Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2013

Open to Public Inspection

SCANNED OCT 20 2014

ENVELOPE POSTMARK DATE OCT 11 2013

For calendar year 2013 or tax year beginning 08/01 **, 2013, and ending** 12/31,20 13

Name of foundation ALLEN FOUNDATION TRUST R70781001	**A Employer identification number** 39-1708035
Number and street (or P.O. box number if mail is not delivered to street address) / Room/suite 10 S DEARBORN IL1-0117	**B** Telephone number (see instructions) 866-888-5157
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603	**C** If exemption application is pending, check here ▶ ☐
G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	**D** 1 Foreign organizations, check here ▶ ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 17,856,643. **J** Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)	**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ▶ [X] if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	189,875.	188,102.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	349,496.			
b Gross sales price for all assets on line 6a 4,811,621.				
7 Capital gain net income (from Part IV, line 2)		349,496.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	-11,538.	-24,469.		STMT 1
12 **Total.** Add lines 1 through 11	527,833.	513,129.		
Operating and Administrative Expenses 13 Compensation of officers, directors, trustees, etc	54,504.	38,178.		16,326.
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule) STMT 2	1,000.			1,000.
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 3	7,464.	3,864.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 4	3,545.			3,545.
24 **Total operating and administrative expenses.** Add lines 13 through 23	66,513.	42,042.	NONE	20,871.
25 Contributions, gifts, grants paid	775,295.			775,295.
26 **Total expenses and disbursements** Add lines 24 and 25	841,808.	42,042.	NONE	796,166.
27 Subtract line 26 from line 12				
a **Excess of revenue over expenses and disbursements**	-313,975.			
b **Net investment income** (if negative, enter -0-)		471,087.		
c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	255,524.	524,153.	524,153.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)	7,321,870.	7,902,071.	10,092,827.
	c Investments - corporate bonds (attach schedule)	4,318,952.	2,411,277.	2,536,698.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)	3,539,252.	4,277,653.	4,702,965.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	15,435,598.	15,115,154.	17,856,643.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	15,435,598.	15,115,154.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	15,435,598.	15,115,154.	
	31 Total liabilities and net assets/fund balances (see instructions)	15,435,598.	15,115,154.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,435,598.
2	Enter amount from Part I, line 27a	2	-313,975.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	15,121,623.
5	Decreases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	5	6,469.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,115,154.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,811,621.		4,462,128.	349,493.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				349,493.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	349,493.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	888,328.	17,482,837.	0.050811
2011	872,848.	16,905,997.	0.051629
2010	824,575.	17,741,552.	0.046477
2009	722,737.	16,725,407.	0.043212
2008	585,672.	14,688,610.	0.039873

2 **Total** of line 1, column (d)	2	0.232002
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046400
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	17,640,089.
5 Multiply line 4 by line 3	5	818,500.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,711.
7 Add lines 5 and 6	7	823,211.
8 Enter qualifying distributions from Part XII, line 4	8	796,166.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [ ] and enter 1% of Part I, line 27b	1	9,422.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	9,422.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	9,422.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 6,264.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 10,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	16,264.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	6,842.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 6,842. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ▶ $ ________ (2) On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV.*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ JP MORGAN CHASE BANK, NA Telephone no. ▶ (866)888-5157			
	Located at ▶ 10 S DEARBORN ST., IL1-0117, CHICAGO, IL ZIP+4 ▶ 60603			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes [ ] No [X]		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	Yes [ ] No [X]		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes [ ] No [X]		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	Yes [ ] No [X]		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	Yes [ ] No [X]		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**	
Organizations relying on a current notice regarding disaster assistance check here ▶ [ ]			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945-5(d).*	Yes [ ] No [ ]		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	Yes [ ] No [X]		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		**6b**	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes [ ] No [X]		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JP MORGAN CHASE BANK, NA 10 S DEARBORN ST, IL1-0117, CHICAGO, IL 60603	TRUSTEE 2	50,904.	-0-	-0-
DAVID CASAROTTO 10 S DEARBORN ST, IL1-0117, CHICAGO, IL 60603	GOVERNOR - CHAIR 1	1,200.	-0-	-0-
HUGH H. BELL 10 S DEARBIORN ST., IL1-0117, CHICAGO, IL 60603	GOVERNOR 1	1,200.	-0-	-0-
ALAN BRINKERHOFF 10 S DEARBORN ST., IL1-0117, CHICAGO, IL 60603	GOVERNOR 1	1,200.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	16,964,763.
b	Average of monthly cash balances	1b	943,957.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	17,908,720.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	17,908,720.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	268,631.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17,640,089.
6	**Minimum investment return.** Enter 5% of line 5	6	882,004.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	882,004.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	9,422.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	9,422.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	872,582.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	872,582.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	872,582.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	796,166.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	796,166.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	796,166.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				872,582.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			775,295.	
b Total for prior years 20 11 ,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2013				
a From 2008 ...... NONE				
b From 2009 ...... NONE				
c From 2010 ...... NONE				
d From 2011 ...... NONE				
e From 2012 ...... NONE				
f **Total** of lines 3a through e	NONE			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 796,166.				
a Applied to 2012, but not more than line 2a			775,295.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2013 distributable amount				20,871.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				851,711.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2009 ... NONE				
b Excess from 2010 ... NONE				
c Excess from 2011 ... NONE				
d Excess from 2012 ... NONE				
e Excess from 2013 ... NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE GATHERING PLACE OF MILTON, INC 715 CAMPUS DR MILTON WI 53563	NONE	PUBLIC	PROGRAM SUPPORT	112,500.
WISCONSIN STATE TELECOMMUNICATIONS FDTN 122 WEST WASHINGTON AVE MADISON WI 53703	NONE	PUBLIC	SCHOLARSHIP	10,000.
NATIONAL PHILANTHROPIC TRUST 165 TOWNSHIP LINE RD JENKINTOWN PA 19046	NONE	PUBLIC	PROGRAM SUPPORT	518,795.
THIS IS THE PLACE FOUNDATION 2601 E SUNNYSIDE AVE SALT LAKE CITY UT 84108	NONE	PUBLIC	PROGRAM SUPPORT	100,000.
BRAILLE LIBRARY & TRANSCRIBING SERVICES, INC 517 N SEGOE RD #200 MADISON WI 53705	NONE	PUBLIC	PROGRAM SUPPORT	5,000.
MERCY HOSPITAL OF JANESVILLE 1000 MINERAL POINT AVE JANESVILLE WI 53548	NONE	PUBLIC	PROGRAM SUPPORT	5,000.
UNIVERSITY OF WISCONSIN ROCK COUNTY 2909 KELLOGG AVE JANESVILLE WI 53546	NONE	PUBLIC	SCHOLARSHIP	24,000.
Total ▶ **3a**				775,295.
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	189,875.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	349,496.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b DEFERRED REVENUE			14	-11,538.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				527,833.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 527,833.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 10/02/2014 | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CAROLYN J. SECHEL	Carolyn J. Sechel	10/02/2014		P01256399

Firm's name ▶ DELOITTE TAX LLP — Firm's EIN ▶ 86-1065772

Firm's address ▶ 127 PUBLIC SQUARE CLEVELAND, OH 44114 — Phone no 216-589-1300

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DEFERRED REVENUE	-11,538.	
POWERSHARES DB COMMODITY INDEX TRACKING		-24,469.
TOTALS	-11,538.	-24,469.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
DONALD E KOHAN CONSULTING FEE	1,000.	1,000.
TOTALS	1,000.	1,000.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL ESTIMATES - INCOME	3,600.	
FOREIGN TAXES ON QUALIFIED FOR	2,659.	2,659.
FOREIGN TAXES ON NONQUALIFIED	1,205.	1,205.
TOTALS	7,464.	3,864.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
INSURANCE POLICY		3,545.	3,545.
	TOTALS	3,545.	3,545.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2013

Name of estate or trust: ALLEN FOUNDATION TRUST R70781001

Employer identification number: 39-1708035

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked				
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked	525,220.	531,923.		-6,703.

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶	7	-6,703.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked	4,178,101.	3,930,205.		247,896.

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13 Capital gain distributions	13	108,300.
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶	16	356,196.

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			-6,703.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			356,196.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b			
c	28% rate gain	18c			75,756.
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			349,493.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of: a The loss on line 19, column (3) **or** b $3,000	20	( )

Note: *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 18b, col. (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24. If zero or less, enter -0-			26			
27	Subtract line 26 from line 21. If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33. If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38. If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at www irs gov/form8949.

