Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: THE HAGEN FAMILY FOUNDATION

A Employer identification number: 38-3482329

Number and street (or P O box number if mail is not delivered to street address): 401 E. LINTON BLVD. #257 | Room/suite

B Telephone number: (561) 279-8042

City or town, state or province, country, and ZIP or foreign postal code: DELRAY BEACH, FL 33483

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 1,627,419.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	34,835.	34,835.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	58,402.			
	b Gross sales price for all assets on line 6a 281,662.				
	7 Capital gain net income (from Part IV, line 2)		58,402.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	914.	0.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	94,151.	93,237.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	7,500.	3,750.		3,750.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	1,747.	1,343.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,439.	719.		720.
	22 Printing and publications				
	23 Other expenses STMT 5	20,749.	20,552.		197.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	31,435.	26,364.		4,667.
	25 Contributions, gifts, grants paid	92,250.			92,250.
	26 **Total expenses and disbursements.** Add lines 24 and 25	123,685.	26,364.		96,917.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-29,534.			
	b **Net investment income** (if negative, enter -0-)		66,873.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	51,737.	69,969.	69,969.
	2 Savings and temporary cash investments	67,557.	33,756.	33,756.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,147,303.	1,133,338.	1,523,694.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,266,597.	1,237,063.	1,627,419.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,266,597.	1,237,063.	
	30 **Total net assets or fund balances**	1,266,597.	1,237,063.	
	31 **Total liabilities and net assets/fund balances**	1,266,597.	1,237,063.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,266,597.
2 Enter amount from Part I, line 27a	2	-29,534.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,237,063.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,237,063.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES		VARIOUS	VARIOUS
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	280,209.		223,260.	56,949.
b	1,453.			1,453.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				56,949.
b				1,453.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 58,402.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	86,089.	1,421,836.	.060548
2011	86,139.	1,473,899.	.058443
2010	80,375.	1,465,885.	.054830
2009	100,888.	1,337,103.	.075453
2008	140,081.	1,705,628.	.082129

2	Total of line 1, column (d)	2	.331403
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.066281
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,537,607.
5	Multiply line 4 by line 3	5	101,914.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	669.
7	Add lines 5 and 6	7	102,583.
8	Enter qualifying distributions from Part XII, line 4	8	96,917.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,337.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,337.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,337.
6 Credits/Payments:				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	840.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	1,700.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	2,540.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,203.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 1,203. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI, FL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.HAGENFAMILYFOUNDATION.ORG

14 The books are in care of ▶ MR. DAVID HAGEN Telephone no. ▶ (561)279-8042

Located at ▶ 401 E. LINTON BLVD. #257, DELRAY BEACH, FL ZIP+4 ▶ 33483

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2013)

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17020527 783984 16628-2 2013.03050 THE HAGEN FAMILY FOUNDATION 16628-21

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,442,585.
b	Average of monthly cash balances	1b	118,437.
c	Fair market value of all other assets	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	1,561,022.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,561,022.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	23,415.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,537,607.
6	**Minimum investment return.** Enter 5% of line 5	6	76,880.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	76,880.
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 1,337.		
b	Income tax for 2013. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	1,337.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	75,543.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	75,543.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	75,543.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	96,917.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	96,917.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	96,917.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				75,543.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 44,800.				
b From 2009 34,339.				
c From 2010 7,182.				
d From 2011 12,444.				
e From 2012 15,810.				
f Total of lines 3a through e	114,575.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 96,917.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				75,543.
e Remaining amount distributed out of corpus	21,374.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	135,949.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	44,800.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	91,149.			
10 Analysis of line 9:				
a Excess from 2009 34,339.				
b Excess from 2010 7,182.				
c Excess from 2011 12,444.				
d Excess from 2012 15,810.				
e Excess from 2013 21,374.				

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17020527 783984 16628-2 2013.03050 THE HAGEN FAMILY FOUNDATION 16628-21

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 8

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: SEE STATEMENT 10

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a Paid during the year				
AUDUBON SOCIETY OF GREATER DENVER 9308 SOUTH WADSWORTH BLVD LITTLETON, CO 80128	NONE	PC	TO PROVIDE A PROGRAM WHICH HELPS INTRODUCE AUTISTIC STUDENTS TO BIRDS INCLUDING IDENTIFICATION,	5,000.
BOCA HELPING HANDS 1500 NW 1ST COURT BOCA RATON, FL 33432	NONE	PC	TO EXPAND THEIR EDUCATIONAL PROGRAM TO 8 CLASSES WHICH WILL LEARN BY WORKING FOR CAFE ESPERANZA.	15,000.
BROWARD CENTER FOR THE PERFORMING ARTS 201 SW FIFTH AVENUE FORT LAUDERDALE, FL 33312	NONE	PC	TO EXPAND THEIR PLAY SCENES PROGRAM (A COMPONENT OF READINESS READING) TO A NEW FULLY IMPLEMENTED	15,000.
RESTORATION OUTREACH PROGRAMS 1540 BOSTON ST AURORA, CO 80010	NONE	PC	TO PURCHASE OF SEVEN NEW COMPUTERS FOR THE GED TUTORING PROGRAM AND PLANS TO ENSURE THAT THE NEW	5,250.
VILLAGE OF HOPE IN HAITI 300 EAST YAMATO RD. BOCA RATON, FL 33431	NONE	PC	TO DEVELOP A SPECIFIC MARKETING STRATEGY TO REACH MEDICAL PROFESSIONALS IN MEMBER CONGREGATIONS,	12,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	92,250.
b Approved for future payment NONE				
Total			▶ 3b	0.

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** SEE PURPOSE OF GRANT CONTINUATIONS

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	34,835.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	914.	
8 Gain or (loss) from sales of assets other than inventory			18	58,402.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		94,151.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 94,151.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

David L Hagen 12-2-2014 president

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
LYNNE M. HUISMANN	Lynne M. Huismann	JUL 01 2014		P00053811
Firm's name ▶ PLANTE & MORAN, PLLC			Firm's EIN ▶ 38-1357951	
Firm's address ▶ 2601 CAMBRIDGE CT., SUITE 500 AUBURN HILLS, MI 48326			Phone no. 248-375-7100	

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BARTON'S BOOSTERS, INC 269 NE 14TH STREET BOCA RATON, FL 33432	NONE	PC	TO SUPPORT THE COST OF A PROJECT TO PLANT AND CULTIVATE A GARDEN OF VEGETABLES, HERBS, AND FRUITS FOR USE IN	15,000.
OPERATION MOBILIZATION PO BOX 444 TYRONE, GA 30290	NONE	PC	TO CREATE A DONOR DEVELOPMENT PROGRAM TO SECURE ONGOING FUNDING THAT CAN SERVE AS A LEVER TO LIFT	15,000.
THE VOICE EXPERIENCE FOUNDATION PO BOX 1576 PALM HARBOR, FL 34682	NONE	PC	TO SUPPORT THE COST OF A PROGRAM THAT ALLOWS YOUNG SINGERS TO ATTEND A CONFERENCE-LIKE	10,000.
Total from continuation sheets				40,000.

Part XV **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - AUDUBON SOCIETY OF GREATER DENVER

TO PROVIDE A PROGRAM WHICH HELPS INTRODUCE AUTISTIC STUDENTS TO BIRDS INCLUDING IDENTIFICATION, ADAPTATION, BASIC MORPHOLOGY AND SOURCE OF FOOD LEADING TO IMPROVED STUDENT SOCIALIZATION, MOTOR COORDINATION AND ATTENTION BUILDING.

NAME OF RECIPIENT - BROWARD CENTER FOR THE PERFORMING ARTS

TO EXPAND THEIR PLAY SCENES PROGRAM (A COMPONENT OF READINESS READING) TO A NEW FULLY IMPLEMENTED FORMAT TO INCLUDE 450 STUDENTS FROM 24 HEAD START CLASSES.

