



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation HENRY M STALEY DECLARATION OF CHARITABLE TRUST		A Employer identification number 37-6055435
Number and street (or P.O. box number if mail is not delivered to street address) 3301 EMBASSY DRIVE	Room/suite	B Telephone number 217-483-3205
City or town, state or province, country, and ZIP or foreign postal code SPRINGFIELD, IL 62711		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,189,971. (Part I, column (d) must be on cash basis)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		7.	7.		STATEMENT 1
4 Dividends and interest from securities		96,200.	96,200.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		51,966.			
b Gross sales price for all assets on line 6a 344,738.					
7 Capital gain net income (from Part IV, line 2)			51,966.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		148,173.	148,173.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		4,500.	4,500.		0.
c Other professional fees		17,351.	17,351.		0.
17 Interest					
18 Taxes		3,343.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		25,194.	21,851.		0.
25 Contributions, gifts, grants paid		220,850.			220,850.
26 Total expenses and disbursements. Add lines 24 and 25		246,044.	21,851.		220,850.
27 Subtract line 26 from line 12		<97,871.>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			126,322.		
c Adjusted net income (if negative, enter -0-)				N/A	

HENRY M STALEY DECLARATION OF CHARITABLE TRUST

Form 990-PF (2013)

37-6055435 Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	225,198.	204,003.	204,003.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - US and state government obligations STMT 6	292,379.	292,534.	307,127.
	b Investments - corporate stock STMT 7	2,591,863.	2,485,607.	3,470,593.
	c Investments - corporate bonds STMT 8	177,091.	207,788.	207,895.
11 Investments - land, buildings, and equipment basis				
Less accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis				
Less accumulated depreciation				
15 Other assets (describe FEDERAL TAX DEPOSIT)	1,625.	353.	353.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	3,288,156.	3,190,285.	4,189,971.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	3,288,156.	3,190,285.	
30 Total net assets or fund balances	3,288,156.	3,190,285.		
31 Total liabilities and net assets/fund balances	3,288,156.	3,190,285.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,288,156.
2 Enter amount from Part I, line 27a	2	<97,871.>
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	3,190,285.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,190,285.

Form 990-PF (2013)

HENRY M STALEY DECLARATION OF CHARITABLE TRUST

Form 990-PF (2013)

37-6055435 Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 344,738.		292,772.	51,966.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			51,966.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	51,966.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	200,167.	3,747,700.	.053411
2011	203,830.	3,808,779.	.053516
2010	188,250.	3,622,418.	.051968
2009	160,250.	3,337,245.	.048019
2008	161,395.	2,754,576.	.058592

2 Total of line 1, column (d)	2	.265506
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053101
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	4,056,421.
5 Multiply line 4 by line 3	5	215,400.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,263.
7 Add lines 5 and 6	7	216,663.
8 Enter qualifying distributions from Part XII, line 4	8	220,850.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

HENRY M STALEY DECLARATION OF CHARITABLE TRUST

Form 990-PF (2013)

37-6055435 Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	1,263.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	1,263.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
3 Add lines 1 and 2		5	1,263.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-			
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,616.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,616.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	353.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2013)

HENRY M STALEY DECLARATION OF CHARITABLE TRUST

Form 990-PF (2013)

37-6055435 Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► <u>GROSS KAPLAN & OSIOL, L.L.C.</u> Telephone no ► <u>847-928-9820</u> Located at ► <u>9450 W BRYN MAWR AVE, #310, ROSEMONT, IL</u> ZIP+4 ► <u>60018-5272</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐ N/A	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►		Yes	No ☒
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No ☒
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Form 990-PF (2013)

HENRY M STALEY DECLARATION OF CHARITABLE TRUST

Form 990-PF (2013)

37-6055435

Page 6

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARK E STALEY 2700 WESTPORT SPRINGFIELD, IL 62711	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

**HENRY M STALEY DECLARATION OF CHARITABLE
TRUST**

Form 990-PF (2013)

37-6055435 Page 7

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		
3	All other program-related investments See instructions	
Total. Add lines 1 through 3		0.

Form 990-PF (2013)

HENRY M STALEY DECLARATION OF CHARITABLE TRUST

Form 990-PF (2013)

37-6055435 Page 8

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,819,440.
b	Average of monthly cash balances	1b	298,754.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,118,194.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,118,194.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	61,773.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,056,421.
6	Minimum investment return. Enter 5% of line 5	6	202,821.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	202,821.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,263.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,263.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	201,558.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	201,558.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	201,558.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	220,850.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	220,850.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,263.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	219,587.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

**HENRY M STALEY DECLARATION OF CHARITABLE
TRUST**

Form 990-PF (2013)

37-6055435 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				201,558.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				27,476.
b From 2009				
c From 2010				9,301.
d From 2011				18,831.
e From 2012				15,548.
f Total of lines 3a through e	71,156.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$				220,850.
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount	19,292.			201,558.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below	90,448.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	27,476.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	62,972.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010	9,301.			
c Excess from 2011	18,831.			
d Excess from 2012	15,548.			
e Excess from 2013	19,292.			

Form **990-PF** (2013)

HENRY M STALEY DECLARATION OF CHARITABLE

Form 990-PF (2013)

TRUST

37-6055435

Page 10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling


☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

HENRY M STALEY DECLARATION OF CHARITABLE
TRUST

Form 990-PF (2013)

37-6055435 Page 11

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> (SEE SCHEDULE)			SUPPORT	220,850.
Total			▶ 3a	220,850.
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0.

Form 990-PF (2013)

Part XVI-A Analysis of Income-Producing Activities

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	7.	
		14	96,200.	
		18	51,966.	
	0.		148,173.	0.
		13		148,173.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Form 990-PF (2013)

Page 13

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | X |
| 1a(2) | | X |
| 1b(1) | | X |
| 1b(2) | | X |
| 1b(3) | | X |
| 1b(4) | | X |
| 1b(5) | | X |
| 1b(6) | | X |
| 1c | | X |

[illegible]

- ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

May the IRS discuss this return with the preparer shown below (see instr)?

☒ X	Yes	☐	No
---	------------	--------------------------	-----------

Date _____

Title

Phone no 847-928-9820

323622
10-10-13

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	OPPENHEIMER #1416479/9			
b	OPPENHEIMER #1416479/9			
c	MERRILL LYNCH #12763/4			
d	MERRILL LYNCH #12763/4			
e	OPPENHEIMER #1416487/A10			
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	13,590.	13,672.	<82.>
b	72,098.	73,331.	<1,233.>
c	35,080.	28,062.	7,018.
d	214,019.	161,850.	52,169.
e	9,478.	15,857.	<6,379.>
f	473.		473.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<82.>
b			<1,233.>
c			7,018.
d			52,169.
e			<6,379.>
f			473.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	51,966.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
ADVANTAGE GOVT FUND/1	7.	7.	
TOTAL TO PART I, LINE 3	7.	7.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH (DIV) 626-12763/4	23,066.	0.	23,066.	23,066.	
MERRILL LYNCH (INT) 626-12763/4	15,131.	0.	15,131.	15,131.	
MERRILL LYNCH - CAPITAL GAIN DIVIDEND	184.	184.	0.	0.	
MERRILL LYNCH - PURCH INT APPLIED/4	<68.>	0.	<68.>	<68.>	
MERRILL LYNCH - UST OID/4	155.	0.	155.	155.	
OPPENHEIMER & CO G40-1168625/1	47,934.	0.	47,934.	47,934.	
OPPENHEIMER & CO G40-1168625/1 CAP GAIN DIST	289.	289.	0.	0.	
OPPENHEIMER & CO G40-1416479/9	4,766.	0.	4,766.	4,766.	
OPPENHEIMER & CO G401416487/A10	5,216.	0.	5,216.	5,216.	
TO PART I, LINE 4	96,673.	473.	96,200.	96,200.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	4,500.	4,500.		0.
TO FORM 990-PF, PG 1, LN 16B	4,500.	4,500.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEE	17,182.	17,182.		0.
MISCELLANEOUS	169.	169.		0.
TO FORM 990-PF, PG 1, LN 16C	17,351.	17,351.		0.

FORM 990-PF	TAXES	STATEMENT	5
-------------	-------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX - 2012	43.	0.		0.
FOREIGN TAX WITHHELD	2,037.	0.		0.
FEDERAL EXCISE TAX - 2013	1,263.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,343.	0.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
(SEE SCHEDULE) UST /4	X		292,534.	307,127.
TOTAL U.S. GOVERNMENT OBLIGATIONS			292,534.	307,127.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			292,534.	307,127.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
(SEE SCHEDULE) /1	1,361,127.	2,053,148.
(SEE SCHEDULE) /4	692,961.	914,600.
(SEE SCHEDULE) /9	206,937.	260,273.
(SEE SCHEDULE) /A10	224,582.	242,572.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,485,607.	3,470,593.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
(SEE SCHEDULE) BONDS /4	207,788.	207,895.
TOTAL TO FORM 990-PF, PART II, LINE 10C	207,788.	207,895.



