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Use Only

Department of the Treasury

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter Social Security numbers on this form as it may be made public.

OMB No 1545-0047 Open to Public

▶ Information about Form 990 and its instructions is at www.urs.gov/form990 Inspection and ending A For the 2013 calendar year, or tax year beginning D Employer identification number C Name of organization Check if applicable Address change THE PLASTIC SURGERY RESEARCH COUNCIL Name change 37-1101785 Doing Business As Initial return Number and street (or P.O. box if mail is not delivered to street address) Room/suite E Telephone number Termin-ated 4550 978-927-8330 500 CUMMINGS CENTER Amended return G Gross receipts \$ 332.951City or town, state or province, country, and ZIP or foreign postal code Applica BEVERLY , MA 01915 H(a) Is this a group return pending F Name and address of principal officer: PETER J. TAUB. MD Yes X No for subordinates? SAME AS C ABOVE H(b) Are all subordinates included? Tax-exempt status: X 501(c)(3) 501(c) () ◀ (insert no.) 4947(a)(1) or If "No." attach a list. (see instructions) Website: WWW.PS-RC.ORG H(c) Group exemption number ▶ K Form of organization: X Corporation Trust Association Other > L Year of formation: 1955 M State of legal domicile: IL Part I Summary Briefly describe the organization's mission or most significant activities: TO PROMOTE PLASTIC SURGERY Activities & Governance RESEARCH AND EDUCATION. Check this box If the organization discontinued its operations or disposed of more than 25% of its net assets. Number of voting members of the governing body (Part VI, line 1a) 9 Number of independent voting members of the governing body (Part VI, line 1b) 0 Total number of individuals employed in calendar year 2013 (Part V, line 2a) 5 60 6 Total number of volunteers (estimate if necessary) 0. 7 a Total unrelated business revenue from Part VIII, column (C), line 12 0. 7h b Net unrelated business taxable income from Form 990-T, line 34 **Prior Year Current Year** 3,026 71,235. Contributions and grants (Part VIII, line 1h) 250,657. 196,428 Program service revenue (Part VIII, line 2g) 26,816 11,059. Investment income (Part VIII, column (A), lines 3, 4, and 7d) Ō. Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 226,270 332,951. Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 0 2,000. Grants and similar amounts paid (Part IX, column (A), lines 1-3 O. O Benefits paid to or for members (Part IX, column (A), line 4) 0 O. Salanes, other compensation, employee benefits (Part IX, column (A), lines 5-10) 0. 16a Professional fundraising fees (Part IX, column (A), line 11e) b Total fundraising expenses (Part IX, column (D), line 25) 322,628. 216,592 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 216,592. 324,628. W. Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 8,323. 9,678. Revenue less expenses. Subtract line 18 from line 12 **Beginning of Current Year** End of Year 278,995. 312,533. 20 Total assets (Part X, line 16) Ο. 0. 21 Total liabilities (Part X, line 26) 278,995. 312,533. Net assets or fund balances. Subtract line 21 from line 20 22 Part II Signature Block Under penalties of perjury, I decrare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete 🗚 caration of preparer (other than officer) is based on all information of which pyeparer has any knowledge. Date Sign HOWARD LEVINSON, MD. TREASURER Here Type or print name and title Date PTIN Print/Type preparer's name Preparer's signature **₱00142883** 03/05/14 RAYMOND L. ANSTISS RAYMOND L. ANSTISS, JR. self-employed Paid 04-2917204 ANSTISS & CO., P.C. Firm's EIN Preparer Firm's name Firm's address 1115 WESTFORD STREET

Phone no. (978) 452-2500

X Yes No

Form 990 (2013)

LOWELL, MA 01851

May the IRS discuss this return with the preparer shown above? (see instructions)

| Form | 990 (2013) THE PLASTIC SURGERY RESEARCH COUNCIL 37-1101/85 Page 2 |
|-----------|--|
| Pai | t III Statement of Program Service Accomplishments |
| | Check if Schedule O contains a response or note to any line in this Part III |
| 1 | Briefly describe the organization's mission: TO PROMOTE PLASTIC SURGERY RESEARCH AND EDUCATION. |
| | TO FROMOTE FLABITE BONGERT REBEARCH AND EDUCATION: |
| | |
| | |
| | Did the organization undertake any significant program services during the year which were not listed on |
| _ | the prior Form 990 or 990-EZ? |
| | If "Yes," describe these new services on Schedule O. |
| 3 | Did the organization cease conducting, or make significant changes in how it conducts, any program services? |
| | If "Yes," describe these changes on Schedule O. |
| 4 | Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. |
| | Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and |
| | revenue, if any, for each program service reported. |
| 4a | (Code) (Expenses \$ 235, 126. including grants of \$) (Revenue \$ 250, 657.) |
| | MEETINGS AND EDUCATION - THE COUNCIL PROVIDES EDUCATIONAL INFORMATION |
| | ON PLASTIC SURGERY. |
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| | 4 400 |
| 4b | (Code) (Expenses \$ 4,490. Including grants of \$) (Revenue \$) OFFICERS AND COMMITTEES - THE COUNCIL ASSEMBLES COMMITTEES TO HELP |
| | OFFICERS AND COMMITTEES - THE COUNCIL ASSEMBLES COMMITTEES TO HELP ORGANIZE AND OPERATE VARIOUS FUNCTIONS OF THE COUNCIL. |
| | ORGANIZE AND OPERATE VARIOUS FUNCTIONS OF THE COUNCIL. |
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| 4c | (Code) (Expenses \$ 2,000 • including grants of \$ 2,000 •) (Revenue \$) |
| | GRANTS - AWARDED TO INDIVIDUALS TO PURSUE ACADEMIC RESEARCH IN PLASTIC |
| | SURGERY. |
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| 4d | Other program services (Describe in Schedule O.) |
| | (Expenses \$ including grants of \$) (Revenue \$) |
| <u>4e</u> | Total program service expenses ▶ 241,616. |
| | Form 990 (2013) |