NAME OF RECIPIENT - RESTORATION OUTREACH PROGRAMS

TO PURCHASE OF SEVEN NEW COMPUTERS FOR THE GED TUTORING PROGRAM AND PLANS TO ENSURE THAT THE NEW CAPABILITY WILL MEET THE DEMANDS OF THE NEW GED TEST.

NAME OF RECIPIENT - VILLAGE OF HOPE IN HAITI

TO DEVELOP A SPECIFIC MARKETING STRATEGY TO REACH MEDICAL PROFESSIONALS IN MEMBER CONGREGATIONS, CLINICS, PRACTICES, AND HOSPITALS WITH WHICH THEY ARE CONNECTED.

NAME OF RECIPIENT - BARTON'S BOOSTERS, INC

TO SUPPORT THE COST OF A PROJECT TO PLANT AND CULTIVATE A GARDEN OF VEGETABLES, HERBS, AND FRUITS FOR USE IN EVERDAY MEALS, A REAL COST SAVING PROGRAM FOR FAMILIES WHO SUFFER FROM FOOD INSECURITY.

NAME OF RECIPIENT - OPERATION MOBILIZATION

TO CREATE A DONOR DEVELOPMENT PROGRAM TO SECURE ONGOING FUNDING THAT

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

CAN SERVE AS A LEVER TO LIFT DONATIONS TO A SIGNIFICANTLY HIGHER LEVEL
THAN ALREADY ACHIEVED BY THE "FREEDOM CLIMB" ACTIVITY.

NAME OF RECIPIENT - THE VOICE EXPERIENCE FOUNDATION
TO SUPPORT THE COST OF A PROGRAM THAT ALLOWS YOUNG SINGERS TO ATTEND A
CONFERENCE-LIKE INTENSIVE EXPERIENCE THAT PROVIDES HIGH-QUALITY,
TRAINING, CAREER DEVELOPMENT AND PERFORMANCE TO NEW OPERA AUDIENCES.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OPPENHEIMER	27,718.	1,453.	26,265.	26,265.	
WACHOVIA	8,570.	0.	8,570.	8,570.	
TO PART I, LINE 4	36,288.	1,453.	34,835.	34,835.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NONDIVIDEND DISTRIBUTIONS	914.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	914.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	7,500.	3,750.		3,750.
TO FORM 990-PF, PG 1, LN 16B	7,500.	3,750.		3,750.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	1,343.	1,343.		0.
EXCISE TAX	404.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,747.	1,343.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	20,123.	20,123.		0.
WEBSITE FEES	394.	197.		197.
OTHER FEES	232.	232.		0.
TO FORM 990-PF, PG 1, LN 23	20,749.	20,552.		197.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
WACHOVIA SECURITIES - SEE ATTACHMENT	262,427.	410,560.
OPPENHEIMER SEE ATTACHMENT	140,199.	209,263.
OPPENHEIMER SEE ATTACHMENT	203,094.	283,757.
OPPENHEIMER SEE ATTACHMENT	141,213.	195,053.
OPPENHEIMER SEE ATTACHMENT	251,789.	232,254.
OPPENHEIMER SEE ATTACHMENT	134,616.	192,807.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,133,338.	1,523,694.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 7

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DAVID F. HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	PRESIDENT/TREASURER 0.00	0.	0.	0.
VIRGINIA L. HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	VICE PRESIDENT 0.00	0.	0.	0.
REV. ANDREW HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	DIRECTOR 0.00	0.	0.	0.
PATRICIA H. BORN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	SECRETARY/DIRECTOR 0.00	0.	0.	0.
LAURA C. HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	DIRECTOR 0.00	0.	0.	0.
SUSAN DINGLE HAGEN 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	DIRECTOR 0.00	0.	0.	0.
DANE G. PATTERSON 401 E. LINTON BLVD. #257 DELRAY BEACH, FL 33483	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 8

NAME OF MANAGER

DAVID F. HAGEN
VIRGINIA L. HAGEN

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D STATEMENT 9

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

THE HAGEN FAMILY FOUNDATION
401 E. LINTON BLVD. #257
DELRAY BEACH, FL 33483

TELEPHONE NUMBER

(561)-279-8042

FORM AND CONTENT OF APPLICATIONS

BACKGROUND OF THE ORGANIZATION APPLYING FOR FUNDING

DESCRIPTION OF THE PROJECT FOR WHICH THE ORGANIZATION IS SEEKING FUNDS

QUALIFICATIONS OF THE PEOPLE WHO WILL BE DIRECTING THE PROJECT

EXPLANATION OF HOW THE SUCCESS OF THE PROJECT WILL BE MEASURED

ROLES OF OTHER ORGANIZATIONS YOU WILL BE WORKING WITH TO ACCOMPLISH YOUR OBJECTIVES

PLANS FOR THE FUTURE SUSTAINABILITY OF THE PROJECT

ANY SUBMISSION DEADLINES

MID JUNE. PLEASE REFER TO THE FOUNDATION WEBSITE ADDRESS FOR ADDITIONAL DEADLINE DETAILS.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION DOES NOT CONSIDER CONTRIBUTIONS TO ANNUAL DRIVES, CAPITAL CAMPAIGNS, RESEARCH, OR OTHER SUPPORT OF ON-GOING PROGRAMS.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 10
PART XV, LINES 2A - 2D (CONTINUATION)

FORM AND CONTENT OF APPLICATIONS

SPECIFIC DOLLAR AMOUNT BEING REQUESTED FROM THE FOUNDATION

OPERATING BUDGET FOR THE PROJECT SHOWING WHAT THE ORGANIZATION AND OTHER APPROPRIATE PARTIES ARE COMMITTING TO THE EFFORT

LISTING OF THE ORGANIZATION'S BOARD OF DIRECTORS

COPY OF THE ORGANIZATION'S IRS 501(C)(3) DETERMINATION LETTER

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
BANK OF AMERICA CORP BAC								
Acquired 08/23/11	150	10.61	1,592.49		2,335.50	743.01		
Acquired 01/06/12	480	6.18	2,966.40		7,473.60	4,507.20		
Acquired 05/03/12	400	7.91	3,166.92		6,228.00	3,061.08		
Total	1,030		$7,725.81	15.5700	$16,037.10	$8,311.29	$41.20	0.26

WELLS FARGO ADVISORS

THE HAGEN FAMILY FOUNDATION

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
BED BATH & BEYOND INC BBBY								
Acquired 01/16/13	100	56.27	5,627.00		8,030.00	2,403.00		
Acquired 01/16/13	30	56.27	1,688.19		2,409.00	720.81		
Total	**130**		**$7,315.19**	**80.3000**	**$10,439.00**	**$3,123.81**	**N/A**	**N/A**
BERKSHIRE HATHAWAY INC SERIES B NEW BRK/B								
Acquired 02/10/00 nc	130	30.72	3,993.60		15,412.80	11,419.20		
Acquired 08/18/11	40	69.67	2,786.80		4,742.40	1,955.60		
Acquired 09/06/11	20	68.43	1,368.60		2,371.20	1,002.60		
Acquired 09/22/11	60	65.54	3,932.40		7,113.60	3,181.20		
Total	**250**		**$12,081.40**	**118.5600**	**$29,640.00**	**$17,558.60**	**N/A**	**N/A**
CHEVRON CORPORATION CVX								
Acquired 06/25/10 nc	80	70.29	5,623.80	124.9100	9,992.80	4,369.00	320.00	3.20
CISCO SYSTEMS INC CSCO								
Acquired 04/28/11	200	17.30	3,461.06		4,486.00	1,024.94		
Acquired 06/23/11	200	15.19	3,039.40		4,486.00	1,446.60		
Total	**400**		**$6,500.46**	**22.4300**	**$8,972.00**	**$2,471.54**	**$272.00**	**3.03**
COCA-COLA COMPANY KO								
Acquired 04/12/06 nc	300	20.48	6,144.00		12,393.00	6,249.00		
Acquired 07/17/08 nc	200	25.37	5,074.76		8,262.00	3,187.24		
Total	**500**		**$11,218.76**	**41.3100**	**$20,655.00**	**$9,436.24**	**$560.00**	**2.71**
CONOCOPHILLIPS COP								
Acquired 03/04/09 nc	35	28.72	1,005.26		2,472.75	1,467.49		
Acquired 03/23/09 nc	100	30.75	3,075.73		7,065.00	3,989.27		
Acquired 04/24/09 nc	20	31.85	637.08		1,413.00	775.92		
Total	**155**		**$4,718.07**	**70.6500**	**$10,950.75**	**$6,232.68**	**$427.80**	**3.91**
CUMMINS INC CMI								
Acquired 06/21/13	40	112.24	4,489.60	140.9700	5,638.80	1,149.20	100.00	1.77