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



DUPLICATE FOR:

LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE STE 310

HENRY M STALEY CHARITABLE
TRUST DATED 12/17/65

Page
3 of 6

Account Number
G40-1168625

Financial Advisor
SLANSKY/GUTTILLA/HAYES - Z3L

Period Ending
12/31/13

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

Money Market Funds

(NOT FDIC INSURED)

Client may elect to liquidate any cash investment option at any time by contacting his/her Financial Advisor

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE GOVERNMENT LIQ FD	CASH	163,522.93	ADGXX	1.00000	1.00000	163,522.93	163,522.93	0.010%	16	7.38
TOTAL MONEY MARKET FUNDS.....						163,522.93	163,522.93		16	7.38

Mutual Funds

Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decr	EY	EAI	Est Yield to Portfolio Net Cost	Portfolio Percent
PIMCO FDS UNCONSTRAINED BD FD INSTL CL OPEN END SYMBOL PFIUX	Purchases Reinvestment	32,743.5000 31,606.6730 1,136.8270	11.39201 11.38999 11.44817	373,014.60 360,000.00 13,014.60	360,000.00 360,000.00	11.09000	363,125.41 350,518.00 12,607.41	(9,889) (9,482) (407)	3,125.0865%	0.865%	3,143	0.84	16.38
SUB-TOTAL OPEN END FUNDS.....				373,014.60			363,125.41	(9,889)			3,143		16.38

Exchange Traded Funds

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ISHARES CORE S&P 500 ETF CLOSED END SBI/CBI ETF	CASH	3,989	IJR	54.61010	109.13000	217,839.67	435,319.57	217,480	1.001%	4,359	19.64
ISHARES MSCI EAFE SMALL CAP INDEX FUND CLOSED END SBI/CBI ETF	CASH	4,325	SCZ	34.83250	50.98000	150,650.66	220,488.50	69,838	2.393%	5,278	9.95
SPDR S&P MIDCAP 400 ETF TR UTSR1 S&PDCRP UIT SBI/CBI ETF	CASH	2,229	MDY	142.03150	244.20000	316,588.29	544,321.80	227,734	1.066%	5,806	24.56

Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All P

Transacts Business on All Principal Exchanges

DUPLICATE FOR:
HENRY M STALEY CHARITABLE
TRUST DATED 12/17/65

LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE STE 310

DUPLICATE FOR:

HENRY M STALEY CHARITABLE
TRUST DATED 12/17/65

Financial Advisor

SLANSKY/GUTTILLA/HAYES - Z3L

Account Number

Page

Period Ending

12/31/13

Exchange Traded Funds

Exchange Traded Funds											
Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
WANGUARD INTL EQUITY INDEX FD FTSE EMR MKT ETF CLOSED END SBI/CBI ETF	CASH	2,625	VWO	38.22540	41.14000	100,341.74	107,992.50	7,651	2.734%	2,953	4.87

SUB-TOTAL EXCHANGE TRADED FUNDS:

Closed End Funds

Closed End Funds											
Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
FIDUCIARY CLAYMORE MLP OPP FD	CASH	15,000	FMO	19.39940	25.46000	290,991.17	381,900.00	90,909	6.505%	24,843	17.22
CLOSED END											
SUB-TOTAL CLOSED END FUNDS.....											
						290,991.17	381,900.00	90,909		24,843	17.22
						1,449,426.13	2,053,147.78	603,723		46,384	92.62
TOTAL CLOSED END FUNDS.....											

SUB-TOTAL CLOSED END FUNDS...

TOTAL MUTUAL FUNDS.

	Total	Current	Unrealized	Portfolio
	Cost Basis	Value	Gain/(Loss)	Percent
Total Portfolio Holdings.....	\$1,612,949.06	\$2,216,670.71	\$603,723	2.093%
				46,400
				100%

 $\langle 163, 522.93 \rangle$

2053.147.78

14

MARK STALEY TTEE

Account Number: 626-12763

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES *									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ U.S. TREASURY NOTE 1.250% MAR 15 2014 01 250% MAR 15 2014 MOODY'S: AAA S&P *** CUSIP: 912828PZ7 ORIGINAL UNIT/TOTAL COST 100 2031/60,121.88	03/24/11	60,000	60,008.40	100.2340	60,140.40	132.00	221.69	750	1.24
U.S. TREASURY NOTE 2.375% FEB 28 2015 02 375% FEB 28 2015 MOODY'S: AAA S&P *** CUSIP: 912828MR8	03/23/10	7,000	6,993.16	102.5040	7,175.28	182.12	56.03	167	2.31
U.S. TREASURY NOTE Subtotal	04/15/10	10,000 17,000	9,932.81 16,925.97	102.5040	10,250.40 17,425.68	317.59 499.71	80.04 136.07	238 405	2.31 2.31
U.S. TREASURY NOTE 1.750% JUL 31 2015 01 750% JUL 31 2015 MOODY'S: AAA S&P *** CUSIP: 912828NP1	12/21/10	15,000	14,960.70	102.3320	15,349.80	389.10	109.14	263	1.71
Δ U.S. TREASURY NOTE 2.625% FEB 29 2016 02 625% FEB 29 2016 MOODY'S: AAA S&P *** CUSIP: 912828KS8 ORIGINAL UNIT/TOTAL COST 100 5781/25,144.53	03/18/09	25,000	25,047.62	104.7500	26,187.50	1,139.88	221.17	657	2.50
U.S. TREASURY NOTE 2.375% JUL 31 2017 02 375% JUL 31 2017 MOODY'S: AAA S&P *** CUSIP: 912828NR7	03/18/11	50,000	49,779.30	104.4920	52,246.00	2,466.70	493.72	1,188	2.27
Θ U.S. TRSY INFLATION NTE 1.375% JUL 15 2018 INFL ADJ PRIN 16,246 MOODY'S: AAA S&P *** CUSIP: 912828JE1 ORIGINAL UNIT/TOTAL COST 97 4522/14,617.84	08/26/09	15,000	15,880.32	108.3830	17,608.93	1,728.61	94.72	224	1.26
U.S. TREASURY NOTE 3.125% MAY 15 2019 03 125% MAY 15 2019 MOODY'S: AAA S&P *** CUSIP: 912828KQ2	05/21/09	20,000	19,934.38	106.5630	21,312.60	1,378.22	79.42	625	2.93

+

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)		Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
Description		Acquired							
Δ U.S. TREASURY NOTE	03/22/11	40,000	40,636.50	107.8830	43,153.20	2,516.70	177.90	1,400	3.24
3.500% MAY 15 2020 03.500% MAY 15 2020									
MOODY'S: AAA S&P: *** CUSIP: 912828ND8									
ORIGINAL UNIT/TOTAL COST: 102,1900/40,876.00									
U.S. TREASURY NOTE	02/15/11	10,000	9,992.50	107.9140	10,791.40	798.90	135.94	363	3.35
3.625% FEB 15 2021 03.625% FEB 15 2021									
MOODY'S: AAA S&P: *** CUSIP: 912828PX2									
Δ U.S. TREASURY NOTE	02/23/11	20,000	20,236.59	107.9140	21,582.80	1,346.21	271.88	725	3.35
ORIGINAL UNIT/TOTAL COST: 101,5820/20,316.40									
Subtotal		30,000	30,229.09		32,374.20	2,145.11	407.82	1,088	3.35
U.S. TREASURY BOND	11/24/09	5,000	4,976.56	106.6410	5,332.05	355.49	27.00	213	3.98
4.250% MAY 15 2039 04.250% MAY 15 2039									
MOODY'S: AAA S&P: *** CUSIP: 912810QB7									
U.S. TREASURY BOND	03/23/10	5,000	4,725.98	106.6410	5,332.05	606.07	27.00	213	3.98
U.S. TREASURY BOND									
11/18/10		10,000	9,928.91	106.6410	10,664.10	735.19	54.01	425	3.98
Subtotal		20,000	19,631.45		21,328.20	1,696.75	108.01	851	3.98
TOTAL		292,000	293,033.73		307,126.51	14,092.78	2,049.66	7,451	2.43
CORPORATE BONDS		Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
Description		Acquired							
Δ JPMORGAN CHASE & CO	07/06/12	20,000	20,220.52	102.9990	20,599.80	379.28	239.78	520	2.52
GLB 02.600% JAN 15 2016									
MOODY'S: A3 S&P: A CUSIP: 46625HHW3									
ORIGINAL UNIT/TOTAL COST: 101,8710/20,374.20									
Δ AFLAC INC	03/01/12	25,000	25,287.00	103.1910	25,797.75	510.75	250.28	663	2.56
GLB 02.650% FEB 15 2017									
MOODY'S: A3 S&P: A CUSIP: 001055AH5									
ORIGINAL UNIT/TOTAL COST: 101,7810/25,445.25									