37-1101785 Page 3

| | | | Yes | No |
|----------|--|----------|-------|------|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? | | l | |
| | If "Yes," complete Schedule A | 1 | X | |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors? | 2 | Х | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I | 3 | | х |
| 4 | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect | | | |
| | during the tax year? If "Yes," complete Schedule C, Part II | 4 | | X |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III | 5 | | х |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I | 6 | | х |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, | | | |
| | the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II | 7 | | X |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III | 8 | | х |
| 9 | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV | 9 | | x |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V | 10 | х | |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, IX, or X as applicable. | | | |
| а | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI | 11a | | x |
| b | Did the organization report an amount for investments - other secunties in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII | 11b | | х |
| С | Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total | | | |
| | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII | 11c | | X |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in | | | |
| | Part X, line 16? If "Yes," complete Schedule D, Part IX | 11d | | X |
| e | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X | 11e | | Х |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X | 11f | | х |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII | 12a | | X |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? | | | |
| | If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional | 12b | | X |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E | 13 | | Х |
| 14a | | 14a | | X |
| þ | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, | | | |
| | investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 | 445 | | x |
| 4- | or more? If "Yes," complete Schedule F, Parts I and IV Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any | 14b | | |
| 15 | foreign organization? If "Yes," complete Schedule F, Parts II and IV | 15 | | X |
| 16 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to | <u> </u> | | |
| | or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV | 16 | | X |
| 17 | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, | | | 37 |
| | column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I | 17 | | X |
| 18 | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II | 18 | | X |
| 19 | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," | | | |
| | complete Schedule G, Part III | 19 | | X |
| | Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H | 20a | | Х |
| <u>b</u> | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | 000 | 061- |
| | | Form | 990 (| 2013 |

| | | | Yes | No |
|-----|--|----------------|-------|------|
| 21 | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or | | | |
| | government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II | 21 | | Х |
| 22 | Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III | 22 | | х |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current | | | |
| | and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete | | | |
| | Schedule J | 23 | | X |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete | | | |
| | Schedule K. If "No", go to line 25a | 24a | | X |
| | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease | 24b | | |
| | any tax-exempt bonds? | 24c | - | |
| | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | - | _ |
| | Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I | 25a | | х |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and | | | |
| | that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I | 25b | | х |
| 26 | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or | | | |
| | former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, | | | 7.7 |
| | complete Schedule L, Part II | 26 | | X |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial | | | |
| | contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III | 27 | | х |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV | | l | |
| | instructions for applicable filing thresholds, conditions, and exceptions): | | | v |
| a | A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28a | | X |
| b | A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28b | | |
| С | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, | 00- | | x |
| 00 | director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV | 28c | | X |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M | 29 | - | Λ |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M | 30 | | X |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? | 130 | | |
| 31 | If "Yes," complete Schedule N, Part I | 31 | | Х |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete | • | | |
| - | Schedule N. Part II | 32 | | X |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations | | | |
| | sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I | 33 | | X |
| 34 | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and | | | |
| | Part V, line 1 | 34 | | X |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | | X |
| b | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity | | | |
| | within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 | 35b | | |
| 36 | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 | 36 | | х |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization | | | |
| | and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI | 37 | | Х |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? | | | |
| | Note. All Form 990 filers are required to complete Schedule O | 38 | X | |
| | | Earm | 990 / | 2012 |

THE PLASTIC SURGERY RESEARCH COUNCIL 37-1101785 Form 990 (2013) Page 5 Statements Regarding Other IRS Filings and Tax Compliance Check if Schedule O contains a response or note to any line in this Part V Yes No 2 1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable 1a O b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1b c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming X (gambling) winnings to prize winners? 1c 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, 0 filed for the calendar year ending with or within the year covered by this return b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2b Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) X 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? 3a b If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O 3b 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a X financial account in a foreign country (such as a bank account, securities account, or other financial account)? 4a **b** If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts. 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? 5a b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 5b c If "Yes." to line 5a or 5b, did the organization file Form 8886-T? 5c 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit X any contributions that were not tax deductible as charitable contributions? b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts 6b were not tax deductible? Organizations that may receive deductible contributions under section 170(c). a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? X 7a b If "Yes," did the organization notify the donor of the value of the goods or services provided? 7b c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required X to file Form 8282? 7c d If "Yes," indicate the number of Forms 8282 filed during the year 7d e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 7e f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **7**f g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? 7g h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? 7h Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? 8 Sponsoring organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? 9a b Did the organization make a distribution to a donor, donor advisor, or related person? 9b 10 Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 10a b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b Section 501(c)(12) organizations. Enter: 11a a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against 11b amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? 12a 12b b if "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? 13a Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans 13b

Form 990 (2013)

14a

14b

X

c Enter the amount of reserves on hand

14a Did the organization receive any payments for indoor tanning services during the tax year?

b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O

13c

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a. 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. \mathbf{X} Check if Schedule O contains a response or note to any line in this Part VI Section A. Governing Body and Management Yes No 1a Enter the number of voting members of the governing body at the end of the tax year 1a If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O. 9 b Enter the number of voting members included in line 1a, above, who are independent Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other X officer, director, trustee, or key employee? Did the organization delegate control over management duties customarily performed by or under the direct supervision X of officers, directors, or trustees, or key employees to a management company or other person? 3 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? 4 5 Did the organization become aware during the year of a significant diversion of the organization's assets? $\overline{\mathbf{x}}$ 6 6 Did the organization have members or stockholders? 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or Х more members of the governing body? 7a b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or X 7b persons other than the governing body? Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: X 8a a The governing body? X b Each committee with authority to act on behalf of the governing body? 8b Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the X organization's mailing address? If "Yes," provide the names and addresses in Schedule O 9 Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code) Yes No 10a X 10a Did the organization have local chapters, branches, or affiliates? b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11a b Describe in Schedule O the process, if any, used by the organization to review this Form 990. X 12a 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 X 12b b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe X in Schedule O how this was done 12c X 13 Did the organization have a written whistleblower policy? 13 X 14 Did the organization have a written document retention and destruction policy? 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15a a The organization's CEO, Executive Director, or top management official 15b b Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a X 16a taxable entity during the year? b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? Section C. Disclosure NONE List the states with which a copy of this Form 990 is required to be filed ▶_ Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. Other (explain in Schedule O) W Upon request Another's website Own website Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. State the name, physical address, and telephone number of the person who possesses the books and records of the organization: PRRI - 978-927-8330 500 CUMMINGS CENTER, SUITE 4450, BEVERLY, 01915 MA

332006 10-29-13

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees, officers; key employees; highest compensated employees; and former such persons.