THE HAGEN FAMILY FOUNDATION

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
DEVON ENERGY CORP DVN								
Acquired 11/21/12	120	52.86	6,343.82		7,424.40	1,080 58		
Acquired 06/11/13	70	55.55	3,888.50		4,330.90	442.40		
Total	**190**		**$10,232.32**	**61.8700**	**$11,755.30**	**$1,522.98**	**$167.20**	**1.42**
EBAY INC EBAY								
Acquired 04/16/09 nc	50	14.44	722.30		2,743.25	2,020.95		
Acquired 08/02/10 nc	300	21.41	6,423.00		16,459.50	10,036.50		
Total	**350**		**$7,145.30**	**54.8650**	**$19,202.75**	**$12,057.45**	**N/A**	**N/A**
GENERAL ELECTRIC COMPANY GE								
Acquired 03/05/07 nc	50	34.70	1,735.37		1,401.50	-333.87		
Acquired 05/23/08 nc	100	30.43	3,043.50		2,803.00	-240.50		
Acquired 05/30/08 nc	50	30.82	1,541.00		1,401.50	-139.50		
Acquired 11/25/08 nc	100	15.72	1,572.85		2,803.00	1,230.15		
Total	**300**		**$7,892.72**	**28.0300**	**$8,409.00**	**$516.28**	**$264.00**	**3.14**
GOLDMAN SACHS GROUP INC GS								
Acquired 05/31/12	40	95.38	3,815.20	177.2600	7,090.40	3,275.20	88 00	1.24
INTEL CORP INTC								
Acquired 04/21/06 nc	100	19.13	1,913.80		2,595 50	681.70		
Acquired 06/06/06 nc	300	17.73	5,321 40		7,786.50	2,465.10		
Total	**400**		**$7,235.20**	**25.9550**	**$10,382.00**	**$3,146.80**	**$360.00**	**3.47**
INTERNATIONAL BUSINESS MACHINE CORP IBM								
Acquired 10/17/13	60	175.61	10,536.60	187.5700	11,254.20	717.60	228.00	2 02
INTERNATIONAL GAME TECHNOLOGY IGT								
Acquired 03/06/12	350	14.88	5,208.00		6,356.00	1,148.00		
Acquired 05/04/12	150	15.19	2,279.33		2,724.00	444.67		
Acquired 05/23/12	200	13.96	2,793.04		3,632.00	838.96		
Acquired 07/26/12	100	11.30	1,130.00		1,816.00	686.00		
Acquired 07/26/12	50	11.29	564.75		908.00	343.25		

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Total	**850**		**$11,975.12**	**18.1600**	**$15,436.00**	**$3,460.88**	**$374.00**	**2.42**
JOHNSON & JOHNSON JNJ								
Acquired 04/03/07 nc	130	60.70	7,891.93		11,906.70	4,014.77		
Acquired 06/04/12	40	62.26	2,490 40		3,663.60	1,173 20		
Total	**170**		**$10,382.33**	**91.5900**	**$15,570.30**	**$5,187.97**	**$448.80**	**2.88**
MC CORMICK & CO INC NON VTG MKC								
Acquired 08/21/09 nc	100	32.35	3,235.47	68.9200	6,892.00	3,656.53	148.00	2 14
MEDTRONIC INC MDT								
Acquired 12/12/08 nc	105	30.09	3,160.27		6,025.95	2,865.68		
Acquired 08/02/10 nc	200	37.51	7,503.32		11,478.00	3,974.68		
Acquired 09/29/10 nc	50	33.31	1,665.50		2,869.50	1,204.00		
Total	**355**		**$12,329.09**	**57.3900**	**$20,373.45**	**$8,044.36**	**$397.60**	**1.95**
MERCK & CO INC NEW MRK								
Acquired 01/21/11	135	33.90	4,577.18		6,756.75	2,179.57		
Acquired 01/21/11	100	33.90	3,390.73		5,005.00	1,614.27		
Acquired 01/21/11	100	33.90	3,390.78		5,005.00	1,614.22		
Acquired 04/19/11	175	33.71	5,900 48		8,758.75	2,858.27		
Total	**510**		**$17,259.17**	**50.0500**	**$25,525.50**	**$8,266.33**	**$897.60**	**3.52**
MICROSOFT CORP MSFT								
Acquired 06/06/06 nc	385	22.11	8,515.50		14,402.85	5,887.35		
Acquired 07/01/10 nc	200	23.01	4,603.20		7,482.00	2,878.80		
Acquired 10/04/10 nc	150	23.81	3,572.55		5,611.50	2,038.95		
Total	**735**		**$16,691.25**	**37.4100**	**$27,496.35**	**$10,805.10**	**$823.20**	**2.99**
MOLSON COORS BREWING CO CL-B TAP								
Acquired 11/07/12	180	41.60	7,488.00		10,107.00	2,619.00		
Acquired 11/15/12	50	39.66	1,983.00		2,807 50	824.50		
Total	**230**		**$9,471.00**	**56.1500**	**$12,914.50**	**$3,443.50**	**$294.40**	**2.28**

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
NATHAN'S FAMOUS INC NEW NATH								
Acquired 08/21/09 nc	60	13.41	804.60		3,024 60	2,220.00		
Acquired 08/28/09 nc	100	12.78	1,278.75		5,041.00	3,762.25		
Total	**160**		**$2,083.35**	**50.4100**	**$8,065.60**	**$5,982.25**	**N/A**	**N/A**
PEPSICO INCORPORATED PEP								
Acquired 06/24/08 nc	63	64.21	4,045.70		5,225.22	1,179.52		
Acquired 07/17/08 nc	50	64.98	3,249.00		4,147.00	898.00		
Acquired 12/12/08 nc	90	51.65	4,649.35		7,464.60	2,815.25		
Total	**203**		**$11,944.05**	**82.9400**	**$16,836.82**	**$4,892.77**	**$460.81**	**2.74**
TESCO PLC SPON ADR TSCDY								
Acquired 02/13/12	740	15.30	11,322.00		12,461.60	1,139.60		
Acquired 05/23/12	240	14.45	3,468.00		4,041.60	573.60		
Acquired 08/11/13	120	16.10	1,933.08		2,020.80	87.72		
Total	**1,100**		**$16,723.08**	**16.8400**	**$18,524.00**	**$1,800.92**	**$709.50**	**3.83**
TOTAL S.A. SPONS ADR TOT								
Acquired 01/12/12	115	49.91	5,740 45		7,046.05	1,305.60		
Acquired 04/23/12	80	46.97	3,757.60		4,901.60	1,144.00		
Acquired 05/04/12	30	46.39	1,391.70		1,838.10	446.40		
Total	**225**		**$10,889.75**	**61.2700**	**$13,785.75**	**$2,896.00**	**$590.85**	**4.29**
WALGREEN COMPANY WAG								
Acquired 08/06/10 nc	220	27.86	6,130.60		12,636.80	6,506.20		
Acquired 11/08/11	90	32.51	2,925.90		5,169.60	2,243.70		
Total	**310**		**$9,056.50**	**57.4400**	**$17,806.40**	**$8,749.90**	**$390.60**	**2.19**
WEIGHT WATCHERS INTL INC WTW	350	35 87**	12,556.46	32.9300	11,525.50	-1,030.96	245.00	2.12
YAHOO INC YHOO								
Acquired 02/09/11	10	16.44	164.46		404 40	239.94		
Acquired 07/20/11	200	13.45	2,691.08		8,088.00	5,396.92		
Total	**210**		**$2,855.54**	**40.4400**	**$8,492.40**	**$5,636.86**	**N/A**	**N/A**