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return: ALLEN FOUNDATION TRUST R70781001

Social security number or taxpayer identification number: 39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
16. JOHNSON CTLS INC	05/20/2013	08/01/2013	658.00	603.00			55.00
6. JOHNSON CTLS INC	05/20/2013	08/01/2013	246.00	226.00			20 00
1. JOHNSON CTLS INC	05/20/2013	08/01/2013	41.00	38.00			3.00
8. NISOURCE INC	04/05/2013	08/01/2013	247.00	238.00			9.00
23. ONYX PHARMACEUTICALS I	04/04/2013	08/01/2013	3,067.00	1,990 00			1,077.00
5 ONYX PHARMACEUTICALS IN	04/04/2013	08/01/2013	672.00	432.00			240.00
53. WALTER INDS INC	09/07/2012	08/01/2013	616.00	1,830.00			-1,214.00
8 JOHNSON CTLS INC	05/20/2013	08/02/2013	330 00	302.00			28.00
2. JOHNSON CTLS INC	05/20/2013	08/02/2013	82.00	75.00			7.00
1. JOHNSON CTLS INC	05/20/2013	08/02/2013	41.00	38.00			3.00
9. NISOURCE INC	04/05/2013	08/02/2013	279.00	268.00			11.00
41. XILINX INC	05/08/2013	08/02/2013	1,894 00	1,580.00			314.00
3. JOHNSON CTLS INC	05/20/2013	08/05/2013	123.00	113.00			10.00
1. JOHNSON CTLS INC	05/20/2013	08/05/2013	41 00	38.00			3.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

JSA
3X2615 2 000

Form **8949** (2013)

Form **8949** | **Sales and Other Dispositions of Capital Assets** | OMB No 1545-0074

Department of the Treasury Internal Revenue Service

▶ Information about Form 8949 and its separate instructions is at *www irs.gov/form8949*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013 Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
2. NISOURCE INC	04/05/2013	08/05/2013	62.00	60.00			2.00
12. XILINX INC	05/08/2013	08/05/2013	553.00	463.00			90.00
32. XILINX INC	VAR	08/05/2013	1,475.00	1,230.00			245.00
3. JOHNSON CTLS INC	05/20/2013	08/06/2013	121.00	113 00			8.00
11. NISOURCE INC	04/05/2013	08/06/2013	334 00	327.00			7 00
15. WALTER INDS INC	09/07/2012	08/06/2013	160 00	518.00			-358.00
9. WALTER INDS INC	09/07/2012	08/06/2013	93.00	311 00			-218.00
52. XILINX INC	05/08/2013	08/06/2013	2,385.00	1,997.00			388 00
23. XILINX INC	05/08/2013	08/06/2013	1,050.00	883 00			167.00
5. NISOURCE INC	04/05/2013	08/07/2013	153.00	149.00			4.00
10. WALTER INDS INC	09/07/2012	08/07/2013	104.00	345.00			-241.00
29. WALTER INDS INC	09/07/2012	08/07/2013	292.00	1,001.00			-709.00
3. XILINX INC	05/08/2013	08/07/2013	137.00	115.00			22.00
25. XILINX INC	VAR	08/07/2013	1,141.00	958.00			183 00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www irs gov/form8949

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
5. XILINX INC	05/07/2013	08/07/2013	228 00	191.00			37.00
24. XILINX INC	05/07/2013	08/08/2013	1,092.00	917.00			175.00
1. XILINX INC	05/07/2013	08/08/2013	46.00	38.00			8.00
9. XILINX INC	05/07/2013	08/09/2013	408.00	344.00			64.00
1. XILINX INC	05/07/2013	08/09/2013	45.00	38.00			7.00
23. NISOURCE INC	04/05/2013	08/12/2013	702.00	684 00			18.00
1. XILINX INC	05/07/2013	08/12/2013	46.00	38.00			8.00
4. XILINX INC	05/07/2013	08/12/2013	181.00	153.00			28.00
7. XILINX INC	05/07/2013	08/12/2013	318.00	267.00			51.00
1 XILINX INC	05/07/2013	08/12/2013	46.00	38.00			8.00
4726. ISHARES MSCI EMERGIN INDEX	03/27/2013	08/13/2013	188,800.00	199,685.00			-10,885.00
113. NISOURCE INC	VAR	08/13/2013	3,479.00	3,314.00			165.00
2. XILINX INC	05/08/2013	08/13/2013	90.00	76.00			14.00
2 XILINX INC	05/08/2013	08/13/2013	90.00	76.00			14.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

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For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949**

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return: ALLEN FOUNDATION TRUST R70781001

Social security number or taxpayer identification number: 39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

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[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
3. XILINX INC	05/08/2013	08/13/2013	136.00	115.00			21.00
3. XILINX INC	05/08/2013	08/13/2013	136.00	115.00			21.00
4. NISOURCE INC	02/27/2013	08/14/2013	123.00	110.00			13.00
20. NISOURCE INC	02/27/2013	08/14/2013	615.00	548.00			67 00
6. TARGET CORP	04/24/2013	08/14/2013	422.00	418.00			4.00
5. TARGET CORP	04/24/2013	08/14/2013	351.00	348.00			3.00
4. TARGET CORP	04/24/2013	08/14/2013	280.00	279.00			1.00
16. TARGET CORP	VAR	08/14/2013	1,120 00	1,115.00			5.00
1. XILINX INC	05/08/2013	08/14/2013	45.00	38.00			7.00
1. XILINX INC	05/08/2013	08/14/2013	45.00	38.00			7.00
2. XILINX INC	05/08/2013	08/14/2013	90.00	76.00			14.00
14. CERNER CORP	01/14/2013	08/15/2013	661.00	574.00			87 00
30. CERNER CORP	01/14/2013	08/15/2013	1,414.00	1,231.00			183 00
4. NISOURCE INC	02/27/2013	08/15/2013	122 00	110.00			12.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
2. TARGET CORP	04/23/2013	08/15/2013	138.00	139 00			-1.00
5. TARGET CORP	04/23/2013	08/15/2013	345.00	348.00			-3.00
7. TARGET CORP	04/23/2013	08/15/2013	483.00	488.00			-5.00
26. TARGET CORP	VAR	08/15/2013	1,800.00	1,802.00			-2.00
41. ASML HOLDING N.V.	10/26/2012	08/15/2013	3,746 00	2,933.00			813.00
49. CERNER CORP	VAR	08/16/2013	2,310.00	1,980.00			330 00
14 CERNER CORP	01/15/2013	08/19/2013	668.00	562.00			106.00
24. NISOURCE INC	02/27/2013	08/19/2013	714.00	658.00			56.00
1. NISOURCE INC	02/27/2013	08/20/2013	30 00	27.00			3.00
2072.377 DREYFUS/LAUREL FD	03/27/2013	09/10/2013	28,433.00	31,604.00			-3,171 00
28. EDISON INTL	VAR	09/11/2013	1,262.00	1,334.00			-72.00
3. EDISON INTL	06/07/2013	09/11/2013	135 00	142.00			-7.00
1. EDISON INTL	06/17/2013	09/11/2013	45 00	47 00			-2.00
57. ASML HOLDING N.V	10/26/2012	09/11/2013	5,088.00	4,078.00			1,010.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949** Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074 **2013** Attachment Sequence No **12A**

Name(s) shown on return: ALLEN FOUNDATION TRUST R70781001

Social security number or taxpayer identification number: 39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] (C) Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
9. EDISON INTL	VAR	09/12/2013	403.00	426.00			-23.00
58. EDISON INTL	VAR	09/12/2013	2,587.00	2,740 00			-153.00
3. EDISON INTL	06/19/2013	09/12/2013	134.00	142 00			-8.00
134. DOW CHEM CO	VAR	09/13/2013	5,313.00	4,459.00			854.00
13. EDISON INTL	VAR	09/13/2013	584 00	613.00			-29.00
53. EDISON INTL	VAR	09/13/2013	2,384 00	2,448.00			-64.00
55. ASML HOLDING N V	VAR	09/18/2013	5,158.00	3,892 00			1,266.00
10 CVS CORP	04/25/2013	09/19/2013	598.00	580 00			18.00
11. CVS CORP	04/25/2013	09/19/2013	657 00	638 00			19.00
3. CVS CORP	04/25/2013	09/19/2013	179.00	174.00			5.00
28. CVS CORP	VAR	09/19/2013	1,675.00	1,623.00			52.00
3. CVS CORP	04/11/2013	09/19/2013	179 00	174.00			5 00
217. HEWLETT PACKARD CO	VAR	09/19/2013	4,637 00	5,883.00			-1,246.00
436. HEWLETT PACKARD CO	VAR	09/19/2013	9,382.00	11,737.00			-2,355.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

Form **8949**

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
38. CVS CORP	VAR	09/20/2013	2,257.00	2,200.00			57.00
1. CVS CORP	04/11/2013	09/20/2013	59.00	58.00			1.00
125. CVS CORP	VAR	09/20/2013	7,306 00	7,173.00			133.00
4. HEWLETT PACKARD CO	08/09/2013	09/20/2013	85.00	107.00			-22.00
22. INTUITIVE SURGICAL INC	VAR	09/26/2013	8,080.00	11,855.00			-3,775.00
29. AXIALL CORPORATION	04/12/2013	10/02/2013	1,106.00	1,660.00			-554.00
41. AXIALL CORPORATION	VAR	10/02/2013	1,563.00	2,238.00			-675.00
4. TARGET CORP	04/22/2013	10/02/2013	254.00	276.00			-22.00
125. AXIALL CORPORATION	01/18/2013	10/03/2013	4,741.00	5,448.00			-707.00
79. AXIALL CORPORATION	01/18/2013	10/04/2013	3,010 00	3,443.00			-433.00
38. AXIALL CORPORATION	01/18/2013	10/04/2013	1,454.00	1,656.00			-202.00
15. DTE ENERGY CO	VAR	10/17/2013	995 00	1,066 00			-71.00
13. DTE ENERGY CO	VAR	10/17/2013	870.00	921 00			-51 00
38. DTE ENERGY CO	VAR	10/17/2013	2,535.00	2,640.00			-105 00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

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Form **8949** (2013)

Form **8949** | Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

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[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8. DTE ENERGY CO	VAR	10/17/2013	537.00	538.00			-1.00
3. DTE ENERGY CO	VAR	10/17/2013	200.00	202.00			-2.00
6. DTE ENERGY CO	VAR	10/17/2013	397.00	403.00			-6.00
18. AVAGO TECHNOLOGIES LTD	06/17/2013	10/17/2013	807.00	686.00			121.00
5. AVAGO TECHNOLOGIES LTD	VAR	10/17/2013	225.00	191.00			34.00
1. DTE ENERGY CO	08/19/2013	10/18/2013	67.00	67.00			
12 DTE ENERGY CO	VAR	10/18/2013	808.00	805.00			3.00
8. DTE ENERGY CO	VAR	10/18/2013	539.00	537 00			2.00
6. DTE ENERGY CO	VAR	10/18/2013	404.00	401.00			3.00
5. DTE ENERGY CO	09/11/2013	10/18/2013	336.00	334.00			2.00
18. AVAGO TECHNOLOGIES LTD	06/17/2013	10/18/2013	826.00	686.00			140.00
5 DTE ENERGY CO	09/11/2013	10/21/2013	334.00	334 00			
10. DTE ENERGY CO	VAR	10/21/2013	667.00	668.00			-1.00
10. DTE ENERGY CO	09/11/2013	10/21/2013	668 00	668.00			
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at www irs gov/form8949

