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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

- Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning , 2013, and ending

RICE FOUNDATION
8600 GROSS POINT ROAD
SKOKIE, IL 60077-2151A Employer identification number
36-6043160B Telephone number (see the instructions)
847-581-9999

G Check all that apply

☐ Initial return	☐ Initial return of a former public charity
☐ Final return	☐ Amended return
☐ Address change	☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 76,240,798.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)				
2 Ck ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	71,476.	71,476.	71,476.	
4 Dividends and interest from securities	1,528,425.	1,528,425.	1,528,425.	
5a Gross rents	290,247.	290,247.	290,247.	
b Net rental income or (loss) 202,028.				
6a Net gain/(loss) from sale of assets not on line 10	231,896.			
b Gross sales price for all assets on line 6a 16,955,167.				
7 Capital gain net income (from Part IV, line 2)		231,896.		
8 Net short-term capital gain			244,746.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
SEE STATEMENT 1	340,772.	340,772.	340,412.	
12 Total. Add lines 1 through 11	2,462,816.	2,462,816.	2,475,306.	
13 Compensation of officers, directors, trustees, etc	284,736.	91,754.		192,982.
14 Other employee salaries and wages	123,216.	17,497.		105,719.
15 Pension plans, employee benefits	413,844.	112,179.		301,665.
16a Legal fees (attach schedule) SEE ST 2	100.	35.		65.
b Accounting fees (attach sch) SEE ST 3	83,980.	68,122.		15,858.
c Other prof fees (attach sch) SEE ST 4	297,478.	297,408.		70.
17 Interest	20.			
18 Taxes (attach schedule) (see instrs) SEE STM 5	58,313.	58,313.		
19 Depreciation (attach sch) and depletion SEE STMT 6	79,944.	30,558.		
20 Occupancy	50,801.	12,780.		38,101.
21 Travel, conferences, and meetings	5,475.	1,369.		4,106.
22 Printing and publications				
23 Other expenses (attach schedule) SEE STATEMENT 7	85,650.	67,483.		18,167.
24 Total operating and administrative expenses. Add lines 13 through 23	1,483,557.	757,418.		676,733.
25 Contributions, gifts, grants paid PART XV	3,990,232.			3,990,232.
26 Total expenses and disbursements. Add lines 24 and 25	5,473,789.	757,418.	0.	4,666,965.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-3,010,973.			
b Net investment income (if negative, enter -0-)		1,705,398.		
c Adjusted net income (if negative, enter -0-)			2,475,306.	

10

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing		133,509.	453,887.	453,887.
	2	Savings and temporary cash investments		411,571.	1,082,733.	1,082,733.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) STATEMENT 8	62,006,509.	58,339,599.	63,563,935.	
	c	Investments — corporate bonds (attach schedule)				
	LIABILITIES	11	Investments — land, buildings, and equipment: basis	7,209,567.		
		Less: accumulated depreciation (attach schedule) SEE STMT 9	7,209,567.	7,209,567.	8,676,462.	
12		Investments — mortgage loans				
13		Investments — other (attach schedule) STATEMENT 10	5,332,541.	5,332,541.	1,063,308.	
14		Land, buildings, and equipment: basis	2,226,919.			
		Less: accumulated depreciation (attach schedule) SEE STMT 11	1,298,092.	928,827.	929,041.	
15		Other assets (describe SEE STATEMENT 12)	587,941.	471,432.	471,432.	
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	76,682,073.	73,818,586.	76,240,798.	
17		Accounts payable and accrued expenses				
18		Grants payable				
FUND ASSETS	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe SEE STATEMENT 13)	4,562.	3,025.		
	23	Total liabilities (add lines 17 through 22)	4,562.	3,025.		
	24	Unrestricted				
	25	Temporarily restricted				
FUND ASSETS	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. X				
	27	Capital stock, trust principal, or current funds	76,677,511.	73,815,561.		
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	76,677,511.	73,815,561.		
	31	Total liabilities and net assets/fund balances (see instructions)	76,682,073.	73,818,586.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	76,677,511.
2	Enter amount from Part I, line 27a	2	-3,010,973.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 14	3	149,023.
4	Add lines 1, 2, and 3	4	73,815,561.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	73,815,561.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 15				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	231,896.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	— [If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8]	3	244,746.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	4,562,083.	78,436,925.	0.058162
2011	3,598,486.	81,052,267.	0.044397
2010	3,859,936.	77,237,029.	0.049975
2009	3,666,247.	68,254,167.	0.053715
2008	3,344,010.	78,300,605.	0.042707

