Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No. 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

Name of foundation
ETHEL AND JAMES FLINN FOUNDATION

A Employer identification number
38-2143122

Number and street (or P.O. box number if mail is not delivered to street address)
333 W. FORT STREET

Room/suite
1950

B Telephone number (see the instructions)
(313) 309-3436

City or town, state or province, country, and ZIP or foreign postal code
DETROIT MI 48226

C If exemption application is pending, check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year (*from Part II, column (c), line 16*) ► $ 63,621,257.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(*Part I, column (d) must be on cash basis.*)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (att sch)				
	2	Ck ► ☐ if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments	38.	38.		
	4	Dividends and interest from securities	2,047,837.	2,047,837.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	786,029.	L-6a Stmt		
	b	Gross sales price for all assets on line 6a 3,195,002.				
	7	Capital gain net income (from Part IV, line 2)		1,079,566.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit/(loss) (att sch)				
	11	Other income (attach schedule) See Line 11 Stmt	160,185.	-61,387.		
	12	**Total.** Add lines 1 through 11	2,994,089.	3,066,054.		
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc	302,928.	92,068.		210,860.
	14	Other employee salaries and wages	64,300.	22,071.		42,229.
	15	Pension plans, employee benefits	50,324.	15,641.		34,683.
	16a	Legal fees (attach schedule)	4,771.	1,483.		3,288.
	b	Accounting fees (attach sch) L-16b Stmt	12,400.	3,854.		8,546.
	c	Other prof fees (attach sch) L-16c Stmt	216,549.	50,492.		166,057.
	17	Interest				
	18	Taxes (attach schedule)(see instrs) See Line 18 Stmt	52,558.	7,465.		16,552.
	19	Depreciation (attach sch) and depletion				
	20	Occupancy	58,660.	18,232.		40,428.
	21	Travel, conferences, and meetings	13,885.	4,315.		9,570.
	22	Printing and publications	9,118.	2,834.		6,284.
	23	Other expenses (attach schedule) Misc.	2,062.	643.		1,419.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	787,555.	219,098.	0.	539,916.
	25	Contributions, gifts, grants paid	2,063,566.			2,063,566.
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,851,121.	219,098.	0.	2,603,482.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	142,968.			
	b	**Net investment income** (if negative, enter -0-)		2,846,956.		
	c	**Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	238,379.	288,891.	288,891.
	2 Savings and temporary cash investments	554,250.	617,373.	617,373.
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b Stmt	21,459,684.	19,623,871.	26,608,452.
	c Investments — corporate bonds (attach schedule) L-10c Stmt	10,919,855.	12,248,443.	12,627,938.
	11 Investments — land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) L-13 Stmt	21,099,094.	21,635,652.	22,680,014.
	14 Land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► L-15 Stmt)	939,057.	939,057.	798,589.
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	55,210,319.	55,353,287.	63,621,257.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 Total liabilities (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here ► X and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	55,210,319.	55,353,287.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	55,210,319.	55,353,287.	
	31 Total liabilities and net assets/fund balances (see instructions)	55,210,319.	55,353,287.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	55,210,319.
2	Enter amount from Part I, line 27a	2	142,968.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	55,353,287.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	55,353,287.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	stock, bonds, mutual funds, hedge funds, real estate, private equity and hedge funds	P	various	various
b	K-1 partnership income	P	various	various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,195,002.		2,408,973.	786,029.
b	293,537.		0.	293,537.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a	0.	0.	0.	786,029.
b	0.	0.	0.	293,537.
c				
d				
e				

2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — **2** 1,079,566.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 — **3** 0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	2,839,403.	57,342,777.	0.049516
2011	2,983,854.	57,568,286.	0.051832
2010	2,307,814.	55,519,452.	0.041568
2009	2,813,279.	48,993,401.	0.057422
2008	3,600,931.	60,639,792.	0.059382

2	**Total** of line 1, column (d)	2	0.259720
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051944
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	60,677,286.
5	Multiply line 4 by line 3	5	3,151,821.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	28,470.
7	Add lines 5 and 6	7	3,180,291.
8	Enter qualifying distributions from Part XII, line 4	8	2,603,482.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter 'N/A' on line 1				
Date of ruling or determination letter. _______ (attach copy of letter if necessary – see instrs)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	56,939.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	56,939.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	56,939.
6 Credits/Payments:				
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	62,670.		
b Exempt foreign organizations – tax withheld at source	6 b			
c Tax paid with application for extension of time to file (Form 8868)	6 c			
d Backup withholding erroneously withheld	6 d			
7 Total credits and payments. Add lines 6a through 6d			7	62,670.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	5,731.
11 Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 5,731.	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI - Michigan			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► www.flinnfoundation.org