9541

4 of 24

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

CORPORATE BONDS (continued)		Quantity	Acquired	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
Description										
DIGITAL REALTY TRUST LP	02/04/13	10,000		9,963.30	89.3280	8,932.80	(1,030.50)	90.63	363	4.05
COMPANY GUARNT GLB 03 625% OCT 01 2022										
MOODY'S: BAA2 S&P BBB CUSIP: 25389JAK2										
PAR CALL DATE: 07/01/22 PAR CALL PRICE 100.00										
Δ USD TECK RESOURC	02/04/13	10,000		10,083.52	93.2130	9,321.30	(762.22)	156.25	375	4.02
3.750% FEB 01 2023										
MOODY'S: BAA2 S&P: BBB< CUSIP: 878742AY1										
PAR CALL DATE: 11/01/22 PAR CALL PRICE 100.00										
ORIGINAL UNIT/TOTAL COST 100.9040/10,090.40										
TOTAL		205,000		206,496.08		207,894.95	1,398.87	2,529.44	6,885	3.31
PREFERRED STOCKS		Quantity	Acquired	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
Description										
GEORGIA POWER COMPANY	12/21/10	75		7,912.50	100.4000	7,530.00	(382.50)		488	6.47
NEW MONEY SER 07-A 06 500% PERPETUAL										
MOODY'S: BAA2 S&P BBB+ CUSIP: 373334119										
PAR CALL DATE: 10/01/17 PAR CALL PRICE 100.00										
GOLDMAN SACHS GROUP INC	03/01/12	200		5,098.00	24.3600	4,872.00	(226.00)		307	6.28
\$25 PAR SENIOR NOTES 6.125% NOV 01 2060										
MOODY'S: BAA1 S&P: A- CUSIP: 38145X111										
TOTAL		275		13,010.50		12,402.00	(608.50)		795	6.41

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES		Quantity	Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%
Description	Symbol									



9641

6 of 24

MARK STALEY TTEE

Account Number: 626-12763

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Current Yield%
ABB LTD SPON ADR	ABB	02/23/11	100	23.5339	2,353.39	26.5600	2,656.00	302.61	71	2.65
		08/10/11	100	20.9128	2,091.28	26.5600	2,656.00	564.72	71	2.65
		05/23/12	100	16.1469	1,614.69	26.5600	2,656.00	1,041.31	71	2.65
Subtotal			300		6,059.36		7,968.00	1,908.64	213	2.65
ACE LIMITED	ACE	08/24/11	100	61.6990	6,169.90	103.5300	10,353.00	4,183.10	252	2.43
AFLAC INC COM	AFL	05/23/12	100	39.2700	3,927.00	66.8000	6,680.00	2,753.00	148	2.21
		08/13/12	50	45.4800	2,274.00	66.8000	3,340.00	1,066.00	74	2.21
Subtotal			150		6,201.00		10,020.00	3,819.00	222	2.21
AIR PRODUCTS&CHEM	APD	02/04/10	100	74.1880	7,418.80	111.7800	11,178.00	3,759.20	284	2.54
ALLERGAN INC	AGN	06/24/13	100	84.3903	8,439.03	111.0800	11,108.00	2,668.97	21	1.8
ALLSTATE CORP DEL COM	ALL	09/16/11	200	24.7950	4,959.00	54.5400	10,908.00	5,949.00	200	1.83
AMAZON COM INC COM	AMZN	07/06/12	20	227.3300	4,546.60	398.7900	7,975.80	3,429.20		
AMER EXPRESS COMPANY	AXP	03/14/11	150	43.3822	6,507.34	90.7300	13,609.50	7,102.16	138	1.01
AMERICAN TOWER REIT INC (HLDG CO) SHS	AMT	10/23/12	100	73.1900	7,319.00	79.8200	7,982.00	663.00	116	1.45
AMERICAN WTR WKS CO INC NEW	AWK	10/04/10	200	23.3951	4,679.02	42.2600	8,452.00	3,772.98	224	2.65
APPLE INC	AAPL	03/10/11	25	345.8200	8,645.50	561.0200	14,025.50	5,380.00	305	2.17
		01/24/13	5	461.8500	2,309.25	561.0200	2,805.10	495.85	61	2.17
Subtotal			30		10,954.75		16,830.60	5,875.85	366	2.17
AT&T INC	T	01/26/07	300	36.3100	10,893.00	35.1600	10,548.00	(345.00)	552	5.23
BAXTER INTERNL INC	BAX	06/22/10	250	42.5786	10,644.65	69.5500	17,387.50	6,742.85	490	2.81
BP PLC SPON ADR	BP	04/09/13	300	41.4065	12,421.95	48.6100	14,583.00	2,161.05	684	4.69

+

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
CATERPILLAR INC DEL	CAT	05/25/11	100	102.6288	10,262.88	90.8100	9,081.00	(1,181.88)	240 2.64
		08/05/11	50	90.4580	4,522.90	90.8100	4,540.50	17.60	120 2.64
		08/28/12	25	86.1100	2,152.75	90.8100	2,270.25	117.50	60 2.64
Subtotal			175		16,938.53		15,891.75	(1,046.78)	420 2.64
CHEVRON CORP	CVX	02/10/06	75	56.3900	4,229.25	124.9100	9,368.25	5,139.00	300 3.20
		03/18/11	50	103.5500	5,177.50	124.9100	6,245.50	1,068.00	200 3.20
Subtotal			125		9,406.75		15,613.75	6,207.00	500 3.20
CISCO SYSTEMS INC COM	CSCO	02/16/05	500	17.7400	8,870.01	22.4300	11,215.00	2,344.99	341 3.03
		08/18/11	200	15.1364	3,027.28	22.4300	4,486.00	1,458.72	136 3.03
Subtotal			700		11,897.29		15,701.00	3,803.71	477 3.03
COCA COLA COM	KO	10/23/12	200	36.6650	7,333.00	41.3100	8,262.00	929.00	224 2.71
COMCAST CORP NEW CLA	CMCSA	05/07/08	100	21.8799	2,187.99	51.9650	5,196.50	3,008.51	78 1.50
CONSOL ENERGY INC COM	CNX	07/16/13	300	28.2000	8,460.00	38.0400	11,412.00	2,952.00	150 1.31
CORNING INC	GLW	05/25/11	400	19.5000	7,800.00	17.8200	7,128.00	(672.00)	160 2.24
		08/18/11	200	14.5645	2,912.90	17.8200	3,564.00	651.10	80 2.24
Subtotal			600		10,712.90		10,692.00	(20.90)	240 2.24
CVS CAREMARK CORP	CVS	01/26/07	100	32.8300	3,283.00	71.5700	7,157.00	3,874.00	110 1.53
DANAHER CORP DEL COM	DHR	12/22/09	50	38.2422	1,912.11	77.2000	3,860.00	1,947.89	5 .12
		08/02/11	50	46.9700	2,348.50	77.2000	3,860.00	1,511.50	5 .12
Subtotal			100		4,260.61		7,720.00	3,459.39	10 .12
DEERE CO	DE	03/15/11	100	85.4208	8,542.08	91.3300	9,133.00	590.92	204 2.23
		07/27/11	50	79.3800	3,969.00	91.3300	4,566.50	597.50	102 2.23
Subtotal			150		12,511.08		13,699.50	1,188.42	306 2.23
DIGITAL RLTY TR INC	DLR	03/14/11	200	55.5740	11,114.80	49.1200	9,824.00	(1,290.80)	624 6.35
DISNEY (WALT) CO COM STK	DIS	03/23/10	150	33.9800	5,097.00	76.4000	11,460.00	6,363.00	130 1.12
		07/19/11	50	39.3800	1,969.00	76.4000	3,820.00	1,851.00	43 1.12
Subtotal			200		7,066.00		15,280.00	8,214.00	173 1.12