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: ALLEN FOUNDATION TRUST R70781001

Social security number or taxpayer identification number: 39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
32. AVAGO TECHNOLOGIES LTD	VAR	10/21/2013	1,498.00	1,216.00			282.00
156. BAXTER INTL INC	VAR	10/22/2013	10,187.00	11,330.00			-1,143.00
28. BAXTER INTL INC	VAR	10/22/2013	1,828 00	1,975 00			-147.00
13. DTE ENERGY CO	09/11/2013	10/22/2013	878.00	868.00			10.00
13 DTE ENERGY CO	VAR	10/22/2013	877.00	866.00			11.00
7. AVAGO TECHNOLOGIES LTD	05/31/2013	10/22/2013	327.00	266.00			61.00
31. BAXTER INTL INC	VAR	10/23/2013	2,021.00	2,165.00			-144.00
57. CHENIERE ENERGY INC	VAR	10/23/2013	2,182 00	902.00			1,280.00
154. EATON CORP PLC	VAR	10/23/2013	10,431.00	10,255.00			176.00
18. EATON CORP PLC	08/05/2013	10/23/2013	1,219.00	1,194.00			25.00
1. AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	45.00	38.00			7.00
3. AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	136.00	114.00			22.00
1. AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	45 00	38.00			7.00
61. CAMERON INTERNATIONAL	11/05/2012	10/24/2013	3,289.00	3,174.00			115.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
3. CAMERON INTERNATIONAL C	VAR	10/24/2013	163.00	156 00			7.00
108. EATON CORP PLC	VAR	10/24/2013	7,355.00	7,142.00			213.00
38. BROADCOM CORP CL A	11/19/2012	10/25/2013	1,005.00	1,179.00			-174.00
78. BROADCOM CORP CL A	VAR	10/25/2013	2,063.00	2,403.00			-340.00
46. BROADCOM CORP CL A	11/16/2012	10/25/2013	1,221.00	1,383.00			-162.00
34. BROADCOM CORP CL A	VAR	10/25/2013	899.00	1,022.00			-123 00
10. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	455 00	380.00			75.00
3. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	137.00	114 00			23.00
22. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	1,003 00	836.00			167.00
22. AVAGO TECHNOLOGIES LTD	05/31/2013	10/28/2013	1,001.00	836.00			165.00
32. AVAGO TECHNOLOGIES LTD	05/31/2013	10/29/2013	1,470.00	1,215.00			255.00
27 DOW CHEM CO	10/22/2013	11/07/2013	1,055.00	1,122.00			-67.00
3. DOW CHEM CO	10/22/2013	11/07/2013	118.00	125.00			-7 00
7. DOW CHEM CO	10/22/2013	11/07/2013	275.00	291.00			-16.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www irs gov/form8949

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
11. DOW CHEM CO	10/22/2013	11/08/2013	434.00	457.00			-23.00
5 DOW CHEM CO	10/22/2013	11/11/2013	198.00	208.00			-10.00
14. DOW CHEM CO	10/22/2013	11/11/2013	554.00	582.00			-28.00
9. ARCHER DANIELS MIDLAND	05/17/2013	11/12/2013	364.00	314.00			50.00
8. DOW CHEM CO	10/22/2013	11/12/2013	315 00	333 00			-18.00
3. DOW CHEM CO	10/22/2013	11/12/2013	119.00	125.00			-6.00
12. ARCHER DANIELS MIDLAND	VAR	11/13/2013	491.00	418 00			73.00
8. ARCHER DANIELS MIDLAND	05/17/2013	11/13/2013	327.00	278.00			49.00
4. DOW CHEM CO	10/22/2013	11/13/2013	157.00	166.00			-9.00
57. DOW CHEM CO	VAR	11/13/2013	2,243.00	1,992.00			251.00
5. DOW CHEM CO	03/01/2013	11/13/2013	197.00	159.00			38.00
1. DOW CHEM CO	03/01/2013	11/13/2013	39.00	32 00			7.00
4. DOW CHEM CO	03/01/2013	11/13/2013	157.00	127 00			30.00
19. ARCHER DANIELS MIDLAND	VAR	11/14/2013	794 00	659.00			135.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

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Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return: ALLEN FOUNDATION TRUST R70781001

Social security number or taxpayer identification number: 39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
68. CITRIX SYS INC	12/12/2012	11/14/2013	3,749.00	4,398.00			-649.00
21. DOW CHEM CO	03/01/2013	11/14/2013	839.00	668.00			171.00
2. DOW CHEM CO	03/01/2013	11/14/2013	80.00	64.00			16.00
82. ASML HOLDING N.V.	VAR	11/14/2013	7,273.00	5,330.00			1,943 00
13. ARCHER DANIELS MIDLAND	VAR	11/15/2013	530.00	444.00			86.00
9. ARCHER DANIELS MIDLAND	05/17/2013	11/15/2013	365 00	307 00			58.00
33. DAVITA INC	09/20/2013	11/15/2013	1,945 00	1,948.00			-3 00
6. DAVITA INC	09/20/2013	11/15/2013	354.00	354.00			
52. DAVITA INC	VAR	11/15/2013	3,063.00	3,050.00			13.00
4. ARCHER DANIELS MIDLAND	05/17/2013	11/18/2013	164.00	136.00			28.00
9. ARCHER DANIELS MIDLAND	05/17/2013	11/18/2013	368.00	307.00			61.00
8. ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	327.00	273.00			54 00
6. ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	246.00	205.00			41 00
1. ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	41.00	34.00			7.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
239 MICROSOFT CORP	VAR	11/19/2013	8,806 00	8,106.00			700 00
79. UNITEDHEALTH GROUP INC	VAR	11/19/2013	5,647.00	5,726.00			-79.00
18. ARCHER DANIELS MIDLAND	VAR	11/20/2013	726.00	610.00			116.00
103. ARCHER DANIELS MIDLAN	VAR	11/21/2013	4,230.00	3,384.00			846.00
58. STRYKER CORP	VAR	11/25/2013	4,343.00	4,314.00			29.00
28. STRYKER CORP	VAR	11/26/2013	2,096 00	2,072.00			24.00
32. STRYKER CORP	VAR	11/26/2013	2,397 00	2,360.00			37 00
18. STRYKER CORP	VAR	11/27/2013	1,346.00	1,318.00			28.00
11. APPLIED MATLS INC	05/02/2013	12/13/2013	184.00	163 00			21.00
292. APPLIED MATLS INC	VAR	12/13/2013	4,877 00	4,272.00			605.00
475. APPLIED MATLS INC	VAR	12/13/2013	7,930.00	6,760.00			1,170.00
71. EMERSON ELEC CO	09/19/2013	12/13/2013	4,702 00	4,723 00			-21 00
2. LINKEDIN CORP - A	VAR	12/13/2013	467 00	350.00			117.00
56. LINKEDIN CORP - A	VAR	12/13/2013	12,928.00	9,681.00			3,247.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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Form **8949** (2013)

Form **8949** Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

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[ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
37. PENTAIR LTD	10/23/2013	12/13/2013	2,594.00	2,402.00			192 00
189. APPLIED MATLS INC	VAR	12/16/2013	3,157.00	2,673.00			484.00
64. APPLIED MATLS INC	VAR	12/16/2013	1,069.00	903.00			166.00
9. EMERSON ELEC CO	09/19/2013	12/16/2013	603 00	599.00			4.00
22. PENTAIR LTD	10/23/2013	12/16/2013	1,572.00	1,428.00			144.00
83. JOHNSON & JOHNSON	VAR	12/19/2013	7,612.00	7,665.00			-53 00
194. MICROSOFT CORP	09/11/2013	12/19/2013	7,058 00	6,369.00			689 00
156. PERRIGO CO	VAR	12/19/2013	23,746.00	23,925.00			-179.00
156. PERRIGO CO LTD		12/19/2013	489.00				489.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			525,220.	531,923.			-6,703.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

JSA 3X2615 2 000

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[X] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
4 CAREFUSION CORPORATION	04/13/2012	08/02/2013	144.00	104.00			40.00
43. CAREFUSION CORPORATION	VAR	08/02/2013	1,551.00	1,112.00			439.00
233. CAREFUSION CORPORATIO	VAR	08/02/2013	8,472.00	5,959.00			2,513.00
175000. GS BREN SPX 8/7/13	07/20/2012	08/07/2013	197,470.00	173,250.00			24,220.00
1. COVIDIEN PLC NEW	08/16/2011	08/08/2013	64.00	50.00			14.00
6. COVIDIEN PLC NEW	08/16/2011	08/08/2013	383 00	299.00			84.00
2. COVIDIEN PLC NEW	08/16/2011	08/08/2013	128.00	100.00			28 00
8. APPLE COMPUTER INC	VAR	08/09/2013	3,638.00	2,851.00			787.00
14. APPLE COMPUTER INC	06/17/2010	08/09/2013	6,416.00	3,800.00			2,616.00
4. APPLE COMPUTER INC	06/17/2010	08/09/2013	1,831 00	1,086.00			745 00
9. APPLE COMPUTER INC	VAR	08/09/2013	4,110 00	2,401.00			1,709.00
96. VERIZON COMMUNICATIONS	02/04/2007	08/09/2013	4,720.00	3,410.00			1,310.00
1. COVIDIEN PLC NEW	08/16/2011	08/09/2013	64.00	50.00			14.00
1. COVIDIEN PLC NEW	08/16/2011	08/09/2013	64.00	50.00			14.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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ALLEN FOUNDATION TRUST R70781001	39-1708035

