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Form 990-PF



Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation ALVIN H BAUM FAMILY FUND co JOEL M FRIEDMAN		A Employer identification number 36-6063093	
Number and street (or P O box number if mail is not delivered to street address) 500 W Madison St		Room/suite	B Telephone number (see instructions) (312) 606-3209
City or town, state or province, country, and ZIP or foreign postal code Chicago, IL 60661		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 54,047,750	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	1,147,980	1,147,980	1,147,980	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,302,638			
	b Gross sales price for all assets on line 6a 34,486,660				
	7 Capital gain net income (from Part IV, line 2) . . .		1,302,638		
	8 Net short-term capital gain			76,951	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,450,618	2,450,618	1,224,931	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	 315,896			315,896
	b Accounting fees (attach schedule)	 14,008	7,004		7,004
	c Other professional fees (attach schedule)	 409,949	409,949		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 20,545	2,608		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	19,506			19,506
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	 14,048	25		14,023
	24 Total operating and administrative expenses. Add lines 13 through 23	793,952	419,586		356,429
	25 Contributions, gifts, grants paid	2,049,238			2,049,238
	26 Total expenses and disbursements. Add lines 24 and 25	2,843,190	419,586		2,405,667
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-392,572			
	b Net investment income (if negative, enter -0-)		2,031,032		
	c Adjusted net income (if negative, enter -0-)			1,224,931	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	69,470	152,505	152,505
	2	Savings and temporary cash investments	10,172,498	852,107	852,107
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	34,519,738	43,364,523	53,043,138
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	 1			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	44,761,707	44,369,135	54,047,750	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,121,731	1,121,731	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	42,441,854	43,639,976	
	29	Retained earnings, accumulated income, endowment, or other funds	1,198,122	-392,572	
	30	Total net assets or fund balances (see page 17 of the instructions)	44,761,707	44,369,135	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	44,761,707	44,369,135	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 44,761,707
2	Enter amount from Part I, line 27a	2 -392,572
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 44,369,135
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 44,369,135

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,302,638
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	76,951

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	2,177,921	47,074,407	0 04627
2011	2,273,516	46,506,104	0 04889
2010	2,163,265	43,048,239	0 05025
2009	2,135,836	39,255,901	0 05441
2008	1,797,892	45,674,889	0 03936

2	Total of line 1, column (d).	2	0 23918
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 04784
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	49,768,415
5	Multiply line 4 by line 3.	5	2,380,672
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	20,310
7	Add lines 5 and 6.	7	2,400,982
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	2,405,667

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 20,310
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2
3 Add lines 1 and 2.		3 20,310
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 20,310
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 21,000	
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.		7 21,000
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ☐		9
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ☐		10 690
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ 690 Refunded ☐		11

Part VII-A **Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ IL _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶alvinbaumfamilyfund.org	13	Yes	
14	The books are in care of ▶JOEL M FRIEDMAN Telephone no ▶(312) 606-3209 Located at ▶500 W MADISON ST 3700 CHICAGO IL ZIP +4 ▶60661			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOEL M FRIEDMAN 500 W MADISON STREET 3700 CHICAGO,IL 60661	President/Dir 0 00	0		
ROSS FRIEDMAN 500 W MADISON STREET 3700 CHICAGO,IL 60661	Vice Pres/Dir 0 00	0		
IRIS FRIEDMAN 500 W MADISON STREET 3700 CHICAGO,IL 60661	Secretary/Dir 0 00	0		
Kevin Friedman 500 W MADISON STREET 3700 CHICAGO,IL 60661	Director 0 00	0		

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	48,138,029
b	Average of monthly cash balances.	1b	2,388,281
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	50,526,310
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	50,526,310
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	757,895
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	49,768,415
6	Minimum investment return. Enter 5% of line 5.	6	2,488,421

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,488,421
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	20,310
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	20,310
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	2,468,111
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	2,468,111
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	2,468,111

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,405,667
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,405,667
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	20,310
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,385,357
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,468,111
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			1,934,804	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 2,405,667				
a Applied to 2012, but not more than line 2a			1,934,804	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				470,863
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,997,248
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAIN DIVIDENDS	P	2012-01-01	2013-12-01
SCHWAB(ST)- DETAILS AVAILABLE ON REQUEST	P	2013-01-01	2013-12-01
SCHWAB(LT)- DETAILS AVAILABLE ON REQUEST	P	2012-01-01	2013-12-01
SCHWAB(ST)- DETAILS AVAILABLE ON REQUEST	P	2013-01-01	2013-12-01
SCHWAB(LT)- DETAILS AVAILABLE ON REQUEST	P	2012-01-01	2013-12-01
PERSHING (LT)- DETAILS AVAIL ON REQUEST	P	2012-01-01	2013-12-01
PERSHING (ST)- DETAILS AVAIL ON REQUEST	P	2013-01-01	2013-12-01
BANK OF AMERICA(LT)- AVAILABLE UPON REQ	P	2012-01-01	2013-12-01
Bank of America(ST)- Unallowed Loss	P	2013-01-01	2013-12-01
Bank of America(LT)- Unallowed Loss	P	2012-01-01	2013-12-01
SCHWAB(ST)- DETAILS AVAILABLE ON REQUEST	P	2013-01-01	2013-12-01
SCHWAB(LT)- DETAILS AVAILABLE ON REQUEST	P	2012-01-01	2013-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,519			18,519
1,367,732		1,382,385	-14,653
145,000		146,631	-1,631
5,229,131		5,287,465	-58,334
1,975,023		1,528,572	446,451
334,739		288,575	46,164
319,155		200,782	118,373
18,558,014		17,989,795	568,219
53,725			53,725
1,415			1,415
2,620,426		2,642,586	-22,160
3,863,781		3,717,231	146,550

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18,519
			-14,653
			-1,631
			-58,334
			446,451
			46,164
			118,373
			568,219
			53,725
			1,415
			-22,160
			146,550

TY 2013 Accounting Fees Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	14,008	7,004	0	7,004

TY 2013 Legal Fees Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	315,896	0	0	315,896

TY 2013 Other Expenses Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 13000170

Software Version: 2013v3.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATIVE FEES	4,647			4,647
BUSINESS MEALS	459			459
DUES	1,116			1,116
INSURANCE	750			750
MEETINGS	4,041			4,041
OFFICE	500			500
PARKING	510			510
STATE FILING FEES	25	25		
WEBSITE	2,000			2,000

TY 2013 Other Professional Fees Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT COUNSELING	289,949	289,949	0	0
INVESTMENT SUPERVISOR	120,000	120,000	0	0

TY 2013 Taxes Schedule

Name: ALVIN H BAUM FAMILY FUND
co JOEL M FRIEDMAN

EIN: 36-6063093

Software ID: 13000170

Software Version: 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX DEPOSIT	17,937			
FOREIGN TAXES	2,608	2,608		

Alvin H. Baum Family Fund
General Ledger
As of December 31, 2013

Date	Num	Name	Amount
Contributions			
6/17/2013	5526	AAAS	500 00
11/14/2013	5617	Adler Planetarium	1,500.00
6/28/2013	5538	Almost Home Kids	14,000 00
6/24/2013	5532	Alzheimer's Association	2,000 00
6/24/2013	5533	American Cancer Society	2,500 00
6/24/2013	5534	American Diabetes Association	1,000 00
6/24/2013	5535	American Heart Association	1,000 00
6/25/2013	5536	American Jewish World Service	1,000 00
6/25/2013	5537	American Kidney Fund	2,000.00
7/16/2013	void 5537	American Kidney Fund	(2,000 00)
6/28/2013	5539	American Parkinson Disease Association	500 00
6/28/2013	5541	American Red Cross	500 00
6/17/2013	5527	Art Institute	2,200 00
11/11/2013	5611	Aspiritech	2,500 00
10/3/2013	5590	Association of Small Foundations	725 00
2/7/2013	5501	Bernie's Book Bank	2,500 00
10/31/2013	5602	Big Brothers Big Sisters	35,000 00
7/8/2013	5546	Boys & Girls Club of Lake County	2,000 00
10/17/2013	5598	Brain Research Foundation	5,000 00
10/29/2013	5600	Cara Program	50,000 00
5/23/2013	5520	Chai Lifeline	20,000 00
8/20/2013	5570	Chai Lifeline	50,000 00
8/21/2013	5571	Chicago's Green City Market	20,000 00
1/11/2013	5494	Chicago Botanic Garden	2,500 00
9/12/2013	5584	Chicago Botanic Garden	20,000 00
12/20/2013	5629	Chicago Coalition for the Homeless	55,000 00
1/30/2013	5496	Chicago Council on Global Affairs	10,000 00
9/24/2013	5589	Chicago Council on Science & Technology	10,000 00
8/26/2013	5581	Chicago Fire Foundation	100 00
10/17/2013	5596	Chicago History Museum	250 00
1/31/2013	5500	Chicago Legal Clinic	25,000.00
7/3/2013	5544	Chicago Legal Clinic	25,000 00
12/20/2013	5630	Chicago Women in Trades	1,000 00
10/30/2013	5601	Chicago Youth Centers	50,000 00
10/7/2013	5595	Chicago Zoological Society	1,500 00
7/9/2013	5547	Children's Cancer Research Fund	1,000 00
5/1/2013	5516	CJE Senior Life	50,000 00
5/10/2013	5518	CJE Senior Life	5,000 00
9/11/2013	5583	Cutting Edge Foundation	1,000 00
10/4/2013	5591	Designs for Dignity	27,500 00
7/9/2013	5548	Disabled American Veterans	500 00
11/19/2013	5619	Donors Forum of Chicago	3,930.00
7/9/2013	5549	Environmental Defense Fund	1,000 00
12/4/2013	5623	Environmental Defense Fund	1,000 00
1/31/2013	5497	Environmental Law	50,000 00
11/13/2013	5615	Field Museum	2,500 00
2/8/2013	5504	Friends of Robert Emmet School	500 00
7/9/2013	5550	Friends of the Chicago River	1,500 00
11/11/2013	5606	Gastrointestinal Research Fdn	1,000 00
7/9/2013	5551	Hadley School for the Blind	2,000 00
12/10/2013	5626	Haymarket Center	25,000 00
2/25/2013	5505	Highland Park Public Library	5,000 00
6/17/2013	5529	Illinois Holocaust Museum	62,500 00
7/9/2013	5552	Illinois Special Olympics	1,000 00
3/4/2013	5507	Immaculate Conception Parish	12,500 00
7/8/2013	5545	Immaculate Conception Parish	12,500 00
12/24/2013	5632	Immaculate Conception Parish	5,000 00
12/9/2013	5625	Interfaith House	30,000 00
1/31/2013	5499	Jewish Council for Youth Services	28,000 00
6/17/2013	5528	Jewish Council for Youth Services	28,000 00
11/11/2013	5608	Jewish United Fund	15,000 00
9/24/2013	5587	Jewish Vocational Services	500 00
3/21/2013	5511	John Marshall Law School	50,000 00
11/15/2013	5618	John Marshall Law School	1,500 00
7/16/2013	5553	Josselyn Center	3,000 00
5/1/2013	5514	La Rabida Children's Hospital	25,000 00
11/11/2013	5610	La Rabida Children's Hospital	25,000 00

03/26/14

Alvin H. Baum Family Fund
General Ledger
As of December 31, 2013

Date	Num	Name	Amount
6/17/2013	5530	Lakeside Congregation for Reform Judaism	30,000 00
7/16/2013	5554	Leukemia & Lymphoma Society	2,000 00
11/11/2013	5605	Lincoln Park Zoo	1,500 00
6/17/2013	5524	Little Brothers - Friends of the Elderly	25,000 00
5/10/2013	5519	Little City Foundation	50,000 00
10/29/2013	5599	Loyola University	50,000 00
10/7/2013	5594	Lurie Children's Hospital	500 00
10/7/2013	5593	Lynn Sage Cancer Research Foundation	1,000 00
8/8/2013	5556	MADD	1,000 00
8/8/2013	5555	Make-A-Wish Foundation	20,000 00
8/8/2013	5557	March of Dimes	500 00
8/8/2013	5558	Marines Toys for Tots	500 00
8/8/2013	5559	Mayo Foundation	5,000 00
6/7/2013	5521	McGaw YMCA	15,000 00
6/10/2013	5523	Ment School of Music	2,500 00
12/2/2013	5622	Merit School of Music	55,000 00
8/8/2013	5560	Midwest Palliative & Hospice Care Center	500 00
11/12/2013	5613	Misericordia	30,000 00
9/24/2013	5586	Museum of Science & Industry	1,500 00
8/8/2013	5561	National Fdn for Cancer Research	1,000 00
8/8/2013	5562	National Heart Council	300 00
8/8/2013	5563	National Jewish Health	500 00
8/9/2013	5565	National MS Society	5,000 00
2/8/2013	5503	Northwestern Memorial Foundation	100,000.00
11/11/2013	5612	Northwestern University Settlement	75,000 00
9/24/2013	5588	Ounce of Prevention Fund	50,000.00
11/27/2013	5620	PADS Crisis Services, Inc	50,000 00
1/18/2013	5495	Palm Beach Friends of AFMDA	1,000 00
8/9/2013	5566	Parkinson's Disease Foundation	500 00
8/9/2013	5564	Peggy Notebaert Nature Museum	500 00
8/9/2013	5567	Posse Foundation, Inc.	1,000 00
12/23/2013	5631	Rebuilding Exchange	1,000 00
5/1/2013	5513	Rush University Medical Center	50,000 00
8/22/2013	5572	Shedd Aquarium	2,500 00
8/22/2013	5574	St Jude's Children's Research Hospital	4,000 00
11/7/2013	5604	Sykuta Elementary School	100.00
6/28/2013	5540	The Ark	500 00
1/8/2013	5491	The Cove School	25,000 00
5/1/2013	5515	The Cove School	25,000 00
1/31/2013	5498	The Night Ministry	1,000 00
8/9/2013	5569	The Salvation Army	5,000 00
8/22/2013	5573	The Smile Train	1,500.00
8/22/2013	5575	UNICEF	500 00
8/22/2013	5577	United Way of the North Shore	1,000 00
6/18/2013		Uniting Against Lung Cancer	500 00
11/13/2013	5616	University of Chicago Medical Center	50,000 00
1/9/2013	5492	University of Illinois	33,000.00
12/12/2013	5627	University of Illinois	333,333 00
11/11/2013	5609	University of Illinois Athletics	500 00
8/23/2013	5579	University of Illinois Foundation	2,000 00
8/23/2013	5580	University of Illinois I Fund	6,000 00
11/13/2013	5614	Uptown People's Law Center	10,000 00
8/22/2013	5576	US Olympic Committee	200 00
8/22/2013	5578	WTTW Channel 11	2,500 00
9/12/2013	5585	WTTW Channel 11	25,000 00
11/11/2013	5607	Yellowstone Association	100.00
Total Contributions			2,049,238 00
TOTAL			2,049,238.00

Company	Mailing Address
Categories: 2013 (106 Items)	
Adler Planetarium	1300 South Lake Shore Drive, Chicago, IL 60605
Almost Home Kids	75721 State Route 53, Naperville, IL 60540
Alzheimer's Association	P.O. Box 96011, Washington, DC 20090
American Ass'n for the Advancement of Science	P.O. Box 55174, Boston, MA 02205
American Cancer Society Illinois Division Inc.	P.O. Box 7561, Chicago, IL 60680
American Diabetes Association	P.O. Box 1834, Merrifield, VA 22116
American Heart Association	Gift Processing Center, P.O. Box 78851, Phoenix, AZ 85062
American Jewish World Service	45 West 36th Street, 11th Floor, New York, NY 10018
American Parkinson Disease Association	Central DuPage Hospital Care Center, 1800 North Main Street, Suite 215, Wheaton, IL 60187
American Red Cross	Rauner Center, 2200 West Harrison Street, Chicago, IL 60612
Ark, The	The Seymour H. Persky Building, 6450 North California Avenue, Chicago, IL 60645
Aspiritech	1950 Sheridan Road, Suite 200, Highland Park, IL 60035
Association of Small Foundations	P.O. Box 247, Winooski, VT 05404
Bernie's Book Bank	28101 North Ballard Drive, Unit A, Lake Forest, IL 60045
Big Brothers Big Sisters of Metro Chgo	560 West Lake Street, 5th Floor, Chicago, IL 60661
Boys & Girls Club of Lake County	1801 Sherdan Road, Suite 202, North Chicago, IL 60064
Brain Research Foundation	205 West Wacker Drive, Suite 1400, Chicago, IL 60606
Cara Program	237 South Des Plaines, Chicago, IL 60661
Chai Lifeline	6660 North Lincolnwood Avenue, Suite 300, Lincolnwood, IL 60712
Chicago Botanic Garden	1000 Lake Cook Road, Glencoe, IL 60022
Chicago Coalition for the Homeless	70 East Lake Street, 7th Floor, Chicago, IL 60601
Chicago Council on Global Affairs	332 South Michigan Avenue, Suite 1100, Chicago, IL 60604
Chicago Council on Science and Technology	10 West 35th Street, 10th Floor, Chicago, IL 60616
Chicago Fire Foundation	7000 South Harlem Avenue, Bridgeview, IL 60455
Chicago History Museum	1601 North Clark Street, Chicago, IL 60614
Chicago Legal Clinic	South Chicago Office, 2938 East 91st Street, Chicago, IL 60617
Chicago Women in Trades	2444 West 16th Street, Chicago, IL 60608
Chicago Youth Centers	218 South Wabash, Suite 600, Chicago, IL 60604
Chicago's Green City Market	2732 North Clark Street, Suite 302, Chicago, IL 60614
Children's Cancer Research Fund	Donor Processing Center, P.O. Box 96790, Washington, DC 20090
CJE Senior Life	3003 West Touhy Avenue, Chicago, IL 60645
Cove School, The	350 Lee Road, Northbrook, IL 60062
Cutting Edge Foundation	P.O. Box 2266, Irmo, SC 29063
Designs for Dignity	222 Merchandise Mart Plaza, Suite 9-102, Chicago, IL 60654
Disabled American Veterans	P.O. Box 14301, Cincinnati, OH 45250-0301
Donors Forum of Chicago	208 South LaSalle Street, Suite 1540, Chicago, IL 60604
Environmental Defense Fund	1875 Connecticut Avenue, NW, Suite 600, Washington, DC 20009
Environmental Defense Fund	P.O. Box 5055, Hagerstown, MD 21741
Environmental Law & Policy Center	35 East Wacker Drive, Suite 1600, Chicago, IL 60601
Field Museum, The	1400 South Lake Shore Drive, Chicago, IL 60605
Friends of Robert Emmet School	55 West Monroe Street, Suite 3950, Chicago, IL 60603
Friends of the Chicago River	411 South Wells Street, Suite 800, Chicago, IL 60607
Gastro-Intestinal Research Foundation	70 East Lake Street, Suite 1015, Chicago, IL 60601
Hadley School for the Blind	700 Elm Street, Winnetka, IL 60093
Haymarket Center	Ann F. Baum Family Enrichment Center, 124 North Sangamon, Chicago, IL 60607
Highland Park Library	494 Laurel Avenue, Highland Park, IL 60035
Illinois Holocaust Museum and Education Center	9641 Woods Drive, Skokie, IL 60077
Illinois Special Olympics	605 East Willow Street,, P.O. Box 699, Normal, IL 61761
Immaculate Conception Parish	770 West Deerfield Road, Highland Park, IL 60035
Interfaith House	3456 West Franklin Boulevard, Chicago, IL 60624
Jewish Council for Youth Services	180 West Washington Street, Suite 1100, Chicago, IL 60602
Jewish United Fund of Metropolitan Chicago	30 South Wells Street, Chicago, IL 60606
Jewish Vocational Service	216 West Jackson Boulevard, Suite 700, Chicago, IL 60606
John Marshall School of Law	321 South Plymouth Court, 14th Floor, Chicago, IL 60604
Josselyn Center, The	405 Central Avenue, Northfield, IL 60093
La Rabida Children's Hospital	East 65th Street at Lake Michigan, Chicago, IL 60649
Lakeside Congregation for Reform Judaism	1221 County Line Road, Highland Park, IL 60035
Leukemia & Lymphoma Society Illinois Chapter	651 West Washington Boulevard, Suite 400, Chicago, IL 60661
Lincoln Park Zoo	2001 North Clark Street, Chicago, IL 60614
Little Brothers Friends of the Elderly	355 North Ashland Avenue, Chicago, IL 60607
Little City Foundation	1760 West Algonquin Road, Palatine, IL 60067