2 Total of line 1, column (d)	2	0.248956
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049791
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	77,080,273.
5 Multiply line 4 by line 3	5	3,837,904.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	17,054.
7 Add lines 5 and 6	7	3,854,958.
8 Enter qualifying distributions from Part XII, line 4	8	4,666,965.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☒ and enter 1% of Part I, line 27b		1	17,054.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	17,054.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	17,054.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	130,000.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	130,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	112,946.	
11 Enter the amount of line 10 to be. Credited to 2014 estimated tax 112,946. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>THE RICE FOUNDATION</u> Telephone no <u>847-581-9999</u> Located at <u>8600 GROSS POINT ROAD SKOKIE IL</u> ZIP + 4 <u>60077</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly).		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If 'Yes,' list the years <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

N/A

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER G. NOLAN 715 ECHO LANE GLENVIEW, IL 60025	PRESIDENT 60.00	210,936.	141,990.	0.
ROBIN G. NOLAN 1 LILAC LANE BOX 24 GOLF, IL 60029	VICE PRESIDE 40.00	73,800.	76,865.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CECILIA A. PERSSON 1709 S GRACE PARK RIDGE, IL 60068	SECRETARY 40	49,992.	58,938.	0.
DAVID S RICH 8600 GROSS POINT ROAD SKOKIE, IL 60077	GRANTS CONSUL 40	73,224.	22,207.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BURTON WEITZMAN, CPA 1000 MONROE STE 900 CHICAGO, IL 60603	ACCOUNTING	59,992.
JP MORGAN BROKERAGE SERVICES 3 1ST NATIONAL PLAZA CHICAGO, IL 60602	BROKERAGE	270,319.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NO DIRECT CHARITABLE ACTIVITY ACTIVITIES WERE LIMITED TO MAKING GRANTS	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	65,577,169.
b Average of monthly cash balances	1 b	1,380,720.
c Fair market value of all other assets (see instructions)	1 c	11,296,195.
d Total (add lines 1a, b, and c)	1 d	78,254,084.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	78,254,084.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	1,173,811.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	77,080,273.
6 Minimum investment return. Enter 5% of line 5	6	3,854,014.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	3,854,014.
2a Tax on investment income for 2013 from Part VI, line 5	2 a	17,054.
b Income tax for 2013 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	17,054.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	3,836,960.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	3,836,960.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,836,960.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	4,666,965.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,666,965.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	17,054.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,649,911.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				3,836,960.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009	230,350.			
c From 2010	66,064.			
d From 2011				
e From 2012	693,057.			
f Total of lines 3a through e	989,471.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 4,666,965.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				3,836,960.
e Remaining amount distributed out of corpus	830,005.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,819,476.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions.		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions.			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,819,476.			
10 Analysis of line 9:				
a Excess from 2009	230,350.			
b Excess from 2010	66,064.			
c Excess from 2011				
d Excess from 2012	693,057.			
e Excess from 2013	830,005.			

BAA

Form 990-PF (2013)

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- b 85%** of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d**
- Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon.

- a 'Assets' alternative test – enter

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii).

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XIV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

SEE STATEMENT 16

- b** The form in which applications should be submitted and information and materials they should include

SEE STATEMENT FOR LINE 2A

- c Any submission deadlines?**

SEE STATEMENT FOR LINE 2A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE STATEMENT FOR LINE 2A

Part XIV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE STATEMENT 17				
Total			3 a	3,990,232.
b <i>Approved for future payment</i> SEE STATEMENT 18				
Total			3 b	5,325,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					71,476.
4 Dividends and interest from securities					1,528,425.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					202,028.
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					231,896.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a SEE STATEMENT 19					340,772.
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					2,374,597.
13 Total. Add line 12, columns (b), (d), and (e)					2,374,597.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

RICE FOUNDATION

36-6043160

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
LITIGATION PROCEEDS	\$ 376.	\$ 376.	\$ 376.
MORGAN STANLEY #147341	53,856.	53,856.	53,586.
OIL ROYALTIES	310,349.	310,349.	310,349.
OTHER	46.	46.	46.
WESTMINSTER FUND VI LOSS	-10,673.	-10,673.	-10,763.
WESTMINSTER FUND VII LOSS	-13,182.	-13,182.	-13,182.
TOTAL	\$ 340,772.	\$ 340,772.	\$ 340,412.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	\$ 100.	\$ 35.		\$ 65.
TOTAL	\$ 100.	\$ 35.	\$ 0.	\$ 65.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING - AUDIT	\$ 12,100.	\$ 9,680.		\$ 2,420.
ACCOUNTING - BOOKKEEPING	11,363.	3,977.		7,386.
ACCOUNTING - BOOKKEEPING&TAX	60,517.	54,465.		6,052.
TOTAL	\$ 83,980.	\$ 68,122.	\$ 0.	\$ 15,858.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTANTS - OTHER	\$ 26,084.	\$ 26,084.		
CONSULTING - OIL AND GAS	975.	975.		
CONSUTLANTS - ADM SERVICES	70.			\$ 70.
MANAGEMENT - ADVISOR 147341	181,117.	181,117.		
MANAGEMENT - FAYEZ 147348	72,699.	72,699.		
MANAGEMENT - HEDGE 147362	2,250.	2,250.		
MANAGEMENT - WELLS 147355	14,253.	14,253.		