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THE HAGEN FAMILY FOUNDATION

ADVISOR

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
ZEP INC								
ZEP								
Acquired 08/14/13	300	14.05	4,215.00		5,448.00	1,233.00		
Acquired 08/14/13	200	14 09	2,819.92		3,632.00	812.08		
Acquired 08/27/13	100	14.09	1,409.00		1,816.00	407.00		
Total	**600**		**$8,443.92**	**18.1600**	**$10,896.00**	**$2,452.08**	**$120.00**	**1.10**
Total Stocks and ETFs			**$262,426.51**		**$410,559.67**	**$148,133.16**	**$8,728.56**	**2.13**
Total Stocks, options & ETFs			**$262,426.51**		**$410,559.67**	**$148,133.16**	**$8,728.56**	**2.13**

** Because you have more than 6 tax lots, we are showing the average cost per share.
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

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ATTN NANCY MECONI
2601 CAMBRIDGE COURT

DUPLICATE FOR:
HAGEN FAMILY FOUNDATION
ATTN DAVID F HAGEN

Page	Account Number	Financial Advisor	Period Ending
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Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A The total gains and/or losses do not reflect positions which we do not have cost information Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate

Equities

Please note the following icon __ appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage If you wish to access such research you may visit the Client Access web site (www opco com) or speak with your Financial Advisor

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
IABORS INDUSTRIES LTD	(G) CASH	168	NBR	17 97430	16 99000	3,019 68	2,854 32	(165)	0 941%	26	1 36
RENAISSANCERE HOLDINGS LTD	(I) CASH	16	RNR	94.65000	97.34000	1,514 40	1,557.44	43	1 150%	17	0 74
·LEXTRONICS INTL LTD ORD	(Q) CASH	282	FLEX	7 23730	7.77000	2,040 93	2,191 14	150			1.04
ALLEGHENY TECHNOLOGIES INC	(C) CASH	78	ATI	37 71600	35 63000	2,941.85	2,779 14	(163)	2 020%	56	1 32
AMCOL INTL CORP	(S) CASH	76	ACO	29 32660	33 98000	2 228 82	2,582.48	354	2 354%	60	1.23
AMERICAN EQTY INVT LIFE HLD CO	(I) CASH	178	AEL	17 00720	26 38000	3,027 28	4,695 64	1,668	0 682%	32	2 23
ASTORIA FINL CORP	(I) CASH	154	AF	14 84640	13 83000	2,286.35	2.129 82	(157)	1 156%	24	1 01
AUTODESK INC	(Q) CASH	72	ADSK	33 21240	50 31900	2,391.29	3,622 96	1,232			1 72
BORGWARNER INC	(S) CASH	136	BWA	14 41160	55 91000	1,959 98	7,603 76	5 644	0 894%	68	3 61
CAI INTERNATIONAL INC	(I) CASH	89	CAP	22.48190	23 57000	2,000 89	2,097.73	97			1 00
CBRE GROUP INC CLA	(I) CASH	120	CBG	20 85670	26 30000	2,502 80	3,156 00	653			1 50
CABOT CORP	(F) CASH	42	CBT	48.61190	51 40000	2,041 70	2,158.80	117	1 556%	33	1 03
CABOT MICROELECTRONICS CORP	(Q) CASH	78	CCMP	42.95330	45 70000	3,350 36	3,564 60	214			1 69

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Page	Account Number	Financial Advisor	Period Ending
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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
CASH AMER INTL INC	(I) CASH	66	CSH	42 05120	38 30000	2,775 38	2 527 80	(248)	0 365%	9	1 20
CENTENE CORP DEL	(L) CASH	84	CNC	19 22270	58 95000	1,614 71	4 951 80	3 337			2 35
COVANCE INC	(L) CASH	43	CVD	65 65000	88 06000	2,822 95	3 786 58	964			1 80
CUMMINS INC	(S) CASH	59	CMI	29 81490	140 97000	1,759 08	8,317 23	6,558	1 773%	147	3 95
D R HORTON INC	(E) CASH	192	DHI	20 89170	22 32000	4,011 20	4,285 44	274			2 04
DARDEN RESTAURANTS INC	(J) CASH	51	DRI	43 27020	54 37000	2 206 78	2,772 87	566	4 046%	112	1 32
EASTMAN CHEM CO	(C) CASH	78	EMN	29 27620	80 70000	2 283 54	6,294.60	4,011	1 734%	109	2 99
EATON VANCE CORP COM NON VTG	(I) CASH	76	EV	29 04000	42 79000	2,207 04	3,252 04	1,045	2 056%	66	1 54
ENTEGRIS INC	(F) CASH	257	ENTG	9 09430	11 59000	2,337 24	2 978 63	641			1 41
FLIR SYS INC	(Q) CASH	126	FLIR	14 99170	30 10000	1,888 95	3,792 60	1,904	1 196%	45	1 80
GLOBAL PMTS INC	(P) CASH	57	GPN	42 39120	64 99000	2,416 30	3,704 43	1 288	0 123%	4	1 76
HEXCEL CORP NEW	(C) CASH	91	HXL	22 21820	44 69000	2,021 86	4,066 79	2,045			1 93
HUNTINGTON INGALLS INDS INC	(E) CASH	44	HII	67.71770	90 01000	2,979 58	3,960 44	981	0 888%	35	1 88
INTERNATIONAL SPEEDWAY CORP CL A	(H) CASH	85	ISCA	33 15960	35 45000	2,818 57	3,013 25	195	0 620%	18	1 43
INTUIT	(Q) CASH	53	INTU	22 49920	76 32000	1 192 46	4 044 96	2,853	0 995%	40	1 92
JOY GLOBAL INC	(D) CASH	38	JOY	55.61180	58 49000	2,113 25	2,222 62	109	1 186%	26	1 06
KEYCORP NEW	(I) CASH	371	KEY	7 68670	13 42000	2,851 77	4,978 82	2,127	1 639%	81	2 36
MASCO CORP	(E) CASH	157	MAS	18 53870	22 77000	2 910 57	3,574 89	664	1 317%	47	1 70
MEDICAL PPTYS TRUST INC REIT	(I) CASH	237	MPW	12 07080	12 22000	2,860 79	2 896 14	35	6 873%	199	1 38
MEDNAX INC	(L) CASH	58	MD	39 40830	53 38000	2,285.68	3,096 04	810			1 47
MERITAGE HOMES CORP	(E) CASH	72	MTH	41 04970	47 99000	2,955 58	3 455 28	500			1 64
MOOG INC CL A	(S) CASH	51	MOGA	38 54080	67 94000	1 965 58	3 464 94	1 499			1 65
NEWFIELD EXPL CO	(G) CASH	101	NFX	36 37100	24 63000	3,673 47	2 487 63	(1,186)			1 18
PROTECTIVE LIFE CORP	(I) CASH	71	PL	31.05300	50 66000	2,204 76	3,596 86	1,392	1 579%	56	1 71
RAYMOND JAMES FINANCIAL INC	(I) CASH	70	RJF	28 79[illegible]	52 19000	2 015 30	3,653 30	1 638	1 226%	44	1 74