Company	Mailing Address
Loyola University	6525 North Sheridan Road, Chicago, IL 60626
Lurie Children's Hospital	225 East Chicago Avenue, Chicago, IL 60611
Lynn Sage Cancer Research Foundation	251 East Huron Street, Suite 3-200, Chicago, IL 60611
MADD	National Processing Center, P.O. Box 758521, Topeka, KS 66675
Make-A-Wish Foundation of Illinois	640 North LaSalle Street, Suite 280, Chicago, IL 60610
March of Dimes	Donor Service Center, P.O. Box 8972, Topeka, KS 66608
Marine Toys for Tots Foundation	P.O. Box 4002896, Des Moines, IA 50340
Mayo Foundation	200 1st Street S.W., Rochester, MN 55902
McGaw YMCA	1000 Grove Street, Evanston, IL 60201
Ment School of Music	38 South Peoria Street, Chicago, IL 60607
Midwest Palliative & Hospice Care Center	2050 Claire Court, Glenview, IL 60025
Misericordia Home	6300 North Ridge, Chicago, IL 60660
Museum of Science & Industry	5700 South Lake Shore Drive, Chicago, IL 60637
National Fdn for Cancer Research	4600 East West Highway, Suite 525, Bethesda, MD 20814
National Heart Council	P.O. Box 20633, St. Louis, MO 63139
National Jewish Health	P.O. Box 5898, Denver, CO 80217
National MS Society	525 West Monroe Street, Suite 900, Chicago, IL 60661
Northwestern Memorial Foundation	251 East Huron Street, Suite 3-200, Chicago, IL 60611
Northwestern University Settlement Association	1400 Augusta Boulevard, Chicago, IL 60622
Ounce of Prevention Fund	33 West Monroe Street, Suite 2400, Chicago, IL 60603
PADS Crisis Services Inc.	3001 Green Bay Road, Building #5, North Chicago, IL 60064
Palm Beach Friends of AFMDA	3300 PGA Boulevard, Suite 510, Palm Beach Gardens, FL 33410
Parkinson's Disease Foundation	1359 Broadway, Suite 1509, New York, NY 10138
Peggy Notebaert Nature Museum	2430 North Cannon Drive, Chicago, IL 60614
Posse Foundation, Inc.	111 West Jackson Boulevard, Suite 1900, Chicago, IL 60604
Rebuilding Exchange	1740 West Webster Street, Chicago, IL 60614
Rush University Medical Center	1700 West Van Buren, Suite 250, Chicago, IL 60612
Salvation Army, The	5040 North Pulaski Road, Chicago, IL 60630
Shedd Aquarium	75 Remittance Drive, Suite 3056, Chicago, IL 60675
Smile Train, The	P.O. Box 96246, Washington, DC 20090
St. Jude Children's Research Hospital	501 St. Jude Place, Memphis, TN 38105
Sykuta Elementary School	4301 West 180th Street, Country Club Hills, IL 60478
The Night Ministry	4711 North Ravenswood Avenue, Chicago, IL 60640
U. S. Fund for Unicef	125 Maiden Lane, Floor 11, New York, NY 10273
UIC Athletics	839 Roosevelt Road, M/C 195, Chicago, IL 60618
United States Olympic Committee	P.O. Box 7010, Albert Lea, MN 56007
United Way of the North Shore	P.O. Box 2103, Northbrook, IL 60065
University of Chicago	Office of Medical Center Development, 1170 East 58th Street, Chicago, IL 60637
University of Illinois	c/o UIC Athletics, 839 Roosevelt Road, MC 195, Chicago, IL 60608
University of Illinois Foundation	601 East John Street, Champaign, IL 61820
University of Illinois Foundation	P.O. Box 3429, Champaign, IL 61826
University of Illinois I Fund	1700 South Fourth Street, Champaign, IL 61820
Uptown Peoples Law Center	4413 North Sheridan Road, Chicago, IL 60640
WTTW Channel 11	5400 North St. Louis Avenue, Chicago, IL 60625
Yellowstone Association	P.O. Box 117, Yellowstone National Park, WY 82190

BONDS
Year Ending December 31, 2013

--ACQUISITIONS--				-- DISPOSITIONS --				-G/L-		-ON HAND AT 12/31/2013-				
DATE		SECURITY	SHARES	COST	SHARES	INTEREST	TRANSFER	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	COST	
DATE ACQUIRED	DATE DISPOSED													
7-30-13	11-4-13	U.S. TREASURY NOTE 25% 7-31-15	325,000	323,284.13	145,000	144,824.41	(198,940.00)	90,000	90,000.00	117.00	0.00	55,000	54,941.41	
11-27-12		U.S. TREASURY NOTE 5% 7-31-17						300,000	98,535.16	(934.84)	0.00	25,000	24,876.13	
TOTAL TREASURY BONDS			325,000	323,284.13	145,000	144,824.41	0.00	390,000	188,535.16	(817.84)	0.00	80,000	79,817.54	
												SUM LINE	79,817.54	
CORPORATE BONDS														
1-24-06		BANC OF AMERICA 5.5% 9-25-35	300,000	93,635.81					34,661.26	0.00	0.00	300,000	58,974.55	
12-18-09		BANC OF AMERICA 5.75% 12-25-24	1,475,000,000	114,916.03				1,475,000,000	29,633.37	0.00	0.00	0	0.00	
6-24-09		BANK OF AMERICA AT 5% 7-25-19	250,000	53,485.07			(85,282.66)		14,032.83	0.00	0.00	250,000	39,452.24	
12-21-10	3-13-13	BMW BANK NA 1.35% 12-30-13	100,000	100,000.00				100,000	100,580.00	0.00	580.00	0	0.00	
4-28-10		BNFS RAILWAY 5.627% 4-1-24	465,000	318,185.70					27,326.44	0.00	0.00	465,000	290,859.26	
1-11-06		CHASE MIG FIN VAP% 12-25-35	250,000	7,287.35					1,534.73	0.00	0.00	250,000	5,752.62	
11-28-12		CHRYSLER CORP 1.04% 12-5-17	325,000	325,000.00				335,000	320,962.75	(4,037.25)	0.00	0	0.00	
12-19-06		COUNTRYWIDE HM INS 0% 12-26-19	116,705	15,306.46					5,637.98	0.00	0.00	116,705	9,668.48	
12-3-08		CSX TRANS & 251% 1-15-23	280,000	202,123.17					13,137.52	0.00	0.00	280,000	188,985.65	
7-19-12		FBAY INC 1.35% 7-15-17	410,000	409,766.30			(199,880.00)	410,000	212,158.80	2,272.50	0.00	0	0.00	
8-1-13	10-31-13	FORD MTR CR CO 4.37% 6-6-23			47,000	46,561.02			48,340.44	1,779.42	0.00	0	0.00	
8-19-10	3-13-13	GE MONEY BANK NA 1.5% 8-20-14	153,000	153,000.00				47,000	155,562.75	0.00	2,562.75	0	0.00	
		GENERAL ELEC CO 5% 2-1-13	355,000	348,968.00				153,000	355,347.90	0.00	6,379.90	0	0.00	
	1-17-13	JP MORGAN CHASE 4.654% 1-12-37	215,000	172,124.69			(164,969.46)	215,000	7,155.23	0.00	0.00	0	0.00	
1-14-11		JP MORGAN CHASE 4.625% 5-10-21	300,000	301,156.10	110,000	122,009.80	(150,570.00)	300,000	161,634.00	0.00	11,047.90	0	0.00	
5-15-13	11-7-13	KELCOG CO NEW 3.75% 11-15-21	285,000	300,190.50				110,000	116,736.40	(5,273.40)	0.00	0	0.00	
9-18-07		PETROBRILL FOUR 4.62% 4-15-16	100,000	28,205.59				285,000	289,982.55	(6,707.95)	[9,537.00]	0	0.00	
11-14-08	3-19-13	PHILIP MORRIS NOTES 5.65% 5-16-18	250,000	272,362.50				150,000	180,028.50	0.00	46,818.50	100,000	19,874.17	
2-9-10		RESIDENTIAL ASSET 5% 7-25-18	325,000	37,781.82					10,874.20	0.00	325,000	100,000	88,952.50	
3-23-06		WACHOVIA MTG LN VAP% 10-20-35	245,000	88,744.29					8,593.39	0.00	0.00	245,000	26,907.62	
9-20-06		WASHINGTON MUTUAL 4% 2-25-37	702,209	0.00		2,271.26			2,271.26	0.00	0.00	702,209	80,150.90	
9-9-09		WASHINGTON MUTUAL 5% 10-25-18	590,000	53,276.27			(46,558.06)	590,000	6,718.21	0.00	0.00	0	0.00	
2-20-08		WELLS FARGO MTG 4.75% 12-25-18	445,000	35,657.65			(26,873.33)	445,000	8,784.32	0.00	0.00	0	0.00	
10-22-10	3-13-13	WILMINGTON TR CO CD 1.5% 10-29-13	130,000	130,000.00				130,000	130,650.00	0.00	650.00	0	0.00	
TOTAL CORPORATE BONDS			1,481,591.914	3,511,172.30	157,000	148,570.82	2,271.26	(674,133.51)	1,478,615.000	(5,929.46)	58,302.05	3,133,914	807,577.91	
MUNICIPAL BONDS														
10-23-08	1-9-13	OHIO ST GO 5.45% 5-1-17	250,000	244,185.00				250,000	293,540.00	0.00	49,355.00	0	0.00	
5-18-11	3-13-13	PHOENIX ARIZ 4.907% 7-1-24	220,000	220,000.00				220,000	242,506.00	0.00	22,506.00	0	0.00	
10-20-10		SALT LAKE CNTY UT 1.7% 11-1-14	300,000	301,728.00				300,000	304,665.00	0.00	2,937.00	0	0.00	
12-3-08	3-13-13	SOUTH CAROLINA 4.75% 12-1-17	250,000	241,135.00				250,000	275,000.00	0.00	33,865.00	0	0.00	
5-19-09	9-9-13	SOUTH CAROLINA REV 6.808% 1-1-14	35,000	39,383.40				35,000	35,707.08	0.00	[3,674.32]	0	0.00	
TOTAL MUNICIPAL BONDS			1,055,000	1,044,431.40	0	0.00	0.00	0.00	1,055,000	1,151,420.08	0.00	104,868.48	0	0.00
U.S. GOVERNMENT BONDS														
7-9-09		CS FIRST BOSTON MTG 5% 10-25-19	129,000	17,538.50				129,000	3,891.67	0.00	0.00	0	0.00	
2-15-12	6-3-13	FEDTL NATL MTG ASSN 2.717% 2-25-22	365,000	368,625.55			(13,646.83)	365,000	368,193.75	0.00	[431.80]	0	0.00	
9-19-12	3-13-13	FLHMC CO-3761.3.5% 2-1-42	640,000	613,190.20				640,000	598,568.43	(14,621.77)	0.00	0	0.00	
4-17-09		FLHMC IB-4299 EIT 2038 9-1-38	320,000	17,709.32					3,367.35	0.00	0.00	320,000	14,341.97	
2-13-12		FNMA PL A01601 3.5% 10-1-25	780,000	434,508.03				400,000	84,241.73	0.00	0.00	380,000	150,866.30	
5-18-12		FNMA PL A10065 4.5% 4-1-41	650,000	500,699.75			(199,400.00)	650,000	259,452.01	0.00	[9,457.74]	0	0.00	
8-3-12	3-13-13	FNMA PL A03466 3% 6-1-27	569,532	561,442.06			(231,790.00)	569,532	555,034.52	(6,407.54)	0.00	0	0.00	
1-23-06	1-25-13	FNMA PL 555162 4.835% VAP% 1-1-13	475,000	14,912.75					17,060.77	0.00	0.00	0	0.00	
11-25-08		FNMA PL 745530 5.273% 4-1-16	260,000	202,039.71			(201,013.04)	260,000	1,026.67	0.00	2,148.02	0	0.00	
1-23-09		FNMA PL B88558 5.488% 6-1-17	114,000	79,206.45					1,188.79	0.00	0.00	114,000	78,017.66	
12-16-08	3-12-13	HOUSING URBAN DE 4.15% 8-1-14	500,000	533,250.00				500,000	526,000.00	0.00	[7,250.00]	0	0.00	
9-29-09		HOUSING URBAN DE 5.77% 8-1-17	260,000	282,750.00			(261,000.00)	260,000	20,000.00	0.00	[1,750.00]	0	0.00	
5-4-08		MASTER ALTERNATIVE REMIC 4.5% 9-25-19	330,000	46,361.05			(58,937.27)	330,000	7,423.78	0.00	0.00	0	0.00	
	BLOCKAGE	MASTER ASSET FIX 4.75% 1-25-14	450,000	7,141.43		2,398.64		450,000	9,540.07	0.00	0.00	0	0.00	
TOTAL GOVERNMENT BONDS			5,842,532	3,479,374.80	0	0.00	2,398.64	(945,787.14)	5,028,532	2,454,981.54	(21,029.31)	814,000	243,225.93	
TOTAL GOVERNMENT BONDS														
SUM LINE														
240,827.29														

BONDS
Year Ending December 31, 2013

			-ON HAND AT 1/1/2013-			--ACQUISITIONS--			---DISPOSITIONS---			--G/L--			-ON HAND AT 12/31/2013-		
SECURITY	DATE ACQUIRED	DATE DISPOSED	SHARES	COST	SHARES	COST	INTEREST	TRANSFER	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	COST	SHARES	COST	
OTHER ASSETS																	
ISHARES ETF FLOATING RATE NOTE FUND	BLOCKAGE	BLOCKAGE	7,540	376,006.13	1,125	56,942.95			8,665	438,565.55	5,616.47	0.00	0	0	0	(0.00)	
US BANCORP 6% PREFERRED	5-8-13				3,485	98,683.24					0.00		3,485	98,683.24			
TOTAL OTHER BONDS			7,540	376,006.13	4,610	155,626.19	0.00	0.00	8,665	438,565.55	5,616.47	0.00	3,485	98,683.24	SUM LINE		
TOTAL BONDS			1,488,821,784	8,934,271.76	306,610	469,021.42	4,669.90	(1,818,840.65)	1,485,097.197	6,484,186.58	(22,140.34)	146,549.21	4,031,379	1,231,304.70	98,683.24		
BLOCKAGE																	
CHEVRON CORP 1 104% 12-5-17	11-28-12	3-19-13	325,000	325,000.00					175,000	175,393.75	393.75	0.00	150,000	150,000.00	150,000.00		
		9-3-13	325,000	325,000.00	0	0.00	0.00	0.00	325,000	320,962.75	(4,037.25)	0.00	0	0	(150,000.00)		
			410,000	409,766.30				(199,880.00)	200,000		0.00	0.00	210,000	209,886.30	209,886.30		
		5-15-13	410,000	409,766.30	0	0.00	0.00	(199,880.00)	410,000	212,158.80	2,272.50	0.00	(210,000)	(209,886.30)	0		
FNMA PL A10065 4.5% 4-1-41	5-18-12	12-20-13	650,000	500,699.75				(231,790.00)	325,000	74,433.06	0.00	0.00	325,000	194,476.69	194,476.69		
			650,000	500,699.75	0	0.00	0.00	(231,790.00)	650,000	259,452.01	0.00	(9,457.74)	(325,000)	(194,476.69)	0		
			200,000	199,300.00					200,000	200,180.00	0.00	880.00	0	0	0		
GENERAL ELEC CO 5% 2-1-13	1-27-06	1-17-13	155,000	149,648.00					155,000	155,167.90	0.00	5,499.90	0	0	(0.00)		
	3-3-08	1-17-13	355,000	348,968.00	0	0.00	0.00	0.00	355,000	355,347.90	0.00	6,379.90	0	0	(0.00)		
			240,000	282,750.00					20,000	20,000.00	0.00	(1,750.00)	240,000	261,000.00	261,000.00		
		2-1-13	240,000	282,750.00	0	0.00	0.00	(261,000.00)	240,000	20,000.00	0.00	(1,750.00)	0	0	0		
			300,000	301,156.10				(150,570.00)	150,000	161,634.00	0.00	11,047.90	150,000	150,586.10	(150,586.10)		
		12-10-13	300,000	301,156.10	0	0.00	0.00	(150,570.00)	300,000	161,634.00	0.00	11,047.90	0	0	0		
			335,000	5,514.44			1,846.59		335,000	7,363.03	0.00	0.00	0	0	0		
MASTER ASSET FIX 4.75% 1-25-14	11-20-07	12-26-13	115,000	1,626.99			550.05		115,000	2,177.04	0.00	0.00	0	0	(0.00)		
	2-11-08	12-26-13	450,000	7,141.43	0	0.00	2,398.64	0.00	450,000	9,540.07	0.00	0.00	0	0	(0.00)		
			285,000	300,190.50					135,000	141,524.55	(670.95)	0.00	150,000	157,995.00	157,995.00		
MOSAC CO NEW 3.75% 11-15-21	6-25-12	3-19-13	285,000	300,190.50	0	0.00	0.00	0.00	150,000	148,458.00	0.00	(9,537.00)	(150,000)	(157,995.00)	(157,995.00)		
		10-28-13	285,000	300,190.50	0	0.00	0.00	0.00	285,000	289,982.55	(670.95)	(9,537.00)	0	0	0		
			7,540	376,006.13					4,540	229,761.23	3,360.06	0.00	3,000	149,604.96	149,604.96		
ISHARES ETF FLOATING RATE NOTE FUND	5-16-12	3-12-13							2,100	106,292.77	1,569.76	0.00	(2,100)	(104,723.01)	(104,723.01)		
		3-19-13							900	45,576.83	694.88	0.00	(900)	(44,881.95)	(44,881.95)		
		5-8-13							1,125	56,934.72	(8.23)	0.00	0	0	(0.00)		
	3-4-13	3-12-13	7,540	376,006.13	1,125	56,942.95	0.00	0.00	8,665	438,565.55	5,616.47	0.00	0	0	(0.00)		
			325,000	323,286.13				(198,940.00)	200,000		0.00	0.00	125,000	124,346.13	124,346.13		
U.S. TREASURY NOTE 5% 7-31-17	11-27-12	10-30-13	325,000	323,286.13				(198,940.00)	100,000	98,535.16	(934.84)	0.00	(100,000)	(99,470.00)	(99,470.00)		
			325,000	323,286.13	0	0.00	0.00	(198,940.00)	300,000	98,535.16	(934.84)	0.00	25,000	24,876.13	24,876.13		
					29,000	28,962.30			0		0.00	0.00	29,000	28,962.30	28,962.30		
VERIZON COMM INC 4.5% 9-15-20	9-11-13				91,000	98,263.62			0		0.00	0.00	91,000	98,263.62	98,263.62		
	11-7-13		0	0.00	120,000	127,225.92	0.00	0.00	0	0.00	0.00	0.00	120,000	127,225.92	127,225.92		

