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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
Bartels Family Charitable Foundation
c/o Robert E Bartels
% ROBERT E BARTELS

A Employer identification number
36-7210444

Number and street (or P O box number if mail is not delivered to street address)
PO Box 2709
Suite

Room/suite

B Telephone number (see instructions)
(574) 234-5848

City or town, state or province, country, and ZIP or foreign postal code
South Bend, IN 466802709

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 893,947

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities.	13,808	13,808		
	5a	Gross rents				
	b	Net rental income or (loss) ______				
	6a	Net gain or (loss) from sale of assets not on line 10	34,359			
	b	Gross sales price for all assets on line 6a 340,764				
	7	Capital gain net income (from Part IV, line 2) . . .		34,359		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-825	-825		
	12	**Total.** Add lines 1 through 11	47,342	47,342		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,750	1,375	0	1,375
	c	Other professional fees (attach schedule)	4,722	4,722		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	420	420		
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	7,892	6,517	0	1,375
	25	Contributions, gifts, grants paid	32,000			32,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	39,892	6,517	0	33,375
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	7,450			
	b	**Net investment income** (if negative, enter -0-)		40,825		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	30,625	33,007	33,007
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	699,569	705,025	860,940
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	730,194	738,032	893,947
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	730,194	738,032	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	730,194	738,032	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	730,194	738,032	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	730,194
2	Enter amount from Part I, line 27a	2	7,450
3	Other increases not included in line 2 (itemize) ▶	3	388
4	Add lines 1, 2, and 3	4	738,032
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	738,032

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	34,359
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	50,721	761,311	0 066623
2011	7,500	797,031	0 00941
2010	29,000	768,768	0 037723
2009	13,500	758,942	0 017788
2008	61,500	953,888	0 064473

2	**Total** of line 1, column (d).	2	0 196017
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 039203
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	827,620
5	Multiply line 4 by line 3.	5	32,445
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	408
7	Add lines 5 and 6.	7	32,853
8	Enter qualifying distributions from Part XII, line 4.	8	33,375

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	408
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	408
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	408
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 921		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	921
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	513
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 513 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶IN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶n/a

14 The books are in care of ▶ROBERT E BARTELS Telephone no ▶(574) 239-2105

Located at ▶PO BOX 2709 South Bend IN ZIP+4 ▶466802709

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Robert E Bartels PO Box 2709 South Bend, IN 466802709	Trustee 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	818,948
b	Average of monthly cash balances.	1b	21,275
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	840,223
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). — 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	840,223
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	12,603
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	827,620
6	**Minimum investment return.** Enter 5% of line 5.	6	41,381

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	41,381
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	408		
b	Income tax for 2013 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	408
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	40,973
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	40,973
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	40,973

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	33,375
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	33,375
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	408
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	32,967

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				40,973
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. 14,098				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012. 13,213				
f Total of lines 3a through e.	27,311			
4 Qualifying distributions for 2013 from Part XII, line 4 ► $ 33,375				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				33,375
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	7,598			7,598
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	19,713			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	6,500			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	13,213			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . . 13,213				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RiverBend Cancer Services 919 East Jefferson Boulevard Suite 401 South Bend, IN 46617	none	PC	Charitable	2,000
Lakeshore Excellence Foundation 5771 Cleveland Avenue Stevensville, MI 49127	none	PC	Educational	5,000
Lakeshore Public Shcools 5771 Cleveland Avenue Stevensville, MI 49127	NONE	PC	Educational	10,000
Hyde Park Day School 1375 East 60th Street Chicago, IL 60637	NONE	PC	Leslie Shankman School Corporation - Educational	15,000
Total . . . . ▶ **3a**				32,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	13,808	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	34,359	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a POWERHARES K-1 LOSS			17	-825	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				47,342	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 47,342

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-05-01 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Kenneth Keber				
Firm's name ▶	Crowe Horwath LLP		Firm's EIN ▶	
Firm's address ▶	330 E Jefferson Blvd PO Box 7 South Bend, IN 466240007		Phone no (574) 232-3992	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
JP MORGAN CHASE - SHORT TERM - SEE ATTACHED	P		
JP MORGAN CHASE - SHORT TERM - SEE ATTACHED	P		
JP MORGAN CHASE - LONG TERM - SEE ATTACHED	P		
JP MORGAN CHASE - LONG TERM - SEE ATTACHED	P		
POWERSHARES DB COMMODITY ST	P		
POWERSHARES DB COMMODITY LT	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
79,026		77,130	1,896
39,553		40,392	-839
15,228		15,019	209
191,421		173,669	17,752
		6	-6
		189	-189
			15,536

