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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE IRVING HARRIS FOUNDATION		A Employer identification number 36-6055115
Number and street (or P.O. box number if mail is not delivered to street address) 191 N. WACKER DRIVE, SUITE 1500		B Telephone number (312) 621-0590
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60606-1899		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 272,738,763. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1,386.	1,386.		STATEMENT 2
4 Dividends and interest from securities		3,500,101.	3,500,101.		STATEMENT 3
5a Gross rents		<306,425.>	<306,425.>		STATEMENT 4
b Net rental income or (loss)		<306,425.>			
6a Net gain or (loss) from sale of assets not on line 10		17,705,241.			STATEMENT 1
b Gross sales price for all assets on line 6a		22,476,671.			
7 Capital gain net income (from Part IV, line 2)			13,508,213.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		5,424,540.	5,770,018.		STATEMENT 5
12 Total. Add lines 1 through 11		26,324,843.	22,473,293.		
13 Compensation of officers, directors, trustees, etc.		365,642.	0.		365,642.
14 Other employee salaries and wages		556,009.	0.		556,009.
15 Pension plans, employee benefits		248,298.	0.		248,298.
16a Legal fees STMT 6		1,867.	1,867.		0.
b Accounting fees STMT 7		185,906.	173,156.		12,750.
c Other professional fees STMT 8		203,783.	200,762.		3,021.
17 Interest					
18 Taxes STMT 9		581,069.	72,083.		67,241.
19 Depreciation and depletion		44,980.	0.		
20 Occupancy		152,137.	0.		152,137.
21 Travel, conferences, and meetings		91,489.	0.		91,489.
22 Printing and publications		267.	0.		267.
23 Other expenses STMT 10		5,156,741.	5,126,584.		15,568.
24 Total operating and administrative expenses. Add lines 13 through 23		7,588,188.	5,574,452.		1,512,422.
25 Contributions, gifts, grants paid		9,508,324.			14,160,717.
26 Total expenses and disbursements. Add lines 24 and 25		17,096,512.	5,574,452.		15,673,139.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		9,228,331.			
b Net investment income (if negative, enter -0-)			16,898,841.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		10,075,579.	21,472,319.	21,472,319.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ 444,765.				
		Less: allowance for doubtful accounts ▶		3,156,093.	444,765.	444,765.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations		997,556.		
	b	Investments - corporate stock STMT 11		22,242,536.	17,936,734.	24,764,536.
	c	Investments - corporate bonds				
Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 12		218,743,208.	219,854,861.	225,801,433.
	14	Land, buildings, and equipment basis ▶ 479,708.				
		Less: accumulated depreciation STMT 13 ▶ 250,113.		226,787.	229,595.	229,595.
	15	Other assets (describe ▶ STATEMENT 14)		25,530.	26,115.	26,115.
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		255,467,289.	259,964,389.	272,738,763.
	17	Accounts payable and accrued expenses		445,237.	366,398.	
	18	Grants payable		13,669,392.	9,017,000.	
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		14,114,629.	9,383,398.	
		Foundations that follow SFAS 117, check here ▶ ☐				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ ☒				
Net Assets or Fund Balances	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		241,352,660.	250,580,991.	
	30	Total net assets or fund balances		241,352,660.	250,580,991.	
	31	Total liabilities and net assets/fund balances		255,467,289.	259,964,389.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	241,352,660.
2	Enter amount from Part I, line 27a	2	9,228,331.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	250,580,991.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	250,580,991.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 22,476,671.		8,968,458.	13,508,213.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			13,508,213.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	13,508,213.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): - If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	14,408,808.	239,686,088.	.060115
2011	14,551,084.	252,789,449.	.057562
2010	14,950,773.	246,466,178.	.060661
2009	14,638,547.	219,555,878.	.066673
2008	15,691,929.	297,653,505.	.052719

2 Total of line 1, column (d)	2	.297730
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059546
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	255,650,356.
5 Multiply line 4 by line 3	5	15,222,956.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	168,988.
7 Add lines 5 and 6	7	15,391,944.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	15,673,139.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	168,988.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	168,988.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	168,988.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	346,225.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	35,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	381,225.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	212,237.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 100,000. Refunded ☐	11	112,237.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ IL, MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WILLIAM HARRIS INVESTORS, INC. Telephone no. ► (312) 621-0590 Located at ► 191 N. WACKER DRIVE, SUITE 1500, CHICAGO, IL ZIP+4 ► 60606-1899			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► , , ,	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

5b

X

6b

X

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		365,642.	90,256.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY HUGHES - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL 60606	ADMINISTRATIVE ASSISTANT 40.00	92,399.	25,666.	0.
JOANNA LAUEN - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL 60606	SENIOR PROGRAM OFFICER 40.00	102,075.	15,311.	0.
MEAGAN LEARY - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL 60606	COMMUNICATIONS AND PROGRAM OFFICER 40.00	83,700.	33,128.	0.
JUNE MATAYOSHI - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL 60606	PROGRAM ASSOCIATE 40.00	76,250.	33,581.	0.
DENISE CASTILLO DELL ISOLA - 191 N. WACKER DR., SUITE 1500, CHICAGO, IL	PROGRAM OFFICER 40.00	73,333.	19,246.	0.
Total number of other employees paid over \$50,000				0

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	20,872,927.
b Average of monthly cash balances	1b	16,790,005.
c Fair market value of all other assets	1c	221,880,577.
d Total (add lines 1a, b, and c)	1d	259,543,509.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	259,543,509.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,893,153.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	255,650,356.
6 Minimum investment return. Enter 5% of line 5	6	12,782,518.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	12,782,518.
2a Tax on investment income for 2013 from Part VI, line 5	2a	168,988.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	371,173.
c Add lines 2a and 2b	2c	540,161.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	12,242,357.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	12,242,357.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	12,242,357.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	15,673,139.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	15,673,139.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	168,988.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	15,504,151.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				12,242,357.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	15,693,262.			
b From 2009	3,723,975.			
c From 2010	3,069,191.			
d From 2011	2,098,032.			
e From 2012	2,678,094.			
f Total of lines 3a through e	27,262,554.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 15,673,139.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				12,242,357.
e Remaining amount distributed out of corpus	3,430,782.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	30,693,336.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	15,693,262.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	15,000,074.			
10 Analysis of line 9:				
a Excess from 2009	3,723,975.			
b Excess from 2010	3,069,191.			
c Excess from 2011	2,098,032.			
d Excess from 2012	2,678,094.			
e Excess from 2013	3,430,782.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

Prior 3 years

(a) 2013

(b) 2012

(c) 2011

(d) 2010

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

JOAN HARRIS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT	N/A	PUBLIC	INSTITUTIONAL	14,160,717.
Total			3a	14,160,717.
b Approved for future payment				
SEE STATEMENT	N/A	PUBLIC	INSTITUTIONAL	2,960,000.
Total			3b	2,960,000.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 | | Yes | No |
|----------|--|-------|----|
| | | | |
| | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date 11/13/14

CHAIRMAN OF THE BOARD
Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name LAURA J. GILLESPIE	Preparer's signature <i>Laura J Gillespie</i>	Date 11/10/14	Check ☐ if self-employed	PTIN P00855604
Firm's name ▶ DELOITTE TAX LLP			Firm's EIN ▶ 86-1065772	
Firm's address ▶ 111 SOUTH WACKER DRIVE CHICAGO, IL 60606			Phone no. (312) 486-1000	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
|----------|--|-------|----|
| | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

LAURA J. GILLESPIE

Preparer's signature

Lama & Gillespie

Date

11/10/14

Check ☐ self-employed

PTIN

P00855604

Firm's name ► **DELOITTE TAX LLP**

Firm's EIN ▶ 86-1065772

Firm's address ► 111 SOUTH WACKER DRIVE
CHICAGO, IL 60606

Phone no. (312) 486-1000

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SHORT TERM CAPITAL GAIN FROM K-1'S - STMT G	P	VARIOUS	VARIOUS
b	LONG TERM CAPITAL GAIN FROM K-1'S - STMT H	P	VARIOUS	VARIOUS
c	SECTION 1256 LOSS FROM K-1'S - STMT I	P	VARIOUS	VARIOUS
d	SECTION 1231 GAIN FROM K-1'S - STMT J	P	VARIOUS	VARIOUS
e	CAPITAL GAIN DISTRIBUTION	P	VARIOUS	VARIOUS
f	LONG TERM CAPITAL GAIN FROM OTHER INVESTMENTS	P	VARIOUS	VARIOUS
g	LONG TERM CAPITAL LOSS FROM PASSTHROUGHS	P	VARIOUS	VARIOUS
h	UBI SHORT TERM CAPITAL GAINS FROM K-1'S - STMT G	P	VARIOUS	VARIOUS
i	UBI LONG TERM CAPITAL GAINS FROM K-1'S - SEE STMT	P	VARIOUS	VARIOUS
j	UBI 1231 CAPITAL GAIN FROM K-1'S - SEE STMT J	P	VARIOUS	VARIOUS
k	UBI RECLASS	P	VARIOUS	VARIOUS
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,673,372.			1,673,372.
b 8,135,101.			8,135,101.
c <2,555.>			<2,555.>
d 9,975,488.			9,975,488.
e 713,023.			713,023.
f 1,982,242.			1,982,242.
g		4,771,430.	<4,771,430.>
h 266,225.		266,225.	0.
i 100,036.		100,036.	0.
j 3,830,767.		3,830,767.	0.
k <4,197,028.>			<4,197,028.>
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,673,372.
b			8,135,101.
c			<2,555.>
d			9,975,488.
e			713,023.
f			1,982,242.
g			<4,771,430.>
h			0.
i			0.
j			0.
k			<4,197,028.>
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	13,508,213.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SHORT TERM CAPITAL GAIN FROM K-1'S - STMT G			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
1,673,372.	0.	0.	0.	1,673,372.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
LONG TERM CAPITAL GAIN FROM K-1'S - STMT H			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
8,135,101.	0.	0.	0.	8,135,101.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SECTION 1256 LOSS FROM K-1'S - STMT I			PURCHASED	VARIOUS	VARIOUS
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
<2,555.>	0.	0.	0.	<2,555.>	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
SECTION 1231 GAIN FROM K-1'S - STMT J	9,975,488.	0.	0.			

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CAPITAL GAIN DISTRIBUTION	713,023.	0.	0.	0.	9,975,488.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
LONG TERM CAPITAL GAIN FROM OTHER INVESTMENTS	1,982,242.	0.	0.			

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LONG TERM CAPITAL LOSS FROM PASSTHROUGHS	0.	0.	4,771,430.	0.	<4,771,430.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
UBI SHORT TERM CAPITAL GAINS FROM K-1'S - STMT G	266,225.	0.	0.	0.	266,225.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
UBI LONG TERM CAPITAL GAINS FROM K-1'S - SEE STMT H	100,036.	0.	0.	0.	100,036.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
UBI 1231 CAPITAL GAIN FROM K-1'S - SEE STMT J	3,830,767.	0.	0.	0.	3,830,767.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
UBI RECLASS	<4,197,028.>	0.	0.	0.	<4,197,028.>

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	17,705,241.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST ON SAVINGS AND TEMPORARY CASH	1,386.	1,386.	
TOTAL TO PART I, LINE 3	1,386.	1,386.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME FROM K-1'S - SEE STATEMENT D	1,440,167.	0.	1,440,167.	1,440,167.	
DIVIDENDS AND INTEREST ON SECURITIES	826,953.	0.	826,953.	826,953.	
INTEREST FROM K-1'S - SEE STATEMENT A	1,069,788.	0.	1,069,788.	1,069,788.	
INTEREST FROM U.S. OBLIGATIONS - SEE STATEMENT B	4,908.	0.	4,908.	4,908.	
INTEREST ON U.S. GOVERNMENT SECURITIES	15,985.	0.	15,985.	15,985.	
SECTION 988 INTEREST - SEE STATEMENT C	142,300.	0.	142,300.	142,300.	
TO PART I, LINE 4	3,500,101.	0.	3,500,101.	3,500,101.	

FORM 990-PF	RENTAL INCOME	STATEMENT	4
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KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
RENTAL INCOME FROM K-1'S - SEE STATEMENT E	1	<306,425.>
TOTAL TO FORM 990-PF, PART I, LINE 5A		<306,425.>

FORM 990-PF	OTHER INCOME	STATEMENT	5
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME FROM K-1'S - SEE STATEMENT F	5,423,857.	5,423,857.	
NON-TAXABLE INCOME - SEE STATEMENT P	683.	0.	
UBI OTHER LOSS FROM K-1'S - SEE STATEMENT F	<346,161.>	0.	
UBI RECLASS	346,161.	346,161.	
TOTAL TO FORM 990-PF, PART I, LINE 11	5,424,540.	5,770,018.	

