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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year **2013** or tax year beginning **2013**, and ending **20**

Name of foundation **WAVERING FAMILY CHARITABLE FOUNDATION**
02-95078
 Number and street (or P O box number if mail is not delivered to street address) Room/suite
P.O. BOX 803878
 City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60680

A Employer identification number
36-6940417

B Telephone number (see instructions)
312-630-6000

C If exemption application is pending, check here

D 1 Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **13,929,025.**

J Accounting method: Cash Accrual
 Other (specify) _____
 (Part I, column (d) must be on cash basis.)

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|---|------------------------------------|---------------------------|-------------------------|---|
| 1 | Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| 2 | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | | | | |
| 4 | Dividends and interest from securities | 376,333. | 378,829. | | STMT 1 |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | 244,536. | | | |
| b | Gross sales price for all assets on line 6a 4,317,047. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 244,536. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less. Cost of goods sold | | | | |
| c | Gross profit or (loss) (attach schedule) | | | | |
| 11 | Other income (attach schedule) | | | | |
| 12 | Total. Add lines 1 through 11 | 20,869. | 623,365. | | |
| 13 | Compensation of officers, directors, trustees, etc. | 20,440. | 18,396. | | 2,044. |
| 14 | Other employee salaries and wages | | NONE | NONE | |
| 15 | Pension plans, employee benefits | | NONE | NONE | |
| 16a | Legal fees (attach schedule) | | | | |
| b | Accounting fees (attach schedule) STMT 2 | 2,000. | 1,000. | NONE | 1,000. |
| c | Other professional fees (attach schedule) STMT 3 | 22,414. | 22,414. | | |
| 17 | Interest | | | | |
| 18 | Taxes (attach schedule) (see instructions) STMT 4 | 17,099. | 2,895. | | |
| 19 | Depreciation (attach schedule) and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | | NONE | NONE | |
| 22 | Printing and publications | | NONE | NONE | |
| 23 | Other expenses (attach schedule) STMT 5 | 45. | 30. | | 15. |
| 24 | Total operating and administrative expenses Add lines 13 through 23 | 61,998. | 44,735. | NONE | 3,059. |
| 25 | Contributions, gifts, grants paid | 582,120. | | | 582,120. |
| 26 | Total expenses and disbursements Add lines 24 and 25 | 644,118. | 44,735. | NONE | 585,179. |
| 27 | Subtract line 26 from line 12: | | | | |
| a | Excess of revenue over expenses and disbursements | -23,249. | | | |
| b | Net investment income (if negative, enter -0-) | | 578,630. | | |
| c | Adjusted net income (if negative, enter -0-) | | | | |

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| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | Beginning of year | End of year | |
|-----------------------------|---|---|-------------|---|----------------|-----------------------|
| | | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | | | NONE | |
| | 2 | Savings and temporary cash investments | | 263,930. | 186,380. | 186,380. |
| | 3 | Accounts receivable ▶ Less: allowance for doubtful accounts ▶ | | | | NONE |
| | 4 | Pledges receivable ▶ Less: allowance for doubtful accounts ▶ | | | | NONE |
| | 5 | Grants receivable | | | | NONE |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | NONE |
| | 7 | Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶ | | NONE | | NONE |
| | 8 | Inventories for sale or use | | | | NONE |
| | 9 | Prepaid expenses and deferred charges | | | | NONE |
| | 10 a | Investments - U S and state government obligations (attach schedule) | | | | NONE |
| | b | Investments - corporate stock (attach schedule) | STMT 6 | 6,969,972. | 7,582,796. | 10,686,842. |
| | c | Investments - corporate bonds (attach schedule) | STMT 7 | 3,163,567. | 2,843,947. | 2,887,985. |
| | 11 | Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶ | | | | |
| | 12 | Investments - mortgage loans | | | | NONE |
| | 13 | Investments - other (attach schedule) | STMT 8 | 147,900. | 164,593. | 167,818. |
| | 14 | Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶ | | | | NONE |
| 15 | Other assets (describe ▶ SEE ATTACHMENTS) | | 251,706. | | NONE | |
| 16 | Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) | | 10,797,075. | 10,777,716. | 13,929,025. | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | NONE | |
| | 18 | Grants payable | | | NONE | |
| | 19 | Deferred revenue | | | | NONE |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | | NONE |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | NONE |
| | 22 | Other liabilities (describe ▶) | | | | NONE |
| 23 | Total liabilities (add lines 17 through 22) | | | | NONE | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> | | | and complete lines 24 through 26 and lines 30 and 31. | | |
| | 24 | Unrestricted | | | | |
| | 25 | Temporarily restricted | | | | |
| | 26 | Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> | | | check here and complete lines 27 through 31. | | |
| | 27 | Capital stock, trust principal, or current funds | | 10,797,075. | 10,777,716. | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | | | |
| 30 | Total net assets or fund balances (see instructions) | | 10,797,075. | 10,777,716. | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | | 10,797,075. | 10,777,716. | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | |
|---|--|-------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 10,797,075. |
| 2 | Enter amount from Part I, line 27a | -23,249. |
| 3 | Other increases not included in line 2 (itemize) ▶ COST ADJUSTMENT | 3,890. |
| 4 | Add lines 1, 2, and 3 | 10,777,716. |
| 5 | Decreases not included in line 2 (itemize) ▶ | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 10,777,716. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo, day, yr.) | (d) Date sold (mo, day, yr.) |
|--|--|---|--|--|-------------------------------------|---------------------------------|
| 1a PUBLICLY TRADED SECURITIES | | | | | | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | | | |
| a 4,317,047. | | 4,072,511. | 244,536. | | | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) | | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | | | | |
| a | | | 244,536. | | | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | | | 2 | 244,536. | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | | | | 3 | | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|--|--|---|
| 2012 | 593,964. | 11,968,687. | 0.049626 |
| 2011 | 573,378. | 12,289,219. | 0.046657 |
| 2010 | 537,651. | 11,613,053. | 0.046297 |
| 2009 | 624,791. | 10,798,288. | 0.057860 |
| 2008 | 736,814. | 12,766,887. | 0.057713 |
| 2 Total of line 1, column (d) | | | 2 0.258153 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.051631 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | | | 4 13,106,141. |
| 5 Multiply line 4 by line 3 | | | 5 676,683. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 5,786. |
| 7 Add lines 5 and 6 | | | 7 682,469. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions | | | 8 585,179. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations', and 'Tax based on investment income'. Total tax due is 1,571.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, tax on political expenditures, and substantial contributors. Includes state codes FL and IL.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X
Website address N/A
14 The books are in care of THE NORTHERN TRUST COMPANY Telephone no (312) 630-6000
Located at PO BOX 803878, CHICAGO, IL ZIP+4 60680
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here 15
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b X
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? Yes X No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) 3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| THE NORTHERN TRUST COMPANY P.O. BOX 803878, CHICAGO, IL 60680 | TRUSTEE 40 | 20,440. | -0- | -0- |
| ----- | | | | |
| ----- | | | | |
| ----- | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | NONE | NONE | NONE |
| ----- | | | | |
| ----- | | | | |
| ----- | | | | |

Total number of other employees paid over \$50,000 **NONE**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE." | | |
|--|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | NONE |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions | |
| 3 NONE | |
| Total. Add lines 1 through 3 | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|--|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | 13,305,727. |
| b | Average of monthly cash balances | 1b | NONE |
| c | Fair market value of all other assets (see instructions) | 1c | NONE |
| d | Total (add lines 1a, b, and c) | 1d | 13,305,727. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 13,305,727. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 199,586. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. | 5 | 13,106,141. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 655,307. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|----|--|----|----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 655,307. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 11,573. |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 11,573. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1. | 3 | 643,734. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | NONE |
| 5 | Add lines 3 and 4 | 5 | 643,734. |
| 6 | Deduction from distributable amount (see instructions) | 6 | NONE |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 643,734. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|--|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 585,179. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4. | 4 | 585,179. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | N/A |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 585,179. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 643,734. |
| 2 Undistributed income, if any, as of the end of 2013: | | | | |
| a Enter amount for 2012 only | | | 581,821. | |
| b Total for prior years: 20____, 20____, 20____ | | NONE | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 | | | | NONE |
| b From 2009 | | | | NONE |
| c From 2010 | | | | NONE |
| d From 2011 | | | | NONE |
| e From 2012 | | | | NONE |
| f Total of lines 3a through e | NONE | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ <u>585,179.</u> | | | | |
| a Applied to 2012, but not more than line 2a | | | 581,821. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | NONE | | |
| c Treated as distributions out of corpus (Election required - see instructions) | NONE | | | |
| d Applied to 2013 distributable amount | | | | 3,358. |
| e Remaining amount distributed out of corpus | NONE | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | NONE | | | NONE |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | NONE | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | NONE | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a Taxable amount - see instructions | | | | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 640,376. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | NONE | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | NONE | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | NONE | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2009 | | | | NONE |
| b Excess from 2010 | | | | NONE |
| c Excess from 2011 | | | | NONE |
| d Excess from 2012 | | | | NONE |
| e Excess from 2013 | | | | NONE |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

Table with columns: Tax year (a) 2013, (b) 2012, (c) 2011, (d) 2010, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions), 2d (Amounts included in line 2c), 2e (Qualifying distributions made directly), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines.

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|--|-----------------|
| a Paid during the year | | | | |
| CONSERVANCY OF SOUTHWEST FLORIDA FLORIDA 1450 MERRITTUE DR. NAPLES FL 34102 | N/A | PUBLIC | GENERAL | 239,500. |
| NAPLES HISTORICAL SOCIETY 137 12TH AVENUE SOUTH NAPLES FL 34102 | N/A | PUBLIC | GENERAL | 4,120. |
| NORTH SHORE COUNTRY DAY SCHOOL 310 GREEN BAY ROAD WINNETKA IL 60096 | N/A | PUBLIC | \$200,000 FOR REFURBISHMENT OF THE AUDITORIUM | 300,000. |
| THE BLUE GAVEL SOCIETY . NAPLE FL 34102 | N/A | PUBLIC | GENERAL | 5,000. |
| NAPLES BOTANICAL GARDEN 4820 BAYSHORE DRIVE NAPLES FL 34112 | N/A | PUBLIC | GENERAL | 25,000. |
| JORDAN MATTHEW PROCO MEMORIAL FUND GOODWIN SQURE 225 ASYLUM HARTFORD CT 06103 | N/A | PUBLIC | GENERAL | 5,000. |
| EVERGLADES FOUNDATION 18001 OLD CUTLER ROAD, SUITE 625 PALMETTO BA | N/A | PUBLIC | GENERAL | 3,500. |
| Total ▶ 3a | | | | 582,120. |
| b Approved for future payment | | | | |
| Total ▶ 3b | | | | |

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash (2) Other assets b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule.

Table with 2 columns: Yes, No. Rows for 1a(1), 1a(2), 1b(1) through 1b(6), and 1c.

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign Here: Signature of officer or trustee, Date, Title. Paid Preparer Use Only: Print/Type preparer's name, Preparer's signature, Date, Check self-employed, Firm's name, Firm's address, Firm's EIN, Phone no.