RICE FOUNDATION

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES - BANK ONE	\$ 30.	\$ 30.		
TOTAL	\$ 297,478.	\$ 297,408.	\$ 0.	\$ 70.

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID 147341	\$ 3,804.	\$ 3,804.		
FOREIGN TAXES PAID 147348	10,126.	10,126.		
FOREIGN TAXES PAID 147355	642.	642.		
TAXES - OIL ROYALTIES	10,530.	10,530.		
TAXES - US TREASURY - FORM 990PF	33,211.	33,211.		
TOTAL	\$ 58,313.	\$ 58,313.	\$ 0.	\$ 0.

STATEMENT 6
FORM 990-PF, PART I, LINE 19
ALLOCATED DEPRECIATION

DATE ACQUIRED	COST BASIS	PRIOR YR DEPR	METHOD	RATE	LIFE	CURRENT YR DEPR	NET INVEST INCOME	ADJUSTED NET INCOME
RICE BUILDING								
1/01/94	1,248,080	785,472	S/L	0.0318		39,627	0	0
RICE BLDG IMPROVEMENTS								
1/09/98	42,211	19,430	S/L	0.0318		1,340	0	0
ALARM SYSTEM								
1/01/10	2,716	679	S/L	0.1		272	0	0
FLUORESCENT LIGHTING FIXT								
1/01/11	11,225	1,123	S/L	0.1		1,123	0	0
HOTWATER HEATER								
1/01/11	1,908	382	S/L	0.1333		254	0	0
TRANE XR13 AIR CONDITIONE								
1/01/12	8,428	421	S/L	0.1		843	0	0
CARPETING								
1/01/07	12,993	9,530	S/L	0.1333		1,732	0	0
32" SONY LCD TV								
1/01/08	1,448	1,304	S/L	0.1		144	0	0
UNICO AIR HANDLER								
1/01/09	3,633	2,543	S/L	0.2		727	0	0
SECURITY CAMERAS								

RICE FOUNDATION

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STATEMENT 6 (CONTINUED)
FORM 990-PF, PART I, LINE 19
ALLOCATED DEPRECIATION

<u>DATE</u> <u>ACQUIRED</u>	<u>COST</u> <u>BASIS</u>	<u>PRIOR YR</u> <u>DEPR</u>	<u>METHOD</u>	<u>RATE</u>	<u>LIFE</u>	<u>CURRENT</u> <u>YR DEPR</u>	<u>NET INVEST</u> <u>INCOME</u>	<u>ADJUSTED</u> <u>NET INCOME</u>
1/01/09	1,734	1,213	S/L	0.2		347	0	0
HIGH BACK MESH CHAIR								
1/01/10	700	350	S/L	0.2		140	0	0
OVERHEAD STORAGE PLATFORM								
1/01/11	3,871	1,161	S/L	0.2		774	0	0
ON-SITE DEFIBULATOR								
8/14/12	1,095	110	S/L	0.2		219	0	0
NEW COMPUTER-PETERS								
1/01/09	1,265	886	S/L	0.2		253	0	0
NEW DVR FOR CAMERAS								
1/01/09	950	665	S/L	0.2		190	0	0
2 BACKUP DRIVES FOR COMPU								
1/01/11	761	228	S/L	0.2		152	0	0
HP WORKSTATION & ACCESS								
8/21/12	5,290	529	S/L	0.2		1,058	0	0
8236 FAIRVIEW BUILDING								
1/01/97	217,260	106,906	S/L	0.0318		6,898	6,898	0
8236 FAIRVIEW BUILDING								
1/01/98	6,435	2,961	S/L	0.0318		204	204	0
8236 FAIRVIEW BLDG IMPROV								
1/01/00	11,295	5,340	S/L	0.0364		411	411	0
8236 FAIRVIEW BLDG IMPROV								
1/01/02	1,248	476	S/L	0.0364		45	45	0
BOILER								
1/01/11	7,285	1,457	S/L	0.1333		971	971	0
HOT WATER HEATER								
6/26/12	567	38	S/L	0.1333		76	76	0
REFRIGERATOR								
6/27/12	728	49	S/L	0.1333		97	97	0
8446 FAIRVIEW BUILDING								
1/01/99	173,590	85,216	S/L	0.0364		6,312	6,312	0
8446 FAIRVIEW BUILDING								
1/01/01	690	289	S/L	0.0364		25	25	0
8446 FAIRVIEW - NEW ROOF								
1/01/03	3,527	1,219	S/L	0.0364		128	128	0
8446 FAIRVIEW WATER HEATR								
1/01/08	859	515	S/L	0.1333		115	115	0
8446 FAIRVIEW SEPTIC TANK								
1/01/09	3,500	1,633	S/L	0.1333		467	467	0
8447 FAIRVIEW NEW SIDING								
5/01/12	4,329	79	S/L	0.0364		157	157	0
8468 FAIRVIEW BUILDING								
1/01/91	90,000	61,039	S/L	0.0303		2,574	2,574	0
8468 PUMP CHECK VALVE								

