Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

Name of foundation: MELVIN R BAUM PRIVATE FOUNDATION

A Employer identification number: 37-1379627

Number and street (or P O box number if mail is not delivered to street address): 866 N MAIN STREET — Room/suite:

B Telephone number (see the instructions): (309) 263-0808

City or town, state or province, country, and ZIP or foreign postal code: MORTON IL 61550

C If exemption application is pending, check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 3,003,768.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	90,889.			
	2 Ck ► ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	9,822.	9,822.		
	4 Dividends and interest from securities	80,406.	80,406.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		74,073.		
	8 Net short-term capital gain			265.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	181,117.	164,301.	265.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) L-16c Stmt	26,663.	26,663.		
	17 Interest	211.	211.		
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	2,154.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	29,028.	26,874.		
	25 Contributions, gifts, grants paid	248,800.			248,800.
	26 **Total expenses and disbursements.** Add lines 24 and 25	277,828.	26,874.		248,800.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-96,711.			
	b **Net investment income** (if negative, enter -0-)		137,427.		
	c **Adjusted net income** (if negative, enter -0-)			265.	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	264.	225,083.	225,083.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	2,430,355.	2,108,825.	2,678,685.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) L-13 Stmt	100,000.	100,000.	100,000.
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	2,530,619.	2,433,908.	3,003,768.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 Total liabilities (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► and complete lines 24 through 26 and lines 30 and 31.** ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► X and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,530,619.	2,433,908.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	2,530,619.	2,433,908.	
	31 Total liabilities and net assets/fund balances (see instructions)	2,530,619.	2,433,908.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,530,619.
2	Enter amount from Part I, line 27a	2	-96,711.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	2,433,908.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	2,433,908.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	332 SH ARTIO GLOBAL	P	12/28/12	12/02/13
b	29 SH DODGE & COX	P	06/27/12	04/16/13
c	864 SH DWS SHORT DURATION	P	12/11/12	12/02/13
d	220 SH IVY FUNDS	P	10/29/12	10/17/13
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,316.		4,468.	-152.
b	4,003.		3,505.	498.
c	7,962.		7,994.	-32.
d	2,411.		2,451.	-40.
e	See Columns (e) thru (h)		862,939.	73,799.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-152.
b				498.
c				-32.
d				-40.
e	See Columns (i) thru (l)			73,799.

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	74,073.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	265.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	144,285.	0.	0.000000
2011	131,750.	0.	0.000000
2010	141,897.	0.	0.000000
2009	144,700.	0.	0.000000
2008	88,469.	0.	0.000000

2	**Total** of line 1, column (d)	2	0.000000
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.000000
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	0.
5	Multiply line 4 by line 3	5	0.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,374.
7	Add lines 5 and 6	7	1,374.
8	Enter qualifying distributions from Part XII, line 4	8	248,800.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter _______ (attach copy of letter if necessary — see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	1,374.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,374.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,374.
6	Credits/Payments		
a	2013 estimated tax pmts and 2012 overpayment credited to 2013 — 6 a		
b	Exempt foreign organizations — tax withheld at source — 6 b		
c	Tax paid with application for extension of time to file (Form 8868) — 6 c — 800.		
d	Backup withholding erroneously withheld — 6 d		
7	Total credits and payments Add lines 6a through 6d	7	800.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	14.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	588.
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	0.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ► — **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► IL - Illinois			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MELVIN BAUM Telephone no ► (309) 263-0808

Located at ► 866 N MAIN STREET, MORTON, IL ZIP + 4 ► 61550

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MELVIN BAUM 607 N OREGON MORTON IL 61550	PRES/DIR 1.00	0.	0.	0.
GREGORY HUNZIKER 416 MAIN ST PEORIA IL 61602	SEC/DIR 1.00	0.	0.	0.
LINDA BAUM 607 N OREGON MORTON IL 61550	TREAS/DIR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE '

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services	►	None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION MADE CHARITABLE CONTRIBUTIONS TO 14 RECOGNIZED CHARITIES DURING THE YEAR ENDED 12/31/13	248,800.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments See instructions	
3	
Total Add lines 1 through 3 ►	None