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Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- [ ] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [X] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
4. COVIDIEN PLC NEW	08/16/2011	08/09/2013	255 00	199.00			56.00
4. COVIDIEN PLC NEW	08/16/2011	08/12/2013	255.00	199.00			56.00
4. COVIDIEN PLC NEW	08/16/2011	08/12/2013	255.00	199.00			56.00
6064. VANGUARD EMERGING MA	VAR	08/13/2013	242,923.00	265,643.00			-22,720 00
2. COVIDIEN PLC NEW	08/16/2011	08/13/2013	127 00	100.00			27.00
4. COVIDIEN PLC NEW	08/16/2011	08/13/2013	254 00	199.00			55.00
6. COVIDIEN PLC NEW	08/16/2011	08/13/2013	381.00	299.00			82.00
1. COVIDIEN PLC NEW	08/16/2011	08/13/2013	64.00	50.00			14.00
1. COVIDIEN PLC NEW	08/16/2011	08/14/2013	64.00	50.00			14.00
1. COVIDIEN PLC NEW	08/16/2011	08/14/2013	64.00	50.00			14 00
3. COVIDIEN PLC NEW	06/08/2009	08/14/2013	190.00	103.00			87.00
4. COVIDIEN PLC NEW	06/08/2009	08/14/2013	253.00	137.00			116.00
106. TYCO INTERNATIONAL LT	VAR	08/14/2013	3,654.00	2,619.00			1,035 00
3. COVIDIEN PLC NEW	06/08/2009	08/15/2013	186.00	103.00			83.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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[X] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1. COVIDIEN PLC NEW	06/08/2009	08/15/2013	62.00	34.00			28.00
1. COVIDIEN PLC NEW	06/08/2009	08/15/2013	62 00	34.00			28.00
29. MALLINCKRODT PUB LTD C	VAR	08/15/2013	1,249.00	798.00			451.00
201 TYCO INTERNATIONAL LT	VAR	08/15/2013	6,864.00	3,986.00			2,878 00
58. TYCO INTERNATIONAL LTD	06/02/2010	08/15/2013	1,983.00	1,043.00			940.00
95. TYCO INTERNATIONAL LTD	06/02/2010	08/16/2013	3,246.00	1,709.00			1,537.00
8. COVIDIEN PLC NEW	06/08/2009	08/19/2013	488.00	275.00			213 00
7. COVIDIEN PLC NEW	06/08/2009	08/20/2013	428.00	241.00			187 00
3. COVIDIEN PLC NEW	06/08/2009	08/20/2013	183 00	103.00			80.00
1. COVIDIEN PLC NEW	06/08/2009	08/21/2013	61.00	34.00			27.00
4. COVIDIEN PLC NEW	06/08/2009	08/22/2013	243.00	137.00			106.00
6. COVIDIEN PLC NEW	06/08/2009	08/22/2013	364.00	206.00			158.00
2. COVIDIEN PLC NEW	06/08/2009	08/23/2013	121.00	69.00			52.00
4. COVIDIEN PLC NEW	06/08/2009	08/23/2013	243.00	137.00			106.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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ALLEN FOUNDATION TRUST R70781001	39-1708035

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Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

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- [ ] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- [X] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
6. COVIDIEN PLC NEW	06/08/2009	08/23/2013	364.00	206.00			158.00
2 COVIDIEN PLC NEW	06/08/2009	08/26/2013	122.00	69.00			53.00
3. COVIDIEN PLC NEW	06/08/2009	08/26/2013	184.00	103.00			81.00
3. COVIDIEN PLC NEW	06/08/2009	08/26/2013	183.00	103 00			80.00
2. COVIDIEN PLC NEW	06/08/2009	08/27/2013	120.00	69 00			51.00
3. COVIDIEN PLC NEW	06/08/2009	08/27/2013	180.00	103.00			77 00
. QWEST COMMUNICATIONS INT		08/28/2013	46.00				46.00
. QWEST COMMUNICATIONS INT		08/28/2013	87.00				87.00
. TYCO INTL LTD NEW		08/28/2013	67 00				67.00
5. COVIDIEN PLC NEW	06/08/2009	08/28/2013	299.00	172.00			127.00
1. COVIDIEN PLC NEW	06/08/2009	08/28/2013	60 00	34.00			26.00
. WASHINGTON MUT INC		08/29/2013	30.00				30.00
1. COVIDIEN PLC NEW	06/08/2009	08/29/2013	60.00	34 00			26.00
10. COVIDIEN PLC NEW	06/08/2009	08/29/2013	603.00	344.00			259 00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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1 (a) Description of property (Example 100 sh. XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1. COVIDIEN PLC NEW	06/08/2009	08/30/2013	60.00	34.00			26.00
2. COVIDIEN PLC NEW	06/08/2009	08/30/2013	119.00	69.00			50.00
101. CARDINAL HEALTH INC	07/02/2010	09/03/2013	5,080.00	3,437.00			1,643.00
59. CARDINAL HEALTH INC	08/09/2010	09/03/2013	2,961.00	1,952.00			1,009.00
24. CARDINAL HEALTH INC	08/09/2010	09/03/2013	1,203.00	794.00			409.00
101. VERIZON COMMUNICATION	VAR	09/03/2013	4,622.00	3,570.00			1,052.00
11. COVIDIEN PLC NEW	06/08/2009	09/03/2013	657.00	378.00			279.00
5. COVIDIEN PLC NEW	VAR	09/03/2013	298.00	169 00			129.00
61. CARDINAL HEALTH INC	VAR	09/04/2013	3,077.00	1,515.00			1,562.00
7. CARDINAL HEALTH INC	06/09/2009	09/04/2013	352 00	152 00			200.00
4. CARDINAL HEALTH INC	06/09/2009	09/04/2013	202.00	87.00			115.00
3. COVIDIEN PLC NEW	12/17/2008	09/04/2013	182.00	100.00			82.00
5. COVIDIEN PLC NEW	12/17/2008	09/04/2013	304 00	166.00			138.00
2. COVIDIEN PLC NEW	12/17/2008	09/04/2013	120.00	66.00			54.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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19. COVIDIEN PLC NEW	12/17/2008	09/04/2013	1,153.00	630.00			523.00
2. COVIDIEN PLC NEW	12/17/2008	09/04/2013	121.00	66 00			55.00
2. COVIDIEN PLC NEW	12/17/2008	09/04/2013	120.00	66.00			54.00
70. CARDINAL HEALTH INC	06/09/2009	09/05/2013	3,526.00	1,516.00			2,010 00
175000. DB BREN SPX 09/05/	08/17/2012	09/05/2013	195,650.00	173,250.00			22,400 00
5. COVIDIEN PLC NEW	12/17/2008	09/05/2013	304.00	166.00			138.00
2. COVIDIEN PLC NEW	12/17/2008	09/05/2013	122.00	66.00			56.00
9. COVIDIEN PLC NEW	12/17/2008	09/06/2013	548.00	299 00			249.00
8. COVIDIEN PLC NEW	12/17/2008	09/09/2013	487.00	265.00			222.00
5. COVIDIEN PLC NEW	12/17/2008	09/09/2013	305.00	166.00			139.00
31549.815 JPMORGAN INTERNA CURRENCY	03/11/2010	09/10/2013	347,363.00	341,842.00			5,521.00
8. COVIDIEN PLC NEW	12/17/2008	09/10/2013	491 00	265.00			226.00
3. COVIDIEN PLC NEW	12/17/2008	09/10/2013	184.00	100.00			84.00
8. APPLE COMPUTER INC	08/13/2010	09/11/2013	3,748.00	2,004.00			1,744.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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1 (a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
3. CELGENE CORP.	03/31/2011	09/11/2013	442.00	171.00			271.00
4. CELGENE CORP.	03/31/2011	09/11/2013	594.00	228.00			366.00
21. CELGENE CORP.	VAR	09/11/2013	3,126.00	1,142.00			1,984.00
175000. HSBC BREN EAFE 0	08/24/2012	09/11/2013	208,075.00	173,250.00			34,825.00
1. COVIDIEN PLC NEW	12/17/2008	09/11/2013	62.00	33.00			29 00
4. COVIDIEN PLC NEW	12/17/2008	09/11/2013	247.00	133.00			114.00
9. COVIDIEN PLC NEW	12/17/2008	09/12/2013	554.00	299.00			255.00
5. COVIDIEN PLC NEW	12/17/2008	09/12/2013	307.00	166.00			141 00
1. COVIDIEN PLC NEW	12/17/2008	09/12/2013	62.00	33.00			29.00
3. COVIDIEN PLC NEW	12/17/2008	09/12/2013	184.00	100.00			84.00
140000. BARC 93% PPN CURRE 9/13/13	09/09/2011	09/13/2013	130,200.00	144,211.00			-14,011.00
44. COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	5,159.00	4,008.00			1,151.00
10. COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	1,180.00	911.00			269.00
1. COVIDIEN PLC NEW	12/17/2008	09/13/2013	61.00	33.00			28.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

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ALLEN FOUNDATION TRUST R70781001