RICE FOUNDATION

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STATEMENT 6 (CONTINUED)
FORM 990-PF, PART I, LINE 19
ALLOCATED DEPRECIATION

<u>DATE</u> <u>ACQUIRED</u>	<u>COST</u> <u>BASIS</u>	<u>PRIOR YR</u> <u>DEPR</u>	<u>METHOD</u>	<u>RATE</u>	<u>LIFE</u>	<u>CURRENT</u> <u>YR DEPR</u>	<u>NET INVEST</u> <u>INCOME</u>	<u>ADJUSTED</u> <u>NET INCOME</u>
1/01/04	1,345	416	S/L	0.0364		49	49	0
8468 PRESSURE TANK/PIPING								
1/01/10	2,400	218	S/L	0.0364		87	87	0
8468 NEW SIDING								
1/01/11	4,672	254	S/L	0.0364		170	170	0
8468 NEW ROOF								
1/01/11	7,091	387	S/L	0.0364		258	258	0
8468 NEW SIDING/SOFFIT/FA								
8/21/12	4,914	89	S/L	0.0364		179	179	0
CARPET AND VINYL FLOORING								
1/01/07	3,861	2,831	S/L	0.1333		515	515	0
8446 FAIRVIEW APPL REFRIG								
1/01/08	469	282	S/L	0.1333		63	63	0
CARPET AND VINYL								
1/01/08	2,593	1,555	S/L	0.1333		346	346	0
WOODFORD FARM BUILDING								
1/01/05	275,714	72,970	S/L	0.0364		10,025	10,025	0
APILAIRE HUMIDIFIER								
12/31/13	1,909		S/L	0.1		191	0	0
8236 FAIRVIEW - CARPET								
1/01/13	1,709		S/L	0.0667		114	114	0
8468 FAIRVIEW - CARPET								
5/28/13	2,173		S/L	0.0667		145	145	0
WOODFORD - A/C								
8/06/13	2,545		S/L	0.05		127	127	0

STATEMENT 7
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	<u>(A)</u> <u>EXPENSES</u> <u>PER BOOKS</u>	<u>(B) NET</u> <u>INVESTMENT</u> <u>INCOME</u>	<u>(C)</u> <u>ADJUSTED</u> <u>NET INCOME</u>	<u>(D)</u> <u>CHARITABLE</u> <u>PURPOSES</u>
DUES AND SUBSCRIPTIONS	\$ 6,614.	\$ 3,307.		\$ 3,307.
GENERAL INSURANCE	9,667.	2,417.		7,250.
OFFICE EXPENSE AND POSTAGE	11,708.	4,098.		7,610.
RENTAL EXPENSES	57,661.	57,661.		
TOTAL	<u>\$ 85,650.</u>	<u>\$ 67,483.</u>	<u>\$ 0.</u>	<u>\$ 18,167.</u>

RICE FOUNDATION

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STATEMENT 8
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
MORGAN STANLEY #147075 - AGENCY	COST	\$ 637,727.	\$ 699,637.
MORGAN STANLEY #147341 - ADVISOR	COST	39,131,849.	42,167,962.
MORGAN STANLEY #147348 - FAYEZ	COST	8,357,345.	9,359,868.
MORGAN STANLEY #147355 - WELLS	COST	1,748,662.	1,996,893.
MORGAN STANLEY #147362 - HEDGED	COST	8,464,016.	9,339,575.
	TOTAL	<u>\$ 58,339,599.</u>	<u>\$ 63,563,935.</u>

STATEMENT 9
FORM 990-PF, PART II, LINE 11
INVESTMENTS - LAND, BUILDINGS, AND EQUIPMENT

<u>CATEGORY</u>	<u>BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
LAND	\$ 7,209,567.		\$ 7,209,567.	\$ 8,676,462.
TOTAL	<u>\$ 7,209,567.</u>	<u>\$ 0.</u>	<u>\$ 7,209,567.</u>	<u>\$ 8,676,462.</u>

STATEMENT 10
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
OIL AND GAS PROPERTIES	COST	\$ 5,332,541.	\$ 1,063,308.
	TOTAL	<u>\$ 5,332,541.</u>	<u>\$ 1,063,308.</u>