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,896
			-839
			209
			17,752
			-6
			-189

TY 2013 Accounting Fees Schedule

Name: Bartels Family Charitable Foundation
c/o Robert E Bartels

EIN: 36-7210444

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREP FEES	2,750	1,375		1,375

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: Bartels Family Charitable Foundation
c/o Robert E Bartels

EIN: 36-7210444

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included

TY 2013 Investments - Land Schedule

Name: Bartels Family Charitable Foundation
c/o Robert E Bartels

EIN: 36-7210444

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TY 2013 Investments - Other Schedule

Name: Bartels Family Charitable Foundation
c/o Robert E Bartels

EIN: 36-7210444

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AIM INVT FDS	AT COST	0	0
ASTON OPTIMUM	AT COST	25,647	34,817
COLUMBIA FDS SER TR II MASS	AT COST	21,829	24,906
DELAWARE EMERGING MARKETS FUND	AT COST	8,469	9,240
DODGE & COX INTERNATIONAL STOC	AT COST	43,017	51,966
DOUBLELINE FDS TR	AT COST	16,395	15,878
DREYFUS LAUREL EMG MKT DEBT	AT COST	7,334	7,190
EATON VANCE FLOATING RATE	AT COST	0	0
EATON VANCE MUT FDS TR GB MACR	AT COST	14,758	13,591
EDGEWOOD GROWTH FUND	AT COST	13,254	21,373
EQUINOX FDS TR	AT COST	12,243	12,243
FMI FDS INC	AT COST	10,930	19,815
GATEWAY FUND-Y	AT COST	16,277	17,041
HARTFORD CAPITAL APPRECIATION	AT COST	33,015	45,126
HIGH BRIDGE DYNAMIC COMM STRG	AT COST	0	0
HSBC FDS TOTAL RETURN	AT COST	0	0
INV BALANCED- RISK ALLOC-Y	AT COST	15,652	15,130
INV BALANCED RISK COMM STR-Y	AT COST	34,424	34,347
ISHARES CORE S&P MIDCAP ETF	AT COST	10,200	20,072
ISHARES MSCI EAFE INDEX FUND	AT COST	69,535	73,536
JPM CHINA REGION FD-SEL	AT COST	6,518	7,582
JPM GLOBAL RES ENH INDEX FD	AT COST	24,599	28,757
JPM HIGH YIELD FD	AT COST	0	0
JPM INTL CURRENCY INCOME FD	AT COST	0	0
JPM INTL VALUE FD-SEL	AT COST	22,802	28,289
JPM INTREPID AMERICA FD - SEL	AT COST	0	0
JPM MARKET EXPANSION INDEX FD	AT COST	12,213	21,543
JPM REALTY INCOME FD - INSTL	AT COST	0	0
JPM SHORT DURATION BOND FD-SEL	AT COST	24,230	25,106
JPM STR INC OPP FD	AT COST	12,337	14,479

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
JPM TR I GROWTH ADVANTAGE FD-S	AT COST	9,807	18,332
JPM US LRGE CAP CORE PLUS FD-S	AT COST	17,699	27,006
MANNING & NAPIER FUND INC	AT COST	27,347	28,474
MANNING & NAPIER FUND INC	AT COST	0	0
MFS INTL VALUE-I	AT COST	28,096	36,913
NEUBERGER BERMAN MULTI CAP	AT COST	21,839	30,511
OAKMARK INTERNATIONAL FD	AT COST	34,578	36,920
PIMCO FDS UNCONSTR	AT COST	24,935	23,727
POWERSHARES DB COMMODITY INDEX	AT COST	0	0
RS INTERNATIONAL GROWTH FUND	AT COST	0	0
SPDR GOLD TRUST	AT COST	0	0
SPDR S&P 500 ETF TRUST	AT COST	49,061	74,799
T ROWE PRICE INTERNATIONAL FDS	AT COST	0	0
T ROWE PRICE NEW ASIA	AT COST	19,345	23,533
VANGUARD MSCI EMERGING MARKETS	AT COST	0	0
VANGUARD MSCI EUROPE ETF	AT COST	16,640	18,698
VICTORY PORTFOLIOS	AT COST	0	0