Social security number or taxpayer identification number
39-1708035

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[ ] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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1 (a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1. COVIDIEN PLC NEW	12/17/2008	09/13/2013	61.00	33.00			28.00
42. COSTCO WHSL CORP NEW	06/15/2012	09/16/2013	4,960 00	3,826.00			1,134.00
5. APPLE COMPUTER INC	08/13/2010	09/19/2013	2,366.00	1,253.00			1,113 00
263. LENNAR CORP	VAR	09/19/2013	9,537.00	3,661 00			5,876 00
2. TD AMERITRADE HOLDING C	08/19/2010	09/19/2013	53.00	32.00			21.00
27. TD AMERITRADE HOLDING	08/19/2010	09/19/2013	717 00	429.00			288.00
41. TD AMERITRADE HOLDING	08/19/2010	09/19/2013	1,088.00	652.00			436.00
9. TD AMERITRADE HOLDING C	08/19/2010	09/19/2013	238.00	143.00			95.00
12. TD AMERITRADE HOLDING	08/19/2010	09/19/2013	318.00	191.00			127.00
8. TD AMERITRADE HOLDING C	08/19/2010	09/19/2013	212.00	127.00			85.00
1. ENSCO PLC	07/18/2012	09/19/2013	56.00	50.00			6.00
13. ENSCO PLC	07/18/2012	09/19/2013	723.00	650.00			73.00
13. ENSCO PLC	VAR	09/19/2013	721.00	650.00			71 00
10. APPLE COMPUTER INC	08/13/2010	09/20/2013	4,721.00	2,505 00			2,216 00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
4. TD AMERITRADE HOLDING C	VAR	09/20/2013	107.00	60.00			47.00
5. TD AMERITRADE HOLDING C	08/12/2011	09/20/2013	133.00	74.00			59.00
50. TD AMERITRADE HOLDING	VAR	09/20/2013	1,331.00	733.00			598 00
40. ENSCO PLC	07/18/2012	09/20/2013	2,200.00	1,996.00			204.00
. WASHINGTON MUT INC		09/24/2013	25.00				25.00
. WASHINGTON MUT INC		09/24/2013	80.00				80.00
CIT GROUP INC NEW		10/02/2013	18 00				18.00
285. TD AMERITRADE HOLDING	VAR	10/02/2013	7,452 00	3,746.00			3,706.00
120. TARGET CORP	VAR	10/02/2013	7,620.00	7,844 00			-224.00
185000. BARC PARTICIPATING	09/28/2012	10/09/2013	136,432.00	183,150 00			-46,718.00
21. AMAZON COM INC	08/13/2010	10/17/2013	6,495.00	2,646.00			3,849 00
166. INVESCO LTD SHS	VAR	10/17/2013	5,370.00	3,338.00			2,032.00
12. CHENIERE ENERGY INC	VAR	10/23/2013	459.00	191.00			268.00
55. CAMERON INTERNATIONAL	VAR	10/24/2013	2,985.00	3,039.00			-54.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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ALLEN FOUNDATION TRUST R70781001

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116 CAMERON INTERNATIONAL	VAR	10/24/2013	6,255.00	6,363.00			-108.00
1567 SPDR GOLD TRUST	VAR	10/24/2013	203,818.00	151,433 00	C		52,385.00
288. BROADCOM CORP CL A	08/13/2012	10/25/2013	7,616.00	9,997.00			-2,381.00
595. SPDR GOLD TRUST	07/06/2009	10/25/2013	77,283 00	53,996.00	C		23,287 00
175000. BNP CONT BUFF EQ S	10/12/2012	10/30/2013	201,250.00	173,250 00			28,000.00
2. BIOGEN IDEC INC	03/22/2010	10/31/2013	493.00	120.00			373.00
3. BIOGEN IDEC INC	03/22/2010	10/31/2013	740.00	180 00			560 00
1. BIOGEN IDEC INC	03/22/2010	10/31/2013	246.00	60.00			186.00
6. TARGET CORP	VAR	10/31/2013	394.00	390.00			4.00
6. TARGET CORP	VAR	10/31/2013	389.00	388.00			1.00
3. TARGET CORP	VAR	10/31/2013	197.00	193.00			4.00
14. TARGET CORP	VAR	10/31/2013	910.00	897.00			13.00
1. TARGET CORP	09/18/2012	10/31/2013	66.00	64.00			2.00
11. BIOGEN IDEC INC	03/22/2010	11/01/2013	2,670.00	658.00			2,012.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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1 (a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
1. BIOGEN IDEC INC	03/22/2010	11/01/2013	243.00	60 00			183.00
7. TARGET CORP	09/18/2012	11/01/2013	453 00	448.00			5.00
1. BIOGEN IDEC INC	03/22/2010	11/04/2013	240.00	60.00			180.00
1. BIOGEN IDEC INC	03/22/2010	11/04/2013	240.00	60.00			180.00
1 BIOGEN IDEC INC	03/22/2010	11/04/2013	243.00	60.00			183.00
1. BIOGEN IDEC INC	03/22/2010	11/04/2013	242 00	60.00			182.00
15. TARGET CORP	09/18/2012	11/04/2013	977.00	961.00			16.00
1. BIOGEN IDEC INC	03/22/2010	11/05/2013	240.00	60.00			180 00
3. BIOGEN IDEC INC	03/22/2010	11/05/2013	731.00	180 00			551 00
1. BIOGEN IDEC INC	03/22/2010	11/05/2013	245 00	60.00			185.00
1. BIOGEN IDEC INC	03/22/2010	11/05/2013	242.00	60.00			182.00
10. TARGET CORP	VAR	11/05/2013	648.00	640.00			8.00
6. TARGET CORP	10/26/2012	11/06/2013	394.00	384 00			10.00
17. TARGET CORP	VAR	11/06/2013	1,112.00	1,081.00			31.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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1 (a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
16. TARGET CORP	VAR	11/07/2013	1,047.00	1,008.00			39.00
5. TARGET CORP	VAR	11/08/2013	324.00	315.00			9.00
14. TARGET CORP	10/25/2012	11/08/2013	909.00	881.00			28 00
8. TARGET CORP	VAR	11/11/2013	525.00	503 00			22.00
5. TARGET CORP	10/24/2012	11/11/2013	328 00	314.00			14.00
1. TARGET CORP	10/24/2012	11/11/2013	65 00	63.00			2.00
2. TARGET CORP	10/24/2012	11/12/2013	131.00	126.00			5.00
6. TARGET CORP	10/24/2012	11/12/2013	392.00	377.00			15.00
3. TARGET CORP	10/24/2012	11/12/2013	197.00	188 00			9 00
6. TARGET CORP	10/24/2012	11/12/2013	392.00	377.00			15.00
. WASHINGTON MUT INC		11/12/2013	167 00				167.00
162. INVESCO LTD SHS	07/22/2010	11/12/2013	5,380.00	3,131.00			2,249.00
9. TARGET CORP	10/24/2012	11/13/2013	599 00	565.00			34.00
17. TARGET CORP	10/24/2012	11/13/2013	1,129.00	1,068.00			61 00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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3. TARGET CORP	10/24/2012	11/13/2013	200 00	188.00			12.00
110. CITRIX SYS INC	VAR	11/14/2013	6,065 00	6,353.00			-288.00
15. TARGET CORP	VAR	11/14/2013	999.00	942.00			57.00
5. TARGET CORP	10/24/2012	11/14/2013	332 00	314.00			18.00
36. DAVITA INC	11/07/2012	11/15/2013	2,120.00	2,041.00			79.00
1. TARGET CORP	10/24/2012	11/15/2013	67.00	63.00			4.00
1. DAVITA INC	11/07/2012	11/18/2013	59.00	57 00			2.00
36. DAVITA INC	VAR	11/18/2013	2,149 00	2,029.00			120.00
1. DAVITA INC	10/23/2012	11/18/2013	60 00	56.00			4.00
4. DAVITA INC	10/23/2012	11/18/2013	238.00	223.00			15.00
. TYCO INTL LTD NEW		11/18/2013	29.00				29.00
23. DAVITA INC	VAR	11/19/2013	1,343.00	1,277.00			66.00
9. DAVITA INC	VAR	11/19/2013	526.00	499.00			27 00
1. DAVITA INC	10/19/2012	11/20/2013	59.00	55.00			4.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Name(s) shown on return. (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
ALLEN FOUNDATION TRUST R70781001	39-1708035

