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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

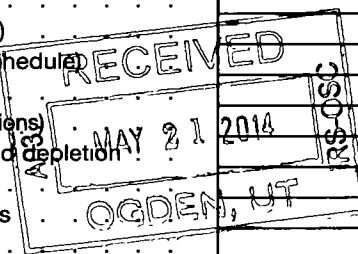
, 2013, and ending

, 20

Name of foundation Herman O. West Foundation		A Employer identification number 38-3674460
Number and street (or P O box number if mail is not delivered to street address) 530 Herman O. West Drive	Room/suite	B Telephone number (see instructions) 610-594-2905
City or town, state or province, country, and ZIP or foreign postal code Exton, PA 19341		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 4,630,935	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,000,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	59	59		
	4 Dividends and interest from securities	30,592	30,592		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,030,651	30,651			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	440			440
	23 Other expenses (attach schedule)	569			569
	24 Total operating and administrative expenses. Add lines 13 through 23	1,009			1,009
25 Contributions, gifts, grants paid	754,721			754,721	
26 Total expenses and disbursements. Add lines 24 and 25	755,730			755,730	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	274,921				
b Net investment income (if negative, enter -0-)		30,651			
c Adjusted net income (if negative, enter -0-)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	3,447,277	4,603,935	4,603,935
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
Liabilities		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,447,277	4,603,935	4,603,935
	17	Accounts payable and accrued expenses	1,606,869	587,210	
	18	Grants payable			
	19	Deferred revenue			
Net Assets or Fund Balances	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)			
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,840,408	4,016,725	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)			
	31	Total liabilities and net assets/fund balances (see instructions)	3,447,277	4,603,935	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,840,408
2	Enter amount from Part I, line 27a	2	274,921
3	Other increases not included in line 2 (itemize) ▶ Unrealized gains & West Without Borders	3	1,904,660
4	Add lines 1, 2, and 3	4	4,019,989
5	Decreases not included in line 2 (itemize) ▶ Honorariums & Excise Tax	5	3,264
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,016,725

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	<div style="display: inline-block; vertical-align: middle;"> <div style="display: inline-block; vertical-align: middle;">If gain, also enter in Part I, line 7</div> <div style="display: inline-block; vertical-align: middle;">If (loss), enter -0- in Part I, line 7</div> </div>		2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	788,216	0	0.0
2011	695,062	0	0.0
2010	669,661	0	0.0
2009	1,348,941	0	0.0
2008	430,051	0	0.0
2 Total of line 1, column (d)			2 0.0
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.0
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 0
5 Multiply line 4 by line 3			5 0
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 307
7 Add lines 5 and 6			7 307
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 755,730

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	307	00
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	307	00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	307	00
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	0	00
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0	00
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	307	00
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		✓
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	✓	
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	✓	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	✓	
14	The books are in care of ▶ West Pharmaceutical Services, Inc. Telephone no. ▶ 610-594-2905 Located at ▶ 530 Herman O. West Drive, Exton, PA ZIP+4 ▶ 19341-0065			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No ✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		✓
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** ☒Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Richard Luzzi 530 Herman O. West Dr., Exton, PA 19341	Trustee	0		
George Bennyhoff 272 Valley Road, Strafford, PA 19087	Chairman	0		
Paula A. Johnson, MD, MPH 530 Herman O. West Dr., Exton, PA 19341	Trustee	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	0
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	0
6	Minimum investment return. Enter 5% of line 5	6	0

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	0
2a	Tax on investment income for 2013 from Part VI, line 5	2a	307
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	307
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	(307)
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	(307)
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	(307)

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	755,730
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	755,730
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	307
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	755,423

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				(307)
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ _____				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus	755,730			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	755,730			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				(307)
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	755,730			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Herman O. West Foundation, 530 Herman O. West Drive, Exton, PA 19341

- b** The form in which applications should be submitted and information and materials they should include:

Sample Attached

- c** Any submission deadlines:

February 28th

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Scholarship recipients must be dependents of West Pharmaceutical Services, Inc. employees.

Part XV **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
United Way				242,617
Cultural				18,000
Community Service				255,500
Hospital / Health Care				108,426
Education				44,350
Scholarship Payments				59,880
Matching Gifts				25,948
Total			3a	754,721
b <i>Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					59
4	Dividends and interest from securities					30,592
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)					30,651
13	Total. Add line 12, columns (b), (d), and (e)					30,651

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | ✓ |
| (2) Other assets | 1a(2) | | ✓ |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | ✓ |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | ✓ |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | ✓ |
| (4) Reimbursement arrangements | 1b(4) | | ✓ |
| (5) Loans or loan guarantees | 1b(5) | | ✓ |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | ✓ |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | ✓ |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Richard J. Fuzz
Signature of officer or trustee

16 May 2014
Date

TRUSTEE
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN	
------	--

Firm's name ▶

Firm's EIN ►

Firm's address ►

Phone no

Schedule of Contributors

OMB No. 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

Herman O. West Foundation

Employer identification number

38-3674460

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Herman O. West Foundation	Employer identification number 38-3674460
--	---

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	West Pharmaceutical Services, Inc. 530 Herman O. West Drive Exton, PA 19341-0065	\$ 1,000,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

Herman O. West Foundation

38-3674460

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization Herman O. West Foundation	Employer identification number 38-3674460
--	---