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Page	Account Number	Financial Advisor	Period Ending
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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
REINSURANCE GROUP AMER INC COM NEW	(I) CASH	45	RGA	55 79440	77 41000	2,510 75	3,483 45	973	1 550%	54	1 65
REPUBLIC SVCS INC	(N) CASH	135	RSG	25 67280	33 20000	3,465 83	4,482 00	1,016	3 132%	140	2 13
RYDER SYS INC	(S) CASH	46	R	65 20960	73 78000	2,999 64	3,393 88	394	1 843%	62	1 61
SBA COMMUNICATIONS CORP	(R) CASH	61	SBAC	18 45540	89 84000	1,125 78	5,480 24	4,354			2 60
SCOTTS MIRACLE GRO CO CLA	(A) CASH	56	SMG	44 58000	62 22000	2,496 48	3,484 32	988	2 812%	98	1 65
SEALED AIR CORP NEW	(F) CASH	122	SEE	17 81860	34 05000	2,173 87	4,154 10	1,980	1 527%	63	1 97
SNAP ON INC	(F) CASH	39	SNA	35 62490	109 52000	1,389 37	4,271 28	2,882	1 607%	68	2 03
SONOCO PRODS CO	(C) CASH	76	SON	34 73420	41 72000	2,639.80	3,170 72	531	2 972%	94	1 51
SOUTH JERSEY INDS INC	(G) CASH	45	SJI	42 25360	55 96000	1,901 41	2,518 20	617	3 377%	85	1 20
STIFEL FINL CORP	(I) CASH	75	SF	37.77010	47 92000	2,832 76	3,594 00	761			1 71
SWIFT ENERGY CO	(G) CASH	133	SFY	25.38450	13 50000	3,376 14	1,795 50	(1,581)			0 85
SYNOPSYS INC	(Q) CASH	82	SNPS	22 01500	40.57000	1,805 23	3,326 74	1,522			1 58
TAL INTL GROUP INC	(I) CASH	42	TAL	45 17000	57 35000	1,897 14	2,408 70	512	4 882%	117	1 14
TIMKEN CO	(C) CASH	78	TKR	28 64560	55 07000	2,234 36	4,295 46	2,061	1 670%	71	2 04
TRUSTMARK CORP	(I) CASH	85	TRMK	25 01190	26.84000	2,126 01	2,281 40	155	3 427%	78	1 08
UNITED BANKSHARES INC WEST VA	(I) CASH	102	UBSI	23 80860	31 45000	2,428 48	3,207 90	779	4.069%	130	1 52
UNITED NAT FOODS INC	(J) CASH	50	UNFI	40 97900	75 39000	2,048 95	3,769 50	1,721			1 79
VALSPAR CORP	(C) CASH	71	VAL	27 50890	71 29000	1,953 13	5,061 59	3,108	1 458%	73	2 40
WGL HLDGS INC	(G) CASH	57	WGL	35 28460	40.06000	2,011 22	2,283 42	272	4 193%	95	1.08
WHITING PETE CORP NEW	(G) CASH	53	WLL	41 20060	61.87000	2,183 63	3.279.11	1,095			1 56

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Common Stock

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XILINX INC	(Q) CASH	73	XLNX	29 04180	45 92000	2,120 05	3,352 16	1,232	2 177%	73	1 59
SUB-TOTAL COMMON STOCK						140,198.75	209,263.48	69,061		2,672	99.39
TOTAL EQUITIES						140,198.75	209,263.48	69,061		2,672	99.39

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(G)	7%	ENERGY	(I)	23%	FINANCIAL	(Q)	11%	TECHNOLOGY	(C)	12%	BASIC INDUSTRY	(S)	12%	TRANSPORTATION
(F)	6%	CONSUMER GOODS	(L)	5%	HEALTHCARE	(E)	7%	CONSTRUCTION & BLDG	(J)	3%	FOOD & BEVERAGES	(P)	1%	RETAIL SERVICES
(H)	1%	ENTERTAINMENT	(D)	1%	CAPITAL GOODS	(N)	2%	PUBLIC SERVICES	(R)	2%	TELECOMMUNICATIONS	(A)	1%	AGRICULTURE

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Holdings	$141,491.30	$210,556.03	$69,061	1 269%	2,672	100%

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Portfolio Holdings

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Equities

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ALLEGION PUB LTD CO ORD SHS	(I) CASH	53	ALLE	24 89700	44 19000	1,319 54	2,342.07	1,023			0 80
EATON CORP PLC	(B) CASH	139	ETN	54 15540	76 12000	7,527 60	10,580 68	3,053	2 207%	233	3 60
ENSCO PLC SHS CLASS A	(G) CASH	125	ESV	57.26790	57 18000	7,158 49	7,147 50	(11)	3 934%	281	2 43
INGERSOLL-RAND PLC	(Q) CASH	160	IR	32 35640	61 60000	5,177.02	9,856.00	4 679	1 363%	134	3.35
NABORS INDUSTRIES LTD	(G) CASH	450	NBR	24 61160	16 99000	11,075.23	7,645 50	(3,430)	0 941%	72	2 60
NOBLE CORP PLC SHS USD	(G) CASH	395	NE	29 72880	37 47000	11,742 87	14,800 65	3,058	2 028%	300	5 03
PARTNERRE LTD	(I) CASH	30	PRE	67 08000	105 43000	2,012 40	3,162 90	1,151	2 428%	76	1 08
WEATHERFORD INTERNATIONAL LTD REG SHS	(G) CASH	880	WFT	18.14560	15.49000	15,968 11	13,631.20	(2,337)			4 64
UBS AG SHS NEW	(I) CASH	259	UBS	29 03130	19 25000	7 519 11	4,985 75	(2,533)	0 831%	41	1 70
CORE LABORATORIES N V	(G) CASH	30	CLB	67.90170	190 95000	2,037 05	5,728 50	3 691	0 670%	38	1 95
AXA SA SPONSORED ADR	(I) CASH	135	AXAHY	26.77560	27 89000	3,614 70	3 765 15	150	2 740%	103	1 28

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BASF SE SPONSORED ADR	(C) CASH	120	BASFY	40 58000	107 79000	4,869 60	12,934 80	8 065	2 287%	295	4 40
BHP BILLITON LTD SPONSORED ADR	(C) CASH	210	BHP	42 47850	68 20000	8 920 49	14,322 00	5 402	3 401%	487	4 87
BRITISH AMERN TOB PLC SPONSORED ADR	(C) CASH	95	BTI	69 18710	107 42000	6,572 77	10,204 90	3,632	4 027%	410	3 47
BROOKFIELD ASSET MGMT INC CL A LTD VT SH	(O) CASH	75	BAM	29 43330	38.83000	2,207 50	2,912 25	705	2 060%	60	0 99
CANADIAN NATL RY CO	(S) CASH	220	CNI	20 80500	57 02000	4,577 10	12,544 40	7,967	1 419%	178	4 27
CANADIAN NAT RES LTD	(G) CASH	100	CNQ	21 30000	33 84000	2 130 00	3 384 00	1,254	2 224%	75	1 15
CANADIAN PAC RY LTD	(S) CASH	145	CP	59 73160	151 32000	8,661 08	21 941 40	13.280	0 877%	192	7 46
DIAGEO P L C SPON ADR NEW	(J) CASH	85	DEO	77 72910	132 42000	6,606 97	11,255 70	4 649	2 264%	254	3 83
MANULIFE FINL CORP	(I) CASH	195	MFC	19 51230	19 73000	3,804 89	3,847.35	42	2 473%	95	1 31
NESTLE S A SPONSORED ADR	(J) CASH	197	NSRGY	41 17340	73 59000	8 111 16	14 497 23	6 386	2 467%	357	4 93
NOVARTIS A G SPONSORED ADR	(L) CASH	80	NVS	58 68000	80 38000	4 694 40	6,430 40	1.736	2 559%	164	2 19
POTASH CORP SASK INC	(C) CASH	285	POT	11 55670	32 96000	3 293 66	9,393 60	6,100	4 247%	399	3 19
RIO TINTO PLC SPONSORED ADR	(C) CASH	300	RIO	46 86130	56.43000	14,058 40	16,929 00	2,871	3 122%	528	5 76
SCHLUMBERGER LTD	(G) CASH	185	SLB	58 06000	90 11000	10,741 10	16,670 35	5,929	1 387%	231	5 67
SUNCOR ENERGY INC NEW	(G) CASH	345	SU	33 74240	35 05000	11,641 12	12,092 25	451	2 149%	259	4 11
TALISMAN ENERGY INC	(G) CASH	140	TLM	15 20000	11 65000	2,128 00	1 631 00	(497)	2 317%	37	0 55
TECK RESOURCES LTD CL B	(C) CASH	70	TCK	35 18210	26 01000	2,462 75	1,820 70	(642)	3 306%	60	0 62
TENARIS S A SPONSORED ADR	(C) CASH	290	TS	38 01140	43 69000	11,023 30	12,670 10	1,647	1 968%	249	4 31
UNILEVER N V N Y SHS NEW	(J) CASH	205	UN	36 56960	40 23000	7,496 77	8 247 15	750	2 948%	243	2 81
VALE S A ADR	(C) CASH	320	VALE	10.68500	15 25000	3 419 20	4,880 00	1 461	0 859%	41	1 66