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1 a	
b	Average of monthly cash balances	1 b	
c	Fair market value of all other assets (see instructions)	1 c	
d	**Total** (add lines 1a, b, and c)	1 d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1 e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	0.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	0.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	0.
6	**Minimum investment return.** Enter 5% of line 5	6	0.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	0.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	1,374.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2 b			
c	Add lines 2a and 2b			2 c	1,374.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	0.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	0.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	0.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	248,800.
b	Program-related investments — total from Part IX-B	1 b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	248,800.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,374.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	247,426.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2013				
a From 2008 89,700.				
b From 2009 144,700.				
c From 2010 142,500.				
d From 2011 0.				
e From 2012 145,055.				
f **Total** of lines 3a through e	521,955.			
4 Qualifying distributions for 2013 from Part XII, line 4 ► $ 248,800.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus	248,800.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	770,755.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	89,700.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	681,055.			
10 Analysis of line 9				
a Excess from 2009 144,700.				
b Excess from 2010 142,500.				
c Excess from 2011 0.				
d Excess from 2012 145,055.				
e Excess from 2013 248,800.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a 'Assets' alternative test — enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SOUTH SIDE MISSION 1127 S LARAMIE STREET PEORIA IL 61605		EXEMPT	CHARITABLE	5,000.
APOSTOLIC CHRISTIAN HOME FOR HANDICAPPED 2125 VETERANS ROAD MORTON IL 61550		EXEMPT	CHARITABLE	25,000.
APOSTOLIC CHRISTIAN CHURCH 2801 RUSTIC LANE NORTH FORT MYERS FL 33903		EXEMPT	CHARITABLE	54,000.
PEORIA RESCUE MINISTRIES 601 SW ADAMS STREET PEORIA IL 61602		EXEMPT	CHARITABLE	5,000.
OSF MEDICAL CENTER FOUNDATION 530 NE GLEN OAK AVENUE PEORIA IL 61637		EXEMPT	CHARITABLE	1,000.
APOSTOLIC CHRIST. CHURCH 225 E JEFFERSON MORTON IL 61550		EXEMPT	CHARITABLE	120,400.
WBNH 1919 MAYFLOWER DRIVE PEKIN IL 61554		EXEMPT	CHARITABLE	100.
APOSTOLIC CHRISTIAN WORLD RELIEF 16448 S U.S. HWY 231 REMINGTON IN 47977		EXEMPT	CHARITABLE	5,000.
EASTER SEALS 507 E ARMSTRONG AVENUE PEORIA IL 61603		EXEMPT	CHARITABLE	3,000.
See Line 3a statement				30,300.
Total ► **3a**				248,800.
b *Approved for future payment*				
Total ► **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	9,822.	
4 Dividends and interest from securities			14	80,406.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				90,228.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 90,228.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 06/19/14 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Michael J. Thomas	Michael J. Thomas	06/19/14		P01216843

Firm's name ► CORE CONSTRUCTION GROUP, LTD — Firm's EIN ► 37-1076260

Firm's address ► 866 N MAIN ST, MORTON IL 61550-1602 — Phone no (309) 284-8674

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2013

Name of the organization	Employer identification number
MELVIN R BAUM PRIVATE FOUNDATION	37-1379627

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. (Complete Parts I and II)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (**1**) $5,000 or (**2**) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year . . . . ► $ ________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: MELVIN R BAUM PRIVATE FOUNDATION

Employer identification number: 37-1379627

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MELVIN R BAUM 607 N OREGON MORTON IL 61550	$ 90,889.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc .	Adj Net Inc	Charity Disb
FEDERAL INVEST. TAX	784.			
FOREIGN TAX PAID	1,370.			
Total	2,154.			

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
99 SH WELLS FARGO FUNDS	P	12/03/12	12/02/13
159 SH ARTIO GLOBAL	P	01/31/12	12/02/13
8 SH DODGE & COX STK FUND	P	03/28/12	04/16/13
816 SH DWS SHORT DURATION	P	01/25/12	12/02/13
225 SH IVY FUNDS	P	01/04/12	10/17/13
90 SH WELLS FARGO FUNDS	P	01/03/12	12/02/13
246 SH APACHE CORP	P	12/27/10	12/02/13
6239 SH ARTIO GLOBAL	P	12/16/05	12/02/13
1712 SH DODGE & COX	P	04/22/09	04/16/13
28837 SH DWS SHORT DURATION	P	10/09/09	12/02/13
21418 SH IVY FUNDS	P	06/10/09	10/17/13
43000 SH WALGREEN NOTES	P	09/15/08	08/01/13
4024 SH WELLS FARGO	P	04/22/09	12/02/13

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,003.		1,012.	-9.
2,076.		2,206.	-130.
1,054.		890.	164.
7,517.		7,551.	-34.
2,469.		2,516.	-47.
909.		929.	-20.
22,501.		29,104.	-6,603.
81,168.		82,523.	-1,355.
233,517.		151,242.	82,275.
265,592.		269,127.	-3,535.
235,172.		230,294.	4,878.
43,000.		44,042.	-1,042.
40,760.		41,503.	-743.
Total		862,939.	73,799.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) Fair Market Value as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of column (i) over column (j), if any	**(l)** Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
			-9.
			-130.
			164.
			-34.
			-47.
			-20.
			-6,603.
			-1,355.
			82,275.
			-3,535.
			4,878.
			-1,042.
			-743.
Total			73,799.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
JUBILEE MINISTRIES 1215 N SHERIDAN ROAD PEORIA IL 61606		EXEMPT	CHARITABLE	Person or Business X 1,000.
SALVATION ARMY 401 NE ADAMS STREET PEORIA IL 61603		EXEMPT	CHARITABLE	Person or Business X 10,000.
LOVING SHEPHERD MINISTRIES P.O. BOX 375 BLUFFTON IN 46714		EXEMPT	CHARITABLE	Person or Business X 15,000.
GATEWAY WOODS P.O. BOX 151 LEO IN 46765		EXEMPT	CHARITABLE	Person or Business X 4,000.
JOURNAL STAR CHRISTMAS FUND 1 NEWS PLAZA PEORIA IL 61643		EXEMPT	CHARITABLE	Person or Business X 300.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Total				30,300.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO	INVESTMENT FEES	26,663.	26,663.		
Total		26,663.	26,663.		

