07/03/13 10/25/13	249 260	249 26	248 64		62
FNMA SER 2012-15 CL BF FLOATING RATE NOTE MAR 25 2042 DTD 02/25/2012	3136A4LD9		07/03/13 11/25/13	210 820	210 82	210 29		53
FNMA SER 2012-15 CL BF FLOATING RATE NOTE MAR 25 2042 DTD 02/25/2012	3136A4LD9		07/03/13 12/26/13	160 260	160 26	159 86		40
FNMA SER 2012-15 CL BF FLOATING RATE NOTE MAR 25 2042 DTD 02/25/2012	3136A4LD9		07/03/13 01/27/14	238 180	238 18	237 58		60
FNMA 2002-58 CL FG VAR RT 08/25/2032DTD 08/25/2002	31392ELC2		06/27/13 07/25/13	1,307 350	1,307 35	1,323 69		-16 34
FNMA 2002-58 CL FG VAR RT 08/25/2032DTD 08/25/2002	31392ELC2		06/27/13 08/26/13	1,149 850	1,149 85	1,164 22		-14 37
FNMA 2002-58 CL FG VAR RT 08/25/2032DTD 08/25/2002	31392ELC2		06/27/13 09/25/13	1,262 850	1,262 85	1,278 64		-15 79
FNMA 2002-58 CL FG VAR RT 08/25/2032DTD 08/25/2002	31392ELC2		06/27/13 10/25/13	1,322 920	1,322 92	1,339 46		-16 54

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Capital Gain and Loss Schedule

Short-Term Non Covered

KIKKOMAN FOODS FDN, INC.-CROSSOVER
Account Number S 12584-00-9

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FNMA 2002-58 CL FG VAR RT 08/25/2032DTD 08/25/2002	31392ELC2		06/27/13 11/25/13	912 440	912 44	923 85		-11 41
FNMA 2002-58 CL FG VAR RT 08/25/2032DTD 08/25/2002	31392ELC2		06/27/13 12/26/13	1,018 890	1,018 89	1,031 63		-12 74
FNMA 2002-58 CL FG VAR RT 08/25/2032DTD 08/25/2002	31392ELC2		06/27/13 01/27/14	918 210	918 21	929 69		-11 48
FNMA REMIC 2001-68 CL FD VAR RT 12/25/2031 DTD 11/25/2001	31392AA40		07/02/13 07/25/13	1,049 140	1,049 14	1,052 42		-3 28
FNMA REMIC 2001-68 CL FD VAR RT 12/25/2031 DTD 11/25/2001	31392AA40		07/02/13 08/26/13	1,113 570	1,113 57	1,117 05		-3 48
FNMA REMIC 2001-68 CL FD VAR RT 12/25/2031 DTD 11/25/2001	31392AA40		07/02/13 09/25/13	795 410	795 41	797 90		-2 49
FNMA REMIC 2001-68 CL FD VAR RT 12/25/2031 DTD 11/25/2001	31392AA40		07/02/13 10/25/13	737 060	737 06	739 36		-2 30
FNMA REMIC 2001-68 CL FD VAR RT 12/25/2031 DTD 11/25/2001	31392AA40		07/02/13 11/25/13	438 720	438 72	440 09		-1 37
FNMA REMIC 2001-68 CL FD VAR RT 12/25/2031 DTD 11/25/2001	31392AA40		07/02/13 12/26/13	1,160 260	1,160 26	1,163 89		-3 63
FNMA REMIC 2001-68 CL FD VAR RT 12/25/2031 DTD 11/25/2001	31392AA40		07/02/13 01/27/14	603 190	603 19	605 07		-1 88
FNMA 1999-51 CL FJ VAR RT 10/25/2029 DTD 09/25/1999	31359W3H2		07/03/13 07/25/13	1,612 520	1,612 52	1,623 61		-11 09
FNMA 1999-51 CL FJ VAR RT 10/25/2029 DTD 09/25/1999	31359W3H2		07/03/13 08/26/13	1,180 610	1,180 61	1,188 73		-8 12

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Capital Gain and Loss Schedule