Part III **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	

THE H. O. WEST FOUNDATION
DETAILED SCHEDULE OF MATCHING GIFTS TO EDUCATION
FROM January 1, 2013 THRU December 31, 2013

MATCHING GIFTS

Date	Institution	Name	Amount
1/2/2013	Harvard University	M Stearns	\$ 1,000 00
1/2/2013	Coker College (Men's Golf)	D Fuga	500 00
1/2/2013	Providence College	J. Boyle	500.00
1/2/2013	West Point Association of Graduates of the U	J. Boyle	500.00
1/8/2013	DeSales University	J Weiland	1,000 00
1/8/2013	Lutheran Theological Seminary	D Herbranson	100.00
1/8/2013	Virginia Tech Foundation	H Poff	150.00
1/8/2013	Mansfield University	T Meyer	200 00
1/16/2013	Catawba College	B. Nase	1,000 00
1/22/2013	University of Illinois Foundation	D Michels	1,000 00
1/31/2013	LaSalle University	J Abbott	250 00
2/5/2013	Westminster Theological Seminary	D. Herbranson	100 00
2/8/2013	Noble & Greenough School	P Johnson	1,000 00
2/18/2013	LaSalle College High School	J Abbott	500 00
2/27/2013	East Stroudsburg University Foundation	G Bennyhoff	200 00
2/27/2013	The Pennsylvania State University	S Pilotti	250 00
3/4/2013	St Genevieve School	J Abbott	250 00
3/6/2013	Ursinus College	D Freeston Smith	25 00
3/14/2013	University of Pennsylvania	S Heumann	100 00
3/14/2013	Delaware County Christian School	R Mueller	1,000 00
3/25/2013	Mansfield University	T Meyer	350 00
4/3/2013	Drexel University	S Heumann	75 00
4/10/2013	Watsonstown Christian Academy	B. Satteson	1,000.00
4/10/2013	Dartmouth College	R Simpson	1,000 00
5/8/2013	St Peter's University	R Readdy	50.00
5/22/2013	Berks Catholic High School	K Werley	100 00
5/22/2013	University of Wisconsin Oshkosh	L Rondeau	50 00
6/14/2013	Indiana University of Pennsylvania	D Taylor	25 00
6/18/2013	Fairleigh Dickinson University	P Zenner	1,000 00
6/21/2013	Grove City College	E Jones	500 00
7/3/2013	Hillsdale College	E Jones	500 00
8/27/2013	University of Nebraska Foundation	D Remter	25 00
9/19/2013	Penn State	D Freeston Smith	25 00
9/30/2013	Cambridge School of Weston	L Robert Johnson	1,000 00
10/23/2013	Immaculata University	S. Morns	100 00
10/28/2013	Ursinus College	S. Ruoff	60.00
10/28/2013	The Williamson School	H Brown	600 00
11/19/2013	Concordia University	J Nikkila	500 00
11/20/2013	East Stroudsburg University Foundation	G Bennyhoff	200 00
12/4/2013	Seton Hall University	M Sullivan	100 00
12/4/2013	Colgate University	S Heumann	100 00
12/4/2013	Franklin & Marshall College	S Heumann	100 00
12/13/2013	Williams College	S Heumann	100 00
12/20/2013	Coker College (Men's Golf)	D Fuga	500 00

\$ 17,685.00

Per M Goebel Sch \$ 17,685 00

Variance -

Unexplained Variance -

THE H. O. WEST FOUNDATION
DETAILED SCHEDULE OF MATCHING GIFTS TO NON-PROFITS
FROM January 1, 2013 THRU December 31, 2013

MATCHING GIFTS

Date	Institution	Name	Amount
1/22/2013	Action to Cure Kidney Cancer	L Rondeau	\$ 35 00
1/22/2013	American Red Cross - SE PA Chapter	M Goebel	80 00
1/22/2013	Green Valleys Association	R Metz	100 00
1/22/2013	Bayard Taylor Memorial Library	S Young	50 00
1/22/2013	Humane Society of Branch County	S Brown	500 00
1/8/2013	Pet Pal Animal Shelter	E Julien	50 00
1/8/2013	James V Brown Library	H Poff	50 00
1/8/2013	Hillside SPCA	D Weaver	50 00
1/8/2013	Susquehanna Health Foundation	H Poff	75 00
1/16/2013	Lycoming County Historical Society	H Poff	50 00
1/16/2013	American Red Cross - SE PA Chapter	M Launer	100 00
1/16/2013	American Red Cross	C Lace	50 00
1/16/2013	American Red Cross - SE PA Chapter	T Johnson	206 00
1/22/2013	Catholic Social Services-Montgomery County	J Abbott	200 00
1/28/2013	Lycoming Animal Protection Society	D Weaver	100 00
1/31/2013	American Heart Association	H Poff	50 00
2/5/2013	Wounded Warrior Project	H Poff	100 00
2/5/2013	American Red Cross	H Poff	175 00
2/21/2013	Phoenixville Public Library Foundation	M Goebel	50 00
2/27/2013	Leukemia & Lymphoma Society	J Racti	200 00
2/27/2013	American Heart Association	L Jameson	25 00
3/4/2013	Chester County SPCA	M Goebel	50 00
3/4/2013	Operation FOCUS (PCEF)	C Mooney	50 00
3/4/2013	Marshall County Animal Shelter	S Young	25 00
3/8/2013	Delaware Valley Golden Retriever Rescue	D Dunne	500 00
3/14/2013	Boys & Girls Club of Clinton County	A Schoonveld	200 00
4/3/2013	Summer Search Philadelphia	G Wilson	300 00
4/3/2013	The Leukemia & Lymphoma Society	C Lace	50 00
4/3/2013	Susan G Komen Philadelphia	K Manorek	25 00
4/3/2013	Susan G Komen Philadelphia	J Arters	150 00
4/10/2013	Lycoming County SPCA	D Weaver	100 00
4/23/2013	Defenders of Wildlife	K Surofchek	50 00
4/29/2013	Pottstown Cluster of Religious Communities	J Faust	200 00
5/22/2013	Susan G Komen Philadelphia	J Arters	103 77
5/22/2013	Susan G Komen Philadelphia	J Groer	25 00
5/22/2013	The Parent Project for Muscular Dystrophy	S Young	40 00
5/22/2013	Anzosa Humane Society	L Rondeau	35 00
5/22/2013	Statue of Liberty-Ellis Island Foundation	L Rondeau	35 00
5/22/2013	Cystic Fibrosis Foundation	T Keohane	100 00
5/31/2013	Sta Simon & Jude-Appalachia Service Proj	P Diono	500 00
5/31/2013	Special Olympics Anzosa	L Rondeau	50 00
6/14/2013	WXPN Public Radio	S Young	60 00
6/14/2013	Pet Pal Rescue Inc	E Julien	50 00
6/14/2013	American Cancer Society	S Young	25 00
6/18/2013	Mary Kate's Legacy	J Abbott	300 00
7/3/2013	American Red Cross	R Metz	100 00
7/3/2013	Appalachian Horse Rescue	D Eck	250 00
7/15/2013	American Cancer Society	L Kinnik	50 00
7/15/2013	Gabriel's Angels	L Rondeau	40 00
7/18/2013	Catholic Social Services	J Abbott	200 00
7/29/2013	Gamma Phi Beta Foundation	L Rondeau	75 00
7/29/2013	American Red Cross	R Bushey	250 00
7/29/2013	WVU Alumni Association	S Young	80 00
7/30/2013	Kearney Area Animal Shelter	C Woolley	100 00
7/30/2013	Phoenix Rescue Mission	L Rondeau	35 00
8/12/2013	Operation Care Package	L Rondeau	59 00
8/19/2013	Lycoming County SPCA	D Weaver	50 00
8/27/2013	Pet Pal Animal Shelter	E Julien	50 00
9/9/2013	Triple R Horse Rescue	K Surofchek	50 00
9/30/2013	The National MS Society	B Nase	100 00
10/14/2013	DLRR	M Meyer	500 00
10/14/2013	Delaware Valley Golden Retriever Rescue	A Wenger	100 00
10/28/2013	CCSPCA	M Goebel	125 00
12/4/2013	Delaware Valley Golden Retriever Rescue	A Wenger	250 00
12/13/2013	Hospice of the Valley (at \$464)	L Rondeau	100 00
12/13/2013	The Colonial Williamsburg Foundation	R Nase	200 00
12/13/2013	DVGRR	N Whitman	200 00