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	Fair Market Value
GOLD/SILVER	100,000.	100,000.
Total	100,000.	100,000.

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	**$77,639.92**	
Income and distributions	25,247 71	89,369 87
Securities sold and redeemed	433,803 89	955,428 82
Net additions to cash	**$459,051.60**	**$1,044,798.69**
Withdrawals by check	-188,400 00	-274,149 00
Securities purchased	-123,208 96	-519,467 08
Other subtractions	0 00	-26,884 01
Net subtractions from cash	**-$311,608.96**	**-$820,500.09**
Closing value of cash and sweep balances	**$225,082.56**	

Income summary

		THIS PERIOD	THIS YEAR
TAXABLE	Money market/sweep funds	2 78	15 28
	Interest	0 00	9,806 26
	Ordinary dividends and ST capital gains	14,994 48	57,092 23
	Qualified dividends	814 75	6,313 65
	Long term capital gains	9,435 70	15,196 43
	Total taxable income	**$25,247.71**	**$88,423.85**
	Total federally tax-exempt income	**$0.00**	**$0.00**
	Total income	**$25,247.71**	**$88,423.85**

Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term (S)	-143 17	-210 73	247 06
Long term (L)	570,335 76	-13,024 45	73,202 29
Total	**$570,192.59**	**-$13,235.18**	**$73,449.35**

ATTACHMENT FOR
PART II, LINE 10b
#37-1379627

SNAPSHOT

[redacted]

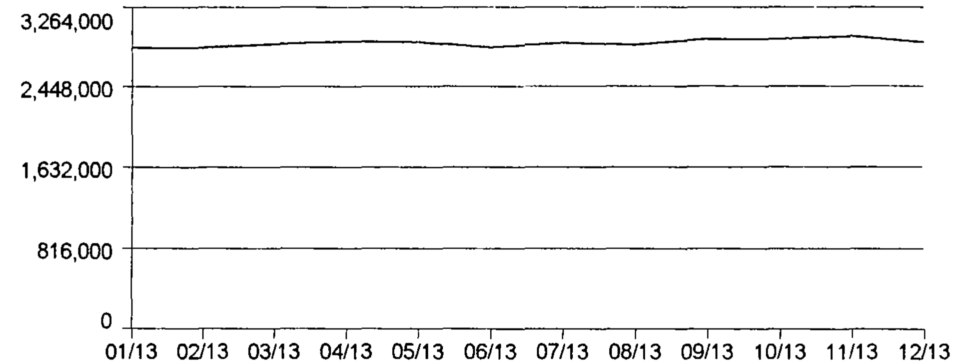
MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Progress summary

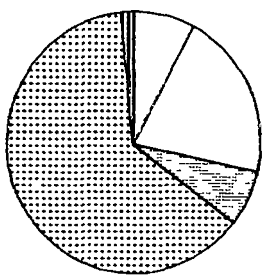
	THIS PERIOD	THIS YEAR
Opening value	**$2,964,041.29**	**$2,801,787.14**
Cash deposited	0 00	0 00
Securities deposited	90,888 56	90,888 56
Cash withdrawn	-188,400 00	-301,033 01
Securities withdrawn	0 00	0 00
Income earned	25,247 71	89,369 87
Change in value	11,990 34	222,755 34
Closing value	**$2,903,767.90**	**$2,903,767.90**

Value over time



Portfolio summary

CURRENT



	ASSET TYPE	COST	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN INCOME
ASSETS	Cash and sweep balances	225,082.56	77,639 92	2 62	225,082 56	7 75	22
	Stocks, options & ETFs	423,395.95	420,820 29	14 20	602,149 24	20 74	8,974
	Fixed income securities	184,655.82	205,705.01	6 94	202,929.93	6.99	7,710
	Mutual funds	1,451,110.04	2,210,576.07	74.58	1,825,888.17	62.88	40,414
	Preferreds/fixed rate cap secs	49,663.98	49,300.00	1.66	47,718.00	1 64	3,258
	Asset value	2,333,908.35	**$2,964,041.29**	**100%**	**$2,903,767.90**	**100%**	**$60,378**