STOCK
Year Ending December 31, 2013

Alvin H Baum Family Fund
Charles Schwab Account #9075-9589

-ON HAND AT 1/1/2013-				--ACQUISITIONS--				----DISPOSITIONS----				--G/L--		-ON HAND AT 12/31/2013-	
SECURITY	DATE	DATE	DISPOSED	SHARES	COST	SHARES	COST	ADJUSTMS	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	COST	
STOCKS															
ABBOTT LABORATORIES	BLOCKAGE	2-13-13		2,655	145,750.46	6,650	232,879.90	(75,826.40)	9,305	336,379.56	11,259.58	22,316.02	0	0.00	
ABBVIE INC.	BLOCKAGE	12-15-11				2,655	spin-off on 1/1	75,826.40	2,655	97,706.93	0.00	21,880.53	0	0.00	
ACCENTURE PLC CL A	BLOCKAGE	8-26-13		1,325	76,885.73	3,305	224,646.50		1,420	105,085.98	573.10	21,169.84	3,210	218,189.19	
AMERICAN TOWER CORP REIT	BLOCKAGE	7-9-13		2,450	156,014.17	2,105	165,059.90		1,550	112,863.81	0.00	8,015.09	3,005	216,225.35	
AMERICAN WATER WORKS CO	BLOCKAGE	1-2-13				5,245	197,313.26		5,245	212,318.21	15,004.95	0.00	0	0.00	
B & G FOODS INC	BLOCKAGE	BLOCKAGE		3,215	86,966.77	2,800	81,642.11		6,015	178,253.57	9,644.69	0.00	0	0.00	
B C E INC NEW	BLOCKAGE	BLOCKAGE		1,190	44,970.01	1,020	44,234.42		2,210	95,332.29	(234.80)	6,362.66	0	(0.00)	
BAXTER INTERNATIONAL INC	BLOCKAGE	5-15-13				2,305	162,558.70		2,305	157,735.83	(4,822.87)	0.00	0	0.00	
BHP BILLITON LTD ADR	BLOCKAGE	3-1-13		1,360	94,886.56	1,195	95,269.57		2,555	188,577.00	(1,579.13)	0.00	0	0.00	
BROOKFIELD ASSET MGMT CL A	BLOCKAGE	3-19-13		1,600	52,664.33	3,695	137,052.81		5,295	194,356.09	4,638.95	0.00	0	0.00	
CHEVRON CORPORATION	BLOCKAGE	BLOCKAGE		915	75,583.94	1,620	177,313.09		2,535	306,458.05	17,440.10	36,120.92	0	0.00	
CONAGRA FOODS INC	BLOCKAGE	9-11-13				5,520	164,543.59		5,520	173,248.70	8,705.11	0.00	0	(0.00)	
DIAGEO PLC NEW ADR	BLOCKAGE	BLOCKAGE		595	56,802.79	1,215	143,726.44		1,810	219,546.73	3,053.97	15,963.53	0	0.00	
DIGITAL REALTY TRUST INC REIT	BLOCKAGE	BLOCKAGE		2,895	147,822.16	880	60,277.86		3,775	247,402.67	(3,774.32)	43,076.97	0	0.00	
DOVER CORPORATION	BLOCKAGE	4-19-13				6,050	465,548.26		2,270	154,648.37	(10,832.92)	0.00	3,780	300,066.97	
EDWARDS LIFESCIENCES CP	BLOCKAGE	8-26-11		250	18,082.23				250	16,063.52	0.00	(2018.71)	0	(0.00)	
ENBRIDGE INC	BLOCKAGE	BLOCKAGE		3,145	88,457.67	8,265	359,757.95		11,410	467,435.59	(21,615.18)	40,835.15	0	(0.00)	
ENSCO PLC CL A	BLOCKAGE	BLOCKAGE		1,420	73,113.74	2,375	144,191.40		3,795	219,400.22	(6,675.05)	8,770.13	0	(0.00)	
FASITENAL CO	BLOCKAGE	7-24-13		1,100	33,132.68	4,015	199,825.86		5,115	232,037.17	(17,689.09)	16,767.72	0	(0.00)	
FMC TECHNOLOGIES INC	BLOCKAGE	7-29-13		470	19,313.79				470	25,240.56	0.00	5,926.77	0	0.00	
FOMENTO ECO MEX SAB ADRF	BLOCKAGE	6-11-13				1,070	127,974.64		1,070	108,181.04	(19,793.60)	0.00	0	0.00	
HDFC BANK LIMITED ADR	BLOCKAGE	8-5-11		300	9,771.88				300	11,014.00	0.00	1,242.12	0	(0.00)	
HEALTHCARE SVC GROUP INC	BLOCKAGE	1-2-13				3,875	92,964.00		3,875	85,074.07	(7,889.93)	0.00	0	(0.00)	
INTUITIVE SURGICAL NEW	BLOCKAGE	7-9-13		110	36,221.44	240	117,529.51		350	145,048.62	(18,067.60)	9,365.27	0	0.00	
IRON MOUNTAIN INC NEW	BLOCKAGE	6-10-13				4,600	150,584.74		4,600	132,098.91	(18,485.83)	0.00	0	0.00	
JPMORGAN CHASE & CO	BLOCKAGE	4-17-13				3,640	179,409.99		3,640	170,401.11	(9,008.88)	0.00	0	0.00	
KINDER MORGAN MGMT LLC	BLOCKAGE	1-2-13				4,979	380,891.52		4,979	411,204.79	30,313.27	0.00	0	0.00	
LEGGETT & PLATT INC	BLOCKAGE	8-19-13				5,115	152,036.90		5,115	152,881.37	844.47	0.00	0	(0.00)	
MCDONALDS CORP	BLOCKAGE	BLOCKAGE		2,155	179,789.58	405	36,377.22		2,560	245,882.34	2,687.67	27,027.87	0	(0.00)	
ONEOK INC NEW	BLOCKAGE	5-6-13		4,565	117,643.98	7,100	310,310.90		2,190	103,531.27	0.00	47,093.22	9,475	371,516.83	
PRICELINE COM INC NEW	BLOCKAGE	9-16-11		45	23,595.26				45	30,526.63	0.00	6,931.37	0	(0.00)	
QUALCOMM INC	BLOCKAGE	7-8-13		350	18,196.99	2,000	130,685.95		2,350	140,997.53	(10,688.04)	2,802.63	0	0.00	
SEADRILL LTD	BLOCKAGE	4-3-13				4,245	162,186.07		4,245	153,257.59	(8,928.48)	0.00	0	0.00	
SIMON PPTY GROUP NEW REIT	BLOCKAGE	BLOCKAGE		1,215	121,484.61	665	105,763.24		1,880	305,380.03	2,904.37	75,227.81	0	0.00	
TARGA RESOURCES CORP	BLOCKAGE	9-12-13				8,000	440,248.15		1,120	79,910.62	18,275.90	0.00	6,880	378,613.43	
TAUBMAN CENTERS INC REIT	BLOCKAGE	2-15-13		690	32,590.83	1,845	147,164.31		2,535	197,347.79	(3,532.47)	21,125.12	0	(0.00)	
TIME WARNER CABLE	BLOCKAGE	1-24-13				1,635	161,286.33		1,635	163,976.25	2,689.92	0.00	0	(0.00)	
U S BANCORP DEL NEW	BLOCKAGE	BLOCKAGE		4,170	130,756.49	7,175	240,417.88		7,020	233,041.60	(2,993.03)	0.00	4,325	135,139.74	
VNWARE INC CL A	BLOCKAGE	8-10-11		380	33,084.55				380	27,582.69	0.00	(5,501.86)	0	0.00	
VODAFONE GROUP NEW ADR	BLOCKAGE	4-24-13				8,300	245,462.36		8,300	243,357.76	(2,104.60)	0.00	0	(0.00)	
WHOLE FOODS MARKET INC	BLOCKAGE	8-26-11		310	18,771.11	310	split on 5/29		620	34,722.42	0.00	15,951.31	0	0.00	
WILLIAMS SONOMA	BLOCKAGE	BLOCKAGE				5,395	306,298.66		5,395	288,644.44	(17,654.22)	0.00	0	0.00	
TOTAL STOCKS				38,875	1,892,353.75	131,509,996.0	6,543,433.99	0.00	139,709,996.0	7,204,153.72	(58,333.99)	446,451.48	30,675	1,619,751.51	
														SUM LINE	1,619,751.51

STOCK
Year Ending December 31, 2013

Alvin H Baum Family Fund
Charles Schwab Account #9075-9589

BLOCKAGE	SECURITY	DATE ACQUIRED	DATE DISPOSED	-ON HAND AT 1/1/2013-			--ACQUISITIONS--			---DISPOSITIONS---			--G/L--		-ON HAND AT 12/31/2013-		
				SHARES	COST		SHARES	COST	ADJUSTM	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	COST		
ABBOTT LABORATORIES		12-15-11	2-13-13	2,655	145,750.46			spin-off on 1/1	(75,826.40)			2,280	78,472.82	0.00	18,425.14	375	9,876.38
			4-24-13									375	137,672.6	0.00	3,890.88	(375)	(9,876.38)
		1-2-13	4-24-13			2,305	74,526.83					2,305	84,622.77	10,095.94	0.00	0	0
		3-21-13	4-24-13			1,000	33,384.95					1,000	36,712.70	3,327.75	0.00	0	0.00
		4-11-13	4-24-13			3,345	124,968.12					3,345	122,804.01	(2,164.11)	0.00	0	0.00
				2,655	145,750.46	6,650	232,879.90	(75,826.40)				9,305	336,379.56	11,259.58	22,316.02	0	0.00
ACCENTURE PLC CL A		12-12-11	8-26-13	1,325	76,885.73							1,325	98,055.57	0.00	21,169.84	0	(0.00)
		1-2-13	8-26-13			3,305	224,646.50					95	7,030.41	573.10	0.00	3,210	218,189.19
				1,325	76,885.73	3,305	224,646.50	0.00				1,420	105,085.98	573.10	21,169.84	3,210	218,189.19
AMERICAN TOWER CORP REIT		9-27-11		350	19,248.47									0.00	0.00	350	19,248.47
		12-12-11	7-9-13	685	39,751.14							135	9,830.07	0.00	1,995.91	550	31,916.98
		6-18-12	7-9-13	1,415	97,014.56							1,415	103,033.74	0.00	6,019.18	0	0.00
		1-2-13				2,105	165,059.90							0.00	0.00	2,105	165,059.90
				2,450	156,014.17	2,105	165,059.90	0.00				1,550	112,863.81	0.00	8,015.09	3,005	216,225.35
AMERICAN WATER WORKS CO		1-2-13	9-23-13			5,245	197,313.26					1,775	71,218.25	4,443.99	0.00	3,470	130,539.00
			10-15-13									3,470	141,099.96	10,560.96	0.00	(3,470)	(130,539.00)
				0	0.00	5,245	197,313.26	0.00				5,245	212,318.21	15,004.95	0.00	0	0.00
B & G FOODS INC		6-18-12	4-19-13	3,215	86,966.77							3,215	96,269.32	9,302.55	0.00	0	0.00
		1-2-13	3-1-13			2,800	81,642.11				2,165	62,949.92	(156.72)	0.00	635	18,515.47	
			4-19-13								635	19,014.33	498.86	0.00	(635)	(18,515.47)	
				3,215	86,966.77	2,800	81,642.11	0.00			6,015	176,253.57	9,644.69	0.00	0	0.00	
B C E INC NEW		11-23-11	1-8-13	1,190	44,970.01							1,190	51,332.67	0.00	6,362.66	0	0.00
		1-2-13	1-8-13			1,020	44,234.42				1,020	43,999.62	(234.80)	0.00	0	0.00	
				1,190	44,970.01	1,020	44,234.42	0.00			2,210	95,332.29	(234.80)	6,362.66	0	0.00	
BHP BILLITON LTD ADR		8-10-12	3-1-13	1,360	94,886.56							1,360	100,377.52	5,490.96	0.00	0	0.00
		1-2-13	3-1-13			1,195	95,269.57				1,195	88,199.48	(7,070.09)	0.00	0	0.00	
				1,360	94,886.56	1,195	95,269.57	0.00			2,555	188,577.00	(1,579.13)	0.00	0	0.00	
BROOKFIELD ASSET MGMT CL A		6-29-12	3-19-13	1,600	52,664.33							1,600	58,728.80	6,064.47	0.00	0	0.00
		1-2-13	3-19-13			3,695	137,052.81				3,695	135,627.29	(1,425.52)	0.00	0	0.00	
				1,600	52,664.33	3,695	137,052.81	0.00			5,295	194,356.09	4,638.95	0.00	0	0.00	
CHEVRON CORPORATION		11-24-10	8-2-13	915	75,583.94							450	55,803.49	0.00	18,631.11	465	38,411.56
			10-22-13			1,620	177,313.09				1,620	194,753.19	17,440.10	0.00	0	(38,411.56)	
		1-2-13	10-22-13								1,620	194,753.19	17,440.10	0.00	0	0.00	
				915	75,583.94	1,620	177,313.09	0.00			2,535	306,468.05	17,440.10	36,120.92	0	0.00	

STOCK
Year Ending December 31, 2013

Alvin H Baum Family Fund
Charles Schwab Account #9075-9589

SECURITY	DATE ACQUIRED	DATE DISPOSED	-ON HAND AT 1/1/2013-			--ACQUISITIONS--			---DISPOSITIONS---			--G/L--		-ON HAND AT 12/31/2013-	
			SHARES	COST	SHARES	COST	ADJUSTM	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	COST	SHARES	COST
BLOCKAGE															
DIAGEO PLC NEW ADR	3-20-07	3-8-13	175	13,525.40				175	20,871.29	0.00	7,345.89	0	[0.00]		
	6-29-12	10-15-13	420	43,277.39				420	51,895.03	0.00	8,617.64	0	0.00		
	1-2-13	3-8-13			1,215	143,726.44		185	22,063.96	179.70	0.00	1,030	121,842.18		
		1-10-13						290	33,282.30	[1,022.76]	0.00	[290]	[34,305.06]		
		10-15-13						740	91,434.15	3,897.03	0.00	[740]	[87,537.12]		
			595	56,802.79	1,215	143,726.44	0.00	1,810	219,546.73	3,053.97	15,963.53	0	0.00		
DIGITAL REALTY TRUST INC REIT	11-19-10	2-1-13	2,895	147,822.16				1,265	86,239.60	0.00	21,647.31	1,630	83,229.87		
		5-15-13						1,630	104,659.53	0.00	21,429.66	[1,630]	[83,229.87]		
	1-2-13	5-15-13			880	60,277.86		880	56,503.54	[3,774.32]	0.00	0	[0.00]		
			2,895	147,822.16	880	60,277.86	0.00	3,775	247,402.67	[3,774.32]	43,076.97	0	[0.00]		
DOVER CORPORATION	2-6-13				1,270	90,285.50				0.00	0.00	1,270	90,285.50		
	2-22-13	4-19-13			1,200	87,908.11		1,200	81,752.40	[6,155.71]	0.00	0	0.00		
	3-21-13	4-19-13			1,500	108,747.55		1,070	72,895.97	[4,677.21]	0.00	430	31,174.37		
	7-31-13				990	85,143.51				0.00	0.00	990	85,143.51		
	8-27-13				1,090	93,463.59				0.00	0.00	1,090	93,463.59		
			0	0.00	6,050	465,548.26	0.00	2,270	154,648.37	[10,832.92]	0.00	3,780	300,066.97		
ENBRIDGE INC	11-24-10	8-21-13	3,145	88,457.67				2,225	91,653.23	0.00	29,071.99	920	25,876.43		
		10-15-13						920	37,639.59	0.00	11,763.16	[920]	[25,876.43]		
	1-2-13	10-15-13			8,265	359,757.95		8,265	338,142.77	[21,615.18]	0.00	0	0.00		
			3,145	88,457.67	8,265	359,757.95	0.00	11,410	467,435.59	[21,615.18]	40,835.15	0	0.00		
ENSICO PLC CL A	7-19-12	7-31-13	1,420	73,113.74				1,220	70,303.55	0.00	7,487.58	200	10,287.77		
		8-5-13						200	11,580.32	0.00	1,282.55	[200]	[10,287.77]		
	1-2-13	8-5-13			2,375	144,191.40		2,375	137,516.35	[6,675.05]	0.00	0	[0.00]		
			1,420	73,113.74	2,375	144,191.40	0.00	3,795	219,400.22	[6,675.05]	8,770.13	0	0.00		
FASTENAL CO	8-10-11	7-24-13	1,100	33,132.68				1,100	49,900.40	0.00	16,767.72	0	0.00		
	4-1-13	7-24-13			4,015	199,825.86		4,015	182,136.77	[17,689.09]	0.00	0	[0.00]		
			1,100	33,132.68	4,015	199,825.86	0.00	5,115	232,037.17	[17,689.09]	16,767.72	0	[0.00]		
FMC TECHNOLOGIES INC	8-5-11	7-29-13	340	13,689.60				340	18,259.12	0.00	4,569.52	0	0.00		
	9-16-11	7-29-13	130	5,624.19				130	6,981.44	0.00	1,357.25	0	[0.00]		
			470	19,313.79	0	0.00	0.00	470	25,240.56	0.00	5,926.77	0	[0.00]		
INTUITIVE SURGICAL NEW	8-10-11	7-9-13	110	36,221.44				110	45,586.71	0.00	9,365.27	0	0.00		
	3-21-13	7-9-13			240	117,529.51		240	99,461.91	[18,067.60]	0.00	0	0.00		
			110	36,221.44	240	117,529.51	0.00	350	145,048.62	[18,067.60]	9,365.27	0	0.00		
IRON MOUNTAIN INC NEW	1-2-13	6-10-13			2,980	94,715.44		2,980	85,576.96	[9,138.48]	0.00	0	0.00		
	2-1-13	6-10-13			1,620	55,869.30		1,620	46,521.95	[9,347.35]	0.00	0	0.00		
			0	0.00	4,600	150,584.74	0.00	4,600	132,098.91	[18,485.83]	0.00	0	0.00		
KINDER MORGAN MGMT LLC	1-2-13	2-5-13			split on 1/31 & 5/15	4,979.9960		770.0000	62,902.18	3,486.59	0.00	4,209.9960	321,475.93		
		2-6-13						1,200.0000	97,832.18	5,236.46	0.00	[1,200.0000]	[92,595.72]		
		7-24-13						3,009.0000	250,396.27	21,591.91	0.00	[3,009.0000]	[228,804.36]		
		7-26-13						0.9960	74.16	[1.69]	0.00	[0.9960]	[75.85]		
			0	0.00	4,979.9960	380,891.52	0.00	4,979.9960	411,204.79	30,313.27	0.00	0.0000	0.00		