STATEMENT 11
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

<u>CATEGORY</u>	<u>BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
FURNITURE AND FIXTURES	\$ 43,403.	\$ 36,505.	\$ 6,898.	\$ 6,898.
MACHINERY AND EQUIPMENT	57,022.	43,865.	13,157.	13,157.
BUILDINGS	2,081,784.	1,207,732.	874,052.	874,266.
IMPROVEMENTS	44,710.	9,990.	34,720.	34,720.
TOTAL	<u>\$ 2,226,919.</u>	<u>\$ 1,298,092.</u>	<u>\$ 928,827.</u>	<u>\$ 929,041.</u>

RICE FOUNDATION

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STATEMENT 12
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
DEPOSIT OF ESTIMATED EXCISE TAX	\$ 130,000.	\$ 130,000.
FEDERAL TAX REFUND RECEIVABLE	58,590.	58,590.
PARTNERSHIP INTEREST - WESTMINSTER VI.	81,910.	81,910.
PARTNERSHIP INTEREST - WESTMINSTER VII	200,932.	200,932.
TOTAL	\$ 471,432.	\$ 471,432.

STATEMENT 13
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

SECURITY DEPOSITS	\$ 3,025.
TOTAL	\$ 3,025.

STATEMENT 14
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

CUMULATIVE BOOK-TAX DIFFERENCES	\$ 149,023.
TOTAL	\$ 149,023.

STATEMENT 15
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	EATON VANCE	PURCHASED	3/31/2005	12/05/2013
2	PIONEER FLOATING	PURCHASED	5/31/2007	12/05/2013
3	NUVEEN TRADEWINDS	PURCHASED	12/28/2012	3/12/2013
4	FRANKLIN GLD	PURCHASED	12/03/2012	12/04/2013
5	FRANKLIN GLD	PURCHASED	VARIOUS	12/04/2013
6	NUVEEN TOTAL	PURCHASED	3/17/2010	12/04/2013
7	NUVEEN TRADEWINDS	PURCHASED	VARIOUS	3/12/2013
8	OPPENHEIMER GOLD	PURCHASED	12/21/2011	12/04/2013
9	MORGAN STANLEY #147348 -SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
10	MORGAN STANLEY #147348 -SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
11	MORGAN STANLEY #147348 -SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
12	MORGAN STANLEY #147355 - SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
13	MORGAN STANLEY #147355 - SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
14	MORGAN STANLEY #147355 - SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
15	MORGAN STANLEY #147355 - SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
16	MORGAN STANLEY #147355 - WASH SALE	PURCHASED	VARIOUS	VARIOUS
17	HF PAULSON	PURCHASED	VARIOUS	VARIOUS
18	CAPITAL GAIN DIVIDENDS			

RICE FOUNDATION

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STATEMENT 15 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	25,000.		25,000.	0.				\$ 0.
2	25,000.		25,000.	0.				0.
3	55,353.		51,233.	4,120.				4,120.
4	9,771.		19,264.	-9,493.				-9,493.
5	830,949.		1833963.	-1003014.				-1003014.
6	2000000.		1965903.	34,097.				34,097.
7	1340750.		1209993.	130,757.				130,757.
8	906,245.		1850388.	-944,143.				-944,143.
9	912,715.		833,747.	78,968.				78,968.
10	259,987.		205,026.	54,961.				54,961.
11	6727498.		4667717.	2059781.				2059781.
12	2017301.		1864434.	152,867.				152,867.
13	99,279.		93,127.	6,152.				6,152.
14	172,756.		128,974.	43,782.				43,782.
15	775,807.		488,502.	287,305.				287,305.
16	2,639.		0.	2,639.				2,639.
17	635,372.		1461000.	-825,628.				-825,628.
18								158,745.
							TOTAL	\$ 231,896.

STATEMENT 16
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

RICE FOUNDATION

CARE OF:

MR. PETER NOLAN

STREET ADDRESS:

8600 GROSS POINT ROAD

CITY, STATE, ZIP CODE:

SKOKIE, IL 60077

TELEPHONE:

847-581-9999

E-MAIL ADDRESS:

FORM AND CONTENT:

APPLICATIONS SUBMITTED SHOULD INCLUDE A STATEMENT DESCRIBING THE APPLICANT AND ITS ACTIVITIES, THE PARTICULAR PURPOSE OF THE GRANT REQUESTED, THE AMOUNT OF THE GRANT BEING REQUESTED AND A COPY OF THE LETTER ISSUED TO THE APPLICANT BY THE INTERNAL REVENUE SERVICE DETERMINING THAT THE APPLICANT IS EXEMPT FROM INCOME TAX PURSUANT TO THE PROVISIONS OF SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE (THE CODE).