WELLS FARGO

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	433,803 89	955,428 82

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0 02	0 00	437 22	0 00
BANK DEPOSIT SWEEP	7 74	0 01	224,645 34	22 46
Interest Period 12/01/13 - 12/31/13				
Total Cash and Sweep Balances	**7.75**		**$225,082.56**	**$22.46**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
APPLE INC									
AAPL - HELD IN MARGIN									
Acquired 12/27/10 L nc	3 61	187	324 68	60,715 16	561 0200	104,910 74	44,195 58	2,281 40	2 17
CATERPILLAR INC									
CAT - HELD IN MARGIN									
Acquired 01/27/10 L nc		500	52 28	26,142 50		45,405 00	19,262 50		
Acquired 01/27/10 L nc		300	52 30	15,690 00		27,243 00	11,553 00		
Acquired 01/27/10 L nc		200	52 29	10,459 30		18,162 00	7,702 70		

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Your Financial Advisor

HEMMER / KUNKLE / MCRAVEN
Phone 888-228-1021 / 309-282-4940

2426 W CORNERSTONE CT
STE 2A
PEORIA IL 61614

Account profile

Full account name	MELVIN R BAUM PRIVATE FOUNDATION INC
Account type	Command Asset Program Premier
Brokerage account number	5237-8360
Command account number	9076219217
Tax status	Non-Profit
Investment objective/Risk tolerance *	MODERATE GROWTH & INCOME
Time horizon *	LONG TERM (10+ YEARS)
Liquidity needs *	MODERATE
Cost Basis Election	First in, First out
Sweep option	BANK DEPOSIT SWEEP
Your managed program	ASSET ADVISOR

*For more information, go to www wellsfargoadvisors com/disclosures

Per your instructions, copies of this statement have been sent to an interested party Please contact Your Financial Advisor for details

Available funds

Cash	437 22
Money market and sweep funds	224,645 34
Available for loan	1,142,968 00
Your total available funds	**$1,368,050.56**

Client service information

Client service	800-266-6263	(800) COMMAND
En espanol	800-326-8977	
Website	www wellsfargoadvisors com	

For your consideration

Go paperless Accessing all of your account documents online is easy and costs nothing To participate, go to wellsfargoadvisors com and click on the Access Online "Client Login" link in the upper right hand corner of the homepage If you already have a User ID and Password, please log in and click on the "Delivery Preferences" link found under the Accounts & Services tab to turn off paper delivery of your account documents If you do not have a User ID and Password, please click on the "Sign up online" link on the right side of the Access Online login page or call 877-879-2495 for assistance

Document delivery status

	Paper	Electronic
Statements		X
Trade confirmations	X	
Tax documents	X	
Shareholder communications	X	

WELLS FARGO

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
FIRST TRUST FD IV ET NORTH AMERICAN ENERGY INFRASTRUCTURE FUND EMLP									
Acquired 09/30/13 S		1,200	22 79	27,356 40		28,188 00	831 60		
Acquired 09/30/13 S		600	22 78	13,671 90		14,094 00	422 10		
Acquired 09/30/13 S		300	22 79	6,838 95		7,047 00	208 05		
Total	**1.70**	**2,100**		**$47,867.25**	**23.4900**	**$49,329.00**	**$1,461.75**	**$1,627.50**	**3.30**
GRACO INCORPORATED COM GGG - HELD IN MARGIN Acquired 12/27/10 L nc	1 08	400	39 96	15,984 00	78 1200	31,248 00	15,264 00	440 00	1 40
I SHARES SILVER TRUST SLV Acquired 12/02/13 S nc	3 41	5,300	18 72	99,216 00	18 7100	99,163 00	-53 00	N/A	N/A
WHITING PETROLEUM CORP WLL - HELD IN MARGIN Acquired 12/27/10 L nc	0 90	424	58 66	24,873 96	61 8700	26,232 88	1,358 92	N/A	N/A
Total Stocks and ETFs	**20.74**			**$423,395.95**		**$602,149.24**	**$178,753.29**	**$8,974.34**	**1.49**
Total Stocks, options & ETFs	**20.74**			**$423,395.95**		**$602,149.24**	**$178,753.29**	**$8,974.34**	**1.49**