14 The books are in care of ► Andrea M. Cole, Executive Director and CEO Telephone no. ► (313) 309-3436

Located at ► 333 W. Fort Street, Suite 1950 Detroit MI ZIP + 4 ► 48226-3167

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Line	Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3b		X
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? [ ] Yes [X] No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? [ ] Yes [X] No

(3) Provide a grant to an individual for travel, study, or other similar purposes? [ ] Yes [X] No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) [ ] Yes [X] No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? [ ] Yes [X] No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5 b** X

Organizations relying on a current notice regarding disaster assistance check here ► [ ]

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? [ ] Yes [X] No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? [ ] Yes [X] No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? [ ] Yes [X] No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dr. Linda Hryhorczuk 333 W. Fort Street, Suite 1950 Detroit MI 48226	Trustee 1.00	0.	0.	0.
Lynn Carpenter 333 W. Fort Street, Suite 1950 Detroit MI 48226	Trustee 1.00	0.	0.	0.
Allen Ledyard 333 W. Fort Street, Suite 1950 Detroit MI 48226	Trustee 1.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc		302,928.	30,293.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Arnita M. Thorpe 333 W. Fort Street, Suite 1950 Detroit MI 48226	Executive Assistant 40.00	62,300.	6,230.	0.

Total number of other employees paid over $50,000 ► 1

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Dr. Michael Fauman 333 W. Fort Street, Suite 1950 Detroit MI 48226	Project Management for Foundation's MiMQIP Initiative to improve psychiatric medication treatment.	52,563.
Total number of others receiving over $50,000 for professional services		1

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 The Foundation's MiMQIP Initiative is to introduce evidence-based clinical guidelines in community mental health. A web-based decision support system is being developed to improve medication treatment for major depression, schizophrenia and bipolar disorder.	161,928.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3	None

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	61,002,751.
b	Average of monthly cash balances	1b	598,555.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	61,601,306.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	61,601,306.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	924,020.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	60,677,286.
6	**Minimum investment return.** Enter 5% of line 5	6	3,033,864.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	3,033,864.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	56,939.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	56,939.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	2,976,925.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	2,976,925.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	2,976,925.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	2,603,482.
b	Program-related investments — total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,603,482.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,603,482.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,976,925.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years: 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2013				
a From 2008 — 0.				
b From 2009 — 0.				
c From 2010 — 0.				
d From 2011 — 0.				
e From 2012 — 712,280.				
f Total of lines 3a through e	712,280.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► $ 2,603,482.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				2,603,482.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	712,280.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				373,443.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	374,881.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	337,399.			
10 Analysis of line 9:				
a Excess from 2009 — 0.				
b Excess from 2010 — 0.				
c Excess from 2011 — 0.				
d Excess from 2012 — 337,399.				
e Excess from 2013 — 0.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

Andrea M. Cole, Executive Director and CEO
333 W. Fort Street, Suite 1950
Detroit MI 48226 (313) 309-3436

b The form in which applications should be submitted and information and materials they should include:

The Foundation accepts competitive proposals once a year through its on-line grant application system.

c Any submission deadlines:

The Foundation announces RFPs annually in May, applications are accepted through July and grants awards are announced in September.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

The Foundation awards grants to non-profits that deliver mental health care and and services in Michigan.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached schedule n/a n/a MI 48226		.	.	2,063,566.
Total ► 3a				2,063,566.
b *Approved for future payment*				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	38.	
4 Dividends and interest from securities			14	2,047,837.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			15	160,185.	
8 Gain or (loss) from sales of assets other than inventory			18	786,029.	0.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				2,994,089.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 2,994,089.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

M. Cole 10/5/14 Executive Director, CEO

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ► ETHEL & JAMES FLINN FOUNDATION Firm's EIN ►

Firm's address ► 333 W FORT ST STE 1950

DETROIT MI 48226-3154 Phone no.