FORM 990-PF

LEGAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	1,867.	1,867.		0.
TO FM 990-PF, PG 1, LN 16A	1,867.	1,867.		0.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX ADVISORY FEES	12,750.	0.		12,750.
FINANCIAL SERVICE FEE	173,156.	173,156.		0.
TO FORM 990-PF, PG 1, LN 16B	185,906.	173,156.		12,750.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
WHI ADMINISTRATIVE FEES	125,020.	125,020.		0.	
CONSULTING FEES	75,742.	75,742.		0.	
OTHER PROFESSIONAL FEES	3,021.	0.		3,021.	
TO FORM 990-PF, PG 1, LN 16C	203,783.	200,762.		3,021.	

FORM 990-PF

TAXES

STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES FROM K-1'S - SEE STATEMENT K	42,132.	42,132.		0.
STATE TAXES PAID FROM K-1'S - SEE STATEMENT O	32,758.	0.		0.
PAYROLL TAXES	67,241.	0.		67,241.
FOREIGN TAXES	29,951.	29,951.		0.
FEDERAL INCOME TAX	345,000.	0.		0.
STATE INCOME TAX	63,987.	0.		0.
TO FORM 990-PF, PG 1, LN 18	581,069.	72,083.		67,241.

FORM 990-PF

OTHER EXPENSES

STATEMENT 10

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DEDUCTIONS RELATED TO PORTFOLIO INCOME FROM PASS THROUGHS - SEE STATEMENT L	4,037,680.	4,037,680.		0.
INTEREST EXPENSE ON INVESTMENT DEBTS FROM PASS THROUGHS - SEE STATEMENT M	534,879.	534,879.		0.
SECTION 988 GAIN FROM PASS THROUGHS - SEE STATEMENT N	86,074.	86,074.		0.
CHARITABLE CONTRIBUTIONS FROM PASS THROUGHS - SEE STATEMENT R	1,671.	1,671.		0.
SECTION 179 EXPENSE FROM PASS THROUGHS - SEE STATEMENT R	185.	185.		0.
SECTION 59(E)(2) EXPENDITURES FROM PASS THROUGHS - SEE STATEMENT R	27,254.	27,254.		0.
ROYALTY DEDUCTIONS FROM PASS THROUGHS - SEE STATEMENT R	167.	167.		0.
OTHER DEDUCTIONS FROM PASS THROUGHS - SEE STATEMENT R	182,994.	182,994.		0.
NONDEDUCTIBLE EXEPENSE PER K-1'S - SEE STATEMENT O	5,127.	0.		0.
REPAIRS AND MAINTENANCE	15.	0.		15.
DELIVERY CHARGES	2,231.	0.		2,231.
TELEPHONE CHARGES	2,355.	0.		2,355.
INTERNET CONNECTION	80.	0.		80.
OFFICE SUPPLIES	696.	0.		696.
INSURANCE	753.	0.		753.
FILING FEES	212.	0.		212.
LETTER OF CREDIT FEES	450.	0.		450.
SOFTWARE EXPENSES	7,458.	0.		7,458.
SUBSCRIPTIONS	520.	0.		520.
SEMINARS/TRAINING	679.	0.		679.
INVESTMENT ADVISORY FEES	255,680.	255,680.		0.
WEB SITE FEES	119.	0.		119.
EVENT EXPENSES	9,462.	0.		0.
TO FORM 990-PF, PG 1, LN 23	5,156,741.	5,126,584.		15,568.

FORM 990-PF	CORPORATE STOCK	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - SEE STATEMENT Q	17,936,734.	24,764,536.
TOTAL TO FORM 990-PF, PART II, LINE 10B	17,936,734.	24,764,536.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
HARRIS VENTURE PARTNERS, LLC	FMV	4,371,625.	4,628,863.
PATTERN RECOGNITION, LLC	FMV	<175,090.>	480,117.
SECOND PRAIRIE SHORES	FMV	201,769.	33,551.
SEVEN BRIDGES HARRIS SL II LLC	FMV	435,855.	2,107,972.
WHI FIXED INCOME FUND III, L.P.	FMV	5,379,895.	5,227,976.
WHI GROWTH FUND Q.P., L.P.	FMV	1,751,160.	1,466,645.
WHI L&B FOUNDERS FUND, LLC	FMV	64,751,345.	83,511,455.
WHI L&B GP LLC	FMV	2,359,268.	2,937,491.
WHI L&B MC LLC	FMV	24,604.	26,537.
WHI MORULA FUND, LLC	FMV	766.	208.
WHI MORULA FUND II, L.P.	FMV	1,601,122.	419,202.
WHI NFP FUND, LLC	FMV	3,836,977.	1,062,325.
WHI PRIVATE EQUITY MANAGERS FUND, LLC	FMV	68,125,486.	85,128,389.
WHI PRIVATE EQUITY MANAGERS FUND II, L.P.	FMV	3,974,401.	3,856,448.
WHI PRIVATE EQUITY MANAGERS FUND III, L.P.	FMV	6,998,556.	7,970,084.
WHI PRIVATE EQUITY MANAGERS FUND IV, L.P.	FMV	1,518,213.	2,356,312.
WHI REAL ESTATE PARTNERS 1-F, L.P.	FMV	6,992,649.	9,612,889.
WHI REALTY FUND, LLC	FMV	2,425,251.	3,841,922.
WHI REALTY FUND II, L.P.	FMV	1,296,948.	1,081,874.
WHI REALTY FUND III, L.P.	FMV	5,114,362.	4,276,268.
WHI VENTURE FUND I, LLC	FMV	2,652,316.	2,650,879.
POST LTD. TERM HIGH YIELD OFFSHORE FUND LTD.	FMV	2,500,000.	2,527,916.
PRIVATE EQUITY FUND CORPORATION	FMV	25,533,652.	596,110.
PRIVATE EQUITY FUND II CORPORATION	FMV	8,183,731.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		219,854,861.	225,801,433.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 13

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
DEPRECIATION, FURNITURE & FIXTURES	91,773.	68,189.	23,584.
DEPRECIATION, COMPUTERS	22,221.	19,466.	2,755.
DEPRECIATION, EQUIPMENT	5,644.	4,434.	1,210.
DEPRECIATION, SOFTWARE	8,642.	8,642.	0.
AMORTIZATION, LEASEHOLD IMPROVEMENTS	277,639.	101,801.	175,838.
AMORTIZATION, WEBSITE	26,000.	2,600.	23,400.
TOTAL TO FM 990-PF, PART II, LN 14	431,919.	205,132.	226,787.

FORM 990-PF	OTHER ASSETS		STATEMENT 14
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INTEREST/DIVIDENDS RECEIVABLE	25,530.	26,115.	26,115.
TO FORM 990-PF, PART II, LINE 15	25,530.	26,115.	26,115.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 15
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALICIA LIEBERMAN 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
DANIEL MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	MEMBER/DIRECTOR 1.00	0.	0.	0.
GEORGE POLSKY 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	MEMBER/DIRECTOR 1.00	0.	0.	0.
JOAN HARRIS 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR/MEMBER/PROGRAM DI 40.00	100,000.	25,791.	0.
JAMES POLSKY 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
THOMAS MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
NANCY MEYER 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	COB/MEMBER/DIRECTOR 1.00	0.	0.	0.
PHYLLIS GLINK 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	SECRETARY 40.00	265,642.	64,465.	0.
CHARLES POLSKY 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
JEAN POLSKY 191 N. WACKER DRIVE, SUITE 1500 CHICAGO, IL 60606-1899	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		365,642.	90,256.	0.

The Irving Harris Foundation

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Form 990-PF, Part XV - Supplementary Information

Year Ended December 31, 2013

Attachment to Form 990-PF, Part XV

Question 2c

The Irving Harris Foundation does not accept unsolicited proposals. Our request for proposal (RFP) process is based on 5 board committee review rounds per year. RFPs are sent out by e-mail, with due dates 5-6 weeks prior to each of the review rounds.

Dividends and Interest from Securities

Year Ended December 31, 2013

Part I - Interest Income

From K-1 Pass Through Entities

Interest Income

Harris SL II L.L.C.	\$	20,582
Harris Family REP-PF	\$	36,977
Pattern Recognition L.P.	\$	42
Second Prairie Shores	\$	1,917
W.H.I. Growth Fund Q P, L.P.	\$	1,798
WHI Fixed Income Fund II, LLC	\$	381,088
WHI Fixed Income Fund III, L.P.	\$	98,477
WHI Fixed Income Fund, LLC	\$	30,553
WHI L&B Founders Fund LLC	\$	442
WHI L&B GP LLC	\$	4
WHI Morula Fund II, L.P.	\$	1,982
WHI Morula Fund, LLC	\$	575
WHI NFP Fund, L.P.	\$	134,762
WHI Private Equity Managers Fund I, LLC	\$	33,315
WHI Private Equity Managers Fund II, L.P.	\$	92,341
WHI Private Equity Managers Fund III, L.P.	\$	7,485
WHI Private Equity Managers Fund IV, L.P.	\$	205,513
WHI Real Estate Partners I-F, L.P.	\$	131
WHI Realty Fund II, L.P.	\$	7,856
WHI Realty Fund III, L.P.	\$	1,500
WHI Realty Fund, LLC	\$	11,277
WHI Ventures Fund I, LLC	\$	1,171
	\$	1,069,788

The Irving Harris Foundation

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Dividends and Interest from Securities

Year Ended December 31, 2013

Part I - Interest Income from U.S. Obligations

From K-1 Pass Through Entities

Interest Income from U.S. Obligations

WHI Fixed Income Fund III, L.P.

\$ 4,908

\$ 4,908

The Irving Harris Foundation

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Dividends and Interest from Securities

Year Ended December 31, 2013

Part I - Section 988 Income

From K-1 Pass Through Entities

Section 988 Income

WHI Fixed Income Fund, LLC	\$	2,866
WHI NFP Fund, L.P.	\$	100,372
WHI Private Equity Managers Fund I, LLC	\$	18,943
WHI Private Equity Managers Fund II, L.P.	\$	14,709
WHI Private Equity Managers Fund III, L.P.	\$	5,377
WHI Realty Fund, LLC	\$	33
	\$	142,300

Dividends and Interest from Securities

Year Ended December 31, 2013

Part I - Ordinary Dividends**From K-1 Pass Through Entities****Ordinary Dividends**

Harris Family REP-PF	\$	77,078
W.H.I. Growth Fund Q.P., L.P.	\$	559,115
WHI Fixed Income Fund II, LLC	\$	56,965
WHI Fixed Income Fund III, L.P.	\$	14,047
WHI Fixed Income Fund, LLC	\$	1,270
WHI L&B Founders Fund LLC	\$	93,947
WHI L&B GP LLC	\$	810
WHI NFP Fund, L.P.	\$	202,440
WHI Private Equity Managers Fund I, LLC	\$	85,500
WHI Private Equity Managers Fund II, L.P.	\$	61,073
WHI Private Equity Managers Fund III, L.P.	\$	20,173
WHI Private Equity Managers Fund IV, L.P.	\$	73,761
WHI Realty Fund II, L.P.	\$	157,078
WHI Realty Fund III, L.P.	\$	36,852
WHI Realty Fund, LLC	\$	58
	\$	1,440,167

Rental Income

Year Ended December 31, 2013

Rental Income from K-1's

From K-1 Pass Through Entities

Rental Income	Net Income (Loss) From Other Real Estate Activities	Net Income (Loss) From Real Estate Activities	Total
Harris SL II L.L.C.	\$ -	\$ (190,163)	\$ (190,163)
Harris Family REP-PF	\$ -	\$ 48,804	\$ 48,804
Second Prairie Shores	\$ -	\$ 126,402	\$ 126,402
WHI Fixed Income Fund III, L.P.	\$ -	\$ 1,102	\$ 1,102
WHI NFP Fund, L.P.	\$ 395	\$ (10,599)	\$ (10,204)
WHI Private Equity Managers Fund I, LLC	\$ (8,876)	\$ 798	\$ (8,078)
WHI Private Equity Managers Fund III, L.P.	\$ 22	\$ (1,161)	\$ (1,139)
WHI Private Equity Managers Fund IV, L.P.	\$ (233,790)	\$ (84,396)	\$ (318,186)
WHI Real Estate Partners I-F, L.P.	\$ -	\$ 68,105	\$ 68,105
WHI Realty Fund II, L.P.	\$ 151	\$ 40,048	\$ 40,199
WHI Realty Fund III, L.P.	\$ -	\$ (63,571)	\$ (63,571)
WHI Realty Fund, LLC	\$ (115)	\$ 419	\$ 304
	<u>\$ (242,213)</u>	<u>\$ (64,212)</u>	<u>\$ (306,425)</u>