MARK STALEY TTEE

Account Number: 626-12763

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
DUKE ENERGY CORP NEW	DUK	11/15/12	100	59.9200	5,992.00	69.0100	6,901.00	909.00	312 4.52
EMERSON ELEC CO	EMR	01/26/07	200	43.9260	8,785.20	70.1800	14,036.00	5,250.80	344 2.45
ENSCO PLC SHS CL A	ESV	03/18/11	100	55.9500	5,595.00	57.1800	5,718.00	123.00	225 3.93
EXELON CORPORATION	EXC	01/26/07 07/06/12	300 100 400	59.1300 37.2660	17,739.00 3,726.60 21,465.60	27.3900 27.3900	8,217.00 2,739.00 10,956.00	(9,522.00) (987.60) (10,509.60)	372 4.52 124 4.52 496 4.52
Subtotal									
EXXON MOBIL CORP COM	XOM	02/10/06 03/10/11	75 100 175	59.0700 81.7988	4,430.25 8,179.88 12,610.13	101.2000 101.2000	7,590.00 10,120.00 17,710.00	3,159.75 1,940.12 5,099.87	189 2.49 252 2.49 441 2.49
Subtotal									
GENERAL ELECTRIC	GE	01/26/07 03/18/11 08/10/11	200 300 300 800	36.0200 19.7490 15.3145	7,204.00 5,924.70 4,594.35 17,723.05	28.0300 28.0300 28.0300	5,606.00 8,409.00 8,409.00 22,424.00	(1,598.00) 2,484.30 3,814.65 4,700.95	176 3.13 264 3.13 264 3.13 704 3.13
Subtotal									
GENL DYNAMICS CORP COM	GD	06/05/12	50	61.9700	3,098.50	95.5500	4,777.50	1,679.00	112 2.34
GOLDMAN SACHS GROUP INC	GS	03/15/11 07/19/11	75 50 125	155.2900 126.2900	11,646.75 6,314.50 17,961.25	177.2600 177.2600	13,294.50 8,863.00 22,157.50	1,647.75 2,548.50 4,196.25	165 1.24 110 1.24 275 1.24
Subtotal									
GOOGLE INC CL A	GOOG	04/18/11	15	526.2000	7,893.00	1,120.7100	16,810.65	8,917.65	
HONEYWELL INTL INC DEL	HON	08/02/11	50	51.6300	2,581.50	91.3700	4,568.50	1,987.00	90 1.97
INTEL CORP	INTC	06/01/12 11/15/12	400 200 600	25.3266 20.1250	10,130.64 4,025.00 14,155.64	25.9550 25.9550	10,382.00 5,191.00 15,573.00	251.36 1,166.00 1,417.36	360 3.46 180 3.46 540 3.46
Subtotal									
INTL BUSINESS MACHINES CORP IBM	IBM	03/10/11	100	162.7300	16,273.00	187.5700	18,757.00	2,484.00	380 2.02
JOHNSON AND JOHNSON COM	JNJ	07/26/06	100	61.9900	6,199.00	91.5900	9,159.00	2,960.00	264 2.88

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
JOHNSON CONTROLS INC	JCI	08/10/11	100	31.0020	3,100.20	51.3000	5,130.00	2,029.80	88 1.71
		07/30/12	100	24.7949	2,479.49	51.3000	5,130.00	2,650.51	88 1.71
Subtotal			200		5,579.69		10,260.00	4,680.31	176 1.71
JPMORGAN CHASE & CO	JPM	01/25/08	50	44.0900	2,204.50	58.4800	2,924.00	719.50	76 2.59
		06/15/11	50	40.7200	2,036.00	58.4800	2,924.00	888.00	76 2.59
		09/24/13	50	50.9600	2,548.00	58.4800	2,924.00	376.00	76 2.59
Subtotal			150		6,788.50		8,772.00	1,983.50	228 2.59
KRAFT FOODS GROUP INC SHS	KRFT	03/01/12	66	40.1077	2,647.11	53.9100	3,558.06	910.95	139 3.89
		06/06/13	100	53.7002	5,370.02	53.9100	5,391.00	20.98	210 3.89
Subtotal			166		8,017.13		8,949.06	931.93	349 3.89
LAS VEGAS SANDS CORP	LVS	02/21/13	50	48.8954	2,444.77	78.8700	3,943.50	1,498.73	70 1.77
MAGNA INTL INC CL A VTG	MGA	03/15/11	100	48.5754	4,857.54	82.0600	8,206.00	3,348.46	128 1.55
		08/02/11	50	46.0500	2,302.50	82.0600	4,103.00	1,800.50	64 1.55
Subtotal			150		7,160.04		12,309.00	5,148.96	192 1.55
MCDONALDS CORP COM	MCD	03/22/11	100	73.6423	7,364.23	97.0300	9,703.00	2,338.77	324 3.33
MEDTRONIC INC COM	MDT	11/22/13	50	57.2600	2,863.00	57.3900	2,869.50	6.50	56 1.95
MERCK AND CO INC SHS	MRK	03/11/11	300	32.7000	9,810.00	50.0500	15,015.00	5,205.00	528 3.51
MICROSOFT CORP	MSFT	02/04/10	100	27.8973	2,789.73	37.4100	3,741.00	951.27	112 2.99
		06/30/10	200	23.5965	4,719.30	37.4100	7,482.00	2,762.70	224 2.99
Subtotal			300		7,509.03		11,223.00	3,713.97	336 2.99
MONDELEZ INTERNATIONAL INC	MDLZ	03/01/12	100	24.7306	2,473.06	35.3000	3,530.00	1,056.94	56 1.58
		06/24/13	100	28.7960	2,879.60	35.3000	3,530.00	650.40	56 1.58
Subtotal			200		5,352.66		7,060.00	1,707.34	112 1.58
NEXTERA ENERGY INC SHS	NEE	08/24/06	200	43.7400	8,748.00	85.6200	17,124.00	8,376.00	528 3.08
NIKE INC CL B	NKE	03/14/11	200	42.8655	8,573.10	78.6400	15,728.00	7,154.90	193 1.22



MARK STALEY TTEE

Account Number: 626-12763

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
NOKIA CORP SPON ADR	NOK	02/11/10	100	13.0194	1,301.94	8.1100	811.00	(490.94)		
		04/20/11	200	8.5964	1,719.28	8.1100	1,622.00	(97.28)		
Subtotal			300		3,021.22		2,433.00	(588.22)		
NORFOLK SOUTHERN CORP	NSC	03/22/11	100	67.5426	6,754.26	92.8300	9,283.00	2,528.74	208	2.24
		08/05/11	50	69.3900	3,469.50	92.8300	4,641.50	1,172.00	104	2.24
Subtotal			150		10,223.76		13,924.50	3,700.74	312	2.24
OCCIDENTAL PETE CORP CAL	OXY	04/18/11	100	97.0564	9,705.64	95.1000	9,510.00	(195.64)	256	2.69
		08/05/11	50	88.3700	4,418.50	95.1000	4,755.00	336.50	128	2.69
		06/05/12	50	80.9400	4,047.00	95.1000	4,755.00	708.00	128	2.69
Subtotal			200		18,171.14		19,020.00	848.86	512	2.69
ORACLE CORP \$0.01 DEL	ORCL	11/24/09	100	22.2700	2,227.00	38.2600	3,826.00	1,599.00	48	1.25
		11/24/09	300	22.2699	6,680.97	38.2600	11,478.00	4,797.03	144	1.25
Subtotal			400		8,907.97		15,304.00	6,396.03	192	1.25
PEPSICO INC	PEP	01/26/07	200	64.5200	12,904.00	82.9400	16,588.00	3,684.00	454	2.73
PFIZER INC	PFE	04/09/02	700	38.7700	27,139.00	30.6300	21,441.00	(5,698.00)	729	3.39
PHILIP MORRIS INTL INC	PM	01/26/07	150	46.5212	6,978.18	87.1300	13,069.50	6,091.32	564	4.31
PROCTER & GAMBLE CO	PG	01/26/07	250	64.5866	16,146.67	81.4100	20,352.50	4,205.83	602	2.95
QUALCOMM INC	QCOM	01/26/07	200	37.6000	7,520.00	74.2500	14,850.00	7,330.00	280	1.88
		08/10/11	50	47.6700	2,383.50	74.2500	3,712.50	1,329.00	70	1.88
Subtotal			250		9,903.50		18,562.50	8,659.00	350	1.88
RIO TINTO PLC SPNSRD ADR	RIO	06/15/11	100	66.1527	6,615.27	56.4300	5,643.00	(972.27)	177	3.12
		04/27/12	50	57.0400	2,852.00	56.4300	2,821.50	(30.50)	89	3.12
		04/09/13	50	48.1700	2,408.50	56.4300	2,821.50	413.00	89	3.12
Subtotal			200		11,875.77		11,286.00	(589.77)	355	3.12
SANOFI ADR	SNY	05/25/10	100	28.6385	2,863.85	53.6300	5,363.00	2,499.15	126	2.33
		03/22/11	100	33.6664	3,366.64	53.6300	5,363.00	1,996.36	126	2.33
Subtotal			200		6,230.49		10,726.00	4,495.51	252	2.33