\$ 8,282.77

Per M Goebel Sch 8,282 77
Variance -

Unexplained Variance -

THE H. O. WEST FOUNDATION
DETAILED SCHEDULE OF SCHOLARSHIP PROGRAM
FROM January 1, 2013 THRU December 31, 2013

SCHOLARSHIPS

School	Name	Amount
Anzozna State University	Z Wicklund	\$ 1,250.00
NC State University	L Gardner	1,250 00
Ithaca College	H Thorpe	1,250 00
Lycoming College	T Hosteley	1,250 00
Stevens Institute of Technology	E McGrath	1,250 00
Cedarville University	M Lovell	1,250 00
Ursinus College	C Layman	1,250 00
Colorado State	R Cohn Perez	1,250 00
Comerstone University	B Snyder	1,250 00
Hope College	C Earles	1,250 00
Northern Anzozna University		(1,250 00)
Ferns State University	M Acosta	1,250 00
Northeastern University	M Flynn	1,250 00
University of Nebraska Lincoln	K Wnght	1,250.00
Columbia University	D Smith	3,750 00
Case Western Reserve University	J Braun	1,250.00
Roger Williams University	S Pitt	1,250 00
University of Pennsylvania	T Pitt	1,250.00
The Pennsylvania State University	C Dunez	1,250 00
Central Community College		(1,032.00)
University of Delaware	A Diono	1,250 00
Millersville University	S Wilson	1,250 00
University of Delaware	L Alcknavitch	1,250 00
Drexel University	R Tudor	1,250 00
The George Washington University	T Leventhal	1,250 00
Bryn Mawr College	S Pennebaker	1,250 00
East Carolina University	C Hardison	1,250 00
Cornell University	E Zimmerman	1,250 00
Anzozna State University	Z Wicklund	1,250 00
University of Pittsburgh	C Ling	1,250 00
Northeastern University	M Flynn	1,250 00
Penn State University	T Eck	1,250 00
Penn State University	J Shetler	1,250 00
University of Puerto Rico	M Gonzalez Pedraza	912 00
Colorado State University	R Cohen Perez	1,250.00
Ithaca College	H Thorpe	1,250 00
Cedarville University	M Lovell	1,250 00
Penn State University	C Dunez	1,250 00
North Carolina State University	L Gardner	1,250 00
Ursinus College	C Layman	1,250 00
Temple University	B Bi	1,250 00
Case Western Reserve University	J Braun	1,250 00
Ferns State University	M Acosta	1,250 00
Drexel University	R Tudor	1,250 00
University of Delaware	L Alcknavitch	1,250 00
University of Pennsylvania	T Pitt	1,250 00
Millersville University	S Wilson	1,250 00
Columbia University	D Smith	1,250 00
Stevens Institute of Technology	E McGrath	1,250 00
East Carolina University	C Hardison	1,250 00

