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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

Form **990-PF****2013**Department of the Treasury
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation KAUFMAN FOUNDATION		A Employer identification number 38-6091556
Number and street (or P O box number if mail is not delivered to street address) 4927 STARIHA DRIVE, SUITE A	Room/suite	B Telephone number (see instructions) 231-798-7500
City or town, state or province, country, and ZIP or foreign postal code MUSKEGON MI 49441		C If exemption application is pending, check here ▶ <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,293,144	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	25,000			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	575	8	575	
4	Dividends and interest from securities	16,468	16,468	16,468	
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	68,845			
b	Gross sales price for all assets on line 6a	601,954			
7	Capital gain net income (from Part IV, line 2)		68,845		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) STMT 1	5,869	5,869	5,869	
12	Total: Add lines 1 through 11	116,757	91,190	22,912	
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule) STMT 2	6,118	6,118	6,118	
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 3	34	34	34	
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att sch) STMT 4	1,946	1,946	1,946	
24	Total operating and administrative expenses. Add lines 13 through 23	8,098	8,098	8,098	0
25	Contributions, gifts, grants paid	162,331			162,331
26	Total expenses and disbursements. Add lines 24 and 25	170,429	8,098	8,098	162,331
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-53,672			
b	Net investment income (if negative, enter -0-)		83,092		
c	Adjusted net income (if negative, enter -0-)			14,814	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

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79

SCANNED FEB 24 2014

Revenue

Operating and Administrative Expenses

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	100,185	86,109	86,109
	3 Accounts receivable ▶ 314			
	Less allowance for doubtful accounts ▶	314	314	314
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att schedule) ▶			
	Less allowance for doubtful accounts ▶ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) SEE STMT 5	1,151,830	1,198,809	1,198,809
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶			
Liabilities	Less accumulated depreciation (attach sch) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach sch) ▶			
	15 Other assets (describe ▶ SEE STATEMENT 6)	6,272	7,912	7,912
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	1,258,601	1,293,144	1,293,144
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	1,258,601	1,293,144	
Part III Analysis of Changes in Net Assets or Fund Balances	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	1,258,601	1,293,144	
	31 Total liabilities and net assets/fund balances (see instructions)	1,258,601	1,293,144	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,258,601
2 Enter amount from Part I, line 27a	2	-53,672
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	88,215
4 Add lines 1, 2, and 3	4	1,293,144
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,293,144

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE WORKSHEET				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 10px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	68,845
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-2,256

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	140,076	1,232,670	0.113636
2011	156,262	1,314,792	0.118849
2010	142,101	1,630,787	0.087136
2009	157,685	1,874,333	0.084129
2008	115,726	2,836,504	0.040799

2 Total of line 1, column (d)	2	0.444549
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.088910
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,256,735
5 Multiply line 4 by line 3	5	111,736
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	831
7 Add lines 5 and 6	7	112,567
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	162,331

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	831
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	831
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	831
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	846
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	846
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	15
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 15 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► RICHARD F. KAUFMAN 4927 STARIHA DRIVE, SUITE A Located at ► MUSKEGON MI ZIP+4 ► 49441 Telephone no ► 231-798-7500			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		► <input type="checkbox"/>
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes <input type="checkbox"/>	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD KAUFMAN 4927 STARIHA DRIVE, SUITE A MI 49441	MUSKEGON TRUSTEE 0.00	0	0	0
SYLVIA KAUFMAN 4927 STARIHA DRIVE, SUITE A MI 49441	MUSKEGON TRUSTEE 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013) **KAUFMAN FOUNDATION****38-6091556**Page **7****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Form **990-PF** (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,175,320
b	Average of monthly cash balances	1b	93,147
c	Fair market value of all other assets (see instructions)	1c	7,406
d	Total (add lines 1a, b, and c)	1d	1,275,873
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,275,873
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	19,138
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,256,735
6	Minimum investment return. Enter 5% of line 5	6	62,837

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	62,837
2a	Tax on investment income for 2013 from Part VI, line 5	2a	831
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	831
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	62,006
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	62,006
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	62,006

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	162,331
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	162,331
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	831
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	161,500