STOCK
Year Ending December 31, 2013

Alvin H Baum Family Fund
Charles Schwab Account #9075-9589

SECURITY	DATE ACQUIRED	DATE DISPOSED	--ON HAND AT 1/1/2013--			--ACQUISITIONS--			----DISPOSITIONS----			--G/L--		--G/L--		--ON HAND AT 12/31/2013--	
			SHARES	COST	SHARES	COST	ADJUSTM	SHARES	PROCEEDS	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	TERMS	SHARES	COST
BLOCKAGE																	
LEGGETT & PLATT INC	2-6-13	8-19-13			3,525	103,612.93		3,525	105,358.02			1,745.09	0.00	0		0	(0.00)
	2-14-13	8-19-13			1,590	48,423.97		1,590	47,523.35			(900.62)	0.00	0		0	0.00
			0	0.00	5,115	152,036.90	0.00	5,115	152,881.37			844.47	0.00	0		0	(0.00)
MCDONALDS CORP	11-19-10	2-8-13	1,465	116,351.00				885	84,318.07			0.00	14,031.02	580		580	46,063.95
		8-13-13						580	55,944.60			0.00	9,880.65	(580)		(580)	(46,063.95)
	7-12-12	8-13-13	690	63,438.58				690	64,554.78			0.00	3,116.20	0		0	0.00
	1-2-13	8-13-13			405	36,377.22		405	39,064.89			2,687.67	0.00	0		0	0.00
			2,155	179,789.58	405	36,377.22	0.00	2,560	245,862.34			2,687.67	27,027.87	0		0	0.00
ONEOK INC NEW	11-24-10	5-6-13	4,565	117,643.98				2,190	103,531.27			0.00	47,093.22	2,375		2,375	61,205.93
	1-2-13		4,565	117,643.98	7,100	310,310.90	0.00	2,190	103,531.27			0.00	0.00	7,100		7,100	310,310.90
QUALCOMM INC	8-5-11	7-8-13	240	12,313.27				240	14,399.74			0.00	2,086.47	0		0	0.00
	9-16-11	7-8-13	110	5,883.72				110	6,599.88			0.00	716.16	0		0	0.00
	3-21-13	7-8-13	350	18,196.99	2,000	130,685.95	0.00	2,000	119,997.91			(10,688.04)	0.00	0		0	0.00
					2,000	130,685.95	0.00	2,350	140,997.53			(10,688.04)	2,802.63	0		0	0.00
SEADRILL LTD	1-2-13	4-3-13			3,045	114,771.96		3,045	109,933.64			(4,838.32)	0.00	0		0	0.00
	1-29-13	4-3-13			1,200	47,414.11		1,200	43,323.95			(4,090.16)	0.00	0		0	0.00
			0	0.00	4,245	162,186.07	0.00	4,245	153,257.59			(8,928.48)	0.00	0		0	0.00
SIMON PPTY GROUP NEW REIT	11-24-10	3-7-13	1,215	121,484.61				510	81,508.44			0.00	30,514.92	705		705	70,491.09
		7-25-13						705	115,203.98			0.00	44,712.89	(705)		(705)	(70,491.09)
	1-2-13	7-25-13			665	105,763.24	0.00	665	108,667.61			2,904.37	0.00	0		0	0.00
			1,215	121,484.61	665	105,763.24	0.00	1,880	305,380.03			2,904.37	75,227.81	0		0	0.00
TAUBMAN CENTERS INC REIT	11-24-10	2-15-13	690	32,590.83				690	53,715.95			0.00	21,125.12	0		0	0.00
	1-2-13	2-15-13			1,845	147,164.31	0.00	1,845	143,631.84			(3,532.47)	0.00	0		0	(0.00)
			690	32,590.83	1,845	147,164.31	0.00	2,535	197,347.79			(3,532.47)	21,125.12	0		0	(0.00)
U S BANCORP DEL NEW	6-14-12		3,465	107,043.84								0.00	0.00	3,465		3,465	107,043.84
	9-14-12	2-27-13	705	23,712.65				705	23,898.16			185.51	0.00	0		0	0.00
	1-2-13	2-27-13			3,530	115,323.46	0.00	1,920	65,084.44			2,359.00	0.00	1,610		1,610	52,598.02
		5-1-13						750	24,583.55			81.43	0.00	(750)		(750)	(24,502.12)
	4-11-13	5-1-13			3,645	125,094.42	0.00	3,645	119,475.45			(5,618.97)	0.00	0		0	0.00
			4,170	130,756.49	7,175	240,417.88	0.00	7,020	233,041.60			(2,993.03)	0.00	4,325		4,325	135,139.74
WILLIAMS SONOMA	4-25-13	10-10-13			2,335	123,836.10	0.00	2,335	123,365.99			(470.11)	0.00	0		0	0.00
	7-31-13	10-10-13			1,550	91,386.57	0.00	1,550	81,891.77			(9,494.80)	0.00	0		0	0.00
	8-26-13	10-10-13			1,510	91,075.99	0.00	1,375	76,253.96			(6,679.44)	0.00	135		135	8,142.59
			0	0.00	5,395	306,298.66	0.00	5,395	288,644.44			(17,654.22)	0.00	0		0	0.00

Investments & Transactions in Securities Year 2013

Alvin H. Baum Family Fund
Pershing LLC Account #AUG-706248

			-ON HAND AT 1/1/2013-		--ACQUISITIONS--		---DISPOSITIONS----			---GAIN OR LOSS----		-ON HAND AT 12/31/2013-			
SECURITY	DATE		DISPOSED	SHARES	COST	SHARES	COST	ADJUSTMS	SHARES	PROCEEDS	SHORT		LONG		
	ACQUIRED										TERM	TERM	SHARES	COST	
STOCKS															
CARNIVAL CORP	8-26-11		4-1-13	3 800	117 868.78				3 800	126 475.98	0.00	8 607.20	0	0.00	
CME GROUP INC	8-10-11		8-16-13	2 600	129 628.10				2 600	192 678.62	0.00	63 050.52	0	0.00	
WMS INDS INC	BLOCKAGE		BLOCKAGE	10 800	196 206.48	2 600	45 653.00		13 400	334 738.79	46 163.55	46 715.76	0	0.00	
TOTAL STOCKS				17 200	443 703.36	2 600	45 653.00	0.00	19 800	653 893.39	46 163.55	118 373.48	0	0.00	
														SUM LINE	0.00
BLOCKAGE															
WMS INDS INC	8-5-11		2-19-13	8 000	153 068.00				5 900	147 301.40	0.00	34 413.75	2 100	40 180.35	
			2-25-13						2 100	52 482.36	0.00	12 302.01	(2 100)	(40 180.35)	
	8-16-12		2-25-13	2 800	43 138.48				2 800	69 974.48	26 838.00	0.00	0	0.00	
	1-17-13		2-25-13			2 600	45 653.00		2 600	64 978.55	19 325.55	0.00	0	(10.00)	
				10 800	196 206.48	2 600	45 653.00	0.00	13 400	334 738.79	46 163.55	46 715.76	0	(10.00)	

BONDS
Year Ending December 31, 2013

			-ON HAND AT 1/1/2013		--ACQUISITIONS--		--DISPOSITIONS--		-G/L--		-ON HAND AT 12/31/2013-	
SECURITY	DATE ACQUIRED	DATE DISPOSED	SHARES	COST	SHARES	COST	SHARES	PROCEEDS	SHORT TERM	LONG TERM	SHARES	COST
U.S. TREASURY BONDS												
U.S. TREASURY NOTE 3/5% 3-15-16	8-20-13	BLOCKAGE			106,000	105,552.81	106,000	105,901.36	348.55	0.00	0	(0.00)
U.S. TREASURY NOTE 5% 7-31-17	11-27-12	BLOCKAGE			200,000		198,940.00	73,872.07	(730.43)	0.00	125,000	124,337.50
TOTAL TREASURY BONDS			0	0.00	306,000	105,552.81	198,940.00	179,773.43	(381.88)	0.00	125,000	124,337.50
			SUM LINE									
											124,337.50	
CORPORATE BONDS												
BANC. OF AMERICA 5.75% 12-25-24	12-18-09				1,475,000,000		85,282.66	31,504.61	0.00	0.00	1,475,000,000	53,778.05
CITICORP MTG SECS 4.5% 11-25-18	4-23-13				150,000	29,226.06	36.58	29,262.64	0.00	0.00	150,000	(0.00)
COUNTRYWIDE 5.25% 9-25-33	BLOCKAGE	11-26-13			33,000	32,907.50		2,000	2,000.00	5.00	31,000	30,912.50
CS FIRST BOSTON MTG 5% 10-25-19	7-9-09				129,000			13,646.83	4,531.49	0.00	129,000	9,115.34
EBAY INC 1.35% 7-15-17	7-19-12	6-6-13			200,000			199,980.00	88.00	0.00	0	0.00
FORD MOTOR CR CO 4.375% 8-6-23	8-1-13	10-31-13			43,000	42,598.38		43,000	1,627.98	0.00	0	(0.00)
GOLDMAN SACHS 3.625% 1-22-23	1-17-13	BLOCKAGE			310,000		310,672.70	138,874.85	3,591.35	0.00	175,000	175,389.20
JP MORGAN CHASE 4.654% 1-12-37	1-8-08				215,000	164,969.46		24,432.44	0.00	0.00	215,000	140,537.02
MASTER ALTERNATIVE REMIC 4.5% 9-25-19	5-6-08				330,000		38,937.27	12,956.99	0.00	0.00	330,000	25,980.28
NATIONAL OILWELL 2.6% 12-1-22	11-15-12	BLOCKAGE			330,000		328,812.00	316,414.40	(12,397.60)	0.00	0	(0.00)
PETRODRILL FOUR GOV'T 4.39% 4-15-16	9-18-07				100,000	26,161.99		8,333.00	0.00	0.00	100,000	17,828.99
WASHINGTON MUTUAL 5% 10-25-18	9-9-09				590,000	46,558.06		15,605.77	0.00	0.00	590,000	30,952.29
WELLS FARGO MTG 4.75% 12-25-18	2-20-08	4-25-13			445,000		8,445.10	35,318.43	0.00	0.00	0	0.00
TOTAL CORPORATE BONDS			0	0.00	1,477,875,000	104,731.94	8,481.68	1,241,794.30	843,428.76	(7,085.27)	1,474,720,000	484,493.67
MUNICIPAL BONDS												
TOTAL MUNICIPAL BONDS			0	0.00	0	0.00	0.00	0.00	0.00	0.00	0	0.00
GOVERNMENT BONDS/MORTGAGE POOLS												
FED L FARM CR BK 3.55% 6-18-18	1-4-11	6-18-13			145,000		146,631.25	145,000	145,000.00	0.00	(1,631.25)	0
FNMA PL A81601 3.5% 10-1-25	2-13-12				400,000		199,400.00	406,144.22	0.00	0.00	400,000	158,785.78
FNMA PL A10065 4.5% 4-1-41	5-18-12				325,000		231,790.00	37,315.06	0.00	0.00	325,000	194,474.94
FNMA PL 745530 5.275% 4-1-16	11-25-08				260,000		201,013.04	3,007.78	0.00	0.00	260,000	198,005.26
FNMA PL MA0971 4% 2-1-42	10-23-13				117,000	86,495.46		530.98	0.00	0.00	117,000	85,964.48
TOTAL GOVERNMENT BONDS			0	0.00	1,247,000	86,495.46	0.00	778,634.29	226,488.04	0.00	(1,631.25)	637,230.46
			SUM LINE									
											637,230.46	
OTHER ASSETS												
POWERSHARES ETF SENIOR LOAN PORTFOLIO	3-25-13	8-20-13			13,510	339,088.34		4,555	112,040.16	(2,265.79)	8,955	224,762.39
SPDR BARCLAYS ETF HIGH YIELD	4-2-13	6-21-13			3,315	135,920.64		3,315	131,000.89	(4,919.75)	0	0.00
TOTAL OTHER ASSETS			0	0.00	16,825	475,008.98	0.00	0.00	243,041.05	(7,186)	8,955	224,762.39
TOTAL SECURITIES			0	0.00	1,479,444,825	771,789.19	8,481.68	2,219,568.59	1,512,731.50	(14,652.49)	1,477,955,955	1,470,824.02
			TRANSFER									
BLOCKAGE												
COUNTRYWIDE 5.25% 9-25-33	9-20-13	11-26-13			29,000	28,927.50		2,000	2,000.00	5.00	27,000	26,932.50
	10-28-13				4,000	3,980.00			0.00	0.00	4,000	3,980.00
			0	0.00	33,000	32,907.50		2,000	2,000.00	5.00	31,000	30,912.50
GOLDMAN SACHS 3.625% 1-22-23	1-17-13	4-8-13			310,000		310,672.70	112,982.10	2,751.10	0.00	200,000	200,441.70
		4-23-13						25,000	25,892.75	840.25	(25,000)	(25,052.50)
			0	0.00	310,000		310,672.70	138,874.85	3,591.35	0.00	175,000	175,389.20
NATIONAL OILWELL 2.6% 12-1-22	11-15-12	4-2-13			330,000		328,812.00	198,728.00	(552.00)	0.00	130,000	129,532.00
		9-11-13						130,000	(11,845.60)	0.00	(130,000)	(129,532.00)
			0	0.00	330,000		328,812.00	316,414.40	(12,397.60)	0.00	0	0.00
U.S. TREASURY NOTE 3/5% 3-15-16	8-20-13	10-22-13			106,000	105,552.81		56,000	55,954.06	194.86	50,000	49,793.61
		10-28-13			106,000			50,000	49,947.30	153.69	(50,000)	(49,793.61)
			0	0.00	106,000	105,552.81		106,000	105,901.36	348.55	0	0.00
U.S. TREASURY NOTE 5% 7-31-17	11-27-12	10-24-13			200,000		198,940.00	24,622.07	(245.43)	0.00	175,000	174,072.50
		11-4-13						50,000	49,250.00	0.00	(50,000)	(49,735.00)
			0	0.00	200,000		198,940.00	73,872.07	(730.43)	0.00	125,000	124,337.50

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Standard Transactions

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Multiple Accounts

From: **01-01-2013 to 12-31-2013**
Basis: **Settlement Date**

Posting Date	Asset Description	Transaction Description	Income Amount	Principal Amount	Realized Capital Gain/(Loss)	Term
SALES						
PROCEEDS FROM SALES						
01-03-2013	ISHARES	01/02 SALE 37,860 00 SHR @ 105 470000		3,991,868 95	(10,659 96)	S
01-07-2013	VANGUARD SHORT-TERM CORPORATE	01/02 SALE 16,210 00 SHR @ 80 1534000		1,298,771 20	(9,375 80)	S
01-07-2013	VANGUARD SHORT-TERM CORPORATE	01/02 SALE 5,664 00 SHR @ 80 1634000		453,865 40	(3,219 40)	S
01-07-2013	VANGUARD SHORT-TERM CORPORATE	01/02 SALE 27,726 00 SHR @ 80 1903000		2,222,474.67	(15,013 53)	S
01-08-2013	BIOGEN IOEC INC	01/03 SALE 17 00 SHR @ 146 931600		2,497 78	906 54	L
01-08-2013	EXPRESS SCRIPTS HLOG CO	01/03 SALE 178 00 SHR @ 54 6091000		9,720 20	2,183 42	L
01-08-2013	UNION PAC CORP	01/03 SALE 88 00 SHR @ 128.476600		11,305.69	5,878 73	L
01-09-2013	EXPRESS SCRIPTS HLDG CO	01/04 SALE 123.00 SHR @ 54 9572000		6,759.58	1,551 58	L
01-11-2013	AMAZON COM INC	01/08 SALE 12 00 SHR @ 264 852500		3,178 16	2,208 68	L
01-11-2013	BAIDU INC	01/08 SALE 10 00 SHR @ 100 879700		1,008 77	(260 41)	L
01-11-2013	BIOGEN IOEC INC	01/08 SALE 24 00 SHR @ 144 871800		3,476 85	1,237 47	L
01-11-2013	EOG RES INC	01/08 SALE 40 00 SHR @ 123 995100		4,959 69	960 65	L
01-11-2013	LAS VEGAS SANOS CORP	01/08 SALE 63 00 SHR @ 50 8070000		3,200 77	584 57	L
01-11-2013	LINKEDIN CORP	01/08 SALE 5 00 SHR @ 110 254900		551 26	(5 89)	S
01-11-2013	SALESFORCE COM INC	01/08 SALE 13 00 SHR @ 168 420700		2,189.42	588.08	L
01-11-2013	VISA INC	01/08 SALE 24 00 SHR @ 157.431400		3,778 27	1,544 54	L