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WELLS FARGO

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Total	**3.13**	**1,000**		**$52,291.80**	**90.8100**	**$90,810.00**	**$38,518.20**	**$2,400.00**	**2.64**
CHURCH & DWIGHT INC									
CHD									
Acquired 12/24/07 L nc		282	27 87	7,860 72		18,690 96	10,830 24		
Acquired 02/05/08 L nc		42	27 29	1,146 39		2,783 76	1,637 37		
Acquired 03/03/08 L nc		30	26 29	788 93		1,988 40	1,199 47		
Acquired 03/11/10 L nc		180	33 77	6,080 08		11,930 40	5,850 32		
Acquired 03/12/10 L nc		188	34 12	6,415 49		12,460 64	6,045 15		
Total	**1.65**	**722**		**$22,291.61**	**66.2800**	**$47,854.16**	**$25,562.55**	**$808.64**	**1.69**
DANAHER CORP									
DHR - HELD IN MARGIN									
Acquired 12/27/10 L nc	1 65	620	47 20	29,264 00	77 2000	47,864 00	18,600 00	62 00	0 12
DEERE & CO									
DE - HELD IN MARGIN									
Acquired 04/09/07 L nc		56	54 52	3,053 33		5,114 48	2,061 15		
Acquired 05/02/07 L nc		44	56 38	2,480 72		4,018 52	1,537 80		
Acquired 07/27/07 L nc		28	59 96	1,679 02		2,557 24	878 22		
Acquired 08/06/07 L nc		8	59 32	474 56		730 64	256 08		
Acquired 12/27/10 L nc		258	83 57	21,561 06		23,563 14	2,002 08		
Total	**1.24**	**394**		**$29,248.69**	**91.3300**	**$35,984.02**	**$6,735.33**	**$803.76**	**2.23**
DONALDSON COMPANY INC									
DCI									
Acquired 02/26/10 L nc		116	20.52	2,381.31		5,041.36	2,660.05		
Acquired 03/01/10 L nc		100	20.82	2,082.85		4,346.00	2,263.15		
Acquired 03/02/10 L nc		100	21.03	2,103.43		4,346.00	2,242 57		
Acquired 03/03/10 L nc		112	21.16	2,370.69		4,867.52	2,496.83		
Acquired 03/25/10 L nc		130	22.73	2,955.54		5,649.80	2,694.26		
Acquired 03/26/10 L nc		140	22.86	3,201.58		6,084.40	2,882.82		
Acquired 03/29/10 L nc		140	22.92	3,209.81		6,084.40	2,874.59		
Acquired 03/30/10 L nc		146	23.02	3,361.97		6,345.16	2,983.19		
Total	**1.47**	**984**		**$21,667.18**	**43.4600**	**$42,764.64**	**$21,097.46**	**$551.04**	**1.29**
EXPRESS SCRIPTS HLDG CO									
ESRX - HELD IN MARGIN									
Acquired 12/27/10 L nc	0.90	370	53 99	19,976.30	70.2400	25,988.80	6,012 50	N/A	N/A

WELLS FARGO

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Fixed Income Securities

Government Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
US TREASURY NOTES WI CPN 3 875% DUE 05/15/18 DTD 05/15/08 FC 11/15/08 HELD IN MARGIN Moody AAA , S&P NR CUSIP 912828HZ6										
Acquired 07/15/08 L nc	3 34	88,000	100 35	88,312 81	110 1880	96,965 44	8,652 63	442 74	3,410 00	3 51
Total Government Bonds	**6.18**	**162,000**		**$162,926.89**		**$179,337.06**	**$16,410.17**	**$851.07**	**$6,555.00**	**3.66**
Total Fixed Income Securities	**6.99**			**$184,655.82**		**$202,929.93**	**$18,274.11**	**$1,191.15**	**$7,710.00**	**3.80**

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Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
COHEN & STEERS RLTY SHARES INC CSRSX - HELD IN MARGIN On Reinvestment									
Acquired 08/19/09 L nc		412 06300	37 73	15,547 14		25,885 79	10,338 65		
Reinvestments L m		63 95300	59 43	3,801 00		4,017 54	216 54		
Reinvestments S		28 36300	63 11	1,790 15		1,781 75	-8 40		
Total	**1.09**	**504.37900**		**$21,138.29**	**62.8200**	**$31,685.08**	**$10,546.79**	**$786.83**	**2.48**
Client Investment (Excluding Reinvestments)						$15,547 14			
Gain/Loss on Client Investment (Including Reinvestments)						$16,137 94			
EUROPACIFIC GROWTH FD CL F-1 AEGFX - HELD IN MARGIN On Reinvestment									
Acquired 08/18/09 L nc		225 21200	34 26	7,715 76		10,990 34	3,274 58		

WELLS FARGO

MELVIN R BAUM PRIVATE FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
COSTCO WHOLESALE CORP SENIOR NOTES CALLABLE CPN 5 500% DUE 03/15/17 DTD 02/20/07 FC 09/15/07 HELD IN MARGIN Moody A1 , S&P A+ CUSIP 22160KAC9										
Acquired 01/30/08 L nc	0 81	21,000	103 47	21,728 93	112 3470	23,592 87	1,863 94	340 08	1,155 00	4 89
Total Corporate Bonds	**0.81**	**21,000**		**$21,728.93**		**$23,592.87**	**$1,863.94**	**$340.08**	**$1,155.00**	**4.90**