| Check this box if neither the organization n | l . | orga | aniza | | | mpe | nsa | | | |
|--|------------------------|--------------------------------|--|-------------|--------------|----------------------------------|---------|----------------------|----------------------------------|--------------------------|
| (A) | (B) | l | (C) Position (do not check more than one | | | | | (D) | (E) | (F) |
| Name and Title | Average | (do | not c | POS heck | more | than | one | Reportable | Reportable | Estimated |
| | hours per | box | i, unle | ss pe | rson | son is both an ector/trustee) | | compensation | compensation | amount of |
| | week | \vdash | 1 | 1 | <u> </u> | T | T | from | from related | other |
| | (list any hours for | isc | | | | | | the organization | organizations (W-2/1099-MISC) | compensation from the |
| | related |) š | 製 | | ļ | Sate | | (W-2/1099-MISC) | (** 27 1000-141100) | organization |
| | organizations | Individual trustee or director | institutional trustee | İ | e Ž | 뻍 | | (1.1 2, 1000 111100) | | and related |
| | below | ag I | Ę | | 읕 | S as | = | | | organizations |
| | line) | 횰 | Inst | Officer | Key employee | Highest compensated employee | 퉏 | | | _ |
| (1) PETER J. TAUB, M.D. | 5.00 | | | | | | | | | |
| CHAIR | | X | | X | |] | |] 0. | 0. | 0. |
| (2) DAVID MATHES, M.D. | 5.00 | | | | | | | | | |
| CHAIR-ELECT | | Х | | X | | | | 0. | 0. | 0. |
| (3) TIMOTHY W. KING, M.D., PH.D. | 5.00 | | | | | | | | | |
| PARLIAMENTARIAN | | X | | X | | <u> </u> | | 0. | 0. | 0. |
| (4) WILLIAM M. KUZON, JR., M.D., PH | 5.00 | | | | | | | | | |
| HISTORIAN | | X | | X | L | | | 0. | 0. | 0. |
| (5) HOWARD LEVINSON, M.D. | 5.00 | | | | | | | | | |
| SECRETARY-TREASURER | | X | | X | | | | 0. | 0. | 0. |
| (6) JAMES P. BRADLEY, M.D. | 5.00 | | | | | | | | | |
| TRUSTEE | | X | | | L | | | 0. | 0. | 0. |
| (7) PAUL S. CEDERNA, M.D. | 5.00 | | | | | | | - | | |
| TRUSTEE | | X | | | | | | 0. | 0. | 0. |
| (8) BRADON J. WILHELMI, M.D. | 5.00 | | | | | | | | | - |
| TRUSTEE | | Х | | | | | L. | 0. | 0. | 0. |
| (9) STEVEN R. BUCHMAN, M.D. | 5.00 | | 1 | | | | | | _ | |
| TRUSTEE | | X | _ | | | _ | | 0. | 0. | 0. |
| (10) KOTARO YOSHIMURA, M.D. | 5.00 | | | | | | | | | |
| ASIAN LIASON | | X | L | | | <u> </u> | | 0. | 0. | 0. |
| (11) LARS-UWE LAHODA, M.D., PH.D. | 5.00 | | | | | | | | | • |
| EUROPEAN LIASON | | Х | | | | ┡ | | 0. | 0. | 0. |
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Form 990 (2013)

| Form | | ric sure | <u>GEI</u> | RY_ | _RI | ES] | EAI | RC: | H COUNCIL | <u> 37-110</u> | <u> 1785</u> | <u>; </u> | age |
|------|---|------------------------|--------------------------------|-----------------------|-----------------|--------------|------------------------------|--------------|--|----------------------------|--------------|--|-------------|
| Pai | t VII Section A. Officers, Directors, Trus | tees, Key Em | ploy | ees | , an | d Hi | ighe | st C | Compensated Employe | es (continued) | | | |
| | (A) | (B) | | | (C Pos | C) | | | (D) | (E) | | (F) | |
| | Name and title | Average | (do n | | | | than | | Reportable | Reportable | Estimated | | - |
| | | hours per week | | | ss pe id a d | | | | 1 ' | compensation | a | mount | |
| | | (list any | ē | | | | Г | Γ | from the | from related organizations | COL | other npens | |
| | | hours for | Individual trustee or director | | | | 8 | | organization | (W-2/1099-MISC) | | from th | |
| | | related | tee or | ustee | | | ensat | | (W-2/1099-MISC) | , , | or | ganıza | tion |
| | | organizations below | al tru: | al fa | | loyee | 1 0 m | | | | | nd rela | |
| | | line) | divid | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | org | janizat | ions |
| | · | , | =_ | ┝ <u>┺</u> | 5 | 2 | 포함 | - | | | + | | |
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| | | | | | | | | | | | | | |
| 1b | Sub-total | L | | | | | | — | 0. | 0 | | | 0 |
| | Total from continuation sheets to Part VI | I, Section A | | | | | | • | 0. | 0 | | | 0 |
| | Total (add lines 1b and 1c) | • | | | | | | <u> </u> | 0. | 0 | • | _ | 0 |
| 2 | Total number of individuals (including but n | ot limited to th | ose | liste | ed at | oove | e) wh | no re | eceived more than \$100 | ,000 of reportable | | | |
| | compensation from the organization | | | | | | | | | | | т | |
| | | | | | | | | | | | | Yes | No |
| 3 | Did the organization list any former officer, | | ıste | e, ke | y en | nplo | yee, | , or | highest compensated e | mployee on | | ŀ | x |
| 4 | line 1a? If "Yes," complete Schedule J for s For any individual listed on line 1a, is the su | | ام مد | mn | 0000 | tion | 200 | 1 0+1 | her componention from | the organization | 3 | | _ |
| 7 | and related organizations greater than \$150 | | | | | | | | | ine organization | 4 | l | X |
| 5 | Did any person listed on line 1a receive or a | | | | | | | | | idual for services | <u> </u> | <u> </u> | |
| | rendered to the organization? If "Yes," com | • | | | | • | | | | | 5 | | Х |
| Sec | tion B. Independent Contractors | | | | | | | | | · | | | |
| 1 | Complete this table for your five highest co | | | | | | | | | | nsation | from | |
| | the organization. Report compensation for | the calendar y | ear e | endi | ng w | /ith (| or w | ithir | | year. | | | |
| | (A) Name and business | address | NC | NE | 7. | | | | (B) Description of s | ervices | Compe | C) ensatio | n |
| | | | | | | | | 寸 | <u> </u> | | <u> </u> | | |
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| | | | | | | _ | | _ | | | | | |
| 2 | Total number of independent contractors (i | ncluding but n | ot lır | nıte | d to | thos | se lis | sted | above) who received n | nore than | | | |
| | \$100,000 of compensation from the organization | | | | | (| | | | | | | |

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Form **990** (2013)