Total Scholarships **\$59,880.00**

Per M Goebel Sch 59,880 00
Variance -

Unexplained Variance -

**THE H.O. WEST FOUNDATION
COMPARATIVE REPORT OF DISBURSEMENTS
UNITED WAY
FROM January 1, 2013 THRU December 31, 2013**

	12 MOS	12 MOS	12 MOS	12 MOS	12 MOS	2013 REMAINING
<u>UNITED WAY</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>COMMITMENTS</u>
PAID TO:						
CHESTER COUNTY	102,584.44	101,847.95	108,964.28	125,000.00	130,018.28	\$0.01
CLINTON COUNTY (PA)	647.92	-	3,439.00	4,749.68	795.24	\$0.00
CLINTON COUNTY (IN)					6,294.32	\$0.00
KEARNEY	2,807.00	4,364.00	4,276.04	1,742.00	2,050.00	\$0.00
LANCASTER COUNTY	208.00	208.00	-	416.00	-	\$0.00
LENOIR COUNTY	20,789.80	42,860.94	37,301.28	41,355.96	45,074.24	(0.02)
LYCOMING COUNTY	17,485.25	22,559.38	28,572.40	22,085.56	19,969.28	(0.02)
SOUTHEASTERN PA	1,064.00	1,166.00	1,612.00	875.00	875.00	-
SUN COAST	19,993.59	20,666.48	6,735.72	5,585.16	3,896.00	1.00
VALLEY OF THE SUN	4,922.00	1,088.00	4,759.00	9,689.00	12,671.00	-
PUERTO RICO	5,941.00	5,304.00	4,407.00	4,277.00	2,990.00	-
MICHIGAN	1,258.00	12,506.00	12,734.00	14,545.00	17,984.00	-
TOTAL	<u>\$ 180,955.52</u>	<u>\$ 215,770.55</u>	<u>\$ 212,800.72</u>	<u>\$ 230,320.36</u>	<u>\$ 242,617.36</u>	<u>\$ 0.97</u>
				Per M. Goebel Sch	<u>\$ 242,617.33</u>	
				Variance	<u>0.03</u>	

THE H.O. WEST FOUNDATION
COMPARATIVE REPORT OF DISBURSEMENTS
CULTURAL
FROM January 1, 2013 THRU December 31, 2013

CULTURAL	12 MOS 2008	12 MOS 2009	12 MOS 2010	12 MOS 2011	12 MOS 2012	12 MOS 2013	2013 REMAINING COMMITMENTS
PAID TO							
THE BARNES FOUNDATION	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,000 00	\$ -
CHESTER COUNTY HISTORICAL SOCIETY	-	-	500 00	-	-	1,000 00	-
LENOIR COUNTY COMM COUNCIL FOR THE ARTS	-	-	-	2,500 00	2,000 00	2,500 00	-
PEOPLE'S LIGHT & THEATRE COMPANY	-	2,000 00	2,000 00	2,000 00	5,000 00	2,000 00	-
PHILADELPHIA HOSPITALITY, INC	2,500 00	-	-	2,500 00	-	-	-
PHILA MUSEUM OF ART	5,000 00	10,000 00	5,000 00	5,000 00	5,000 00	5,000 00	-
PHILA YOUTH ORCHESTRA	1,000 00	1,000 00	1,000 00	1,000 00	2,000 00	1,000 00	-
KENNEDY CENTER HONORS GALA	1,000 00	1,500 00	1,500 00	1,500 00	1,500 00	1,500 00	-
TOTAL	<u>\$9,500 00</u>	<u>\$14,500 00</u>	<u>\$10,000 00</u>	<u>\$14,500 00</u>	<u>\$ 15,500 00</u>	<u>\$18,000 00</u>	<u>\$ -</u>

Per M Goebel Sch 18,000 00
Variance -

THE H O WEST FOUNDATION
COMPARATIVE REPORT OF DISBURSEMENTS
COMMUNITY SERVICE
FROM January 1, 2013 THRU December 31, 2013