+

004

004

9541

11 of 24

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
SCHLUMBERGER LTD	SLB	02/05/08	200	77.0419	15,408.38	90.1100	18,022.00	2,613.62	250 1.38
SIEMENS AG ADR	SI	05/23/12	25	80.3840	2,009.60	138.5100	3,462.75	1,453.15	75 2.16
SOUTHERN COMPANY	SO	03/11/11	400	38.2555	15,302.20	41.1100	16,444.00	1,141.80	812 4.93
TARGET CORP COM	TGT	01/22/04	100	38.3000	3,830.00	63.2700	6,327.00	2,497.00	172 2.71
		06/03/11	50	47.5100	2,375.50	63.2700	3,163.50	788.00	86 2.71
		07/19/11	50	50.9900	2,549.50	63.2700	3,163.50	614.00	86 2.71
Subtotal			200		8,755.00		12,654.00	3,899.00	344 2.71
TELEFONICA SA SPAIN ADR	TEF	05/11/11	200	24.4200	4,884.00	16.3400	3,268.00	(1,616.00)	73 2.21
		05/11/11	100	24.4146	2,441.46	16.3400	1,634.00	(807.46)	37 2.21
		06/15/12	7	15.1900	106.33	16.3400	114.38	8.05	3 2.21
Subtotal			307		7,431.79		5,016.38	(2,415.41)	113 2.21
TEVA PHARMACTCL INDS ADR	TEVA	01/26/07	200	34.6699	6,933.98	40.0800	8,016.00	1,082.02	218 2.70
TEXAS INSTRUMENTS	TXN	03/18/11	300	33.5344	10,060.32	43.9100	13,173.00	3,112.68	360 2.73
		08/10/11	100	26.4245	2,642.45	43.9100	4,391.00	1,748.55	120 2.73
Subtotal			400		12,702.77		17,564.00	4,861.23	480 2.73
THOMSON REUTERS CORP	TRI	05/03/12	200	30.6156	6,123.12	37.8200	7,564.00	1,440.88	260 3.43
TORCHMARK CORP COM	TMK	07/21/09	100	26.8510	2,685.10	78.1500	7,815.00	5,129.90	68 8.7
TORONTO DOMINION BANK	TD	04/27/12	100	83.8260	8,382.60	94.2400	9,424.00	1,041.40	323 3.41
		11/15/12	50	78.7800	3,939.00	94.2400	4,712.00	773.00	162 3.41
Subtotal			150		12,321.60		14,136.00	1,814.40	485 3.41
UNITED TECHS CORP COM	UTX	03/11/11	100	80.9900	8,099.00	113.8000	11,380.00	3,281.00	236 2.07
VERIZON COMMUNICATNS COM	VZ	01/26/07	150	34.8903	5,233.55	49.1400	7,371.00	2,137.45	318 4.31
WAL-MART STORES INC	WMT	03/10/11	100	52.5100	5,251.00	78.6900	7,869.00	2,618.00	188 2.38
		11/15/12	50	68.7000	3,435.00	78.6900	3,934.50	499.50	94 2.38
		09/24/13	50	76.2098	3,810.49	78.6900	3,934.50	124.01	94 2.38
Subtotal			200		12,496.49		15,738.00	3,241.51	376 2.38
TOTAL					679,932.65		895,747.24	215,814.59	22,706 2.53



MARK STALEY TTEE

Account Number: 626-12763

YOUR CMA FOR TRUST ASSETS

November 30, 2013 - December 31, 2013

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	1,292,951.08	1,523,648.82	230,697.74	4,579.10	37,887	2.49

Notes

Δ Debt Instruments purchased at a premium show amortization
 θ Debt Instruments purchased at a discount show accretion
 * Some agency securities are not backed by the full faith and credit of the United States government.
 < Derived from Moody's and/or S & P ratings for other debt securities of this issuer and provided by a third party vendor
 For Credit Ratings. S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security

YOUR CMA FOR TRUST LIABILITIES

SHORTS Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL UTX 00120 000 UNITED TECHS CORP COM EXP 05-17-2014	11/22/13	1	1.2899	128.99	1.7800	178.00	(49.01)		
CALL ALL 00055.000 ALLSTATE CORP DEL COM EXP 01-18-2014	07/22/13	1	1.2699	126.99	0.4500	45.00	81.99		
CALL NKE 00085.000 NIKE INC CL B EXP 04-19-2014	10/23/13	1	1.3999	139.99	1.3300	133.00	6.99		
CALL QCOM 00077.500 QUALCOMM INC EXP 04-19-2014	10/23/13	1	0.7799	77.99	1.5800	158.00	(80.01)		
CALL AMT 00095.000 AMERICAN TOWER REIT INC EXP 04-19-2014	10/30/13	1	0.4999	49.99	0.1250	12.50	37.49		
CALL JCI 00050 000 JOHNSON CONTROLS INC EXP 04-19-2014	10/21/13	1	0.5499	54.99	3.3000	330.00	(275.01)		
CALL TXN 00042 000 TEXAS INSTRUMENTS EXP 04-19-2014	10/15/13	2	1.5799	315.99	2.8600	572.00	(256.01)		

MARK STALEY TTEE

Account Number: 626-12763

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST LIABILITIES

November 30, 2013 - December 31, 2013

SHORTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
CALL TGT 00067 500 TARGET CORP COM EXP 04-19-2014	11/22/13	2	1.0499	209.99	0.8800	176.00	33.99		
CALL TRI 00035.000 THOMSON REUTERS CORP EXP 04-19-2014	10/18/13	1	1.4999	149.99	3.2500	325.00	(175.01)		
CALL NOK 00009.000 NOKIA CORP SPON ADR EXP 04-19-2014	10/21/13	3	0.2399	71.99	0.3900	117.00	(45.01)		
CALL PEP 00090.000 PEPSICO INC EXP 04-19-2014	10/25/13	1	0.6999	69.99	0.3600	36.00	33.99		
CALL PG 00090.000 PROCTER & GAMBLE CO EXP 04-19-2014	10/23/13	1	0.4599	45.99	0.2700	27.00	18.99		
CALL JNJ 00100.000 JOHNSON AND JOHNSON COM EXP 04-19-2014	10/21/13	1	0.5399	53.99	0.2900	29.00	24.99		
CALL GS 00185 000 GOLDMAN SACHS GROUP INC EXP 04-19-2014	10/23/13	1	1.5299	152.99	4.0900	409.00	(256.01)		
CALL BP 00047 000 BP PLC SPON ADR EXP 04-19-2014	10/23/13	2	0.4299	85.99	2.3100	462.00	(376.01)		
CALL MSFT 00040.000 MICROSOFT CORP EXP 04-19-2014	10/21/13	2	0.4699	93.99	0.7800	156.00	(62.01)		
CALL GLW 00020 000 CORNING INC EXP 02-22-2014	10/23/13	2	0.2499	49.99	0.1400	28.00	21.99		
CALL BAX 00070 000 BAXTER INTERNL INC EXP 02-22-2014	08/05/13	1	4.0999	409.99	1.5000	150.00	259.99		
CALL ACE 00100 000 ACE LIMITED EXP 02-22-2014	08/21/13	1	0.6999	69.99	4.4000	440.00	(370.01)		
CALL MRK 00052.500 MERCK AND CO INC SHS EXP 01-18-2014	07/22/13	1	0.6299	62.99	0.0700	7.00	55.99		
CALL JPM 00060 000	09/24/13	1	0.3699	36.99	1.2500	125.00	(88.01)		



9641

14 of 24

MARK STALEY TTEE

Account Number: 626-12763

YOUR CMA FOR TRUST LIABILITIES

November 30, 2013 - December 31, 2013

SHORTS (continued) Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
JPMORGAN CHASE & CO EXP 03-22-2014									
CALL DIS 00067 500	10/15/13	1	3 3499	334 99	9.5400	954.00	(619.01)		
DISNEY (WALT) CO COM STK EXP 04-19-2014									
CALL WMT 00082 500	09/24/13	1	0 7199	71 99	0.4800	48.00	23 99		
WAL-MART STORES INC EXP 03-22-2014									
CALL CSCO 00029 000	07/15/13	2	0 5099	101 99	0.0100	2.00	99.99		
CISCO SYSTEMS INC COM EXP 01-18-2014									
CALL NSC 00095 000	10/23/13	1	0.4999	49.99	2.1000	210.00	(160.01)		
NORFOLK SOUTHERN CORP EXP 03-22-2014									
CALL CVX 00135 000	09/24/13	1	1.2999	129.99	0.2900	29.00	100.99		
CHEVRON CORP EXP 03-22-2014									
CALL CNX 00035 000	07/16/13	2	0.7499	149.99	3.1500	630.00	(480.01)		
CONSOLE ENERGY INC COM EXP 01-18-2014									
CALL AGN 00105 000	06/24/13	1	1.1499	114.99	6.6200	662.00	(547.01)		
ALLERGAN INC EXP 01-18-2014									
TOTAL				3,413.72		6,450.50	(3,036.78)		

12,402.00
895,747.24
6,450.50
914,599.74



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:
HENRY M STALEY CHARITABLE TR
DTD 12/17/65 MARK E STALEY

Page 3 of 11
Account Number G40-1416479
Financial Advisor SLANSKY/GUTTILLA/HAYES - Z3L

Period Ending 12/31/13

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

Money Market Funds

(NOT FDIC INSURED)

Client may elect to liquidate any cash investment option at any time by contacting his/her Financial Advisor.

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE GOVERNMENT LIQ FD	CASH	8,418.2800	ADGXX	1.00000	1.00000	8,418.28	8,418.28	0.010%		3.13
						8,418.28	8,418.28			3.13

TOTAL MONEY MARKET FUNDS

Equities

Common Stock

Please note the following icon  appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage. If you wish to access such research you may visit the Client Access web site (www.opco.com) or speak with your Financial Advisor.