\$100,000 of compensation from the organization

Form 990 (2013) THE PLA
Part VIII | Statement of Revenue

| | - | Check if Schedule O cont | rains a response | or note to any lin | e in this Part VIII | | | |
|--|------|--|---------------------|--------------------|---------------------|--|---|--|
| | | Officer if Schedule S confi | airis a response | or mote to any in | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | Revenue excluded from tax under sections 512 - 514 |
| nts | 1 a | Federated campaigns | 1a | | | | | |
| Contributions, Gifts, Grants and Other Similar Amounts | b | Membership dues | 1b | 50,250. | | | | |
| Am | С | Fundraising events | 1c | | | | | |
| la fi | d | Related organizations | 1d | | | | | |
| ns, | е | Government grants (contribut | tions) 1e | | | | | |
| er S | f | All other contributions, gifts, gran | its, and | | | | | |
| έξ | | similar amounts not included abo | ve 1f | 20,985. | , | | | i |
| d o | g | Noncash contributions included in lines | 1a-1f \$ | | 54 005 | | | |
| <u>ā Č</u> | h | Total. Add lines 1a-1f | | _ | 71,235. | | | ļ |
| | | COMPEDENCES | | Business Code | 250 657 | 250 657 | | |
| ice | 2 a | | | 900099 | 250,657. | 250,657. | | <u> </u> |
| ue r | b | | | | | | | ļ |
| E N | C | . | | | | | | |
| gra Re | d | | | | | | | |
| Program Service Revenue | 9 | All other program service reve | 2010 | | | | | _ |
| | † | Total. Add lines 2a-2f | and e | | 250,657. | | | |
| \neg | 3 | Investment income (including | dividends, intere | est, and | | | | |
| | • | other similar amounts) | | > | 6,298. | | | 6,298. |
| | 4 | Income from investment of ta | x-exempt bond p | oroceeds 🕨 | | | | |
| ł | 5 | Royalties | | • | | | | |
| | | • | (i) Real | (II) Personal | | | | |
| | 6 a | Gross rents | | | | | | |
| | b | Less: rental expenses | | | | i | | |
| | С | Rental income or (loss) | | l | | | | |
| | d | Net rental income or (loss) | | ▶ | | | | |
| - | 7 a | Gross amount from sales of | (i) Securities | (iı) Other | | | | |
| | | assets other than inventory | 4,761. | | | | | |
| | b | Less: cost or other basis | | ĺ | | | | |
| | | and sales expenses | 4,761. | <u> </u> | | | | |
| | | Gain or (loss) | 4,/61. | L | 4,761. | | | 4,761. |
| | | Net gain or (loss) | | — | 4,701. | - | | 4,701. |
| eune | 8 a | Gross income from fundraisin including \$ | g events (not of | | | | | |
| ě | | contributions reported on line | 1c). See | 1 | | | | |
| Other Reve | | Part IV, line 18 | а | | | | | 1 |
| 됩 | | Less: direct expenses | . b | | | | | |
| Ĭ | | Net income or (loss) from fund | = | <u> </u> | | | | ļ |
| | 9 a | Gross income from gaming ac | | | | | | |
| | | Part IV, line 19 | a | | | | | |
| | | Less: direct expenses | b | | | | | |
| | | Net income or (loss) from gam | | P | | | | |
| | 10 a | Gross sales of inventory, less | | | | | | |
| | | and allowances | a b | | | | | |
| | | Less: cost of goods sold Net income or (loss) from sale | | | | | | |
| | | Miscellaneous Revenu | - | Business Code | | | | |
| 1 | 11 a | | | | | | | 1 |
| | b | | | | | | | |
| İ | c | | | | | | | |
| | d | | | | | | | |
| | е | Total. Add lines 11a-11d | | ▶[| | | | |
| | 12 | Total revenue. See instructions. | | ▶ [| 332,951. | 250,657. | 0. | |
| 33200 | , _ | | | | | | | Form 990 (2013) |

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Part IX | Statement of Functional Expenses

| Secti | Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A). | | | | | | | | | |
|-------|--|-----------------------|------------------------------|---|----------------------------------|--|--|--|--|--|
| | Check if Schedule O contains a respon | | | | | | | | | |
| | not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses | | | | | |
| 1 | Grants and other assistance to governments and | | | | | | | | | |
| | organizations in the United States. See Part IV, line 21 | | | | | | | | | |
| 2 | Grants and other assistance to individuals in | | | | | | | | | |
| | the United States. See Part IV, line 22 | 2,000. | 2,000. | | | | | | | |
| 3 | Grants and other assistance to governments, | | | | | | | | | |
| | organizations, and individuals outside the | | | | | | | | | |
| | United States. See Part IV, lines 15 and 16 | | | | | | | | | |
| 4 | Benefits paid to or for members | | | | | | | | | |
| 5 | Compensation of current officers, directors, | | | | | | | | | |
| | trustees, and key employees | | | | ·· | | | | | |
| 6 | Compensation not included above, to disqualified | ļ | | | | | | | | |
| | persons (as defined under section 4958(f)(1)) and | | ł | | | | | | | |
| | persons described in section 4958(c)(3)(B) | | | | | | | | | |
| 7 | Other salanes and wages | | | | | | | | | |
| 8 | Pension plan accruals and contributions (include | | | | | | | | | |
| | section 401(k) and 403(b) employer contributions) | | | | | | | | | |
| 9 | Other employee benefits | | | | | | | | | |
| 10 | Payroll taxes | | | | | | | | | |
| 11 | Fees for services (non-employees): | 60 002 | | 60 003 | | | | | | |
| а | Management | 68,893. | | 68,893. | | | | | | |
| b | Legal | 5,150. | | 5,150. | · | | | | | |
| C | Accounting | 5,150. | | 5,130. | | | | | | |
| d | Lobbying | | | | | | | | | |
| e | Professional fundraising services. See Part IV, line 17 | 3,306. | | 3,306. | | | | | | |
| f | Investment management fees Other. (If line 11g amount exceeds 10% of line 25, | 3,300. | | 3,300. | <u> </u> | | | | | |
| g | column (A) amount, list line 11g expenses on Sch 0.) | | | | | | | | | |
| 12 | Advertising and promotion | | | | | | | | | |
| 13 | Office expenses | 4,490. | · | 4,490. | | | | | | |
| 14 | Information technology | 131. | | 131. | | | | | | |
| 15 | Royalties | | | | | | | | | |
| 16 | Occupancy | | | - | | | | | | |
| 17 | Travel | | | | | | | | | |
| 18 | Payments of travel or entertainment expenses | | | | | | | | | |
| | for any federal, state, or local public officials | | | | | | | | | |
| 19 | Conferences, conventions, and meetings | 235,126. | 235,126. | | | | | | | |
| 20 | Interest | | | | | | | | | |
| 21 | Payments to affiliates | | | | | | | | | |
| 22 | Depreciation, depletion, and amortization | | | | | | | | | |
| 23 | Insurance | 1,042. | | 1,042. | | | | | | |
| 24 | Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.) | | | | | | | | | |
| а | OFFICERS AND COMMITTEES | 4,490. | 4,490. | | | | | | | |
| b | | | | | | | | | | |
| С | | | | | | | | | | |
| d | | | | | | | | | | |
| e | All other expenses | | | | | | | | | |
| 25 | Total functional expenses. Add lines 1 through 24e | 324,628. | 241,616. | 83,012. | 0. | | | | | |
| 26 | Joint costs. Complete this line only if the organization | | | | | | | | | |
| | reported in column (B) joint costs from a combined | | | | | | | | | |
| | educational campaign and fundraising solicitation. | | | | | | | | | |
| | Check here if following SOP 98-2 (ASC 958-720) | | | | | | | | | |