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at ***www.irs.gov/form990.***

OMB No. 1545-0047
2013

Name of the organization	Employer identification number
ETHEL AND JAMES FLINN FOUNDATION	38-2143122

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule** .

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year . . . . ► $ ____________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2013

Name	Employer Identification Number
ETHEL AND JAMES FLINN FOUNDATION	38-2143122

Asset Information:

Description of Property: STOCKS, BONDS, MUTUAL FUNDS, REAL ESTATE, PRIVATE EQUITY AND HEDGE FU
Date Acquired: Various — How Acquired: Purchased
Date Sold: Various — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property: Stocks, Bonds, Mutual Funds, Real Estate, Private Equity and Hedge Fu.
Date Acquired: various — How Acquired: Purchased
Date Sold: various — Name of Buyer:
Sales Price: 3,195,002. — Cost or other basis (do not reduce by depreciation):
Sales Expense: 2,408,973. — Valuation Method:
Total Gain (Loss): 786,029. — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Form 990-PF, Page 1, Part I, Line 11
Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
MINING ROYALTIES	159,149.	159,149.	
MISC INCOME	1,036.	1,036.	
K-1 Partnership Income		-221,572.	
Total	160,185.	-61,387.	

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Excise Taxes	28,541.			
Payroll Taxes	24,017.	7,465.		16,552.
Total	52,558.	7,465.		16,552.

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person . . [X] Business . [ ] George A. Nicholson, III 333 W. Fort Street, Suite 1950 Detroit MI 48226	Trustee 1.00	0.	0.	0.
Person . . [X] Business . [ ] Duane L. Tarnacki 333 W. Fort Street, Suite 1950 Detroit MI 48226	Trustee 1.00	0.	0.	0.
Person . . [X] Business . [ ] Leonard W. Smith 333 W. Fort Street, Suite 1950 Detroit MI 48226	Board Chairman, CIO 40.00	117,500.	11,750.	0.
Person . . [X] Business . [ ] Andrea M. Cole 333 W. Fort Street, Suite 1950 Detroit MI 48226	Executive Director, CEO 40.00	185,428.	18,543.	0.
Total		302,928.	30,293.	0.

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Plante Moran	Audit Fees	12,400.	3,854.		8,546.
Total		12,400.	3,854.		8,546.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Media Genesis	Website Hosting	500.	155.		345.
David Technologies	IT Consultant	5,490.	1,706.		3,784.
IPEX, Inc.	Investment Consultant Fees	40,883.	40,883.		
Evelth Fee Office	Mining Mgmt. Fees	7,748.	7,748.		
MiMQIP Consultants	MiMQIP Project Management	161,928.			161,928.
Total		216,549.	50,492.		166,057.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
COMMON STOCK MUTUAL FUNDS	19,623,871.	26,608,452.
Total	19,623,871.	26,608,452.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME MUTUAL FUNDS	12,248,443.	12,627,938.
Total	12,248,443.	12,627,938.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
MULTI-ASSET MUTUAL FUNDS	7,989,348.	7,916,805.
HEDGE FUNDS	11,019,443.	11,629,574.
PRIVATE EQUITY FUNDS	2,626,861.	3,133,635.
Total	21,635,652.	22,680,014.

Form 990-PF, Page 2, Part II, Line 15
Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year Book Value	End of Year Fair Market Value
MINING AND GAS INTERESTS	939,057.	939,057.	798,589.
Total	939,057.	939,057.	798,589.

Supporting Statement of:

Form 990-PF, p3/Part IV, Line 1(e)-2

Description	Amount
K-1 Partnership Income	293,537.
Total	293,537.

SCHEDULE OF REALIZED GAIN/LOSSES FOR 2013

Date	Fund Name	Proceeds	Cost Basis	Gain/Loss
1/1/13 to 12/31/13	Schwab Redemptions	3,000,000	2,278,743	721,257
1/1/13 to 12/31/13	TIFF Multi-Asset (capital gain)	55,356	-	55,356
1/1/13 to 12/31/13	Highbridge Cap Corp	39,646	30,230	9,416
1/1/13 to 12/31/13	K-1 Partnership Income	293,537		293,537
	TOTAL GAIN/LOSS	**3,388,539**	**2,308,973**	**1,079,566**

Form 990-PF ETHEL AND JAMES FLINN FOUNDATION 38-2143122 Part IV Line 2

Print

Print in landscape for best results.