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				62,006
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				64,228
c From 2010				60,562
d From 2011				92,428
e From 2012				79,150
f Total of lines 3a through e	296,368			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 162,331				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				62,006
e Remaining amount distributed out of corpus	100,325			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	396,693			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	396,693			
10 Analysis of line 9				
a Excess from 2009				64,228
b Excess from 2010				60,562
c Excess from 2011				92,428
d Excess from 2012				79,150
e Excess from 2013				100,325

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
RICHARD OR SYLVIA KAUFMAN 231-798-7500
4927 STARIHA DRIVE, SUITE A MUSKEGON MI 49441

b The form in which applications should be submitted and information and materials they should include
BY LETTER

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 8				162,331
Total			▶ 3a	162,331
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						575
4 Dividends and interest from securities						16,468
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						5,869
8 Gain or (loss) from sales of assets other than inventory						68,845
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			0		0	91,757
13 Total. Add line 12, columns (b), (d), and (e)						91,757

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash
- (2) Other assets
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes

Signature of officer or trustee

Date _____

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

PETER E. GENEVA

Firm's name ▶	CONN GENEVA & ROBINSON
Firm's address ▶	4927 STARIHA DR SUITE A MUSKEGON, MI 49441-5559

PTIN P00320911

Firm's EIN ▶ 38-3012028

Phone no **231-798-7500**

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

KAUFMAN FOUNDATION**38-6091556**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

KAUFMAN FOUNDATION

Employer identification number

38-6091556**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AMSTORE CORPORATION 3951 TRADE DRIVE, SE GRAND RAPIDS MI 49508	\$ 25,000	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Capital Gains and Losses for Tax on Investment Income

Form **990-PF****2013**

For calendar year 2013, or tax year beginning

, and ending

Name

Employer Identification Number

KAUFMAN FOUNDATION**38-6091556**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) 41.012 SHS ABERDEEN ASIA PAC	P	VARIOUS	01/04/13
(2) 39.521 SHS ABERDEEN ASIA PAC	P	VARIOUS	01/04/13
(3) 10000.000 SHS DB 95% PPN FX BASKET	P	VARIOUS	02/01/13
(4) 456.128 SHS JPM EM MKTS EQUITY	P	VARIOUS	02/13/13
(5) 757.260 SHS JPM LARGE CAP GROWTH	P	VARIOUS	02/13/13
(6) 1508.751 SHS LEGG MASON PARTNERS	P	VARIOUS	02/13/13
(7) 15000.000 SHS HSBC MARKET PLUS	P	VARIOUS	02/19/13
(8) 18.000 SHS HSBC HOLDINGS PLC	P	VARIOUS	03/27/13
(9) 4.000 SHS NOVO-NORDISK	P	VARIOUS	03/27/13
(10) 1.000 SHS NOVO-NORDISK	P	VARIOUS	03/27/13
(11) 4.000 SHS NOVO-NORDISK	P	VARIOUS	03/28/13
(12) 15000.000 SHS CS CONT BUFF EQ	P	VARIOUS	05/01/13
(13) 2896.871 SHS AMERICAN CENTURY EQ	P	VARIOUS	05/02/13
(14) 2378.307 SHS HIGHBRIDGE DYNAMIC	P	VARIOUS	05/03/13
(15) 135.510 SHS ABERDEEN ASIA PAC	P	VARIOUS	05/31/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 470		408	62
(2) 479		494	-15
(3) 9,500		10,132	-632
(4) 10,938		10,961	-23
(5) 19,015		16,001	3,014
(6) 25,000		14,893	10,107
(7) 19,405		15,000	4,405
(8) 950		1,000	-50
(9) 647		462	185
(10) 162		116	46
(11) 646		462	184
(12) 17,250		15,000	2,250
(13) 25,000		16,449	8,551
(14) 30,419		52,097	-21,678
(15) 1,702		1,347	355

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			62
(2)			-15
(3)			-632
(4)			-23
(5)			3,014
(6)			10,107
(7)			4,405
(8)			-50
(9)			185
(10)			46
(11)			184
(12)			2,250
(13)			8,551
(14)			-21,678
(15)			355