The Irving Harris Foundation

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Other Income

Year Ended December 31, 2015

Other Income from K-1's

	Ordinary Passive Income or Loss	Ordinary Nonpassive Income or Loss	Cancellation of Debt Income	Securities Trader MTM Election Per IRC Sec	Royalties	Other Portfolio Income or Loss	Total	Unrelated Business Taxable Income - Net Ordinary
Harris SL II L LC	\$ (135,072)	\$ 1,313,238	\$ 146,375	\$ -	\$ -	\$ -	\$ 1,324,541	\$ (165,458)
Harris Family REP-PF	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,124	\$ 1,124	\$ -
Pattern Recognition L P	\$ 28,676	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 28,676	\$ -
Seven Bridges Ice Arena L LC	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (13,168)	\$ (13,168)	\$ -
W H I Growth Fund O P L P	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 109,835	\$ 109,835	\$ -
WHI Fixed Income Fund II, LLC	\$ (43)	\$ -	\$ 13	\$ -	\$ -	\$ (78,585)	\$ (78,615)	\$ -
WHI Fixed Income Fund III, L P	\$ 61,348	\$ (2,900)	\$ -	\$ 9,159	\$ -	\$ 7,368	\$ 74,975	\$ (127,636)
WHI L&B Founders Fund LLC	\$ 40,042	\$ -	\$ -	\$ -	\$ -	\$ 14	\$ 40,056	\$ 18,031
WHI L&B GP LLC	\$ 546	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 546	\$ 25,530
WHI L&B MC LLC	\$ (1,352)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,352)	\$ 220
WHI Morula Fund II, L P	\$ 317	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 317	\$ -
WHI Morula Fund, LLC	\$ (305)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (305)	\$ -
WHI NFP Fund, L P	\$ (6,534)	\$ -	\$ 88	\$ 271,932	\$ 1,584	\$ 2,355,479	\$ 3,854,342	\$ (157,561)
WHI Private Equity Managers Fund I, LLC	\$ 38,684	\$ (4,828)	\$ 9,025	\$ -	\$ 20	\$ 1,451	\$ 44,352	\$ (16,077)
WHI Private Equity Managers Fund II, L P	\$ (84,727)	\$ 108	\$ -	\$ -	\$ -	\$ (31,712)	\$ (116,331)	\$ (101,917)
WHI Private Equity Managers Fund III, L P	\$ (67,441)	\$ 4,724	\$ 8,546	\$ -	\$ -	\$ 119	\$ (54,052)	\$ (65,178)
WHI Private Equity Managers Fund IV, L P	\$ 107,696	\$ (20,695)	\$ 112	\$ -	\$ 466	\$ 50,043	\$ 137,632	\$ 29,354
WHI Real Estate Partners I-F, L P	\$ (5,158)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (5,158)	\$ 111,084
WHI Realty Fund II, L P	\$ 27,885	\$ -	\$ -	\$ -	\$ -	\$ 106,033	\$ 133,918	\$ 17,068
WHI Realty Fund III, L P	\$ (351)	\$ -	\$ -	\$ 15,784	\$ -	\$ (522)	\$ 14,911	\$ (7,192)
WHI Realty Fund, LLC	\$ (25,101)	\$ 434	\$ 550	\$ -	\$ -	\$ 14,955	\$ (9,162)	\$ (32,526)
WHI Ventures Fund I, LLC	\$ (63,257)	\$ -	\$ -	\$ -	\$ -	\$ 32	\$ (63,225)	\$ -
	\$ (86,147)	\$ 2,523,934	\$ 164,709	\$ 296,875	\$ 2,070	\$ 2,522,416	\$ 5,423,857	\$ (346,161)

Capital Gains and Losses

Year Ended December 31, 2013

Short-Term Capital Gains and Losses - assets held one year or less (K-1)

PASS THROUGH ENTITIES	Column f	Unrelated Business
		Taxable Income -Net Capital
Harris Family REP-PF	\$ 129,840	\$ -
W.H.I. Growth Fund Q.P., L.P.	\$ 1,283,820	\$ -
WHI Fixed Income Fund II, LLC	\$ 7,606	\$ -
WHI Fixed Income Fund III, L.P.	\$ 587	\$ 2
WHI L&B Founders Fund LLC	\$ 169,253	\$ 153,691
WHI L&B GP LLC	\$ 2,308	\$ 2,096
WHI NFP Fund, L.P.	\$ 38,506	\$ 54,229
WHI Private Equity Managers Fund I, LLC	\$ (26,066)	\$ (269)
WHI Private Equity Managers Fund II, L.P.	\$ (2,828)	\$ 8
WHI Private Equity Managers Fund III, L.P.	\$ (2,324)	\$ -
WHI Private Equity Managers Fund IV, L.P.	\$ 23,314	\$ 10,894
WHI Realty Fund III, L.P.	\$ 66,715	\$ 60,580
WHI Realty Fund, LLC	\$ (17,359)	\$ (15,006)
	<u>\$ 1,673,372</u>	<u>\$ 266,225</u>

Capital Gains and Losses

Year Ended December 31, 2013

Long-Term Capital Gains and Losses - assets held more than one year (K-1)

PASS THROUGH ENTITIES	Column f	Unrelated Business Taxable Income -Net Capital
Harris SL II L.L.C.	\$ (2,068,503)	\$ -
Harris Venture Partners L.L.C.	\$ (173,084)	\$ -
Harris-CompuCyte G.P.	\$ (165,000)	\$ -
Seven Bridges Ice Arena L.L.C.	\$ (5,890)	\$ -
W.H.I. Growth Fund Q.P., L.P.	\$ 10,341,459	\$ -
WHI Fixed Income Fund II, LLC	\$ (308,033)	\$ (45,801)
WHI Fixed Income Fund III, L.P.	\$ 113,430	\$ (1,012)
WHI Fixed Income Fund, LLC	\$ (144,358)	\$ -
WHI L&B Founders Fund LLC	\$ 69,029	\$ 18,567
WHI L&B GP LLC	\$ 941	\$ 253
WHI Morula Fund, LLC	\$ 13,502	\$ -
WHI NFP Fund, L.P.	\$ (245,405)	\$ 71,894
WHI Private Equity Managers Fund I, LLC	\$ (118,363)	\$ 35,754
WHI Private Equity Managers Fund II, L.P.	\$ 565,052	\$ 2,208
WHI Private Equity Managers Fund III, L.P.	\$ 61,967	\$ 6,281
WHI Private Equity Managers Fund IV, L.P.	\$ 383,040	\$ 14,566
WHI Realty Fund II, L.P.	\$ 430,940	\$ -
WHI Realty Fund III, L.P.	\$ 27,208	\$ 7,327
WHI Realty Fund, LLC	\$ (47,459)	\$ (10,001)
WHI Ventures Fund I, LLC	\$ (595,372)	\$ -
	<u>\$ 8,135,101</u>	<u>\$ 100,036</u>

The Irving Harris Foundation

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Other Capital Gains and Losses Information

Year Ended December 31, 2013

Section 1256 Gains/(Losses) from K-1's

PASS THROUGH ENTITIES

WHI Fixed Income Fund II, LLC

WHI NFP Fund, L.P.

WHI Private Equity Managers Fund II, L.P.

Total
Section 1256
Gain / (Loss)

\$ (12)

\$ (1,307)

\$ (1,236)

\$ (2,555)

Other Capital Gains and Losses Information

Year Ended December 31, 2013

Section 1231 Gains/(Losses) from K-1's

	Total Net Section 1231 Gain / (Loss)	Unrelated Business Taxable Income 1231 Gain/Loss
PASS THROUGH ENTITIES		
Harris SL II L L C	\$ 9,055,451	\$ 3,454,365
WHI Fixed Income Fund II, LLC	\$ (1)	\$ -
WHI Fixed Income Fund III, L.P	\$ 519	\$ -
WHI NFP Fund, L.P	\$ 1,124	\$ -
WHI Private Equity Managers Fund I, LLC	\$ (3,249)	\$ -
WHI Private Equity Managers Fund II, L P	\$ (7,530)	\$ -
WHI Private Equity Managers Fund III, L P	\$ (206)	\$ -
WHI Private Equity Managers Fund IV, L.P	\$ 42,413	\$ -
WHI Real Estate Partners I-F, L.P	\$ 185,908	\$ 103,516
WHI Realty Fund II, L P	\$ 258,555	\$ 230,322
WHI Realty Fund, LLC	\$ 48,341	\$ 42,564
WHI Ventures Fund I, LLC	\$ 394,163	\$ -
	<u>\$ 9,975,488</u>	<u>\$ 3,830,767</u>

The Irving Harris Foundation

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Taxes

Year Ended December 31, 2013

Foreign Taxes Paid

	Total Foreign Taxes Paid	
From Pass Through Entities		
W.H.I. Growth Fund Q.P., L.P.	\$	5,952
WHI Fixed Income Fund II, LLC	\$	808
WHI Fixed Income Fund III, L.P.	\$	834
WHI Fixed Income Fund, LLC	\$	200
WHI NFP Fund, L.P.	\$	6,983
WHI Private Equity Managers Fund I, LLC	\$	10,528
WHI Private Equity Managers Fund II, L.P.	\$	2,304
WHI Private Equity Managers Fund III, L.P.	\$	1,202
WHI Private Equity Managers Fund IV, L.P.	\$	5,099
WHI Realty Fund, LLC	\$	8,222
	\$	42,132

Other Expenses

Year Ended December 31, 2013

Other Expenses from K-1's

	Deductions Related to Portfolio Income	Deductions -Miscellaneous		Total
	2% Floor	Non 2%		
Harris SL II L.L.C.	\$ 24,603	\$ -	\$	24,603
Harris Family REP-PF	\$ 48,621	\$ -	\$	48,621
Harris Venture Partners L L.C	\$ 5,319	\$ -	\$	5,319
Seven Bridges Ice Arena L.L.C.	\$ 692	\$ -	\$	692
W H.I Growth Fund Q P , L.P.	\$ 879,765	\$ -	\$	879,765
WHI Fixed Income Fund II, LLC	\$ 235,345	\$ -	\$	235,345
WHI Fixed Income Fund III, L.P.	\$ 48,035	\$ -	\$	48,035
WHI Fixed Income Fund, LLC	\$ 25,476	\$ -	\$	25,476
WHI L&B Founders Fund LLC	\$ 3,635	\$ -	\$	3,635
WHI L&B GP LLC	\$ 1,290	\$ -	\$	1,290
WHI Morula Fund II, L.P.	\$ 152,673	\$ -	\$	152,673
WHI Morula Fund, LLC	\$ 25,989	\$ -	\$	25,989
WHI NFP Fund, L.P.	\$ 1,510,330	\$ 344	\$	1,510,674
WHI Private Equity Managers Fund I, LLC	\$ 138,384	\$ 15	\$	138,399
WHI Private Equity Managers Fund II, L.P.	\$ 272,498	\$ -	\$	272,498
WHI Private Equity Managers Fund III, L.P.	\$ 74,653	\$ 4	\$	74,657
WHI Private Equity Managers Fund IV, L.P.	\$ 368,118	\$ 24	\$	368,142
WHI Real Estate Partners I-F, L P.	\$ 226	\$ 119	\$	345
WHI Realty Fund II, L.P	\$ 114,983	\$ -	\$	114,983
WHI Realty Fund III, L.P	\$ 56,549	\$ -	\$	56,549
WHI Realty Fund, LLC	\$ 24,516	\$ -	\$	24,516
WHI Ventures Fund I, LLC	\$ 25,474	\$ -	\$	25,474
	<u>\$ 4,037,174</u>	<u>\$ 506</u>	<u>\$</u>	<u>4,037,680</u>

Other Expenses

Year Ended December 31, 2013

Other Expenses from K-1's

	Interest Expense on Investment Debts -Schedule A	Interest Expense on Investment Debts -Schedule E	Total
Harris Family REP-PF	\$ 3,730	\$ -	\$ 3,730
W H I Growth Fund Q P , L P	\$ 174,054	\$ -	\$ 174,054
WHI Fixed Income Fund II, LLC	\$ 59,915	\$ -	\$ 59,915
WHI Fixed Income Fund III, L P	\$ 6,233	\$ 6,774	\$ 13,007
WHI L&B Founders Fund LLC	\$ 90,450	\$ -	\$ 90,450
WHI L&B GP LLC	\$ 780	\$ -	\$ 780
WHI Morula Fund II, L P	\$ 1,236	\$ -	\$ 1,236
WHI NFP Fund, L P	\$ 101,528	\$ 13,118	\$ 114,646
WHI Private Equity Managers Fund I, LLC	\$ 17,665	\$ -	\$ 17,665
WHI Private Equity Managers Fund II, L P	\$ 769	\$ -	\$ 769
WHI Private Equity Managers Fund III, L P	\$ 3,089	\$ -	\$ 3,089
WHI Private Equity Managers Fund IV, L P	\$ 12,559	\$ -	\$ 12,559
WHI Realty Fund II, L P	\$ 6,548	\$ -	\$ 6,548
WHI Realty Fund III, L P	\$ 36,409	\$ -	\$ 36,409
WHI Realty Fund, LLC	\$ 22	\$ -	\$ 22
	<u>\$ 514,987</u>	<u>\$ 19,892</u>	<u>\$ 534,879</u>