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

THE FOUNDATION DOES NOT AWARD ANY GRANTS TO INDIVIDUALS, BUT LIMITS ITS GRANTS TO ORGANIZATIONS DESCRIBED IN SECTION 501(C)(3) OF THE CODE, AND IN GENERAL TO ORGANIZATIONS WHICH ARE CLASSIFIED AS NON-PRIVATE FOUNDATIONS.

RICE FOUNDATION

36-6043160

STATEMENT 17
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
ACCESS (COMMUNITY HEALTH NETWORK) 600 WEST FULTON STREET, 2ND FLOOR CHICAGO, IL 60661	NONE	EXEMPT	CHARITABLE	\$ 5,000.
ACHIEVEMENT REWARDS FOR COLLEGE SCIENTIS P.O. BOX 101067 CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	10,000.
ADVOCATE ILLINOIS MASONIC MEDICAL CENTER 836 WEST WELLINGTON CHICAGO, IL 60603	NONE	EXEMPT	CHARITABLE	10,000.
AMERICAN DIABETES ASSOCIATION 55 EAST MONROE STREET, SUITE 3420 CHICAGO, IL 60603	NONE	EXEMPT	CHARITABLE	5,000.
ARK 6450 NORTH CALIFORNIA AVENUE CHICAGO, IL 60645	NONE	EXEMPT	CHARITABLE	5,000.
AVENUES TO INDEPENDENCE 515 BUSSE HIGHWAY PARK RIDGE, IL 60068	NONE	EXEMPT	CHARITABLE	10,000.
BETWEEN FRIENDS P.O. BOX 608548 CHICAGO, IL 60660	NONE	EXEMPT	CHARITABLE	5,000.
BIG SHOULDERS FUND 212 WEST VAN BUREN STREET, SUITE 90 CHICAGO, IL 60607	NONE	EXEMPT	CHARITABLE	30,000.
BLUE CAP 2155 BROADWAY BLUE ISLAND, IL 60406	NONE	EXEMPT	CHARITABLE	5,000.
BOYS AND GIRLS CLUBS OF CHICAGO 550 WEST VAN BUREN STREET SUITE 350 CHICAGO, IL 60607	NONE	EXEMPT	CHARITABLE	2,500.

RICE FOUNDATION

36-6043160

STATEMENT 17 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BREAKTHROUGH URBAN MINISTRIES 402 NORTH ST. LOUIS AVENUE CHICAGO, IL 60624	NONE	EXEMPT	CHARITABLE	\$ 5,000.
BY THE HAND CLUB FOR KIDS (FORMERLY KIDS 1000 NORTH SEDGWICK CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	225,000.
CANINE THERAPY CORPS 1700 W. IRVING PARK ROAD, SUITE 311 CHICAGO, IL 60613	NONE	EXEMPT	CHARITABLE	15,000.
CAREER TRANSITIONS CENTER OF CHICAGO 703 WEST MONROE STREET CHICAGO, IL 60661	NONE	EXEMPT	CHARITABLE	10,000.
CENTER FOR AMERICAN ARCHEOLOGY P.O. BOX 366 KAMPSVILLE, IL 62053	NONE	EXEMPT	CHARITABLE	3,000.
CENTER OF CONCERN 1580 N. NORTHWEST HIGHWAY, SUITE 310 PARK RIDGE, IL 60068	NONE	EXEMPT	CHARITABLE	25,000.
CHICAGO BOTANIC GARDEN 1000 LAKE COOK ROAD GLENCOE, IL 60022	NONE	EXEMPT	CHARITABLE	200.
CHICAGO CHAMBER MUSICIANS 180 NORTH STETSON AVENUE, SUITE 1330 CHICAGO, IL 60601	NONE	EXEMPT	CHARITABLE	30,000.
CHICAGO CHILDREN'S ADVOCACY CENTER 1240 SOUTH DAMEN AVENUE CHICAGO, IL 60608	NONE	EXEMPT	CHARITABLE	5,000.
CHICAGO HOUSE 1925 NORTH CLYBOURN CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	5,000.