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
DEUTSCHE BANK AG NAMEN AKT	(I) CASH	75	DB	44.76120	48.24000	3,357.09	3,618.00	261	2.014%	72	1.35
ACCENTURE PLC IRELAND SHS CLASS A	(P) CASH	40	ACN	65.27350	82.22000	2,610.94	3,288.80	678	2.262%	74	1.22
SINA CORP ORD	(Q) CASH	21	SINA	59.49140	84.25000	1,249.32	1,769.25	520			0.66
ASML HOLDING N V N Y REGISTRY SHS	(Q) CASH	42	ASML	72.75640	93.70000	3,055.77	3,935.40	880	0.626%	24	1.46
YANDEX N V SHS CLASS A	(N) CASH	107	YNDX	24.95940	43.15000	2,670.66	4,617.05	1,946			1.72
AIA GROUP LTD SPONSORED ADR	(I) CASH	317	AAGIY	15.34750	20.15000	4,865.15	6,387.55	1,522	0.868%	55	2.38
ADIDAS AG ADR	(B) CASH	105	ADDYY	36.95150	64.23000	3,879.91	6,744.15	2,864	0.983%	66	2.51
SUBJECT TO CUSTODY FEE											
AMADEUS IT HLDG S A ADS	(Q) CASH	69	AMADY	32.88100	42.75000	2,268.79	2,949.75	681	1.118%	33	1.10
ANHEUSER BUSCH INBEV SAINV SPONSORED ADR	(J) CASH	38	BUD	101.19320	106.46000	3,845.34	4,045.48	200	2.352%	95	1.51



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:
HENRY M STALEY CHARITABLE TR
DTD 12/17/65 MARK E STALEY

Account Number
G40-1416479

Page
4 of 11

Financial Advisor
SLANSKY/GUTTILAHAYES - Z3L

Period Ending
12/31/13

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ARM HLDGS PLC SPONSORED ADR	(Q) CASH	50	ARMH	25 57000	54 73100	1,278 50	2,736 55	1,458	0 369%	10	1 02
ASSAABLOY AB ADR	(C) CASH	168	ASAZY	12 72910	26 45000	2,138 49	4,443 60	2,305	1 145%	50	1 65
BAIDU INC SPON ADR REP A SUBJECT TO CUSTODY FEE	(N) CASH	22	BIDU	119 44770	177 88000	2,627 85	3,913 36	1,286			1 46
BANCO BILBAO VIZCAYA ARGENTARI SPONSORED ADR	(I) CASH	263	BBVA	11 06590	12 39000	2,910 32	3,258 57	348	3 535%	115	1 21
BURBERRY GROUP PLC SPONSORED ADR	(B) CASH	77	BURBY	42 68990	50 55000	3,287 12	3,892 35	605	1 753%	68	1 45
CANADIAN NATL RY CO	(S) CASH	92	CNI	36 04500	57 02000	3,316 14	5,245 84	1,930	1 419%	74	1 95
CARNIVAL CORP PAIRED CTF	(S) CASH	103	CCL	38 17340	40 17000	3,931 86	4,137 51	206	2 489%	103	1 54
CHINA MOBILE LIMITED SPONSORED ADR	(R) CASH	73	CHL	54 04140	52 29000	3,945 02	3,817 17	(128)	3 854%	147	1 42
COMPASS GROUP PLC SPON ADR NEW	(J) CASH	282	CMPGY	12 07260	16 12900	3,404 46	4,548 37	1,144	2 166%	98	1 69
DASSAULT SYS SA SPONSORED ADR	(Q) CASH	23	DASTY	77 03260	124 30000	1,771 75	2,858 90	1 087	0 585%	16	1 06
EMBRAER SA SP ADR REP 4 COM	(S) CASH	103	ERJ	28 69590	32 18000	2,955 68	3,314 54	359	0 251%	8	1 23
EXPERIAN PLC SPONSORED ADR	(I) CASH	149	EXPGY	17 94060	18 43000	2,673 15	2,746 07	73	1 741%	47	1 02
FANUC CORPORATION ADR	(E) CASH	170	FANUY	24 54660	30 71000	4,172 92	5,220 70	1,048	0 670%	35	1 94
FRESENIUS MED CARE AG&CO KGAA SPONSORED ADR	(L) CASH	129	FMS	32 32500	35 58000	4,169 93	4 589 82	420	0 938%	43	1 71
HSBC HLDGS PLC SPON ADR NEW	(I) CASH	71	HSBC	45 76480	55 13000	3,249 30	3 914 23	665	4 353%	170	1 46
HENNES & MAURITZ AB ADR	(P) CASH	500	HNNMY	6 29000	9 16000	3 145 00	4 580 00	1,435	2 434%	111	1 70
HONG KONG EXCHANGES & CLEARING ADR	(I) CASH	157	HXXCY	20 45000	16 69000	3 210 65	2,620 33	(590)	2 288%	59	0 98
INDUSTRIAL & COML BK CHINA	(I) CASH	184	IDCBY	15 43000	13 58000	2 639 12	2 498 72	(340)	4 775%	119	0 93



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:
HENRY M STALEY CHARITABLE TR
DTD 12/17/65 MARK E STALEY

Account Number
G40-1416479

Financial Advisor
SLANSKY/GUTTILLA/HAYES - Z3L

Period Ending
12/31/13

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ING GROEP N V SPONSORED ADR SUBJECT TO CUSTODY FEES	(I) CASH	416	ING	10 66700	14 01000	4,437 47	5,828 16	1,391			2 17
INTESA SANPAOLO S P A SPON ADR	(I) CASH	222	ISNPY	13 91130	14 95000	3,088 30	3,318 90	231	3 832%	127	1 24
ITAU UNIBANCO HLDG SA SPON ADR REP PFD	(I) CASH	178	ITUB	17 95310	13 57000	3,195 66	2,415 46	(780)	0 580%	14	0 90
JULIUS BAER GROUP LTD ADR	(I) CASH	270	JBAXY	9 60400	9 65000	2,593 08	2,605 50	12			0 97
KINGFISHER PLC SPON ADR PAR	(P) CASH	541	KGFHY	7 64000	12 84000	4,133 24	6,946 44	2,813	2 095%	145	2 59
KOMATSU LTD SPON ADR NEW	(E) CASH	132	KMTUY	25 99200	20 58000	3,430 95	2,716 56	(714)	2 157%	58	1 01
KONE OYJ ADR	(E) CASH	114	KNYJY	21 71120	22 60000	2,475 08	2,576 40	101	5 130%	132	0 96
KUBOTA CORP ADR	(C) CASH	47	KUBTY	75 16830	83 60000	3,532 91	3,929 20	396	0 990%	38	1 46
LVMH MOET HENNESSY LOU VUITTON ADR	(J) CASH	197	LVMUY	29 27440	36 59990	5,767 05	7,210 18	1,443	1 587%	114	2 68
LAS VEGAS SANDS CORP	(K) CASH	66	LVS	44 89970	78 87000	2,963 38	5,205 42	2,242	1 775%	92	1 94
LULULEMON ATHLETICA INC	(B) CASH	66	LULU	67 44920	59 03000	4,451 65	3,895 98	(556)			1 45
MERCADOLIBRE INC	(P) CASH	24	MELI	71 26540	107 79000	1,710 37	2,586 96	877	0 530%	13	0 96
MICHELIN COMPAGNIE GENERALE DE ADR	(S) CASH	210	MGDDY	15 05050	21 35000	3,160 61	4,483 50	1,323	2 234%	100	1 67
MITSUBISHI UFJ FINL GROUP INC SPONSORED ADR	(I) CASH	777	MTU	5 05770	6 68000	3,329 85	5,190 36	1,261	1 905%	98	1 93
NESTLE S A SPONSORED ADR	(J) CASH	76	NSRGY	57 59050	73 59000	4,376 88	5,592 84	1,216	2 467%	138	2 08
NOKIA CORP SPONSORED ADR	(M) CASH	162	NOK	8 08670	8 11000	1,310 05	1,313 82	4			0 49
NOVARTIS A G SPONSORED ADR	(L) CASH	67	NVS	53 76000	80 38000	3,601 92	5,385 46	1,784	2 559%	137	2 00
NOVO-NORDISK A S ADR	(L) CASH	35	NVO	120 27000	184 76000	4,209 45	6,466 60	2,257	1 225%	79	2 41
PT BK MANDIRI PERSERO TBK ADR	(I) CASH	145	PPERY	8 81290	6 46000	1,277 87	936 70	(341)	2 291%	21	0 35