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Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
US TREASURY NOTES CPN 4 250% DUE 11/15/17 DTD 11/15/07 FC 05/15/08 HELD IN MARGIN Moody AAA , S&P NR CUSIP 912828HH6										
Acquired 12/26/07 L nc		67,000	100 35	67,240 73		74,579 71	7,338 98			
Acquired 02/06/08 L nc		6,000	105 31	6,318 75		6,678 78	360 03			
Acquired 03/03/08 L nc		1,000	105 46	1,054 60		1,113 13	58 53			
Total	**2.84**	**74,000**		**$74,614.08**	**111.3130**	**$82,371.62**	**$7,757.54**	**$408.33**	**$3,145.00**	**3.82**

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
MANAGERS FDS BOND FD INSTL CL MGBIX - HELD IN MARGIN									
On Reinvestment									
Acquired 04/22/09 L nc		1,568 35200	19 74	30,959 26		42,863 04	11,903 78		
Acquired 07/06/09 L nc		735 96300	22 07	16,242 70		20,113 87	3,871 17		
Acquired 08/19/09 L nc		674 77500	23 26	15,695 26		18,441 61	2,746 35		
Reinvestments L m		538 95300	25 56	13,776 01		14,729 60	953 59		
Reinvestments S		118 89700	27 59	3,280 62		3,249 45	-31 17		
Total	**3.42**	**3,636.94000**		**$79,953.85**	**27.3300**	**$99,397.57**	**$19,443.72**	**$3,444.18**	**3.47**
	Client Investment (Excluding Reinvestments)					$62,897 22			
	Gain/Loss on Client Investment (Including Reinvestments)					$36,500 35			
METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I MWTIX - HELD IN MARGIN									
On Reinvestment									
Acquired 04/22/09 L nc		2,968 29000	8 94	26,536 51		31,315 43	4,778 92		
Acquired 07/06/09 L nc		1,792 86400	9 19	16,476 42		18,914 72	2,438 30		
Acquired 08/19/09 L nc		1,697 82200	9 55	16,214 20		17,912 02	1,697 82		
Reinvestments L m		1,500 54300	10 41	15,633 64		15,830 73	197 09		
Reinvestments S		309 71500	10 73	3,325 42		3,267 51	-57 91		
Total	**3.00**	**8,269.23400**		**$78,186.19**	**10.5500**	**$87,240.41**	**$9,054.22**	**$2,861.15**	**3.28**
	Client Investment (Excluding Reinvestments)					$59,227 13			
	Gain/Loss on Client Investment (Including Reinvestments)					$28,013 28			
NUVEEN INVT TRUST TRADEWINDS VALUE OPPTYS FUND-CLASS I NVORX - HELD IN MARGIN									
On Reinvestment									
Acquired 06/03/09 L nc		379 30800	24 72	9,376 48		13,894 05	4,517 57		
Acquired 07/06/09 L nc		230 26000	23 63	5,441 04		8,434 42	2,993 38		
Reinvestments L nc		91 69600	32 10	2,944 11		3,358 82	414 71		
Reinvestments S		59 73400	32 64	1,949 73		2,188 06	238 33		
Total	**0.96**	**760.99800**		**$19,711.36**	**36.6300**	**$27,875.35**	**$8,163.99**	**$344.73**	**1.24**
	Client Investment (Excluding Reinvestments)					$14,817 52			
	Gain/Loss on Client Investment (Including Reinvestments)					$13,057 83			

WELLS FARGO

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 08/19/09 L nc		676 26600	34 57	23,378 52		33,001 77	9,623 25		
Acquired 01/12/10 L nc		2,562 78800	39 02	100,000 00		125,064 05	25,064 05		
Reinvestments L m		183 63600	38 68	7,103 66		8,961 45	1,857 79		
Reinvestments S		33 09100	48 13	1,592 67		1,614 84	22 17		
Total	**6.19**	**3,680.99300**		**$139,790.61**	**48.8000**	**$179,632.45**	**$39,841.84**	**$1,608.59**	**0.90**
Client Investment (Excluding Reinvestments)						$131,094 28			
Gain/Loss on Client Investment (Including Reinvestments)						$48,538 17			
JOHN HANCOCK FDS III GLOBAL SHAREHOLDER YLD CLASS I JGYIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		2,576 49700	6 41	16,515 34		29,913 10	13,397 76		
Acquired 07/06/09 L nc		2,599 47900	7 04	18,300 33		30,179 95	11,879 62		
Reinvestments L m		693 19300	8 78	6,090 69		8,047 97	1,957 28		
Reinvestments S		417 41900	11 07	4,621 38		4,846 26	224 88		
Total	**2.51**	**6,286.58800**		**$45,527.74**	**11.6100**	**$72,987.28**	**$27,459.54**	**$2,307.17**	**3.16**
Client Investment (Excluding Reinvestments)						$34,815 67			
Gain/Loss on Client Investment (Including Reinvestments)						$38,171 61			
MAINSTAY FD HIGH YIELD CORPORATE BD FD CL I MHYIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		3,796.00500	4.63 4.65	17,605.33 17,651.42		22,965.79	5,360.46		
Acquired 07/06/09 L nc		1,887.35400	5.06 5.08	9,564.87 9,587.76		11,418.49	1,853 62		
Reinvestments L m		1,829.43800	5.77 5.77	10,562.55 10,567.80		11,068.12	505.57		
Reinvestments S		555.98300	6.08	3,381.57		3,363.71	-17.86		
Total	**1.68**	**8,068.78000**		**$41,114.32** **$41,188.55**	**6.0500**	**$48,816.11**	**$7,701.79**	**$3,517.98**	**7.21**
Client Investment (Excluding Reinvestments)						$27,239.18			
Gain/Loss on Client Investment (Including Reinvestments)						$21,576 93			