RICE FOUNDATION

36-6043160

STATEMENT 17 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
CHICAGO PUBLIC LIBRARY FOUNDATION 20 NORTH MICHIGAN AVENUE, SUITE 520 CHICAGO, IL 60602	NONE	EXEMPT	CHARITABLE	\$ 25,000.
CHICAGO YOUTH SYMPHONY ORCHESTRA 410 SOUTH MICHIGAN, SUITE 833 CHICAGO, IL 60605	NONE	EXEMPT	CHARITABLE	5,000.
CHILDREN'S HOME & AID SOCIETY 125 SOUTH WACKER DRIVE, 14TH FLOOR CHICAGO, IL 60606	NONE	EXEMPT	CHARITABLE	50,000.
CHILDREN'S ONCOLOGY SERVICES, INC. 213 WEST INSTITUTE PLACE, SUITE 511 CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	10,000.
CHILDSERV 8765 WEST HIGGINS ROAD, SUITE 450 CHICAGO, IL 60631	NONE	EXEMPT	CHARITABLE	15,000.
CONNECTIONS FOR THE HOMELESS 2121 DEWEY AVENUE EVANSTON, IL 60201	NONE	EXEMPT	CHARITABLE	10,000.
CORNERSTONE ACADEMY 1111 NORTH WELLS STREET, SUITE 403 CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	4,000.
COURT APPOINTED SPECIAL ADVOCATE PROGRAM 1100 SOUTH HAMILTON AVENUE, 8-W CHICAGO, IL 60612	NONE	EXEMPT	CHARITABLE	15,000.
CRISIS CENTER FOR SOUTH SUBURBIA P.O. BOX 39 TINLEY PARK, IL 60477	NONE	EXEMPT	CHARITABLE	5,000.

RICE FOUNDATION

36-6043160

STATEMENT 17 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
DENTAL LIFELINE NETWORK - ILLINOIS P.O. BOX 211 NORTHBROOK,, IL 60065	NONE	EXEMPT	CHARITABLE	\$ 10,000.
DOUGLAS CENTER, THE 3445 HOWARD STREET SKOKIE, IL 60076	NONE	EXEMPT	CHARITABLE	58,552.
DREAMS FOR KIDS 155 NORTH MICHIGAN AVENUE, SUITE 700 CHICAGO, IL 60601	NONE	EXEMPT	CHARITABLE	25,000.
EPILEPSY SERVICES FOR NORTHEASTERN ILLIN 1698 FIRST STREET HIGHLAND PARK, IL 60035	NONE	EXEMPT	CHARITABLE	3,500.
EQUESTRIAN CONNECTION 872 SOUTH MILWAUKEE AVENUE BOX 273 LIBERTYVILLE, IL 60048	NONE	EXEMPT	CHARITABLE	30,000.
EXECUTIVE SERVICE CORPS 25 EAST WASHINGTON ST, SUITE 1500 CHICAGO, IL 60602	NONE	EXEMPT	CHARITABLE	5,000.
FACETS MULTIMEDIA, INC. 1517 WEST FULLERTON AVENUE CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	6,000.
FRANCISCAN OUTREACH ASSOCIATION 1645 WEST LEMOYNE STREET CHICAGO, IL 60622	NONE	EXEMPT	CHARITABLE	5,000.
GIGI'S PLAYHOUSE 1069 WEST GOLF ROAD HOFFMAN ESTATES, IL 60169	NONE	EXEMPT	CHARITABLE	250,000.
GLEN VIEW CLUB SCHOLARSHIP FOUNDATION 100 GOLF ROAD GOLF, IL 60029	NONE	EXEMPT	CHARITABLE	15,000.
HARRIS THEATER FOR MUSIC AND DANCE 205 EAST RANDOLPH DRIVE CHICAGO, IL 60601	NONE	EXEMPT	CHARITABLE	10,000.

RICE FOUNDATION

36-6043160

STATEMENT 17 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
HEPHZIBAH CHILDREN'S ASSOCIATION 1144 LAKE STREET, 5TH FLOOR OAK PARK, IL 60301	NONE	EXEMPT	CHARITABLE	\$ 5,000.
HOUSING OPPORTUNITIES & MAINT. ELDERLY 1419 WEST CARROLL AVENUE, FLOOR 2 CHICAGO, IL 60607	NONE	EXEMPT	CHARITABLE	7,500.
ILLINOIS CONSERVATION FOUNDATION ONE NATURAL RESOURCES WAY SPRINGFIELD, IL 62702	NONE	EXEMPT	CHARITABLE	150,000.
ILLINOIS INSTITUTE OF TECHNOLOGY 201 EAST LOOP ROAD WHEATON, IL 60789	NONE	EXEMPT	CHARITABLE	2,500.
INFANT WELFARE SOCIETY OF CHICAGO 3600 WEST FULLERTON AVENUE CHICAGO, IL 60647	NONE	EXEMPT	CHARITABLE	5,000.
INTERFAITH HOUSE 3456 WEST FRANKLIN BOULEVARD CHICAGO, IL 60624	NONE	EXEMPT	CHARITABLE	5,000.
JEWISH COUNCIL FOR YOUTH SERVICES 180 WEST WASHINGTON ST., SUITE 1100 CHICAGO, IL 60602	NONE	EXEMPT	CHARITABLE	4,000.
LAKEVIEW PANTRY 3831 NORTH BROADWAY CHICAGO, IL 60613	NONE	EXEMPT	CHARITABLE	40,380.
LAMBS FARM 14245 WEST ROCKLAND ROAD LIBERTYVILLE, IL 60048	NONE	EXEMPT	CHARITABLE	5,500.
LIFE SPAN 70 EAST LAKE STREET, SUITE 700 CHICAGO, IL 60601	NONE	EXEMPT	CHARITABLE	5,000.