The Irving Harris Foundation

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Other Expenses

Year Ended December 31, 2013

Other Expenses from K-1's

	Section 988 Loss from Pass Throughs
WHI Fixed Income Fund II, LLC	\$ 22,120
WHI Fixed Income Fund III, L.P.	\$ 2,724
WHI L&B Founders Fund LLC	\$ 486
WHI L&B GP LLC	\$ 7
WHI NFP Fund, L.P.	\$ 13,114
WHI Private Equity Managers Fund I, LLC	\$ 4,952
WHI Private Equity Managers Fund III, L.P.	\$ 147
WHI Private Equity Managers Fund IV, L.P.	\$ 6,908
WHI Realty Fund III, L.P.	\$ 197
WHI Realty Fund, LLC	\$ 35,419
	\$ 86,074

Other Expenses

Year Ended December 31, 2013

Other Expenses from K-1's

	Nondeductible Expenses	State Taxes Paid
Harris Family REP-PF	\$ 5	\$ -
Pattern Recognition L.P.	\$ 147	\$ -
WHI Fixed Income Fund III, L.P.	\$ 120	\$ -
WHI Morula Fund II, L.P.	\$ 145	\$ -
WHI Morula Fund, LLC	\$ 3	\$ -
WHI NFP Fund, L.P.	\$ 143	\$ -
WHI Private Equity Managers Fund I, LLC	\$ 592	\$ 10,620
WHI Private Equity Managers Fund II, L.P.	\$ 272	\$ -
WHI Private Equity Managers Fund III, L.P.	\$ 827	\$ 2,519
WHI Private Equity Managers Fund IV, L.P.	\$ 1,133	\$ 8,267
WHI Real Estate Partners I-F, L.P.	\$ 375	\$ -
WHI Realty Fund II, L.P.	\$ 1,159	\$ 4,083
WHI Realty Fund III, L.P.	\$ 10	\$ -
WHI Realty Fund, LLC	\$ 103	\$ 7,269
WHI Ventures Fund I, LLC	\$ 93	\$ -
	<u>\$ 5,127</u>	<u>\$ 32,758</u>

The Adjuvant Foundation

36-3866528

Dividends and Interest From Securities

Year Ended December 31, 2013

Tax-Exempt Income from K-1's

From K-1 Pass-Thru Entities

Tax Exempt Income from K-1's	Tax-Exempt Income
WHI Fixed Income Fund III, L.P.	\$ 337
WHI Private Equity Managers Fund II, L.P.	\$ 2
WHI Private Equity Managers Fund IV, L.P.	\$ 229
WHI Realty Fund, LLC	\$ 115
	\$ 683

Other Capital Gains and Losses Information

Year Ended December 31, 2013

Investments			
	Beginning of year Book Value	End of year Book Value	Fair Market Value
Investments - U.S. government obligations			
1,000,000 US Treasury Note	\$ 997,556	\$ -	\$ -
Investments - corporate stock			
Columbia Acorn International Fund	\$ 15,245,897	\$ 11,775,595	\$ 18,293,763
CS Floating Rate High Inc INSL SHS	\$ -	\$ 3,062,512	\$ 3,055,883
Doubleline Total Return Bond Fund Class I	\$ -	\$ 3,049,799	\$ 2,988,727
Norther Trust CD-MAT 11/4/10	\$ -	\$ 34,854	\$ 34,854
Praire Shore Inc	\$ 13,975	\$ 13,975	\$ 391,310
Computer Logic	\$ 125,076	\$ -	\$ -
Northern Trust CD	\$ 34,806	\$ -	\$ -
Met West Total Return Bond Fund CL I	\$ 6,822,783	\$ -	\$ -
Total	\$ 22,242,537	\$ 17,936,734	\$ 24,764,536

Other Expenses

Year Ended December 31, 2013

Other Expenses from K-1's

	Section 179 Expense Deduction	Charitable Contributions -30% Limit	Charitable Contributions 50% Limit	Charitable Non Cash Contributions 50% Limit	Charitable Non Cash Contributions 30% Limit	Royalty Deductions	Section 59(e)(2) expenditures	Other Deductions	Total
Harris Family REP-PF	\$ -	\$ -	\$ 650	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 650
WHI Fixed Income Fund II, LLC	\$ -	\$ -	\$ 2	\$ -	\$ -	\$ 23	\$ 59	\$ 83	\$ 167
WHI Fixed Income Fund III, L P	\$ 19	\$ -	\$ 608	\$ -	\$ 7	\$ -	\$ -	\$ 189	\$ 823
WHI L&B Founders Fund LLC	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 91,006	\$ 91,006
WHI L&B GP LLC	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 832	\$ 832
WHI Morula Fund, LLC	\$ -	\$ -	\$ 3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3
WHI NFP Fund, L P	\$ -	\$ 8	\$ 9	\$ -	\$ -	\$ 144	\$ 3,219	\$ (84)	\$ 3,296
WHI Private Equity Managers Fund I, LLC	\$ 129	\$ -	\$ 112	\$ 11	\$ -	\$ -	\$ 684	\$ 10,243	\$ 11,179
WHI Private Equity Managers Fund II, L P	\$ -	\$ -	\$ 36	\$ -	\$ -	\$ -	\$ 4,056	\$ 2,646	\$ 6,738
WHI Private Equity Managers Fund III, L P	\$ -	\$ -	\$ 9	\$ -	\$ -	\$ -	\$ 846	\$ 1,048	\$ 1,903
WHI Private Equity Managers Fund IV, L P	\$ 37	\$ -	\$ 76	\$ -	\$ -	\$ -	\$ 18,390	\$ 3,130	\$ 21,711
WHI Real Estate Partners I-F, L P	\$ -	\$ -	\$ 1	\$ -	\$ -	\$ -	\$ -	\$ 37,555	\$ 37,556
WHI Realty Fund II, L P	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 620	\$ 620
WHI Realty Fund III, L P	\$ -	\$ -	\$ 47	\$ -	\$ -	\$ -	\$ -	\$ 35,719	\$ 35,766
WHI Realty Fund, LLC	\$ -	\$ -	\$ 7	\$ -	\$ -	\$ -	\$ -	\$ 7	\$ 14
	\$ 185	\$ 8	\$ 1,560	\$ 11	\$ 85	\$ 167	\$ 27,254	\$ 182,994	\$ 212,764

Depreciation Detail

Year Ended December 31, 2013

Form 990-PF, Part I, Line 19, Depreciation & Amortization

Description	Date Acquired	Date Sold	Cost Basis	12/31/2012 Accumulated Depreciation	Current Year Depreciation	12/31/2013 Accumulated Depreciation
Furniture & Fixtures	VARIOUS		92,727	68,189	12,030	80,219
Computers	VARIOUS		22,221	19,466	1,362	20,828
Equipment	VARIOUS		5,644	4,434	806	5,240
Software	VARIOUS		44,482	8,642	5,973	14,615
Leasehold Improvements	VARIOUS		277,639	101,801	18,510	120,311
Website	VARIOUS		36,993	2,600	6,300	8,900
		TOTALS	479,706	205,132	44,981	250,113

990 Report

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR - 2013

12 November 2014

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
Irving Harris Foundation						
Active Transportation Alliance	9 W Hubbard Street, Ste 402 Chicago, IL 60654	N/A	PC	General Operating Support/Annual Gift	12/6/2013	\$50
Actors' Fund of America	729 7th Avenue 10th Floor New York, NY 10019	N/A	PC	General Operating Support/Annual Gift	4/9/2013	\$5,000
Advocates for Youth	2000 M Street, N W Suite 750 Washington, DC 20036-	N/A	PC	General Operating Support/Designated for Prior Tax Year	7/23/2013	\$65,000
Affinity Community Services	5650 S Woodlawn Ave Chicago, IL 60637-1623	N/A	PC	General Operating Support/Annual Gift	1/18/2013	\$100
Alliance for Early Success	P O Box 6756 Leawood, KS 66206	N/A	PC	General Operating Support/Annual Gift	3/11/2013	\$250,000
America-Israel Cultural Foundation, Inc.	1140 Broadway, Ste 304 New York, NY 10001	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
American Committee for The Weizmann Institute of Science	180 N Stetson Avenue Suite 840 Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
American Friends of Bar-Ilan University in Israel - Internship for Clinical Child Psychologists	The Pinchas Churgin, School of Education 52900, Ramat - Gan	N/A	PC	Project Support	6/28/2013	\$175,000
American Friends of Bar-Ilan University in Israel - PDN	The Pinchas Churgin, School of Education 52900, Ramat - Gan ISRAEL	N/A	PC	Project Support	10/1/2013	\$157,500
American Friends of The Hebrew University, Inc - Paul Baerwald School of Social Work	500 N Michigan Avenue, Ste 1530 Chicago, L 60611	N/A	PC	Project Support	1/4/2013	\$180,000
American Friends of The Hebrew University, Inc. - PDN	500 N Michigan Avenue, Ste 1530 Chicago, IL 60611	N/A	PC	Project Support	6/28/2013	\$157,500
American Friends of the Israel Philharmonic Orchestra	122 East 42nd St , Ste 4507 New York, NY 10168	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$5,000
American Jewish Committee	165 E 56th St New York, NY 10022	N/A	PC	General Operating Support/Annual Gift	12/13/2013	\$40,000
American Lung Association of the Upper Midwest	3000 Kelly Lane Springfield, IL 62711	N/A	PC	General Operating Support/Annual Gift	1/25/2013	\$100
American Prospect Inc	1710 Rhode Island Avenue NW, 12th Floor Washington, DC 20036	N/A	PC	General Operating Support/Annual Gift	1/4/2013	\$100,000
American Prospect Inc.	1710 Rhode Island Avenue NW, 12th Floor Washington, DC 20036	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$115,000
American Red Cross	P O Box 37295 Washington, D C 20013	N/A	PC	Project Support	1/25/2013	\$200
American Red Cross	P O Box 37295 Washington, D C 20013	N/A	PC	General Operating Support/Annual Gift	12/6/2013	\$50