01-14-2013	BAIDU INC	SALE 10 00 SHR DUE TO WASH SALE	1,008 77		
01-14-2013	GENERAL ELEC CO	SALE 192 00 SHR DUE TO WASH SALE	4,053 78		
01-14-2013	LINKEDIN CORP	SALE 5 00 SHR DUE TO WASH SALE	551 26		
01-22-2013	LAS VEGAS SANDS CORP	01/16 SALE 297 00 SHR @ 52 0171000	15,448 73	38 13	S
01-23-2013	ALLERGAN INC	01/17 SALE 64 00 SHR @ 105 239300	6,735 16	328 53	S
01-25-2013	UNION PAC CORP	01/22 SALE 404 00 SHR @ 133 518900	53,940 43	8,535 14	L
01-29-2013	APPLE INC	01/24 SALE 60 00 SHR @ 461 350400	27,680 40		
01-30-2013	LAS VEGAS SANDS CORP	01/25 SALE 63 00 SHR @ 52 6939000	3,319 64		
02-04-2013	LAS VEGAS SANDS CORP	01/30 SALE 235 00 SHR @ 51 1176000	12,012 37	(109 65)	S
02-07-2013	APPLE INC	02/04 SALE 17 00 SHR @ 451 915000	7,682 38		
02-08-2013	PERRIGO CO	02/05 SALE 618 00 SHR @ 107 076900	66,172 04	8,045 29	L
02-13-2013	ABBVIE INC	02/08 SALE 217 00 SHR @ 35 9432000	7,799 50	302 76	S
02-13-2013	LINKEDIN CORP	02/08 SALE 133 00 SHR @ 150 548700	20,022 53	5,073 10	S
02-15-2013	ABBVIE INC	02/12 SALE 227 00 SHR @ 35 4892000	8,055 87	362 76	S
02-20-2013	MICHAEL KORS HLDGS LTD	02/14 SALE 68 00 SHR @ 61 4761000	4,180 28	554 66	S
02-21-2013	BIOGEN IDEC INC	02/15 SALE 40 00 SHR @ 168 631600	6,745 11	904 75	S
02-21-2013	NOVO-NORDISK A S	02/15 SALE 64 00 SHR @ 170 812000	10,931 72	163 59	S
02-22-2013	NOVO-NORDISK A S	02/19 SALE 38 00 SHR @ 172 531900	6,556 07	162 49	S
02-25-2013	ALEXION PHARMACEUTICALS INC	02/20 SALE 479 00 SHR @ 83 8679000	40,171 82	(5,741 61)	S
02-26-2013	ALEXION PHARMACEUTICALS INC	SALE 406 00 SHR DUE TO WASH SALE	34,049 60	(3,917 31)	S
02-26-2013	EXPRESS SCRIPTS HLDG CO	02/21 SALE 478 00 SHR @ 56 3521000	26,935 70	85 21	S
02-26-2013	LAUDER ESTEE COS INC	02/21 SALE 42 00 SHR @ 63 3196000	2,659 36	10 37	S
02-26-2013	NOVO-NORDISK A S	02/21 SALE 19 00 SHR @ 172 508200	3,277 58	80 79	S
02-26-2013	PRECISION CASTPARTS CORP	02/21 SALE 18 00 SHR @ 181 802800	3,272 38	(126 74)	S
			6,984 42	(339 13)	S

03-01-2013	AMAZON COM INC	02/26 SALE 27 00 SHR @ 258 688200			
03-01-2013	CITRIX SYS INC	02/26 SALE 28 00 SHR @ 70 6851000	1,979 14	(5 09)	S
03-01-2013	EXPRESS SCRIPTS HLDG CO	02/26 SALE 366 00 SHR @ 54 9869000	20,124 75	108 68	S
03-05-2013	CITRIX SYS INC	REVERSE ENTRY OF 03/01/13	(1,979 14)	5 09	S
03-05-2013	LINKEDIN CORP	02/28 SALE 27 00 SHR @ 168 281200	4,543.49	1,508 64	S
03-06-2013	LINKEDIN CORP	03/01 SALE 19 00 SHR @ 166 264600	3,158 96	1,023 33	S
03-06-2013	LINKEDIN CORP	03/01 SALE 69 00 SHR @ 169 963000	11,727 18	3,488 96	S
03-07-2013	EBAY INC	03/04 SALE 181 00 SHR @ 54 6752000	9,895 99	43 16	S
03-07-2013	PRECISION CASTPARTS CORP	SALE 18 00 SHR DUE TO WASH SALE	3,272 38		
03-12-2013	LINKEDIN CORP	03/07 SALE 63 00 SHR @ 173 629000	10,938 38	3,857 07	S
03-15-2013	ABBVIE INC	03/12 SALE 583 00 SHR @ 37 2571000	21,720 40	5,396 33	L
03-15-2013	COSTCO WHSL CORP NEW	03/12 SALE 75 00 SHR @ 103 781600	7,783 45	194 95	S
03-18-2013	ALLERGAN INC	03/13 SALE 1 00 SHR @ 110 040000	110 04	9 94	S
03-18-2013	AMAZON COM INC	03/13 SALE 1 00 SHR @ 273 430000	273 46	5 58	S
03-18-2013	BIOGEN IDEC INC	03/13 SALE 1 00 SHR @ 176 180000	176 18	30 17	S
03-18-2013	EBAY INC	03/13 SALE 352 00 SHR @ 51.1111000	17,990 70	(1,035 83)	S
03-18-2013	EMC CORP	03/13 SALE 63 00 SHR @ 25 0943000	1,580 91	28 71	S
03-18-2013	EOG RES INC	03/13 SALE 3 00 SHR @ 129 900000	389 69	16 97	S
03-18-2013	FASTENAL CO	03/13 SALE 2 00 SHR @ 51 1220000	102 24		
03-18-2013	FRANKLIN RES INC	03/13 SALE 3 00 SHR @ 148 630100	445 88	50 36	S
03-18-2013	LAS VEGAS SANDS CORP	03/13 SALE 17 00 SHR @ 53 0845000	902 42	(79 81)	S
03-18-2013	LINKEDIN CORP	03/13 SALE 1 00 SHR @ 178 350000	178 35	65 95	S
03-18-2013	LULULEMON ATHLETICA INC	03/13 SALE 6 00 SHR @ 69 4600000	416 75	(14 92)	S
03-18-2013	MICHAEL KORS HLDGS LTD	03/13 SALE 36 00 SHR @ 57 6578000	2,075 63	156 18	S
03-18-2013	MONSANTO CO		521 49	4 46	S

		03/13 SALE 5 00 SHR @ 104 300100			
03-18-2013	PRECISION CASTPARTS CORP	03/13 SALE 6 00 SHR @ 191 292000	1,147 73		
03-18-2013	QUALCOMM INC	03/13 SALE 2 00 SHR @ 66 8245000	133 65	4 55	S
03-18-2013	SALESFORCE COM INC	03/13 SALE 1 00 SHR @ 180 750000	180 75	8 69	S
03-18-2013	YUM BRANDS INC	03/13 SALE 6 00 SHR @ 69 2092000	415 25	23 51	S
03-20-2013	CAMERON INTL CORP	03/15 SALE 485 00 SHR @ 66 7322000	32,364 39	4,006 21	S
03-26-2013	LULULEMON ATHLETICA INC	03/21 SALE 330 00 SHR @ 64 6932000	21,348 28	(2,393 30)	S
03-26-2013	LULULEMON ATHLETICA INC	SALE 330 00 SHR DUE TO WASH SALE	21,348 28	(1,660 81)	S
03-26-2013	LULULEMON ATHLETICA INC	SALE 6 00 SHR DUE TO WASH SALE	416 75		
03-27-2013	LULULEMON ATHLETICA INC	03/22 SALE 422 00 SHR @ 62 9676000	26,571 73	3,938 46	L
03-27-2013	ORACLE CORP	03/22 SALE 541 00 SHR @ 31 8142000	17,211 10	(1,572 37)	S
04-08-2013	CATERPILLAR INC	04/03 SALE 743 00 SHR @ 84 2652000	62,607 64	(7,166 75)	L
04-08-2013	CELGENE CORP	04/03 SALE 52 00 SHR @ 115 141200	5,987 21	1,226 44	S
04-08-2013	COLGATE PALMOLIVE CO	04/03 SALE 49 00 SHR @ 117 889300	5,776 45	474 44	S
04-10-2013	VISA INC	04/05 SALE 81 00 SHR @ 163 954100	13,279 98	263 89	S
04-23-2013	PHILIP MORRIS INTL INC	04/18 SALE 78 00 SHR @ 91 4597000	7,133 70	(90 07)	S
04-24-2013	GENERAL ELEC CO	04/19 SALE 407 00 SHR @ 21 7843000	8,866 01	48 81	S
04-24-2013	SCHLUMBERGER LTD	04/19 SALE 97 00 SHR @ 69 3744000	6,729 17	(334 70)	L
04-24-2013	VERTEX PHARMACEUTICALS INC	04/19 SALE 275 00 SHR @ 84 0754000	23,120 22	10,002 97	S
04-26-2013	ALEXION PHARMACEUTICALS INC	04/23 SALE 107 00 SHR @ 91 6414000	9,805 41	(1,584 72)	S
04-26-2013	BIOGEN IDEC INC	04/23 SALE 39 00 SHR @ 212 264900	8,278 15	2,583 80	S
04-26-2013	CELGENE CORP	04/23 SALE 71 00 SHR @ 126 176500	8,958 33	2,458 05	S
04-26-2013	CHIPOTLE MEXICAN GRILL INC	04/23 SALE 47 00 SHR @ 363 510200	17,084 60	3,135 93	S
04-26-2013	GENERAL ELEC CO	04/23 SALE 354 00 SHR @ 21 5640000	7,633 48	194 17	S
			9,087 27	1,390 56	S

04-26-2013	GILEAD SCIENCES INC	04/23 SALE 171 00 SHR @ 53 1431000			
04-29-2013	ALEXION PHARMACEUTICALS INC	04/24 SALE 247 00 SHR @ 89 9100000	22,207 27	(2,264 23)	S
04-29-2013	APPLE INC	04/24 SALE 36 00 SHR @ 401 470700	14,452 62	9,818 55	L
04-29-2013	COGNIZANT TECHNOLOGY SOLUTIONS	04/24 SALE 423 00 SHR @ 61 8583000	26,165 47	(5,190 45)	S
04-30-2013	YUM BRANDS INC	04/25 SALE 62.00 SHR @ 67 7682000	4,201 53	153 54	S
05-02-2013	QUALCOMM INC	04/29 SALE 140 00 SHR @ 61 7404000	8,643 46	(308 89)	L
05-02-2013	VERTEX PHARMACEUTICALS INC	04/29 SALE 283 00 SHR @ 78 6667000	22,262 18	8,861 16	S
05-03-2013	SCHLUMBERGER LTD	04/30 SALE 246 00 SHR @ 73 7312000	18,137 47	2,226 24	L
05-08-2013	TERADATA CORP	05/03 SALE 1,083 00 SHR @ 50 0258000	54,176 73	(16,461 91)	S
05-17-2013	EMC CORP	05/14 SALE 387 00 SHR @ 23 0114000	8,905 21	(1,081 49)	L
05-17-2013	EMC CORP	05/14 SALE 2,214 00 SHR @ 23 0427000	51,015 40	(1,694 39)	L
05-20-2013	ALEXION PHARMACEUTICALS INC	05/15 SALE 29 00 SHR @ 104 069500	3,017 95	175 72	S
05-20-2013	CAMERON INTL CORP	05/15 SALE 133 00 SHR @ 63 4524000	8,438 98	582 86	L
05-20-2013	COGNIZANT TECHNOLOGY SOLUTIONS	05/15 SALE 875 00 SHR @ 62 5922000	54,766.95	3,435 12	L
05-20-2013	COGNIZANT TECHNOLOGY SOLUTIONS	SALE 315 00 SHR DUE TO WASH SALE	19,484 92	(4,542 80)	S
05-20-2013	FMC TECHNOLOGIES INC	05/15 SALE 72 00 SHR @ 56 1181000	4,040.41	303 38	S
05-20-2013	GILEAD SCIENCES INC	05/15 SALE 95 00 SHR @ 56 3087000	5,349 21	1,116 35	S
05-20-2013	GOOGLE INC	05/15 SALE 7 00 SHR @ 906 113100	6,342 65	1,209 41	S
05-20-2013	LAS VEGAS SANDS CORP	05/15 SALE 161 00 SHR @ 58 2990000	9,385 93	165 09	S
05-20-2013	LULULEMON ATHLETICA INC	05/15 SALE 202 00 SHR @ 80 5477000	16,270 27	1,737 54	S
05-20-2013	YUM BRANDS INC	05/15 SALE 70 00 SHR @ 70 1283000	4,908 87	375 69	S
05-23-2013	SCHLUMBERGER LTD	05/20 SALE 175 00 SHR @ 75 9064000	13,283 32	349 09	S
05-23-2013	VERTEX PHARMACEUTICALS INC	05/20 SALE 344 00 SHR @ 77 4758000	26,651 08	11,564 55	L
05-28-2013	ALLERGAN INC	05/22 SALE 7 00 SHR @ 97 5900000	683 12		
			4,398 82	(2,267 03)	S

05-28-2013	APPLE INC	05/22 SALE 10 00 SHR @ 439 890100			
05-28-2013	BIOGEN IDEC INC	05/22 SALE 11 00 SHR @ 231 914000	2,551 01	944 91	S
05-28-2013	CANADIAN PAC RY LTD	05/22 SALE 7 00 SHR @ 134 704000	942 91	71 03	S
05-28-2013	CELGENE CORP	05/22 SALE 7 00 SHR @ 122 883000	860 17	12 20	S
05-28-2013	COCA COLA CO	05/22 SALE 141 00 SHR @ 42 2879000	5,962 49	665 97	S
05-28-2013	CUMMINS INC	05/22 SALE 4 00 SHR @ 117 439800	469 75	(0 22)	S
05-28-2013	EBAY INC	05/22 SALE 10 00 SHR @ 54 3601000	543 59	13 13	S
05-28-2013	EMC CORP	05/22 SALE 49 00 SHR @ 23 6049000	1,156 62	(21 34)	S
05-28-2013	FASTENAL CO	05/22 SALE 26 00 SHR @ 51 0601000	1,327 54	88 09	S
05-28-2013	GILEAD SCIENCES INC	05/22 SALE 9 00 SHR @ 55 8101000	502 28	(7 15)	S
05-28-2013	HUNT J B TRANS SVCS INC	05/22 SALE 3 00 SHR @ 75 3012000	225 90	12 40	S
05-28-2013	MICHAEL KORS HLDGS LTD	05/22 SALE 11 00 SHR @ 60 5300000	665 82	3 29	S
05-28-2013	QUALCOMM INC	05/22 SALE 15 00 SHR @ 65 1013000	976 50	(2 35)	S
05-28-2013	SCHLUMBERGER LTD	05/22 SALE 1 00 SHR @ 75 3200000	75 32	1 41	S
05-28-2013	SCHWAB CHARLES CORP NEW	05/22 SALE 36 00 SHR @ 18 9927000	683 73	4 33	S
05-28-2013	STARBUCKS CORP	05/22 SALE 31 00 SHR @ 64 0449000	1,985 36	284 55	S
05-28-2013	VISA INC	05/22 SALE 8 00 SHR @ 180 358300	1,442 84	157 30	S
05-31-2013	FRANKLIN RES INC	05/28 SALE 14 00 SHR @ 166 050500	2,324 67	478 89	S
05-31-2013	LAUDER ESTEE COS INC	05/28 SALE 23 00 SHR @ 71 7359000	1,649 90	199 26	S
05-31-2013	MCDONALDS CORP	05/28 SALE 263 00 SHR @ 101 137600	26,598 73	5,145 70	L
05-31-2013	MICHAEL KORS HLDGS LTD	05/28 SALE 26 00 SHR @ 61 8623000	1,608.39	201 89	S
05-31-2013	PHILIP MORRIS INTL INC	05/28 SALE 73 00 SHR @ 93 3467000	6,814 19	254 23	S
06-04-2013	COSTCO WHSL CORP NEW	05/30 SALE 66 00 SHR @ 112 645100	7,434 45	756 57	S
06-06-2013	CAMERON INTL CORP	06/03 SALE 123 00 SHR @ 60 1152000	7,394 04	445 35	S
			6,851 83	(184 34)	S