COMMUNITY SERVICE	12 MOS 2009	12 MOS 2010	12 MOS 2011	12 MOS 2012	12 MOS 2013	2013 REMAINING COMMITMENTS
PAID TO						
AARON S ACRES	\$ -	\$ -	\$ -	\$ 1,000	\$ -	\$ -
ADULT CARE OF CHESTER COUNTY	-	1,000	-	-	-	-
AFRICA HEALTH PLACEMENTS	25,000	-	-	-	-	-
AMERICAN CANCER SOCIETY (PHOENIXVILLE)	-	1,000	-	-	-	-
AMERICAN RED CROSS - WWB CAMPAIGN	-	12,348	35,000	10,000	37,500	-
AMERICAN RED CROSS (TAMPA BAY)	-	1,000	-	-	-	-
ANGEL FLIGHT EAST	-	2,000	2,000	2,000	2,000	-
ANTI DEFAMATION LEAGUE	-	-	-	-	-	-
ARC OF CHESTER COUNTY	7,500	1,000	1,000	-	-	-
ASSOCIATED SERVICES FOR THE BLIND	1,000	1,000	-	2,000	2,000	-
ASSOCIATION FOR THE COLONIAL THEATER	-	500	500	-	-	-
BAKER INDUSTRIES	2,000	2,000	2,000	2,000	5,000	-
BLACK ACHIEVERS IN BUS & IND AWARDS	-	-	-	-	-	-
BCASC	-	-	-	1,000	-	-
BOY SCOUTS OF AMERICA	1,500	1,000	1,500	1,500	1,500	-
BOYS AND GIRLS CLUB OF PHILADELPHIA	-	-	-	10,000	-	-
BRaille WITHOUT BORDERS	-	-	-	-	-	-
BRANDYWINE HEALTH FOUNDATION	1,000	-	1,000	1,000	-	-
BRIDGES	2,500	-	2,500	-	2,500	-
BRINGING HOPE HOME	-	-	-	-	5,000	-
BY RACE FOR AUTISM	1,000	-	-	-	-	-
CAMP VICTORY	-	-	5,000	-	25,000	-
CANINE PARTNERS FOR LIFE - WWB CAMPAIGN	-	-	1,000	-	-	-
CHESTER C C OF B S A	-	2,500	-	-	-	-
CHESTER COUNTY FUTURES	1,000	1,000	1,000	3,500	4,500	-
CHESTER COUNTY SPCA	-	1,000	-	-	-	-
CHILDREN'S COUNTRY WEEK ASSOCIATION	500	500	-	500	500	-
COATESVILLE FAMILY FUND	1,000	-	-	-	-	-
CONVOY OF HOPE	-	1,000	-	-	-	-
COMMUNITY INFORMATION & REFERRAL	-	-	1,000	-	-	-
CRADLE OF LIBERTY COUNCIL - B/S OF AMERICA	-	3,000	3,000	3,000	3,000	-
DAEMON HOUSE	1,000	1,000	1,000	-	-	-
DAILY LOCAL NEWS	-	-	-	-	-	-
DELAWARE VALLEY HABITAT FOR HUMANITY	1,000	-	-	-	-	-
DRAGONFLY FOREST	-	-	2,500	1,000	-	-
EMPLOY+ABILITY	-	-	-	-	-	-
FAMILY SERVICE OF CHESTER COUNTY	-	-	-	-	-	-
FOLDS OF HONOR FOUNDATION	1,000	2,500	2,500	2,500	2,500	-
FOUNDATION MARY KATE'S LEGACY	-	2,500	-	-	-	-
FOUNDATION NICHOLAS WOLFF	-	5,000	-	-	-	-
FREEDOM VALLEY YMCA	10,000	10,000	10,000	-	-	-
FRENCH & PICKERING CREEKS CONSERVATION	1,000	-	2,000	1,000	1,000	-
GIRLS SCOUTS OF FREEDOM VALLEY	-	-	-	-	5,000	-
GREAT GUYS GROUP	-	3,000	2,000	-	-	-
GREAT VALLEY NATURE CENTER	2,000	-	-	-	-	-
GREEN VALLEYS ASSOCIATION	-	1,000	1,000	1,000	1,000	-
HANDI-CRAFTERS INC	2,000	2,000	2,000	2,000	6,000	-
HBA	-	-	1,800	3,500	-	-
HEART FOR AFRICA	1,000	1,000	1,000	1,000	1,000	-
HOME OF THE SPARROW	5,000	5,000	5,000	5,000	5,000	-
INGLIS HOUSE	1,000	1,000	1,000	1,000	1,000	-
JAMES V BROWN LIBRARY OF	2,000	1,000	-	-	-	-
JASON GOTSCHALL MEMORIAL FOUNDATION	1,000	1,000	1,000	-	-	-
JENKINS ARBORETUM	-	1,000	1,000	-	1,500	-
JERSEY SHORE PUBLIC LIBRARY	-	-	-	-	5,000	-
KEARNEY PUBLIC LIBRARY FOUNDATION	5,000	5,000	5,000	-	-	-
LENOIR COUNTY COMMUNITY Council FOR THE ART	1,000	-	-	-	-	-
LIONVILLE COMMUNITY YMCA	-	-	-	2,500	2,500	-
MARCH FOR BABIES	-	2,000	-	-	-	-
MARY KATE'S LEGACY FOUNDATION	-	-	2,500	2,500	2,500	-
MELMARK CHARITABLE FOUNDATION	-	-	-	-	2,500	-
MIRACLE LEAGUE OF NORTHAMPTON TOWNSHIP	5,000	-	-	-	-	-
NEW YORK CITY OUTWARD BOUND CENTER	10,000	10,000	10,000	5,000	5,000	-
NHS KEY CLUB - ADOPT A CHILD FOR CHRISTMAS	1,000	-	-	-	-	-
NICHOLAS WOLFF FOUNDATION (CAMP VICTORY)	-	-	2,500	-	-	-
NO BARRIERS USA	-	-	-	60,825	15,000	-
NORTH CENTRAL SIGHT SERVICES	-	-	-	-	5,000	-
OPERATION GRATITUDE	-	-	-	-	5,000	-
PCCY	-	-	1,500	1,500	1,500	-
PHILABUNDANCE	1,000	-	1,000	-	-	-
PHILADELPHIA HOSPITALITY	-	-	-	1,000	1,000	-
PHILADELPHIA ZOO	5,000	5,000	5,000	5,000	5,000	-
PHOENIXVILLE AREA SENIOR CENTER	1,000	1,000	1,000	1,250	1,500	-
PHOENIXVILLE PUBLIC LIBRARY	-	1,000	1,000	-	1,000	-
PLANNED PARENTHOOD	3,000	-	-	-	-	-
POLICE ATHLETIC LEAGUE OF PHILADELPHIA	-	1,000	-	-	-	-
RONALD MCDONALD HOUSE	1,000	-	3,000	-	-	-
SAFE HARBOR	-	-	-	-	-	-
SAINT ANTHONY'S CHARITABLE FOUNDATION	5,000	5,000	5,000	5,000	10,000	-
SAINT MARY'S FOOD BANK ALLIANCE	2,300	-	-	-	-	-
SAINTS SIMON AND JUDE PARISH	-	-	1,000	1,000	2,000	-
SPECIAL EQUESTRIANS	2,000	2,000	-	1,500	-	-
STEPPING STONES MUSEUM FOR CHILDREN	10,000	10,000	20,000	-	-	-
SURREY SERVICES FOR SENIORS	1,000	1,000	1,000	1,000	1,000	-
SUSQUEHANNA GREENWAY PARTNERSHIP	-	-	-	1,000	-	-
TACA	-	-	1,000	-	-	-
THORNCROFT	1,000	1,000	2,000	2,000	5,000	-
TRAVIS MANION FOUNDATION	-	-	-	61,825	25,000	-
UNITED WAY Greater Triangle	5,000	-	-	-	-	-
URBAN LEAGUE OF PHILADELPHIA	1,500	-	-	-	-	-
UWCHLAN TOWNSHIP	2,500	2,500	2,500	2,500	2,500	-
A VISION OF HOPE YOUTH NETWORKS	-	-	-	-	2,500	-
WBDC	2,500	2,500	2,500	-	-	-
WEST CHESTER FOOD CUPBOARD	-	-	-	-	10,000	-
WEST CHESTER PUBLIC LIBRARY	-	-	-	-	-	-
WEST WITHOUT BORDERS	-	25,000	25,000	25,000	27,500	-
WILLIAMSPORT BRANCH YMCA	-	-	-	5,000	5,000	-
WORLD TEAM SPORTS	-	5,000	-	-	-	-
TOTAL	137,800.00	147,840.00	182,800.00	240,900.00	255,500.00	\$ -

