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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation HELEN S CASEY TRUST # 06604908		A Employer identification number 37-6333851
Number and street (or P O box number if mail is not delivered to street address) PO BOX 387	Room/suite	B Telephone number (see instructions) (314) 418-2643
City or town, state or province, country, and ZIP or foreign postal code ST LOUIS, MO 63166		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,349,514	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	5,659	5,518	141	
	5a Gross rents	51,778	51,778	51,778	
	b Net rental income or (loss) _____ 48,158				
	6a Net gain or (loss) from sale of assets not on line 10	10,946			
	b Gross sales price for all assets on line 6a _____ 171,115				
	7 Capital gain net income (from Part IV, line 2) . . .		10,946		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	68,383	68,242	51,919		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	9,241	8,317		924
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	2,500	0	0	2,500
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	438	138		0
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	3,635	3,620	3,620	15
	24 Total operating and administrative expenses. Add lines 13 through 23	15,814	12,075	3,620	3,439
	25 Contributions, gifts, grants paid	63,018			63,018
26 Total expenses and disbursements. Add lines 24 and 25	78,832	12,075	3,620	66,457	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-10,449				
b Net investment income (if negative, enter -0-)		56,167			
c Adjusted net income (if negative, enter -0-)			48,299		

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	957	328	328
	2	Savings and temporary cash investments	27,993	7,631	7,631
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	226,908	237,392	266,555
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			0
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	386,750	386,750	1,075,000	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	642,608	632,101	1,349,514	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
23	Total liabilities (add lines 17 through 22)		0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
27	Capital stock, trust principal, or current funds	642,608	632,101		
28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see page 17 of the instructions)	642,608	632,101		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	642,608	632,101		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	642,608
2	Enter amount from Part I, line 27a	2	-10,449
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	632,159
5	Decreases not included in line 2 (itemize) ▶ _____	5	58
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	632,101

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	See Additional Data Table			
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a	See Additional Data Table			
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	10,946
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	65,987	1,339,152	0.049275
2011	66,832	1,341,877	0.049805
2010	58,337	1,361,446	0.042849
2009	43,425	1,185,622	0.036626
2008	39,277	879,543	0.044656

2	Total of line 1, column (d).	2	0.223211
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044642
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,341,750
5	Multiply line 4 by line 3.	5	59,898
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	562
7	Add lines 5 and 6.	7	60,460
8	Enter qualifying distributions from Part XII, line 4.	8	66,457

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 main rows and sub-rows (a-e) for credits and payments. Includes columns for line numbers and amounts. Total tax due is 0, with an overpayment of 153.

Part VII-A Statements Regarding Activities

Table with 10 main rows (1a-10) and sub-rows (a-b) for questions regarding political activities, unrelated business income, and asset requirements. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of US BANK NA Telephone no (314) 418-2643 Located at PO BOX 387 ST LOUIS MO ZIP +4 63166			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country N/A	16		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i></p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><i>If "Yes" to 6b, file Form 8870.</i></p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b	
	6b	No
	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US BANK NA PO BOX 387 ST LOUIS, MO 63166	TRUSTEE 0	9,241		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,362,183
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,362,183
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,362,183
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	20,433
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,341,750
6	Minimum investment return. Enter 5% of line 5.	6	67,088

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	67,088
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	562
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	562
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	66,526
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	66,526
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	66,526

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	66,457
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	66,457
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	562
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	65,895

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				66,526
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			63,017	
b Total for prior years 2011, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	0			
b From 2009.	0			
c From 2010.	0			
d From 2011.	0			
e From 2012.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>66,457</u>				
a Applied to 2012, but not more than line 2a			63,017	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				3,440
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				63,086
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2009.	0			
b Excess from 2010.	0			
c Excess from 2011.	0			
d Excess from 2012.	0			
e Excess from 2013.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SHRINERS HOSPITALS FOR CHILDREN ATTN TRUST & INVESTMENT ACCT PO BOX 31356 TAMPA, FL 33631	NONE	PUBLIC	EXEMPT PURPOSE	31,509
ST JUDE CHILDREN'S HOSPITAL ESTATE COORDINATOR 501 ST JUDE PLACE MEMPHIS, TN 38105	NONE	PUBLIC	EXEMPT PURPOSE	31,509
Total				63,018
b <i>Approved for future payment</i>				
Total				