06-06-2013	QUALCOMM INC	06/03 SALE 109.00 SHR @ 62 8619000			
06-07-2013	BIOGEN IDEC INC	06/04 SALE 55 00 SHR @ 220 862200	12,147 21	4,116 71	S
06-07-2013	GILEAD SCIENCES INC	SALE 9 00 SHR DUE TO WASH SALE	502 28		
06-12-2013	CAMERON INTL CORP	SALE 35 00 SHR DUE TO WASH SALE	2,220 78		
06-12-2013	SCHLUMBERGER LTD	06/07 SALE 1,035 00 SHR @ 73 9520000	76,538 99	8,203 78	L
06-17-2013	ALLERGAN INC	06/12 SALE 13 00 SHR @ 101 023300	1,313 28		
06-17-2013	AMAZON COM INC	06/12 SALE 3.00 SHR @ 271 340100	814 01	6 65	S
06-17-2013	BAIDU INC	06/12 SALE 7 00 SHR @ 97 5863000	683 09	(220 55)	L
06-17-2013	CELGENE CORP	06/12 SALE 13.00 SHR @ 118 695400	1,543 01	352 82	S
06-17-2013	EDWARDS LIFESCIENCES CORP	06/12 SALE 19 00 SHR @ 68 1376000	1,294 59	(555 46)	S
06-17-2013	EOG RES INC	06/12 SALE 10 00 SHR @ 129 877600	1,298 75	56 35	S
06-17-2013	FACEBOOK INC	06/12 SALE 20 00 SHR @ 23.7301000	474 59		
06-17-2013	FASTENAL CO	06/12 SALE 1 00 SHR @ 48 1200000	48 12	(6 87)	S
06-17-2013	FRANKLIN RES INC	06/12 SALE 1 00 SHR @ 144 769500	144 77	12 93	S
06-17-2013	GOOGLE INC	06/12 SALE 1 00 SHR @ 872 022200	872 01	138 69	S
06-17-2013	LAS VEGAS SANDS CORP	06/12 SALE 6 00 SHR @ 55 4650000	332 78	(9 64)	S
06-17-2013	LAUDER ESTEE COS INC	06/12 SALE 15 00 SHR @ 67 9321000	1,018 96	72 89	S
06-17-2013	LINKEDIN CORP	06/12 SALE 4 00 SHR @ 167 541400	670 15	220 54	S
06-17-2013	MICHAEL KORS HLDGS LTD	06/12 SALE 5 00 SHR @ 60 3528000	301 76	31 28	S
06-17-2013	NOVO-NORDISK A S	06/12 SALE 1 00 SHR @ 168 037800	168 03	(0 22)	S
06-17-2013	SALESFORCE COM INC	06/12 SALE 9 00 SHR @ 37 4325000	336 89	(50 25)	S
06-17-2013	TJX COS INC NEW	06/12 SALE 27 00 SHR @ 50 0225000	1,350 58	74 11	S
06-17-2013	VERTEX PHARMACEUTICALS INC	06/12 SALE 7 00 SHR @ 81 1990000	568 38	(15 95)	S
06-17-2013	VISA INC	06/12 SALE 2 00 SHR @ 178 968200	357 93	36 54	S
			283 19	(27 27)	S

06-17-2013	VMWARE INC	06/12 SALE 4 00 SHR @ 70 7996000			
06-17-2013	YUM BRANDS INC	06/12 SALE 7 00 SHR @ 72 0933000	504 64	51 32	S
06-18-2013	AMERICAN EXPRESS CO	06/13 SALE 103 00 SHR @ 74 9319000	7,717 85	858 40	S
06-18-2013	LAUDER ESTEE COS INC	06/13 SALE 82 00 SHR @ 68 0949000	5,583 68	411 84	S
06-18-2013	VMWARE INC	SALE 4 00 SHR DUE TO WASH SALE	283 19		
06-18-2013	YUM BRANDS INC	06/13 SALE 251 00 SHR @ 71 5109000	17,948 92	1,694 24	S
06-19-2013	LAUDER ESTEE COS INC	06/14 SALE 110 00 SHR @ 67 9895000	7,478 71	540 88	S
06-19-2013	LULULEMON ATHLETICA INC	06/14 SALE 517 00 SHR @ 66 1543000	34,201 18	153 84	L
06-19-2013	YUM BRANDS INC	06/14 SALE 45 00 SHR @ 71 3716000	3,211 67	297 48	S
06-20-2013	EMC CORP	SALE 49 00 SHR DUE TO WASH SALE	1,156 62		
06-20-2013	GENERAL ELEC CO	06/17 SALE 188 00 SHR @ 23 7526000	4,465 41	514 59	S
06-20-2013	LULULEMON ATHLETICA INC	06/17 SALE 113 00 SHR @ 66 3793000	7,500 73	2,623 55	L
06-20-2013	PHILIP MORRIS INTL INC	06/17 SALE 45 00 SHR @ 92 6906000	4,171 00	143 75	S
06-21-2013	QUALCOMM INC	06/18 SALE 124 00 SHR @ 62 4240000	7,740 44	(264 01)	S
06-24-2013	BAIDU INC	06/19 SALE 38 00 SHR @ 95 0137000	3,610 46	(1,218 93)	L
06-24-2013	BIOGEN IDEC INC	06/19 SALE 91 00 SHR @ 207.124600	18,848 01	5,561 19	S
06-24-2013	EDWARDS LIFESCIENCES CORP	SALE 19 00 SHR DUE TO WASH SALE	1,294 59		
06-24-2013	NOVO-NORDISK A S	SALE 1 00 SHR DUE TO WASH SALE	168 03		
06-24-2013	SALESFORCE COM INC	SALE 9 00 SHR DUE TO WASH SALE	336 89		
06-25-2013	AFFILIATED MANAGERS GROUP	06/20 SALE 360 00 SHR @ 163 623800	58,903 54	6,551 02	S
06-25-2013	ALEXION PHARMACEUTICALS INC	06/20 SALE 345 00 SHR @ 90 5530000	31,240 24	(2,466 71)	S
06-25-2013	ALLERGAN INC	06/20 SALE 429 00 SHR @ 97 7201000	41,921 20	(1,722 41)	S
06-25-2013	ALLIANCE DATA SYS CORP	06/20 SALE 174 00 SHR @ 174 303000	30,328 19	(505 27)	S
06-25-2013	AMAZON COM INC	06/20 SALE 220 00 SHR @ 276 200700	60,763 09	1,594 11	S
			74,405 41	(22,181 32)	S

06-25-2013	APPLE INC	06/20 SALE 177 00 SHR @ 420 376900			
06-25-2013	BIOGEN IDEC INC	06/20 SALE 206 00 SHR @ 200 105800	41,221 07	11,267 65	S
06-25-2013	CAMERON INTL CORP	06/20 SALE 787 00 SHR @ 62 2075000	48,956 44	2,401 57	L
06-25-2013	CANADIAN PAC RY LTD	06/20 SALE 354 00 SHR @ 121 800100	43,116 48	383 57	S
06-25-2013	CATAMARAN CORP	06/20 SALE 708 00 SHR @ 51 1934000	36,244 29	(1,179 74)	S
06-25-2013	CELGENE CORP	06/20 SALE 353 00 SHR @ 115 373000	40,725 96	(651 73)	S
06-25-2013	CERNER CORP	06/20 SALE 524 00 SHR @ 95 2100000	49,889 17	7,185 57	S
06-25-2013	CHIPOTLE MEXICAN GRILL INC	06/20 SALE 79 00 SHR @ 365 430000	28,868 46	5,520 54	S
06-25-2013	CITRIX SYS INC	06/20 SALE 828 00 SHR @ 61 7254000	51,107 74	(7,424 31)	S
06-25-2013	CUMMINS INC	06/20 SALE 389 00 SHR @ 114 028700	44,356 38	(1,348 01)	S
06-25-2013	EBAY INC	06/20 SALE 854 00 SHR @ 50 8638000	43,436 93	(1,644 02)	S
06-25-2013	EMC CORP	06/20 SALE 1,634 00 SHR @ 24 7020000	40,362.36	1,086 66	S
06-25-2013	FASTENAL CO	06/20 SALE 812 00 SHR @ 45 9449000	37,306 60	(1,312 06)	S
06-25-2013	GILEAD SCIENCES INC	06/20 SALE 866 00 SHR @ 50 0646000	43,355 19	(3,563 97)	S
06-25-2013	GOOGLE INC	06/20 SALE 65 00 SHR @ 894 146800	58,118 53	8,012 23	S
06-25-2013	HERTZ GLOBAL HLDGS INC	06/20 SALE 1,170 00 SHR @ 24 9201000	29,156 01	(202 55)	S
06-25-2013	HUNT J B TRANS SVCS INC	06/20 SALE 614 00 SHR @ 71 3089000	43,782 89	2,694 19	S
06-25-2013	LINKEDIN CORP	06/20 SALE 151 00 SHR @ 175 660100	26,524 21	9,390 22	L
06-25-2013	MICHAEL KORS HLDGS LTD	06/20 SALE 622 00 SHR @ 60 2800000	37,493 51	517 63	S
06-25-2013	MONDELEZ INTL INC	06/20 SALE 252 00 SHR @ 28 9341000	7,291 27	484 78	S
06-25-2013	MONSANTO CO	06/20 SALE 648 00 SHR @ 105 006900	68,043 29	3,251 36	S
06-25-2013	PRICELINE COM INC	06/20 SALE 89 00 SHR @ 823 500100	73,290 23	13,148 06	S
06-25-2013	QUALCOMM INC	06/20 SALE 833 00 SHR @ 61 2338000	51,006 87	(3,003 88)	S
06-25-2013	SCHWAB CHARLES CORP NEW	06/20 SALE 1,596 00 SHR @ 20 7701000	33,148 50	3,028 63	S
			57,138 60	9,740 39	S

06-25-2013	STARBUCKS CORP	06/20 SALE 864 00 SHR @ 66 1338000			
06-25-2013	TRACTOR SUPPLY CO	06/20 SALE 401.00 SHR @ 112 820100	45,240 07	8,000 97	S
06-25-2013	VISA INC	06/20 SALE 365 00 SHR @ 180 770100	65,979 93	7,373 80	S
06-25-2013	WELLS FARGO & CO	06/20 SALE 242 00 SHR @ 40 2054000	9,729 54	1,255 98	S
06-26-2013	AFFILIATED MANAGERS GROUP	06/21 SALE 5 00 SHR @ 160 729900	803 63	112 35	S
06-26-2013	ALEXION PHARMACEUTICALS INC	06/21 SALE 4 00 SHR @ 89 7000000	358 79	(16 72)	S
06-26-2013	ALLERGAN INC	06/21 SALE 6 00 SHR @ 93 3900000	560 32	(40 30)	S
06-26-2013	ALLIANCE DATA SYS CORP	06/21 SALE 2 00 SHR @ 172 527500	345 05		
06-26-2013	AMAZON COM INC	06/21 SALE 3 00 SHR @ 272 370000	817 09	13 45	S
06-26-2013	APPLE INC	06/21 SALE 3 00 SHR @ 412 820000	1,238 43	(279 52)	S
06-26-2013	BIOGEN IDEC INC	06/21 SALE 3 00 SHR @ 199 270000	597 79	167 08	S
06-26-2013	CAMERON INTL CORP	06/21 SALE 10 00 SHR @ 61 3700000	613 68	48 75	S
06-26-2013	CANADIAN PAC RY LTD	06/21 SALE 4 00 SHR @ 118 650000	474 59	28.74	S
06-26-2013	CATAMARAN CORP	06/21 SALE 9 00 SHR @ 50 5200000	454 67	(19 45)	S
06-26-2013	CELGENE CORP	06/21 SALE 5 00 SHR @ 114.370000	571 84	6 15	S
06-26-2013	CERNER CORP	06/21 SALE 89 00 SHR @ 94 8400000	8,440.61	1,309 05	S
06-26-2013	CHIPOTLE MEXICAN GRILL INC	06/21 SALE 1 00 SHR @ 358 060000	358 05	64 76	S
06-26-2013	CITRIX SYS INC	06/21 SALE 10 00 SHR @ 60 6100000	606 08	(81 92)	S
06-26-2013	CME GROUP INC	06/21 SALE 3 00 SHR @ 76.0500000	228 14	(4 30)	S
06-26-2013	CUMMINS INC	06/21 SALE 4 00 SHR @ 112 150000	448.59	(21 38)	S
06-26-2013	EBAY INC	06/21 SALE 11 00 SHR @ 50 5300000	555 82	(27 69)	S
06-26-2013	EMC CORP	06/21 SALE 21 00 SHR @ 24 4200000	512 81	8 60	S
06-26-2013	FASTENAL CO	06/21 SALE 10 00 SHR @ 45 1600000	451 59	(14 37)	S
06-26-2013	GILEAD SCIENCES INC	06/21 SALE 10 00 SHR @ 49 5000000	494 99	(103 15)	S
			352 79	(54 05)	S

06-26-2013	HERTZ GLOBAL HLDGS INC	06/21 SALE 15 00 SHR @ 23 5201000			
06-26-2013	HUNT J B TRANS SVCS INC	06/21 SALE 8 00 SHR @ 69 9700000	559.75	67 03	S
06-26-2013	LINKEDIN CORP	06/21 SALE 1 00 SHR @ 172 920000	172 91	60 51	S
06-26-2013	MICHAEL KORS HLDGS LTD	06/21 SALE 7 00 SHR @ 59 2600000	414 81	4 88	S
06-26-2013	MONSANTO CO	06/21 SALE 8 00 SHR @ 102 763000	822 09	31 70	S
06-26-2013	PRICELINE COM INC	06/21 SALE 1 00 SHR @ 811 890000	811 87	154 59	S
06-26-2013	QUALCOMM INC	06/21 SALE 10 00 SHR @ 60 5500000	605 48	(40 04)	S
06-26-2013	STARBUCKS CORP	06/21 SALE 11 00 SHR @ 65 2901000	718 17	115 42	S
06-26-2013	TRACTOR SUPPLY CO	06/21 SALE 5 00 SHR @ 111 250000	556 24	96 14	S
06-26-2013	VISA INC	06/21 SALE 5 00 SHR @ 180 580000	902 88	102 61	S
06-27-2013	ALLERGAN INC	06/24 SALE 364 00 SHR @ 81 6979000	29,737 52	3,337 80	L
06-27-2013	ORACLE CORP	06/24 SALE 487 00 SHR @ 30 1329000	14,674 47	(2,220 07)	S
07-02-2013	ALLERGAN INC	SALE 180 00 SHR DUE TO WASH SALE	17,589 32	(102 57)	S
07-02-2013	APPLE INC	SALE 167 00 SHR DUE TO WASH SALE	70,201 71	(5,107 52)	S
07-02-2013	ORACLE CORP	SALE 487.00 SHR DUE TO WASH SALE	14,674 47		
07-02-2013	QUALCOMM INC	SALE 124 00 SHR DUE TO WASH SALE	7,740 44		
07-02-2013	QUALCOMM INC	SALE 109 00 SHR DUE TO WASH SALE	6,851 83		
07-02-2013	QUALCOMM INC	SALE 494 00 SHR DUE TO WASH SALE	30,248 97	(872 97)	S
07-03-2013	ACCENTURE PLC	06/28 SALE 192 00 SHR @ 69 9966000	13,439 11	(2,160 52)	S
07-03-2013	DUKE ENERGY CORP	06/28 SALE 179 00 SHR @ 67 9098000	12,151 17	(5 62)	S
07-08-2013	ORACLE CORP	07/02 SALE 572 00 SHR @ 30 0565000	17,192 02	1,124 72	L
07-15-2013	ACCENTURE PLC	07/10 SALE 100 00 SHR @ 73 8840000	7,388 27	(117 18)	S
07-15-2013	CATAMARAN CORP	SALE 426 00 SHR DUE TO WASH SALE	21,808 00	(465 59)	S
07-15-2013	EMC CORP	07/10 SALE 1,365 00 SHR @ 24 4564000	33,382 41	1,490 08	L
			16,023 25	(309 40)	S

07-16-2013	CATAMARAN CORP	SALE 313 00 SHR DUE TO WASH SALE			
07-18-2013	ALEXION PHARMACEUTICALS INC	07/15 SALE 27 00 SHR @ 108 634500	2,933 08	286 87	S
07-18-2013	QUALCOMM INC	07/15 SALE 129 00 SHR @ 61 1977000	7,894 37	(432 83)	S
07-19-2013	YUM BRANDS INC	07/16 SALE 542 00 SHR @ 71 3401000	38,665 66	3,912 02	L
07-23-2013	ALLERGAN INC	SALE 217 00 SHR DUE TO WASH SALE	21,204 89	(854 63)	S
07-23-2013	FASTENAL CO	SALE 728 00 SHR DUE TO WASH SALE	33,447 30	(1,093 27)	S
07-23-2013	FMC TECHNOLOGIES INC	07/18 SALE 82.00 SHR @ 57 0498000	4,678 00	897 86	S
07-23-2013	LAS VEGAS SANDS CORP	07/18 SALE 90 00 SHR @ 55 6302000	5,006 63	189 55	S
07-23-2013	LINKEDIN CORP	07/18 SALE 27 00 SHR @ 200.107900	5,402 82	2,367 97	S
07-24-2013	LINKEDIN CORP	07/19 SALE 27 00 SHR @ 199 207100	5,378 50	2,343 66	S
07-26-2013	BIOGEN IDEC INC	07/23 SALE 45 00 SHR @ 225 310900	10,138 81	812 97	S
07-26-2013	EBAY INC	07/23 SALE 217.00 SHR @ 51 5755000	11,191 69	258 29	S
07-29-2013	AMERISOURCEBERGEN CORP	07/24 SALE 119 00 SHR @ 57 2553000	6,813 26	1,587 97	S
07-31-2013	BAIDU INC	07/26 SALE 109 00 SHR @ 127 083100	13,851 82	195 74	L
07-31-2013	COSTCO WHSL CORP NEW	07/26 SALE 56 00 SHR @ 116 217500	6,508 07	841 99	S
07-31-2013	FACEBOOK INC	07/26 SALE 701 00 SHR @ 33 7999000	23,693 32	2,747 55	S
08-05-2013	FACEBOOK INC	07/31 SALE 179 00 SHR @ 36 6920000	6,567 75	1,222 83	S
08-06-2013	VISA INC	08/01 SALE 47 00 SHR @ 176 731500	8,306 24	783 71	S
08-09-2013	CAMERON INTL CORP	08/06 SALE 122 00 SHR @ 57 2465000	6,983 95	91 76	S
08-13-2013	QUALCOMM INC	SALE 129 00 SHR DUE TO WASH SALE	7,894 37	(30 20)	S
08-15-2013	STRYKER CORP	08/12 SALE 147 00 SHR @ 70 4175000	10,351 14	1,788 26	S
08-16-2013	LAUDER ESTEE COS INC	08/13 SALE 220 00 SHR @ 66 2643000	14,577 89	745 79	L
08-16-2013	PERMANENT PORTFOLIO	PROCEEDS REDEMPTION 2,123 593 UNITS	100,000 00	(3,465 36)	L
08-19-2013	LAUDER ESTEE COS INC	08/14 SALE 286 00 SHR @ 65.1554000	18,634.12	4,748 93	L
			8,162 13	341 30	S