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|-------------------------|--------------------------------|-----------------------|
| DIVIDENDS AND INTEREST | 371,236. | 371,236. |
| MUNICIPAL INTEREST | 7,593. | 7,593. |
| ACCRUED/DEFERRED INCOME | -7,027. | |
| RETURN OF CAPITAL | 4,531. | |
| TOTAL | 376,333. | 378,829. |

FORM 990PF, PART I - ACCOUNTING FEES
=====

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---------------------|--------------------------------|-----------------------|---------------------|---------------------|
| TAX PREPARATION FEE | 2,000. | 1,000. | | 1,000. |
| TOTALS | 2,000. | 1,000. | NONE | 1,000. |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- |
|-------------------------|--|--------------------------------------|
| INVESTMENT ADVISORY FEE | 22,414. | 22,414. |
| TOTALS | 22,414. | 22,414. |

FORM 990PF, PART I - TAXES
=====

| DESCRIPTION ----- | REVENUE AND EXPENSES PER BOOKS ----- | NET INVESTMENT INCOME ----- |
|----------------------|--|--------------------------------------|
| PRIOR YEAR TAX | 1,060. | |
| ESTIMATED TAX | 13,144. | |
| FOREIGN TAX | 2,895. | 2,895. |
| | ----- | ----- |
| TOTALS | 17,099. | 2,895. |
| | ===== | ===== |

FORM 990PF, PART I - OTHER EXPENSES
=====

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---------------------|---|-----------------------------|------------------------|
| ADR FEE | 4. | 4. | |
| CLASS ACTION CHARGE | 26. | 26. | |
| IL AG FILING FEE | 15. | | 15. |
| TOTALS | 45. | 30. | 15. |

WAVERING FAMILY CHARITABLE FOUNDATION
FORM 990PF, PART II - CORPORATE STOCK
=====

36-6940417

| DESCRIPTION ----- | ENDING BOOK VALUE ----- | ENDING FMV --- |
|----------------------|-------------------------------|----------------------|
| SEE ATTACHMENTS | 7,582,796. | 10,686,842. |
| | ----- | ----- |
| TOTALS | 7,582,796. | 10,686,842. |
| | ===== | ===== |

WAVERING FAMILY CHARITABLE FOUNDATION
FORM 990PF, PART II - CORPORATE BONDS
=====

36-6940417

| DESCRIPTION ----- | ENDING BOOK VALUE ----- | ENDING FMV --- |
|----------------------|-------------------------------|----------------------|
| SEE ATTACHMENTS | 2,843,947. | 2,887,985. |
| | ----- | ----- |
| | 2,843,947. | 2,887,985. |
| | ----- | ----- |
| TOTALS | ===== | ===== |

WAVERING FAMILY CHARITABLE FOUNDATION

36-6940417

FORM 990PF, PART II - OTHER INVESTMENTS

COST/
FMV
C OR F

ENDING
FMV

ENDING
BOOK VALUE

DESCRIPTION

SEE ATTACHMENTS

C

167,818.

164,593.

TOTALS

164,593.

167,818.

RECIPIENT NAME:

WAVERING FAMILY FOUNDATION

ADDRESS:

P.O.BOX 803878

CHICAGO, IL 60680

FORM, INFORMATION AND MATERIALS:

NO FORMAL POLICY

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

NONE

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2013

Name of estate or trust

WAVERING FAMILY CHARITABLE FOUNDATION

Employer identification number

36-6940417

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

| | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|--|----------------------------------|---------------------------------|---|---|
| 1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b | | | | |
| 1b Totals for all transactions reported on Form(s) 8949 with Box A checked | | | | |
| 2 Totals for all transactions reported on Form(s) 8949 with Box B checked | | | | |
| 3 Totals for all transactions reported on Form(s) 8949 with Box C checked | 1,488,025. | 1,496,395. | 22,211. | 13,841. |
| 4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | | | | 4 |
| 5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | | | | 5 |
| 6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet | | | | 6 () |
| 7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶ | | | | 7 13,841. |

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

| | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|----------------------------------|---------------------------------|--|---|
| 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b | | | | |
| 8b Totals for all transactions reported on Form(s) 8949 with Box D checked | | | | |
| 9 Totals for all transactions reported on Form(s) 8949 with Box E checked | | | | |
| 10 Totals for all transactions reported on Form(s) 8949 with Box F checked | 2,919,431. | 2,763,714. | 16,792. | 172,509. |
| 11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | | | | 11 |
| 12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | | | | 12 |
| 13 Capital gain distributions | | | | 13 58,186. |
| 14 Gain from Form 4797, Part I | | | | 14 |
| 15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet | | | | 15 () |
| 16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶ | | | | 16 230,695. |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA
3F1210 1 000

| Part III Summary of Parts I and II | | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total |
|--|---|---------------------------------|-------------------------|-----------|
| Caution: Read the instructions before completing this part. | | | | |
| 17 | Net short-term gain or (loss) | 17 | | 13,841. |
| 18 | Net long-term gain or (loss): | | | |
| a | Total for year | 18a | | 230,695. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht.) | 18b | | 1,496. |
| c | 28% rate gain | 18c | | |
| 19 | Total net gain or (loss). Combine lines 17 and 18a. | 19 | | 244,536. |

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

| Part IV Capital Loss Limitation | | 20 |
|--|---|-----|
| 20 | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: | () |
| a | The loss on line 19, column (3) or b \$3,000 | |

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

| | | | | |
|----|---|----|--|--|
| 21 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). | 21 | | |
| 22 | Enter the smaller of line 18a or 19 in column (2) but not less than zero. | 22 | | |
| 23 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T). | 23 | | |
| 24 | Add lines 22 and 23 | 24 | | |
| 25 | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- | 25 | | |
| 26 | Subtract line 25 from line 24. If zero or less, enter -0- | 26 | | |
| 27 | Subtract line 26 from line 21. If zero or less, enter -0- | 27 | | |
| 28 | Enter the smaller of the amount on line 21 or \$2,450 | 28 | | |
| 29 | Enter the smaller of the amount on line 27 or line 28 | 29 | | |
| 30 | Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% | 30 | | |
| 31 | Enter the smaller of line 21 or line 26 | 31 | | |
| 32 | Subtract line 30 from line 26 | 32 | | |
| 33 | Enter the smaller of line 21 or \$11,950 | 33 | | |
| 34 | Add lines 27 and 30 | 34 | | |
| 35 | Subtract line 34 from line 33. If zero or less, enter -0- | 35 | | |
| 36 | Enter the smaller of line 32 or line 35 | 36 | | |
| 37 | Multiply line 36 by 15% | 37 | | |
| 38 | Enter the amount from line 31 | 38 | | |
| 39 | Add lines 30 and 36 | 39 | | |
| 40 | Subtract line 39 from line 38. If zero or less, enter -0- | 40 | | |
| 41 | Multiply line 40 by 20% | 41 | | |
| 42 | Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | 42 | | |
| 43 | Add lines 37, 41, and 42 | 43 | | |
| 44 | Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | 44 | | |
| 45 | Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | 45 | | |

Sales and Other Dispositions of Capital Assets

Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

2013

Department of the Treasury
Internal Revenue Service

File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

Name(s) shown on return: **WAVERING FAMILY CHARITABLE FOUNDATION**
Social security number or taxpayer identification number: **36-6940417**

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr.) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions | | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|-----------|---|---------------------------------------|---|--|--|--|--------------------------------|--|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| 744. | ABBOTT LABORATORIES | 01/24/2013 | 02/12/2013 | 25,483.00 | 24,423.00 | | | 1,060.00 |
| 37. | APOLLO GROUP INC CL A | 09/06/2012 | 02/12/2013 | 726.00 | 1,111.00 | W | | 385.00 |
| 402. | APOLLO GROUP INC CL A | 09/07/2012 | 02/12/2013 | 7,884.00 | 12,081.00 | | | -4,197.00 |
| 87. | APOLLO GROUP INC CL A | 09/06/2012 | 02/13/2013 | 1,695.00 | 2,639.00 | | | -944.00 |
| 1454. | APOLLO GROUP INC CL | 11/02/2012 | 02/14/2013 | 27,414.00 | 31,713.00 | | | -4,299.00 |
| 7. | BANK N S HALIFAX COM ST | 04/26/2013 | 07/08/2013 | 369.00 | 393.00 | | | -24.00 |
| 343. | BAXTER INTL INC | 11/26/2012 | 01/08/2013 | 23,386.00 | 22,957.00 | | | 429.00 |
| 375.00116 | BAXTER INTL INC | 08/01/2013 | 09/05/2013 | 26,172.00 | 27,440.00 | | | -1,268.00 |
| 2.99884 | BAXTER INTL INC | 08/01/2013 | 09/05/2013 | 209.00 | 220.00 | W | | 11.00 |
| 201. | CATERPILLAR INC | 07/12/2013 | 12/04/2013 | 16,787.00 | 17,651.00 | | | -864.00 |
| 525. | CLIFFS NAT RES INC CO | 07/02/2012 | 04/16/2013 | 9,155.00 | 25,454.00 | W | | 16,299.00 |
| 183. | CLIFFS NAT RES INC CO | 03/28/2013 | 04/16/2013 | 3,191.00 | 3,482.00 | | | -291.00 |
| 1202. | CLIFFS NAT RES INC C | 03/28/2013 | 04/18/2013 | 20,717.00 | 39,167.00 | | | -18,450.00 |
| 421. | CONOCOPHILLIPS | 01/24/2013 | 05/15/2013 | 26,154.00 | 23,476.00 | | | 2,678.00 |

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See Column (g) in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

Sales and Other Dispositions of Capital Assets

Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

2013

Department of the Treasury
Internal Revenue Service

File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

WAVERING FAMILY CHARITABLE FOUNDATION

36-6940417

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example. 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. | | (h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g) |
|--|--|---------------------------------------|--|--|--|--|--------------------------------|---|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 20. CUMMINS ENGINE INC | 01/24/2013 | 03/28/2013 | 2,293.00 | 2,311.00 | | | -18.00 |
| | 308. ENDO PHARMACEUTICALS | 08/27/2013 | 12/11/2013 | 19,578.00 | 11,045.00 | | | 8,533.00 |
| | 121. FEDERATED INVS INC PA | 03/21/2012 | 03/05/2013 | 2,849.00 | 2,811.00 | | | 38.00 |
| | 204. FEDERATED INVS INC PA | 07/19/2013 | 11/25/2013 | 5,561.00 | 6,215.00 | | | -654.00 |
| | 1. FEDERATED INVS INC PA C | 07/19/2013 | 12/02/2013 | 27.00 | 30.00 | | | -3.00 |
| | 539. FEDERATED INVS INC PA | 07/19/2013 | 12/03/2013 | 14,432.00 | 16,177.00 | | | -1,745.00 |
| | 551. FEDERATED INVS INC PA | 05/20/2013 | 12/06/2013 | 14,537.00 | 14,751.00 | | | -214.00 |
| | 623. FEDERATED INVS INC PA | 07/12/2013 | 12/06/2013 | 16,468.00 | 17,345.00 | | | -877.00 |
| | 761. HALLIBURTON CO | 01/24/2013 | 02/21/2013 | 31,076.00 | 25,149.00 | | | 5,927.00 |
| | 265.99882 HARRIS CORP DEL | 03/28/2013 | 04/16/2013 | 11,244.00 | 12,327.00 | W | 1,083.00 | |
| | 29.00118 HARRIS CORP DEL | 04/02/2013 | 04/16/2013 | 1,226.00 | 1,343.00 | | | -117.00 |
| | 266. HARRIS CORP DEL | 03/28/2013 | 04/18/2013 | 10,968.00 | 13,375.00 | | | -2,407.00 |
| | 517. HEALTH MANAGEMENT ASS A | 01/24/2013 | 04/26/2013 | 5,782.00 | 4,239.00 | | | 1,543.00 |
| | 821. HEALTH MANAGEMENT ASS A | 07/17/2012 | 05/01/2013 | 9,002.00 | 6,381.00 | | | 2,621.00 |
| 2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) | | | | | | | | |

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Sales and Other Dispositions of Capital Assets

Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

2013

Department of the Treasury
Internal Revenue Service

File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

WAVERING FAMILY CHARITABLE FOUNDATION

36-6940417

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example. 100 sh. XYZ Co.) | (b) Date acquired (Mo., day, yr.) | (c) Date sold or disposed (Mo., day, yr.) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. | | (h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g) |
|---|--|---|--|--|---|--|--------------------------------|---|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 2028. HEALTH MANAGEMENT AS CLASS A | 08/30/2012 | 05/02/2013 | 22,512.00 | 15,633.00 | | | 6,879.00 |
| | 2477. HEALTH MANAGEMENT AS CLASS A | 08/30/2012 | 05/06/2013 | 26,618.00 | 18,552.00 | | | 8,066.00 |
| | 275. HEALTH MANAGEMENT ASS A | 08/27/2012 | 05/07/2013 | 2,958.00 | 2,005.00 | | | 953.00 |
| | 201. H J HEINZ CO | 10/02/2012 | 03/15/2013 | 14,562.00 | 11,339.00 | | | 3,223.00 |
| | 146. HERSHEY FOODS CORP | 04/18/2013 | 04/26/2013 | 12,792.00 | 13,060.00 | W | 268.00 | |
| | 15. HERSHEY FOODS CORP | 01/08/2013 | 04/30/2013 | 1,321.00 | 1,113.00 | | | 208.00 |
| | 132. HERSHEY FOODS CORP | 04/18/2013 | 04/30/2013 | 11,628.00 | 12,015.00 | W | 387.00 | |
| | 300. HERSHEY FOODS CORP | 05/20/2013 | 07/12/2013 | 27,479.00 | 27,242.00 | | | 237.00 |
| | 301. HERSHEY FOODS CORP | 01/08/2013 | 07/17/2013 | 27,558.00 | 22,325.00 | | | 5,233.00 |
| | 282. KIMBERLY CLARK CORP | 01/08/2013 | 03/28/2013 | 27,475.00 | 23,775.00 | | | 3,700.00 |
| | 13. KINDER MORGAN INC DEL | 04/26/2013 | 12/09/2013 | 435.00 | 505.00 | | | -70.00 |
| | 1017. ELI LILLY & CO | 07/31/2012 | 01/08/2013 | 52,790.00 | 44,840.00 | | | 7,950.00 |
| | 42. MASTERCARD INC CL A | 09/05/2013 | 10/09/2013 | 27,796.00 | 26,510.00 | | | 1,286.00 |
| | 240 MCGRAW HILL COMPANIES | 03/28/2013 | 04/16/2013 | 12,455.00 | 12,331.00 | | | 124.00 |
| <p>2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶</p> | | | | | | | | |