Part X | Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X (A) (B) End of year Beginning of year 10,559. 41,746. Cash - non-interest-bearing 2 Savings and temporary cash investments 3 3 Pledges and grants receivable, net 4 Accounts receivable, net Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L 5 6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary 6 employees' beneficiary organizations (see instr). Complete Part II of Sch L Assets 7 Notes and loans receivable, net 7 8 Inventories for sale or use Prepaid expenses and deferred charges 9 10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D 10a 10b 10c b Less: accumulated depreciation 268,436. 270,787. Investments - publicly traded securities 11 Investments - other securties. See Part IV, line 11 12 12 13 13 Investments - program-related. See Part IV, line 11 14 14 Intangible assets 15 15 Other assets. See Part IV, line 11 278,995. 312,533. Total assets. Add lines 1 through 15 (must equal line 34) 16 17 Accounts payable and accrued expenses 17 18 18 Grants payable 19 19 Deferred revenue 20 20 Tax-exempt bond liabilities 21 21 Escrow or custodial account liability. Complete Part IV of Schedule D Loans and other payables to current and former officers, directors, trustees, 22 Liabilities key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L 23 Secured mortgages and notes payable to unrelated third parties Unsecured notes and loans payable to unrelated third parties 24 25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of 25 Schedule D 0. 0. Total liabilities. Add lines 17 through 25 26 Organizations that follow SFAS 117 (ASC 958), check here ▶ complete lines 27 through 29, and lines 33 and 34. Net Assets or Fund Balances 278,995. 63,881. 27 Unrestricted net assets 86,842. 28 28 Temporanly restricted net assets 161,810. 29 Permanently restricted net assets Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34. 30 Capital stock or trust principal, or current funds 31 Paid-in or capital surplus, or land, building, or equipment fund 32 32 Retained earnings, endowment, accumulated income, or other funds 278,995. 312<u>,</u>533. 33 Total net assets or fund balances 278,995. 312,533. Total liabilities and net assets/fund balances

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b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit

or audits, explain why in Schedule O and describe any steps taken to undergo such audits

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Form 990 (2013)

Act and OMB Circular A-133?

SCHEDULE A (Form 990 or 990-EZ)

Public Charity Status and Public Support Complete if the organization is a section 501(c)(3) organization or a section

4947(a)(1) nonexempt charitable trust.

OMB No 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

► Attach to Form 990 or Form 990-EZ. ► Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

Name of the organization

Employer identification number

| | | | STIC SURGERY | | | | | | | 37-TT0 | L / 85 |
|-----------|------------------|---------------------------------------|--|--|--|---------------------|--------------------------|--|--|--------------|----------------|
| Part I | Reason | for Public Char | ity Status (All organiz | zations mu | ist comple | te this par | t.) See ins | tructions. | | | |
| The organ | nzation is not a | a private foundation | because it is: (For lines | 1 through | 11, check | only one b | oox.) | | | | |
| 1 🖳 | A church, co | nvention of churche | s, or association of chur | ches desc | ribed in se | ection 170 | (b)(1)(A)(i |). | | | |
| 2 | A school des | scribed in section 17 | 70(b)(1)(A)(ii). (Attach Sc | hedule E.) | } | | | | | | |
| 3 🖳 | A hospital or | a cooperative hospi | tal service organization | described | ın section | 170(b)(1) | (A)(iii). | | | | |
| 4 📖 | A medical re | search organization | operated in conjunction | with a hos | spital desc | rıbed in s e | ection 170 |)(b)(1)(A)(i | ii). Enter | the hospita | ıl's name, |
| | city, and stat | | | | | | | | | | |
| 5 📖 | An organizat | ion operated for the | benefit of a college or u | niversity o | wned or o | perated by | a govern | mental un | t descri | bed in | |
| | section 170 | (b)(1)(A)(iv). (Comple | ete Part II.) | | | | | | | | |
| 6 🖳 | A federal, sta | ate, or local governm | ent or governmental uni | t describe | d ın sectio | n 170(b)(| 1)(A)(v). | | | | |
| 7 📖 | An organizat | on that normally rec | eives a substantial part | of its supp | oort from a | governme | ental unit d | or from the | general | public desc | cribed in |
| | section 170 | (b)(1)(A)(vi). (Comple | ete Part II.) | | | | | | | | |
| 8 🖳 | | | section 170(b)(1)(A)(vi). | | | | | | | | |
| 9 X | 1, | | | | | | | | | | |
| | activities rela | ited to its exempt fui | nctions - subject to certa | ain excepti | ions, and (| 2) no more | than 33 | 1/3% of its | suppor | t from gross | investment |
| | income and i | unrelated business t | axable income (less sec | tion 511 ta | ex) from bu | isinesses a | acquired b | y the orga | ınization | after June | 30, 1975. |
| | See section | 509(a)(2). (Complete | e Part III.) | | | | | | | | |
| 10 | An organizat | ion organized and op | perated exclusively to te | st for publ | lic safety. S | See sect io | on 509(a)(4 | 4). | | | |
| 11 | • | • | perated exclusively for th | | • | | | | - | | |
| | - | | ations described in secti | | | | 2). See se e | ction 509 (| a)(3). Ch | eck the box | c that |
| | | · · · · · · · · · · · · · · · · · · · | organization and compl | | | | | | | | |
| | a L Type | • | | | nctionally | | | | | | lly integrated |
| e L | | | at the organization is not | | | | | | | | |
| | | | han one or more publicly | | | | | | 9(a)(1) or | section 509 | 9(a)(2). |
| f | _ | | tten determination from t | the IRS th | at it is a Ty | pe I, Type | II, or Type | e III | | | <u></u> |
| | | rganization, check th | • | | | | | | _ | | |
| g | | | organization accepted ar | | | | | | | | F |
| | | | lirectly controls, either al | one or tog | jether with | persons o | lescribed | ın (ıı) and (| iii) below | | Yes No |
| | • | • • | upported organization? | | | | | | | 11g(i) | + |
| | • • | • | n described in (i) above? | | -0 | | | | | 11g(ii) | |
| | • • | • | person described in (i) o | | | | | | | 11g(iii) | <u> </u> |
| h | Provide the f | ollowing information | about the supported or | ganization | (S). | | | | | | |
| | | <u> </u> | T | (iv) lo tho | rannization | (v) Did voi | ı notify the | (vi) Is | the | T | |
| | of supported | (ii) EIN | (iii) Type of organization (described on lines 1-9 | in col. (i) li | organization sted in your | | i notify the ion in col. | Lorganizátic | on in col. | 1 | it of monetary |
| orga | anization | | | | document? | | support? | (i) organız U.S | ed in the .? | Sut | oport |
| | | | (see instructions)) | Yes | No | Yes | No | Yes | No | } | |
| | | | | 103 | | 100 | - 110 | 100 | | <u> </u> | |
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| Total | | ĺ | | | 1 | | | ľ | ľ | 1 | |
| | Paperwork Re | duction Act Notice | , see the Instructions fo | or | 1 | | <u> </u> | Schedul | e A (For | m 990 or 99 | 90-EZ) 2013 |
| | | | , | - - | | | | | 1 | | · , · · · |

332021 09-25-13

Form 990 or 990-EZ.