08-19-2013	UNILEVER N V	08/14 SALE 206 00 SHR @ 39 6227000			
08-20-2013	UNITED PARCEL SVC INC	08/15 SALE 112 00 SHR @ 85 8831000	9,618 74	244 23	S
08-23-2013	EBAY INC	08/20 SALE 504 00 SHR @ 52 1502000	26,283 24	4,821 12	L
08-27-2013	MONSANTO CO	08/22 SALE 132 00 SHR @ 95 5885000	12,617 46	(561 01)	S
08-27-2013	SANOFI	08/22 SALE 272 00 SHR @ 50 3867000	13,704 94	(889 67)	S
08-30-2013	LAS VEGAS SANDS CORP	08/27 SALE 820 00 SHR @ 55 1196000	45,197 29	8,841 32	L
09-03-2013	ABBOTT LABS	08/28 SALE 232 00 SHR @ 33 9449000	7,875 08	(852 67)	S
09-03-2013	ACCENTURE PLC	08/28 SALE 38 00 SHR @ 72 3300000	2,748 49	99 26	S
09-03-2013	AGILENT TECHNOLOGIES INC	08/28 SALE 39 00 SHR @ 46 8275000	1,825 27	162 83	S
09-03-2013	ALLERGAN INC	08/28 SALE 74 00 SHR @ 88 1900000	6,525 94	(1,157 16)	S
09-03-2013	ALLERGAN INC	SALE 74 00 SHR DUE TO WASH SALE	6,525 94	(910 25)	S
09-03-2013	AMERICAN EXPRESS CO	08/28 SALE 48 00 SHR @ 71 8600000	3,449 21	414 01	S
09-03-2013	AMERICAN EXPRESS CO	08/28 SALE 539 00 SHR @ 72 0372000	38,811 20	(1,420 03)	S
09-03-2013	AMERICAN WTR WKS CO INC	08/28 SALE 407 00 SHR @ 41 7254000	16,969 73	461 15	S
09-03-2013	AMERISOURCEBERGEN CORP	08/28 SALE 82 00 SHR @ 56.7200000	4,650.95	1,050 33	S
09-03-2013	APPLE INC	08/28 SALE 9 00 SHR @ 494 510000	4,450 29	(862 66)	S
09-03-2013	AT&T INC	08/28 SALE 72 00 SHR @ 33 6568000	2,421 45	(136 35)	S
09-03-2013	AUTOMATIC DATA PROCESSING INC	08/28 SALE 86 00 SHR @ 71 2755000	6,127 44	131 09	S
09-03-2013	BED BATH & BEYOND INC	08/28 SALE 70 00 SHR @ 74 3500000	5,204 40	574 99	S
09-03-2013	BIOGEN IDEC INC	08/28 SALE 30 00 SHR @ 209 707400	6,291 11	393 87	S
09-03-2013	CAMERON INTL CORP	08/28 SALE 43 00 SHR @ 57 2318000	2,460 92	31 70	S
09-03-2013	CAPITAL ONE FINL CORP	08/28 SALE 46 00 SHR @ 63 8105000	2,934 08	80 93	S
09-03-2013	CIGNA CORP	08/28 SALE 41 00 SHR @ 78 5014000	3,217 48	277 98	S
09-03-2013	CISCO SYS INC	08/28 SALE 101 00 SHR @ 23 4818000	2,369 10	(104 90)	S
			12,874 32	(799 20)	S

09-03-2013	COCA COLA CO	08/28 SALE 336 00 SHR @ 38 3471000			
09-03-2013	COCA COLA CO	08/28 SALE 198 00 SHR @ 38 4225000	7,607 52	262 29	S
09-03-2013	COLGATE PALMOLIVE CO	08/28 SALE 97 00 SHR @ 57 2400000	5,552 18	385 97	S
09-03-2013	CONSOL ENERGY INC	08/28 SALE 25 00 SHR @ 32 0121000	799 66	114 53	S
09-03-2013	COSTCO WHSL CORP NEW	08/28 SALE 31 00 SHR @ 111 050000	3,442 49	305 91	S
09-03-2013	CSX CORP	08/28 SALE 211 00 SHR @ 24 7536000	5,217 64	274 88	S
09-03-2013	CVS CAREMARK CORP	08/28 SALE 83 00 SHR @ 57 5200000	4,772 00	(15 03)	S
09-03-2013	OU PONT E I OE NEMOURS & CO	08/28 SALE 24 00 SHR @ 56 8700000	1,364 26	71 26	S
09-03-2013	EBAY INC	08/28 SALE 102 00 SHR @ 50 7832000	5,179 79	(432 78)	S
09-03-2013	ECOLAB INC	08/28 SALE 68 00 SHR @ 91 1001000	6,193 00	303 86	S
09-03-2013	EMC CORP	08/28 SALE 33 00 SHR @ 25 8194000	851 20	58 04	S
09-03-2013	EMC CORP	08/28 SALE 155 00 SHR @ 25 8643000	4,008 90	(116 38)	S
09-03-2013	GENERAL ELEC CO	08/28 SALE 255 00 SHR @ 23 2447000	5,927 29	(423 94)	S
09-03-2013	GENERAL ELEC CO	SALE 255 00 SHR DUE TO WASH SALE	5,927 29		
09-03-2013	GENERAL MLS INC	08/28 SALE 2 00 SHR @ 48 8450000	97 64	0 81	S
09-03-2013	GILEAO SCIENCES INC	08/28 SALE 42 00 SHR @ 59 3000000	2,490 55		
09-03-2013	GOOGLE INC	08/28 SALE 9 00 SHR @ 851 310000	7,661 65	(588 56)	S
09-03-2013	HARTFORD FINL SVCS GROUP INC	08/28 SALE 65 00 SHR @ 29 9300000	1,943 79	(31 71)	S
09-03-2013	HERSHEY CO	08/28 SALE 2 00 SHR @ 91 1700000	182 29	5 18	S
09-03-2013	HOME DEPOT INC	08/28 SALE 8 00 SHR @ 75 2241000	601 58	(6 62)	S
09-03-2013	JACOBS ENGR GROUP INC DEL	08/28 SALE 32 00 SHR @ 58 7601000	1,879 49	106 85	S
09-03-2013	JOHNSON CTLS INC	08/28 SALE 136 00 SHR @ 40 2525000	5,474 24	584 35	S
09-03-2013	JUNIPER NETWORKS INC	08/28 SALE 210 00 SHR @ 19 1344000	4,018 15	(835 34)	L
09-03-2013	LAUDER ESTEE COS INC	08/28 SALE 87 00 SHR @ 64 8800000	5,644 46	(581 16)	S
			780 14	(38 23)	S

09-03-2013	MASCO CORP	08/28 SALE 42.00 SHR @ 18 6000000			
09-03-2013	MCKESSON CORP	08/28 SALE 31 00 SHR @ 121 562800	3,767 61	176 10	S
09-03-2013	MEDTRONIC INC	08/28 SALE 81 00 SHR @ 51 7641000	4,190 79	(35 39)	S
09-03-2013	MONDELEZ INTL INC	08/28 SALE 205 00 SHR @ 30 6625000	6,285 70	748 67	S
09-03-2013	MONSANTO CO	08/28 SALE 64 00 SHR @ 97 2700000	6,225 17	(97 97)	S
09-03-2013	MOSAIC CO	08/28 SALE 25 00 SHR @ 41 5912000	1,039 14	(337 24)	S
09-03-2013	NIKE INC	08/28 SALE 97 00 SHR @ 63 2400000	6,134 17	(7 47)	S
09-03-2013	NV ENERGY INC	08/28 SALE 236 00 SHR @ 23 7302000	5,594 33	42 43	S
09-03-2013	OCCIDENTAL PETE CORP DEL	08/28 SALE 66 00 SHR @ 88 4810000	5,839 64	66 44	S
09-03-2013	PEPSICO INC	08/28 SALE 74 00 SHR @ 79 3005000	5,868 13	(493 69)	S
09-03-2013	PEPSICO INC	08/28 SALE 26 00 SHR @ 79.5930000	2,068 73	(58 46)	S
09-03-2013	PHILIP MORRIS INTL INC	08/28 SALE 74 00 SHR @ 83 5525000	6,182 78	(455 10)	S
09-03-2013	PPG INDS INC	08/28 SALE 7 00 SHR @ 156 454500	1,094 99	44 11	S
09-03-2013	PRICELINE COM INC	08/28 SALE 2 00 SHR @ 933 380000	1,866 72	(4 06)	S
09-03-2013	PROCTER & GAMBLE CO	08/28 SALE 80 00 SHR @ 76 9201000	6,153 50	(324 20)	S
09-03-2013	PROCTER & GAMBLE CO	SALE 80 00 SHR DUE TO WASH SALE	6,153 50	(166 15)	S
09-03-2013	PRUDENTIAL FINL INC	08/28 SALE 36 00 SHR @ 75 4412000	2,714 94	131 52	S
09-03-2013	QUALCOMM INC	SALE 81 00 SHR DUE TO WASH SALE	5,385 59		
09-03-2013	QUALCOMM INC	08/28 SALE 81 00 SHR @ 66 4900000	5,385 59	(259 23)	S
09-03-2013	SALESFORCE COM INC	08/28 SALE 39 00 SHR @ 42 8500000	1,670 15	173 52	S
09-03-2013	SANOFI	08/28 SALE 118 00 SHR @ 49 1440000	5,798 88	26 28	S
09-03-2013	SPECTRA ENERGY CORP	08/28 SALE 511 00 SHR @ 33 4384000	17,071 40	(611 76)	S
09-03-2013	ST JUDE MED INC	08/28 SALE 6 00 SHR @ 50 7400000	304 28	34 19	S
09-03-2013	STARBUCKS CORP	08/28 SALE 33 00 SHR @ 71 0737000	2,344 57	156 50	S
			5,756 57	1,312 52	S

09-03-2013	STARBUCKS CORP	08/28 SALE 81 00 SHR @ 71 0701000			
09-03-2013	STATE STR CORP	08/28 SALE 65 00 SHR @ 66 8800000	4,347 12	(67 03)	S
09-03-2013	STATE STR CORP	08/28 SALE 58 00 SHR @ 67 0081000	3,884 95	92 04	S
09-03-2013	STRYKER CORP	08/28 SALE 57 00 SHR @ 67 1100000	3,825 20	504 90	S
09-03-2013	TENET HEALTHCARE CORP	08/28 SALE 13 00 SHR @ 39 7200000	516 03	(79 61)	S
09-03-2013	TEXAS INSTRS INC	08/28 SALE 74 00 SHR @ 38 5242000	2,848 89	252 60	S
09-03-2013	TJX COS INC NEW	08/28 SALE 150 00 SHR @ 52 9195000	7,934 04	466 29	S
09-03-2013	TJX COS INC NEW	08/28 SALE 94 00 SHR @ 52 9801000	4,980 04	553 58	S
09-03-2013	UNILEVER N V	08/28 SALE 79 00 SHR @ 38 1300000	3,012 21	12 96	S
09-03-2013	UNION PAC CORP	08/28 SALE 32 00 SHR @ 154 546000	4,944 59	(77 97)	S
09-03-2013	UNITED PARCEL SVC INC	08/28 SALE 6 00 SHR @ 86 0038000	515 86	(6 29)	S
09-03-2013	UNITED PARCEL SVC INC	08/28 SALE 64 00 SHR @ 85 7700000	5,489 18	267 48	S
09-03-2013	UNITEDHEALTH GROUP INC	08/28 SALE 174 00 SHR @ 71 8801000	12,502 57	1,164 10	S
09-03-2013	VERIZON COMMUNICATIONS INC	08/28 SALE 38 00 SHR @ 46 7400000	1,775 14	(162 29)	S
09-03-2013	VISA INC	08/28 SALE 34 00 SHR @ 173 900000	5,912 49	448 94	S
09-03-2013	WELLS FARGO & CO	08/28 SALE 154 00 SHR @ 41 3725000	6,371 25	978 98	S
09-03-2013	WELLS FARGO & CO	08/28 SALE 273 00 SHR @ 41 3324000	11,276 72	(15 93)	S
09-03-2013	XILINX INC	08/28 SALE 44 00 SHR @ 43 6252000	1,918 38	171 80	S
09-03-2013	3M CO	08/28 SALE 41 00 SHR @ 113 319500	4,644 99	113 05	S
09-05-2013	LAZARD EMERGING MKTS EQUITY	PROCEEDS REDEMPTION 19,013 890 UNITS	339,588 08	(37,038 68)	L
09-09-2013	MICHAEL KORS HLDGS LTD	09/04 SALE 109 00 SHR @ 75 3486000	8,212 85	2,358 38	S
09-10-2013	ABBOTT LABS	09/05 SALE 1,771 00 SHR @ 33 6718000	59,631 72	4,194 92	L
09-10-2013	ACCENTURE PLC	09/05 SALE 294 00 SHR @ 73 9325000	21,735 78	4,111 22	L
09-10-2013	AFFILIATED MANAGERS GROUP	09/05 SALE 299 00 SHR @ 178 085400	53,246 60	16,927 22	L

09-10-2013	ALEXION PHARMACEUTICALS INC	09/05 SALE 115 00 SHR @ 112 204400	12,903 28	7,680 51	L
09-10-2013	ALLERGAN INC	09/05 SALE 569 00 SHR @ 89 0480000	50,667 42	1,976 21	L
09-10-2013	ALLIANCE DATA SYS CORP	09/05 SALE 179 00 SHR @ 198 540800	35,538 18	1,984 02	S
09-10-2013	AMAZON COM INC	09/05 SALE 83 00 SHR @ 293 102500	24,327 08	5,273 58	L
09-10-2013	AMERICAN EXPRESS CO	SALE 295 00 SHR DUE TO WASH SALE	21,241 75	(13 17)	S
09-10-2013	AMERICAN EXPRESS CO	SALE 539 00 SHR DUE TO WASH SALE	38,811 20	(777 20)	S
09-10-2013	AMERISOURCEBERGEN CORP	09/05 SALE 618 00 SHR @ 57 9750000	35,827 92	10,902 24	L
09-10-2013	APPLE INC	09/05 SALE 152 00 SHR @ 495 393800	75,298 54	35,782 08	L
09-10-2013	AT&T INC	SALE 72 00 SHR DUE TO WASH SALE	2,421 45		
09-10-2013	BED BATH & BEYOND INC	09/05 SALE 517 00 SHR @ 72 7308000	37,601 16	8,157 47	L
09-10-2013	BIOGEN IDEC INC	09/05 SALE 243 00 SHR @ 226 386000	55,010 83	18,301 28	S
09-10-2013	BIOGEN IDEC INC	09/05 SALE 72 00 SHR @ 226 386000	16,299 51	7,111 77	L
09-10-2013	CAMERON INTL CORP	09/05 SALE 656 00 SHR @ 58 8925000	38,632 80	9,339 14	L
09-10-2013	CAMERON INTL CORP	09/05 SALE 330 00 SHR @ 58 8925000	19,434 19	5,857 86	L
09-10-2013	CANADIAN PAC RY LTD	09/05 SALE 302 00 SHR @ 120 702300	36,451 45	2,924 35	S
09-10-2013	CATAMARAN CORP	09/05 SALE 808 00 SHR @ 55 5770000	44,905 43	3,210 66	S
09-10-2013	CELGENE CORP	09/05 SALE 134 00 SHR @ 145 634500	19,514 68	4,354 19	S
09-10-2013	CERNER CDRP	09/05 SALE 712 00 SHR @ 46 8070000	33,326 00	6,144 46	L
09-10-2013	CHIPOTLE MEXICAN GRILL INC	09/05 SALE 66 00 SHR @ 408 560000	26,964 49	10,108 59	L
09-10-2013	CISCO SYS INC	SALE 101 00 SHR DUE TO WASH SALE	2,369 10		
09-10-2013	CITRIX SYS INC	09/05 SALE 685 00 SHR @ 71 3226000	48,855 12	9,547 66	L
09-10-2013	CME GROUP INC	09/05 SALE 276 00 SHR @ 73 2413000	20,214 24	(1,170 10)	S
09-10-2013	COCA COLA CO	09/05 SALE 1,503 00 SHR @ 38 1519000	57,341 31	10,192 50	L
09-10-2013	COLGATE PALMOLIVE CO	09/05 SALE 773 00 SHR @ 57 6336000	44,549 99	10,133 07	L
			27,740 65	10,057 51	L

09-10-2013	COSTCO WHSL CORP NEW	09/05 SALE 244 00 SHR @ 113 693200			
09-10-2013	CUMMINS INC	09/05 SALE 127 00 SHR @ 128 161400	16,276 21	1,496 29	S
09-10-2013	CVS CAREMARK CORP	SALE 83 00 SHR DUE TO WASH SALE	4,772 00		
09-10-2013	EBAY INC	09/05 SALE 792 00 SHR @ 52 0842000	41,249 97	3,604 23	L
09-10-2013	ECOLAB INC	09/05 SALE 207 00 SHR @ 93 4503000	19,343 87	(274 04)	S
09-10-2013	EMC CORP	09/05 SALE 1,192 00 SHR @ 26 3125000	31,363 95	2,217 13	S
09-10-2013	EMC CORP	SALE 120 00 SHR DUE TO WASH SALE	3,157 44		
09-10-2013	EMC CORP	SALE 155 00 SHR DUE TO WASH SALE	4,008 90		
09-10-2013	FACEBOOK INC	09/05 SALE 68 00 SHR @ 42 4800000	2,888 58	287 56	S
09-10-2013	FASTENAL CO	09/05 SALE 681 00 SHR @ 48 6719000	33,144 98	2,902 89	L
09-10-2013	GILEAD SCIENCES INC	09/05 SALE 169 00 SHR @ 60 8527000	10,283 92	175 31	S
09-10-2013	GILEAD SCIENCES INC	09/05 SALE 324 00 SHR @ 60 8527000	19,715 93	225 50	S
09-10-2013	GOOGLE INC	09/05 SALE 71 00 SHR @ 877 873000	62,327 89	13,377 14	L
09-10-2013	HARTFORD FINL SVCS GROUP INC	SALE 65 00 SHR DUE TO WASH SALE	1,943 79		
09-10-2013	HERTZ GLOBAL HLDGS INC	09/05 SALE 972 00 SHR @ 25 5120000	24,797 22	(1,566 08)	S
09-10-2013	HOME DEPOT INC	SALE 8 00 SHR DUE TO WASH SALE	601 58		
09-10-2013	HUNT J B TRANS SVCS INC	09/05 SALE 518 00 SHR @ 72 6528000	37,633 49	6,352 42	S
09-10-2013	JOHNSON CTLS INC	09/05 SALE 996 00 SHR @ 41 5525000	41,385 56	9,783 68	S
09-10-2013	JUNIPER NETWORKS INC	09/05 SALE 1,617 00 SHR @ 20 5535000	33,234 43	3,316 49	L
09-10-2013	JUNIPER NETWORKS INC	SALE 210 00 SHR DUE TO WASH SALE	4,018 15		
09-10-2013	LAUDER ESTEE COS INC	09/05 SALE 655 00 SHR @ 67 4070000	44,150 82	(288 20)	S
09-10-2013	LINKEDIN CORP	09/05 SALE 42 00 SHR @ 245 369100	10,305 32	5,584 45	S
09-10-2013	MEDTRONIC INC	SALE 81 00 SHR DUE TO WASH SALE	4,190 79		
09-10-2013	MICHAEL KORS HLDGS LTD	09/05 SALE 406 00 SHR @ 76 0121000	30,860 37	6,595 29	S
			22,555 08	2,783 83	S