TY 2013 Land, Etc. Schedule

Name: Bartels Family Charitable Foundation
c/o Robert E Bartels

EIN: 36-7210444

TY 2013 Other Income Schedule

Name: Bartels Family Charitable Foundation
c/o Robert E Bartels

EIN: 36-7210444

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Powershares DB Commodity Index Fund	-832	-832	
JP Morgan	7	7	

TY 2013 Other Increases Schedule

Name: Bartels Family Charitable Foundation
c/o Robert E Bartels

EIN: 36-7210444

Description	Amount
RETURN OF CAPITAL	388

TY 2013 Other Professional Fees Schedule

Name: Bartels Family Charitable Foundation
c/o Robert E Bartels

EIN: 36-7210444

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	180	180		
OTHER INVESTMENT EXPENSE	4,542	4,542		

TY 2013 Taxes Schedule

Name: Bartels Family Charitable Foundation
c/o Robert E Bartels
EIN: 36-7210444

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	420	420		

Capital Gain and Loss Schedule

BARTELS FNDT. IMA, AGT FOR TTEE

Short-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
DELAWARE EMERGING MARKETS-I	DEMI 245914817		02/01/13 07/31/13	574 555	8,377 01	8,595 34		-218 33
EATON VANCE FLOATING-RATE ADVANTAGE I	EIFA 277923637		05/10/12 04/10/13	1,308 374	14,706 12	14,339 78		366 34
HSBC FDS TOTAL RETURN I	HTRI 40428X156		Various 02/01/13	752 599	7,714 14	7,789 32		-75 18
ISHARES CORE S&P MID-CAP ETF	IJH 464287507		Various 02/01/13	138.000	15,169 92	13,204 92		1,965 00
ISHARES MSCI CHINA INDEX FD	46429B671		Various 07/10/13	163 000	6,592 49	8,121 48		-1,528 99
JPM GROWTH ADVANTAGE FD - SEL FUND 1567	JGAS 4812A3718		12/14/12 02/01/13	9 788	104 44	97 10		7 34
JPM HIGH YIELD FD - SEL FUND 3580	OHYF 4812C0803		12/14/12 11/05/13	11 848	97 28	96 81		47
JPM REALTY INCOME FD - INSTL FUND 1372	URTL 904504503		12/14/12 09/24/13	3 489	41 03	39 58		1 45
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330		12/14/12 06/25/13	0 813	8 85	8 95		- 10
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002	JLPS 4812A2389		12/14/12 11/27/13	45 739	1,346 56	1,009 47		337 09
RS INTERNATIONAL GROWTH FUND	RSIG 74972H424		10/19/12 04/19/13	900.174	16,473 18	15,537 00		936 18

Capital Gain and Loss Schedule

BARTELS FNDT. IMA, AGT FOR TTEE

Short-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
THE ARBITRAGE FUND-I	ARBN 03875R205		04/26/13 11/05/13	653 270	8,394 52	8,290 00		104 52
Total Short-Term Covered					**79,025.54**	**77,129.75**		**1,895.79**

Capital Gain and Loss Schedule

BARTELS FNDT. IMA, AGT FOR TTEE

Short-Term Non Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss or Capital transactions

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
POWERSHARES DB COMMODITY INDEX TRACKING FUND	DBC 73935S105		Various 12/17/13	840 000	21,327 81	21,507 11		-179 30
SPDR GOLD TRUST	GLD 78463V107		04/26/13 06/06/13	48 000	6,563 43	6,764 64		-201 21
SPDR GOLD TRUST	GLD 78463V107		04/26/13 06/06/13	48 000	6,573 45	6,764 64		-191 19
SPDR GOLD TRUST	GLD 78463V107		04/26/13 06/06/13	22 000	3,010 03	3,100 46		-90 43
SPDR GOLD TRUST	GLD 78463V107		04/26/13 10/25/13	16 000	2,078 19	2,254 88		-176 69
Total Short-Term Non Covered					**39,552.91**	**40,391.73**		**-838.82**

Capital Gain and Loss Schedule

BARTELS FNDT. IMA, AGT FOR TTEE

Long-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
JPM INTL CURR INCOME FD - SEL	JCIS		07/09/12	666 229	7,395 14	7,238 57		156 57
FUND 3831	4812A3296		07/22/13					
JPM REALTY INCOME FD - INSTL	URTL		09/21/12	666 096	7,833 29	7,780 00		53 29
FUND 1372	904504503		09/24/13					
Total Long-Term Covered					**15,228.43**	**15,018.57**		**209.86**

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Capital Gain and Loss Schedule