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting foundation to a noncharitable exempt organization of
(1) Cash.
(2) Other assets.
b Other transactions
(1) Sales of assets to a noncharitable exempt organization.
(2) Purchases of assets from a noncharitable exempt organization.
(3) Rental of facilities, equipment, or other assets.
(4) Reimbursement arrangements.
(5) Loans or loan guarantees.
(6) Performance of services or membership or fundraising solicitations.
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule
Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee, Date (2014-05-01), Title. Includes box: May the IRS discuss this return with the preparer shown below (see instr.)? Yes No

Paid Preparer Use Only
Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's EIN, Firm's address, Phone no.

TY 2013 Accounting Fees Schedule

Name: HELEN S CASEY TRUST # 06604908

EIN: 37-6333851

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	2,500			2,500

TY 2013 Investments Corporate Stock Schedule

Name: HELEN S CASEY TRUST # 06604908

EIN: 37-6333851

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PIMCO TOTAL RETURN FUND	8,650	8,505
NUVEEN INFLATION PRO SEC	8,203	7,587
SCOUT INTERNATIONAL FUND	12,250	16,300
NUVEEN HIGH INCOME BOND FUND	5,006	4,868
T ROWE PRICE INTL BOND FUND	5,280	5,355
ABERDEEN FDS EMRGN MKT INSTL	12,215	11,523
LAUDUS INTL MARKETMASTERS FD	15,648	18,468
NUVEEN REAL ESTATE SECURIT	6,622	7,214
NUVEEN SANTA BARBARA DIV GRWTH	11,732	16,815
PRICE T ROWE GROWTH STK FD		
SPDR DJ WILSHIRE INTERNATIONAL	7,926	8,240
VANGUARD INTL GROWTH FUND	16,282	18,800
DRIEHAUS ACTIVE INCOME FUND		
NUVEEN STRATEGIC INCOME	12,965	13,352
IPATH DOW JONES UBS COMMODITY		
ROWE T PRICE MID CAP GROWTH FD		
T ROWE PRICE SC STOCK	4,895	6,055
VANGUARD EQUITY INCOME ADM	12,704	15,912
DRIEHAUS SELECT CREDIT FUND	4,527	4,595
GOLDAMN SACHS COMM STRAT INS	13,000	13,041
LOOMIS SAYLES STRATEGIC ALPHA	4,488	4,416
NUVEEN SHORT TERM BOND FUND	13,248	13,336
OPPENHEIMER SR FLOAT RATE Y	4,803	4,849
TCW EMERGING MKTS INCOME FD	5,384	5,048
NEUBERGER BERMAN LONG SH INS	5,331	5,522
OPPENHEIMER DEVELOPING MKTS FD	11,548	12,261
ROBECO BOSTON PTNS LS RSRCH	9,373	10,518
T ROWE PRICE GROWTH STOCK #40	12,716	19,254
T ROWE PRICE MID CAP GRWTH FD	6,212	7,601
T ROWE PRICE MID CAP VALUE FD	6,384	7,120

TY 2013 Other Assets Schedule

Name: HELEN S CASEY TRUST # 06604908

EIN: 37-6333851

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
REAL ESTATE	386,750	386,750	1,075,000

TY 2013 Other Decreases Schedule

Name: HELEN S CASEY TRUST # 06604908

EIN: 37-6333851

Description	Amount
COST ADJ	58

TY 2013 Other Expenses Schedule

Name: HELEN S CASEY TRUST # 06604908

EIN: 37-6333851

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Rent and Royalty Expense	3,620			