Accounts >History & Statements

History

Transactions | Statements & Reports | **Realized Gain / Loss**

View Unrealized Gain/Loss

Trades made today will not appear until tomorrow
Cost Basis Calculator   Rules & Assumptions

Select Date Range: Previous Calendar Year   From 01/01/2013   To. 12/31/2013   Search

Reporting Period: 01/01/2013 to 12/31/2013

Proceeds	Cost Basis	Total Gain/Loss	Long Term Gain/Loss	Short Term Gain/Loss
$3,000,000.00	**$2,278,742.84**	**+$721,257.16 (31.65%)**	**+$547,358.87 (37.68%)**	**+$173,898.29 (21.05%)**

Symbol	▲ Name (Full | Short)	Closed Date	Quantity	Proceeds	Cost Basis	Gain/Loss ($ | %) Total	Long Term	Short Term
GTCSX	GLENMEDE SMALL CAP EQTY	03/08/2013	9,606 148	$200,000 00	$159,510 82	+$40,489 18		+$40,489 18
GTCSX	GLENMEDE SMALL CAP EQTY	11/06/2013	11,987 744	$313,000 00	$199,057 40	+$113,942 60	+$113,942 60	
HAINX	HARBOR INTERNATIONAL FUN	03/08/2013	4,663 765	$300,000 00	$248,300 50	+$51,699 50		+$51,699 50
JMRSX	JPMORGAN MID CAP CORE CL	03/08/2013	10,096 921	$200,000 00	$173,219 11 E	+$26,780 89	+$26,780 89	
JMRSX	JPMORGAN MID CAP CORE CL	11/06/2013	11,209 983	$260,500 00	$192,314 40 E	+$68,185 60	+$68,185 60	
OAKMX	OAKMARK FUND	11/06/2013	7,194 073	$451,500 00	$321,367 72	+$130,132 28	+$130,132 28	

TFSCX	TEMPLETON INST FOREIGN S	11/06/2013	5,831 216	$128,500 00	$92,662 58	+$35,837.42	+$35,837 42	
VFWAX	VANGUARD FTSE ALL WORLD	03/08/2013	10,281 7	$300,000 00	$250,361 06	+$49,638 94		+$49,638 94
VITSX	VANGUARD TOTAL STOCK MKT	03/08/2013	5,118 219	$200,000 00	$167,929 33	+$32,070 67		+$32,070 67
VITSX	VANGUARD TOTAL STOCK MKT	11/06/2013	14,447.374	$646,500 00	$474,019 92	+$172,480 08	+$172,480 08	

Total includes missing cost basis values for one or more lots

The total Realized Gain/Loss for this account includes values for Short Positions held in the account For more information on summary totals when there are Short Positions, please see the Help Section.

E Data for this holding has been edited.

N/A Not Available

W Wash Sale activity has adjusted this cost. For additional information, click here.

Values for fixed income securities have been adjusted for amortization/accretion, with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off

Total Cash represents income and expenses as they occur, it may be inconsistent with values shown elsewhere on Schwab com and on your monthly account statement

The Realized Gain/Loss page provides summary information of closed transactions Not all closed transactions appear on this page

Please view the Cost Basis Disclosure Statement for additional information on cost basis methods choices and how Schwab reports adjusted cost basis information to the IRS

Please note that gains and losses realized in retirement accounts are not recognized for tax purposes Rather, income may be recognized when you receive a cash distribution from these accounts Please contact your tax advisor for further information

The total Realized Gain/Loss for this account includes values for Short Positions held in the account For more information on summary totals when there are Short Positions, please see the Help Section

(0611-3926)

AccountsSubmenu TradeSubmenu ResearchSubmenu ProductsSubmenu SpecialtySubmenu ServiceSubmenuPress enter or spacebar to make your navigation selection
Summary Balances Positions History & Statements Transfers & Payments Message CenterPress enter or spacebar to make your navigation selection

Back to Top

Brokerage Products: Not FDIC Insured No Bank Guarantee May Lose Value

Account 1841-7918
Today's Date 07 28 AM ET, 07/31/2014

Agreements Privacy SchwabSafe USA Patriot Act SIPC® FDIC Insurance Site Map Site Help

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Account XXXX-X918
Today's Date 07 32 AM ET, 07/31/2014