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
American Red Cross	P O Box 37295 Washington, D C 20013	N/A	PC	General Operating Support/Annual Gift	12/6/2013	\$100
Americans for the Arts, Inc.	1000 Vermont Ave , NW, 6th Floor Washington, DC 20005	N/A	PC	General Operating Support/Annual Gift	12/3/2013	\$2,500
Anderson Ranch Arts Center	5263 Owl Creek Road P O Box 5598 Snowmass Village, CO 81615	N/A	PC	General Operating Support/Annual Gift	12/3/2013	\$2,500
Ann & Robert H. Lurie Children's Hospital of Chicago Foundation	225 E Chicago Avenue Box 10 Chicago, IL 60611	N/A	PC	Project Support	1/25/2013	\$10,000
Anti-Defamation League of B'nai B'rith	120 South LaSalle, Ste 1150 Chicago, IL 60603	N/A	PC	General Operating Support/Annual Gift	1/18/2013	\$500
Anti-Defamation League of B'nai B'rith	120 South LaSalle, Ste 1150 Chicago, IL 60603	N/A	PC	Project Support	12/13/2013	\$40,000
Arts Alliance Illinois	70 East Lake Street, Ste 420 Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$3,240
Aspen Choral Society	P O Box 650 Aspen, CO 81612	N/A	PC	In Memoriam	4/29/2013	\$500
Aspen Community Church	200 E Bleeker Street Aspen, CO 81611	N/A		Capital Campaign	1/4/2013	\$1,000
Aspen Institute, Inc	1000 N Third Street Aspen, CO 81611	N/A	PC	General Operating Support/Annual Gift	12/27/2013	\$5,000
Aspen Music Festival and School	225 Music School Road Aspen, CO 81611	N/A	PC	General Operating Support/Annual Gift	4/9/2013	\$100,000
Aspen Music Festival and School	225 Music School Road Aspen, CO 81611	N/A	PC	General Operating Support/Annual Gift	9/9/2013	\$100,000
Aspen Music Festival and School	225 Music School Road Aspen, CO 81611	N/A	PC	General Operating Support/Annual Gift	12/27/2013	\$30,000
Aspen Santa Fe Ballet	0245 Sage Way Aspen, CO 81611	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
Astraea Foundation, Inc.	116 E 16th Street, 7th Floor New York, NY 10003-	N/A	PC	General Operating Support/Annual Gift	1/18/2013	\$400
AVODAH, The Jewish Service Corps, Inc.	4707 N Broadway, 3rd Floor Chicago, IL 60640	N/A	PC	General Operating Support/Annual Gift	1/4/2013	\$25,000
Baryshnikov Dance Foundation	450 West 37th Street, Ste 501 New York, NY 10018	N/A	PC	General Operating Support/Annual Gift	12/27/2013	\$2,500
Between Friends	2301 W Howard Street Chicago, IL 60645	N/A	PC	General Operating Support/Annual Gift	12/20/2013	\$25,000
Black Ensemble Theater	4450 N Clark Street Chicago, IL 60640	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
Black Ensemble Theater	4450 N Clark Street Chicago, IL 60640	N/A	PC	Capital Campaign	9/3/2013	\$25,000
Boston Medical Center	Office of Development 801 Massachusetts Ave, 1st Floor Boston, MA 02118-	N/A	PC	Project Support	1/4/2013	\$155,000
Breast Cancer Research Foundation, The	60 E 56th St New York, NY 10022	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
Calvin Hill Day Care Center	150 Highland Street New Haven, CT 06511	N/A	PC	General Operating Support/Annual Gift	6/28/2013	\$24,200
Camp Of Dreams	1235 A North Clyborne Ste 362 Chicago, IL 60610-0000	N/A	PC	General Operating Support/Annual Gift	1/18/2013	\$200
Camp Of Dreams	1235 A North Clyborne Ste 362 Chicago, IL 60610-0000	N/A	PC	General Operating Support/Annual Gift	12/6/2013	\$200
Carnegie Hall Society	881 Seventh Ave , 7th Floor New York, NY 10019	N/A	PC	Project Support	5/10/2013	\$100,000
Carnegie Hall Society	881 Seventh Ave , 7th Floor New York, NY 10019	N/A	PC	General Operating Support/Annual Gift	12/27/2013	\$10,000
Cedille Chicago NFP	1205 W Balmoral Ave Chicago, IL 60640	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$5,000
Center for American Progress	1333 H Street, N W 10th Floor Washington, DC 20005	N/A	PC	Project Support	6/4/2013	\$125,000
Center for American Progress - Women's Health and Reproductive Rights Program	1333 H Street, N W 10th Floor Washington, DC 20005	N/A	PC	General Operating Support/Annual Gift	5/3/2013	\$40,000
Center for Law and Social Policy	1200 18th Street, NW, Ste 200 Washington, DC 20036	N/A	PC	Project Support	12/9/2013	\$20,000
Center for Reproductive Rights	120 Wall Street, 14th Floor New York, NY 10005	N/A	PC	General Operating Support/Designated for Prior Tax Year	7/23/2013	\$100,000
Center on Halsted	3656 N Halsted Avenue Chicago, IL 60613-4316	N/A	PC	General Operating Support/Annual Gift	4/29/2013	\$10,000
Chamber Music Society of Lincoln Center	70 Lincoln Center Plaza New York, NY 10023-6582	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$22,500
Chamber Music Society of Lincoln Center	70 Lincoln Center Plaza New York, NY 10023-6582	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$25,000
Chamber Music Society of Lincoln Center	70 Lincoln Center Plaza New York, NY 10023-6582	N/A	PC	General Operating Support/Annual Gift	12/30/2013	\$5,000
Charles And Lynn Schusterman Family Foundation	800 8th Street, NW Washington, DC 20001-3724	N/A	PF	Project Support	5/10/2013	\$40,000
Charles And Lynn Schusterman Family Foundation	800 8th Street, NW Washington, DC 20001-3724	N/A	PF	Project Support	12/13/2013	\$21,300
Chicago Abortion Fund	333 W North Avenue, Ste 267 Chicago, IL 60610	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$40,000
Chicago Architecture Foundation	224 South Michigan Avenue Chicago, IL 60604-2500	N/A	PC	General Operating Support/Annual Gift	3/22/2013	\$850
Chicago Architecture Foundation	224 South Michigan Avenue Chicago, IL 60604-2500	N/A	PC	Project Support	10/1/2013	\$1,000
Chicago Chamber Musicians	2 Prudential Plaza 180 N Stetson, Ste 1330 Chicago, IL 60601-6821	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$10,000
Chicago Children's Choir	78 East Washington Street, 5th Floor Chicago, IL 60602	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$5,000
Chicago Children's Museum	700 East Grand Avenue, Ste 127 Chicago, IL 60611-3428	N/A	PC	General Operating Support/Annual Gift	5/10/2013	\$15,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
Chicago Children's Theater	1464 N Milwaukee Avenue 2nd Floor Chicago, IL 60622	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$5,000
Chicago Community Trust	111 East Wacker Drive Suite 1400 Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	12/6/2013	\$375
Chicago Dancing Company	21W241 Briar Cliff Road Lombard, IL 60148	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
Chicago Foundation for Women	One East Wacker Drive, Ste 1620 Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$12,275
Chicago High School for the Arts	521 East 35th Street Chicago, IL 60616	N/A	PC	General Operating Support/Annual Gift	9/3/2013	\$20,000
Chicago History Museum	1601 N Clark Street Chicago, IL 60614-6038	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$5,000
Chicago House and Social Service Agency	1925 N Clybourn Avenue, Ste 401 Chicago, IL 60614	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$15,000
Chicago Lighthouse For People Who Are Blind Or Visually Impaired	1850 West Roosevelt Road Chicago, IL 60608-1298	N/A	PC	General Operating Support/Annual Gift	7/16/2013	\$10,000
Chicago Metropolitan Battered Women's Network	1 E Wacker Drive, Ste 1630 Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	12/9/2013	\$10,000
Chicago Opera Theater	70 E Lake Street, Ste 415 Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$50,000
Chicago Philharmonic	1123 Emerson, Suite 207 Evanston, IL 60201	N/A	PC	General Operating Support/Annual Gift	12/3/2013	\$1,000
Chicago Public Library Foundation	20 North Michigan Ave , Suite 520 Chicago, IL 60602-9989	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
Chicago Shakespeare Theater	800 East Grand Navy Pier Chicago, IL 60611	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$10,000
Chicago Sinfonietta, Inc.	70 East Lake Street Suite 226 Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$2,500
Chicago Symphony Orchestra	220 S Michigan Avenue Chicago, IL 60604	N/A	PC	Project Support	6/28/2013	\$200,000
Chicago Symphony Orchestra	220 S Michigan Avenue Chicago, IL 60604	N/A	PC	General Operating Support/Annual Gift	12/27/2013	\$15,000
Chicago Youth Centers	600 W Fulton Chicago, IL 60661	N/A	PC	General Operating Support/Annual Gift	10/25/2013	\$1,000
Chikaming Open Lands	14913 Lakeside Road Lakeside, MI 49116	N/A	PC	General Operating Support/Annual Gift	5/24/2013	\$1,000
Child Trends, Incorporated	7315 Wisconsin Avenue Suite 1200W Bethesda, MD 20814	N/A	PC	Project Support	12/3/2013	\$100,000
Children's Home And Aid Society of Illinois	125 S Wacker Dr , Floor 14 Chicago, IL 60606	N/A	PC	General Operating Support/Annual Gift	5/24/2013	\$100
Children's Hospital & Research Center at Oakland	747 Fifty Second Street Oakland, CA 94609	N/A	PC	Project Support	6/28/2013	\$130,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
Children's Hospital Corporation	Enders Building Plaza 320 Longwood Avenue EN107 Boston, MA 02115	N/A	PC	Project Support	12/3/2013	\$100,000
Choice USA	1317 F Street, NW Ste 501 Washington, DC 20004	N/A	PC	Project Support	4/19/2013	\$60,000
Church Street School [as fiscal sponsor for Jazz Standard Discovery Program]	74 Warren St New York, NY 10007	N/A	PC	Project Support	12/27/2013	\$5,000
Citizens United for Research in Epilepsy	223 E Erie, Suite 2 SW Chicago, IL 60654	N/A	PC	General Operating Support/Annual Gift	5/21/2013	\$1,000
Columbia College	600 S Michigan Avenue Chicago, IL 60605-1996	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$10,000
Columbia University in the City of New York, Trustees of	National Center for Children in Poverty (NCCP) 215 West 125th Street, 3rd Floor New York, NY 10027	N/A	PC	Project Support	12/13/2013	\$25,000
Community Renewal Society	322 S Michigan Ave, Suite 500 Chicago, Illinois 60614	N/A	PC	Honorarium	4/19/2013	\$500
Community Service Society of New York - City Limits	105 E 22nd Street New York, NY 10010	N/A	PC	General Operating Support/Annual Gift	12/30/2013	\$50
Connections for Abused Women and their Children	1116 N Kedzie 5th Floor Chicago, IL 60651	N/A	PC	General Operating Support/Annual Gift	12/9/2013	\$20,000
Council for a Strong America [serving as fiscal agent for Action Against Crime and Violence]	1212 New York Avenue NW, Ste 300 Washington, DC 20005	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$40,000
Council for a Strong America [serving as fiscal agent for Action Against Crime and Violence]	1212 New York Avenue NW, Ste 300 Washington, DC 20005	N/A	PC	General Operating Support/Annual Gift	12/27/2013	\$10,000
Crossroads Fund	3411 West Diversey, Suite 20 Chicago, IL 60647-1245	N/A	PC	General Operating Support/Annual Gift	1/18/2013	\$80
Crossroads Fund	3411 West Diversey, Suite 20 Chicago, IL 60647-1245	N/A	PC	General Operating Support/Annual Gift	5/10/2013	\$20,000
Deeply Rooted Productions	17 N State Street, Suite 1900 Chicago, IL 60602	N/A	PC	General Operating Support/Annual Gift	12/3/2013	\$2,500
Dempster Street Pro Musica	630 Forest Avenue Evanston, IL 60202	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$500
Donors Forum Of Chicago	208 South LaSalle Street Suite 1540 Chicago, IL 60604-3608	N/A	PC	General Operating Support/Annual Gift	9/17/2013	\$14,230
DuPage P.A.D.S., Inc.	705 W Liberty Drive Wheaton, IL 60187	N/A	PC	General Operating Support/Annual Gift	12/30/2013	\$200
DuSable Museum of African American History, Inc.	740 E 56th Place Chicago, IL 60637	N/A	PC	General Operating Support/Annual Gift	12/2/2013	\$5,000
Emergency Fund	651 W Washington, Suite 504 Chicago, IL 60661	N/A	PC	General Operating Support/Annual Gift	5/10/2013	\$25,000
Erikson Institute	451 N LaSalle Street Chicago, IL 60654	N/A	PC	General Operating Support/Annual Gift	5/10/2013	\$3,775
Erikson Institute	451 N LaSalle Street Chicago, IL 60654	N/A	PC	Challenge/Contingency	6/28/2013	\$250,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
Erkson Institute	451 N LaSalle Street Chicago, IL 60654	N/A	PC	Capital Campaign	6/28/2013	\$200,000
Erkson Institute - Fussy Baby Initiative	451 N LaSalle Street Chicago, IL 60654	N/A	PC	Project Support	6/28/2013	\$75,000
Erikson Institute - Harris Excellence Scholarships	451 N LaSalle Street Chicago, IL 60654	N/A	PC	Project Support	8/9/2013	\$205,000
Erikson Institute - IL Child-Parent Psychotherapy Learning Collaborative	451 N LaSalle Street Chicago, IL 60654	N/A	PC	Project Support	9/13/2013	\$75,000
Erikson Institute - PDN	451 N LaSalle Street Chicago, IL 60654	N/A	PC	Project Support	1/4/2013	\$125,000
Evanston Community Foundation, Inc	1007 Church Street, #108 Evanston, IL 60201	N/A	PC	Project Support	1/4/2013	\$200,000
EverThrive	1256 W Chicago Avenue Chicago, IL 60642	N/A	PC	General Operating Support/Annual Gift	5/3/2013	\$10,000
Exponent Philanthropy	33 Wild Berry Lane P O Box 117 Underhill, VT 05489-0117	N/A	PC	General Operating Support/Annual Gift	11/4/2013	\$725
Facets Multi-Media, Inc.	1517 W Fullerton Avenue Chicago, IL 60614	N/A	PC	General Operating Support/Annual Gift	12/3/2013	\$1,000
Facing History and Ourselves National Foundation, Inc.	200 East Randolph Street, Ste 2100 Chicago, IL 60601-6432	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$3,500
Family Focus, Inc.	310 South Peoria, Suite 301 Chicago, IL 60607-3520	N/A	PC	General Operating Support/Annual Gift	4/19/2013	\$4,400
Family Focus, Inc.	310 South Peoria, Suite 301 Chicago, IL 60607-3520	N/A	PC	Project Support	12/13/2013	\$75,000
Family Institute	Bette D Harris Center 618 Library Place Evanston, IL 60201	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$25,000
Family Institute	Bette D Harris Center 618 Library Place Evanston, IL 60201	N/A	PC	Scholarship/Fellowship	8/2/2013	\$25,000
Family Rescue	9204 South Commercial Avenue, Suite 401 PO Box 17528 Chicago, IL 60617	N/A	PC	General Operating Support/Annual Gift	6/28/2013	\$25,000
Feminist Majority Foundation	433 S Beverly Drive Beverly Hills, CA 90212	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$30,000
Festival of North American Orchestras, Inc.	470 Park Avenue South 9th Floor North New York, NY 10016	N/A	PC	General Operating Support/Annual Gift	4/9/2013	\$25,000
Field Museum of Natural History	1400 S Lake Shore Drive Chicago, IL 60605-2496	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$5,000
Florida State University	1339 East Lafayette Street Tallahassee, FL 32301	N/A	PC	Project Support	6/28/2013	\$130,000
Forward Together	1440 Broadway, Ste 301 Oakland, CA 94612	N/A	PC	General Operating Support/Annual Gift	12/13/2013	\$25,000
Foundation Center	79 Fifth Avenue New York, NY 10003-3076	N/A	PC	General Operating Support/Annual Gift	9/17/2013	\$750