THE H. O. WEST FOUNDATION
COMPARATIVE REPORT OF DISBURSEMENTS
HOSPITAL/HEALTH CARE & EDUCATIONAL
FROM January 1, 2013 THRU December 31, 2013

	12 MOS 2009	12 MOS 2010	12 MOS 2011	12 MOS 2012	12 MOS 2013	2013 REMAINING COMMITMENTS
HOSPITAL/HEALTH CARE						
PAID TO						
AMERICAN CANCER SOCIETY	\$ 4,000 00	\$ 8,500 00	\$ 2,685 00	\$ 2,500 00	\$ 1,863 02	\$ -
AMERICAN CANCER SOCIETY - RELAY FOR LIFE	-	1,000 00	3,500 00	1,000 00	1,000 00	-
AMERICAN DIABETES ASSOCIATION	1,000 00	1,000 00	2,500 00	1,500 00	6,500 00	-
AMERICAN HEART ASSOCIATION	-	-	2,500 00	-	-	-
BRYN MAWR REHAB	2,000 00	2,000 00	1,000 00	1,000 00	-	-
BCASC - BV Race for Autism	-	1,000 00	1,000 00	-	-	-
CANCER CARE INC	5,000 00	5,000 00	5,000 00	5,000 00	5,000 00	-
CURE4CAM CHILDHOOD CANCER FOUNDATION	-	-	-	1,000 00	1,000 00	-
CHESTER COUNTY HOSPITAL FOUNDATION, THE	5,000 00	-	-	-	-	-
CLINIC, THE	5,000 00	1,200 00	1,200 00	6,200 00	1,200 00	-
COMMUNITY VOLUNTEERS IN MEDICINE	-	-	-	1,000 00	-	-
DRMIII	1,000 00	1,000 00	1,000 00	1,000 00	2,000 00	-
EPHRATA COMMUNITY HOSPITAL	-	-	-	2,500 00	-	-
EVANGELICAL COMMUNITY HOSPITAL	-	5,000 00	5,000 00	-	10,000 00	-
FOX CHASE CANCER CENTER	85,612 41	50,050 27	50,320 34	46,000 00	57,663 00	-
GEISINGER HEALTH SYSTEM	5,000 00	2,500 00	-	-	-	-
JDFR (JUNIOR DIABETES RESEARCH FOUNDATION)	-	-	-	2,500 00	-	-
KOMEN FOR THE CURE, PHILA AFFILIATE	-	500 00	4,000 00	2,000 00	2,000 00	-
MULTIPLE MYELOMA RESEARCH FOUNDATION	-	-	-	3,000 00	-	-
THE MYOSITIS ASSOCIATION	5,000 00	5,000 00	5,000 00	5,000 00	10,000 00	-
N I C R F	3,000 00	4,000 00	3,000 00	3,200 00	3,200 00	-
NATIONAL BRAIN TUMOR SOCIETY	-	2,000 00	-	-	-	-
NEMOURS PARTNERSHIP FOR CHILDREN'S HEALTH	-	1,000 00	-	-	-	-
NO BARRIERS USA	-	-	-	-	5,000 00	-
PDBA	-	1,937 50	-	2,120 00	-	-
PHILIP A. BRYANT MELANOMA FOUNDATION	1,000 00	2,000 00	2,000 00	2,000 00	2,000 00	-
PITT MEMORIAL HOSPITAL	-	5,000 00	-	-	-	-
PSAA - PHILADELPHIA CHAPTER	1,000 00	-	1,000 00	1,000 00	-	-
SUSQUEHANNA HEALTH FOUNDATION	3,000 00	3,000 00	-	-	-	-
TOTAL	<u>\$130,362 41</u>	<u>\$102,687 77</u>	<u>\$ 90,705 34</u>	<u>\$ 89,520 00</u>	<u>\$108,426 02</u>	<u>\$ -</u>