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- [X] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
11. DAVITA INC	VAR	11/20/2013	640 00	609.00			31.00
5. DAVITA INC	VAR	11/20/2013	291.00	276.00			15.00
14. DAVITA INC	10/12/2012	11/21/2013	798.00	772.00			26.00
10. DAVITA INC	VAR	11/21/2013	570.00	549.00			21.00
1. DAVITA INC	10/15/2012	11/21/2013	58.00	55.00			3.00
17. DAVITA INC	VAR	11/22/2013	959.00	931.00			28.00
1. DAVITA INC	10/10/2012	11/22/2013	56.00	55 00			1.00
4. DAVITA INC	10/10/2012	11/22/2013	226.00	219.00			7.00
6. DAVITA INC	VAR	11/22/2013	338.00	328.00			10.00
15. DAVITA INC	VAR	11/25/2013	899.00	814.00			85.00
42. DAVITA INC	VAR	11/25/2013	2,561.00	2,274.00			287 00
5. DAVITA INC	VAR	11/25/2013	301.00	270.00			31.00
10. DAVITA INC	10/09/2012	11/25/2013	608.00	539.00			69.00
1. ENSCO PLC	07/18/2012	11/25/2013	60 00	50 00			10.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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3. ENSCO PLC	07/18/2012	11/25/2013	178.00	150.00			28.00
5. ENSCO PLC	07/18/2012	11/25/2013	298.00	249.00			49.00
4. ENSCO PLC	07/18/2012	11/25/2013	237.00	200.00			37.00
45. ENSCO PLC	VAR	11/25/2013	2,683.00	2,211.00			472.00
18. ENSCO PLC	07/03/2012	11/25/2013	1,066.00	874 00			192.00
8. ENSCO PLC	07/03/2012	11/25/2013	479.00	389.00			90.00
3. ENSCO PLC	07/03/2012	11/25/2013	179 00	146.00			33.00
2. ENSCO PLC	07/03/2012	11/26/2013	119.00	97.00			22 00
3. ENSCO PLC	07/03/2012	11/26/2013	177.00	146.00			31.00
1. ENSCO PLC	07/03/2012	11/26/2013	59.00	49 00			10.00
9. ENSCO PLC	07/03/2012	11/26/2013	533.00	437.00			96.00
44. ENSCO PLC	VAR	11/26/2013	2,610.00	2,136.00			474.00
10. ENSCO PLC	07/03/2012	11/26/2013	599.00	484.00			115.00
26. ENSCO PLC	VAR	11/26/2013	1,542 00	1,226.00			316.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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43. ENSCO PLC	07/02/2012	11/26/2013	2,575 00	2,011 00			564.00
275000. SG CONT BUFF EQ SP	11/09/2012	11/27/2013	316,250.00	272,250.00			44,000.00
3. ENSCO PLC	07/02/2012	11/27/2013	179.00	140.00			39.00
7. ENSCO PLC	07/02/2012	11/27/2013	418.00	327 00			91.00
8 ENSCO PLC	07/02/2012	11/27/2013	478.00	374.00			104.00
51. EOG RESOURCES INC	VAR	12/03/2013	8,427.00	3,818.00			4,609.00
11. OCCIDENTAL PETE CORP	09/13/2012	12/05/2013	1,027.00	995.00			32.00
12. OCCIDENTAL PETE CORP	09/13/2012	12/05/2013	1,128.00	1,085.00			43.00
14. OCCIDENTAL PETE CORP	VAR	12/06/2013	1,314 00	1,246 00			68.00
9. OCCIDENTAL PETE CORP	04/24/2012	12/06/2013	833 00	794.00			39.00
8. OCCIDENTAL PETE CORP	04/24/2012	12/06/2013	745.00	705.00			40.00
48790.85 EATON VANCE MUT F	VAR	12/09/2013	447,900.00	423,162.00			24,738.00
24616.922 EATON VANCE MUT	VAR	12/09/2013	231,891.00	247,097.00			-15,206.00
33791.195 JPM INFLATION MG SEL	07/15/2011	12/09/2013	352,104 00	360,552.00			-8,448.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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35575.885 JPMORGAN TR I FL 4.24%	06/22/2011	12/09/2013	360,028.00	351,490.00			8,538.00
6 OCCIDENTAL PETE CORP	04/24/2012	12/09/2013	557.00	529.00			28 00
3 OCCIDENTAL PETE CORP	04/24/2012	12/09/2013	279.00	265.00			14.00
11. OCCIDENTAL PETE CORP	VAR	12/09/2013	1,024.00	948.00			76.00
1. OCCIDENTAL PETE CORP	10/18/2011	12/09/2013	94.00	85 00			9 00
1. OCCIDENTAL PETE CORP	10/18/2011	12/09/2013	94 00	85.00			9.00
5 OCCIDENTAL PETE CORP	10/18/2011	12/09/2013	466.00	423 00			43.00
3. OCCIDENTAL PETE CORP	10/18/2011	12/09/2013	280.00	254.00			26.00
5. OCCIDENTAL PETE CORP	10/18/2011	12/09/2013	467.00	423.00			44.00
36775.791 PAYDEN HIGH INCO	VAR	12/09/2013	267,360 00	256,106.00			11,254.00
17. EMERSON ELEC CO	05/18/2011	12/16/2013	1,138.00	921.00			217.00
22. EMERSON ELEC CO	05/18/2011	12/17/2013	1,466.00	1,192.00			274.00
26. EMERSON ELEC CO	05/18/2011	12/19/2013	1,768 00	1,408.00			360.00
240. MONDELEZ INTERNATIONA	VAR	12/19/2013	8,207.00	6,367.00			1,840.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			4,178,101.	3,930,205.			247,896.

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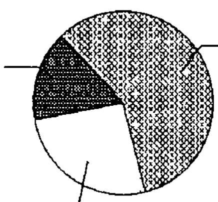
Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	9,069,730 22	10,092,827 02	1,023,096 80	157,529 39	58%
Alternative Assets	3,527,951 94	4,702,964 67	1,175,012 73	53,110 43	26%
Cash & Fixed Income	5,540,624 88	2,963,430 21	(2,577,194 67)	80,558 80	16%
Market Value	**$18,138,307.04**	**$17,759,221.90**	**($379,085.14)**	**$291,198.62**	**100%**

Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	24,992 02	97,421 51	72,429 49
Accruals	9,062 79	6,494 89	(2,567.90)
Market Value	**$34,054.81**	**$103,916.40**	**$69,861.59**

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**18,138,307.04**	**17,556,481 13**	**24,992.02**	**70,771.55**
Additions		75,000 00		158,660 18
Withdrawals & Fees	(523,639 76)	(1,430,073 91)	(8,444 77)	(467,082 37)
Net Additions/Withdrawals	**($523,639.76)**	**($1,355,073.91)**	**($8,444.77)**	**($308,422.19)**
Income	106 09	3,420 44	80,874 26	335,072.15
Change In Investment Value	144,448 53	1,554,394 24		
Ending Market Value	**$17,759,221.90**	**$17,759,221.90**	**$97,421.51**	**$97,421.51**
Accruals	--	--	6,494 89	6,494 89
Market Value with Accruals	**--**	**--**	**$103,916.40**	**$103,916.40**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	80,563 78	331,494 62
Foreign Dividends	278 13	3,387 31
Interest Income	32 35	190 22
Original Issue Discount	106 09	3,420 44
Taxable Income	**$80,980.35**	**$338,492.59**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions	64,003 46	113,429 65
ST Realized Gain/Loss	6,586 13	57,131 25
LT Realized Gain/Loss	22,987 09	492,838 55
Realized Gain/Loss	**$93,576.68**	**$663,399.45**

	To-Date Value
Unrealized Gain/Loss	**$2,419,489.84**

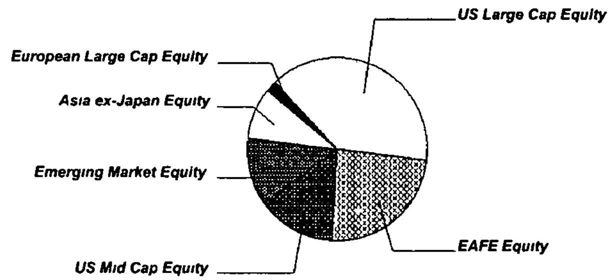
Cost Summary	Cost
Equity	7,902,070 56
Cash & Fixed Income	2,838,009 17
Total	**$10,740,079.73**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	3,437,248 74	3,925,065 35	487,816 61	23%
US Mid Cap Equity	1,421,194 79	1,424,002 52	2,807 73	8%
EAFE Equity	1,819,634 10	2,403,538 61	583,904 51	14%
European Large Cap Equity	208,654 25	210,150 50	1,496 25	1%
Asia ex-Japan Equity	972,093 64	932,220 22	(39,873 42)	5%
Emerging Market Equity	1,210,904 70	1,197,849 82	(13,054 88)	7%
Total Value	**$9,069,730.22**	**$10,092,827.02**	**$1,023,096.80**	**58%**

Market Value/Cost	Current Period Value
Market Value	10,092,827 02
Tax Cost	7,902,070 56
Unrealized Gain/Loss	2,190,756 46
Estimated Annual Income	157,529 39
Accrued Dividends	3,255.91
Yield	1 56 %

Asset Categories



Equity as a percentage of your portfolio - 58 %

Note P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
ACE LTD NEW H0023R-10-5 ACE	103 53	376 000	38,927 28	22,528 90	16,398 38	947 52	2 43 %
ADOBE SYSTEMS INC 00724F-10-1 ADBE	59 88	338 000	20,239 10	12,032 06	8,207 04		
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	132 88	163.000	21,660 09	17,088 17	4,571 92		
ALLERGAN INC 018490-10-2 AGN	111 08	152 000	16,884 16	12,886 83	3,997 33	30 40	0 18 %
ALLIANCE DATA SYSTEMS CORP 018581-10-8 ADS	262 93	56 000	14,724 08	9,889.35	4,834 73		
AMAZON COM INC 023135-10-6 AMZN	398 79	56 000	22,332 24	4,924 85	17,407 39		
ANADARKO PETROLEUM CORP 032511-10-7 APC	79 32	268.000	21,257 76	19,725 72	1,532 04	192 96	0 91 %
APACHE CORP 037411-10-5 APA	85 94	208 000	17,875 52	16,388 59	1,486 93	166 40	0 93 %
APPLE INC. 037833-10-0 AAPL	561 02	150 000	84,153 00	17,774 41	66,378 59	1,830 00	2 17 %
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	43 40	264 000	11,457 60	8,422 85	3,034 75	253 44	2 21 %
AUTOZONE INC 053332-10-2 AZO	477 94	43 000	20,551 42	13,599 10	6,952 32		

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	52 88	901 000	47,643 98	30,870 36	16,773 62	901 00	1 89 %
BANK OF AMERICA CORP 060505-10-4 BAC	15 57	3,647 000	56,783 79	50,205 80	6,577 99	145 88	0 26 %
BIOGEN IDEC INC 09062X-10-3 BIIB	279 57	113 000	31,591 64	5,656 94	25,934 70		
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	53 15	1,014 000	53,894 10	41,436 79	12,457 31	1,460 16 365 04	2 71 %
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	76 61	324 000	24,821 64	17,478 39	7,343 25	388 80	1 57 %
CBS CORP CLASS B W/I 124857-20-2 CBS	63 74	344 000	21,926 56	18,143 37	3,783 19	165 12 41.28	0 75 %
CELGENE CORPORATION 151020-10-4 CELG	168 97	192 000	32,441 86	8,533 60	23,908 26		
CERNER CORP 156782-10-4 CERN	55 74	280 000	15,607 20	12,281 91	3,325 29		
CHENIERE ENERGY INC 16411R-20-8 LNG	43 12	280 000	12,073 60	4,393 07	7,680 53		
CISCO SYSTEMS INC 17275R-10-2 CSCO	22 43	1,763 000	39,544.09	31,718 84	7,825 25	1,198 84	3 03 %
CITIGROUP INC NEW 172967-42-4 C	52 11	992 000	51,693 12	36,515 79	15,177 33	39 68	0 08 %
COCA-COLA CO 191216-10-0 KO	41 31	562 000	23,216 22	22,377 44	838 78	629 44	2 71 %