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2013**

For calendar year 2013, or tax year beginning

, and ending

Name

Employer Identification Number

KAUFMAN FOUNDATION**38-6091556**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 111.642 SHS PIMCO EMERGING LOCAL BD	P	VARIOUS	06/18/13
(2) 70.547 SHS DREYFUS EMG MKT DEBT	P	VARIOUS	06/18/13
(3) 269.126 SHS JOHN HANCOCK II EMG	P	VARIOUS	06/18/13
(4) 10000.000 SHS BNP DELTA ONE MSCI	P	VARIOUS	06/14/13
(5) 15000.000 SHS DB DELTA ONE MSCI	P	VARIOUS	06/19/13
(6) 12000.000 SHS CS REN HSCEI	P	VARIOUS	07/09/13
(7) 10000.000 SHS BNP BREN EAFE	P	VARIOUS	07/17/13
(8) 1114.534 SHS JPM EX-G4 CURR	P	VARIOUS	07/23/13
(9) 3264.925 SHS JPM TR I INFL MGD BD	P	VARIOUS	07/25/13
(10) 1550.612 SHS EATON VANCE FLOAT RT	P	VARIOUS	07/25/13
(11) 167.568 SHS ABERDEEN ASIA PAC	P	VARIOUS	07/25/13
(12) 38.435 SHS T ROWE PRICE EMG MKT	P	VARIOUS	07/25/13
(13) 2217.233 SHS DELAWARE EMG MKT	P	VARIOUS	08/09/13
(14) 12000.000 SHS JPM BREN EAFE	P	VARIOUS	08/14/13
(15) 230.982 SHS PIMCO EMERGING MKTS	P	VARIOUS	08/21/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,122		1,196	-74
(2) 1,018		1,025	-7
(3) 2,691		2,557	134
(4) 12,600		10,000	2,600
(5) 19,483		15,000	4,483
(6) 8,778		12,000	-3,222
(7) 12,200		10,000	2,200
(8) 11,034		11,201	-167
(9) 34,118		35,000	-882
(10) 14,250		11,452	2,798
(11) 1,984		1,666	318
(12) 1,208		1,377	-169
(13) 32,882		33,059	-177
(14) 14,580		12,000	2,580
(15) 2,587		2,624	-37

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-74
(2)			-7
(3)			134
(4)			2,600
(5)			4,483
(6)			-3,222
(7)			2,200
(8)			-167
(9)			-882
(10)			2,798
(11)			318
(12)			-169
(13)			-177
(14)			2,580
(15)			-37

Capital Gains and Losses for Tax on Investment Income

Form **990-PF****2013**

For calendar year 2013, or tax year beginning

, and ending

Name

Employer Identification Number

KAUFMAN FOUNDATION**38-6091556**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) 12000.000 SHS BARC BREN ASIA	P	VARIOUS	08/21/13
(2) 20000.000 SHS BNP CONT BUFF	P	VARIOUS	08/28/13
(3) 37.000 SHS VODAFONE GROUP PLC	P	VARIOUS	09/04/13
(4) 875.516 SHS JPM EX-G4 CURR	P	VARIOUS	09/10/13
(5) 12000.000 SHS JPM BREN EAFE	P	VARIOUS	09/25/13
(6) 15.000 SHS YUM BRANDS INC	P	VARIOUS	10/09/13
(7) 12000.000 SHS JPM BREN EAFE	P	VARIOUS	10/23/13
(8) 1956.247 SHS JPM HIGH YIELD FD	P	VARIOUS	11/06/13
(9) 1898.532 SHS EATON VANCE FLOAT RT	P	VARIOUS	11/06/13
(10) 97.000 SHS SPDR S&P 500 ETF	P	VARIOUS	11/06/13
(11) 10000.000 SHS GS 95% PP CMIT	P	VARIOUS	11/18/13
(12) 1432.752 SHS JPM HIGH YIELD FD	P	VARIOUS	12/13/13
(13) 2844.276 SHS AMER CENT EQUITY	P	VARIOUS	12/13/13
(14) 1379.945 SHS DOUBLELINE TOTAL RET BD	P	VARIOUS	12/13/13
(15) 1700.680 SHS MANNING & NAPIER WORLD	P	VARIOUS	12/13/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 13,680		12,000	1,680
(2) 23,000		20,000	3,000
(3) 1,192		992	200
(4) 8,554		8,799	-245
(5) 14,460		12,000	2,460
(6) 988		966	22
(7) 14,148		12,000	2,148
(8) 16,080		15,342	738
(9) 17,429		13,453	3,976
(10) 17,185		15,647	1,538
(11) 9,500		10,489	-989
(12) 11,462		11,140	322
(13) 23,863		15,843	8,020
(14) 15,000		15,745	-745
(15) 15,000		9,830	5,170