Per M Goebel Sch \$108,426 02
Variance -

EDUCATIONAL

PAID TO						
ACADEMY OF NATURAL SCIENCES, THE	\$ 10,000 00	\$ 5,000 00	\$ 5,000 00	\$ 5,000 00	\$ -	\$ -
AMERICAN COMMITTEE- WEIZMANN INSTITUTE OF SCIENCE	-	-	-	-	1,000 00	-
ASSOC OF INDEPENDENT COLLEGES & UNIVS OF PA	5,000 00	5,000 00	5,000 00	5,000 00	5,000 00	-
AUTISM SPEAKS	-	-	10,000 00	-	-	-
CCUI HEAD START	-	-	-	5,000 00	-	-
CHEMICAL HERITAGE FOUNDATION	-	-	2,500 00	-	2,500 00	-
DASD DE Rocket Club	-	-	-	-	1,000 00	-
DELAWARE VALLEY SCIENCE FAIRS	1,000 00	1,000 00	-	1,000 00	1,500 00	-
DOWNTOWN COMM EDUCATION FOUNDATION	-	-	5,000 00	-	-	-
DOWNTOWN STEM ACADEMY	-	-	-	5,000 00	10,000 00	-
EASTER SEAL SOCIETY	-	1,000 00	1,000 00	11,000 00	1,200 00	-
EPISCOPAL ACADEMY, THE	-	-	-	-	-	-
FINANCIAL EXECUTIVES RESEARCH FOUNDATION	2,100 00	-	-	-	-	-
FOUNDATION FOR FREE ENTERPRISE	1,050 00	2,100 00	2,100 00	1,050 00	3,150 00	-
FOUNDATION FOR STUDENT COMMUNICATIONS, INC	2,500 00	-	-	-	-	-
FRANKLIN INSTITUTE SCIENCE MUSEUM, THE	85,000 00	85,000 00	85,000 00	10,000 00	10,000 00	-
GLOBALIZATION OF PHARMACEUTICS EDUCATION NETWORK	-	3,500 00	-	-	-	-
HARLEM CHILDREN'S ZONE BABY COLLEGE	-	-	-	5,000 00	-	-
HORATIO ALGER ASSOCIATION	-	-	-	5,000 00	-	-
JUNIOR ACHIEVEMENT OF NEW YORK	-	-	-	1,000 00	-	-
KENWOOD ELEMENTARY SCHOOL	-	5,000 00	-	-	-	-
MARINE CORPS SCHOLARSHIP FOUNDATION	3,500 00	3,500 00	-	-	-	-
NATIONAL MUSEUM OF THE AMERICAN REVOLUTION	-	-	-	-	2,000 00	-
PENNSYLVANIA SCHOOL FOR THE DEAF	2,000 00	1,000 00	1,000 00	2,000 00	-	-
ST JOSEPH'S UNIVERSITY	-	2,500 00	-	-	-	-
STARFINDER FOUNDATION	-	1,000 00	-	1,000 00	-	-
SWEET JANE'S WISH FOUNDATION- RACTI GOLF	-	-	-	-	1,000 00	-
TIMOTHY SCHOOL	-	-	1,000 00	1,000 00	1,000 00	-
UNITED NEGRO COLLEGE FUND	1,000 00	1,000 00	1,000 00	1,000 00	-	-
YMIC FOUNDATION	-	-	-	53,500 00	5,000 00	-
TOTAL	<u>\$113,150 00</u>	<u>\$116,600 00</u>	<u>\$118,600 00</u>	<u>\$115,050 00</u>	<u>\$ 44,350 00</u>	<u>\$ -</u>

Per M Goebel Sch 44,350 00
Variance -

**THE H. O. WEST FOUNDATION
COMPARATIVE REPORT OF DISBURSEMENTS
HONORARIUM
FROM January 1, 2013 THRU December 31, 2013**

<u>HONORARIUM</u>	<u>12 MOS 2008</u>	<u>12 MOS 2009</u>	<u>12 MOS 2010</u>	<u>12 MOS 2011</u>	<u>12 MOS 2012</u>	<u>12 MOS 2013</u>	<u>2013 REMAINING COMMITMENTS</u>
PAID TO:							
HILL SCHOOL	\$ 1,000.00	\$1,000.00	\$ 1,000 00	\$1,000.00	\$1,000.00	\$1,000.00	\$ -
WEST CHESTER UNIVERSITY	1,000.00	1,000.00	1,000 00	1,000 00	1,000.00	1,000.00	-
CHURCH FARM SCHOOL	1,000.00	1,000.00	1,000 00	1,000 00	1,000 00	1,000 00	-
TOTAL	<u>\$ 3,000.00</u>	<u>\$3,000.00</u>	<u>\$ 3,000.00</u>	<u>\$3,000.00</u>	<u>\$3,000.00</u>	<u>\$3,000.00</u>	<u>\$ -</u>
					Per M. Goebel Sch Variance	<u>3,000.00</u> -	

THE H.O. WEST FOUNDATION
DETAILED SCHEDULE OF OTHER EXPENDITURES
FROM January 1, 2013 THRU December 31, 2013

<u>INTERNAL REVENUE SERVICE</u>		<u>AMOUNT</u>
EXCISE TAX		\$ 264.00
LEGAL FEES		-
		<u>\$ 264.00</u>
 <u>PRINTING & OTHER EXPENSES</u>		 <u>AMOUNT</u>
FILING FEES		\$ -
DAILY LOCAL NEWS		67.38
OFFICE SUPPLIES		-
PRINTING EXPENSE		372.40
UPS EXPENSE		-
GIFT CARD		-
		<u>\$ 439.78</u>
 BANK FEES		 \$ -
BANK FEES - WWB		\$ 569.36

THE H. O. WEST FOUNDATION
530 HERMAN O. WEST DRIVE, EXTON, PA 19341

DEAR HIGH SCHOOL SENIOR:

Congratulations on reaching your senior year of high school! We know this is an exciting time for you.

Attached is the three-part application form for The H. O. West Foundation's current Scholarship Program competition. The completed application must be returned to The H. O. West Foundation, 530 Herman O. West Drive, Exton, PA 19341 by the 28th day of February of the year that you will graduate.

The Foundation will award up to seven college scholarships, valued at up to \$3,000 per year for a maximum of four years (and up to \$12,000) toward tuition costs *only*, at an accredited college or university of your choice, but more than \$3,000 may be disbursed annually if it is anticipated that you will graduate in less than four years. One of the seven scholarships, the William S. West Scholarship, is made possible through a gift to the Foundation from Mr. West's family in memory of our former Chairman and Chief Executive Officer. Initially, a student may choose to attend a two-year accredited college and later transfer to a four-year college or university to complete a baccalaureate degree. The basic rules of eligibility require you to be a high school senior who will be pursuing an undergraduate degree and the son, daughter or other qualifying dependent of a U.S., full-time employee of West. Children of executive officers, West's Board of Directors, and the Foundation's Board of Trustees are ineligible.

The selection of recipients of the scholarships is determined by a committee composed of secondary school or college administrators who will consider each applicant on the basis of his/her character, maturity, leadership, extracurricular activities, motivation, interest and desire, patriotism, predicted success in college and academic achievement.