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
YARA INTL ASA SPONSORED ADR	(A) CASH	35	YARIY	14 90000	42 93000	521 50	1,502 55	981	4 311%	64	0 51
SUB-TOTAL COMMON STOCK						203,093.88	283,757.03	80,663		5,969	96.51
TOTAL EQUITIES						203,093.88	283,757.03	80,663		5,969	96.51

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(I)	6%	FINANCIAL	(B)	3%	APPAREL & ACCESSORIES	(G)	29%	ENERGY	(Q)	3%	TECHNOLOGY	(C)	29%	BASIC INDUSTRY
(O)	1%	REAL ESTATE	(S)	12%	TRANSPORTATION	(J)	11%	FOOD & BEVERAGES	(L)	2%	HEALTHCARE	(A)	59%	AGRICULTURE

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Holdings	$213,351.52	$294,014.67	$80,663	2.031%	5,971	100%

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Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A The total gains and/or losses do not reflect positions which we do not have cost information Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate

Equities

Please note the following icon - appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage If you wish to access such research you may visit the Client Access web site (www opco.com) or speak with your Financial Advisor

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain (Loss)	EY	EAI	Portfolio Percent
EUTSCHE BANK AG AMEN AKT	(I) CASH	56	DB	45 24000	48 24000	2,533 44	2,701 44	168	2 014%	54	1 35
CCENTURE PLC IRELAND HS CLASS A	(P) CASH	30	ACN	66.36700	82 22000	1,991 01	2,466 60	476	2 262%	55	1 23
NA CORP RD	(Q) CASH	16	SINA	59 49130	84 25000	951 86	1,348 00	396			0 67
SML HOLDING N V Y REGISTRY SHS	(Q) CASH	32	ASML	72.87630	93 70000	2,332 04	2,998 40	666	0 626%	18	1 49
NDEX N V IS CLASS A	(N) CASH	80	YNDX	26 01350	43 15000	2,081.08	3,452.00	1 371			1.72
A GROUP LTD ONSORED ADR	(I) CASH	238	AAGIY	15 42630	20 15000	3,671.47	4,795 70	1,124	0 868%	41	2 39
IDAS AG R BJECT TO CUSTODY FEE	(B) CASH	72	ADDYY	36 94680	64 23000	2,660 17	4,624 56	1,964	0 983%	45	2 30
ADEUS IT HLDG S A S	(Q) CASH	52	AMADY	32.88730	42 75000	1,710.14	2,223 00	513	1 118%	24	1.11
HEUSER BUSCH INBEV SA/NV ONSORED ADR	(J) CASH	29	BUD	101 17550	106 46000	2,934.09	3,087 34	153	2 352%	72	1 54

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ARM HLDGS PLC SPONSORED ADR	(Q) CASH	38	ARMH	6 40610	54 73100	243 43	2,079 77	1,836	0 369%	7	1 04
ASSA ABLOY AB ADR	(C) CASH	126	ASAZY	13 47900	26 45000	1,698 35	3 332 70	1,634	1 145%	38	1 66
BAIDU INC SPON ADR REP A SUBJECT TO CUSTODY FEE	(N) CASH	16	BIDU	115.95880	177 88000	1,855 34	2,846 08	991			1 42
BANCO BILBAO VIZCAYA ARGENTARI SPONSORED ADR	(I) CASH	196	BBVA	11 07120	12 39000	2,169 96	2 428 44	258	3 535%	85	1 21
BURBERRY GROUP PLC SPONSORED ADR	(B) CASH	57	BURBY	42 56420	50 55000	2 426 16	2,881 35	455	1 753%	50	1 43
CANADIAN NATL RY CO	(S) CASH	71	CNI	28 60100	57 02000	2,030 67	4,048 42	2,018	1 419%	57	2 02
CARNIVAL CORP PAIRED CTF	(S) CASH	77	CCL	33.68270	40 17000	2,593 57	3,093 09	500	2 489%	77	1 54
CHINA MOBILE LIMITED SPONSORED ADR	(R) CASH	55	CHL	54 05820	52 29000	2 973 20	2,875 95	(97)	3 854%	110	1 43
COMPASS GROUP PLC SPON ADR NEW	(J) CASH	211	CMPGY	12 07890	16 12900	2,548 64	3,403 21	855	2 166%	73	1 69
DASSAULT SYS S A SPONSORED ADR	(Q) CASH	17	DASTY	65 55290	124 30000	1 114 40	2,113 10	999	0 585%	12	1 05
EMBRAER S A SP ADR REP 4 COM	(S) CASH	77	ERJ	23 03740	32 18000	1 773 88	2,477 86	704	0 251%	6	1 23
EXPERIAN PLC SPONSORED ADR	(I) CASH	111	EXPGY	17 94620	18 43000	1,992 03	2,045 73	54	1 741%	35	1.02
FANUC CORPORATION ADR	(E) CASH	127	FANUY	14 24650	30 71000	1,809 31	3 900 17	2,091	0 670%	26	1 94
FRESENIUS MED CARE AG&CO KGAA SPONSORED ADR	(L) CASH	104	FMS	20 37840	35 58000	2 119.35	3,700 32	1 581	0 938%	34	1 84
HSBC HLDGS PLC SPON ADR NEW	(I) CASH	53	HSBC	46 35870	55 13000	2,457 01	2,921 89	465	4 353%	127	1 46
HENNES & MAURITZ AB ADR	(P) CASH	374	HNNMY	5 19870	9 16000	1,944 33	3,425 84	1,482	2 434%	83	1 71
HONG KONG EXCHANGES & CLEARING ADR	(I) CASH	127	HKXCY	8 29590	16 69000	1,053 58	2,119 63	1,066	2 288%	48	1 06
INDUSTRIAL & COML BK CHINA ADR	(I) CASH	138	IDCBY	14 78[illegible]40	13 58000	2,040 80	1 874 04	(167)	4 775%	89	0 93