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Bank sweep accounts are generally held at Charles Schwab Bank Funds deposited at Schwab Bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250,000 when aggregated with all other deposits held by you in the same capacity at Schwab Bank Funds on deposit at Schwab Bank are not deposits or obligations of Charles Schwab & Co , Inc and may not be covered by the Securities Investor Protection Corporation (SIPC) NOTE Funds deposited at an FDIC insured institution are insured, in aggregate, up to $250,000 per depositor, per insured institution based upon account type by the Federal Deposit Insurance Corporation (FDIC)

WHO WE ARE

The Ethel and James Flinn Foundation is a Detroit, Michigan based mental health foundation established in 1976 by Ethel "Peggy" W. Flinn to remember her parents Ethel G. and James H. Flinn and her brother, James "Jim" H. Flinn, Jr. and to provide a means for family philanthropy.

MISSION

The Flinn Foundation is committed to improving the quality of life of children, adolescents and adults with mental illness by improving the quality, scope and delivery of mental health services in Southeast Michigan. The Foundation uses its resources through research to develop, evaluate and implement best practice treatment programs.

MAJOR GRANTMAKING INITIATIVES

Integrated Care - The goal of this Initiative is to advance the integration of mental health services into primary care settings throughout southeast Michigan (Wayne, Oakland, Macomb and Washtenaw counties). Primary care settings (health clinics, primary care providers, school based health clinics), are crucial for treating those with mild to moderate symptoms that, if treated effectively, can be prevented from developing into disabling mental illness or emotional disturbance. Three year implementation grants are awarded ranging from $125,000 to $150,000 annually.

Evidence-Based Practices and Programs - The goal of this program is to expand the availability of effective community-based treatment practices and programs relating to serious mental illness or emotional disturbance. Two year implementation grants ranging from $50,000 to $100,000 annually are awarded to implement and evaluate proven treatment practices and programs that improve the quality, scope and delivery of mental health services.

Grantmaking Opportunities The goal of this initiative is to fund a wide variety of grants to improve organizational capacity of mental health providers in Southeast Michigan, combat stigma, and support policy research and evaluation. One year grants ranging from $25,000 to $50,000 are awarded.

Michigan Medication Quality Improvement Program (MiMQIP) The goal of this initiative is to improve the quality of mental health services in Michigan through dissemination and adoption of evidence-based pharmacological treatment of schizophrenia, major depression, and bipolar disorder. Phase I of the initiative included planning, researching and conceptualizing evidence-based clinical practice guidelines. Phase II involved testing the guidelines in real world settings. Phase III introduces the guidelines developed as a web-based clinical decision support system and rebranded as MiMQIP and designed to help psychiatrists decrease excessive variability in medication treatment. The module is owned by the Foundation and will be provided royalty free to community mental health providers and users statewide.

ATTACHED IS THE FULL LIST OF GRANTS PAID DURING THE YEAR AS PART XV SUPPLEMENTARY INFORMATION

Recipient	If grantee is individual, show Relationship to Foundation	Foundation Status	Purpose	Amount
EVIDENCE-BASED PRACTICES AND PROGRAMS: To implement best practice mental health treatment programs				
American Indian Health and Family Services 4880 Lawndale St. Detroit, MI 48210-2010		Public Charity	To implement Trauma-Focused Cognitive Behavioral Therapy treatment program for American Indian/Alaskan Native and First Nation populations in the criminal justice system (first year of a two year, $200,000 grant).	100,000
Catholic Charities of Southeast Michigan 1424 East 11 Mile Road Royal Oak, MI 48067+2026		Public Charity	To implement an integrated treatment program for co-occurring mental and substance use disorders (first year of a two year, $110,000 grant).	55,000
Detroit Central City CMH 10 Peterboro Detroit, MI 48201-2722		Public Charity	To expand Young Adult Program Jail Diversion services for young adult offenders aged 18-28 with mental illness who are transitioning in and out of jail (first year of a two year, $100,000 grant).	50,000
Detroit Community Health Connection 13901 East Jefferson Detroit, MI 48215		Public Charity	To integrate mental health into the clinic that serves over 5,000 patients annually (final year of a three-year, $300,000 grant).	100,000
Detroit Health Care For The Homeless Inc. 15400 W. McNichols Detroit, MI 48235-3724		Public Charity	To develop an integrated care delivery model in two Detroit health clinics in partnership with a community mental health provider (second and final year, $100,000 grant).	50,000
Easter Seals-Michigan, Inc. 2387 E. Walton Boulevard Auburn Hills, MI 48326		Public Charity	To develop a Southeastern Michigan Trauma Assessment Center to address the needs of children experiencing behavioral health issues (second and final year, $150,000 grant).	75,000
Jewish Family Service 6555 W. Maple Rd. West Bloomfield, MI 48322-4926		Public Charity	To implement the Acceptance and Commitment Therapy model into delivery system (first year of a two year, $124,000 grant).	62,000
Michigan State University 301 Administration Building East Lansing, MI 48824-1046		Public Charity	To train a network of licensed mental health professionals to serve Military families that live in underserved, rural areas of Michigan (second and final year, $200,000 grant).	100,000