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:
HENRY M STALEY CHARITABLE TR
DTD 12/17/65 MARK E STALEY

Page
6 of 11

Account Number
G40-1416478

Financial Advisor
SLANSKY/GUTTILAHAYES - Z3L

Period Ending
12/31/13

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
PACIFIC RUBIALES ENERGY CORP COM NEW	(C) CASH	205	PEGFF	20.16090	17.26110	4,132.98	3,538.52	(594)	3.823%	135	1.32
PEARSON PLC SPONSOREDADR	(M) CASH	183	PSO	18.83020	22.40000	3,445.93	4,099.20	653	3.205%	131	1.53
PUBLICIS SA NEW SPONSOREDADR	(M) CASH	373	PUBGY	14.86510	22.97000	5,544.70	8,567.81	3,023	0.937%	80	3.19
RECKITT BENCKISER PLC SPONSOREDADR	(L) CASH	343	RBGLY	9.82000	16.09000	3,368.26	5,518.87	2,151	2.554%	140	2.05
ROCHE HLDG LTD SPONSOREDADR SUBJECT TO CUSTODY FEE	(L) CASH	77	RHHBY	61.30340	70.20000	4,720.36	5,405.40	685	2.314%	125	2.01
SABMILLER PLC SPONSOREDADR	(J) CASH	82	SBMRY	38.25560	51.28000	3,136.96	4,204.96	1,068	1.934%	81	1.56
SAP AG SPONADR	(Q) CASH	36	SAP	55.23970	87.14000	1,988.63	3,137.04	1,148	0.915%	28	1.17
SCHLUMBERGER LTD	(G) CASH	66	SLB	82.96560	90.11000	5,475.73	5,947.26	472	1.387%	82	2.21
SOUTHERN COPPER CORP	(C) CASH	63	SOCO	33.23140	28.71000	2,093.58	1,808.73	(285)	2.368%	42	0.67
SUMITOMO MITSUI TR HLDGS INC SPONSOREDADR SUBJECT TO CUSTODY FEES	(I) CASH	823	SUTNY	5.13900	5.29000	4,229.40	4,353.67	124	1.448%	63	1.62
SWATCH GROUP AG ADR	(C) CASH	109	SWGAY	19.45460	33.20000	2,120.55	3,618.80	1,498	0.627%	22	1.35
SYNGENTAAG SPONSOREDADR	(A) CASH	49	SYT	74.68820	79.94000	3,659.72	3,917.06	257	2.124%	83	1.46
TAIWAN SEMICONDUCTOR MFG LTD SPONSOREDADR	(Q) CASH	219	TSM	18.27260	17.44000	4,001.70	3,819.36	(182)	2.299%	87	1.42
TATA MTRS LTD SPONSOREDADR SUBJECT TO CUSTODY FEE	(S) CASH	84	TTM	24.56960	30.80000	2,063.85	2,587.20	523	0.433%	11	0.96
TENCENT HLDGS LTD ADR	(R) CASH	64	TCEHY	22.50330	64.42000	1,440.21	4,122.88	2,683	0.181%	7	1.53
TOYOTA MOTOR CORP SP ADR REP2COM	(S) CASH	52	TM	81.66000	121.92000	4,246.32	6,339.84	2,094	1.924%	122	2.36



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:
HENRY M STALEY CHARITABLE TR
DTD 12/17/65 MARK E STALEY

Account Number: G40-1416479
Financial Advisor: SLANSKY/GUTTILAHAYES - Z3L
Period Ending: 12/31/13

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
YUM BRANDS INC	(J) CASH	66	YUM	67.20230	75.61000	4,435.35	4,990.26	555	1 957%	97	1 86
SUB-TOTAL COMMON STOCK						205,580.23	260,273.36	53,696		4,357	96.87
TOTAL EQUITIES						205,580.23	260,273.36	53,696		4,357	96.87

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(I) 19% FINANCIAL	(P) 6% RETAIL SERVICES	(Q) 8% TECHNOLOGY	(N) 3% PUBLIC SERVICES	(B) 5% APPAREL & ACCESSORIES
(J) 11% FOOD & BEVERAGES	(C) 6% BASIC INDUSTRY	(S) 10% TRANSPORTATION	(R) 3% TELECOMMUNICATIONS	(E) 4% CONSTRUCTION & BLDG
(L) 10% HEALTHCARE	(K) 2% GAMING/LODGING	(M) 5% MEDIA & COMMUNICATION	(G) 2% ENERGY	(A) 1% AGRICULTURE

Total Portfolio Holdings

Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
\$214,998.51	\$268,691.64	\$53,696	1,622%	4,358	100%

< 8,118.28 >
260,273.36

Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000



STATEMENT OF ACCOUNT



Transacts Business on All Principal Exchanges

LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:
HENRY M STALEY CHARITABLE TR
DTD 12/17/65 MARK E STALEY

Page 3 of 6
Account Number G40-1416487
Financial Advisor SLANSKY/GUTTILLAHAYES - Z3L
Period Ending 12/31/13

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

Money Market Funds

(NOT FDIC INSURED)

Client may elect to liquidate any cash investment option at any time by contacting his/her Financial Advisor.

Description	Account Type	Quantity	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE GOVERNMENT LIQ FD	CASH	5,012.7200	1.00000	1.00000	5,012.72	5,012.72	0.010%		2.02
TOTAL MONEY MARKET FUNDS					5,012.72	5,012.72			2.02

Equities

Please note the following icon  appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage. If you wish to access such research you may visit the Client Access web site (www.opco.com) or speak with your Financial Advisor.

Common Stock

Description	Account Type	Quantity	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ALLEGION PUB LTD CO ORD SHS	(I) CASH	56	27.85410	44.19000	1,559.83	2,474.64	915			1.00
EATON CORP PLC	(B) CASH	116	54.32240	76.12000	6,301.40	8,829.92	2,529	2.207%	194	3.57
ENSCO PLC SHS CLASS A	(G) CASH	105	57.26790	57.18000	6,013.13	6,003.90	(9)	3.934%	236	2.42
INGERSOLL-RAND PLC	(Q) CASH	170	35.99860	61.60000	6,119.76	10,472.00	4,352	1.363%	142	4.23
NABORS INDUSTRIES LTD	(G) CASH	375	27.31000	16.99000	10,241.25	6,371.25	(3,870)	0.941%	60	2.57
NOBLE CORP PLC SHS USD	(G) CASH	290	42.89290	37.47000	12,438.94	10,866.30	(1,573)	2.028%	220	4.39
PARTNERRE LTD	(I) CASH	35	72.72000	105.43000	2,545.20	3,690.05	1,145	2.428%	89	1.49
WEATHERFORD INTERNATIONAL LTD REG SHS	(G) CASH	610	20.38990	15.49000	12,437.84	9,448.90	(2,989)			3.82
UBS AG SHS NEW	(I) CASH	290	18.31000	19.25000	5,309.90	5,582.50	273	0.831%	46	2.25
CORE LABORATORIES N V	(G) CASH	30	98.17000	190.95000	2,945.10	5,728.50	2,783	0.670%	38	2.31
AXA SA SPONSORED ADR	(I) CASH	130	19.46000	27.89000	2,529.80	3,625.70	1,096	2.746%	99	1.46



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:
HENRY M STALEY CHARITABLE TR
DTD 12/17/65 MARK E STALEY

Page

4 of 6

Account Number

G40-1416487

Financial Advisor

SLANSKY/GUTTILLA/HAYES - Z3L

Period Ending

12/31/13

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BASF SE SPONSORED ADR	(C) CASH	65	BASFY	77 44000	107 79000	5,033 60	7,006 35	1,973	2 287%	160	2 83
BHP BILLITON LTD SPONSORED ADR	(C) CASH	175	BHP	82 48740	68 20000	14,435 30	11,935 00	(2,500)	3 401%	406	4 82
BRITISH AMERN TOB PLC SPONSORED ADR	(C) CASH	75	BTI	75 62000	107 42000	5,671 50	8,056 50	2,385	4 027%	324	3 25
BROOKFIELD ASSET MGMT INC CL A LTD VT SH	(O) CASH	80	BAM	30 84000	38 83000	2,467 20	3 106 40	639	2 060%	64	1 25
CANADIAN NATL RY CO	(S) CASH	180	CNI	36 22000	57 02000	6 519 60	10,263 60	3,744	1 419%	145	4 15
CANADIAN NAT RES LTD	(G) CASH	195	CNQ	48 83990	33 84000	9,523 78	6,598 80	(2,925)	2 224%	146	2 67
CANADIAN PAC RY LTD	(S) CASH	115	CP	63 89000	151 32000	7,347 35	17,401 80	10,054	0 877%	152	7 03
DIAGEO P L C SPON ADR NEW	(J) CASH	85	DEO	73 25000	132 42000	6,226 25	11,255 70	5,029	2 264%	254	4 55
MANULIFE FINL CORP	(I) CASH	150	MFC	16 65000	19 73000	2 497 50	2,959 50	462	2 473%	73	1 20
NESTLE S A SPONSORED ADR	(J) CASH	165	NSRGY	55 32000	73 59000	9,127 80	12,142 35	3,015	2 467%	299	4 90
NOVARTIS A G SPONSORED ADR	(L) CASH	90	NVS	53 77000	80 38000	4,839 30	7,234 20	2,395	2 559%	185	2 92
POTASH CORP SASK INC	(C) CASH	205	POT	55 27990	32 96000	11,332 38	6,756 80	(4,576)	4 247%	287	2 73
RIO TINTO PLC SPONSORED ADR	(C) CASH	250	RIO	60 68220	56 43000	15,170 54	14,107 50	(1,063)	3 122%	440	5 70
SCHLUMBERGER LTD	(G) CASH	150	SLB	87 10990	90 11000	13,066 49	13 516 50	450	1 387%	187	5 46
SUNCOR ENERGY INC NEW	(G) CASH	290	SU	44 49660	35 05000	12 904 00	10 164 50	(2,740)	2 149%	218	4 11
TALISMAN ENERGY INC	(G) CASH	115	TLM	23 60000	11 65000	2 714 00	1,339 75	(1,374)	2 317%	31	0 54
TECK RESOURCES LTD CL B	(C) CASH	60	TCK	35 18220	26 01000	2,110 93	1 560 60	(550)	3 306%	51	0 63
TENARIS S A SPONSORED ADR	(C) CASH	245	TS	44 91010	43 69000	11,002 97	10 704 05	(299)	1 968%	210	4 32
UNILEVER N V N Y SHS NEW	(J) CASH	185	UN	29 50990	40 23000	5 754 43	7,844 85	2,090	2 948%	231	3 17
VALE S A ADR	(C) CASH	320	VALE	31 55990	15 25000	10 099 17	4,880 00	(5,219)	0 859%	41	1 97