TY 2013 Taxes Schedule

Name: HELEN S CASEY TRUST # 06604908

EIN: 37-6333851

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	300	0		0
FOREIGN TAXES PAID	138	138		0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
767 018 DRIEHAUS ACTIVE INCOME FUND		2012-09-04	2013-01-02
144 11 NUVEEN SANTA BARBARA DIV GROWTH FD I		2011-08-25	2013-02-04
418 304 NUVEEN STRATEGIC INCOME I		2011-03-02	2013-02-04
79 341 NUVEEN REAL ESTATE SECS I		2010-08-17	2013-02-04
331 545 PIMCO TOTAL RETURN FUND INST		2009-09-22	2013-02-04
117 176 T ROWE PRICE GROWTH STOCK #40		2011-02-17	2013-02-04
76 183 T ROWE PRICE MID CAP GROWTH FD #64		2012-07-25	2013-02-04
45 67 T ROWE PRICE SC STOCK		2012-07-02	2013-02-04
61 948 LAUDUS INTL MARKETMASTERS FUND SEL		2011-01-06	2013-02-04
49 063 SCOUT INTERNATIONAL FUND		2010-06-10	2013-02-04
22 589 VANGUARD EQUITY INCOME ADM		2012-07-25	2013-02-04
479 5851 NUVEEN HIGH INCOME BOND FD CL I		2007-11-14	2013-02-19
387 1539 NUVEEN HIGH INCOME BOND FD CL I		2007-11-14	2013-02-19
107 799 NUVEEN SANTA BARBARA DIV GROWTH FD I		2011-08-25	2013-02-26
117 072 NUVEEN HIGH INCOME BOND FD CL I		2007-10-30	2013-02-26
176 567 NUVEEN STRATEGIC INCOME I		2011-03-02	2013-02-26
83 962 NUVEEN INFLATION PRO SEC CL I		2010-08-16	2013-02-26
82 833 T ROWE PRICE SC STOCK		2012-07-02	2013-02-26
49 067 LAUDUS INTL MARKETMASTERS FUND SEL		2011-01-06	2013-02-26
65 887 VANGUARD EQUITY INCOME ADM		2012-07-25	2013-02-26
168 333 NUVEEN HIGH INCOME BOND FD CL I		2007-10-30	2013-04-02
263 803 OPPENHEIMER SENIOR FLOATING RATE Y		2013-02-19	2013-04-02
209 414 ABERDEEN FDS EMRGN		2011-01-24	2013-04-05
49 427 NUVEEN REAL ESTATE SECS I		2010-08-17	2013-04-05
83 462 NUVEEN INFLATION PRO SEC CL I		2010-08-16	2013-04-05
68 058 NUVEEN INFLATION PRO SEC CL I		2011-10-31	2013-04-05
115 452 T ROWE PRICE MID CAP GROWTH FD #64		2012-07-25	2013-04-05
318 269 TFS MARKET NEUTRAL FUND		2013-01-02	2013-04-05
27 988 T ROWE PRICE GROWTH STOCK #40		2011-02-17	2013-05-20
43 486 T ROWE PRICE SC STOCK		2012-07-02	2013-05-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 635 VANGUARD INTL GRWTH FD CL ADM		2011-01-06	2013-05-20
22 971 VANGUARD EQUITY INCOME ADM		2012-07-25	2013-05-20
288 241 ABERDEEN FDS EMRGN		2011-01-24	2013-06-24
40 779 NUVEEN SANTA BARBARA DIV GROWTH FD I		2011-08-25	2013-06-24
200 654 NUVEEN STRATEGIC INCOME I		2011-03-02	2013-06-24
90 648 NUVEEN INFLATION PRO SEC CL I		2010-08-16	2013-06-24
196 957 PIMCO TOTAL RETURN FUND INST		2009-09-22	2013-06-24
76 863 ROBECO BOSTON PARTNERS L S RSRCH		2013-02-26	2013-06-24
71 874 LAUDUS INTL MARKETMASTERS FUND SEL		2011-01-06	2013-06-24
627 547 TCW EMERGING MARKETS INCOME FUND		2013-02-04	2013-06-24
17 476 VANGUARD EQUITY INCOME ADM		2012-07-25	2013-06-24
543 971 NUVEEN STRATEGIC INCOME I		2013-05-20	2013-07-10
560 748 PIMCO TOTAL RETURN FUND INST		2013-05-20	2013-07-10
49 91 PIMCO TOTAL RETURN FUND INST		2013-05-20	2013-08-12
411 613 PIMCO TOTAL RETURN FUND INST		2012-06-06	2013-08-12
171 815 ABERDEEN FDS EMRGN		2011-01-24	2013-09-24
27 IPATH DOW JONES UBS COMMODITY		2012-07-25	2013-09-24
32 258 NUVEEN SANTA BARBARA DIV GROWTH FD I		2011-08-25	2013-09-24
7 653 NUVEEN HIGH INCOME BOND FD CL I		2007-11-14	2013-09-24
101 756 NUVEEN HIGH INCOME BOND FD CL I		2007-10-30	2013-09-24
77 439 T ROWE PRICE GROWTH STOCK #40		2011-02-17	2013-09-24
406 ROBECO BOSTON PARTNERS L S RSRCH		2013-02-26	2013-09-24
26 25 T ROWE PRICE MID CAP GROWTH FD #64		2012-07-25	2013-09-24
104 711 T ROWE PRICE INTL BOND FUND		2013-06-24	2013-09-24
53 231 T ROWE PRICE MID CAP VALUE FD INC		2013-04-05	2013-09-24
67 053 LAUDUS INTL MARKETMASTERS FUND SEL		2011-01-06	2013-09-24
43 74 SCOUT INTERNATIONAL FUND		2010-06-10	2013-09-24
156 993 TCW EMERGING MARKETS INCOME FUND		2013-02-04	2013-09-24
36 336 VANGUARD INTL GRWTH FD CL ADM		2011-01-06	2013-09-24
24 011 VANGUARD EQUITY INCOME ADM		2012-07-25	2013-09-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
55 IPATH DOW JONES UBS COMMODITY		2013-04-05	2013-10-04
288 IPATH DOW JONES UBS COMMODITY		2012-07-25	2013-10-04
126 541 NUVEEN REAL ESTATE SECS I		2013-01-02	2013-10-28
89 306 NUVEEN REAL ESTATE SECS I		2013-04-02	2013-10-28
1 041 NUVEEN REAL ESTATE SECS I		2012-10-04	2013-10-28
3 201 NUVEEN REAL ESTATE SECS I		2013-09-16	2013-10-28
100 099 LOOMIS SAYLES STRATEGIC ALPHA FUND Y		2013-02-04	2013-11-15
46 446 NUVEEN REAL ESTATE SECS I		2010-08-17	2013-11-15
20 015 T ROWE PRICE GROWTH STOCK #40		2011-02-17	2013-11-15
2 815 T ROWE PRICE GROWTH STOCK #40		2011-03-02	2013-11-15
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,192		8,000	192
4,052		3,235	817
4,806		4,459	347
1,749		1,307	442
3,717		3,607	110
4,579		4,058	521
4,565		4,166	399
1,648		1,592	56
1,274		1,184	90
1,670		1,317	353
1,203		1,087	116
4,427		4,460	-33
3,573		3,601	-28
3,030		2,420	610
1,079		1,089	-10
2,027		1,882	145
999		877	122
3,004		2,887	117
1,003		938	65
3,541		3,171	370
1,567		1,566	1
2,211		2,197	14
3,258		2,978	280
1,143		812	331
1,009		871	138
823		787	36
7,091		6,314	777
5,080		5,000	80
1,221		969	252
1,738		1,515	223