Part II | Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

| Sec | tion A. Public Support | | | | | | | | | |
|------|---|-----------------------|----------------------|-------------------------|---------------------------------------|---------------------|-----------------|--|--|--|
| Cale | ndar year (or fiscal year beginning in) | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total | | | |
| 1 | Gifts, grants, contributions, and | | | | | | | | | |
| | membership fees received. (Do not | | | | | | | | | |
| | include any "unusual grants.") | | _ | | <u></u> | | | | | |
| 2 | Tax revenues levied for the organ- | | | | | | | | | |
| | ization's benefit and either paid to | | | | | | | | | |
| | or expended on its behalf | | | | | | | | | |
| 3 | The value of services or facilities | | | | | | | | | |
| | furnished by a governmental unit to | | | | | | | | | |
| | the organization without charge | | | <u></u> | | | | | | |
| 4 | Total. Add lines 1 through 3 | | | | | _ | | | | |
| 5 | The portion of total contributions | | | | | | | | | |
| | by each person (other than a | | | | - | | | | | |
| | governmental unit or publicly | | | | | | | | | |
| | supported organization) included | • | | | | | | | | |
| | on line 1 that exceeds 2% of the | ! | | | | | | | | |
| | amount shown on line 11, | | | | | | | | | |
| | column (f) | | | | | | | | | |
| 6 | Public support. Subtract line 5 from line 4 | | | | | | | | | |
| Sec | ction B. Total Support | | | | <u> </u> | | | | | |
| Cale | ndar year (or fiscal year beginning in) | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total | | | |
| 7 | Amounts from line 4 | | | | | | | | | |
| 8 | Gross income from interest, | | | | | | | | | |
| | dividends, payments received on | | | | | | | | | |
| | securities loans, rents, royalties | | | | ŀ | | | | | |
| | and income from similar sources | | | | | | | | | |
| 9 | Net income from unrelated business | | | | | | | | | |
| | activities, whether or not the | | | | | | | | | |
| | business is regularly carried on | | | | | | | | | |
| 10 | Other income. Do not include gain | | | | | | | | | |
| | or loss from the sale of capital | | | | | | | | | |
| | assets (Explain in Part IV.) | | | | | | | | | |
| 11 | Total support. Add lines 7 through 10 | | | | | | | | | |
| 12 | Gross receipts from related activities | , etc. (see instructi | ons) | | | 12 | | | | |
| 13 | First five years. If the Form 990 is fo | r the organization's | s first, second, thu | rd, fourth, or fifth ta | ax year as a sectio | n 501(c)(3) | | | | |
| | organization, check this box and sto | here | _ | | | | <u> </u> | | | |
| Sec | ction C. Computation of Pub | ic Support Pe | rcentage | | · · · · · · · · · · · · · · · · · · · | ,, | | | | |
| | Public support percentage for 2013 (| | - | column (f)) | | 14 | % | | | |
| | Public support percentage from 2012 | | | | | 15 | % | | | |
| 16a | 33 1/3% support test - 2013. If the | | | | 14 is 33 1/3% or n | nore, check this bo | ox and | | | |
| | stop here. The organization qualifies | | | | | | | | | |
| b | 33 1/3% support test - 2012. If the | | | | l line 15 is 33 1/3% | or more, check th | nis box | | | |
| | and stop here. The organization qua | | | | | | | | | |
| 17a | 10% -facts-and-circumstances tes | | | | | | | | | |
| | and if the organization meets the "fac | | | | | rt IV how the organ | nization | | | |
| | meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization | | | | | | | | | |
| b | 10% -facts-and-circumstances tes | | | | | | | | | |
| | more, and if the organization meets t | | | | | | , | | | |
| | organization meets the "facts-and-cir- | | | | | | ▶ - | | | |
| 18 | Private foundation. If the organization | on did not check a | box on line 13, 16 | a, 16b, 17a, or 17 | | | | | | |
| | | | | | Sche | edule A (Form 990 | or 990-EZ) 2013 | | | |

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to

| Se | ction A. Public Support | lelow, please comp | Diete Part II.) | | | | |
|-----------|--|----------------------|----------------------|------------------------|---------------------|---------------------|-------------|
| | endar year (or fiscal year beginning in) | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
| | Gifts, grants, contributions, and | | • • | | | | |
| | membership fees received. (Do not | | | | | | |
| | include any "unusual grants.") | 46,749. | 44,892. | 56,355. | 3,026. | 71,235. | 222,257. |
| 2 | Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the | | | | | | |
| | organization's tax-exempt purpose | 180,830. | 291,923. | 192,138. | 147,578. | 250,132. | 1062601. |
| 3 | Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 | Tax revenues levied for the organ- ization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 | The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 | Total. Add lines 1 through 5 | 227,579. | 336,815. | 248,493. | 150,604. | 321,367. | 1284858. |
| 7a | Amounts included on lines 1, 2, and 3 received from disqualified persons | E | | | | | 0. |
| b | Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | 0. |
| c | Add lines 7a and 7b | | | | | | 0. |
| 8 | Public support (Subtract line 7c from line 6.) | | <u></u> | | | | 1284858. |
| Sec | ction B. Total Support | | | - | | <u> </u> | |
| Cale | ndar year (or fiscal year beginning in) 🕨 | (a) 2009 | (b) 2010 336,815. | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
| | Amounts from line 6 | 227,579. | 336,815. | 248,493. | 150,604. | 321,367. | 1284858. |
| 10a | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 43,990. | 19,630. | 8,182. | 7,248. | 6,298. | 85,348. |
| b | Unrelated business taxable income | | | | | | |
| | (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c | Add lines 10a and 10b | 43,990. | 19,630. | 8,182. | 7,248. | 6,298. | 85,348. |
| 11 | Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carned on | | | | | | |
| 12 | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) | | | | | 525. | 525. |
| 13 | Total support. (Add lines 9, 10c, 11, and 12) | 271,569. | 356,445. | 256,675. | 157,852. | 328,190. | 1370731. |
| 14 | First five years. If the Form 990 is for check this box and stop here | the organization's | first, second, thire | d, fourth, or fifth ta | ax year as a sectio | n 501(c)(3) organız | ation, |
| Sec | ction C. Computation of Publ | ic Support Per | rcentage | | | | |
| 15 | Public support percentage for 2013 (I | ine 8, column (f) di | vided by line 13, c | olumn (f)) | | 15 | 93.74 % |
| <u>16</u> | Public support percentage from 2012 | | | | | 16 | 93.38 % |
| Sec | ction D. Computation of Inves | stment Income | e Percentage | | · | | |
| 17 | Investment income percentage for 20 | | | e 13, column (f)) | | 17 | 6.23 % |
| 18 | Investment income percentage from 2 | | | | | 18 | 7.00 % |
| 19a | 33 1/3% support tests - 2013. If the | | | | | | 7 is not ►X |
| b | more than 33 1/3%, check this box as 33 1/3% support tests - 2012. If the | organization did n | ot check a box on | lıne 14 or line 19a | , and line 16 is mo | re than 33 1/3%, a | |
| | line 18 is not more than 33 1/3%, che | | | | | | |
| 20 | Private foundation. If the organizatio | n did not check a l | oox on line 14, 19a | ı, or 19b, check th | is box and see ins | tructions | ₽└ |