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ING GROEP N V SPONSORED ADR SUBJECT TO CUSTODY FEES	(I) CASH	311	ING	10.66560	14 01000	3,316 99	4,357 11	1,040			2 17
INTESA SANPAOLO S P A SPON ADR	(I) CASH	166	ISNPY	13 91390	14 95000	2,309 71	2,481 70	172	3 832%	95	1 24
ITAU UNIBANCO HLDG SA SPON ADR REP PFD	(I) CASH	133	ITUB	18 08110	13.57000	2,404 79	1,804 81	(600)	0 580%	10	0 90
JULIUS BAER GROUP LTD ADR	(I) CASH	202	JBAXY	9 60220	9 65000	1,939 65	1,949 30	10			0 97
KINGFISHER PLC SPON ADR PAR	(P) CASH	405	KGFHY	8 31630	12 84000	3,368 10	5,200 20	1 832	2 095%	108	2 59
KOMATSU LTD SPON ADR NEW	(E) CASH	99	KMTUY	19 72960	20 58000	1,953 23	2,037 42	84	2 157%	43	1.01
KONE OYJ ADR	(E) CASH	84	KNYJY	21 68640	22 60000	1,821 66	1,898 40	77	5 130%	97	0 95
KUBOTA CORP ADR	(C) CASH	35	KUBTY	75 01570	83 60000	2,625 55	2.926 00	300	0 990%	28	1 46
LVMH MOET HENNESSY LOU VUITTON ADR	(J) CASH	148	LVMUY	16 57090	36 59990	2,452 49	5,416 78	2.964	1 587%	86	2 70
LAS VEGAS SANDS CORP	(K) CASH	49	LVS	44.87940	78 87000	2,199 09	3,864 63	1,666	1 775%	68	1 92
LULULEMON ATHLETICA INC	(B) CASH	49	LULU	67 57330	59 03000	3,311 09	2,892 47	(419)			1 44
MERCADOLIBRE INC	(P) CASH	18	MELI	71 43610	107 79000	1,285 85	1,940 22	654	0 530%	10	0 97
MICHELIN COMPAGNIE GENERALE DE ADR	(S) CASH	157	MGDDY	15 55660	21 35000	2,442 39	3,351.95	910	2 234%	74	1 67
MITSUBISHI UFJ FINL GROUP INC SPONSORED ADR	(I) CASH	581	MTU	6 00700	6 68000	3,490 08	3,881 08	391	1 905%	73	1 93
NESTLE S A SPONSORED ADR	(J) CASH	57	NSRGY	41.59820	73 59000	2,371 10	4,194 63	1,824	2 467%	103	2 09
NOKIA CORP SPONSORED ADR	(M) CASH	121	NOK	8 08680	8 11000	978 50	981 31	3			0 49
NOVARTIS A G SPONSORED ADR	(L) CASH	50	NVS	48 91880	80 38000	2,445 94	4,019 00	1,573	2 559%	102	2 00
NOVO-NORDISK A S ADR	(L) CASH	28	NVO	42 40860	184 76000	1,187 44	5,173.28	3,986	1 225%	63	2 58
PT BK MANDIRI PERSERO TBK ADR	(I) CASH	109	PPERY	8 81390	6 46000	960.72	704 14	(257)	2 291%	16	0 35

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
PACIFIC RUBIALES ENERGY CORP COM NEW	(C) CASH	153	PEGFF	20 16560	17 26110	3,085 33	2,640 94	(444)	3 823%	100	1 32
PEARSON PLC SPONSORED ADR	(M) CASH	127	PSO	18 82920	22 40000	2,391 31	2,844 80	453	3 205%	91	1 42
PUBLICIS S A NEW SPONSORED ADR	(M) CASH	279	PUBGY	13 16260	22 97000	3,672.36	6,408 63	2 736	0 937%	60	3 19
RECKITT BENCKISER PLC SPONSORED ADR	(L) CASH	257	RBGLY	9 58550	16 09000	2,463 47	4,135 13	1,672	2 554%	105	2 06
ROCHE HLDG LTD SPONSORED ADR SUBJECT TO CUSTODY FEE	(L) CASH	58	RHHBY	61 35670	70 20000	3,558 69	4,071 60	513	2 314%	94	2 03
SABMILLER PLC SPONSORED ADR	(J) CASH	61	SBMRY	31 87690	51 28000	1 944 49	3 128 08	1,184	1 934%	60	1 56
SAP AG SPON ADR	(Q) CASH	27	SAP	49 52410	87 14000	1,337 15	2,352 78	1 016	0 915%	21	1 17
SCHLUMBERGER LTD	(G) CASH	49	SLB	56 31900	90 11000	2,759 63	4 415 39	1 656	1 387%	61	2 20
SOUTHERN COPPER CORP	(C) CASH	47	SCCO	33 54400	28 71000	1,576 57	1,349 37	(227)	2 368%	31	0 67
SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR SUBJECT TO CUSTODY FEES	(I) CASH	616	SUTNY	5 14140	5 29000	3,167 10	3 258 64	92	1 448%	47	1 62
SWATCH GROUP AG ADR	(C) CASH	82	SWGAY	19 40070	33 20000	1 590 86	2,722 40	1 132	0 627%	17	1 36
SYNGENTA AG SPONSORED ADR	(A) CASH	37	SYT	75 84240	79 94000	2,806 17	2,957 78	152	2 124%	62	1 47
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	(Q) CASH	164	TSM	18 27270	17 44000	2 996 72	2,860.16	(137)	2 299%	65	1 42
TATA MTRS LTD SPONSORED ADR SUBJECT TO CUSTODY FEE	(S) CASH	63	TTM	24 55970	30 80000	1,547 26	1,940 40	393	0 433%	8	0 97
TENCENT HLDGS LTD ADR	(R) CASH	48	TCEHY	20 92650	64 42000	1 004 47	3,092 16	2,088	0 181%	5	1 54
TOYOTA MOTOR CORP SP ADR REP2COM	(S) CASH	39	TM	86 58410	121 92000	3 376 78	4 754 88	1,378	1 924%	91	2 37

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
YUM BRANDS INC	(J) CASH	50	YUM	67 22220	75 61000	3,361 11	3,780 50	419	1.957%	74	1 88
SUB-TOTAL COMMON STOCK						**141,213.15**	**195,052.70**	**53,842**		**3,262**	**97.13**
TOTAL EQUITIES						**141,213.15**	**195,052.70**	**53,842**		**3,262**	**97 13**

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(I)	19%	FINANCIAL	(P)	6%	RETAIL SERVICES	(Q)	8%	TECHNOLOGY	(N)	3%	PUBLIC SERVICES	(B)	5%	APPAREL & ACCESSORIES
(J)	11%	FOOD & BEVERAGES	(C)	6%	BASIC INDUSTRY	(S)	10%	TRANSPORTATION	(R)	3%	TELECOMMUNICATIONS	(E)	4%	CONSTRUCTION & BLDG
(L)	10%	HEALTHCARE	(K)	1%	GAMING/LODGING	(M)	5%	MEDIA & COMMUNICATION	(G)	2%	ENERGY	(A)	1%	AGRICULTURE

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Holdings	$146,976.22	$200,814.77	$53,842	1.625%	3,263	100%

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Portfolio Holdings

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Mutual Funds

Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decr	EY	EAI	Est Yield to Net Cost	Portfolio Percent
INVESCO BALANCED RISK ALLOC FD CL C OPEN END		2,778 4500	12 62307	35,072 58	31,278 20	11.42000	31,729 89	(3,343)	451				12 47
SYMBOL. ABRCX	Purchases	2,451 2700	12 75999	31,278.20	31,278 20		27,993 50	(3,285)					
	Reinvestment	327 1800	11 59722	3,794.38			3,736 39	(58)					
FIRST EAGLE HIGH YIELD FD CL C OPEN END		3,315.5010	10.02285	33,230 79	31,278.20	10 12000	33,552 87	322	2,274	5 000%	1,677	5 04	13 19
SYMBOL. FEHCX	Purchases	3,121.5770	10 01999	31,278 20	31,278 20		31,590 35	312					
	Reinvestment	193 9240	10 06884	1,952 59			1,962 51	10					
PYXIS FLOATING RATE OPPORTUNITIES FUND CLASS C OPEN END		6,368 8290	12 41000	79,037 17	79,037 17	8 17000	52,033 33	(27,004)	(27,003)	3 380%	1,758	2 22	20 46
SYMBOL. HFRCX	Purchases	6,368 8290	12 41000	79,037.17	79,037 17		52,033 33	(27,004)					
IVY HIGH INCM FD C OPEN END		4,056 0020	8.56760	34,750 23	31,278 20	8.64000	35,043 85	294	3,765	6 447%	2,259	6 50	13 78
SYMBOL WRHIX	Purchases	3,653 9950	8 56000	31,278 20	31,278.20		31,570 51	292					
	Reinvestment	402 0070	8.63674	3,472 03			3,473 33	1					
IVY ASSET STRATEGY FD C OPEN END		1,286 7580	24 68381	31,762 10	31,278 20	31 14000	40,069 64	8,308	8,791	0 025%	10	0 03	15 75
SYMBOL· WASCX	Purchases	1,267 3500	24.68000	31,278 20	31,278 20		39,465 27	8,187					
	Reinvestment	19 4080	24 93301	483 90			604 36	120					