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Sales and Other Dispositions of Capital Assets

Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

2013

Department of the Treasury
Internal Revenue Service

File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

WAVERING FAMILY CHARITABLE FOUNDATION

36-6940417

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. | | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|-----------------|--|---------------------------------------|--|--|--|---|--------------------------------|--|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 250. MCGRAW HILL COMPANIES | 03/01/2013 | 04/18/2013 | 12,817.00 | 11,818.00 | | | 999.00 |
| | 904. MICROSOFT CORP COM | 01/08/2013 | 01/24/2013 | 25,213.00 | 24,014.00 | | | 1,199.00 |
| | 774. MICROSOFT CORP COM | 09/18/2012 | 01/24/2013 | 21,587.00 | 24,154.00 | W | 2,567.00 | |
| | 18. NATIONAL RETAIL PPTYS | 04/26/2013 | 08/23/2013 | 563.00 | 704.00 | | | -141.00 |
| | 1104. NEWFIELD EXPL CO | 07/19/2013 | 09/11/2013 | 27,417.00 | 31,021.00 | | | -3,604.00 |
| | 793. NEWFIELD EXPL CO | 07/19/2013 | 09/13/2013 | 20,097.00 | 21,096.00 | | | -999.00 |
| | 181. NORDSTROM INC | 05/20/2013 | 07/12/2013 | 11,260.00 | 11,044.00 | | | 216.00 |
| | 433. NORDSTROM INC | 05/20/2013 | 07/17/2013 | 26,684.00 | 25,297.00 | | | 1,387.00 |
| | 312. NORDSTROM INC | 04/02/2013 | 07/19/2013 | 19,084.00 | 17,108.00 | | | 1,976.00 |
| | 23033.28 MFB NORTHERN FDS FD | 09/12/2013 | 09/12/2013 | 231,024.00 | 250,343.00 | | | -19,319.00 |
| | 321.11 PIMCO COMMODITY REA STRATEGY FUND | 12/12/2012 | 03/26/2013 | 2,126.00 | 2,177.00 | | | -51.00 |
| | 7. PRAXAIR INC | 04/26/2013 | 05/07/2013 | 797.00 | 763.00 | | | 34.00 |
| | 344. ROSS STORES INC | 01/28/2013 | 07/17/2013 | 22,681.00 | 23,459.00 | | | -778.00 |
| | 405. ROSS STORES INC | 01/28/2013 | 07/19/2013 | 26,941.00 | 24,737.00 | | | 2,204.00 |
| 2 Totals | Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) | | | | | | | |

Note If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See Column (g) in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions

Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

2013

Department of the Treasury
Internal Revenue Service

File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

WAVERING FAMILY CHARITABLE FOUNDATION

36-6940417

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr.) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions | | (h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g) |
|--|---|---------------------------------------|---|--|---|---|--------------------------------|---|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 357.99967 ROSS STORES INC | 11/15/2013 | 12/06/2013 | 25,872.00 | 28,972.00 | | | -3,100.00 |
| | 3.00033 ROSS STORES INC | 11/15/2013 | 12/06/2013 | 217.00 | 244.00 | W | 27.00 | |
| | 623. ST JUDE MEDICAL INC | 08/27/2013 | 09/11/2013 | 32,827.00 | 25,650.00 | | | 7,177.00 |
| | 237. SALIX PHARMACEUTICALS | 08/27/2013 | 09/11/2013 | 15,878.00 | 12,810.00 | | | 3,068.00 |
| | 157. SALIX PHARMACEUTICALS | 05/06/2013 | 09/12/2013 | 10,431.00 | 8,297.00 | | | 2,134.00 |
| | 93. SALIX PHARMACEUTICALS | 04/26/2013 | 09/13/2013 | 6,196.00 | 4,788.00 | | | 1,408.00 |
| | 13. SOUTHERN CO | 09/18/2013 | 11/20/2013 | 541.00 | 585.00 | | | -44.00 |
| | 251. SOUTHN COPPER CORP DE | 03/28/2013 | 08/01/2013 | 6,595.00 | 9,434.00 | | | -2,839.00 |
| | 764. SOUTHN COPPER CORP DE | 04/02/2013 | 08/05/2013 | 20,072.00 | 28,009.00 | | | -7,937.00 |
| | 189. SUPERIOR ENERGY SERVI | 04/30/2013 | 05/15/2013 | 5,316.00 | 5,107.00 | | | 209.00 |
| | 942. SUPERIOR ENERGY SERVI | 04/30/2013 | 05/17/2013 | 26,608.00 | 24,968.00 | | | 1,640.00 |
| | 818. SUPERIOR ENERGY SERVI | 04/26/2013 | 05/20/2013 | 23,489.00 | 21,206.00 | | | 2,283.00 |
| | 40.98384 ULTRA PETE CORP | 08/27/2013 | 10/31/2013 | 753.00 | 808.00 | | | -55.00 |
| | 274.01616 ULTRA PETE CORP | 01/25/2013 | 10/31/2013 | 5,038.00 | 5,097.00 | W | 59.00 | |
| <p>2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked)</p> | | | | | | | | |

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions

Sales and Other Dispositions of Capital Assets

Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

2013

Department of the Treasury
Internal Revenue Service

File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

| | |
|--|--|
| Name(s) shown on return WAVERING FAMILY CHARITABLE FOUNDATION | Social security number or taxpayer identification number 36-6940417 |
|--|--|

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- (C) Short-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo., day, yr) | (c) Date sold or disposed (Mo., day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. | | (h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g) |
|------------------|--|--|---|--|--|---|--------------------------------|---|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 932. ULTRA PETE CORP | 01/28/2013 | 11/01/2013 | 17,574.00 | 17,152.00 | | | 422.00 |
| | 596. ULTRA PETE CORP | 03/01/2013 | 11/04/2013 | 11,200.00 | 10,082.00 | | | 1,118.00 |
| | 10. UNITED TECHNOLOGIES CO | 04/26/2013 | 08/23/2013 | 1,031.00 | 821.00 | | | 210.00 |
| | 426. UTD THERAPEUTICS CORP | 11/27/2012 | 01/10/2013 | 22,371.00 | 22,988.00 | | | -617.00 |
| | 1477. WESTERN UNION CO | 09/13/2013 | 10/11/2013 | 27,147.00 | 27,654.00 | | | -507.00 |
| | 4826. #REORG/WINDSTREAM NA WINDSTREAMHLDGS INC COM | 01/10/2013 | 03/28/2013 | 38,145.00 | 47,278.00 | | | -9,133.00 |
| | 316. ACCENTURE PLC SHS CL | 02/14/2013 | 04/26/2013 | 24,878.00 | 23,193.00 | | | 1,685.00 |
| | 354.99917 ACCENTURE PLC SH | 02/20/2013 | 07/02/2013 | 25,523.00 | 26,648.00 | W | 1,125.00 | |
| | 12.00083 ACCENTURE PLC SHS | 02/20/2013 | 07/02/2013 | 863.00 | 907.00 | | | -44.00 |
| | 374. ACCENTURE PLC SHS CL | 03/02/2013 | 08/14/2013 | 26,735.00 | 29,330.00 | | | -2,595.00 |
| | 355. ACCENTURE PLC SHS CL | 02/14/2013 | 08/16/2013 | 25,334.00 | 24,585.00 | | | 749.00 |
| | 87. COPA HOLDINGS SA COM S | 08/27/2013 | 11/04/2013 | 13,204.00 | 9,061.00 | | | 4,143.00 |
| | 69. COPA HOLDINGS SA COM S | 02/14/2013 | 11/21/2013 | 10,375.00 | 7,148.00 | | | 3,227.00 |
| | 86. COPA HOLDINGS SA COM S | 02/14/2013 | 11/22/2013 | 12,757.00 | 8,887.00 | | | 3,870.00 |
| 2 Totals. | Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) | | | 1,488,025. | 1,496,395. | | 22,211. | 13,841 |

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

| | |
|---|--|
| Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side) | Social security number or taxpayer identification number |
| WAVERING FAMILY CHARITABLE FOUNDATION | 36-6940417 |

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example 100 sh XYZ Co.) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions. | | (h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g) |
|--|--|---------------------------------------|--|--|--|--|--------------------------------|---|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 51. AFLAC INC | 02/01/2011 | 12/02/2013 | 3,381.00 | 2,989.00 | | | 392.00 |
| | 9. AFLAC INC | 02/01/2011 | 12/03/2013 | 594.00 | 527.00 | | | 67.00 |
| | 889. ABBOTT LABORATORIES | 01/12/2012 | 01/16/2013 | 29,077.00 | 23,645.00 | | | 5,432.00 |
| | 261. ANALOG DEVICES INC | 03/19/2012 | 08/20/2013 | 12,504.00 | 10,437.00 | | | 2,067.00 |
| | 149. ANALOG DEVICES INC | 03/19/2012 | 08/21/2013 | 6,974.00 | 5,958.00 | | | 1,016.00 |
| | 61. ANALOG DEVICES INC | 03/19/2012 | 08/22/2013 | 2,880.00 | 2,439.00 | | | 441.00 |
| | 51. ANALOG DEVICES INC | 03/19/2012 | 08/23/2013 | 2,404.00 | 2,039.00 | | | 365.00 |
| | 98. AUTOMATIC DATA PROCESS | 01/12/2012 | 05/15/2013 | 6,990.00 | 5,377.00 | | | 1,613.00 |
| | 132. AUTOMATIC DATA PROCES | 10/31/2012 | 12/06/2013 | 10,506.00 | 7,270.00 | | | 3,236.00 |
| | 200. AUTOMATIC DATA PROCES | 01/12/2012 | 12/09/2013 | 15,750.00 | 10,974.00 | | | 4,776.00 |
| | 56. BCE INC COM NEW | 01/12/2012 | 12/23/2013 | 2,409.00 | 2,309.00 | | | 100.00 |
| | 315. BANK N S HALIFAX COM | 01/12/2012 | 07/02/2013 | 16,699.00 | 15,990.00 | | | 709.00 |
| | 63. BANK N S HALIFAX COM S | 01/12/2012 | 07/03/2013 | 3,323.00 | 3,198.00 | | | 125.00 |
| | 149. BANK N S HALIFAX COM | 01/12/2012 | 07/05/2013 | 7,801.00 | 7,564.00 | | | 237.00 |
| <p>2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p> | | | | | | | | |

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

WAVERING FAMILY CHARITABLE FOUNDATION

36-6940417

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Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example 100 sh XYZ Co.) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr.) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions | | (h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g) |
|--|--|---------------------------------------|---|--|---|---|--------------------------------|---|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 86. BANK N S HALIFAX COM S | 01/12/2012 | 07/08/2013 | 4,531.00 | 4,366.00 | | | 165.00 |
| | 68. BLACKROCK INC CL A | 01/12/2012 | 08/01/2013 | 19,400.00 | 12,487.00 | | | 6,913.00 |
| | 45. BLACKROCK INC CL A | 01/12/2012 | 08/02/2013 | 12,837.00 | 8,264.00 | | | 4,573.00 |
| | 421. BRISTOL MYERS SQUIBB | 01/12/2012 | 06/07/2013 | 19,823.00 | 14,330.00 | | | 5,493.00 |
| | 464. BRISTOL MYERS SQUIBB | 01/12/2012 | 11/15/2013 | 24,314.00 | 15,794.00 | | | 8,520.00 |
| | 47. CBOE HOLDINGS INC COM | 09/21/2012 | 12/02/2013 | 2,499.00 | 1,425.00 | | | 1,074.00 |
| | 98. CDN IMPERIAL BK COMM T COM STKCOM | 01/12/2012 | 03/14/2013 | 7,854.00 | 7,191.00 | | | 663.00 |
| | 152. CDN IMPERIAL BK COMM COM STKCOM | 01/12/2012 | 03/15/2013 | 12,250.00 | 11,153.00 | | | 1,097.00 |
| | 316. CATERPILLAR INC | 05/08/2012 | 09/05/2013 | 26,210.00 | 33,052.00 | | | -6,842.00 |
| | 66. CATERPILLAR INC | 05/08/2012 | 12/03/2013 | 5,536.00 | 6,274.00 | | | -738.00 |
| | 63. CATERPILLAR INC | 05/08/2012 | 12/04/2013 | 5,262.00 | 5,988.00 | | | -726.00 |
| | 1125. CITRIX SYS INC | 04/14/2011 | 02/28/2013 | 80,119.00 | 83,675.00 | | | -3,556.00 |
| | 611. CLIFFS NAT RES INC CO | 10/04/2011 | 01/08/2013 | 22,937.00 | 39,976.00 | | | -17,039.00 |
| | 3. CLIFFS NAT RES INC COM | 10/04/2011 | 04/16/2013 | 52.00 | 150.00 | W | 98.00 | |
| <p>2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p> | | | | | | | | |

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| | |
|---|--|
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| WAVERING FAMILY CHARITABLE FOUNDATION | 36-6940417 |

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- (F) Long-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example 100 sh XYZ Co.) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions | | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|--|---------------------------------------|--|--|---|---|--------------------------------|--|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 3. CLIFFS NAT RES INC COM | 10/04/2011 | 04/18/2013 | 52.00 | 156.00 | | | -104.00 |
| | 442. COLGATE PALMOLIVE CO | 09/18/2012 | 11/13/2013 | 28,614.00 | 23,498.00 | | | 5,116.00 |
| | 413. CONOCOPHILLIPS | 05/08/2012 | 05/15/2013 | 25,657.00 | 24,576.00 | | | 1,081.00 |
| | 324. CRESCENT POINT ENERGY | 01/12/2012 | 01/16/2013 | 12,504.00 | 14,399.00 | | | -1,895.00 |
| | 199. CRESCENT POINT ENERGY | 01/12/2012 | 01/17/2013 | 7,713.00 | 8,844.00 | | | -1,131.00 |
| | 434. CUMMINS ENGINE INC | 11/15/2011 | 03/28/2013 | 49,755.00 | 40,774.00 | | | 8,981.00 |
| | 8.9997 DIGITAL RLTY TR INC | 01/12/2012 | 05/15/2013 | 576.00 | 597.00 | W | 21.00 | |
| | 265.0003 DIGITAL RLTY TR I | 01/12/2012 | 05/15/2013 | 16,967.00 | 17,577.00 | | | -610.00 |
| | 60. DIGITAL RLTY TR INC CO | 01/12/2012 | 05/16/2013 | 3,826.00 | 4,034.00 | | | -208.00 |
| | 217. DIGITAL RLTY TR INC C | 01/12/2012 | 08/01/2013 | 11,870.00 | 14,393.00 | | | -2,523.00 |
| | 143. DIGITAL RLTY TR INC C | 01/12/2012 | 08/02/2013 | 7,835.00 | 9,485.00 | | | -1,650.00 |
| | 49. DISCOVER FINL SVCS COM | 06/01/2012 | 12/02/2013 | 2,591.00 | 1,520.00 | | | 1,071.00 |
| | 2700. EMC CORP MASS | 06/07/2011 | 02/28/2013 | 62,288.00 | 73,271.00 | | | -10,983.00 |
| | 63. EATON VANCE CORP | 02/01/2011 | 12/02/2013 | 2,625.00 | 1,926.00 | | | 699.00 |
| <p>2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p> | | | | | | | | |

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WAVERING FAMILY CHARITABLE FOUNDATION

36-6940417

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| 1 | (a) Description of property (Example: 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions | | (h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g) |
|---|--|---------------------------------------|--|--|---|--|--------------------------------|---|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 41. EMERSON ELECTRIC CO | 08/14/2008 | 12/23/2013 | 2,841.00 | 2,244.00 | | | 597.00 |
| | 541. ENDO PHARMACEUTICALS | 02/01/2011 | 01/08/2013 | 14,351.00 | 18,528.00 | W | 4,177.00 | |
| | 217. ENDO PHARMACEUTICALS | 02/22/2011 | 02/12/2013 | 6,220.00 | 7,868.00 | W | 1,648.00 | |
| | 532.99975 ENDO PHARMACEUTI INC | 02/22/2011 | 02/14/2013 | 15,409.00 | 19,301.00 | | | -3,892.00 |
| | 115.00025 ENDO PHARMACEUTI INC | 02/22/2011 | 02/14/2013 | 3,325.00 | 3,955.00 | W | 630.00 | |
| | 448. ENDO PHARMACEUTICALS | 02/22/2011 | 11/20/2013 | 28,777.00 | 15,358.00 | | | 13,419.00 |
| | 219. ENDO PHARMACEUTICALS | 02/01/2011 | 12/06/2013 | 14,440.00 | 7,500.00 | | | 6,940.00 |
| | 270. ENDO PHARMACEUTICALS | 02/01/2011 | 12/11/2013 | 17,162.00 | 9,208.00 | | | 7,954.00 |
| | 28. EXXON MOBIL CORP | 02/01/2011 | 12/02/2013 | 2,616.00 | 2,304.00 | | | 312.00 |
| | 250000. FNMA PREASSIGN 004 12-11-2013 | 11/06/2009 | 12/11/2013 | 250,000.00 | 257,176.00 | | | -7,176.00 |
| | 332. FEDERATED INVS INC PA | 02/01/2011 | 02/21/2013 | 7,916.00 | 9,020.00 | | | -1,104.00 |
| | 2059. FEDERATED INVS INC P | 01/10/2012 | 03/01/2013 | 47,105.00 | 47,678.00 | | | -573.00 |
| | 694. FEDERATED INVS INC PA | 01/10/2012 | 03/05/2013 | 16,338.00 | 11,562.00 | | | 4,776.00 |
| | 3200. GENERAL ELECTRIC CO | 10/06/2008 | 02/28/2013 | 74,430.00 | 76,397.00 | | | -1,967.00 |
| <p>2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p> | | | | | | | | |

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WAVERING FAMILY CHARITABLE FOUNDATION

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|--|---|---------------------------------------|--|--|---|--|--------------------------------|--|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 129. GENUINE PARTS CO | 01/12/2012 | 09/13/2013 | 10,292.00 | 8,115.00 | | | 2,177.00 |
| | 32. GENUINE PARTS CO | 01/12/2012 | 09/16/2013 | 2,563.00 | 2,013.00 | | | 550.00 |
| | 579. GILEAD SCIENCES INC | 04/20/2011 | 02/21/2013 | 24,048.00 | 11,777.00 | | | 12,271.00 |
| | 481. GILEAD SCIENCES INC | 04/20/2011 | 04/26/2013 | 24,878.00 | 9,353.00 | | | 15,525.00 |
| | 694. GILEAD SCIENCES INC | 02/14/2011 | 04/30/2013 | 34,836.00 | 13,361.00 | | | 21,475.00 |
| | 380. GOLDMAN SACHS GROUP I | 02/11/2011 | 02/28/2013 | 57,231.00 | 60,419.00 | | | -3,188.00 |
| | 615. HALLIBURTON CO | 11/15/2011 | 02/12/2013 | 24,993.00 | 23,918.00 | | | 1,075.00 |
| | 460. HALLIBURTON CO | 11/08/2011 | 02/21/2013 | 18,784.00 | 17,563.00 | | | 1,221.00 |
| | 596. H J HEINZ CO | 01/12/2012 | 02/19/2013 | 42,993.00 | 31,568.00 | | | 11,425.00 |
| | 360. H J HEINZ CO | 01/12/2012 | 03/14/2013 | 26,088.00 | 19,068.00 | | | 7,020.00 |
| | 39. H J HEINZ CO | 01/12/2012 | 03/15/2013 | 2,826.00 | 2,066.00 | | | 760.00 |
| | 143. HOLLYFRONTIER CORP CO | 02/22/2012 | 07/12/2013 | 6,153.00 | 5,000.00 | | | 1,153.00 |
| | 2500. INTEL CORP | 02/05/2002 | 02/28/2013 | 52,324.00 | 92,695.00 | | | -40,371.00 |
| | 1200. JOHNSON CONTROLS INC | 10/14/2010 | 02/28/2013 | 37,835.00 | 39,060.00 | | | -1,225.00 |
| <p>2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p> | | | | | | | | |

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|---|---|---|--|--|--|--|--------------------------------|---|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 554. KINDER MORGAN INC DEL | 01/12/2012 | 09/13/2013 | 19,502.00 | 18,482.00 | | | 1,020.00 |
| | 539. KINDER MORGAN INC DEL | 01/12/2012 | 09/16/2013 | 18,930.00 | 17,982.00 | | | 948.00 |
| | 88. KINDER MORGAN INC DEL | 01/12/2012 | 09/17/2013 | 3,048.00 | 2,936.00 | | | 112.00 |
| | 407. KINDER MORGAN INC DEL | 10/31/2012 | 12/06/2013 | 13,647.00 | 13,601.00 | | | 46.00 |
| | 228. KINDER MORGAN INC DEL | 01/12/2012 | 12/09/2013 | 7,629.00 | 7,606.00 | | | 23.00 |
| | 62. MARATHON PETE CORP COM | 07/02/2012 | 12/02/2013 | 5,423.00 | 2,834.00 | | | 2,589.00 |
| | 79. MATTEL INC | 10/31/2012 | 12/23/2013 | 3,623.00 | 2,295.00 | | | 1,328.00 |
| | 507. MICROSOFT CORP COM | 04/20/2011 | 01/24/2013 | 14,141.00 | 13,176.00 | | | 965.00 |
| | 200. MICROSOFT CORP COM | 02/04/2000 | 02/28/2013 | 5,562.00 | 10,675.00 | W | 5,113.00 | |
| | 471. NATIONAL RETAIL PPTYS | 01/12/2012 | 08/20/2013 | 14,728.00 | 12,230.00 | | | 2,498.00 |
| | 239. NATIONAL RETAIL PPTYS | 01/12/2012 | 08/21/2013 | 7,455.00 | 6,206.00 | | | 1,249.00 |
| | 71. NATIONAL RETAIL PPTYS | 01/12/2012 | 08/22/2013 | 2,192.00 | 1,844.00 | | | 348.00 |
| | 149. NATIONAL RETAIL PPTYS | 01/12/2012 | 08/23/2013 | 4,663.00 | 3,869.00 | | | 794.00 |
| | 552. NEWFIELD EXPL CO | 07/02/2012 | 09/11/2013 | 13,708.00 | 16,014.00 | | | -2,306.00 |
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| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| | 1435.41 MFB NORTHN FDS SHO EXMP FD | 08/02/2012 | 08/06/2013 | 15,000.00 | 15,345.00 | | | -345.00 |
| | 480.31 MFB NORTHN FDS SHOR EXMP FD | 08/02/2012 | 09/12/2013 | 5,000.00 | 5,135.00 | | | -135.00 |
| | 36939.31 MFB NORTHN HI YIE FD | 08/24/2012 | 11/13/2013 | 280,000.00 | 274,782.00 | | | 5,218.00 |
| | 800. NUCOR CORP | 03/24/2006 | 02/28/2013 | 36,097.00 | 40,752.00 | | | -4,655.00 |
| | 39. PHILIP MORRIS INTERNAT | 10/31/2012 | 12/23/2013 | 3,325.00 | 3,090.00 | | | 235.00 |
| | 31381.76 PIMCO COMMODITY R STRATEGY FUND | 12/07/2011 | 03/26/2013 | 207,747.00 | 249,529.00 | | | -41,782.00 |
| | 273. PRAXAIR INC | 01/12/2012 | 05/06/2013 | 31,089.00 | 29,768.00 | | | 1,321.00 |
| | 106. PRAXAIR INC | 01/12/2012 | 05/07/2013 | 12,066.00 | 11,558.00 | | | 508.00 |
| | 238. RPM INC OHIO | 01/12/2012 | 02/19/2013 | 7,419.00 | 5,636.00 | | | 1,783.00 |
| | 102. RPM INC OHIO | 01/12/2012 | 02/20/2013 | 3,147.00 | 2,415.00 | | | 732.00 |
| | 164. RPM INC OHIO | 01/12/2012 | 02/21/2013 | 4,811.00 | 3,884.00 | | | 927.00 |
| | 123. RPM INC OHIO | 01/12/2012 | 02/22/2013 | 3,662.00 | 2,913.00 | | | 749.00 |
| | 249. RPM INC OHIO | 01/12/2012 | 02/25/2013 | 7,481.00 | 5,896.00 | | | 1,585.00 |
| | 214. RPM INC OHIO | 01/12/2012 | 02/26/2013 | 6,405.00 | 5,068.00 | | | 1,337.00 |
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36-6940417

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Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example 100 sh XYZ Co.) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. | | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|--|--|---------------------------------------|---|--|---|---|-----------------------------|---|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| 189. | ROSS STORES INC | 08/23/2011 | 07/12/2013 | 12,653.00 | 6,768.00 | | | 5,885.00 |
| 60. | ROSS STORES INC | 08/23/2011 | 07/17/2013 | 3,956.00 | 2,149.00 | | | 1,807.00 |
| 1400. | SCHLUMBERGER LTD ISI #AN8068571086 | 02/04/2000 | 02/28/2013 | 109,156.00 | 40,620.00 | | | 68,536.00 |
| 1600. | CHARLES SCHWAB CORP | 05/15/2009 | 02/28/2013 | 25,967.00 | 27,236.00 | | | -1,269.00 |
| 250000. | SHELL INTL FIN B V 03-25-2013 | 04/14/2011 | 03/25/2013 | 250,000.00 | 254,758.00 | | | -4,758.00 |
| 355. | SOUTHERN CO | 10/31/2012 | 11/15/2013 | 14,946.00 | 16,008.00 | | | -1,062.00 |
| 337. | SOUTHERN CO | 01/12/2012 | 11/18/2013 | 14,247.00 | 15,178.00 | | | -931.00 |
| 249. | SOUTHERN CO | 01/12/2012 | 11/19/2013 | 10,489.00 | 11,215.00 | | | -726.00 |
| 180. | SOUTHERN CO | 01/12/2012 | 11/20/2013 | 7,489.00 | 8,107.00 | | | -618.00 |
| 1025. | ULTRA PETE CORP | 10/11/2012 | 10/31/2013 | 18,844.00 | 23,761.00 | W | 4,917.00 | |
| 193. | UNITED TECHNOLOGIES C | 01/12/2012 | 01/16/2013 | 16,508.00 | 13,005.00 | | | 3,503.00 |
| 135. | UNITED TECHNOLOGIES C | 08/25/2008 | 01/17/2013 | 11,582.00 | 8,841.00 | | | 2,741.00 |
| 182. | UNITED TECHNOLOGIES C | 06/26/2012 | 08/20/2013 | 18,607.00 | 12,834.00 | | | 5,773.00 |
| 74 | UNITED TECHNOLOGIES CO | 08/25/2008 | 08/21/2013 | 7,553.00 | 4,827.00 | | | 2,726.00 |
| <p>2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p> | | | | | | | | |

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

WAVERING FAMILY CHARITABLE FOUNDATION

36-6940417

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

| 1 | (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo., day, yr) | (c) Date sold or disposed (Mo., day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis. See the Note below and see Column (e) in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. | | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|--|---|--|---|--|---|--|--------------------------------|--|
| | | | | | | (f) Code(s) from instructions | (g) Amount of adjustment | |
| 48. | UNITED TECHNOLOGIES CO | 06/25/2009 | 08/22/2013 | 4,926.00 | 3,091.00 | | | 1,835.00 |
| 52. | UNITED TECHNOLOGIES CO | 06/25/2009 | 08/23/2013 | 5,361.00 | 2,701.00 | | | 2,660.00 |
| 227. | VERMILION ENERGY INC | 01/12/2012 | 01/16/2013 | 11,726.00 | 10,115.00 | | | 1,611.00 |
| 226. | VERMILION ENERGY INC | 01/12/2012 | 01/17/2013 | 11,610.00 | 10,070.00 | | | 1,540.00 |
| 156. | WADDELL & REED FINL I | 02/01/2011 | 01/25/2013 | 5,927.00 | 5,847.00 | | | 80.00 |
| 155. | WADDELL & REED FINL I | 02/01/2011 | 01/28/2013 | 5,903.00 | 5,809.00 | | | 94.00 |
| 313. | WADDELL & REED FINL I | 02/01/2011 | 01/29/2013 | 12,077.00 | 11,731.00 | | | 346.00 |
| 106 | WADDELL & REED FINL I | 02/01/2011 | 07/12/2013 | 5,078.00 | 3,973.00 | | | 1,105.00 |
| 106. | WESTERN DIGITAL CORP | 02/01/2011 | 07/12/2013 | 7,167.00 | 3,660.00 | | | 3,507.00 |
| 43.00098 | HERBALIFE LTD COM | 03/22/2011 | 02/12/2013 | 1,546.00 | 1,734.00 | W | 188.00 | |
| 653.99902 | HERBALIFE LTD CO | 03/23/2011 | 02/12/2013 | 23,516.00 | 25,096.00 | | | -1,580.00 |
| 426. | HERBALIFE LTD COM STK | 03/22/2011 | 03/28/2013 | 15,970.00 | 14,924.00 | | | 1,046.00 |
| 238. | COPA HOLDINGS SA COM | 02/01/2011 | 01/10/2013 | 23,606.00 | 13,599.00 | | | 10,007.00 |
| 93. | COPA HOLDINGS SA COM S | 02/01/2011 | 05/15/2013 | 12,824.00 | 5,314.00 | | | 7,510.00 |
| <p>2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶</p> | | | | | | | | |

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 1 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|------------------------|-------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
|------------------------|-------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|

Equity Securities

Common stock

Canada - USD

BCE INC COM NEW CUSIP 05534B760

| | | | | | | | | |
|---------------------|--|-------|--------|-----------|-----------|----------|------|----------|
| 974 000 | | 43 29 | 575 97 | 42,164 46 | 40,212 86 | 1,951 60 | 0 00 | 1,951 60 |
| Total USD | | | 575 97 | 42,164 46 | 40,212 86 | 1,951 60 | 0 00 | 1,951 60 |
| Total Canada | | | 575 97 | 42,164 46 | 40,212 86 | 1,951 60 | 0 00 | 1,951 60 |

Israel - USD

ADR TEVA PHARMACEUTICAL INDS CUSIP 881624209

| | | | | | | | | |
|---------------------|--|-------|------|-----------|-----------|-----------|------|-----------|
| 2,200 000 | | 40 08 | 0 00 | 88,176 00 | 54,378 83 | 33,797 17 | 0 00 | 33,797 17 |
| Total USD | | | 0 00 | 88,176 00 | 54,378 83 | 33,797 17 | 0 00 | 33,797 17 |
| Total Israel | | | 0 00 | 88,176 00 | 54,378 83 | 33,797 17 | 0 00 | 33,797 17 |

Peru - USD

SOUTHN COPPER CORP DEL COM CUSIP 84265V105
SCCO

| | | | | | | | | |
|-------------------|--|-------|------|-----------|-----------|-----------|------|-----------|
| 395 000 | | 28 71 | 0 00 | 11,340 45 | 14,396 37 | -3,055 92 | 0 00 | -3,055 92 |
| Total USD | | | 0 00 | 11,340 45 | 14,396 37 | -3,055 92 | 0 00 | -3,055 92 |
| Total Peru | | | 0 00 | 11,340 45 | 14,396 37 | -3,055 92 | 0 00 | -3,055 92 |

Switzerland - USD

ADR NOVARTIS AG CUSIP 66987V109

| | | | | | | | | |
|--------------------------|--|-------|------|-----------|-----------|-----------|------|-----------|
| 739 000 | | 80 38 | 0 00 | 59,400 82 | 41,963 12 | 17,437 70 | 0 00 | 17,437 70 |
| Total USD | | | 0 00 | 59,400 82 | 41,963 12 | 17,437 70 | 0 00 | 17,437 70 |
| Total Switzerland | | | 0 00 | 59,400 82 | 41,963 12 | 17,437 70 | 0 00 | 17,437 70 |

United Kingdom - USD

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Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 2 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|--|-------------------|--------------------------------------|---------------------------|--------------|-----------|-----------|-------------------------------------|-----------|
| Equity Securities | | | | | | | | |
| Common stock | | | | | | | | |
| United Kingdom - USD | | | | | | | | |
| ADR GLAXOSMITHKLINE PLC SPONSORED ADR CUSIP 37733W105 | | | | | | | | |
| | 812 000 | 53 39 | 500 26 | 43,352 68 | 36,185 87 | 7,166 81 | 0 00 | 7,166 81 |
| Total USD | | | 500 26 | 43,352 68 | 36,185 87 | 7,166 81 | 0 00 | 7,166 81 |
| Total United Kingdom | | | | | | | | |
| | | | 500 26 | 43,352 68 | 36,185 87 | 7,166 81 | 0 00 | 7,166 81 |
| United States - USD | | | | | | | | |
| #REORG/ENDO HEALTH STK MERGER ENDO INTERNATIONAL 0002U1SAP1 EFF 03-03-2014 CUSIP 29264F205 | | | | | | | | |
| ENDP | 355 000 | 67 46 | 0 00 | 23,948 30 | 12,405 47 | 11,542 83 | 0 00 | 11,542 83 |
| ABBOTT LAB COM CUSIP 002824100 | | | | | | | | |
| | 600 000 | 38 33 | 0 00 | 22,988 00 | 9,307 10 | 13,680 90 | 0 00 | 13,680 90 |
| ABBVIE INC COM USD0 01 CUSIP 00287Y109 | | | | | | | | |
| | 1,962 000 | 52 81 | 0 00 | 103,613 22 | 60,017 20 | 43,596 02 | 0 00 | 43,596 02 |
| AES CORP COM CUSIP 00130H105 | | | | | | | | |
| | 1,936 000 | 14 51 | 0 00 | 28,091 36 | 29,502 25 | -1,410 89 | 0 00 | -1,410 89 |
| AFLAC INC COM CUSIP 001055102 | | | | | | | | |
| AFL | 1,336 000 | 66 80 | 0 00 | 89,244 80 | 64,129 41 | 25,115 39 | 0 00 | 25,115 39 |
| ALTRIA GROUP INC COM CUSIP 022095103 | | | | | | | | |
| MO | 3,299 000 | 38 39 | 1,563 52 | 126,648 61 | 85,675 17 | 40,973 44 | 0 00 | 40,973 44 |
| AMERICAN EXPRESS CO CUSIP 025816109 | | | | | | | | |
| | 750 000 | 90 73 | 0 00 | 68,047 50 | 39,870 00 | 28,177 50 | 0 00 | 28,177 50 |

Northern Trust

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Custom Reporting

31 DEC 13

◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss/ Translation | Total |
|--|-------------------|--------------------------------------|---------------------------|--------------|-----------|-----------|--------------------------------------|-----------|
| Equity Securities | | | | | | | | |
| Common stock | | | | | | | | |
| United States - USD | | | | | | | | |
| ANALOG DEVICES INC COM CUSIP 032654105 | | | | | | | | |
| | | 50 93 | 0 00 | 36,689 60 | 27,326 29 | 9,343 31 | 0 00 | 9,343 31 |
| APACHE CORP COM CUSIP 037411105 | | | | | | | | |
| | | 85 94 | 0 00 | 60,329 88 | 59,759 68 | 570 20 | 0 00 | 570 20 |
| APPLE INC COM STK CUSIP 037833100 | | | | | | | | |
| | | 561 11 | 0 00 | 135,788 62 | 95,565 46 | 40,223 16 | 0 00 | 40,223 16 |
| AT&T INC COM CUSIP 00206R102 | | | | | | | | |
| | | 35 16 | 0 00 | 33,015 24 | 19,943 11 | 13,072 13 | 0 00 | 13,072 13 |
| AUTOMATIC DATA PROCESSING INC COM CUSIP 053015103 | | | | | | | | |
| | | 80 81 | 294 72 | 49,617 34 | 37,508 40 | 12,108 94 | 0 00 | 12,108 94 |
| BAXTER INTL INC COM CUSIP 071813108 | | | | | | | | |
| | | 69 55 | 449 82 | 63,846 90 | 58,282 47 | 5,564 43 | 0 00 | 5,564 43 |
| BLACKROCK INC COM STK CUSIP 09247X101 | | | | | | | | |
| | | 316 47 | 0 00 | 34,178 76 | 18,988 21 | 15,190 55 | 0 00 | 15,190 55 |
| BRISTOL MYERS SQUIBB CO COM CUSIP 110122108 | | | | | | | | |
| | | 53 15 | 281 88 | 41,616 45 | 26,799 67 | 14,816 78 | 0 00 | 14,816 78 |
| C H ROBINSON WORLDWIDE INC COM NEW COM NEW CUSIP 12541W209 | | | | | | | | |
| | | 58 34 | 0 00 | 51,047 50 | 41,235 69 | 9,811 81 | 0 00 | 9,811 81 |

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 4 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID Investment Mgr ID Shares/PAR value | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss | | Total |
|---|--------------------------------------|---------------------------|--------------|------------|-----------|----------------------|--|-----------|
| | | | | | | Translation | | |
| Equity Securities | | | | | | | | |
| Common stock | | | | | | | | |
| United States - USD | | | | | | | | |
| CAMPBELL SOUP CO COM CUSIP 134429109 | 43 28 | 0 00 | 52,844 88 | 49,108 08 | 3,736 80 | 0 00 | | 3,736 80 |
| CATERPILLAR INC COM CUSIP 149123101 CAT | 90 81 | 0 00 | 56,847 06 | 48,063 77 | 8,783 29 | 0 00 | | 8,783 29 |
| CBOE HOLDINGS INC COM CUSIP 12503M108 | 51 96 | 809 00 | 84,071 28 | 48,645 33 | 35,425 95 | 0 00 | | 35,425 95 |
| CF INDS HLDGS INC COM CUSIP 125269100 CF | 233 04 | 0 00 | 59,192 16 | 35,727 41 | 23,464 75 | 0 00 | | 23,464 75 |
| CHEVRON CORP COM CUSIP 166764100 CVX | 124 91 | 0 00 | 128,032 75 | 105,158 79 | 22,873 96 | 0 00 | | 22,873 96 |
| CISCO SYSTEMS INC CUSIP 17276R102 CSCO | 22 45 | 0 00 | 64,543 75 | 58,107 17 | 6,436 58 | 0 00 | | 6,436 58 |
| COACH INC COM CUSIP 189754104 | 56 13 | 349 65 | 56,150 68 | 56,189 70 | 1,960 98 | 0 00 | | 1,960 98 |
| COCA COLA CO COM CUSIP 191216100 | 41 31 | 0 00 | 51,513 57 | 43,130 57 | 8,383 00 | 0 00 | | 8,383 00 |
| COLGATE-PALMOLIVE CO COM CUSIP 194162103 | 65 21 | 0 00 | 44,799 27 | 39,909 30 | 4,889 97 | 0 00 | | 4,889 97 |

Northern Trust

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Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 5 of 19

◆ Asset Detail - Base Currency

| <u>Description / Asset ID</u> | <u>Exchange rate/</u> Investment Mgr ID local market price | <u>Accrued</u> income/expense | <u>Market Value</u> | <u>Cost</u> | <u>Market</u> | <u>Unrealized gain/loss</u> Translation | <u>Total</u> |
|--|--|----------------------------------|---------------------|-------------|---------------|--|--------------|
| Equity Securities | | | | | | | |
| Common stock | | | | | | | |
| United States - USD | | | | | | | |
| CONOCOPHILLIPS COM CUSIP 20825C104 | 70 65 | 0.00 | 71,285 85 | 20,220 37 | 51,065 48 | 0.00 | 51,065 48 |
| CONTINENTAL RES INC COM CUSIP 212015101 | | | | | | | |
| 536 000 | 112 52 | 0.00 | 60,310 72 | 54,652 45 | 5,658 27 | 0.00 | 5,658 27 |
| CULLEN / FROST BANKERS INC COM CUSIP 229899109 | | | | | | | |
| 896 000 | 74 43 | 0.00 | 66,689 28 | 65,039 86 | 1,649 42 | 0.00 | 1,649 42 |
| DENTSPLY INTL INC NEW COM CUSIP 249030107 | | | | | | | |
| 1,200 000 | 48 48 | 75 00 | 58,176 00 | 29,719 92 | 28,456 08 | 0.00 | 28,456 08 |
| DISCOVER FINL SVCS COM STK CUSIP 254709108 | | | | | | | |
| 1,378 000 | 55 95 | 0.00 | 77,099 10 | 43,191 79 | 33,907 31 | 0.00 | 33,907 31 |
| EATON VANCE CORP COM NON VTG CUSIP 278265103 | | | | | | | |
| 1,476 000 | 42 79 | 0.00 | 63,158 04 | 43,247 80 | 19,910 24 | 0.00 | 19,910 24 |
| EMERSON ELECTRIC CO COM CUSIP 291011104 | | | | | | | |
| 1,757 000 | 70 18 | 0.00 | 123,306 26 | 69,642 77 | 53,663 49 | 0.00 | 53,663 49 |
| EXXON MOBIL CORP COM CUSIP 30231G102 | | | | | | | |
| XOM | 101 20 | 0.00 | 248,850 80 | 120,291 92 | 128,558 88 | 0.00 | 128,558 88 |
| GALLAGHER ARTHUR J & CO COM CUSIP 363576109 | | | | | | | |
| 877 000 | 46 93 | 0.00 | 41,157 61 | 31,481 91 | 9,675 70 | 0.00 | 9,675 70 |

Northern Trust

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Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 6 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|---------------------------------------|-------------------|--------------------------------------|---------------------------|--------------|------------|-----------|-------------------------------------|-----------|
| Equity Securities | | | | | | | | |
| Common stock | | | | | | | | |
| United States - USD | | | | | | | | |
| GENERAL DYNAMICS CORP COM | CUSIP 369550108 | 95 55 | 0 00 | 57,330 00 | 27,630 00 | 29,700 00 | 0 00 | 29,700 00 |
| GENERAL ELECTRIC CO | CUSIP 369604103 | 28 03 | 678 26 | 86,416 49 | 66,360 35 | 20,056 14 | 0 00 | 20,056 14 |
| GENUINE PARTS CO COM | CUSIP 372460105 | 83 19 | 232 20 | 35,938 08 | 27,215 47 | 8,722 61 | 0 00 | 8,722 61 |
| HERBALIFE LTD COM STK | CUSIP G4412G101 | 78 70 | 0 00 | 30,299 50 | 28,709 30 | 1,590 20 | 0 00 | 1,590 20 |
| HOLLYFRONTIER CORP COM | CUSIP 436106108 | 49 69 | 0 00 | 64,100 10 | 41,277 62 | 22,822 48 | 0 00 | 22,822 48 |
| HOME DEPOT INC COM | CUSIP 437076102 | 82 34 | 0 00 | 111,159 00 | 31,986 00 | 79,173 00 | 0 00 | 79,173 00 |
| HOSPIRA INC COM | CUSIP 441060100 | 41 28 | 0 00 | 71,001 60 | 46,872 59 | 24,129 01 | 0 00 | 24,129 01 |
| INTEL CORP COM | CUSIP 456140100 | 25 96 | 0 00 | 80,943 28 | 66,851 44 | 14,091 84 | 0 00 | 14,091 84 |
| INTERNATIONAL BUSINESS MACHS CORP COM | CUSIP 459200101 | 187 57 | 0 00 | 193,197 10 | 135,333 67 | 57,863 23 | 0 00 | 57,863 23 |

Northern Trust

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Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 7 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/ local market price | Accrued Income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|----------------------------|-------------------|--------------------------------------|---------------------------|--------------|------------|-----------|-------------------------------------|-----------|
| <i>Equity Securities</i> | | | | | | | | |
| Common stock | | | | | | | | |
| United States - USD | | | | | | | | |
| JOHNSON & JOHNSON COM USD1 | CUSIP 478160104 | | | | | | | |
| JNJ | | 91 59 | 0 00 | 88,201 17 | 66,134 23 | 22,066 94 | 0 00 | 22,066 94 |
| JOY GLOBAL INC COM | CUSIP 481165108 | | | | | | | |
| | | 58 49 | 0 00 | 77,265 29 | 74,514 60 | 2,750 69 | 0 00 | 2,750 69 |
| JPMORGAN CHASE & CO COM | CUSIP 46625H100 | | | | | | | |
| JPM | | 58 48 | 0 00 | 87,720 00 | 61,123 34 | 26,596 66 | 0 00 | 26,596 66 |
| KELLOGG CO COM USD0 25 | CUSIP 487636108 | | | | | | | |
| | | 61 07 | 0 00 | 54,596 58 | 55,040 68 | -444 10 | 0 00 | -444 10 |
| KIMBERLY-CLARK CORP COM | CUSIP 494368103 | | | | | | | |
| KIMB | | 104 46 | 656 10 | 84,612 60 | 61,860 81 | 22,751 79 | 0 00 | 22,751 79 |
| KOHL'S CORP COM | CUSIP 500255104 | | | | | | | |
| | | 56 75 | 0 00 | 62,425 00 | 15,015 45 | 47,409 55 | 0 00 | 47,409 55 |
| KRAFT FOODS GROUP INC COM | CUSIP 50076Q106 | | | | | | | |
| | | 53 92 | 675 15 | 69,341 12 | 60,363 57 | 8,977 55 | 0 00 | 8,977 55 |
| LANDSTAR SYS INC COM | CUSIP 515098101 | | | | | | | |
| | | 57 45 | 333 55 | 54,749 85 | 54,486 93 | 262 92 | 0 00 | 262 92 |
| LOCKHEED MARTIN CORP COM | CUSIP 539830109 | | | | | | | |
| | | 148 66 | 0 00 | 154,309 08 | 103,304 41 | 51,004 67 | 0 00 | 51,004 67 |

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Northern Trust

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 8 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|---|--|--------------------------------------|---------------------------|--------------|------------|-----------|-------------------------------------|-----------|
| Equity Securities | | | | | | | | |
| Common stock | | | | | | | | |
| United States - USD | | | | | | | | |
| LYONDELLBASELL IND N V COM USD0 01 CL 'A' CUSIP N53745100 | | | | | | | | |
| 409 000 | | 80 28 | 0 00 | 32,834 52 | 26,424 31 | 6,410 21 | 0 00 | 6,410 21 |
| MARATHON PETE CORP COM CUSIP 56585A102 | | | | | | | | |
| 935 000 | | 91 73 | 0 00 | 85,767 55 | 43,288 20 | 42,479 35 | 0 00 | 42,479 35 |
| MATTEL INC COM CUSIP 577081102 | | | | | | | | |
| 1,298 000 | | 47 58 | 0 00 | 61,758 84 | 37,733 97 | 24,024 87 | 0 00 | 24,024 87 |
| MC DONALDS CORP COM CUSIP 580135101 | | | | | | | | |
| 935 000 | | 97 03 | 0 00 | 90,723 05 | 93,613 05 | -2,890 00 | 0 00 | -2,890 00 |
| MCGRAW HILL FINANCIAL INC CUSIP 580645109 | | | | | | | | |
| 794 000 | | 78 20 | 0 00 | 62,090 80 | 37,213 72 | 24,877 08 | 0 00 | 24,877 08 |
| MEDTRONIC INC COM CUSIP 585055106 | | | | | | | | |
| 1,100 000 | | 57 39 | 308 00 | 63,129 00 | 24,209 50 | 38,919 50 | 0 00 | 38,919 50 |
| MERCCK & CO INC NEW COM CUSIP 58933Y105 | | | | | | | | |
| 1,169 000 | | 50 05 | 514 36 | 58,508 45 | 51,354 08 | 7,154 37 | 0 00 | 7,154 37 |
| MICROSOFT CORP COM CUSIP 594918104 MSFT | | | | | | | | |
| 5,320 000 | | 37 43 | 0 00 | 199,127 60 | 138,115 39 | 61,012 21 | 0 00 | 61,012 21 |
| MURPHY OIL CORP COM CUSIP 626717102 | | | | | | | | |
| 881 000 | | 64 88 | 0 00 | 57,159 28 | 56,172 21 | 987 07 | 0 00 | 987 07 |

Northern Trust

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Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 9 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID Investment Mgr ID Shares/PAR value | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss | | Total |
|---|--------------------------------------|---------------------------|--------------|-----------|------------|----------------------|------|------------|
| | | | | | | Translation | | |
| Equity Securities | | | | | | | | |
| Common stock | | | | | | | | |
| United States - USD | | | | | | | | |
| MYLAN INC CUSIP 628530107 MYL | 43 40 | 0 00 | 70,134 40 | 52,815 18 | 17,319 22 | | 0 00 | 17,319 22 |
| NEWMARKET CORP COM CUSIP 651587107 | | | | | | | | |
| 185 000 | 334 15 | 203 50 | 61,817 75 | 47,122 84 | 14,694 91 | | 0 00 | 14,694 91 |
| NEXTERA ENERGY INC COM CUSIP 65339F101 | | | | | | | | |
| 291 000 | 85 62 | 0 00 | 24,915 42 | 14,205 11 | 10,710 31 | | 0 00 | 10,710 31 |
| NOBLE ENERGY INC COM CUSIP 655044105 | | | | | | | | |
| 2,500 000 | 68 11 | 0 00 | 170,275 00 | 23,168 75 | 147,106 25 | | 0 00 | 147,106 25 |
| OASIS PETE INC NEW COM STK CUSIP 674215108 | | | | | | | | |
| 648 000 | 46 97 | 0 00 | 30,436 56 | 29,138 23 | 1,298 33 | | 0 00 | 1,298 33 |
| ONEOK INC COM STK CUSIP 682680103 | | | | | | | | |
| 1,351 000 | 62 18 | 0 00 | 84,005 18 | 59,869 60 | 24,135 58 | | 0 00 | 24,135 58 |
| ORACLE CORP COM CUSIP 68389X105 | | | | | | | | |
| 900 000 | 38 26 | 0 00 | 34,434 00 | 30,172 50 | 4,261 50 | | 0 00 | 4,261 50 |
| PATTERSON-UTI ENERGY INC COM CUSIP 703481101 | | | | | | | | |
| 2,825 000 | 25 32 | 0 00 | 71,529 00 | 49,297 06 | 22,231 94 | | 0 00 | 22,231 94 |
| PAYCHEX INC COM CUSIP 704326107 | | | | | | | | |
| 2,984 000 | 45 53 | 0 00 | 135,861 52 | 98,813 20 | 37,048 32 | | 0 00 | 37,048 32 |

*Generated by Northern Trust from reviewed periodic data on 14 Apr 14 B003

Northern Trust

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 10 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|--------------------------------|-------------------|--------------------------------------|---------------------------|--------------|------------|------------|-------------------------------------|------------|
| Equity Securities | | | | | | | | |
| Common stock | | | | | | | | |
| United States - USD | | | | | | | | |
| PEPSICO INC COM | CUSIP 713448108 | 82 94 | 368 87 | 53,911 00 | 38,594 34 | 15,316 86 | 0 00 | 15,316 86 |
| PG&E CORP COM | CUSIP 69331C108 | | | | | | | |
| | | 40 28 | 637 00 | 56,392 00 | 50,234 94 | 6,157 06 | 0 00 | 6,157 06 |
| PHILIP MORRIS INTL COM STK NPV | CUSIP 718172109 | | | | | | | |
| PM | | 87 13 | 1,337 62 | 120,587 92 | 86,639 80 | 33,948 12 | 0 00 | 33,948 12 |
| PRAXAIR INC COM | CUSIP 74005P104 | | | | | | | |
| | | 130 03 | 0 00 | 97,522 50 | 35,161 50 | 62,361 00 | 0 00 | 62,361 00 |
| PROCTER & GAMBLE COM NPV | CUSIP 742718109 | | | | | | | |
| | | 81 41 | 0 00 | 317,580 41 | 163,662 49 | 153,917 92 | 0 00 | 153,917 92 |
| QUALCOMM INC COM | CUSIP 747525103 | | | | | | | |
| QCOM | | 74 25 | 0 00 | 61,182 00 | 52,592 11 | 8,589 89 | 0 00 | 8,589 89 |
| ROCKWELL COLLINS INC COM | CUSIP 774341101 | | | | | | | |
| | | 73 92 | 0 00 | 26,906 88 | 26,483 72 | 423 16 | 0 00 | 423 16 |
| ROSS STORES INC COM | CUSIP 778296103 | | | | | | | |
| ROST | | 74 93 | 0 00 | 27,724 10 | 28,691 81 | -967 71 | 0 00 | -967 71 |
| RPC INC COM | CUSIP 749860106 | | | | | | | |
| | | 17 85 | 0 00 | 69,079 50 | 43,309 81 | 25,769 69 | 0 00 | 25,769 69 |

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 14 Apr 14 B003

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 11 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID Investment Mgr ID Shares/PAR value | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss | | |
|---|--------------------------------------|---------------------------|--------------|-----------|-----------|----------------------|-------|-----------|
| | | | | | | Translation | Total | |
| Equity Securities | | | | | | | | |
| Common stock | | | | | | | | |
| United States - USD | | | | | | | | |
| SLM CORP COM CUSIP 78442P106 | | | | | | | | |
| 1,188,000 | 28.28 | 0.00 | 31,483.44 | 27,184.43 | 4,289.01 | 0.00 | 0.00 | 4,289.01 |
| SM ENERGY CO COM CUSIP 78454L100 | | | | | | | | |
| 340,000 | 83.11 | 0.00 | 28,257.40 | 28,537.78 | -280.38 | 0.00 | 0.00 | -280.38 |
| SPECTRA ENERGY CORP COM STK CUSIP 847560109 | | | | | | | | |
| 1,824,000 | 35.62 | 0.00 | 64,970.88 | 61,410.59 | 3,560.29 | 0.00 | 0.00 | 3,560.29 |
| STAPLES INC COM CUSIP 855030102 | | | | | | | | |
| 2,700,000 | 15.89 | 324.00 | 42,903.00 | 32,076.00 | 10,827.00 | 0.00 | 0.00 | 10,827.00 |
| STATE STR CORP COM CUSIP 857477103 | | | | | | | | |
| 1,200,000 | 73.39 | 312.00 | 88,068.00 | 65,093.00 | 22,975.00 | 0.00 | 0.00 | 22,975.00 |
| STRYKER CORP CUSIP 863667101 | | | | | | | | |
| 500,000 | 75.14 | 152.50 | 37,570.00 | 18,929.55 | 18,640.45 | 0.00 | 0.00 | 18,640.45 |
| TARGET CORP COM STK CUSIP 87612E106 | | | | | | | | |
| 1,100,000 | 63.27 | 0.00 | 69,597.00 | 41,252.00 | 28,345.00 | 0.00 | 0.00 | 28,345.00 |
| TJX COS INC COM NEW CUSIP 872540109 | | | | | | | | |
| 1,536,000 | 63.73 | 0.00 | 97,889.28 | 37,808.55 | 60,080.73 | 0.00 | 0.00 | 60,080.73 |
| TRAVELERS COS INC COM STK CUSIP 89417E109 | | | | | | | | |
| 750,000 | 90.54 | 0.00 | 67,905.00 | 44,826.63 | 23,078.37 | 0.00 | 0.00 | 23,078.37 |

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 14 Apr 14 B003

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 12 of 19

◆ Asset Detail - Base Currency

| Description./AssetID | Investment Mgr ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|-----------------------------------|-------------------|--------------------------------------|---------------------------|--------------|-----------|-----------|-------------------------------------|-----------|
| Equity Securities | | | | | | | | |
| Common stock | | | | | | | | |
| United States - USD | | | | | | | | |
| TUPPERWARE BRANDS CORPORATION | CUSIP 898986104 | | | | | | | |
| TUP | | 94 53 | 176 08 | 26,846 52 | 22,259 76 | 4,586 76 | 0 00 | 4,586 76 |
| ULTRA PETE CORP COM NPV | CUSIP 903914109 | | | | | | | |
| | | 21 65 | 0 00 | 50,011 50 | 48,628 41 | 1,383 09 | 0 00 | 1,383 09 |
| UNITED PARCEL SVC INC CL B | CUSIP 911312106 | | | | | | | |
| | | 105 08 | 0 00 | 62,837 84 | 50,387 07 | 12,450 77 | 0 00 | 12,450 77 |
| UNITED TECHNOLOGIES CORP COM | CUSIP 913017109 | | | | | | | |
| | | 113 80 | 0 00 | 91,040 00 | 30,160 00 | 60,880 00 | 0 00 | 60,880 00 |
| US BANCORP | CUSIP 902973304 | | | | | | | |
| USB | | 40 40 | 403 19 | 70,821 20 | 61,353 99 | 9,467 21 | 0 00 | 9,467 21 |
| UTD THERAPEUTICS CORP DEL COM STK | CUSIP 91307C102 | | | | | | | |
| | | 113 08 | 0 00 | 80,965 28 | 54,872 60 | 26,092 68 | 0 00 | 26,092 68 |
| WADDELL & REED FINL INC CL A COM | CUSIP 930059100 | | | | | | | |
| WDR | | 65 12 | 0 00 | 80,879 04 | 46,097 94 | 34,781 10 | 0 00 | 34,781 10 |
| WALGREEN CO COM | CUSIP 931422109 | | | | | | | |
| | | 57 44 | 0 00 | 91,904 00 | 21,479 50 | 70,424 50 | 0 00 | 70,424 50 |
| WELLS FARGO & CO NEW COM STK | CUSIP 949746101 | | | | | | | |
| WFC | | 45 40 | 0 00 | 99,880 00 | 72,876 18 | 27,003 82 | 0 00 | 27,003 82 |

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 14 Apr 14 B003

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 13 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID Investment Migr ID Shares/PAR value | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss | |
|--|--------------------------------------|---------------------------|--------------|--------------|--------------|----------------------|--------------|
| | | | | | | Translation | Total |
| Equity Securities | | | | | | | |
| Common stock | | | | | | | |
| United States - USD | | | | | | | |
| WESTERN UNION CO CUSIP 958802109 | | | | | | | |
| 4,134,000 | 17 25 | 0 00 | 71,311 50 | 70,263 54 | 1,047 96 | 0 00 | 1,047 96 |
| WESTN DIGITAL CORP COM CUSIP 958102105 | | | | | | | |
| 1,267,000 | 83 90 | 380 10 | 106,301 30 | 44,525 39 | 61,775 91 | 0 00 | 61,775 91 |
| WILLIAMS CO INC COM CUSIP 969487100 | | | | | | | |
| 1,940,000 | 38 57 | 0 00 | 74,825 80 | 57,482 85 | 17,342 95 | 0 00 | 17,342 95 |
| WIS ENERGY COM CUSIP 976657106 | | | | | | | |
| 1,159,000 | 41 34 | 0 00 | 47,913 06 | 49,005 93 | -1,092 87 | 0 00 | -1,092 87 |
| YUM BRANDS INC COM CUSIP 988488101 | | | | | | | |
| 800,000 | 75 61 | 0 00 | 60,488 00 | 47,188 51 | 13,299 49 | 0 00 | 13,299 49 |
| Total USD | | 11,536 07 | 7,612,400 25 | 5,143,732 77 | 2,468,667 48 | 0 00 | 2,468,667 48 |
| Total United States | | 11,536 07 | 7,612,400 25 | 5,143,732 77 | 2,468,667 48 | 0 00 | 2,468,667 48 |
| Total Common Stock | | | | | | | |
| 137,914 000 | | 12,612.30 | 7,856,834 66 | 5,330,869.82 | 2,525,964.84 | 0 00 | 2,525,964.84 |
| Equity funds | | | | | | | |
| Emerging Markets Region - USD | | | | | | | |
| MFB NORTHN FUNDS EMERGING MKTS EQTY INDEX FD CUSIP 665162582 | | | | | | | |
| 20,955 840 | 11 28 | 0 00 | 236,381 87 | 191,487 00 | 44,894 87 | 0 00 | 44,894 87 |
| Total USD | | 0 00 | 236,381 87 | 191,487 00 | 44,894 87 | 0 00 | 44,894 87 |
| Total Emerging Markets Region | | 0 00 | 236,381 87 | 191,487 00 | 44,894 87 | 0 00 | 44,894 87 |