09-10-2013	MONDELEZ INTL INC	09/05 SALE 732 00 SHR @ 30 8135000			
09-10-2013	MONSANTO CO	09/05 SALE 502 00 SHR @ 101 064900	50,733 69	8,950 80	L
09-10-2013	MONSANTO CO	09/05 SALE 534 00 SHR @ 101 064900	53,967 72	10,938 90	L
09-10-2013	NIKE INC	SALE 97 00 SHR DUE TO WASH SALE	6,134 17		
09-10-2013	NIKE INC	09/05 SALE 734 00 SHR @ 65 4425000	48,033 96	11,448 93	L
09-10-2013	OCCIDENTAL PETE CORP DEL	09/05 SALE 503 00 SHR @ 89 9470000	45,242 55	5,783 68	L
09-10-2013	PEPSICO INC	09/05 SALE 181 00 SHR @ 78 9393000	14,287 76	994 93	S
09-10-2013	PEPSICO INC	SALE 40 00 SHR DUE TO WASH SALE	3,157 52		
09-10-2013	PEPSICO INC	SALE 74 00 SHR DUE TO WASH SALE	5,868 13		
09-10-2013	PEPSICO INC	SALE 26 00 SHR DUE TO WASH SALE	2,068 73		
09-10-2013	PHILIP MORRIS INTL INC	SALE 533 00 SHR DUE TO WASH SALE	44,884 74	(334 67)	S
09-10-2013	PHILIP MORRIS INTL INC	SALE 74 00 SHR DUE TO WASH SALE	6,182 78		
09-10-2013	PHILIP MORRIS INTL INC	09/05 SALE 577 00 SHR @ 84 2130000	48,590 05	(1,615 72)	L
09-10-2013	PRICELINE COM INC	09/05 SALE 17 00 SHR @ 955 000000	16,234 72	333 13	S
09-10-2013	PRICELINE COM INC	09/05 SALE 53 00 SHR @ 955 000000	50,614 11	19,333 07	L
09-10-2013	PROCTER & GAMBLE CO	09/05 SALE 620 00 SHR @ 77.0149000	47,748 40	6,978 46	L
09-10-2013	QUALCOMM INC	09/05 SALE 622 00 SHR @ 67 7535000	42,141 94	9,105 94	L
09-10-2013	QUALCOMM INC	09/05 SALE 292 00 SHR @ 67 7535000	19,783 68	1,240 77	L
09-10-2013	SANOFI	09/05 SALE 918 00 SHR @ 47 8259000	43,903 41	(65 88)	S
09-10-2013	SCHWAB CHARLES CORP NEW	09/05 SALE 1,753 00 SHR @ 22 0025000	38,569 70	4,047 50	S
09-10-2013	STARBUCKS CORP	09/05 SALE 402 00 SHR @ 72.1618000	29,008 54	7,088 13	L
09-10-2013	STARBUCKS CORP	09/05 SALE 616 00 SHR @ 72 1618000	44,450 89	12,328 46	L
09-10-2013	STATE STR CORP	SALE 65 00 SHR DUE TO WASH SALE	4,347 12		
09-10-2013	STRYKER CORP	09/05 SALE 433 00 SHR @ 67 5575000	29,251 89	7,633 05	L
			38,856 99	11,729 95	L

09-10-2013	TJX COS INC NEW	09/05 SALE 714 00 SHR @ 54 4225000			
09-10-2013	TRACTOR SUPPLY CO	09/05 SALE 337 00 SHR @ 124 261000	41,875 23	11,422 59	L
09-10-2013	UNILEVER N V	09/05 SALE 592 00 SHR @ 37 2420000	22,046 88	1,047 66	L
09-10-2013	UNION PAC CORP	SALE 32 00 SHR DUE TO WASH SALE	4,944 59		
09-10-2013	UNITED PARCEL SVC INC	09/05 SALE 494 00 SHR @ 86 8360000	42,896 23	7,059 99	L
09-10-2013	VISA INC	09/05 SALE 259 00 SHR @ 176 178500	45,629 44	12,379 73	L
09-10-2013	VISA INC	09/05 SALE 264 00 SHR @ 176 178500	46,510 31	16,199 95	L
09-10-2013	WELLS FARGO & CO	09/05 SALE 1,220 00 SHR @ 41 8518000	51,058 31	9,781 02	L
09-12-2013	FACEBOOK INC	09/09 SALE 598 00 SHR @ 44 1833000	26,421 15	8,828 65	S
09-12-2013	MICHAEL KORS HLDGS LTD	09/09 SALE 59 00 SHR @ 75 7744000	4,470 61	1,324 85	S
09-12-2013	MONSANTO CO	SALE 132 00 SHR DUE TO WASH SALE	12,617 46	(46 38)	S
09-12-2013	QUALCOMM INC	09/09 SALE 132 00 SHR @ 68 2504000	9,008 90	358 64	S
09-13-2013	MYLAN INC	09/10 SALE 106 00 SHR @ 38 1996000	4,049 09	259 76	S
09-13-2013	WAL-MART STORES INC	09/10 SALE 268.00 SHR @ 73 9940000	19,830 04	278 07	S
09-17-2013	JOHNSON & JOHNSON	09/12 SALE 8 00 SHR @ 88 9735000	711 78	16 20	S
09-23-2013	MEDTRONIC INC	09/18 SALE 50 00 SHR @ 54 1649000	2,708 20	7 60	S
09-27-2013	APPLIED MATLS INC	09/24 SALE 116 00 SHR @ 17 1350000	1,987 63	177 07	S
09-27-2013	LENNAR CORP	09/24 SALE 99 00 SHR @ 36 2919000	3,592 84	451 57	S
09-30-2013	EDWARDS LIFESCIENCES CORP	09/25 SALE 468 00 SHR @ 69 0042000	32,293 40	(11,984 97)	L
10-01-2013	EDWARDS LIFESCIENCES CORP	09/26 SALE 328 00 SHR @ 68 2236000	22,376 95	(5,982 88)	S
10-03-2013	MEDTRONIC INC	09/30 SALE 17 00 SHR @ 53 5449000	910 25		
10-03-2013	PIMCO ALL ASSET ALL AUTH FUND	PROCEEDS REDEMPTION 22,648 084 UNITS	233,954 71	(26,045 29)	S
10-10-2013	AMERIPRISE FINL INC	10/07 SALE 21 00 SHR @ 92 1015000	1,934 10	88 72	S
10-10-2013	COLUMBIA ACORN FUND	PROCEEDS REDEMPTION 20,594 140 UNITS	735,210 80	118,644 09	L

10-10-2013	COLUMBIA ACORN INTERNATIONAL	PROCEEDS REDEMPTION 4,325 878 UNITS	199,466 23	37,013 20	L
10-10-2013	PERMANENT PORTFOLIO	PROCEEDS REDEMPTION 4,060 913 UNITS	190,781 69	(6,944 15)	L
10-10-2013	PIMCO COMMODITY REALRETURN	PROCEEDS REDEMPTION 52,447 552 UNITS	300,000 00	(154,705 95)	L
10-10-2013	THORNBURG INTL VALUE FUND	PROCEEDS REDEMPTION 21,606 195 UNITS	650,346 47	49,764.95	L
10-15-2013	EGSHARES EMERGING MARKETS	10/09 SALE 2,520 00 SHR @ 27 0300000	68,038 81	8,844 01	L
10-15-2013	ISHARES MSCI SOUTH AFRICA	10/09 SALE 520 00 SHR @ 63 5490000	33,031 90	(2,259 79)	L
10-15-2013	MARKET VECTORS ETF TR	10/09 SALE 3,000 00 SHR @ 51 3029000	153,831 02	6,986 21	L
10-18-2013	THERMO FISHER SCIENTIFIC CORP	10/15 SALE 48 00 SHR @ 92 6041000	4,444 92	89 64	S
10-21-2013	LILLY ELI & CO	10/16 SALE 246 00 SHR @ 48 8144000	12,008 13	(819 17)	S
10-22-2013	AMERICAN EXPRESS CO	10/17 SALE 42 00 SHR @ 79 4275000	3,335 90	119 89	S
10-22-2013	INTERNATIONAL BUSINESS MACHS	10/17 SALE 204 00 SHR @ 174 866700	35,672 19	(1,853 10)	S
10-24-2013	ENERGIZER HLDGS INC	10/21 SALE 28 00 SHR @ 92 6893000	2,595.25	(66 43)	S
10-25-2013	PIMCO COMMODITY REALRETURN	PROCEEDS REDEMPTION 45,734 311 UNITS	262,972 29	(82,321 76)	L
10-28-2013	ENERGIZER HLDGS INC	10/23 SALE 25 00 SHR @ 97 7182000	2,442 91	66 41	S
10-30-2013	CELGENE CORP	10/25 SALE 16 00 SHR @ 155 242700	2,483 84	1,018 99	S
10-30-2013	FACEBOOK INC	10/25 SALE 118 00 SHR @ 52 2593000	6,166 49	3,073 28	S
10-31-2013	ENERGIZER HLDGS INC	10/28 SALE 31.00 SHR @ 100 948000	3,129 33	182 47	S
10-31-2013	KELLOGG CO	10/28 SALE 145 00 SHR @ 63 3771000	9,185 89	(2 64)	S
10-31-2013	MCKESSON CORP	10/28 SALE 109 00 SHR @ 152 505700	16,620 11	3,991 92	S
11-01-2013	QUALCOMM INC	10/29 SALE 104 00 SHR @ 69 0829000	7,184 50	2,461 86	L
11-01-2013	UNION PAC CORP	10/29 SALE 54 00 SHR @ 150 147900	8,107 85	(422 22)	S
11-06-2013	ORACLE CORP	11/01 SALE 892 00 SHR @ 33 5653000	29,939 73	1,061 14	S
11-06-2013	PAYCHEX INC	11/01 SALE 329 00 SHR @ 42 0427000	13,831 81	1,041 11	S
11-06-2013	QUALCOMM INC		21,549 47	8,271 11	L

		11/01 SALE 309 00 SHR @ 69 7406000			
11-06-2013	UNION PAC CORP	11/01 SALE 152 00 SHR @ 152 633700	23,199 92	(591 18)	S
11-07-2013	ENERGIZER HLDGS INC	11/04 SALE 187 00 SHR @ 98 6178000	18,441 21	665 02	S
11-07-2013	GILEAD SCIENCES INC	11/04 SALE 251 00 SHR @ 69 6440000	17,480 34	7,501 32	S
11-07-2013	VALERO ENERGY CORP NEW	11/04 SALE 43 00 SHR @ 41 0069000	1,763 27	170 70	S
11-12-2013	LILLY ELI & CO	SALE 246 00 SHR DUE TO WASH SALE	12,008 13	(779 21)	S
11-12-2013	UNION PAC CORP	SALE 32 00 SHR DUE TO WASH SALE	4,804 65	(255 54)	S
11-13-2013	ABERCROMBIE & FITCH CO	11/07 SALE 142 00 SHR @ 33 4001000	4,742.73	(364 66)	S
11-13-2013	PRICELINE COM INC	11/07 SALE 6 00 SHR @ 1034 08820	6,204 42	2,001 60	S
11-14-2013	ABERCROMBIE & FITCH CO	11/08 SALE 127 00 SHR @ 33 2782000	4,226 26	(339 82)	S
11-14-2013	GAP INC DEL	11/08 SALE 124 00 SHR @ 41 0967000	5,095 90	22 43	S
11-14-2013	HOLOGIC INC	11/08 SALE 71 00 SHR @ 21 8855000	1,553 84	49 45	S
11-14-2013	HOLOGIC INC	11/11 SALE 78 00 SHR @ 22.7929000	1,777 82	125.11	S
11-19-2013	ALEXION PHARMACEUTICALS INC	11/14 SALE 36 00 SHR @ 119 835800	4,314 01	785 73	S
11-19-2013	ALLERGAN INC	11/14 SALE 1 00 SHR @ 95 4424000	95 44		
11-19-2013	AMAZON COM INC	11/14 SALE 8 00 SHR @ 367 002900	2,935 97	581 69	S
11-19-2013	ARM HLDGS PLC	11/14 SALE 9 00 SHR @ 45 4263000	408 83		
11-19-2013	BAIDU INC	11/14 SALE 11 00 SHR @ 159 197500	1,751 14	398 25	L
11-19-2013	COGNIZANT TECHNOLOGY SOLUTIONS	11/14 SALE 41 00 SHR @ 93 2100000	3,821 54	578 73	S
11-19-2013	FACEBOOK INC	11/14 SALE 1 00 SHR @ 49 0049000	49 00	24 57	S
11-19-2013	FASTENAL CO	11/14 SALE 3 00 SHR @ 46 6501000	139 95		
11-19-2013	FMC TECHNOLOGIES INC	11/14 SALE 2 00 SHR @ 49.5935000	99 19	(4 61)	S
11-19-2013	FRANKLIN RES INC	11/14 SALE 19 00 SHR @ 54 2973000	1,031 63	196 64	S
11-19-2013	GOOGLE INC	11/14 SALE 1 00 SHR @ 1035 17090	1,035 15	301 83	S
			565 24		

11-19-2013	MERCADOLIBRE INC	11/14 SALE 5 00 SHR @ 113 050000			
11-19-2013	MONSANTO CO	11/14 SALE 4 00 SHR @ 110 574800	442 29	12 37	S
11-19-2013	QUALCOMM INC	11/14 SALE 285 00 SHR @ 71 0861000	20,259 19	1,861 87	S
11-20-2013	NOVO-NORDISK A S	11/15 SALE 61 00 SHR @ 173 840800	10,604 10	340 73	S
11-21-2013	ANALOG DEVICES INC	11/18 SALE 175 00 SHR @ 50 5457000	8,845 34	552 37	S
11-21-2013	NOVO-NORDISK A S	11/18 SALE 110 00 SHR @ 174 162900	19,157 59	5,158 31	L
11-22-2013	AMAZON COM INC	11/19 SALE 15 00 SHR @ 366 203300	5,492 95	1,443 37	S
11-22-2013	CELGENE CORP	11/19 SALE 66 00 SHR @ 153 420200	10,125 56	4,083 05	S
11-22-2013	MEDTRONIC INC	11/19 SALE 34 00 SHR @ 58 0738000	1,974 47	138 84	S
11-22-2013	NOVO-NORDISK A S	11/19 SALE 30 00 SHR @ 174 104500	5,223 04	1,894 15	L
11-22-2013	QUALCOMM INC	11/19 SALE 147 00 SHR @ 71 9193000	10,571 95	1,082 80	S
11-26-2013	QUALCOMM INC	11/21 SALE 428 00 SHR @ 71 0437000	30,406 17	10,132 32	L
11-29-2013	COMCAST CORP	11/25 SALE 95 00 SHR @ 50 1714000	4,766 20	728 22	S
12-02-2013	CIGNA CORP	11/26 SALE 18 00 SHR @ 88 2105000	1,587 76	127 24	S
12-02-2013	MYLAN INC	11/26 SALE 30 00 SHR @ 44 2912000	1,328 71	256 26	S
12-02-2013	PRECISION CASTPARTS CORP	11/26 SALE 10 00 SHR @ 259 140100	2,591 36	310 91	S
12-02-2013	TRAVELERS COS INC	11/26 SALE 39 00 SHR @ 90 8423000	3,542 79	391 09	S
12-06-2013	EATON CORP PLC	12/03 SALE 56 00 SHR @ 71 0839000	3,980 63	312 87	S
12-06-2013	FEDEX CORP	12/03 SALE 56 00 SHR @ 138.212500	7,739 77	1,628 49	S
12-12-2013	ADOBE SYS INC	12/09 SALE 118 00 SHR @ 55 9498000	6,601 96	1,078 59	S
12-13-2013	ILLUMINA INC	12/10 SALE 48 00 SHR @ 99 7595000	4,788 37	821 68	S
12-17-2013	HOLOGIC INC	12/12 SALE 35 00 SHR @ 21 7101000	759 84	18 24	S
12-18-2013	DANAHER CORP DEL	12/13 SALE 62 00 SHR @ 73 6934000	4,568 91	395 22	S
12-20-2013	BAIDU INC	12/17 SALE 53 00 SHR @ 168 445200	8,927 44	2,518 95	L
			4,870 88	(73 22)	S

12-20-2013	PERRIGO CO	SALE DUE TO TAXABLE MERGER WITH			
12-20-2013	PERRIGO CO	SALE DUE TO TAXABLE MERGER WITH	10,959.48	2,098.45	S
12-23-2013	WESTERN DIGITAL CORP	12/18 SALE 29.00 SHR @ 83.2800000	2,415.08	515.70	S
12-24-2013	PRICELINE COM INC	12/19 SALE 8.00 SHR @ 1188.16680	9,505.17	4,158.26	S
12-26-2013	THERMO FISHER SCIENTIFIC CORP	12/20 SALE 52.00 SHR @ 108.603200	5,647.27	929.05	S
12-27-2013	TEXTRON INC	12/23 SALE 64.00 SHR @ 36.0379000	2,306.39	510.22	S
12-27-2013	TYCO INTL LTD	12/23 SALE 17.00 SHR @ 40.1096000	681.85	107.13	S
12-31-2013	COGNIZANT TECHNOLOGY SOLUTIONS	12/26 SALE 43.00 SHR @ 98.5765000	4,238.72	890.70	S
12-31-2013	FRANKLIN RES INC	12/26 SALE 189.00 SHR @ 56.9036000	10,754.59	2,448.60	S
12-31-2013	RED HAT INC	12/26 SALE 129.00 SHR @ 55.7430000	7,190.72	136.94	S
Total PROCEEDS FROM SALES			0.00	18,558,014.09	568,218.97
Total SALES			0.00	18,558,014.09	