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
COMCAST CORP CL A 20030N-10-1 CMCS A	51 97	1,062 000	55,186 83	25,800 03	29,386 80	828 36 207 09	1 50 %
COSTCO WHOLESALE CORP 22160K-10-5 COST	119 02	113 000	13,449 26	13,731 97	(282 71)	140 12	1 04 %
CSX CORP 126408-10-3 CSX	28 77	843 000	24,253 11	18,273 95	5,979 16	505 80	2 09 %
CVS CAREMARK CORPORATION 126650-10-0 CVS	71 57	219.000	15,673 83	12,375 13	3,298 70	240 90	1 54 %
DISH NETWORK CORP CL A 25470M-10-9 DISH	57 92	395 000	22,878 40	14,771 66	8,106 74		
DOW CHEMICAL CO 260543-10-3 DOW	44 40	608 000	26,995 20	18,693 00	8,302 20	778 24 194 56	2 88 %
P **EATON CORP PLC** G29183-10-3 ETN	76 12	195 000	14,843 40	14,522 21	321.19	327 60	2.21 %
EBAY INC 278642-10-3 EBAY	54 87	612 000	33,577 38	33,329 24	248 14		
EMERSON ELECTRIC CO 291011-10-4 EMR	70 18	327.000	22,948 86	15,997 91	6,950 95	562 44	2 45 %
EOG RESOURCES INC 26875P-10-1 EOG	167 84	54 000	9,063 36	3,654 62	5,408 74	40 50	0 45 %
EXXON MOBIL CORP 30231G-10-2 XOM	101 20	480 000	48,576 00	23,558 37	25,017 63	1,209 60	2 49 %
FLUOR CORP 343412-10-2 FLR	80 29	610.000	48,976 90	34,354 46	14,622 44	390 40 97 60	0 80 %

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
FREEPORT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	37 74	905 000	34,154 70	30,387 58	3,767 12	1,131 25	3 31%
GENERAL MILLS INC 370334-10-4 GIS	49 91	374 000	18,666 34	10,715 43	7,950 91	568 48	3 05%
GENERAL MOTORS CO 37045V-10-0 GM	40 87	1,055 000	43,117 85	31,985 69	11,132 16		
GOOGLE INC CL A 38259P-50-8 GOOG	1,120 71	70 000	78,449 70	48,208 31	30,241 39		
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	36 23	494 000	17,897 62	14,913 27	2,984 35	296 40 74 10	1 66%
HOME DEPOT INC 437076-10-2 HD	82 34	524.000	43,146 16	25,610 05	17,536 11	817 44	1 89%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	91 37	674 000	61,583 38	38,109 99	23,473 39	1,213 20	1 97%
HUMANA INC 444859-10-2 HUM	103 22	200 000	20,644 00	15,064 58	5,579 42	216 00 54 00	1 05%
INTERCONTINENTALEXCHANGE GROUP, INC 45866F-10-4 ICE	224 92	127 000	28,564 84	20,547 12	8,017.72	330 20	1 16%
INVESCO LTD G491BT-10-8 IVZ	36 40	235 000	8,554 00	4,301 83	4,252 17	211 50	2 47%
JOHNSON & JOHNSON 478160-10-4 JNJ	91 59	1,012 000	92,689 08	78,639 51	14,049 57	2,671 68	2 88%
JOHNSON CONTROLS INC 478366-10-7 JCI	51 30	499 000	25,598 70	8,751.62	16,847 08	439 12 109 78	1 72%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
JPM INTREPID GROWTH FD - SEL FUND 1202 4812A2-20-7 JPGS X	34 90	5,258 686	183,528 14	180,110 00	3,418 14	1,267 34	0 69 %
KLA-TENCOR CORP 482480-10-0 KLAC	64 46	133 000	8,573 18	8,144 00	429 18	239 40	2 79 %
LAM RESEARCH CORP 512807-10-8 LRCX	54 45	379 000	20,636 55	15,980 03	4,656 52		
LOWES COMPANIES INC 548661-10-7 LOW	49 55	848 000	42,018 40	17,698 64	24,319 76	610 56	1 45 %
MARATHON OIL CORP 565849-10-6 MRO	35 30	502.000	17,720 60	18,151 04	(430 44)	381 52	2 15 %
MARATHON PETROLEUM CORPORATION 56585A-10-2 MPC	91 73	95 000	8,714 35	8,272 99	441 36	159 60	1 83 %
MARSH & MCLENNAN COMPANIES INC 571748-10-2 MMC	48 36	306 000	14,798 16	13,407 08	1,391 08	306 00	2 07 %
MASCO CORP 574599-10-6 MAS	22 77	1,255 000	28,576 35	19,020 58	9,555 77	376 50	1 32 %
MASTERCARD INC - CLASS A 57636Q-10-4 MA	835 46	53 000	44,279 38	16,853 71	27,425 67	233 20	0 53 %
MCKESSON CORP 58155Q-10-3 MCK	161 40	128 000	20,659 20	20,681 55	(22 35)	122 88 / 30 72	0 59 %
MERCK AND CO INC 58933Y-10-5 MRK	50 05	392 000	19,619 60	11,722 82	7,896.78	689 92 / 172 48	3 52 %
METLIFE INC 59156R-10-8 MET	53 92	911.000	49,121 12	31,316 53	17,804 59	1,002 10	2 04 %
METTLER TOLEDO INTERNATIONAL INC 592688-10-5 MTD	242 59	59 000	14,312 81	12,354 94	1,957 87		

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
MICROSOFT CORP 594918-10-4 MSFT	37 41	2,114 000	79,084 74	43,870 66	35,214 08	2,367 68	2 99%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	35 30	631 000	22,274 30	14,134 80	8,139 50	353 36 88 34	1 59%
MONSANTO CO 61166W-10-1 MON	116 55	248.000	28,904 40	26,745 95	2,158.45	426 56	1 48%
MORGAN STANLEY 617446-44-8 MS	31 36	1,175 000	36,848 00	25,921 53	10,926 47	235 00	0 64%
NEXTERA ENERGY INC 65339F-10-1 NEE	85 62	291.000	24,915 42	22,969 28	1,946 14	768 24	3 08%
NISOURCE INC 65473P-10-5 NI	32 88	493 000	16,209 84	13,971 26	2,238.58	493 00	3 04%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	95 10	371 000	35,282 10	22,918 67	12,363 43	949 76 279 68	2 69%
ORACLE CORP 68389X-10-5 ORCL	38 26	1,096 000	41,932 96	25,800 62	16,132 34	526 08	1 25%
PACCAR INC 693718-10-8 PCAR	59 17	601.000	35,561 17	17,955 89	17,605 28	480 80 540 90	1 35%
PENTAIR LTD SHS H6169Q-10-8 PNR	77 67	204 000	15,844 68	8,205 71	7,638 97	204 00	1 29%
PEPSICO INC 713448-10-8 PEP	82 94	300 000	24,882 00	22,083 97	2,798 03	681 00 170 25	2 74%
PERRIGO CO LTD G97822-10-3 PRGO	153 46	156 000	23,939 76	23,743 98	195.78		
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	87 13	290.000	25,267 70	24,044 30	1,223 40	1,090 40 272 60	4 32%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
PHILLIPS 66 718546-10-4 PSX	77 13	466 000	35,942 58	25,162 65	10,779 93	726 96	2 02%
PRICELINE.COM INC 741503-40-3 PCLN	1,162 40	18 000	20,923 20	12,541 07	8,382 13		
PROCTER & GAMBLE CO 742718-10-9 PG	81 41	440.000	35,820 40	25,846 89	9,973 51	1,058 64	2 96%
QEP RESOURCES INC 74733V-10-0 QEP	30 65	452 000	13,853 80	14,713 13	(859 33)	36 16	0 26%
QUALCOMM INC 747525-10-3 QCOM	74 25	589 000	43,733 25	27,615 99	16,117 26	824 60	1 89%
SCHLUMBERGER LTD 806857-10-8 SLB	90 11	742 000	66,861 62	61,963 08	4,898 54	927 50 167 19	1 39%
SG REN SPX 10/16/14 2 XLEV- 8%CAP- 16%MAXPYMT INITIAL LEVEL-09/27/13 SPX 1691 75 83368W-EU-1	106 73	225,000 000	240,142 50	222,750 00	17,392 50		
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	184 69	3,430 000	633,486 70	579,623 80	53,862 90	11,493 93	1 81%
STATE STREET CORP 857477-10-3 STT	73 39	317 000	23,264 63	18,769 89	4,494 74	329 68 82 42	1 42%
TIME WARNER INC NEW 887317-30-3 TWX	69 72	1,133 000	78,992 76	27,047 77	51,944 99	1,302 95	1 65%
TIME WARNER CABLE INC 88732J-20-7 TWC	135 50	112 000	15,176 00	12,700 93	2,475 07	291 20	1 92%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	75 30	614 000	46,234 20	31,123 78	15,110 42	687 68	1 49%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div	Yield
US Large Cap Equity							
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	113 80	684 000	77,839 20	46,667 31	31,171 89	1,614 24	2 07 %
UNITED CONTINENTAL HOLDINGS INC. 910047-10-9 UAL	37 83	354 000	13,391 82	13,637 13	(245 31)		
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	49 14	428 000	21,031 92	11,356 46	9,675 46	907 36	4 31 %
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	74 30	131 000	9,733 30	3,573 75	6,159 55		
WALT DISNEY CO 254687-10-6 DIS	76 40	358 000	27,351 20	23,805 99	3,545 21	307 88 307.88	1 13 %
WELLS FARGO & CO 949746-10-1 WFC	45 40	1,584 000	71,913 60	37,570 40	34,343 20	1,900 80	2 64 %
WILLIAMS COMPANIES INC 969457-10-0 WMB	38 57	589 000	22,717 73	17,017 17	5,700 56	895 28	3 94 %
YUM BRANDS INC 988498-10-1 YUM	75 61	428 000	32,361 08	13,197 90	19,163 18	633 44	1 96 %
Total US Large Cap Equity			**$3,925,065.35**	**$2,883,936.28**	**$1,041,129.07**	**$59,172.07** **$3,255.91**	**1.51 %**
US Mid Cap Equity							
ISHARES RUSSELL MIDCAP INDEX FUND 464287-49-9 IWR	149 98	3,736 000	560,325 28	334,629 78	225,695 50	7,363 65	1 31 %
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	133 81	2,320 000	310,439 20	184,456 93	125,982 27	4,008 96	1 29 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Mid Cap Equity							
JPM MID CAP EQUITY FD - SEL FUND 689 4812A1-26-6 VSNG X	41 72	9,634 317	401,943 71	215,581 24	186,362 47	1,580 02	0 39%
PRINCIPAL MIDCAP FUND-INS MIDCP GROWTH I 74253Q-74-7 PCBI X	20 49	7,383 813	151,294 33	130,915 00	20,379 33	369 19	0 24%
Total US Mid Cap Equity			**$1,424,002.52**	**$865,582.95**	**$558,419.57**	**$13,321.82**	**0.93%**
EAFE Equity							
ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	36 77	23,993.433	882,238 53	608,941.76	273,296.77	22,145 93	2 51%
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	43 04	10,936 338	470,699 99	464,685 00	6,014 99	7,600 75	1 61%
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	67 10	8,370 000	561,585 15	525,319.43	36,265 72	14,254 11	2 54%
OAKMARK INTERNATIONAL FD-I 413838-20-2 OAKI X	26 32	18,579 595	489,014 94	422,500 00	66,514 94	8,100 70	1 66%
Total EAFE Equity			**$2,403,538.61**	**$2,021,446.19**	**$382,092.42**	**$52,101.49**	**2.17%**