Short-Term Non Covered

KIKKOMAN FOODS FDN, INC.-CROSSOVER
Account Number S 12584-00-9

	Stock and other symbol		Date Acquired		Sales	Cost or	Adjustments to	Gain or Loss
Description	Cusip	Code*	Date Sold	Units	Price	Other Basis	gain or loss	Ordinary Income**
FNMA 1999-51 CL FJ VAR RT 10/25/2029 DTD 09/25/1999	31359W3H2		07/03/13 09/25/13	427 390	427 39	430 33		-2 94
FNMA 1999-51 CL FJ VAR RT 10/25/2029 DTD 09/25/1999	31359W3H2		07/03/13 10/25/13	2,025 880	2,025 88	2,039 81		-13 93
FNMA 1999-51 CL FJ VAR RT 10/25/2029 DTD 09/25/1999	31359W3H2		07/03/13 11/25/13	187 920	187 92	189 21		-1 29
FNMA 1999-51 CL FJ VAR RT 10/25/2029 DTD 09/25/1999	31359W3H2		07/03/13 12/26/13	934 420	934 42	940 84		-6 42
FNMA 1999-51 CL FJ VAR RT 10/25/2029 DTD 09/25/1999	31359W3H2		07/03/13 01/27/14	1,090 070	1,090 07	1,097 56		-7 49
FNMA 2002-17 CL JF VAR RT 04/25/2032 DTD 04/25/2002	31392CM27		06/27/13 07/25/13	1,382 750	1,382 75	1,400 47		-17 72
FNMA 2002-17 CL JF VAR RT 04/25/2032 DTD 04/25/2002	31392CM27		06/27/13 08/26/13	1,044 670	1,044 67	1,058 05		-13 38
FNMA 2002-17 CL JF VAR RT 04/25/2032 DTD 04/25/2002	31392CM27		06/27/13 09/25/13	1,247 420	1,247 42	1,263 40		-15 98
FNMA 2002-17 CL JF VAR RT 04/25/2032 DTD 04/25/2002	31392CM27		06/27/13 10/25/13	1,280 070	1,280 07	1,296 47		-16 40
FNMA 2002-17 CL JF VAR RT 04/25/2032 DTD 04/25/2002	31392CM27		06/27/13 11/25/13	1,004 900	1,004 90	1,017 78		-12 88
FNMA 2002-17 CL JF VAR RT 04/25/2032 DTD 04/25/2002	31392CM27		06/27/13 12/26/13	666 650	666 65	675 19		-8 54
FNMA 2002-17 CL JF VAR RT 04/25/2032 DTD 04/25/2002	31392CM27		06/27/13 01/27/14	778 600	778 60	788 58		-9 98

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Capital Gain and Loss Schedule

Short-Term Non Covered

KIKKOMAN FOODS FDN, INC.-CROSSOVER
Account Number S 12584-00-9

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FNMA 2002-84 CL FB VAR RT 12/25/2032 DTD 11/25/2002	31392FJ73		06/27/13 07/25/13	1,069 570	1,069 57	1,083 61		-14 04
FNMA 2002-84 CL FB VAR RT 12/25/2032 DTD 11/25/2002	31392FJ73		06/27/13 08/26/13	1,346 560	1,346 56	1,364 23		-17 67
FNMA 2002-84 CL FB VAR RT 12/25/2032 DTD 11/25/2002	31392FJ73		06/27/13 09/25/13	1,482 300	1,482 30	1,501 76		-19 46
FNMA 2002-84 CL FB VAR RT 12/25/2032 DTD 11/25/2002	31392FJ73		06/27/13 10/25/13	1,152 250	1,152 25	1,167 37		-15 12
FNMA 2002-84 CL FB VAR RT 12/25/2032 DTD 11/25/2002	31392FJ73		06/27/13 11/25/13	1,166 540	1,166 54	1,181 85		-15 31
FNMA 2002-84 CL FB VAR RT 12/25/2032 DTD 11/25/2002	31392FJ73		06/27/13 12/26/13	964 640	964 64	977 30		-12 66
FNMA 2002-84 CL FB VAR RT 12/25/2032 DTD 11/25/2002	31392FJ73		06/27/13 01/27/14	779 230	779 23	789 46		-10 23
FNMA 2009-78 CL YF VAR RT 10/25/2039 DTD 09/25/2009	31398FLV1		07/03/13 07/25/13	1,491 560	1,491 56	1,506 48		-14 92
FNMA 2009-78 CL YF VAR RT 10/25/2039 DTD 09/25/2009	31398FLV1		07/03/13 08/26/13	1,612 060	1,612 06	1,628 18		-16 12
FNMA 2009-78 CL YF VAR RT 10/25/2039 DTD 09/25/2009	31398FLV1		07/03/13 09/25/13	1,523 890	1,523 89	1,539 13		-15 24
FNMA 2009-78 CL YF VAR RT 10/25/2039 DTD 09/25/2009	31398FLV1		07/03/13 10/25/13	1,505 190	1,505 19	1,520 24		-15 05
FNMA 2009-78 CL YF VAR RT 10/25/2039 DTD 09/25/2009	31398FLV1		07/03/13 11/25/13	1,166 370	1,166 37	1,178 03		-11 66

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Capital Gain and Loss Schedule