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Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decr	EY	EAI	Est Yie'd to Net Cost	Portfolio Percent
JPMORGAN SHORT DURATION BD FD C OPEN END SYMBOL: OSTCX		429 0230	11 06572	4,747 45	3 912 71	10 94000	4,693 51	(54)	780	0 210%	9	0 20	1 85
	Purchases	353 4520	11 06998	3,912 71	3,912 71		3,866 76	(46)					
	Reinvestment	75 5710	11 04577	834 74			826 74	(8)					
LOOMIS STRATEGIC INCM FD C OPEN END SYMBOL: NECZX		2,133 0010	15 55982	33,189 12	31,278 20	16 47000	35,130 52	1,941	3,852	3 390%	1,191	3 58	13 80
	Purchases	2,012 7540	15 54000	31,278 20	31,278 20		33,150 05	1,872					
	Reinvestment	120.2470	15 89162	1,910 92			1,980 46	70					
SUB-TOTAL OPEN END FUNDS				251,789.44			232,253 61	(19,536)			6,907		91 30
TOTAL MUTUAL FUNDS				251,789.44			232,253.61	(19,536)			6,907		91.30

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Holdings	$273,909.19	$254,373.36	$(19,536)	2.717%	6,912	100%

OPPENHEIMER

Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT

SIPC

PLANTE MORAN
ATTN: NANCY MECONI
2601 CAMBRIDGE COURT

DUPLICATE FOR:
HAGEN FAMILY FOUNDATION
ATTN MR DAVID F HAGEN

Page	Account Number	Financial Advisor	Period Ending
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Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A The total gains and/or losses do not reflect positions which we do not have cost information Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate

Equities

Please note the following icon — appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage If you wish to access such research you may visit the Client Access web site (www opco com) or speak with your Financial Advisor

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
AT&T INC	(R) CASH	140	T	32 83710	35 16000	4,597 20	4,922 40	325	5 233%	257	2 41
ALTRIA GROUP INC	(C) CASH	150	MO	21,92050	38 39000	3,288 07	5 758 50	2,470	5 001%	288	2 82
ASTRAZENECA PLC SPONSORED ADR	(L) CASH	80	AZN	46 82990	59 37000	3,746 39	4,749 60	1 003	4 716%	224	2 32
BCE INC COM NEW	(R) CASH	120	BCE	44 18090	43 29000	5,301 71	5,194 80	(107)	5 167%	268	2.54
BOEING CO	(S) CASH	60	BA	43 89780	136 49000	2,633 87	8,189 40	5,556	2.139%	175	4 01
CHEVRON CORP NEW	(G) CASH	50	CVX	63 87000	124 91000	3,193 50	6,245 50	3,052	3 202%	200	3 05
CISCO SYS INC	(R) CASH	225	CSCO	20 27020	22 43000	4,560 80	5,046.75	486	3 031%	153	2 47
CONOCOPHILLIPS	(G) CASH	95	COP	43 52390	70 65000	4,134 77	6,711 75	2,577	3 906%	262	3 28
DIAGEO P L C SPON ADR NEW	(J) CASH	45	DEO	71 59420	132 42000	3,221 74	5,958 90	2,737	2 264%	134	2 91
DIAMOND OFFSHORE DRILLING INC	(G) CASH	80	DO	70 46150	56 92000	5,636.92	4,553 60	(1,083)	0 878%	40	2 23
DOMINION RES INC VA NEW	(G) CASH	60	D	41 28920	64 69000	2 477 35	3,881 40	1 404	3 478%	135	1 90
DU PONT E I DE NEMOURS & CO	(C) CASH	95	DD	33 86790	64.97000	3,217 45	6,172 15	2,955	2 770%	171	3.02
GENERAL ELECTRIC CO	(R) CASH	240	GE	35 12980	28 03000	8,431 15	6,727 20	(1 704)	3 139%	211	3 29

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
GENUINE PARTS CO	(P) CASH	60	GPC	43 47380	83 19000	2,608 43	4 991 40	2,383	2 584%	129	2 44
HCP INC REIT	(I) CASH	100	HCP	31 83290	36 32000	3,183 29	3 632 00	449	5 781%	210	1 78
HSBC HLDGS PLC SPON ADR NEW	(I) CASH	70	HSBC	44 80340	55 13000	3,136 24	3 859 10	723	4 353%	168	1 89
HEALTH CARE REIT INC REIT	(I) CASH	80	HCN	42 61030	53 57000	3 408 82	4 285 60	877	5 712%	244	2 10
INTEL CORP	(Q) CASH	225	INTC	21 03640	25 95500	4 733 18	5,839 87	1 107	3 467%	202	2 86
JPMORGAN CHASE & CO COM	(I) CASH	70	JPM	46 07890	58 48000	3 225 52	4,093 60	868	2 599%	106	2 00
JOHNSON & JOHNSON	(L) CASH	80	JNJ	57 00160	91 59000	4 560 13	7,327 20	2 767	2.882%	211	3 58
KIMBERLY CLARK CORP	(F) CASH	50	KMB	71 33120	104 46000	3 566 56	5,223 00	1,656	3 101%	162	2 55
KRAFT FOODS GROUP INC	(J) CASH	46	KRFT	34 10020	53 91000	1,568 61	2,479 86	911	3 895%	96	1 21
LILLY ELI & CO	(L) CASH	140	LLY	48 20860	51 00000	6,749 21	7,140 00	391	3 843%	274	3 49
MERCK & CO INC NEW	(L) CASH	125	MRK	33 01600	50 05000	4,127 00	6,256.25	2,129	3 516%	220	3 06
METLIFE INC	(I) CASH	100	MET	40 55240	53 92000	4,055 24	5,392 00	1,337	2 040%	110	2 64
MICROSOFT CORP	(Q) CASH	155	MSFT	23 72030	37 41000	3,676 64	5,798 55	2,122	2 993%	173	2 84
NEXTERA ENERGY INC	(T) CASH	80	NEE	49 31610	85 62000	3,945 29	6,849 60	2,904	3 083%	211	3 35
PHILIP MORRIS INTL INC	(C) CASH	55	PM	45 77240	87 13000	2,517 48	4,792 15	2,275	4 315%	206	2 34
RAYTHEON CO COM NEW	(Q) CASH	90	RTN	51 62280	90 70000	4,646 05	8,163 00	3,517	2 425%	198	3 99
ROYAL DUTCH SHELL PLC SPON ADR B	(G) CASH	85	RDSB	71 09050	75 11000	6,042 69	6,384 35	342	4 792%	306	3 12
3M CO	(L) CASH	55	MMM	58 59800	140 25000	3,222 89	7,713 75	4,491	2 438%	188	3 77
TRAVELERS COMPANIES INC	(I) CASH	55	TRV	39 51620	90 54000	2,173 39	4,979 70	2,806	2 208%	110	2 44
UNILEVER N V N Y SHS NEW	(J) CASH	140	UN	24 48000	40 23000	3,427.20	5,632 20	2,205	2 948%	166	2 75

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Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
VODAFONE GROUP PLC NEW SPONS ADR NEW SUBJECT TO CUSTODY FEES	(R) CASH	200	VOD	28 00760	39 31000	5,601 52	7,862 00	2,260	4.043%	317	3 85
SUB-TOTAL COMMON STOCK						134,616.30	192,807.13	58,191		6,533	94.31
TOTAL EQUITIES						134,616.30	192,807.13	58,191		6,533	94.31

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(R)	15%	TELECOMMUNICATIONS	(C)	8%	BASIC INDUSTRY	(L)	17%	HEALTHCARE	(S)	4%	TRANSPORTATION	(G)	14%	ENERGY
(J)	7%	FOOD & BEVERAGES	(P)	2%	RETAIL SERVICES	(I)	13%	FINANCIAL	(Q)	10%	TECHNOLOGY	(F)	2%	CONSUMER GOODS
(T)	3%	UTILITIES												

	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Total Portfolio Holdings	$146,252.75	$204,443.58	$58,191	3 196%	6,535	100%