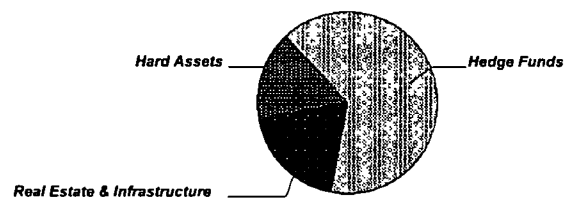
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
European Large Cap Equity							
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7 6%CPN ,UNCAPPED INITIAL LEVEL-09/14/12 SX5E 2594 56 2515A1-LR-0	120 09	175,000 000	210,150 50	172,812 50	37,338 00		
Asia ex-Japan Equity							
MATTHEWS ASIA DIVIDEND-INS 577130-75-0 MIPI X	15 59	15,637 146	243,783 11	239,750 00	4,033 11	9,757 57	4 00 %
T ROWE PRICE NEW ASIA 77956H-50-0 PRAS X	16 01	43,000 444	688,437.11	543,360 15	145,076.96	6,450 06	0 94 %
Total Asia ex-Japan Equity			**$932,220.22**	**$783,110.15**	**$149,110.07**	**$16,207.63**	**1.74 %**
Emerging Market Equity							
ISHARES MSCI EMERGING MARKET INDEX 464287-23-4 EEM	41 80	13,422 000	560,972 49	553,418 88	7,553 61	11,516 07	2 05 %
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	21 24	8,998 475	191,127 61	177,000 00	14,127 61	953 83	0 50 %
OPPENHEIMER DEVELOPING MKT-Y 683974-50-5 ODVY X	37 56	2,578 058	96,831 86	90,000 00	6,831 86	420 22	0 43 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
Emerging Market Equity							
VIRTUS EMERGING MKTS OPPOR-I 92828T-88-9 HIEM X	9 55	36,535 902	348,917 86	354,763 61	(5,845 75)	3,836 26	1 10 %
Total Emerging Market Equity			**$1,197,849.82**	**$1,175,182.49**	**$22,667.33**	**$16,726.38**	**1.40 %**

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	2,564,452 00	3,085,269 33	520,817 33	17%
Real Estate & Infrastructure	500,030 84	893,800 64	393,769 80	5%
Hard Assets	463,469 10	723,894 70	260,425 60	4%
Total Value	**$3,527,951.94**	**$4,702,964.67**	**$1,175,012.73**	**26%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 26 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
BLACKSTONE PARTNERS OFFSHORE FUND LTD CLASS H2 - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 092911-96-5	1,319 37 11/29/13	434 200	572,870 45	500,000 00

Hedge Funds

	Price	Quantity	Estimated Value	Cost
GATEWAY FUND-Y 367829-88-4 GTEY X	28 99	9,398 888	272,473 76	270,500 00
GOLDMAN SACHS TR STRG INCM INST 38145C-64-6 GSZI X	10 66	42,580 340	453,906 42	450,500.00
JPM ACCESS MULTI-STRATEGY FUND II RIC ENDOWMENTS & FOUNDATIONS & OTHER - AUTOMATIC REINVESTMENT N/O Client HFGAPB-WF-1	17 10 11/29/13	68,280 473	1,167,645 80	1,036,889 60
OCH-ZIFF OZOFII PRIVATE INVESTORS OFFSHORE LTD CLASS G PRIME - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS N/O Client 986903-92-0	161 10 11/29/13	3,838 371	618,372 90	500,000 00
Total Hedge Funds			**$3,085,269.33**	**$2,757,889.60**

	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est Annual Inc / Accrued Div	Yield
Real Estate & Infrastructure						
ISHARES COHEN & STEERS REALTY MAJORS INDEX FUND 464287-56-4 ICF	11,962 00	847,363 06		893,800 64	30,443 29	3 41 %

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value" Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement For additional information, please contact your J P Morgan representative

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market" Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e , "marked-to-market") However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund)

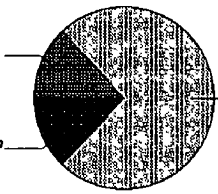
	Price	Quantity	Estimated Value	Cost	Est Annual Income / Accrued Income
Hard Assets					
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10 67	24,081 921	256,954 10	255,750 00	818 78
POWERSHARES DB COMMODITY INDEX TRACKING FUND 73935S-10-5 DBC	25 66	11,410 000	292,780.60	258,250 12	

	Price	Quantity	Estimated Value	Cost	Est Annual Income / Accrued Income
Hard Assets					
SG BRENT CBEN 3/24/14 LNKD TO CO1 80%BARRIER, 10 45% CPN,10 45% MAXRTN 3/8/13, INITIAL STRIKE 110 85 78423E-GB-4	108 85	160,000 000	174,160 00	158,400 00	
Total Hard Assets			**$723,894 70**	**$672,400.12**	**$818.78**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	1,557,954 56	426,731 91	(1,131,222 65)	2%
US Fixed Income	3,640,818 42	2,195,397 25	(1,445,421 17)	12%
Foreign Exchange & Non-USD Fixed Income	341,851 90	341,301.05	(550 85)	2%
Total Value	**$5,540,624.88**	**$2,963,430.21**	**($2,577,194.67)**	**16%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 16 %

Market Value/Cost	Current Period Value
Market Value	2,963,430 21
Tax Cost	2,838,009 17
Unrealized Gain/Loss	125,421 04
Estimated Annual Income	80,558 80
Accrued Interest	3,238 98
Yield	2 71 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	2,963,430 21	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	426,731 91	14%
International Bonds	180,458 55	6%
Mutual Funds	2,195,397 25	75%
Other	160,842 50	5%
Total Value	**$2,963,430.21**	**100%**

Note: O - Bonds purchased at a discount show accretion
[1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	428,945 54	428,945 54	428,945 54		128 68	0 03 % [1]
COST OF PENDING PURCHASES	1 00	(2,213 63)	(2,213 63)	(2,213 63)			
Total Cash			**$426,731.91**	**$426,731.91**	**$0.00**	**$128.68**	**0.03%**
US Fixed Income							
PAYDEN HIGH INCOME FUND 704329-57-2	7.05	12,523 12	88,288.00	87,160 92	1,127 08	5,209 61	5 90%
DOUBLELINE TOTAL RET BD-I 258620-10-3	10 78	31,247 59	336,849 03	350,597 97	(13,748.94)	17,404 90	5 17%
EATON VANCE FLOATING RATE-I 277911-49-1	9 19	49,234 40	452,464 11	351,391 37	101,072 74	17,675 14	3 91%
JPM STRAT INCOME OPPORT FD - SEL FUND 3844 4812A4-35-1	11 89	30,162 79	358,635 62	302,532 82	56,102 80	9,320 30 814 40	2 60%
JPM CORE BOND FD - SEL FUND 3720 4812C0-38-1	11 47	21,214 71	243,332 76	248,000 00	(4,667 24)	6,682 63 678 87	2 75%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	7 98	21,871 77	174,536 69	176,302 84	(1,766 15)	10,979 62 1,049 84	6 29%
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10 89	49,705 33	541,291 04	530,355 87	10,935 17	5,169 35 695 87	0 96%
Total US Fixed Income			**$2,195,397.25**	**$2,046,341.79**	**$149,055.46**	**$72,441.55** **$3,238.98**	**3.30%**
Foreign Exchange & Non-USD Fixed Income							
DREYFUS EMG MKT DEBT LOC C-I 261980-49-4	13 87	13,010 71	180,458 55	190,610 05	(10,151.50)	7,988 57	4 43%
O **DB PIMS 90%PPN 02/14/14** LNKED TO PLN,IDR,MXN & KRW VS USD 1 805XLEV, 180 5% MAXRTN 02/01/2013 25152R-BN-2	91 91	175,000 00	160,842 50	174,325 42 173,250 00	(13,482.92)		
Total Foreign Exchange & Non-USD Fixed Income			**$341,301.05**	**$364,935.47** **$363,860.05**	**($23,634.42)**	**$7,988.57**	**2.34%**