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,457		1,326	131
1,366		1,106	260
3,917		4,099	-182
1,174		915	259
2,181		2,139	42
996		946	50
2,098		2,143	-45
993		964	29
1,446		1,374	72
5,259		5,835	-576
989		841	148
5,989		6,289	-300
5,983		6,313	-330
540		560	-20
4,450		4,575	-125
2,581		2,443	138
1,002		1,146	-144
993		724	269
70		71	-1
928		946	-18
3,632		2,682	950
5,505		5,091	414
1,884		1,436	448
1,003		976	27
1,552		1,404	148
1,547		1,281	266
1,582		1,174	408
1,327		1,456	-129
2,570		2,226	344
1,446		1,156	290

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,045		2,190	-145
10,711		12,220	-1,509
2,851		2,708	143
2,012		1,992	20
23		23	
72		69	3
1,001		1,038	-37
1,004		760	244
1,005		693	312
141		94	47
			2,084

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			192
			817
			347
			442
			110
			521
			399
			56
			90
			353
			116
			-33
			-28
			610
			-10
			145
			122
			117
			65
			370
			1
			14
			280
			331
			138
			36
			777
			80
			252
			223

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			131
			260
			-182
			259
			42
			50
			-45
			29
			72
			-576
			148
			-300
			-330
			-20
			-125
			138
			-144
			269
			-1
			-18
			950
			414
			448
			27
			148
			266
			408
			-129
			344
			290

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-145
			-1,509
			143
			20
			3
			-37
			244
			312
			47