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			1,680
(2)			3,000
(3)			200
(4)			-245
(5)			2,460
(6)			22
(7)			2,148
(8)			738
(9)			3,976
(10)			1,538
(11)			-989
(12)			322
(13)			8,020
(14)			-745
(15)			5,170

Capital Gains and Losses for Tax on Investment Income

Form **990-PF****2013**

For calendar year 2013, or tax year beginning

, and ending

Name

Employer Identification Number

KAUFMAN FOUNDATION**38-6091556**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) 393.700 SHS T ROWE PRICE NEW ASIA	P	VARIOUS	12/13/13
(2) 194.000 SHS ISHARES RUSSELL MIDCAP	P	VARIOUS	12/13/13
(3) 37.888 SHS JPM HIGH YIELD FD	P	VARIOUS	12/16/13
(4) 171.648 SHS PIMCO EMERGING MKTS	P	VARIOUS	12/16/13
(5) 110.644 SHS JPM EMERG MKRT EQ	P	VARIOUS	12/20/13
(6) 189.630 SHS JPM LATIN AMERICA	P	VARIOUS	12/20/13
(7) 260.071 SHS DREYFUS EMG MKT	P	VARIOUS	12/20/13
(8) 276.674 SHS T ROWE PRICE EMG MKT	P	VARIOUS	12/20/13
(9) CAPITAL GAIN DISTRIBUTIONS			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 6,476		6,709	-233
(2) 28,052		14,594	13,458
(3) 303		285	18
(4) 1,940		1,948	-8
(5) 2,474		2,763	-289
(6) 3,292		4,108	-816
(7) 3,628		3,852	-224
(8) 8,787		9,625	-838
(9) 13,343			13,343
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-233
(2)			13,458
(3)			18
(4)			-8
(5)			-289
(6)			-816
(7)			-224
(8)			-838
(9)			13,343
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Federal Statements

2/6/2014 3:14 PM

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	\$ 5,869	\$ 5,869	\$ 5,869
TOTAL	\$ 5,869	\$ 5,869	\$ 5,869

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGER FEES	\$ 2,718	\$ 2,718	\$ 2,718	\$
LEGAL AND PROFESSIONAL FEES	3,400	3,400	3,400	
TOTAL	\$ 6,118	\$ 6,118	\$ 6,118	\$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAX PAID	\$ 34	\$ 34	\$ 34	\$
TOTAL	\$ 34	\$ 34	\$ 34	\$ 0

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES				
BANK CHARGES	946	946	946	
MISCELLANEOUS EXPENSE	1,000	1,000	1,000	
TOTAL	\$ 1,946	\$ 1,946	\$ 1,946	\$ 0

Federal Statements

2/6/2014 3:14 PM

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORP STK - JP MORGAN - 3009	\$ 1,019,201	\$ 1,044,136	MARKET	\$ 1,044,136
CORP STK - JP MORGAN - 8002	99,404	98,725	MARKET	98,725
CORP STK - JP MORGAN - 6004	33,225	55,948	MARKET	55,948
TOTAL	\$ 1,151,830	\$ 1,198,809		\$ 1,198,809

Federal Statements**Statement 6 - Form 990-PF, Part II, Line 15 - Other Assets**

Description	Beginning of Year	End of Year	Fair Market Value
OTHER INV - OAKMARK	\$ 2,965	\$ 4,048	\$ 4,048
OTHER INV - HARBOR FUND	3,307	3,864	3,864
TOTAL	<u>\$ 6,272</u>	<u>\$ 7,912</u>	<u>\$ 7,912</u>

Statement 7 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
UNREALIZED GAINS AND LOSSES	\$ 88,215
TOTAL	<u>\$ 88,215</u>