| Schedule A | (Form 990 or 990 | EZ) 2013 THE | PLASTIC | SURGERI | Jured by Part II, line | COUNCIL | 37-1101/85 Page 4 |
|------------|------------------|----------------------|-------------------|--------------------|------------------------|---------------------------------------|-----------------------------|
| Part IV | | | | • | | 10; Part II, line 17a or | 17b; and Part III, line 12. |
| | Also complete th | his part for any add | ditional informat | tion. (See instruc | ctions). | | |
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SCHEDULE D

(Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www irs gov/form990.

OMB No 1545-0047 Open to Public Inspection

Name of the organization

THE PLASTIC SURGERY RESEARCH COUNCIL

Employer identification number 37-1101785

| Par | t I Organizations Maintaining Donor Advise | ed Funds or Other Similar Funds or | Accounts.Complete if the |
|-----|--|--|---|
| | organization answered "Yes" to Form 990, Part IV, line | e 6. | · |
| | | (a) Donor advised funds | (b) Funds and other accounts |
| 1 | Total number at end of year | | |
| 2 | Aggregate contributions to (during year) | | |
| 3 | Aggregate grants from (during year) | | |
| 4 | Aggregate value at end of year | | |
| 5 | Did the organization inform all donors and donor advisors in | writing that the assets held in donor advised fi | unds |
| _ | are the organization's property, subject to the organization's | | Yes No |
| 6 | Did the organization inform all grantees, donors, and donor a | _ | |
| _ | for chantable purposes and not for the benefit of the donor of | • • | • |
| | impermissible private benefit? | | Yes No |
| Par | | ganization answered "Yes" to Form 990, Part I | |
| 1 | Purpose(s) of conservation easements held by the organization | | |
| - | Preservation of land for public use (e.g., recreation or e | . — | ally important land area |
| | Protection of natural habitat | Preservation of a certified | - |
| | Preservation of open space | | |
| 2 | Complete lines 2a through 2d if the organization held a qualit | fied conservation contribution in the form of a | conservation easement on the last |
| | day of the tax year. | | |
| | • | | Held at the End of the Tax Year |
| а | Total number of conservation easements | | 2a |
| b | Total acreage restricted by conservation easements | | 2b |
| c | Number of conservation easements on a certified historic str | ucture included in (a) | 2c |
| | Number of conservation easements included in (c) acquired a | | |
| | listed in the National Register | | 2d |
| 3 | Number of conservation easements modified, transferred, rel | leased, extinguished, or terminated by the org | anization during the tax |
| | year▶ | | |
| 4 | Number of states where property subject to conservation ea | sement is located > | |
| 5 | Does the organization have a written policy regarding the per | riodic monitoring, inspection, handling of | |
| | violations, and enforcement of the conservation easements if | t holds? | Yes No |
| 6 | Staff and volunteer hours devoted to monitoring, inspecting, | and enforcing conservation easements dunng | the year > |
| 7 | Amount of expenses incurred in monitoring, inspecting, and | enforcing conservation easements during the | year ▶ \$ |
| 8 | Does each conservation easement reported on line 2(d) above | ve satisfy the requirements of section 170(h)(4) |)(B)(ı) |
| | and section 170(h)(4)(B)(ii)? | | Yes No |
| 9 | in Part XIII, describe how the organization reports conservati | on easements in its revenue and expense stat | ement, and balance sheet, and |
| | include, if applicable, the text of the footnote to the organization | tion's financial statements that describes the c | organization's accounting for |
| | conservation easements. | | |
| Par | t III Organizations Maintaining Collections o | | r Similar Assets. |
| | Complete if the organization answered "Yes" to Form | | |
| 1a | If the organization elected, as permitted under SFAS 116 (AS | | |
| | historical treasures, or other similar assets held for public ext | | of public service, provide, in Part XIII, |
| | the text of the footnote to its financial statements that descri | | |
| b | If the organization elected, as permitted under SFAS 116 (AS | | |
| | treasures, or other similar assets held for public exhibition, ed | ducation, or research in furtherance of public s | service, provide the following amounts |
| | relating to these items: | | |
| | (i) Revenues included in Form 990, Part VIII, line 1 | | ▶ \$ |
| | (ii) Assets included in Form 990, Part X | | > \$ |
| 2 | If the organization received or held works of art, historical treatments | asures, or other sımılar assets for financial gair | n, provide |
| | the following amounts required to be reported under SFAS 1 | 16 (ASC 958) relating to these items: | |
| а | Revenues included in Form 990, Part VIII, line 1 | | \$ |
| b | Assets included in Form 990, Part X | • | ▶ \$ |
| | | | |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. 332051 09-25-13