WELLS FARGO

MELVIN R BAUM PRIVATE FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL PEBIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		1,237 77200	8 71 8 82	10,786 06 10,917 15		13,244 15	2,458 09		
Acquired 07/06/09 L nc		1,092 05100	9 29 9 40	10,149 63 10,265 28		11,684 95	1,535 32		
Reinvestments L m		493 26400	11 02 11 04	5,436 03 5,448 10		5,277 94	-158 09		
Reinvestments S		256 86000	11 27	2,895 61		2,748 39	-147 22		
Total	**1.13**	**3,079.94700**		**$29,267.33** **$29,526.14**	**10.7000**	**$32,955.43**	**$3,688.10**	**$1,798.68**	**5.46**
Client Investment (Excluding Reinvestments)						$21,182 43			
Gain/Loss on Client Investment (Including Reinvestments)						$11,773 00			
PIONEER FUND CL-Y PYODX - HELD IN MARGIN On Reinvestment									
Acquired 08/18/09 L nc		1,228 40300	31 53	38,731 54		48,399 07	9,667 53		
Reinvestments L m		439 80900	32 92	14,481 08		17,328 48	2,847 40		
Reinvestments S		174 47300	38 45	6,709 87		6,874 23	164 36		
Total	**2.50**	**1,842.68500**		**$59,922.49**	**39.4000**	**$72,601.78**	**$12,679.29**	**$908.44**	**1.25**
Client Investment (Excluding Reinvestments)						$38,731 54			
Gain/Loss on Client Investment (Including Reinvestments)						$33,870 24			
TCW FDS INC TOTAL RETURN BD FD CL I SHS TGLMX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		2,890 68700	9 18	26,536 51		28,964 65	2,428 14		
Acquired 07/06/09 L nc		1,659 73600	9 54	15,833 88		16,630 55	796 67		
Acquired 08/19/09 L nc		1,576 93100	9 99	15,753 54		15,800 85	47 31		
Reinvestments L m		2,022 48600	9 96	20,158 91		20,265 33	106 42		
Reinvestments S		395 95600	10 15	4,019 01		3,967 49	-51 52		

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
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Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
WELLS FARGO FDS TRUST WFA ABSOLUTE RETURN FUND ADMINISTRATIVE CLASS WARDX									
On Reinvestment									
Acquired 10/17/13 S		22,341 37600	11 19	250,000 00		249,329 53	-670 47		
Reinvestments S		352 08600	11 14	3,922 67		3,929 50	6 83		
Total	**8.72**	**22,693.46200**		**$253,922.67**	**11.1600**	**$253,259.03**	**-$663.64**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$250,000 00			
	Gain/Loss on Client Investment (Including Reinvestments)					$3,259 03			
ALGER SMALLCAP AND MIDCAP GROW CL I ASIMX									
On Reinvestment									
Acquired 08/19/09 L nc R		1,380 51600	11 26	15,547 14		26,174 58	10,627 44		
Reinvestments L		59 62800	16 76	999 36		1,130 55	131 19		
Reinvestments S		233 97300	18 49	4,328 50		4,436 12	107 62		
Total	**1.09**	**1,674.11700**		**$20,875.00**	**18.9600**	**$31,741.25**	**$10,866.25**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$15,547 14			
	Gain/Loss on Client Investment (Including Reinvestments)					$16,194 11			
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL PTTRX - HELD IN MARGIN									
On Reinvestment									
Acquired 04/22/09 L nc		5,218 58500	10 17	53,073 01		55,786 62	2,713 61		
Acquired 07/06/09 L nc		3,127 91600	10 50	32,843 12		33,437 42	594 30		
Acquired 08/19/09 L nc		287 08000	10 71	3,074 63		3,068 89	-5 74		
Reinvestments L m		2,164 46600	11 03	23,895 38		23,138 18	-757 20		
Reinvestments S		346 98200	10 96	3,805 03		3,709 25	-95 78		
Total	**4.10**	**11,145.02900**		**$116,691.17**	**10.6900**	**$119,140.36**	**$2,449.19**	**$3,076.02**	**2.58**
	Client Investment (Excluding Reinvestments)					$88,990 76			
	Gain/Loss on Client Investment (Including Reinvestments)					$30,149 60			