BARTELS FNDT. IMA, AGT FOR TTEE

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F indicates Foreign Exchange gain or loss on Capital transactions

Long-Term Non Covered

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ASTON/FAIRPOINTE MID CAP-I	ABMI 00078H158		03/02/11 07/10/13	166 041	7,168 00	5,637 09		1,530 91
DELAWARE EMERGING MARKETS-I	DEMI 245914817		01/20/11 07/31/13	1,042 043	15,192 99	16,829 00		-1,636 01
HARTFORD CAPITAL APPREC-I	ITHI 416649309		01/20/11 11/27/13	245 273	11,675 00	8,682 66		2,992 34
HIGHBRIDGE DYN COMMOD STR FD - SEL FUND 2015	HDCS 48121A670		Various 04/16/13	875 793	11,087 58	16,388 58		-5,301 00
ISHARES CORE S&P MID-CAP ETF	IJH 464287507		09/14/09 02/01/13	22 000	2,418 39	1,496 02		922 37
JPM GROWTH ADVANTAGE FD - SEL FUND 1567	JGAS 4812A3718		02/22/10 02/01/13	1,466 213	15,644 56	10,454 14		5,190 42
JPM GROWTH ADVANTAGE FD - SEL FUND 1567	JGAS 4812A3718		02/22/10 11/27/13	296 913	4,136 00	2,116 99		2,019 01
JPM HIGH YIELD FD - SEL FUND 3580	OHYF 4812C0803		Various 11/05/13	1,822 084	14,959 30	12,958 72		2,000 58
JPM INTREPID AMER FD - SEL FUND 1206	JPIA 4812A2108		04/25/11 11/05/13	589 022	19,632 10	14,601 86		5,030 24
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330		Various 06/25/13	319 573	3,480 15	3,448 77		31 38
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330		11/13/08 07/10/13	691 273	7,528 00	7,265 31		262 69
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002	JLPS 4812A2389		03/05/07 11/27 13	132 929	3,913 44	2,448 55		1,464 89

Capital Gain and Loss Schedule

BARTELS FNDT. IMA, AGT FOR TTEE

Long-Term Non Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
MANNING & NAPIER WORLD OPPOR	EXWA 563821545		Various 07/31/13	1,998 885	16,930 56	17,352 24		-421 68
MANNING & NAPIER EQUITY SERI	EXEY 563821602		01/20/11 11/05/13	585 919	12,691 00	11,448 86		1,242 14
POWERSHARES DB COMMODITY INDEX TRACKING FUND	DBC 73935S105		01/20/11 12/17/13	573 000	14,548 62	14,599 07		-50 45
SPDR GOLD TRUST	GLD 78463V107		05/19/09 10/25/13	46 000	5,974 81	4,173 96		1,800 85
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000329643	78463V107		00/00/00 01/07/13	46 000	2 42	00		2 42
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000355489	78463V107		00/00/00 02/21/13	46 000	2 50	00		2 50
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000341809	78463V107		00/00/00 03/12/13	46 000	2 43	00		2 43
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000353866	78463V107		00/00/00 04/09/13	46 000	2 48	00		2 48
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000403935	78463V107		00/00/00 05/10/13	180 000	10 03	00		10 03
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000364055	78463V107		00/00/00 06/17/13	62 000	3 02	00		3 02
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000395335	78463V107		00/00/00 07/10/13	62 000	2 98	00		2 98
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000343817	78463V107		00/00/00 08/15/13	62 000	2 74	00		2 74

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* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary income gain or loss
F indicates Foreign Exchange gain or loss or Capital transactions

Capital Gain and Loss Schedule

BARTELS FNDT. IMA, AGT FOR TTEE

Long-Term Non Covered

Description	Stock and other symbol / Cusip	Code*	Date Acquired / Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss / Ordinary Income**
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000355207	78463V107		00/00/00 09/19/13	62 000	2 90	00		2 90
SPDR GOLD TRUST COST BASIS ALLOC RATE 0 000388300	78463V107		00/00/00 10/14/13	62 000	2 99	00		2 99
VANGUARD FTSE EMERGING MARKETS ETF	VWO 922042858		10/01/10 07/31/13	187 000	7,318 15	8,621 80		-1,303 65
VICTORY PORTFOLIOS DIVRS STK CL I	VDSI 92646A856		01/20/11 04/19/13	951 973	17,087 92	15,145 89		1,942 03
Total Long-Term Non Covered					191,421.06	173,669.51		17,751.55

J.P.Morgan