Schedule D (Form 990) 2013

| 'Sche | | STIC SURGE | RY RESEARC | H COUNCIL | | <u> 37-11</u> | 01785 | Page 2 | | |
|---|---|------------------------------|-----------------------------|--------------------------------|-------------|---------------------------------|--------------------|----------|--|--|
| Par | t III Organizations Maintaining C | collections of A | rt, Historical Tr | easures, or Oth | er Simi | ar Asse | ts (continu | ed) | | |
| 3 | Using the organization's acquisition, accessi | on, and other record | ds, check any of the | following that are a | significant | use of its | collection i | items | | |
| | (check all that apply): | | | | | | | | | |
| а | Public exhibition | c | I └── Loan or exc | hange programs | | | | | | |
| b | Scholarly research | e | Other | | | | | | | |
| С | Preservation for future generations | | | | | | | | | |
| 4 | Provide a description of the organization's co | ollections and explai | n how they further t | he organızation's ex | empt purp | ose in Par | t XIII. | | | |
| 5 | Dunng the year, did the organization solicit of | r receive donations | of art, historical trea | sures, or other simil | ar assets | _ | <u>-</u> , | | | |
| | to be sold to raise funds rather than to be ma | | | | | | Yes | L No | | |
| Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or | | | | | | | | | | |
| reported an amount on Form 990, Part X, line 21. | | | | | | | | | | |
| 1a | Is the organization an agent, trustee, custod | ian or other intermed | diary for contribution | is or other assets no | t included | _ | 7 | | | |
| | on Form 990, Part X? | | | | | | | | | |
| b | if "Yes," explain the arrangement in Part XIII | and complete the fo | llowing table: | | | | | | | |
| | | | | | ļ | | Amount | | | |
| С | Beginning balance | | | • | 1c | ├ ── | | | | |
| ď | Additions during the year | | | | 1d | | | | | |
| е | Distributions during the year | • | | | 1e | | | | | |
| f | Ending balance . | | | | 1f | <u>└</u> | T., | | | |
| 2a | Did the organization include an amount on Fo | | | | • | L | Yes | ⊢No | | |
| | If "Yes," explain the arrangement in Part XIII. | | | | | | | <u> </u> | | |
| Par | t V Endowment Funds. Complete | | | | | vooro book | (-) Four W | are beek | | |
| | | (a) Current year 144,316. | (b) Pnor year 140,638. | (c) Two years back 136,426. | | d) Three years back 121,539. | | 95,289. | | |
| 1a | Beginning of year balance | 5,985. | 3,076. | 3,655. | 1,275. | | | 1,299. | | |
| b | Contributions | 39,378. | 602. | 602. | | 13,612. | | 24,951. | | |
| C | Net investment earnings, gains, and losses | | 002. | 002. | | 13,012. | | 24,931. | | |
| d | Grants or scholarships | | - | | | | | | | |
| е | Other expenditures for facilities | | | | | | | | | |
| | and programs | | | | | \longrightarrow | | | | |
| ī | Administrative expenses | 189,679. | 144,316. | 140,683. | ļ | 136,426. | 1 | 21,539. | | |
| g | End of year balance | | | <u> </u> | l | .50, 120. | | | | |
| 2 | Provide the estimated percentage of the curre Board designated or quasi-endowment | • 00 | e (iirie 19, column (a % | ij) rielu as. | | | | | | |
| a | Permanent endowment 85.31 | % | | | | | | | | |
| b | | 4.6 9 % | | | | | | | | |
| · | The percentages in lines 2a, 2b, and 2c shou | | | | | | | | | |
| 3a | Are there endowment funds not in the posse | | ation that are held a | nd administered for | the organi | zation | | | | |
| - | by: | ocion of the organiza | | ind ddiriii iidioi dd i'di | o. ga | | Y | es No | | |
| | (i) unrelated organizations | | | | | | 3a(i) | X | | |
| | (ii) related organizations | • | | | | | 3a(ii) | X | | |
| ь | If "Yes" to 3a(ii), are the related organizations | s listed as required o | n Schedule R? | | • | | 3b | | | |
| 4 | Describe in Part XIII the intended uses of the | • | | | | | | | | |
| Par | t VI Land, Buildings, and Equipm | | | - | | | | | | |
| | Complete if the organization answere | | , Part IV, line 11a. So | ee Form 990, Part X | , line 10. | | | | | |
| | Description of property | (a) Cost or o | | | Accumulate | ed T | (d) Book v | alue | | |
| | | basis (investr | 1 ' ' | | preciation | | | | | |
| | Land | | | | | | • | | | |
| b | Buildings | | | | | | | | | |
| | Leasehold improvements | | | | | | | | | |
| | Equipment | | | | | | | | | |
| | Other | | | | | | | | | |
| | . Add lines 1a through 1e. (Column (d) must e | gual Form 990, Part | X, column (B), line 1 | O(c).) | | | | 0. | | |

Schedule D (Form 990) 2013

| 1. | (a) Description of liability | (b) Book value |
|--------|---|----------------|
| (1) | Federal income taxes | |
| (2) | | |
| (3) | | |
| (4) | | |
| (5) | | |
| (6) | | |
| (7) | | |
| (8) | | |
| (9) | | |
| Total. | (Column (b) must equal Form 990, Part X, col. (B) line 25.) | |

^{2.} Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Schedule D (Form 990) 2013

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

➤ Attach to Form 990 or 990-EZ.

Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990

OMB No 1545-0047 Open to Public Inspection

| Name of the organization THE PLASTIC SURGERY RESEARCH COUNCIL | Employer identification number 37-1101785 |
|--|---|
| FORM 990, PART VI, SECTION A, LINE 3: | |
| EXPLANATION: THE PROFESSIONAL RELATIONS AND RESEARCH INST | TITUTE (PRRI) OF |
| BEVERLY, MASSACHUSETTS, MAINTAINS THE BOOKS AND RECORDS O | F THE ORGANIZATION |
| AND PERFORMS OTHER RELATED MANAGERIAL AND ADMINISTRATIVE | FUNCTIONS FOR |
| WHICH IT IS COMPENSATED. | |
| | |
| FORM 990, PART VI, SECTION A, LINE 6: | |
| EXPLANATION: THE ORGANIZATION HAS SIX CLASSES OF MEMBERS: | ACTIVE, |
| ASSOCIATE, SENIOR, EMERITUS, RESIDENT, AND SENIOR ASSOCIA | TE. ACTIVE |
| MEMBERS HAVE VOTING RIGHTS. | |
| | |
| FORM 990, PART VI, SECTION A, LINE 7A: | |
| EXPLANATION: ACTIVE MEMBERS HAVE THE RIGHT TO ELECT OFFIC | ERS TO THE BOARD |
| OF DIRECTORS. | |
| | |
| FORM 990, PART VI, SECTION A, LINE 7B: | |
| EXPLANATION: ACTIVE MEMBERS HAVE THE RIGHT TO APPROVE NEW | MEMBERS TO THE |
| COUNCIL, ALONG WITH ANY CHANGES TO THE BY-LAWS AND CONSTI | TUTION. |
| | |
| FORM 990, PART VI, SECTION B, LINE 11: | |
| EXPLANATION: THE 990 IS REVIEWED BY THE ADMINISTRATIVE ST | AFF OF THE |
| MANAGEMENT COMPANY (PRRI) AND IS THEN PROVIDED TO THE TRE | ASURER FOR FINAL |
| REVIEW BEFORE IT IS FILED. | |
| | |
| | |

FORM 990, PART VI, SECTION B, LINE 12C:

EXPLANATION: BOARD MEMBERS ARE REQUIRED TO SIGN A CONFLICT OF INTEREST

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2013)

332211 09-04-13

| Schedule O (Form 990 or 990-EZ) (2013) | Page 2 |
|---|---|
| Name of the organization THE PLASTIC SURGERY RESEARCH COUNCIL | Employer identification number 37-1101785 |
| POLICY. PRESENTERS AT CONFERENCES ARE ALSO REQUIRED TO S | SIGN THE CONFLICT |
| OF INTEREST POLICY. | |
| FORM 990, PART VI, SECTION C, LINE 19: | |
| EXPLANATION: GOVERNING DOCUMENTS AVAILABLE ON THE ORGANIZ | ZATION'S WEBSITE. |
| THE CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS | ARE AVAILABLE TO |
| THE PUBLIC UPON REQUEST AT THE ADMINISTRATIVE OFFICES OF | THE ORGANIZATION'S |
| MANAGEMENT COMPANY ("PRRI"). | |
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