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
Foundation For National Progress	222 Sutter Street, Suite #600 San Francisco, CA 94108	N/A	PC	Project Support	6/11/2013	\$75,000
Fresh Air Fund	633 3rd Ave, 14th Floor New York, NY 10017	N/A	PC	General Operating Support/Annual Gift	12/6/2013	\$50
Fulcrum Point New Music Project	359 W Belden Chicago, IL 60614	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$15,000
Funders Network on Population, Reproductive Health and Rights, Inc.	P O Box 750 Rockville, MD 20848-0750	N/A	PC	General Operating Support/Annual Gift	2/8/2013	\$3,500
Funders Network on Population, Reproductive Health and Rights, Inc.	P O Box 750 Rockville, MD 20848-0750	N/A	PC	Research	9/13/2013	\$3,500
Gary Comer Youth Center, Inc.	7200 S Ingleside Avenue Chicago, IL 60619-1254	N/A	PC	General Operating Support/Annual Gift	8/12/2013	\$4,850
Good Pitch Chicago	c/o Steven H. Cohen Cohen Law Group 70 West Madison Street Suite 4000 Chicago, IL 60602	N/A		General Operating Support/Annual Gift	10/1/2013	\$2,500
Goodman Theatre, Chicago Theatre Group, Inc.	170 N Dearborn St Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	11/4/2013	\$20,000
Gordon Tech Catholic College Prep	3633 N California Avenue Chicago, IL 60618	N/A		General Operating Support/Annual Gift	6/4/2013	\$250
Grantmakers In Health	1100 Connecticut Avenue, N W , Suite 1200 Washington, DC 20036	N/A	PC	General Operating Support/Annual Gift	7/26/2013	\$3,000
Grantmakers in the Arts	4055 21st Avenue West, Ste 100 Seattle, WA 98199-1247	N/A	PC	General Operating Support/Annual Gift	3/1/2013	\$1,000
Grantmakers in the Arts	4055 21st Avenue West, Ste 100 Seattle, WA 98199-1247	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$3,000
Grants Managers Network	1666 K Street, NW Ste 440 Washington, DC 20006	N/A	PC	General Operating Support/Annual Gift	4/29/2013	\$500
Greater Chicago Food Depository	4100 West Ann Lurie Place Chicago, IL 60632	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$25,000
Greylock ABC, Inc.	P O Box 665 Williamstown, MA 01267	N/A	PC	General Operating Support/Annual Gift	1/25/2013	\$100
Guttmacher Institute	125 Maiden Lane 7th Floor New York, NY 10038	N/A	PC	General Operating Support/Annual Gift	11/5/2013	\$35,000
Harris Theater for Music and Dance	205 E Randolph Drive Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	3/22/2013	\$100
Harris Theater for Music and Dance	205 E Randolph Drive Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	9/13/2013	\$23,800
Harris Theater for Music and Dance	205 E Randolph Drive Chicago, IL 60601	N/A	PC	Capital Campaign	12/27/2013	\$628,200
Harris Theater for Music and Dance	205 E Randolph Drive Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	12/27/2013	\$50,000
Health and Disability Advocates	205 W Randolph Street, Ste 510 Chicago, IL 60606	N/A	PC	General Operating Support/Annual Gift	4/19/2013	\$25,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
HealthConnect One	1436 W Randolph Street, 4th Floor Chicago, IL 60607	N/A	PC	General Operating Support/Annual Gift	1/4/2013	\$162,500
HealthConnect One	1436 W Randolph Street, 4th Floor Chicago, IL 60607	N/A	PC	General Operating Support/Annual Gift	1/18/2013	\$1,920
HealthConnect One	1436 W Randolph Street, 4th Floor Chicago, IL 60607	N/A	PC	Project Support	3/8/2013	\$50,000
HealthConnect One	1436 W Randolph Street, 4th Floor Chicago, IL 60607	N/A	PC	General Operating Support/Annual Gift	4/26/2013	\$187,500
Hektoen Institute, LLC	2240 W Ogden Avenue, 2nd Floor Chicago, IL 60612-4220	N/A	PC	Project Support	1/4/2013	\$100,000
Hubbard Street Dance Chicago	1147 W Jackson Blvd Chicago, IL 60607-2905	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$10,000
Hyde Park Art Center	5020 S Cornell Avenue Chicago, IL 60615	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$2,500
Illinois Action for Children	4753 N Broadway, Ste 1200 Chicago, IL 60640-	N/A	PC	General Operating Support/Annual Gift	9/9/2013	\$4,800
Illinois Action for Children	4753 N Broadway, Ste 1200 Chicago, IL 60640-	N/A	PC	Project Support	12/13/2013	\$65,000
Illinois Association For Infant Mental Health	451 N LaSalle Chicago, IL 60654	N/A	PC	Project Support	9/3/2013	\$5,000
Illinois Caucus For Adolescent Health	226 S Wabash Ave Suite 900 Chicago, IL 60604	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$30,000
Illinois Department of Healthcare and Family Services	607 E Adams, 4th Floor Springfield, IL 62702	N/A	PC	General Operating Support/Annual Gift	5/24/2013	\$35,000
Illinois Holocaust Museum and Education Center	9603 Woods Drive Skokie, IL 60077-1095	N/A	PC	General Operating Support/Annual Gift	1/4/2013	\$25,000
Interfaith Youth Core	910 W Van Buren Street, 4th Floor Chicago, IL 60607	N/A	PC	General Operating Support/Annual Gift	8/6/2013	\$15,000
Jewish Board of Family and Children's Services	135 West 50th Street, 6th Floor New York, NY 10020	N/A	PC	Project Support	1/4/2013	\$155,000
Jewish Child and Family Services	216 W Jackson Blvd , Ste 800 Chicago, IL 60606	N/A	PC	Project Support	7/23/2013	\$70,000
Jewish Council on Urban Affairs	610 S Michigan Ave , Ste 500 Chicago, IL 60605	N/A	PC	General Operating Support/Annual Gift	12/9/2013	\$20,000
Jewish United Fund of Metropolitan Chicago	30 S Wells, Room 3008 Chicago, IL 60606-	N/A	PC	Project Support	1/4/2013	\$200,000
Jewish United Fund of Metropolitan Chicago	30 S Wells, Room 3008 Chicago, IL 60606-	N/A	PC	General Operating Support/Annual Gift	1/18/2013	\$250
Joffrey Ballet of Chicago	10 East Randolph Street Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$5,000
Juilliard School	60 Lincoln Center Plaza New York, NY 10023-6588	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$7,500
Juilliard School	60 Lincoln Center Plaza New York, NY 10023-6588	N/A	PC	General Operating Support/Annual Gift	12/27/2013	\$75,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
La Rabida Children's Hospital	East 65th Street At Lake Michigan Chicago, IL 60649	N/A	PC	Honorarium	4/19/2013	\$1,000
Lampo	2637 W Cortez Street Chicago, Illinois 60622	N/A	PC	General Operating Support/Annual Gift	12/3/2013	\$1,000
Landmarks Illinois	205 W Wacker Dr , Ste 1400 Chicago, IL 60606	N/A	PC	General Operating Support/Annual Gift	3/1/2013	\$1,000
Latino Policy Forum	180 N Michigan Avenue, Ste 1250 Chicago, IL 60601	N/A	PC	General Operating Support/Annual Gift	4/19/2013	\$30,000
Lincoln Center for the Performing Arts	70 Lincoln Center Plaza, 9th Floor New York, NY 10023	N/A	PC	General Operating Support/Annual Gift	3/22/2013	\$1,000
Lookingglass Theatre Company	875 N Michigan Ave, Suite 2200 Chicago, IL 60611	N/A	PC	General Operating Support/Annual Gift	12/3/2013	\$2,500
LSU Health Sciences Center	School of Medicine in New Orleans 1542 Tulane Avenue, 2nd Floor New Orleans, LA 70112	N/A	PC	Project Support	6/28/2013	\$155,000
Luna Negra Dance Theater	17 N State Street, Ste 1700 Chicago, IL 60602	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$5,000
Lutheran Social Services of Illinois	1001 E Touhy Avenue, Ste 50 Des Plaines, IL 60018	N/A	PC	Project Support	8/9/2013	\$25,000
Lyric Opera of Chicago	20 N Wacker Drive Suite 860 Chicago, IL 60606-9881	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$9,455
Madison Square Park Conservancy, Inc.	Eleven Madison Ave , 28th Floor New York, NY 10010	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$15,000
Merit School of Music	Joy Faith Knapp Music Center 38 S Peoria Street Chicago, IL 60607	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$10,000
Midwest Access Project	2000 W Armitage Chicago, IL 60647	N/A	PC	General Operating Support/Annual Gift	12/20/2013	\$54,000
Millennium Park Foundation	201 E Randolph Street Chicago, IL 60601-6530	N/A	PC	General Operating Support/Annual Gift	12/9/2013	\$10,000
Mujeres Latinas En Accion	2124 W 21st Place Chicago, IL 60608	N/A	PC	General Operating Support/Annual Gift	12/13/2013	\$20,000
Museum of Arts and Design	2 Columbus Circle New York, NY 10019	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$3,450
Museum of Contemporary Art	220 E Chicago Avenue Chicago, IL 60611-2643	N/A	PC	General Operating Support/Annual Gift	12/9/2013	\$10,000
Music Institute of Chicago	517 Green Bay Rd Winnetka, IL 60091	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$10,000
Music of the Baroque	111 N Wabash Avenue Suite 810 Chicago, IL 60602	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$10,000
Nation Institute	116 E 16th Street, 8th Floor New York, NY 10003	N/A	PC	General Operating Support/Annual Gift	5/14/2013	\$30,000
National Abortion Federation	1660 L Street, N W , Ste 450 Washington, DC 20036	N/A	PC	General Operating Support/Annual Gift	4/19/2013	\$40,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
National Advocates for Pregnant Women	15 West 36th Street Suite 901 New York, NY 10018	N/A	PC	General Operating Support/Annual Gift	12/9/2013	\$55,000
National Center for Family Philanthropy	1101 Connecticut Avenue, NW, Ste 220 Washington, DC 20036	N/A	PC	General Operating Support/Annual Gift	7/16/2013	\$500
National Latina Institute for Reproductive Health	50 Broad Street, Suite 1937 New York, NY 10004	N/A	PC	General Operating Support/Annual Gift	12/17/2013	\$50,000
National Museum of Mexican Art	1852 W 19th Street Chicago, IL 60608-2706	N/A	PC	General Operating Support/Annual Gift	12/9/2013	\$5,000
National Network Of Abortion Funds	P O Box 170280 Boston, MA 02117	N/A	PC	Project Support	4/26/2013	\$50,000
National Women's Health Network	1413 K Street, NW 4th Floor Washington, DC 20005	N/A	PC	Project Support	7/23/2013	\$40,000
Nature Conservancy	4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606	N/A	PC	Project Support	2/1/2013	\$150
New Israel Fund	703 Market Street Suite 1503 San Francisco, CA 94103	N/A	PC	Project Support	1/4/2013	\$40,000
New Israel Fund	703 Market Street Suite 1503 San Francisco, CA 94103	N/A	PC	Project Support	12/13/2013	\$45,000
New Venture Fund - Fair Election Legal Network	1201 Connecticut Ave NW, Ste 300 Washington, DC 20006	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$50,000
New Venture Fund - Groundswell Fund - Reproductive Health and Justice Programs	1201 Connecticut Ave NW Suite 300 Washington, DC 20036	N/A	PC	General Operating Support/Annual Gift	12/17/2013	\$45,000
New Venture Fund - Integrated Voter Engagement Program	1201 Connecticut Ave , NW Suite 300 Washington, DC 20036	N/A	PC	Project Support	7/23/2013	\$40,000
New York City Opera	75 Broad Street, Suite 1010 New York, NY 10004	N/A	PC	Project Support	5/24/2013	\$15,000
New York City Opera	75 Broad Street, Suite 1010 New York, NY 10004	N/A	PC	Project Support	9/17/2013	\$15,000
New York University	Postdoctoral Program in Psychotherapy & Psychoanalysis 240 Greene Street, Third Floor New York, NY 10003	N/A	PC	Scholarship/Fellowship	4/19/2013	\$45,000
Newberry Library	60 W Walton Street Chicago, IL 60610-3380	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$1,000
Night Ministry	4711 N Ravenswood Chicago, IL 60640-4407	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$7,500
North Lawndale College Preparatory Charter High School	1616 South Spaulding Avenue Chicago, IL 60623	N/A	PC	General Operating Support/Annual Gift	1/18/2013	\$2,500
Old Town School of Folk Music, Inc.	4544 N Lincoln Avenue Chicago, IL 60625	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$20,000
Old Town School of Folk Music, Inc.	4544 N Lincoln Avenue Chicago, IL 60625	N/A	PC	Capital Campaign	10/1/2013	\$50,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
Operation Respect, Inc.	2 Penn Plaza, 20th Floor New York, NY 10121	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$7,500
Ounce of Prevention Fund	33 West Monroe, Suite 2400 Chicago, IL 60603	N/A	PC	General Operating Support/Annual Gift	1/18/2013	\$4,750
Ounce of Prevention Fund	33 West Monroe, Suite 2400 Chicago, IL 60603	N/A	PC	General Operating Support/Annual Gift	6/11/2013	\$500,000
Ounce of Prevention Fund	33 West Monroe, Suite 2400 Chicago, IL 60603	N/A	PC	Project Support	12/27/2013	\$334,000
Ounce of Prevention Fund - First Five Years Fund	33 West Monroe, Suite 2400 Chicago, IL 60603	N/A	PC	Project Support	6/28/2013	\$200,000
Ounce of Prevention Fund - First Five Years Fund	33 West Monroe, Suite 2400 Chicago, IL 60603	N/A	PC	Project Support	8/2/2013	\$250,000
People for the American Way Foundation	1101 15th Street, NW, Ste 600 Washington, DC 20005-5002	N/A	PC	General Operating Support/Annual Gift	5/3/2013	\$35,000
People's Music School	931 W Eastwood Avenue Chicago, IL 60640-5107	N/A	PC	General Operating Support/Annual Gift	12/3/2013	\$2,000
People's Resource Center	201 S Naperville Road Wheaton, IL 60187	N/A	PC	General Operating Support/Annual Gift	12/30/2013	\$200
Perlman Music Program, Inc.	19 W 69th Street, Ste 304 New York, NY 10023	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$5,000
Physicians for Reproductive Health	55 West 39th Street, Suite 1001 New York, NY 10018	N/A	PC	General Operating Support/Annual Gift	4/26/2013	\$50,000
Planned Parenthood Federation of America, Inc.	434 West 33rd Street New York, NY 10001	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$100,000
Planned Parenthood of Illinois	18 South Michigan Avenue, 6th Floor Chicago, IL 60603	N/A	PC	General Operating Support/Annual Gift	4/26/2013	\$165,000
Project Vote, Inc.	805 15th Street NW, Suite 250 Washington, DC 20005	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$75,000
Provide	47 Thorndike Street Cambridge, MA 02141	N/A	PC	General Operating Support/Annual Gift	4/26/2013	\$50,000
Racine Art Museum - Wustum Museum	441 Main Street Racine, WI 53401-0187	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
Ravinia Festival Association	418 Sheridan Road Highland Park, IL 60035	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$3,323
Redmoon Theater	2120 S Jefferson Chicago, IL 60616	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
Reproductive Health Access Project	P O Box 21192 New York, NY 10025	N/A	PC	General Operating Support/Annual Gift	4/26/2013	\$25,000
Reproductive Health Technologies Project	1020 19th Street, NW, Suite 875 Washington, DC 20036	N/A	PC	General Operating Support/Annual Gift	5/10/2013	\$20,000
Research Foundation of SUNY (as fiscal sponsor for the HVWP Early Childhood Program)	SUNY New Paltz 1 Hawk Drive, Old Main Building, Room 323 New Paltz, NY 12561	N/A	PC	Research	4/29/2013	\$10,000
Research Foundation of SUNY (as fiscal sponsor for the Hudson Valley Writing Program)	SUNY New Paltz 1 Hawk Drive, Old Main Building, Room 323 New Paltz, NY 12561	N/A	PC	Project Support	5/3/2013	\$4,756