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000

Name of Manager	Amount
NONE	\$
TOTAL	<u>\$ 0</u>

Form 990-PF, Part XV, Line 1b - Managers Who Own 10% or More Stock

Name of Manager	Amount
NONE	\$
TOTAL	<u>\$ 0</u>

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
BY LETTER

1872 KAUFMAN FOUNDATION
38-6091556
FYE: 12/31/2013

Federal Statements

2/6/2014 3:14 PM

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name	Address	Relationship	Status	Purpose	Amount
ALZHEIMERS DRUG FOUNDATION NEW YORK NY 10019	57 WEST 57TH STREET NOT APPLICABLE PUBLIC			RESEARCH	400
AMERICAN HEART ASSOCIATION DALLAS TX 75231	7272 GREENVILLE AVE NOT APPLICABLE PUBLIC			GENERAL FUND	50
AMHERST COLLEGE AMHERST MA 01002-5000	P.O. BOX 5000 NOT APPLICABLE PUBLIC			ALUMNI & PARENTS' FUND	1,000
ANDERSON RANCH ARTS CTR SNOWMASS VILLAGE CO 81615	5263 OWL CREEK ROAD NOT APPLICABLE PUBLIC			COUNCIL / ANNUAL RECOGNITION	4,500
ANTI-DEFAMATION LEAGUE NEW YORK NY 10158-3560	605 THIRD AVENUE NOT APPLICABLE PUBLIC			WORKSHOPS / TRAINING	100
ART CRUSH ASPEN CO 81611	590 NORTH MILL STREET NOT APPLICABLE PUBLIC			ART PROJECTS	2,250
ARTS MIDWEST MINNEAPOLIS MN 55408	2908 HENNEPIN, SUITE 200 NOT APPLICABLE PUBLIC			LEADERSHIP FUND	4,700
ASPEN INSTITUTE WASHINGTON DC 20036	ONE DUPONT CIRCLE NW NOT APPLICABLE PUBLIC			SOCIETY OF FELLOWS/SEMINAR	11,250
ASPEN MOUNTAIN RESCUE ASPEN CO 81611	630 W. MAIN STREET NOT APPLICABLE PUBLIC			GENERAL FUND	100
ASPEN MUSIC FESTIVAL ASPEN CO 81611	2 MUSIC SCHOOL ROAD NOT APPLICABLE PUBLIC			ANNUAL FUND	19,000
ASPEN VALLEY HOSPITAL ASPEN CO 81611	0401 CASTLE CREEK RD NOT APPLICABLE PUBLIC			FOUNDATION	5,000
BREAST CANCER RESEARCH NEW YORK NY 10022	60 EAST 56TH STREET NOT APPLICABLE PUBLIC			GENERAL FUND	5,000
CATHOLIC THEOLOGICAL UNION CHICAGO IL 60615	5401 S. CORNELL AVE NOT APPLICABLE PUBLIC			RELIGIOUS EDUCATION	100
CHABAD HOUSE OF CHARLOTTESVILLE CHARLOTTESVILLE VA 22903	2014 LEWIS MOUNTAIN ROAD NOT APPLICABLE PUBLIC			RELIGION / OUTREACH	500
CHICAGO COUNCIL ON GLOBAL AFFAIRS CHICAGO IL 60604	332 SOUTH MICHIGAN AVENUE NOT APPLICABLE PUBLIC			GLOBAL POLICY / RESEARCH	1,000
CHICAGO SINAI CONGRTN. CHICAGO IL 60610	15 WEST DELAWARE PLACE NOT APPLICABLE PUBLIC			ANNUAL CONTRIBUTIONS	2,500
CONGREGATION B'NAI ISRAEL MUSKEGON MI 49440	391 W. WEBSTER NOT APPLICABLE PUBLIC			RELIGION / GENERAL FUND	816