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



LYNNE KAPLAN
GROSS KAPLAN & OSIOL LLC
9450 W BRYN MAWR AVE #310

DUPLICATE FOR:
HENRY M STALEY CHARITABLE TR
DTD 12/17/65 MARK E STALEY

Page 5 of 6
Account Number G40-1416487
Financial Advisor SLANSKY/GUTTILAHAYES - Z3L
Period Ending 12/31/13

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
YARA INTL ASA SPONSORED ADR	(A) CASH	15	YARIY	46 79000	42 93000	701 85	643 95	(58)	4 311%	27	0 26

SUB-TOTAL COMMON STOCK.....
TOTAL EQUITIES.....

226,988.09
226,988.09

242,572.36
242,572.36

15,584
15,584

5,068
5,068

97.98
97.98

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

(I) 7% FINANCIAL	(B) 3% APPAREL & ACCESSORIES	(G) 28% ENERGY	(O) 4% TECHNOLOGY	(C) 26% BASIC INDUSTRY
(O) 1% REAL ESTATE	(S) 11% TRANSPORTATION	(J) 12% FOOD & BEVERAGES	(L) 2% HEALTHCARE	(A) 32% AGRICULTURE

Total Portfolio Holdings	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
	\$232,000.81	\$247,585.08	\$15,584	2.047%	5,069	100%

< 5,012.72 >
242,572.36

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 9

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARK E STALEY, TRUSTEE
2700 WESTPORT
SPRINGFIELD, IL 62711

FORM AND CONTENT OF APPLICATIONS

STATEMENT OF PURPOSE, SPECIFIC NEEDS, SPECIFIC BUDGET, PROJECTED BENEFITS &
DETAILED PROGRAM.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

LIMITED TO PUBLIC CHARITABLE ORGANIZATIONS FOR THE FURTHERANCE OF THEIR
SPECIFIED PURPOSES AND THAT, WHERE APPLICABLE, MEETS THE STANDARDS OF CBBB
AND/OR NCIB.

HENRY M STALEY DECLARATION OF CHARITABLE TRUST

I:\data\8957\charity

2013

CONTRIBUTIONS PAID DURING THE YEAR

<u>Name and address</u>	<u>Amount Support</u>
Alzheimer's Assoc PO Box 96011 Washington, DC 20090-6011	3,000
American Cancer Society 100 Tri-State International, Suite 125 Lincolnshire, IL 60069	10,000
Amoyotrophic Lateral Sclerosis Assn 2258 Weldon Parkway St Louis, MO 63146-3206	2,000
Ashoka Innovators for the Public 1700 N Monroe St., Suite 2000 Arlington, VA 22209-9821	1,000
BBB Wise Giving Alliance 3033 Wilson Blvd #300 Arlington, VA 22203	1,000
Boys & Girls Club 859 N Jasper St Decatur, IL 62521	5,000
CARE PO Box 1870 Merrifield, VA 22116-8070	2,000
Central IL Christians in Mission PO Box 343 Mr. Zion, IL 62549	1,000
Community Foundation Leaders Fund 125 N. Water St Decatur, IL 62525	5,000
Decatur Choral Society PO Box 404 Decatur, IL 62525-9944	500
Decatur Macon County Animal Shelter PO Box 633 Decatur, IL 62525	2,000
Decatur Memorial Hospital Foundation 2300 N. Edward	5,000

Decatur, IL 62526	
Decatur Score Chap 296 1184 W. Main St. Decatur, IL 62522	500
Delta Waterfowl P.O Box 3128 Bismark, ND 58502-3128	1,000
Dove Inc 788 E. Clay St. Decatur, IL 62521-2694	3,000
Ducks Unlimited One Waterfowl Way Memphis, TN 38120-2381	2,000
Easter Seal Society 2715 N. 27th Decatur, IL 62526	2,000
Friends of St Mary's Hospital 1800 E. Lake Shore Drive Decatur, IL 62521	1,500
Good Samaritan Inn 920 N. Union St Decatur, IL 62522	3,000
Governor's Academy 1 Elm Street, Suite 301 Byfield, MA 01922	2,000
Hieronymus Mueller Family Foundation 101 S ain St., Ste 301 Decatur, IL 62523	4,000
Hoagland Center of the Arts 420 S. 6th St. Springfield, IL 62701	2,000
Independent Institute 100 Swan Way Oakland, CA 94621-1459	1,000
Kemmerer Village 941 N. 2500 E. Rd. Assumption, IL 62510-9706	2,000
Kidzeum of Health & Science PO Box 9863 Springfield, IL 62791	1,000
Land of Lincoln Goodwill Industries Inc 1220 Outer Park Drive	1,000

Springfield, IL 62704	
Leadership Institute 1101 Highland St Arlington, VA 22201	500
Little Theatre on the Square PO Box 288 Sullivan, IL 61951-1905	500
Lutheran School Assn 2001 E. Mound Rd. Decatur, IL 62526-9305	7,000
Lyric Opera of Chicago 20 N. Wacker Dr, Suite 860 Chicago, IL 60606-9881	500
Macon County Conservation Fdn 3939 Nearing Lane Decatur, IL 62521	5,100
Macon County Heart Association 2141 W White Oaks Dr., Ste A Springfield, IL 62704	15,000
March of Dimes 2060 W. Iles, Suite D Springfield, IL 62704	1,000
Meals On Wheels 247 W Prairie Decatur, IL 62523	3,000
Mercatus Center, George Mason Univ 3351 N. Fairfax Dr., 4th floor Arlington, VA 22201-9787	5,000
Millikin University 1184 W Main Decatur, IL 62522	20,000
Nature Conservancy 4245 N Fairfax Dr., Suite 100 Arlington, VA 22203-1606	750
Northeast Community Fund 825 N Water St Decatur, IL 62523	3,000
Our Saviours Lutheran Church 2645 Old Jacksonville Rd Springfield, IL 62704	3,000
Pulmonary Fibrosis Foundation 230 E Ohio Street, Suite 304	15,000

Chicago, IL 60611

Richland Community College 5,000
1 College Park
Decatur, IL 62526

Ronald McDonald House Charities of IL 1,000
610 N. 7th Street
Springfield, IL 62702

Salvation Army 3,000
229 W. Main
Decatur, IL 62523

Sanfilippo Research Foundation 500
PO Box 564
Belmont, MA

SIU Foundation 5,000
1100 Lincoln Drive
Carbondale, IL 62901

Springfield Angel of Hope, NFP 500
PO Box 9714
Springfield, IL 62794-9714

St. Jude Children's Hospital 2,000
4722 N Sheridan Rd
Peoria, IL 61614

St Patrick Catholic School 1,000
1800 S Grand Ave East
Springfield, IL 62703

St. Paul's Lutheran Church 1,500
1 Bachrach Ct
Decatur, IL 62526

Staley Museum 10,000
361 N College
Decatur, IL 62522

Theatre 7 500
P O. Box 972
Decatur, IL 62525

UCP Land of Lincoln 3,000
101 N 16th St.
Springfield, IL 62703

UIF/Friends of Will 250
1305 W Green St
Urbana, IL 61801-2962

UIF/UIS Dept of Athletics 2,500
1305 W Green St

2013

Urbana, IL 61801-2962

UIF/WUIS 1 University Plaza WUIS-130 Springfield, IL 62704	250
--	-----

United Way of Decatur & Macon County 202 E. Eldorado, Ste B Decatur, IL 62523	10,000
---	--------

Univ of Illinois Foundation 1305 Green St Urbana, IL 61801	20,000
--	--------

U.S. Term Limits Foundation 9900 Main St., Suite 303 Fairfax, VA 22031	2,000
--	-------

Westminster Presbyterian Church 1360 W. Main Decatur, IL 62522	10,000
--	--------

TOTAL	<u>220,850</u>
-------	----------------