WELLS FARGO

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
PRINCIPAL FINL GR 6 518% PERPETUAL PFD CALL STARTING 6/30/15 PFG'B									
Acquired 09/30/13 S		1,415	24 80	35,092 00		33,760 49	-1,331 51		
Acquired 09/30/13 S		304	24 93	7,580 70		7,253 13	-327 57		
Acquired 09/30/13 S		281	24 88	6,991 28		6,704 38	-286 90		
Total	**1.64**	**2,000**		**$49,663.98**	**23.8590**	**$47,718.00**	**-$1,945.98**	**$3,258.00**	**6.83**
Total Preferreds/Fixed Rate Cap Securities	**1.64**			**$49,663.98**		**$47,718.00**	**-$1,945.98**	**$3,258.00**	**6.83**

Activity detail

Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/02	Margin	DIVIDEND		MAINSTAY FD HIGH YIELD CORPORATE BD FD CL I 112913 7,972 81900 AS OF 11/29/13		289 41
12/02	Margin	DIVIDEND		METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I 112913 8,200 70500 AS OF 11/29/13		195 83
12/02	Margin	DIVIDEND		PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL 112913 11,051 03500 AS OF 11/29/13		222 36
12/02	Margin	DIVIDEND		PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL 112913 2,953 84500 AS OF 11/29/13		143 55

WELLS FARGO

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Total	**2.95**	**8,545.79600**		**$82,301.85**	**10.0200**	**$85,628.87**	**$3,327.02**	**$3,743.05**	**4.37**
	Client Investment (Excluding Reinvestments)					$58,123 93			
	Gain/Loss on Client Investment (Including Reinvestments)					$27,504 94			
T ROWE PRICE BLUE CHIP GROWTH FUND TRBCX - HELD IN MARGIN									
On Reinvestment									
Acquired 04/22/09 L nc		914 54700	24 18	22,113 75		59,079 73	36,965 98		
Acquired 07/06/09 L nc		492 10000	26 11	12,848 74		31,789 66	18,940 92		
Acquired 08/19/09 L nc		1,055 56800	28 50	30,083 69		68,189 68	38,105 99		
Acquired 01/12/10 L nc		3,039 51400	32 90	100,000 00		196,352 60	96,352 60		
Reinvestments L m		29 42500	41 33	1,216 20		1,900 87	684 67		
Total	**12.31**	**5,531.15400**		**$166,262.38**	**64.6000**	**$357,312.54**	**$191,050.16**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$165,046 18			
	Gain/Loss on Client Investment (Including Reinvestments)					$192,266 36			
THORNBURG INVT TR INVT INCOME BUILDER FD CL I TIBIX - HELD IN MARGIN									
On Reinvestment									
Acquired 03/15/11 L nc		13,048 01700	19.16	250,000.00		274,530.15	24,530.15		
Reinvestments L m		1,656.60400	18.46	30,592.47		34,855.03	4,262.56		
Reinvestments S		771.36100	20.11	15,519.28		16,229.48	710.20		
Total	**11.21**	**15,475.98200**		**$296,111.75**	**21.0400**	**$325,614.66**	**$29,502.91**	**$16,017.64**	**4.92**
	Client Investment (Excluding Reinvestments)					$250,000.00			
	Gain/Loss on Client Investment (Including Reinvestments)					$75,614.66			
Total Open End Mutual Funds	**62.88**			**$1,450,777.00** **$1,451,110.04**		**$1,825,888.17**	**$375,111.17**	**$40,414.46**	**2.21**
Total Mutual Funds	**62.88**			**$1,450,777.00** **$1,451,110.04**		**$1,825,888.17**	**$375,111.17**	**$40,414.46**	**2.21**

m This security contains multiple tax lots that may or may not include cost information that is reportable to the IRS.
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS
R Tax lot(s) held in Margin, rather than Cash

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.
► Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extention on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only . . . ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions: MELVIN R BAUM PRIVATE FOUNDATION

Employer identification number (EIN) or: 37-1379627

Number, street, and room or suite number If a P O box, see instructions: 866 N MAIN STREET

Social security number (SSN):

City, town or post office, state, and ZIP code For a foreign address, see instructions: MORTON IL 61550

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► MELVIN BAUM

Telephone No ► (309) 263-0808 Fax No ► (309) 263-8004

- If the organization does not have an office or place of business in the United States, check this box . . . ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ If this is for the whole group, check this box . . ► [ ] If it is for part of the group, check this box . . . ► [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 15, 20 14, to file the exempt organization return for the organization named above The extension is for the organization's return for

► [X] calendar year 20 13 or

► [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return
[ ] Change in accounting period

3 a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3 a**	$ 800.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3 b**	$
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3 c**	$ 800.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions