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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation ALBEN F & CLARA G BATES FOUNDATIO		A Employer identification number 36-6081072	
Number and street (or P O box number if mail is not delivered to street address) 159 COTTAGE HILL ROAD APT 113		B Telephone number (see instructions) (630) 832-1070	
City or town, state or province, country, and ZIP or foreign postal code ELMHURST, IL 60126		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,033,761	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	434	434		
	4 Dividends and interest from securities. . . . .	123,856	123,856		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	 184,669			
	b Gross sales price for all assets on line 6a 441,349				
	7 Capital gain net income (from Part IV, line 2) . . .		22,372		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	308,959	146,662		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	10,786	10,786		
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	 2,935	2,935		
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	 4,048	2,048		
	19 Depreciation (attach schedule) and depletion . . .	 118	118		
	20 Occupancy . . . . .	4,937	4,937		
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	 804	804		
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	23,628	21,628		0
	25 Contributions, gifts, grants paid . . . . .	356,100			356,100
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	379,728	21,628		356,100
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-70,769			
	b <b>Net investment income</b> (if negative, enter -0-)		125,034		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	75,831	92,360	92,360
	2	Savings and temporary cash investments . . . . .	90,000		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	3,209,149	3,212,367	4,938,159
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
Liabilities	14	Land, buildings, and equipment basis ▶ _____ 4,922 Less accumulated depreciation (attach schedule) ▶ 1,680	3,360	3,242	3,242
	15	Other assets (describe ▶ _____)			
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	3,378,340	3,307,969	5,033,761
	17	Accounts payable and accrued expenses . . . . .	419	817	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	419	817	
Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,377,921	3,307,152	
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	3,377,921	3,307,152	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	3,378,340	3,307,969	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	3,377,921
2	Enter amount from Part I, line 27a . . . . .	2	-70,769
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	3,307,152
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	3,307,152

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	22,372
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	331,675	4,378,041	0 075759
2011	317,500	4,397,641	0 072198
2010	373,000	4,343,940	0 085867
2009	360,565	4,091,730	0 088120
2008	364,050	5,074,377	0 071743
2	Total of line 1, column (d). . . . .		2 0 393687
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .		3 0 078737
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .		4 4,794,798
5	Multiply line 4 by line 3. . . . .		5 377,528
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .		6 1,250
7	Add lines 5 and 6. . . . .		7 378,778
8	Enter qualifying distributions from Part XII, line 4. . . . .		8 356,100
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	2,501
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2. . . . .		3	2,501
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	2,501
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3,049	
b Exempt foreign organizations—tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments Add lines 6a through 6d. . . . .		7	3,049
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	1
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	547
11 Enter the amount of line 10 to be Credited to 2014 estimated tax  547 Refunded		11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?. . . . .		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ _____ (2) On foundation managers  \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"><li>By language in the governing instrument, or</li><li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .</li></ul>		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions)  IL _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of JOSEPHINE PRASIL Telephone no (630) 832-1070 Located at 159 COTTAGE HILL RD ELMHURST IL ZIP+4 60126			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes 

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes 

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes 

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes 

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes 

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes 

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes 

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes 

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

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## Part VIII

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	ALL INCOME RECEIVED WAS DISTRIBUTED DIRECTLY TO CHARITABLE ORGANIZATIONS AS LISTED ON THE ATTACHMENT TO THIS RETURN AND AS SHOWN ON PART 1, LINE 25 NO CONFERENCES, RESEARCH PAPERS, ETC WERE INVOLVED ALL DISTRIBUTIONS WERE SIMPLY MAILED OUT TO RECIPIENT ORGANIZATIONS	
2		
3		
4		

## Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	N/A	
2		
	All other program-related investments See page 24 of the instructions	
3		

**Total.** Add lines 1 through 3 . . . . . 



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	4,844,912
b	Average of monthly cash balances. . . . .	1b	22,903
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	4,867,815
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	4,867,815
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	73,017
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,794,798
6	Minimum investment return. Enter 5% of line 5. . . . .	6	239,740

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	239,740
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	2,501
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	2,501
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	237,239
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	237,239
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	237,239

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	356,100
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	356,100
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	356,100
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				237,239
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .	113,362			
b From 2009. . . . .	157,848			
c From 2010. . . . .	157,535			
d From 2011. . . . .	100,608			
e From 2012. . . . .	115,012			
f Total of lines 3a through e. . . . .	644,365			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 356,100				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				237,239
e Remaining amount distributed out of corpus	118,861			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	763,226			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	113,362			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	649,864			
10 Analysis of line 9				
a Excess from 2009. . . .	157,848			
b Excess from 2010. . . .	157,535			
c Excess from 2011. . . .	100,608			
d Excess from 2012. . . .	115,012			
e Excess from 2013. . . .	118,861			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	356,100
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\*\*\*\*\*

Signature of officer or trustee

2014-07-07

Date

\*\*\*\*\*

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes






No

Paid Preparer Use Only


Print/Type preparer's name JOHN T ZEILSTRA CPA	Preparer's Signature	Date 2014-07-07	Check if self-employed <input type="checkbox"/>	PTIN P00397140	
Firm's name	EVENHOUSE & CO PC			Firm's EIN	36-2848023
Firm's address	310 W LAKE ST STE 200 ELMHURST, IL 601261527			Phone no.	(630) 832-3225

Form 990-PF (2013)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation


(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSEPHINE B PRASIL  159 COTTAGE HILL AVENUE 113 ELMHURST,IL 60126	VP/SEC/ASST 10 00	10,786	0	0
ROBERT WIER  159 COTTAGE HILL AVENUE 113 ELMHURST,IL 60126	DIRECTOR 1 00	0	0	0
HENRY G BATES  159 COTTAGE HILL AVENUE 113 ELMHURST,IL 60126	TREASURER 1 00	0	0	0
CHRISTINE B CRUM  159 COTTAGE HILL AVENUE 113 ELMHURST,IL 60126	PRESIDENT 1 00	0	0	0
JILL E DICKENS  159 COTTAGE HILL AVENUE 113 ELMHURST,IL 60126	VP 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
1ST CONGREGATIONAL CHURCH OF ELMHUR 235 S KENILWORTH ELMHURST,IL 60126	NONE		UNRESTRICTED	3,000
ACADEMY AT OCEAN REEF 395 S HARBOR DRIVE KEY LARGO,FL 33037	NONE		UNRESTRICTED	250
ADVENTIST LA GRANGE MEMORIAL HOSPIT 5101 S WILLOW SPRINGS RD LA GRANGE,IL 60525	NONE		UNRESTRICTED	1,000
ALAN GUTTMACHER INSTITUTE 125 MAIDEN LANE NEWYORK,NY 10038	NONE		UNRESTRICTED	250
AMERICAN CANCER SOCIETY 1801 S MEVERS RD SUITE 1 OAK BROOK,IL 60181	NONE		UNRESTRICTED	1,000
AMERICAN DIABETES ASSOCIATION 55 E MONROE SUITE 3420 CHICAGO,IL 60603	NONE		UNRESTRICTED	2,500
AMERICAN HEART ASSOCIATION 208 S LASALLE CHICAGO,IL 60604	NONE		UNRESTRICTED	2,100
AMERICAN RED CROSS 2025 E STREET NW WASHINGTON,DC 20006	NONE		UNRESTRICTED	1,500
ANIMAL WELFARE INSTITUTE 900 PENNSYLVANIS AVENEUE WASHINGTON,DC 20003	NONE		UNRESTRICTED	5,000
ANTI CRUELTY SOCIETY 157 W GRAND AVENUE CHICAGO,IL 60610	NONE		UNRESTRICTED	1,000
ART INSTITUTE OF CHICAGO 111 S MICHIGAN AVENUE CHICAGO,IL 606036110	NONE		UNRESTRICTED	800
ARTHRITIS FOUNDATION 35 E WACKER DR SUITE 226 CHICAGO,IL 60601	NONE		UNRESTRICTED	500
ASSEMBLY BD OF HINSDALE FTN 120 N OAK STREET 5TH FLOO HINSDALE,IL 60521	NONE		UNRESTRICTED	500
AURORA UNIVERSITY - MUSIC ON THE LA 350 CONSTANCE BOULEVAARD WILLIAMS BAY,WI 53191	NONE		UNRESTRICTED	1,000
AVERY COONLEY SCHOOL 1400 MAPLE AVENUE DOWNERS GROVE,IL 605154849	NONE		UNRESTRICTED	4,000
<b>Total . . . . .</b>  <b>3a</b>				356,100




Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
BAPTIST HOSPITAL FOUNDATION 8900 N KENDALL DRIVE MIAMI,FL 331762197	NONE		UNRESTRICTED	10,000
BRAIN RESEARCH FOUNDATION 111 W WASHINGTON SUITE 17 CHICAGO,IL 60602	NONE		UNRESTRICTED	500
BRISTOL ART MUSEUM PO BOX 42 BRISTOL,RI 02809	NONE		UNRESTRICTED	500
BROOKFIELD ZOO 3300 GOLF ROAD BROOKFIELD,IL 60513	NONE		UNRESTRICTED	800
BSA THREE FIRES COUNCIL 415 N 2ND STREET ST CHARLES,IL 60174	NONE		UNRESTRICTED	1,000
CADENCE HEALTH FOUNDATION 300 S RANDALL ROAD GENEVA,IL 60134	NONE		UNRESTRICTED	10,000
CADENCE HOME HEALTH & HOSPICE 690 EAST NORTH AVENUE CAROL STREAM,IL 60188	NONE		UNRESTRICTED	5,000
CAMP EDWARDS N8901 ARMY LAKE ROAD EAST TROY,WI 531202181	NONE		UNRESTRICTED	2,000
CARDINAL BERNADIN CANCER CENTER 2160 W FIRST AVENUE MAYWOOD,IL 60153	NONE		UNRESTRICTED	10,000
CENTRAL IOWA SYMPHONY PO BOX 1080 AMES,IA 500141080	NONE		UNRESTRICTED	1,100
CHICAGO HISTORY MUSEUM 1601 N CLARK ST CHICAGO,IL 60614	NONE		UNRESTRICTED	800
CHICAGO KENT ALUMNI BD SCHOLARHSIP 565 WADAMS SUITE 310 CHICAGO,IL 606613691	NONE		UNRESTRICTED	1,000
CHRISTOPHER & DANA REEVE FOUNDATION 636 MORRIS TURNPIKE SUITE SHORT HILLS,NJ 07078	NONE		UNRESTRICTED	2,250
CORNELL UNIVERSITY ANNUAL FUND P O BOX 223623 PITTSBURG,PA 152512623	NONE		UNRESTRICTED	15,000
CURE ALZHEIMER'S FUND 34 WASHINGTON STREET SUIT WELLESLEY HILLS,MA 02481	NONE		UNRESTRICTED	5,000
<b>Total . . . . .</b>  <b>3a</b>				356,100


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
CYSTIC FIBROSIS FOUNDATION 6931 ARLINGTON ROAD BETHESDA,MD 20814	NONE		UNRESTRICTED	2,000
DOCTORS WITHOUT BORDERS PO BOX 5030 HAGERSTOWN,MD 217415030	NONE		UNRESTRICTED	3,500
DUPAGE CHILDRENS MUSEUM 301 N WASHINGTON STREET NAPERVILLE,IL 60540	NONE		UNRESTRICTED	5,000
DUPAGE HISTORICAL MUSEUM FOUNDATION 102 E WESLEY WHEATON,IL 60187	NONE		UNRESTRICTED	800
ELGIN ACADEMY 350 PARK AVENUE ELGIN,IL 60120	NONE		UNRESTRICTED	2,500
ELMHURST ART MUSEUM 150 COTTAGE HILL AVENUE ELMHURST,IL 60126	NONE		UNRESTRICTED	3,250
ELMHURST COLLEGE 190 S PROSPECT AVENUE ELMHURST,IL 60126	NONE		UNRESTRICTED	20,000
ELMHURST FAMILY YMCA 211 W FIRST STREET ELMHURST,IL 60126	NONE		UNRESTRICTED	10,000
ELMHURST HERITAGE FOUNDATION 120 E PARK ELMHURST,IL 60126	NONE		UNRESTRICTED	38,750
ELMHURST MEMORIAL HEALTHCARE 155 E BRUSH HILL ROAD ELMHURST,IL 60126	NONE		UNRESTRICTED	20,000
ELMHURST SYMPHONY ORCHESTRA PO BOX 345 ELMHURST,IL 60126	NONE		UNRESTRICTED	1,000
EMERGENCY RESIDENCE PROJECT 225 S KELLOGG AMES,IA 50010	NONE		UNRESTRICTED	1,500
EPISCOPAL CHURCH OF OUR SAVIOR 116 E CHURCH STREET ELMHURST,IL 60126	NONE		UNRESTRICTED	1,000
EVANS SCHOLARSHIP FOUNDATION 1 BRIAR ROAD GOLF,IL 60029	NONE		UNRESTRICTED	500
FIELD MUSEUM OF NATURAL HISTORY 1400 S LAKE SHORE DRIVE CHICAGO,IL 606052496	NONE		UNRESTRICTED	800
<b>Total . . . . .</b>  <b>3a</b>				356,100


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
FONTANA PUBLIC LIBRARY 166 2ND AVENUE FONTANA,WI 53125	NONE		UNRESTRICTED	1,000
FRIENDS OF ELMHURST PUBLIC LIBRARY 125 S PROSPECT ELMHURST,IL 60126	NONE		UNRESTRICTED	5,000
1000 FRIENDS OF IOWA 3850 MERLE HAY ROAD 605 DES MOINES,IA 503101300	NONE		UNRESTRICTED	2,500
GENEVA LAKE AREA FOUNDATION PO BOX 71 LAKE GENEVA,WI 53147	NONE		UNRESTRICTED	1,000
GENEVA LAKE ASSOCIATION PO BOX 412 LAKE GENEVA,WI 53147	NONE		UNRESTRICTED	1,000
GENEVA LAKE CONSERVATORY PO BOX 588 398 MILL STREE FONTANA,WI 53125	NONE		UNRESTRICTED	1,000
GENEVA LAKE ENVIRONMENTAL AGENCY PO BOX 914 WILLIAMS BAY,WI 531910914	NONE		UNRESTRICTED	3,500
GENEVA LAKE LEVEL CORPORATION PO BOX 656 LAKE GENEVA,WI 53147	NONE		UNRESTRICTED	1,000
GENEVA LAKE WATER SAFETY COMMITTEE PO BOX 98 LAKE GENEVA,WI 53147	NONE		UNRESTRICTED	2,000
GIGI'S PLAYHOUSE - CHICAGO 3948 N LINCOLN AVENUE CHICAGO,IL 60613	NONE		UNRESTRICTED	1,000
GLENWOOD SCHOOL FOR BOYS AND GIRLS 500 W 187TH STREET GLENWOOD,IL 60425	NONE		UNRESTRICTED	500
GOODWILL INDUSTRIES 6050 S WESTERN CHICAGO,IL 60636	NONE		UNRESTRICTED	500
GRAUE MILL AND MUSEUM 3800 S YORK ROAD OAK BROOK,IL 60523	NONE		UNRESTRICTED	1,000
GUIDING EYES FOR THE BLIND 611 GRANITE SPRINGS RD YORKTOWN HEIGHTS,NY 10598	NONE		UNRESTRICTED	1,500
HABITAT FOR HUMANITY 1600 ROOSEVELT RD WHEATON,IL 60187	NONE		UNRESTRICTED	500
<b>Total . . . . .</b>  <b>3a</b>				356,100


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
HINSDALE COMMUNITY HOUSE 415 WEIGHTH STREET HINSDALE,IL 60521	NONE		UNRESTRICTED	2,500
HINSDALE HISTORICAL SOCIETY 15 S CLAY STREET HINSDALE,IL 60521	NONE		UNRESTRICTED	500
HINSDALE HOSPITAL FOUNDATION 120 N OAK STREET HINSDALE,IL 60521	NONE		UNRESTRICTED	2,000
HOLIDAY HOME 361 N LAKE SHORE DRIVE P WILLIAMS BAY,WI 53191	NONE		UNRESTRICTED	2,000
HORTICULTURAL HALL FOUNDATION PO BOX 71 LAKE GENEVA,WI 53147	NONE		UNRESTRICTED	1,000
IOWA ENVIRONMENTAL COUNCIL 521 E LOCUST ST SUITE 220 DES MOINES,IA 50309	NONE		UNRESTRICTED	1,500
IOWA ENVIRONMENTAL WILDLIFE CENTER 328 MAIN ST SUITE 208 AMES,IA 50010	NONE		UNRESTRICTED	1,550
KEYS CHILDREN FOUNDATION 24 DOCKSIDE LANE PMB 139 KEY LARGO,FL 330375267	NONE		UNRESTRICTED	4,000
KHOI-FM RADIO 410 DOUGLAS AVE AMES,IA 50010	NONE		UNRESTRICTED	2,000
LA RABIDA CHILDREN'S HOSPITAL E 65TH STREET AT LAKE MIC CHICAGO,IL 606499980	NONE		UNRESTRICTED	1,000
LAKE GENEVA FRESH AIR ASSOCIATION PO BOX 10 361 N LAKE SHO WILLIAMS BAY,WI 53191	NONE		UNRESTRICTED	2,000
LAKE GENEVA PUBLIC LIBRARY FOUNDATI 918 W MAIN LAKE GENEVA,WI 53147	NONE		UNRESTRICTED	1,000
LAKE GENEVA YMCA 203 WELLS STREET LAKE GENEVA,WI 53147	NONE		UNRESTRICTED	1,000
LAKELAND MEDICAL CENTER W3985 CTY ROAD NN ELKHORN,WI 53121	NONE		UNRESTRICTED	5,000
LEAGUE OF WOMEN VOTERS OF US 2130 DUFF AVENUE AMES,IA 50010	NONE		UNRESTRICTED	1,500
<b>Total . . . . .</b>  <b>3a</b>				356,100


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
LINCOLN PARK ZOOLOGICAL SOCIETY 2001 N CLARK STREET CHICAGO,IL 60614	NONE		UNRESTRICTED	800
LIVING WELL CANCER RESEARCH CENTER 1803 W STATE STREET GENEVA,IL 60134	NONE		UNRESTRICTED	1,000
LIZZADRO MUSEUM 220 COTTAGE HILL AVENUE ELMHURST,IL 60126	NONE		UNRESTRICTED	3,000
LURIE CHILDRENS HOSPITAL FOUNDATION 225 W CHICAGO AVE BOX 4 CHICAGO,IL 606112605	NONE		UNRESTRICTED	5,000
MAKE A WISH FOUNDATION OF ILLINOIS 640 N LASALLE SUITE 280 CHICAGO,IL 606103754	NONE		UNRESTRICTED	3,500
MARCH OF DIMES 111 W JACKSON SUITE 2200 CHICAGO,IL 60604	NONE		UNRESTRICTED	1,000
MARINERS HOSPITAL FOUNDATION 91500 OVERSEAS HIGHWAY TAVERNIER,FL 33070	NONE		UNRESTRICTED	1,000
MAYO FOUNDATION 200 FIRST STREET SW ROCHESTER,MN 55905	NONE		UNRESTRICTED	500
MCLEAN HOSPITAL 115 MILL STREET BELMONT,MA 024789106	NONE		UNRESTRICTED	2,500
MERCY HOME FOR BOYS AND GIRLS 1140 W JACKSON BOULEVAAR CHICAGO,IL 60607	NONE		UNRESTRICTED	1,000
MERCY WALWORTH MEDICAL CENTER 1000 MINERAL POINT AVENUE JANESVILLE,WI 535475003	NONE		UNRESTRICTED	5,000
MORTON ARBORETUM 4100 ILLINOIS ROUTE 53 LISLE,IL 605321293	NONE		UNRESTRICTED	1,000
MUSEUM OF SCIENCE AND INDUSTRY 57TH STREET LAKE SHORE CHICAGO,IL 60637	NONE		UNRESTRICTED	800
NATIONAL ALOPECIA AREATA FOUNDATION 14 MITCHELL BOULEVARD SAN RAFAEL,CA 94903	NONE		UNRESTRICTED	3,000
OCEAN REEF HISTORICAL SOCIETY 200 ANCHOR DRIVE KEY LARGO,FL 33037	NONE		UNRESTRICTED	500
<b>Total . . . . .</b>  <b>3a</b>				356,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
OCEAN REEF MEDICAL CENTER FOUNDATIO 50 BARRACUDA LANE KEY LARGO,FL 33037	NONE		UNRESTRICTED	1,000
PARALYZED VETERANS OF AMERICA 801 EIGHTEENTH STREET NW WASHINGTON,DC 200063517	NONE		UNRESTRICTED	1,000
PLANNED PARENTHOOD OF CHICAGO 18 S MICHIGAN AVE 6TH FLO CHICAGO,IL 60610	NONE		UNRESTRICTED	15,000
PLANNED PARENTHOOD OF THE HEARTLAND PO BOX 4557 DES MOINES,IA 503054557	NONE		UNRESTRICTED	1,500
PROTESTANT FOUNDATION OF OCEAN REEF 23 OCEAN REEF DRIVE KEY LARGO,FL 33037	NONE		UNRESTRICTED	1,000
RAY GRAHAM ASSOCIATION 901 WARRENVILLE RD LISLE,IL 60532	NONE		UNRESTRICTED	3,000
ROBERT CROWN CENTER FOR HEALTH 21 SALT CREEK LANE HINSDALE,IL 60521	NONE		UNRESTRICTED	250
SALVATION ARMY 1S 415 SUMMIT AVENUE OAK BROOK TERRACE,IL 601813906	NONE		UNRESTRICTED	1,000
SAVE THE CHILDREN 54 WILTON ROAD WESTPORT,CT 06880	NONE		UNRESTRICTED	5,000
SEGUIN SERVICES 3100 S CENTRAL CICERO,IL 60804	NONE		UNRESTRICTED	3,000
SHEDD AQUARIUM 1200 S LAKE SHORE DRIVE CHICAGO,IL 60605	NONE		UNRESTRICTED	800
SHRINERS HOSPITAL FOR CHILDREN 2900 ROCKY POINT DRIVE TAMPA,FL 33607	NONE		UNRESTRICTED	2,000
SMILES INC N2666 COUNTY RD K DARIEN,WI 53114	NONE		UNRESTRICTED	1,000
SMILE TRAIN PO BOX 96231 WASHINGTON,DC 200906231	NONE		UNRESTRICTED	1,750
SMITH COLLEGE P O BOX 340029 NORTHAMPTON,MA 01063	NONE		UNRESTRICTED	3,000
<b>Total . . . . .</b>  <b>3a</b>				356,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
SMITHSONIAN INSTITUTE PO BOX 9016 PITTSFIELD,MA 012029016	NONE		UNRESTRICTED	250
SPECIAL KIDS DAY 533 SPRING ROAD ELMHURST,IL 60126	NONE		UNRESTRICTED	500
ST CHARLES SINGERS 311 N 2ND STREET ST CHARLES,IL 60174	NONE		UNRESTRICTED	3,000
ST JOHNS NORTHWESTERN MILITARY ACAD 1101 GENESEE STREET DELAFIELD,WI 530181498	NONE		UNRESTRICTED	1,000
THE CONSERVATION FUND 1655 N FT MEYERS DRIVE ARLINGTON,VA 22209	NONE		UNRESTRICTED	5,000
THE ENVIORNMENTAL EDUCATION FOUNDAT P O BOX 244 FONTANA,WI 531250244	NONE		UNRESTRICTED	1,000
THE MAGIC FOUNDATION 6645 W NORTH AVENUE OAK PARK,IL 60302	NONE		UNRESTRICTED	500
TRI CITY FAMILY SERVICES 1120 RANDALL COURT GENEVA,IL 60134	NONE		UNRESTRICTED	1,000
TRI CITY HEALTH PARTNERSHIP 318 WALNUT ST CHARLES,IL 60174	NONE		UNRESTRICTED	1,000
TRIANGLE WILDLIFE REAHABILITATION C P O BOX 908 CARRBORO,NC 27510	NONE		UNRESTRICTED	5,000
UNITED COMM CONCERNS ASSOCIATION 125 WCHURCH STREET ELMHURST,IL 60126	NONE		UNRESTRICTED	1,000
UNITED WAY OF DUPAGE PO BOX 5317 OAK BROOK,IL 60522	NONE		UNRESTRICTED	2,000
US FUND FOR UNICEF 125 MAIDEN LANE NEW YORK,NY 10038	NONE		UNRESTRICTED	2,250
USO - WORLD HEADQUARTERS PO BOX 96860 WASHINGTON,DC 200906860	NONE		UNRESTRICTED	1,500
VASSAR COLLEGE BOX 14-124 RAYMOND AVENUE POUGHKEEPSIE,NY 126040014	NONE		UNRESTRICTED	3,200
<b>Total . . . . .</b>  <b>3a</b>				356,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
VFW 406 W 34TH STREET KANSAS CITY,MO 64111	NONE		UNRESTRICTED	1,000
WELLNESS HOUSE 131 N COUNTY LINE ROAD HINSDALE,IL 60521	NONE		UNRESTRICTED	2,500
WM GUY FORBECK RESEARCH FOUNDATION 23 PENISULA DRIVE HILTON HEAD ISLAND,SC 29926	NONE		UNRESTRICTED	2,000
WTTW CHANNEL 11 5400 N ST LOUIS AVENUE CHICAGO,IL 606254698	NONE		UNRESTRICTED	750
YOUNGLIFE SERVICE CENTER 836 W HINSDALE AVENUE HINSDALE,IL 60521	NONE		UNRESTRICTED	250
<b>Total . . . . .</b> ▶ <b>3a</b>				356,100



Form **4562**

Department of the Treasury  
Internal Revenue Service (99)

Depreciation and Amortization  
(Including Information on Listed Property)

▶ See separate instructions.    ▶ Attach to your tax return.

OMB No 1545-0172

**2013**

Attachment  
Sequence No **179**

Name(s) shown on return  
ALBEN F & CLARA G BATES FOUNDATIO

Business or activity to which this form relates  
INDIRECT DEPRECIATION

**Identifying number**  
  
36-6081072

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost	
7	Listed property Enter the amount from line 29	7		
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8		
9	Tentative deduction Enter the smaller of line 5 or line 8	9		
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10		
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11		
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12		
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13		

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property ) (See instructions )

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	118
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (see instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	118
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V

Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)  
**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A—Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? <input type="checkbox"/> Yes <input type="checkbox"/> No						24b If "Yes," is the evidence written? <input type="checkbox"/> Yes <input type="checkbox"/> No			
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost	
25Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)							25		
26 Property used more than 50% in a qualified business use									
		%							
		%							
		%							
27 Property used 50% or less in a qualified business use									
		%				S/L -			
		%				S/L -			
		%				S/L -			
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28			
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29		

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person  
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

30 Total business/investment miles driven during the year (do not include commuting miles)	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
31 Total commuting miles driven during the year												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions)

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions )		
<b>Note:</b> If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles		

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year (see instructions)					
43 Amortization of costs that began before your 2013 tax year				43	
44 Total. Add amounts in column (f) See the instructions for where to report				44	

TY 2013 Accounting Fees Schedule

**Name:** ALBEN F & CLARA G BATES FOUNDATIO

**EIN:** 36-6081072

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	2,935	2,935		

**TY 2013 Compensation Explanation****Name:** ALBEN F & CLARA G BATES FOUNDATIO**EIN:** 36-6081072

Person Name	Explanation
JOSEPHINE B PRASIL	
ROBERT WIER	
HENRY G BATES	
CHRISTINE B CRUM	
JILL E DICKENS	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: ALBEN F & CLARA G BATES FOUNDATIO

EIN: 36-6081072

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LEASEHOLD IMPROVEMENTS	2002-06-30	4,605	1,245	S/L	39 0000	118	118		
COMPUTER (1/3 SHARE)	2011-06-30	317	317	200DB	5 0000				

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2013 Gain/Loss from Sale of Other Assets Schedule**

**Name:** ALBEN F & CLARA G BATES FOUNDATIO

**EIN:** 36-6081072

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
500 SH EXXON MOBIL CORP	2010-05	PURCHASE	2013-01		44,749	32,413			12,336	
90000 SH INDEPENDENT BANK CD	2009-07	PURCHASE	2013-01		90,000	90,000				
100 SH ELI LILLY & CO	2006-01	PURCHASE	2013-02		5,435	5,753			-318	
97 SH ELI LILLY & CO	2006-01	PURCHASE	2013-02		5,272	5,580			-308	
100 SH ELI LILLY & CO	2006-01	PURCHASE	2013-02		5,435	5,753			-318	
100 SH ELI LILLY & CO	2006-01	PURCHASE	2013-02		5,435	5,753			-318	
53 SH ELI LILLY & CO	2006-01	PURCHASE	2013-02		2,880	3,049			-169	
300 SH ELI LILLY & CO	2006-01	PURCHASE	2013-02		16,304	17,258			-954	
90000 SH SOVEREIGN BANK CD	2013-02	PURCHASE	2013-08		90,000	90,000				
100 SH ILLINOIS TOOL WORKS		PURCHASE	2013-10		7,508	3			7,505	
700 SH ILLINOIS TOOL WORKS		PURCHASE	2013-10		52,566	18			52,548	
200 SH ILLINOIS TOOL WORKS		PURCHASE	2013-10		15,018	5			15,013	
1500 SH JPMORGAN CHASE & CO		PURCHASE	2013-10		78,375	1,095			77,280	

TY 2013 Investments Corporate  
Stock Schedule

**Name:** ALBEN F & CLARA G BATES FOUNDATIO  
**EIN:** 36-6081072

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M	76,026	140,250
AGL RESOURCES	8,024	23,709
ANADARKO PETROLEUM	1,272	29,983
APPLIED MATERIALS	53,923	53,070
AT&T	38,852	52,740
AUTOMATIVE DATA PROCESSING	23,318	40,405
BANK OF AMERICA	39,244	15,570
CITIGROUP	5,478	4,481
CURTISS WRIGHT	3,738	62,230
ELI LILLY		
EXXON MOBIL		
HONEYWELL	2,317	94,294
ILLINOIS TOOL WORKS	26	84,080
INTEGRYS ENERGY	8,629	54,410
JOHNSON & JOHNSON	45,460	68,693
JPMORGAN CHASE	2,680	214,622
LINCOLN NATIONAL	7,780	137,722
MICROSOFT	40,366	56,115
NORFOLK SOUTHERN	35,187	171,921
ORACLE	24,900	47,825
PROCTOR & GAMBLE	44,250	61,058
SCHLUMBERGER LIMITED	34,588	199,323
UNION PACIFIC	1,504	165,312
WALMART	47,182	78,690
WELLS FARGO	2,439	14,346
WISCONSIN ENERGY	19,971	41,340
AMERICAN INTERNATIONAL GRW & INC	110,124	127,214
AMERICAN MUTUAL FUND	180,838	205,932
CAPITAL INCOME BUILDER FUND	273,490	297,803
CAPITAL WORLD BOND FUND	104,157	102,315

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CAPITAL WORLD GROWTH & INCOME	292,628	348,716
FRANKLIN BALANCED FUND	356,811	404,377
FRANKLIN INCOME FUND	82,697	93,059
FRANKLIN RISING DIVIDENDS	207,892	281,923
FRANKLIN TEMPLETON FOUNDING FD	255,260	314,162
FRANKLIN UTILITIES	222,759	263,949
INCOME FUND OF AMERICA	275,833	278,973
WASHINGTON MUTUAL INV.	282,724	307,547



**TY 2013 Land, Etc. Schedule**

**Name:** ALBEN F & CLARA G BATES FOUNDATIO

**EIN:** 36-6081072

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
IMPROVEMENTS	4,922	1,680	3,242	3,242

**TY 2013 Other Expenses Schedule****Name:** ALBEN F & CLARA G BATES FOUNDATIO**EIN:** 36-6081072

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
SAFETY DEPOSIT BOX RENTAL	60	60		
OFFICE SUPPLIES EXPENSE	258	258		
POSTAGE & DELIVERY	136	136		
INSURANCE	350	350		

## TY 2013 Taxes Schedule

**Name:** ALBEN F & CLARA G BATES FOUNDATIO

**EIN:** 36-6081072

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANNUAL FILING FEE	41	41		
PAYROLL TAXES	894	894		
FOREIGN TAX	1,113	1,113		
INCOME TAX	2,000			