Short-Term Non Covered

KIKKOMAN FOODS FDN, INC.-CROSSOVER
Account Number S 12584-00-9

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FNMA 2009-78 CL YF VAR RT 10/25/2039 DTD 09/25/2009	31398FLV1		07/03/13 12/26/13	978 340	978 34	988 12		-9 78
FNMA 2009-78 CL YF VAR RT 10/25/2039 DTD 09/25/2009	31398FLV1		07/03/13 01/27/14	847 050	847 05	855 52		-8 47
JOHN DEERE CAPITAL CORP FLOATING RATE NOTE OCT 08 2014 DTD 10/12/2012	24422ERX9		07/08/13 07/31/13	5,000 000	5,000 34	5,004 45		-4 11
JOHN DEERE CAPITAL CORP FLOATING RATE NOTE OCT 08 2014 DTD 10/12/2012	24422ERX9		07/08/13 10/25/13	10,000 000	10,009 17	10,008 90		27
TOTAL CAPITAL CANADA LTD VAR RT 01/15/2016 DTD 01/17/2013	89153UAD3		06/28/13 08/05/13	30,000 000	30,149 10	30,219 66		-70 56
US BANK NA FLOATING RATE NOTE OCT 14 2014 DTD 10/14/2004	90331HKQ5		06/28/13 11/05/13	15,000 000	15,026 48	15,027 79		-1 31
XEROX CORPORATION FLOATING RATE NOTE SEP 13 2013 DTD 03/15/2012	984121CE1		07/10/13 09/13/13	10,000 000	10,000 00	10,013 90		-13 90
Total Short-Term Non Covered					**194,729.59**	**195,719.86**		**-990.27**

Capital Gain and Loss Schedule

KIKKOMAN FOODS FDN, INC.-1-3 YR CORP
Account Number S 12796-00-9

Short-Term Non Covered

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Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ACE INA HOLDINGS INC NOTES 5 7/8% JUN 15 2014 DTD 6/9/2004	00440EAG2		06/28/13 10/07/13	20,000 000	20,680 80	20,980 60		-299 80
AMERICAN INTL GROUP 4 7/8% SEP 15 2016 DTD 09/13/2011	026874CB1		06/26/13 11/22/13	20,000 000	22,017 00	21,874 60		142 40
ANHEUSER BUSCH INBEV WOR 1 1/2% JUL 14 2014 DTD 07/14/2011	03523TBL1		06/28/13 10/24/13	15,000 000	15,124 80	15,157 95		-33 15
CARDINAL HEALTH INC 4% JUN 15 2015 DTD 06/17/2003	14149YAG3		06/28/13 08/21/13	15,000 000	15,808 20	15,858 45		-50 25
CITIGROUP INC NON-TRADEABLE ASSET CORP ACTIONS CONTRA 6 010% 01/15/2015 DTD 12/15/2009	17299AHB0		Various 09/17/13	19,000 000	20,246 02	20,297 67		-51 65
CREDIT SUISSE FB USA INC NOTES 4 7/8% JAN 15 2015 DTD 12/15/2004	22541LAR4		07/10/13 10/22/13	10,000 000	10,519 00	10,610 00		-91 00
GENERAL ELECTRIC CAPITAL CORPORATION NOTES 4 7/8% MAR 4 2015 DTD 3/4/2005	36962GP65		07/10/13 12/13/13	25,000 000	26,309 25	26,607 25		-298 00
HEWLETT-PACKARD CO 5 40% MAR 1 2017 DTD 2/27/2007	428236AM5		06/28/13 10/07/13	6,000 000	6,617 22	6,586 38		30 84

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Capital Gain and Loss Schedule

Short-Term Non Covered

KIKKOMAN FOODS FDN, INC.-1-3 YR CORP
Account Number S 12796-00-9

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
HEWLETT-PACKARD CO 5 40% MAR 1 2017 DTD 2/27/2007	428236AM5		06/28/13 11/22/13	5,000 000	5,541 18	5,488 65		52 53
HEWLETT-PACKARD CO 5 40% MAR 1 2017 DTD 2/27/2007	428236AM5		06/28/13 11/21/13	4,000 000	4,429 53	4,390 92		38 61
RABOBANK NEDERLAND 2 1/8% OCT 13 2015 DTD 10/13/2010	21685WBL0		06/26/13 12/11/13	45,000 000	46,213 65	46,065 60		148 05
TIME WARNER CABLE INC 3 1/2% FEB 01 2015 DTD 12/11/2009	88732JAV0		06/28/13 12/09/13	10,000 000	10,279 60	10,348 10		-68 50
VERIZON COMMUNICATIONS 1 1/4% NOV 03 2014 DTD 11/03/2011	92343VBB9		06/28/13 10/23/13	25,000 000	25,182 75	25,179 75		3 00
Total Short-Term Non Covered					**228,969.00**	**229,445.92**		**-476.92**