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
Research Foundation of SUNY [as fiscal sponsor for the Hudson Valley Writing Program]	SUNY New Paltz 1 Hawk Drive, Old Main Building, Room 323 New Paltz, NY 12561	N/A	PC	Project Support	6/14/2013	\$30,000
Resources for Human Development	801 S 48th St Philadelphia, PA 19143	N/A	PC	Project Support	5/21/2013	\$100
Resources for Human Development	801 S 48th St Philadelphia, PA 19143	N/A	PC	Project Support	7/16/2013	\$3,000
Resources for Human Development	801 S 48th St Philadelphia, PA 19143	N/A	PC	General Operating Support/Annual Gift	12/6/2013	\$50
Resurrection Project	1818 S Paulina Chicago, IL 60608	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$50,000
Roaring Fork Public Radio, Inc./KAJX	110 E Hallam, Ste 134 Aspen, CO 81611-1467	N/A	PC	General Operating Support/Annual Gift	8/2/2013	\$1,000
Robert R. McCormick Boys & Girls Club - Women's Auxiliary	c/o Latonia Neeley 4835 N Sheridan Road Chicago, IL 60640	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,500
Roosevelt University	430 S Michigan Avenue Chicago, IL 60605-1395	N/A	PC	Project Support	5/21/2013	\$10,000
Roosevelt University	430 S Michigan Avenue Chicago, IL 60605-1395	N/A	PC	Project Support	12/3/2013	\$10,000
Rush Hour Concerts at St James Cathedral	65 E Huron St Chicago, IL 60611	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$15,000
SHALVA, Inc.	P O Box 46375 Chicago, IL 60646-0375	N/A	PC	General Operating Support/Annual Gift	12/17/2013	\$25,000
Share Our Strength, Inc	1730 M Street N W , Suite 700 Washington, DC 20036	N/A	PC	Project Support	7/23/2013	\$125,000
Shine Global	545 W 45th Street, 9th Floor New York, NY 10036	N/A	PC	Project Support	12/3/2013	\$5,000
Shunpike Arts Collective	3518 Fremont Avenue N, #118 Seattle, WA 98103	N/A	PC	Project Support	2/15/2013	\$500
Smith College	The Smith Fund P O Box 340029 Boston, MA 02241-0429	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$3,000
Solti Foundation, The	c/o Music Institute of Chicago 1490 Chicago Avenue Evanston, IL 60201	N/A	PC	General Operating Support/Annual Gift	9/3/2013	\$500
South Chicago Art Center	3217 East 91st St Chicago, IL 60617	N/A	PC	General Operating Support/Annual Gift	5/3/2013	\$25,000
Southwest Human Development	2850 N 24th Street Phoenix, AZ 85008	N/A	PC	Project Support	6/28/2013	\$105,000
Special Olympics Illinois	P O Box 699 Normal, IL 61761-0699	N/A	PC	General Operating Support/Designated for Prior Tax Year	6/4/2013	\$50
Sphinx Organization	400 Renaissance Center, Ste 2550 Detroit, MI 48243	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$25,000
St. Anthony Hospital Foundation	2875 W 19th Street Chicago, IL 60623	N/A	PC	Project Support	7/23/2013	\$40,000
St. Paul Academy And Summit School	1712 Randolph Avenue St Paul, MN 55105	N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$500

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
State Voices	500 Gnsword Street, Suite 2850 Detroit, MI 48226	N/A	PC	General Operating Support/Annual Gift	7/23/2013	\$50,000
Steppenwolf Theatre Company	1650 N Halsted Street Chicago, IL 60614	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$5,000
Stevenson Center for Democracy	25200 N St Mary's Road Libertyville, IL 60048	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$2,500
StreetSquash	40 W 116th Street New York, NY 10026	N/A	PC	Project Support	7/30/2013	\$75,000
Theatre for a New Audience, Inc.	154 Christopher St , # 3 D New York, NY 10014	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
Third Sector New England	89 South Street, Ste 700 Boston, MA 02111	N/A	PC	General Operating Support/Annual Gift	12/30/2013	\$5,000
Third Sector New England - BUILD Initiative	89 South Street, Ste 700 Boston, MA 02111	N/A	PC	Project Support	1/4/2013	\$175,000
Third Sector New England - Early Learning Challenge Collaborative	89 South Street, Ste 700 Boston, MA 02111	N/A	PC	Project Support	7/23/2013	\$25,000
Third Sector New England - Early Learning Challenge Collaborative	89 South Street, Ste 700 Boston, MA 02111	N/A	PC	General Operating Support/Annual Gift	12/20/2013	\$200,000
ThreeWalls	119 N Peoria, 2C Chicago, IL 60607	N/A	PC	Project Support	10/1/2013	\$10,000
Tides Foundation	Presidio Building 1014 (Lincoln & Torney Ave) San Francisco, CA 94129	N/A	PC	Project Support	12/13/2013	\$15,000
Timeline Theatre Company	615 W Wellington Avenue Chicago, IL 60657	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
Tulane School of Medicine	Department of Psychiatry and Neurology Tidewater Bldg , TB52, 1440 Canal Street New Orleans, LA 70112-2715	N/A	PC	Project Support	6/28/2013	\$155,000
University of California, Regents of the - CoreAlign	1330 Broadway Street, Ste 1100 Oakland, CA 94612	N/A	PC	Project Support	12/27/2013	\$10,000
University of California, Regents of the - PDN - CTRP	1001 Potrero Avenue, Building 20, Ward 21, Room 2124 San Francisco, CA 94410	N/A	PC	Project Support	6/28/2013	\$170,000
University of California, Regents of the - PDN - IPP	San Francisco General Hospital Infant-Parent Program - S F G H 1001 Potrero Ave Building 5, 6B San Francisco, CA 94110	N/A	PC	Project Support	6/28/2013	\$130,000
University of Chicago	5801 S Ellis Avenue Chicago, IL 60637	N/A	PC	General Operating Support/Annual Gift	2/1/2013	\$50
University of Chicago	5801 S Ellis Avenue Chicago, IL 60637	N/A	PC	Scholarship/Fellowship	7/12/2013	\$15,000
University of Chicago - Cultural Policy School, Harris School		N/A	PC	General Operating Support/Annual Gift	1/4/2013	\$25,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
University of Chicago - Cultural Policy School, Harris School		N/A	PC	General Operating Support/Annual Gift	4/26/2013	\$25,000
University of Chicago - Cultural Policy School, Harris School		N/A	PC	General Operating Support/Annual Gift	10/1/2013	\$200,000
University of Chicago - Department of Developmental and Behavioral Pediatrics		N/A	PC	Research	8/2/2013	\$100,000
University of Chicago - Harris School of Public Policy Studies		N/A	PC	General Operating Support/Annual Gift	6/14/2013	\$325,000
University of Chicago - Harris School of Public Policy Studies		N/A	PC	Research	6/14/2013	\$500,000
University of Chicago - Harris School of Public Policy Studies		N/A	PC	Capital Campaign	6/14/2013	\$400,000
University of Chicago - MacLean Center for Clinical Medical Ethics		N/A	PC	Project Support	5/10/2013	\$25,000
University of Chicago - PDN		N/A	PC	Project Support	6/28/2013	\$85,000
University of Chicago - Section of Family Planning and Contraceptive Research		N/A	PC	Project Support	11/4/2013	\$52,193
University of Chicago - Smart Museum of Art		N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
University of Colorado Foundation, Inc	The Irving Harris Program in Child Development and Infant Mental Health University of Colorado Denver - Anschutz Medical Campus Department of Psychiatry - Box F546 Bldg 500 Rm C2000F 13001 E 17th Place Aurora, CO 80045	N/A	PC	Project Support	1/4/2013	\$140,000
University of Illinois Foundation, Chicago	Office of Development Banner Dept 2-242000 2503 UH MC 102 601 So Morgan Street Chicago, IL 60607-7128	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
University of Illinois Foundation, Chicago - College of Nursing		N/A	PC	Project Support	1/4/2013	\$100,000
University of Illinois Foundation, Chicago - College of Nursing		N/A	PC	Project Support	8/9/2013	\$8,500
University of Illinois Foundation, Chicago - Maternal and Child Health Program		N/A	PC	Project Support	6/28/2013	\$45,000