Recipient	If grantee is individual, show Relationship to Foundation	Foundation Status	Purpose	Amount
EVIDENCE-BASED PRACTICES AND PROGRAMS (Cont): To implement best practice mental health treatment programs				
Neighborhood Service Organization 882 Oakman Blvd., Suite C Detroit, MI 48238-4019		Public Charity	To implement "Illness Management and Recovery" in a supportive housing setting for residents with severe mental illness (second and final year, $100,000 grant).	50,000
Regents of the University of Michigan 3003 South State Sreet Suite 9000 Ann Arbor, MI 48109-1288		Public Charity	To train a network of licensed mental health professionals in treatment interventions developed specifically for families of active military members (first year of a two year, $200,000 grant).	100,000
Regents of the University of Michigan 3003 South State Sreet Suite 9000 Ann Arbor, MI 48109-1288		Public Charity	To expand Military Support Programs and Networks (M-SPAN) that target spouses/partners of active military members (second and final year, $190,000 grant).	95,000
Regents of the University of Michigan 3003 South State Sreet Suite 9000 Ann Arbor, MI 48109-1288		Public Charity	To design and implement a telepsychiatry model for urban primary care settings serving Medicaid-eligible youth in southeast Michigan (second and final year, $200,000 grant).	100,000
Southwest Counseling Solutions 1700 Waterman Detroit, MI 48209		Public Charity	To implement wellness programming to address blood pressure and high cholesterol for consumers with severe mental illness (second and final year, $200,000 grant).	100,000
St. John Providence Health System 28000 Dequindre Warren, MI 48092-2468		Public Charity	To implement Dialectical Behavior Therapy and Motivational Interviewing throughout the delivery system (first year of a two year, $200,000 grant).	100,000
Wayne State University 640 Temple, Suite 750 Detroit, MI 48201		Public Charity	To support the "Detroit Baby Court" which bring the courts, child welfare and community mental health together to provide mental health services to young mothers ages 15-25 at risk of losing custody of their young children (second and final year, $190,000 grant).	95,000

Recipient	If grantee is individual, show Relationship to Foundation	Foundation Status	Purpose	Amount
GRANTMAKING OPPORTUNITIES: A wide variety of one-year grants to improve organizational capacity and mental health service delivery				
Adult Well-Being Services 1423 Field Street Detroit, MI 48214		Public Charity	For policy research and evaluation to improve delivery of mental health care and services in Michigan by focusing on improving services in adult foster care homes.	50,000
Association for Children's Mental Health 6017 W. St. Joe Hwy. Suite 200 Lansing, MI 48917-4874		Public Charity	To provide general general operating support to state advocacy organization that supports children and their families.	10,000
Association for Children's Mental Health 6017 W. St. Joe Hwy. Suite 200 Lansing, MI 48917-4874		Public Charity	To implement an electronic record keeping system that is necessary to expand support services.	20,000
Corner Health Center 47 N Huron St Ypsilanti, MI 48197-2607		Public Charity	To develop and pilot an integrated care model for adolescents served at the primary care clinic.	50,000
Covenant Community Care, Inc. 559 West Grand Boulevard Detroit, MI 48216-2200		Public Charity	To implement mental health services into the new Moross primary care clinic.	50,000
Covenant House Michigan 2959 Martin Luther King Jr. Blvd. Detroit, MI 48208-2475		Public Charity	To train and equip Covenant House Michigan frontline staff to be a part of a comprehensive youth mental health program.	50,000
Detroit Educational Television Foundation Riley Broadcast Center 1 Clover Ct Wixom, MI 48393-2247		Public Charity	To support a one-hour public television production about bipolar disorder to be aired across Michigan and across the country.	25,000