1872 KAUFMAN FOUNDATION
38-6091556
FYE: 12/31/2013

2/6/2014 3:14 PM

Federal Statements

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name	Address	Relationship	Status	Purpose	Amount
CONGREGATION BETH SHALOM ANCHORAGE AK 99504	7525 E. NORTHERN LIGHTS NOT APPLICABLE PUBLIC			RELIGION / GENERAL FUND	1,365
CULVER ACADEMIES CULVER IN 46511-1291	1300 ACADEMY ROAD NOT APPLICABLE PUBLIC			CULVER FUND	100
ELLIS SCHOOL PITTSBURGH PA 15206	6425 FIFTH AVENUE NOT APPLICABLE PUBLIC			EDUCATIONAL	100
FOREST CONSERVANCY CARBONDALE CO 81623	1012 BROOKIE DRIVE NOT APPLICABLE PUBLIC			JOANNE LYON FUND	100
GVSU JOHNSON CENTER GRAND RAPIDS MI 49504	201 FRONT AVENUE SW NOT APPLICABLE PUBLIC			SUMMIT ON FAMILY PHILANTHROPY	1,500
GVSU KAUFMAN INSTITUTE ALLENDALE MI 49401-9403	1 CAMPUS DRIVE NOT APPLICABLE PUBLIC			EDUCATIONAL	50,000
HARVARD BUSINESS SCHOOL CAMBRIDGE MA 02138	124 MOUNT AUBURN STREET NOT APPLICABLE PUBLIC			CAPITAL FUND	5,000
JAZZ ASPEN SNOWMASS ASPEN CO 81611	110 EAST HALLAM NOT APPLICABLE PUBLIC			JAZZ EDUCATION PROGRAMS	350
JEWISH CONGREGATION OF ASPEN ASPEN CO 81611	77 MEADOWWOOD DRIVE NOT APPLICABLE PUBLIC			BUILDING FUND	2,000
MCA CHICAGO IL 60611	220 EAST CHICAGO AVENUE NOT APPLICABLE PUBLIC			CIRCLE PATRON	2,500
NORTHWESTERN UNIVERSITY EVANSTON IL 60208	2020 RIDGE AVENUE NOT APPLICABLE PUBLIC			COLLEGE OF ARTS & SCIENCES	250
RICHARD & CHARLOTTE COHEN SCH PITTSBURGH PA 15222	FIVE PPG PLACE NOT APPLICABLE PUBLIC	FD		MICHAEL RUBSAMEN FUND	1,000
RUSH UNIVERSITY MEDICAL CTR CHICAGO IL 60612	1700 W. VAN BUREN ST NOT APPLICABLE PUBLIC			JOINT REPLACEMENT FUND	2,000
SHALOM HARTMAN INSTITUTE NEW YORK NY 10119	ONE PENNSYLVANIA PLACE NOT APPLICABLE PUBLIC			RELIGION / GENERAL FUND	25,000
SINAI COMMUNITY CONCERNS CHICAGO IL 60608	2750 W 15TH PLACE NOT APPLICABLE PUBLIC			GENERAL FUND	100
SOCIETY OF CONTEMPORARY CHICAGO IL 60603	111 S MICHIGAN AVENUE NOT APPLICABLE PUBLIC			ART INSTITUTE	1,500
SPERTUS INSTITUTE CHICAGO IL 60605-1901	610 S. MICHIGAN AVENUE NOT APPLICABLE PUBLIC			PUBLIC PROGRAMS	150

Federal Statements

Statement 8 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name		Address		Relationship		Status	Purpose	Amount
Address								
STEADMAN CLINIC VAIL CO 81657		NOT APPLICABLE	181 WEST MEADOW DRIVE	PUBLIC		GENERAL FUND		100
TEMPLE BETH ISRAEL CHARLOTTEVILLE VA 22902		NOT APPLICABLE	301 E. JEFFERSON STREET	PUBLIC		GENERAL FUND		1,200
TEMPLE EMANUEL PITTSBURGH PA 15243		NOT APPLICABLE	1250 BOWER HILL ROAD	PUBLIC		ANNUAL CONTRIBUTION		2,000
THE USO WASHINGTON DC 20090-6322		NOT APPLICABLE	P.O. BOX 96322	PUBLIC		GENERAL FUND		50
UNIVERSITY OF CHICAGO DIV CHICAGO IL 60637		NOT APPLICABLE	1025 E. 58TH STREET	PUBLIC		MARTY CENTER		7,500
WTTW 11 CHICAGO IL 60625		NOT APPLICABLE	5400 NORTH ST LOUIS AVE	PUBLIC		GENERAL FUND		100
YALE UNIVERSITY NEW HAVEN CT 06511		NOT APPLICABLE	38 HILLHOUSE AVENUE	PUBLIC		YALE ALUMNI FUND		100
TOTAL								<u>162,331</u>

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NOTICE - The annual report of the Kaufman Foundation for the year ended December 31, 2013, is available for inspection at its principal office at Conn Geneva & Robinson, 4927 Stariha Drive, Suite A, Muskegon, Michigan during regular business hours for any citizen who requests it within 180 days of this notice. RICHARD F. KAUFMAN, Custodian.