*Generated by Northern Trust from reviewed periodic data on 14 Apr 14 B003

Northern Trust

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 14 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Migr ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss | |
|--|--------------------|--------------------------------------|---------------------------|--------------|--------------|------------|----------------------|------------|
| | | | | | | | Translation | Total |
| Equity Securities | | | | | | | | |
| Equity funds | | | | | | | | |
| Global Region - USD | | | | | | | | |
| MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD CUSIP 33939L407 | | 34 31 | 4,162.04 | 205,413.97 | 209,594.69 | -4,180.72 | 0.00 | -4,180.72 |
| Total USD | | | 4,162.04 | 205,413.97 | 209,594.69 | -4,180.72 | 0.00 | -4,180.72 |
| Total Global Region | | | 4,162.04 | 205,413.97 | 209,594.69 | -4,180.72 | 0.00 | -4,180.72 |
| International Region - USD | | | | | | | | |
| MFB NORTN INTL EQTY INDEX FD CUSIP 665130209 | | 12 34 | 0.00 | 824,276.95 | 827,665.97 | 196,610.98 | 0.00 | 196,610.98 |
| Total USD | | | 0.00 | 824,276.95 | 827,665.97 | 196,610.98 | 0.00 | 196,610.98 |
| Total International Region | | | 0.00 | 824,276.95 | 827,665.97 | 196,610.98 | 0.00 | 196,610.98 |
| United States - USD | | | | | | | | |
| MFB NORTHERN FUNDS SMALL CAP VALUE FD CUSIP 665162400 | | 20 79 | 0.00 | 255,712.01 | 200,182.34 | 55,529.67 | 0.00 | 55,529.67 |
| Total USD | | | 0.00 | 431,237.50 | 225,359.07 | 205,878.43 | 0.00 | 205,878.43 |
| MFB NORTN MID CAP INDEX FD CUSIP 665130100 | | 16 89 | 3,497.53 | 658,902.56 | 543,584.44 | 115,318.12 | 0.00 | 115,318.12 |
| Total USD | | | 3,497.53 | 1,345,852.07 | 969,125.85 | 376,726.22 | 0.00 | 376,726.22 |
| MFB NORTN S&P 500 ETF TRUST CUSIP 78462F103 | | 184 67 | 3,497.53 | 1,345,852.07 | 969,125.85 | 376,726.22 | 0.00 | 376,726.22 |
| Total United States | | | 3,497.53 | 1,345,852.07 | 969,125.85 | 376,726.22 | 0.00 | 376,726.22 |
| Total Equity Funds | | | 7,659.57 | 2,611,924.86 | 1,997,873.51 | 614,051.35 | 0.00 | 614,051.35 |

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 14 Apr 14 B003

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 15 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|---|--------------------------------------|---------------------------|----------------------|---------------------|---------------------|-------------------------------------|---------------------|
| Equity Securities | | | | | | | |
| Other equity | | | | | | | |
| United States - USD | | | | | | | |
| AMERICAN CAP AGY CORP CUSIP 02503X105 | 19 29 | 1,184.95 | 35,165.67 | 53,077.08 | -17,911.41 | 0.00 | -17,911.41 |
| APARTMENT INVT & MGMT CO CL A CUSIP 03748R101 | 25 91 | 0.00 | 54,566.46 | 56,351.12 | -1,784.66 | 0.00 | -1,784.66 |
| HCP INC COM REIT CUSIP 40414L109 | 36 32 | 0.00 | 44,746.24 | 51,618.69 | -6,872.45 | 0.00 | -6,872.45 |
| HCP | | | | | | | |
| HEALTH CARE REIT INC COM CUSIP 42217K106 | 53 57 | 0.00 | 47,141.60 | 49,690.54 | -2,548.94 | 0.00 | -2,548.94 |
| RLTY INC CORP COM CUSIP 756109104 | 37 33 | 86.52 | 17,731.75 | 16,746.59 | 985.16 | 0.00 | 985.16 |
| VENTAS INC REIT CUSIP 92276F100 | 57 28 | 0.00 | 18,730.56 | 26,569.03 | -7,838.47 | 0.00 | -7,838.47 |
| Total USD | | 1,271.47 | 218,082.28 | 254,053.05 | -35,970.77 | 0.00 | -35,970.77 |
| Total United States | | 1,271.47 | 218,082.28 | 254,053.05 | -35,970.77 | 0.00 | -35,970.77 |
| Total Other Equity | | 1,271.47 | 218,082.28 | 254,053.05 | -35,970.77 | 0.00 | -35,970.77 |
| Total Equity Securities | | 21,543.34 | 10,686,841.80 | 7,582,796.38 | 3,104,045.42 | 0.00 | 3,104,045.42 |
| 279,896.880 | | | | | | | |
| Fixed Income Securities | | | | | | | |

Northern Trust

Custom Reporting

Account number V0018

31 DEC 13

WAVERING FAMILY CHAR FDTN

◆ Asset Detail - Base Currency

Page 16 of 19

| Description / Asset ID | Investment Mgr ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|--|--------------------------|--------------------------------------|---------------------------|--------------|------------|-----------|-------------------------------------|-----------|
| Fixed Income Securities | | | | | | | | |
| Corporate/government | | | | | | | | |
| France - USD | | | | | | | | |
| TOTAL CAP 3% DUE 06-24-2015 CUSIP 89152UAC6 | | | | | | | | |
| 250,000,000 | 103 5812 | 145 83 | 145 83 | 258,953 00 | 255,325 00 | 3,628 00 | 0 00 | 3,628 00 |
| Issue Date 24 Jun 10 | Rate 3 00% | Yield to Maturity 0 471% | Maturity Date 24 Jun 15 | | | | | |
| Total USD | | 145 83 | | 258,953 00 | 255,325 00 | 3,628 00 | 0 00 | 3,628 00 |
| Total France | | 145 83 | | 258,953 00 | 255,325 00 | 3,628 00 | 0 00 | 3,628 00 |
| United States - USD | | | | | | | | |
| ANHEUSER BUSCH COS 4 625% DUE 02-01-2015 CUSIP 035229CR2 | | | | | | | | |
| 150,000,000 | 104 357 | 2,890 82 | 2,890 82 | 156,535 50 | 154,980 00 | 1,555 50 | 0 00 | 1,555 50 |
| Issue Date 27 Jan 03 | Rate 4 625% | Yield to Maturity 0 457% | Maturity Date 01 Feb 15 | | | | | |
| BELLSOUTH CORP 5 2% DUE 09-15-2014 CUSIP 079860AG7 | | | | | | | | |
| 250,000,000 | 103 2614 | 3,827 77 | 3,827 77 | 258,163 50 | 257,390 00 | 763 50 | 0 00 | 763 50 |
| Issue Date 13 Sep 04 | Rate 5 20% | Yield to Maturity 0 48% | Maturity Date 15 Sep 14 | | | | | |
| CISCO SYS INC 1 625% DUE 03-14-2014 CUSIP 17275RAJ1 | | | | | | | | |
| 250,000,000 | 100 2542 | 1,207 46 | 1,207 46 | 250,635 50 | 250,082 50 | 553 00 | 0 00 | 553 00 |
| Rate 1 625% | Yield to Maturity 0 831% | Maturity Date 14 Mar 14 | | | | | | |
| MFB NORTHN FDS SHORT BD FD CUSIP 665162368 | | | | | | | | |
| 18,636 210 | 19 10 | 0 00 | 0 00 | 355,951 61 | 355,223 00 | 728 61 | 0 00 | 728 61 |
| MFB NORTHN HI YIELD FXD INC FD CUSIP 665162689 | | | | | | | | |
| 123,889 070 | 7 49 | 0 00 | 0 00 | 927,929 13 | 881,366 23 | 46,562 90 | 0 00 | 46,562 90 |
| Issue Date 25 Aug 08 | | | | | | | | |

Northern Trust

*Generated by Northern Trust from reviewed periodic date on 14 Apr 14 B003

Custom Reporting

Account number V0018

31 DEC 13

WAVERING FAMILY CHAR FDTN

Page 17 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|--|--------------------------------------|---------------------------|--------------|--------------|------------|-------------------------------------|------------|
| Fixed Income Securities | | | | | | | |
| Corporate/government | | | | | | | |
| United States - USD | | | | | | | |
| MFO FRKLN INVS SECS TR FLTG RATE DAILY ACCESS FD ADVISOR CUSIP 353612781 | 9.21 | 0.00 | 115,603.55 | 115,227.00 | 376.55 | 0.00 | 376.55 |
| Total USD | | 7,925.85 | 2,064,808.79 | 2,014,268.73 | 50,540.06 | 0.00 | 50,540.06 |
| Total United States | | 7,925.85 | 2,064,808.79 | 2,014,268.73 | 50,540.06 | 0.00 | 50,540.06 |
| Total Corporate/Government | | 8,071.68 | 2,323,761.79 | 2,269,593.73 | 54,168.06 | 0.00 | 54,168.06 |
| Municipal issues | | | | | | | |
| United States - USD | | | | | | | |
| MFB NORTHN FDS SHORT INTER TX EXMP FD CUSIP 665162525 | 10.45 | 0.00 | 564,223.40 | 574,353.16 | -10,129.76 | 0.00 | -10,129.76 |
| Issue Date 25 Aug 08 | | | | | | | |
| Total USD | | 0.00 | 564,223.40 | 574,353.16 | -10,129.76 | 0.00 | -10,129.76 |
| Total United States | | 0.00 | 564,223.40 | 574,353.16 | -10,129.76 | 0.00 | -10,129.76 |
| Total Municipal Issues | | 0.00 | 564,223.40 | 574,353.16 | -10,129.76 | 0.00 | -10,129.76 |
| Total Fixed Income Securities | | 8,071.68 | 2,887,985.19 | 2,843,946.89 | 44,038.30 | 0.00 | 44,038.30 |
| Real Estate | | | | | | | |
| Real estate funds | | | | | | | |

Custom Reporting

31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 18 of 19

◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/ local market price | Accrued income/expense | Market Value | Cost | Unrealized gain/loss | | Total |
|--|-------------------|--------------------------------------|---------------------------|-------------------|-------------------|----------------------|-------------|-----------------|
| | | | | | | Market | Translation | |
| <i>Real Estate</i> | | | | | | | | |
| Real estate funds | | | | | | | | |
| Global Region - USD | | | | | | | | |
| MFB NORTHN FDS MULT-MANAGER GLOBAL REALESTATE FD CUSIP 665162475 | | | | | | | | |
| 10,276.690 | | 16.33 | 0.00 | 167,818.34 | 164,593.38 | 3,224.96 | 0.00 | 3,224.96 |
| Total USD | | | 0.00 | 167,818.34 | 164,593.38 | 3,224.96 | 0.00 | 3,224.96 |
| Total Global Region | | | | | | | | |
| Total Real Estate Funds | | | | | | | | |
| 10,276.690 | | | 0.00 | 167,818.34 | 164,593.38 | 3,224.96 | 0.00 | 3,224.96 |
| Total Real Estate | | | 0.00 | 167,818.34 | 164,593.38 | 3,224.96 | 0.00 | 3,224.96 |

Cash and Short Term Investments

| | | | | | | | | |
|--|--|------|------|-------------------|-------------------|-------------|-------------|-------------|
| Short term | | | | | | | | |
| USD - United States dollar | | | | | | | | |
| | | 1.00 | 1.54 | 186,379.51 | 186,379.51 | 0.00 | 0.00 | 0.00 |
| Total short term - all currencies | | | 1.54 | 186,379.51 | 186,379.51 | 0.00 | 0.00 | 0.00 |
| Total short term - all countries | | | 1.54 | 186,379.51 | 186,379.51 | 0.00 | 0.00 | 0.00 |
| Total Short Term | | | | | | | | |
| | | | 1.54 | 186,379.51 | 186,379.51 | 0.00 | 0.00 | 0.00 |
| Total Cash and Short Term Investments | | | 1.54 | 186,379.51 | 186,379.51 | 0.00 | 0.00 | 0.00 |

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31 DEC 13

Account number V0018

WAVERING FAMILY CHAR FDTN

Page 19 of 19

◆ Asset Detail - Base Currency

| <u>Description / Asset ID</u> | Investment Mgr ID | Shares/PAR value | Exchange rate/ local market price | Accrued Income/expense | Market Value | Cost | Market | Unrealized gain/loss Translation | Total |
|-------------------------------|-------------------|------------------|--------------------------------------|---------------------------|---------------|---------------|--------------|-------------------------------------|--------------|
| Total | | 1,585,622.990 | | 29,616.56 | 13,929,024.84 | 10,777,716.16 | 3,151,308.68 | 0.00 | 3,151,308.68 |

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