The attached forms include: (1) an Eligibility Verification to be completed by you and signed by the Human Resources person at the location at which your parent is employed, (2) a four-page Scholarship Application to be completed by you, and (3) a High School Certification to be completed by a representative of your high school. Each application must include the results of a College Entrance Examination, either the Scholastic Aptitude Test (SAT) or the American College Testing Program (ACT). We request that you include at least three recommendations from teachers, a guidance counselor or principal.

It is also important for you to know that if you are selected as a recipient of a scholarship, you must enter college by the fall of the year in which the scholarship is awarded and continue for four successive years in college without interruption, except for usual vacation periods or active duty military training or service.

We suggest you read all of the instructions at the top of the forms and carefully check all dates and information you have entered on the forms. Should you have any questions, you may contact Maureen Goebel at (610) 594-2945.

We wish you much success as you select the college of your choice! Good luck!

Sincerely,



Richard D. Luzzi
Trustee

14. Specify what part you have played in organized out-of-school activities such as rank attained as Boy or Girl Scout, 4-H Club work, church organizations, etc. Designate by number in right-hand column the high school year in which you participated in each activity as follows: 1 - Freshman, 2 - Sophomore, 3 - Junior, 4 - Senior.

<u>Activity</u>	<u>Position Held</u>	<u>Hours Spent Per Week</u>	<u>Year of Participation</u>

15. List your hobbies in order of preference:

16. If you have received any special recognition in connection with your recreational activities or hobbies, describe briefly.

17. List the titles and authors of some of the books you have read during the past year outside of school requirements.

[illegible]

I have personally signed this Herman O. West Foundation Scholarship application and I have requested my high school to send a certification of my grades to The Herman O. West Foundation. I certify that all statements contained in the foregoing application are true and correct, and that I believe myself eligible to apply for a scholarship.

Date

HERMAN O. WEST FOUNDATION

HIGH SCHOOL CERTIFICATION

As an applicant for a Herman O. West Foundation Scholarship, I hereby request that you, as my high school representative, complete this form and mail it by February 28 to The Herman O. West Foundation, 530 Herman O. West Drive, Exton, PA 19341. **Attaching transcripts to this form is acceptable.**

Signature of Applicant			Date
Student's Name <div style="display: flex; justify-content: space-around;"> Last First Middle </div>		School Name and Address: Phone No.:	
Home Address:		Accredited by: <div style="display: flex; justify-content: space-between;"> () State () Non-public </div> <div style="display: flex; justify-content: space-between;"> () Reg. Accred. Assoc. () Public </div>	
Previous Secondary School Attended:	Date Left:	Enrollment in Grades 11 - 12: 4-Year College: Percent Graduates Entering College 2-Year College and other:	
Birth Date:	Sex:	Date Graduated:	Pass. Mark: Honors Mark: <div style="display: flex; justify-content: space-around; margin-top: 5px;"> Circle Lowest No. Equivalent: A B C D </div>
Explanation of Honors Courses:			

<u>CLASS RECORD</u>		Identify Honors Accel. Etc.	Marks				RANK IN CLASS Based on _____ Semesters _____ in Class of Rank based on: <div style="display: flex; justify-content: space-between;"> () All Subjects Given Credit () All Students </div> <div style="display: flex; justify-content: space-between;"> () Major Subject Only () College Prep. Study Only </div> Explain Weighting of Marks in Determining Rank -				
Year	Subjects		1 st Sem.	Final 2nd Sem.	Cred. or Unit	State Exam. Score					
Fr.											
Soph.											
Jr.											
Sr.											

TEST RECORD				
Date	Name of Test	Raw or Standard Score	Percentile Score	Norm Group

OUTSTANDING ACTIVITIES, HONORS, AWARDS:
--

Teacher observations will be used only by the Herman O. West Foundation officials in making Scholarship Award decisions. At least three teacher recommendations are required.

Please include the number of teachers observing and each one's evaluation.

(specify number at each level)

Summary descriptions made by teachers of Grade(s)

10 11 12

1. Participation in Discussion (Self-Initiated)

- ☐ always involved, often initiates discussion
- ☐ usually participates
- ☐ often participates
- ☐ occasionally participates
- ☐ seldom participates
- ☐ not applicable

2. Involvement in Classroom Activities

- ☐ very high in all activities
- ☐ active, usually shows genuine interest
- ☐ mild, politely attentive
- ☐ languid, attention often wanders
- ☐ distracted, does other things during class
- ☐ vacillates greatly

3. Pursuit of Independent Study

- ☐ considerable study and major project(s)
- ☐ considerable study or major project(s)
- ☐ some study and minor project(s)
- ☐ some study or minor project(s)
- ☐ no evidence of independent study
- ☐ not applicable

4. Evenness of Performance

- ☐ exceptionally consistent
- ☐ even, varies no more than one mark
- ☐ slightly uneven, often varies one mark
- ☐ uneven, often varies two marks
- ☐ erratic, performance fluctuates greatly

5. Critical and Questioning Attitude

- ☐ often challenges
- ☐ sometimes challenges
- ☐ occasionally is skeptical
- ☐ sometimes probes
- ☐ rarely questions
- ☐ not applicable

6. Depth of Understanding

- ☐ excellent insight
- ☐ good understanding
- ☐ some insight
- ☐ little insight
- ☐ poor understanding
- ☐ not applicable

7. Personal Responsibility

- ☐ always accepts fully
- ☐ usually accepts fully
- ☐ partially accepts
- ☐ sometimes refuses
- ☐ often refuses

8. Consideration for Others

- ☐ always considerate of others' rights and feelings
- ☐ usually considerate
- ☐ courteous, little evidence of consideration
- ☐ sometimes inconsiderate
- ☐ often inconsiderate
- ☐ inadequate opportunity to observe

COMMENTS OR OTHER PERTINENT OBSERVATIONS:

RECOMMENDATION TO SELECTION COMMITTEE:

Date

Signature

Title