Recipient Name	Address	If grantee is individual, show relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Payment Date	Deductible Amount
University of Minnesota Foundation	McNamara Alumni Center University of Minnesota Gateway 200 Oak Street, S E , Ste 500 Minneapolis, MN 55455-2010	N/A	PC	Project Support	7/12/2013	\$80,000
USC - Shoah Foundation Institute for Visual History and Education	USC, Leavay Library 650 W 35th Street, Ste 114 Los Angeles, CA 90089-2571	N/A	PC	General Operating Support/Annual Gift	12/3/2013	\$2,000
Voices For Illinois Children, Inc.	208 South LaSalle Street, Suite 1490 Chicago, IL 60604-1120	N/A	PC	General Operating Support/Annual Gift	4/29/2013	\$75,000
Voices For Illinois Children, Inc.	208 South LaSalle Street, Suite 1490 Chicago, IL 60604-1120	N/A	PC	General Operating Support/Annual Gift	4/29/2013	\$8,920
Voter Participation Center [formerly known as Women's Voices, Women Vote]	1707 L Street, N W , Ste 300 Washington, DC 20036	N/A	PC	General Operating Support/Designated for Prior Tax Year	7/23/2013	\$50,000
WBEZ Alliance, Inc.	Navy Pier, 848 East Grand Avenue Chicago, IL 60611	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$1,000
William J. Brennan Jr. Center for Justice, Inc.	161 Avenue of the Americas, 12th Floor New York, NY 10013	N/A	PC	Project Support	7/23/2013	\$75,000
Window to the World Communications, Inc.	5400 N St Louis Avenue Chicago, IL 60625	N/A	PC	General Operating Support/Annual Gift	4/9/2013	\$3,500
Window to the World Communications, Inc.	5400 N St Louis Avenue Chicago, IL 60625	N/A	PC	General Operating Support/Designated for Prior Tax Year	6/4/2013	\$150
Window to the World Communications, Inc.	5400 N St Louis Avenue Chicago, IL 60625	N/A	PC	Project Support	10/1/2013	\$25,000
Woodrow Wilson International Center for Scholars	One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, NW Washington, DC 20004-3027	N/A	PC	General Operating Support/Annual Gift	5/24/2013	\$1,000
Writers' Theatre	376 Park Avenue Glencoe, IL 60022	N/A	PC	General Operating Support/Annual Gift	4/2/2013	\$5,000
Yale University - Child Study Center	230 S Frontage P O Box 207900 New Haven, CT 06520-7900	N/A	PC	Project Support	6/28/2013	\$140,000
Young Women's Leadership Charter School of Chicago	2641 S Calumet Chicago, IL 60616-2091	N/A	PC	General Operating Support/Annual Gift	1/4/2013	\$3,000
Young Women's Leadership Charter School of Chicago	2641 S Calumet Chicago, IL 60616-2091	N/A	PC	General Operating Support/Annual Gift	12/13/2013	\$20,000
Zero To Three: Nat'l Ctr. For Infants, Toddlers & Families	1255 23rd Street, NW, Ste 350 Washington, DC 20037	N/A	PC	Project Support	9/3/2013	\$230,000
Zero To Three: Nat'l. Ctr. For Infants, Toddlers & Families	1255 23rd Street, NW, Ste 350 Washington, DC 20037	N/A	PC	Project Support	9/3/2013	\$1,350
Zero To Three: Nat'l. Ctr. For Infants, Toddlers & Families	1255 23rd Street, NW, Ste 350 Washington, DC 20037	N/A	PC	General Operating Support/Annual Gift	11/5/2013	\$25,000

Total Irving Harris Foundation (305 items)

\$14,160,717

PART XV - Supplementary Information

Year Ended December 31, 2013

Form 990-PF, Part XV, Line 3b, Approved for future payment

Organization	Address	Relationship	Status	Pledge Amount
Alliance for Early Success	Leawood, KS	N/A	Public	\$ 250,000
Ann & Rober H Lurie Children's Hospital of Chicago Foundation	Chicago, IL	N/A	Public	\$ 10,000
AVODAH, The Jewish Service Corps, Inc	Chicago, IL	N/A	Public	\$ 25,000
Chicago Abortion Fund	Chicago, IL	N/A	Public	\$ 40,000
Chicago Foundation for Women	Chicago, IL	N/A	Public	\$ 12,500
Council for a Strong America	Washington, DC	N/A	Public	\$ 40,000
Crossroads Fund	Chicago, IL	N/A	Public	\$ 20,000
Family Institute	Evanston, IL	N/A	Public	\$ 25,000
Family Rescue	Chicago, IL	N/A	Public	\$ 50,000
Feminist Majority Foundation	Beverly Hills, CA	N/A	Public	\$ 30,000
Health Connect One	Chicago, IL	N/A	Public	\$ 937,500
Illinois Action for Children	Chicago, IL	N/A	Public	\$ 70,000
Jewish United Fund of Metropolitan Chicago	Chicago, IL	N/A	Public	\$ 200,000
Mujeres Latinas En Accion	Chicago, IL	N/A	Public	\$ 20,000
National Abortion Federation	Washington, DC	N/A	Public	\$ 25,000
New York University	New York, NY	N/A	Public	\$ 45,000
Old Town School of Folk Music, Inc	Chicago, IL	N/A	Public	\$ 70,000
Ounce of Prevention Fund	Chicago, IL	N/A	Public	\$ 500,000
Planned Parenthood of Illinois	Chicago, IL	N/A	Public	\$ 100,000
Research Foundation of SUNY	New Paltz, NY	N/A	Public	\$ 10,000
Resurrection Project	Chicago, IL	N/A	Public	\$ 50,000
Rush Hours Concerts at St James Cathedral	Chicago, IL	N/A	Public	\$ 30,000
SHALVA, Inc	Chicago, IL	N/A	Public	\$ 25,000
South Chicago Art Center	Chicago, IL	N/A	Public	\$ 25,000
Third Sector New England	Boston, MA	N/A	Public	\$ 350,000
Total Irving Harris Foundation				<u>\$ 2,960,000</u>

THE IRVING HARRIS FOUNDATION

FEIN: 36-6055115

2013 EXPENDITURE RESPONSIBILITY

Name and Location of Grantee

Charles & Lynn Schusterman Family Foundation
800 8th Street, NW, Washing D.C. 20001-3724

Date and Amount of Grant:

May 10, 2013

Forty Thousand (\$40,000) Dollars US

Purpose of Grant:

The grant of \$40,000, the final installment of a three year grant starting in 2012, is for continued scholarship and general operations support for the Child-Parent Psychotherapy (CPP) Leadership program at the Haruv Institute in Jerusalem. The Haruv Institute is Israel's leading authority on child abuse and neglect and was founded by the Schusterman Foundation in 2007 with a mission to become an international center of excellence contributing to the reduction of child maltreatment. The foundation's website is haruv.org.il/eng

Amounts expended by Grantee:

See the attached activity.

Diversion

To the knowledge of the Foundation, no part of the grant was used for other than its intended purpose.

Dates of Reports received from the Grantee:

The Foundation received the annual report from the Haruv Institute on February 2014. See attached report.



מכון חרוב (ע"ר)
The Haruv Institute (RA)

"עץ הדעת ועץ החיים נטועים בליבם"

"The tree of knowledge & the tree of life are planted in their hearts"

- We have been holding booster sessions for CPP graduates twice a year, whenever Alicia and Patricia came to Israel. In both January and June 2013, they held two training days, for Cohorts 1-2.
- Cohort 1-3 graduates have made arrangements with Alicia for her to provide monthly Skype consultations. This is the second year that Alicia is providing this service. Around 15 people come steadily.
- Cohort 5, which will begin at the end of 2014, or January, 2015, has a long waiting list. We are constantly being asked when we are opening a new program.
- After last year's training program on the topic of working with traumatized children and families was taught to the Mevaseret Zion Council, a similar program was developed for 25 social workers from the municipality of Ma'ale Adumim. CPP graduates are teaching the course. The Early Childhood Unit in Ashdod has asked for similar training, and will begin in October, 2014. We see the need for teaching trauma-informed social work, especially within the population of social workers in welfare agencies, and plan to focus on such training program in the next years.
- In 2013, a large article appeared in Yediot Achronot, a popular daily newspaper, about the effects of trauma on infants and small children, and about CPP. This article was written after three small children from different families witnessed their parents' violent deaths within a few weeks of one another. This article spurred much interest, and we have been increasingly asked for referrals for these young children, who no one realized could be treated before.
- Our understanding is that CPP is increasingly being used in Israel. While our knowledge of its implementation is largely anecdotal, we hope to



מכון חרוב (ע"ר)
The Haruv Institute (R.A.)

"עץ הדעת ועץ החיים נטועים בליבם"

"The tree of knowledge & the tree of life are planted in their hearts"

have more data about CPP use as Paula researches its dissemination for her doctoral studies.

Our goals for 2013 have been realized, and we are on target with our three-year plan. Patricia Van Horn's sudden stroke, while training in Israel, and her subsequent death, have plunged the Israeli CPP community into mourning. We join our American counterparts in grieving her loss and renewing our commitment to carry on the work she so beautifully taught us.

Many thanks to you, for enabling us to do this work, thereby making a real difference in the lives of infants, small children and their families in Israel.

Sincerely,

Asher Ben-Arieh

Prof. Asher Ben-Arieh
General Director

Paula David

Paula David
Director of Learning Programs

The Haruv Institute (R"A)

Program: Child-Parent Psychotherapy (CPP)

**Fiscal Report to the Irving Harris Foundation for the year ending
December 31, 2013**

Income

1. The Harris Foundation support*
2. Participants' tuition
3. The Schusterman Foundation Israel (R"A) (as part of general support)
Total

2013 Actuals
₪
40,000
27,469
60,848
128,317

Activity **

1. Payments to the University of California
2. Lecturers
3. Rent of venue, refreshments
4. Consultations and Office Expenses
Total

2013 Budget	2013 Actuals***
₪	₪
56,000	78,060
15,000	18,660
18,000	24,656
5,000	6,941
94,000	128,317

* The Harris Foundation support was used partially for Scholarships for the 24 participants (\$280 apiece) - therefore, each student paid less tuition (2,700 NIS instead of 3,700 NIS).

** Not including Salary allocation of Haruv's Staff.

*** Unaudited

Note: The actual CPP program runs over two fiscal years: from June to June. This schedule reflects payments of each fiscal year, and not the cost of each program individually

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
File by the due date for filing your return. See instructions.	THE IRVING HARRIS FOUNDATION	Employer identification number (EIN) or
	Number, street, and room or suite no. If a P.O. box, see instructions.	36-6055115
	191 NORTH WACKER DRIVE, SUITE 1500	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	CHICAGO, IL 60606-1899	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

WILLIAM HARRIS INVESTORS, INC.

- The books are in the care of ► **191 N. WACKER DRIVE, STE. 1500, CHICAGO, IL - 60606**
- Telephone No. ► **(312) 621-0590** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2013** or
- tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	171,225.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	171,225.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	THE IRVING HARRIS FOUNDATION	36-6055115
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	191 NORTH WACKER DRIVE, SUITE 1500	
	City, town, or post office, state, and ZIP code. For a foreign address, see instructions.	
	CHICAGO, IL 60606-1899	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

WILLIAM HARRIS INVESTORS, INC.

- The books are in the care of **191 N. WACKER DRIVE, STE. 1500, CHICAGO, IL - 60606**

Telephone No. **(312) 621-0590**

Fax No.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**

5 For calendar year **2013**, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ALL INFORMATION NEEDED TO FILE A COMPLETE AND ACCURATE RETURN HAS NOT BEEN RECEIVED. AN EXTENSION OF TIME TO FILE IS HEREBY REQUESTED.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	396,225.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	396,225.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title **CPA**

Date **5/11/2014**

Form 8868 (Rev. 1-2014)