Recipient	If grantee is individual, show Relationship to Foundation	Foundation Status	Purpose	Amount
GRANTMAKING OPPORTUNITIES (Cont): A wide variety of one-year grants to improve organizational capacity and mental health service delivery				
Ennis Center for Children, Inc. 20100 Greenfield Rd. Detroit, MI 48235-4125		Public Charity	To train 15 clinical staff at Ennis in Trauma-Focused Cognitive Behavioral Therapy.	47,000
Guidance Center (The) 13101 Allen Road Southgate, MI 48195-2216		Public Charity	To provide psychosocial support and follow-up services for adolescents and young adults who have experienced their first inpatient psychiatric admission.	35,000
Mental Health Association in Michigan 30233 Southfield Road, Suite 220 Southfield, MI 48076-1363		Public Charity	For general operating support for state policy and research advocacy organization for youth and adults experiencing mental illness.	10,000
Mental Health Association in Michigan 30233 Southfield Road, Suite 220 Southfield, MI 48076-1363		Public Charity	For policy research and evaluation to improve delivery of mental health care e and services in Michigan by focusing on problems ensuing from the over-incarceration of adults and children.	50,000
Michigan Association for Infant MH 13101 Allen Road Southgate, MI 48195+2216		Public Charity	To support improving clinical skills of Infant Mental Health Providers throughout Southeast Michigan in partnership with the Detroit-Wayne County Community Mental Health Agency.	48,000
Michigan Association for Infant MH 13101 Allen Road Southgate, MI 48195+2216		Public Charity	To expand training for infant mental health professionals serving high-risk infants/toddlers in Wayne County.	34,000
NAMI Metro 47612 Blue Heron Dr S Northville, MI 48168+9243		Public Charity	For general operating support to tri-county affiliate organization that advocates for consumers and family involvement in care, treatment and recovery.	10,000

Recipient	If grantee is individual, show Relationship to Foundation	Foundation Status	Purpose	Amount
GRANTMAKING OPPORTUNITIES (Cont): A wide variety of one-year grants to improve organizational capacity and mental health service delivery				
NAMI Michigan 921 N. Washington Ave. Lansing, MI 48906+5137		Public Charity	For general operating support to tri-county affiliate organization that advocates for consumers and family involvement in care, treatment and recovery.	10,000
Oakland Integrated Healthcare Network 461 W Huron Street, Suite 103 Pontiac, MI 48341		Public Charity	To provide agency-wide integrated care training for newly established Federally Qualified Health Center that provides care and services to underserved populations throughout Oakland County.	50,000
Ozone House, Inc. 1705 Washtenaw Ave. Ann Arbor, MI 48104-3548		Public Charity	To provide early screening, assessment and mental health treatment for homeless and runaway youth ages 12-20 in Washtenaw County.	50,000
School-Community Health Alliance of Michigan 6035 Executive Drive, Suite 103 Lansing, MI 48911-5338		Public Charity	To support policy research and evaluation to improve sustainability of mental health services in school-based clinics.	50,000
Starfish Family Services, Inc. 30000 Hiveley Inkster, MI 48141-1089		Public Charity	To implement mental health services into OB/GYN clinic practice in Wayne County.	50,000
Washtenaw Health Plan Corporation 555 Towner, PO Box 0915 Ypsilanti, MI 48197		Public Charity	To provide mental health services to low-income immigrants with mild-moderate mental health needs in Washtenaw County.	47,000
Wayne State University 640 Temple, Suite 750 Detroit, MI 48201		Public Charity	To develop a new payment and outcome based shared savings model to support a quality integrated health care delivery model in Wayne County.	100,000
Wayne State University 640 Temple, Suite 750 Detroit, MI 48201		Public Charity	To develop an easy to use, standardized scale to help physicians detect early post-stroke depression and initiate treatment sooner.	47,000

Part XV - Grants and Contributions Paid During the Year

Recipient	If grantee is individual, show Relationship to Foundation	Foundation Status	Purpose	Amount
TRUSTEE AND EMPLOYEE MATCHING GIFTS				30,200
MEMBERSHIP DUES				11,850
RETURNED GRANT FUNDS				(103,484)
TOTAL GRANTS				**$2,063,566**