RICE FOUNDATION

36-6043160

STATEMENT 17 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
LINCOLN PARK ZOO P.O. BOX 14903 CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	\$ 50,000.
LITTLE SISTERS OF THE POOR - ST. MARY'S 2325 NORTH LAKEWOOD AVENUE CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	10,000.
LUTHERAN SOCIAL SERVICES OF ILLINOIS 1001 EAST TOUHY AVENUE, SUITE 50 DES PLAINES, IL 60018	NONE	EXEMPT	CHARITABLE	25,000.
MAINE NILES ASSOC. OF SPECIAL RECREATION 6820 WEST DEMPSTER STREET MORTON GROVE, IL 60053	NONE	EXEMPT	CHARITABLE	10,000.
MAKE-A-WISH FOUNDATION 640 NORTH LASALLE DRIVE, SUITE 280 CHICAGO, IL 60654	NONE	EXEMPT	CHARITABLE	5,000.
MARINE CORPS SCHOLARSHIP FOUNDATION 909 N. WASHINGTON STREET, SUITE 400 ALEXANDRIA, VA 22314	NONE	EXEMPT	CHARITABLE	5,000.
MAX MCGRAW WILDLIFE FOUNDATION P.O. BOX 9 DUNDEE, IL 60118	NONE	EXEMPT	CHARITABLE	150,000.
MCGAW YMCA 1000 GROVE STREET EVANSTON, IL 60201	NONE	EXEMPT	CHARITABLE	75,000.
METROSQUASH 5655 SOUTH UNIVERSITY AVENUE CHICAGO, IL 60637	NONE	EXEMPT	CHARITABLE	5,000.
MIDTOWN EDUCATIONAL FOUNDATION 718 SOUTH LOOMIS STREET CHICAGO, IL 60607	NONE	EXEMPT	CHARITABLE	20,000.

RICE FOUNDATION

36-6043160

STATEMENT 17 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MIDWEST PALLIATIVE & HOSPICE CARECENTER 2050 CLAIRE COURT GLENVIEW, IL 60025	NONE	EXEMPT	CHARITABLE	\$ 15,000.
NEW FOUNDATION CENTER 444 WEST FRONTAGE ROAD NORTHFIELD, IL 60093	NONE	EXEMPT	CHARITABLE	50,000.
NIGHT MINISTRY 4711 NORTH RAVENSWOOD AVENUE CHICAGO, IL 60640	NONE	EXEMPT	CHARITABLE	7,500.
NORTH SHORE CENTER FOR THE PERFORMING AR 9501 SKOKIE BOULEVARD SKOKIE, IL 60077	NONE	EXEMPT	CHARITABLE	53,000.
NORTHEASTERN IL PUBLIC SAFETY TRAINING 2300 PATRIOT BOULEVARD GLENVIEW, IL 60026	NONE	EXEMPT	CHARITABLE	50,000.
NORTHSHORE UNIVERSITY HEALTHSYSTEM 1033 UNIVERSITY PLACE, SUITE 450 EVANSTON, IL 60201	NONE	EXEMPT	CHARITABLE	75,000.
NORTHWESTERN UNIVERSITY 2205 TECH DRIVE EVANSTON, IL 60208	NONE	EXEMPT	CHARITABLE	62,500.
ONWARD NEIGHBORHOOD HOUSE 600 NORTH LEAVITT STREET CHICAGO, IL 60612	NONE	EXEMPT	CHARITABLE	5,000.
OPERATION NORTH POLE, INC. 50 WEST OAKTON STREET DES PLAINES, IL 60018	NONE	EXEMPT	CHARITABLE	75,000.
ORCHARD VILLAGE 7670 MARMORA AVENUE SKOKIE, IL 60077	NONE	EXEMPT	CHARITABLE	20,000.
PACIFIC GARDEN MISSION P.O. BOX 317 WHEATON, IL 60187	NONE	EXEMPT	CHARITABLE	10,000.

RICE FOUNDATION

36-6043160

STATEMENT 17 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
PAWS CHICAGO 1997 NORTH CLYBOURN AVENUE CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	\$ 200,500.
PRESENCE HEALTH (FORMERLY RESURRECTION D 2900 NORTH LAKE SHORE DRIVE CHICAGO, IL 60657	NONE	EXEMPT	CHARITABLE	15,000.
PROJECT EDUCATION PLUS 910 NORTH CAMBRIDGE CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	10,000.
PROVIDENCE-ST. MEL 119 SOUTH CENTRAL PARK BOULEVARD CHICAGO, IL 60624	NONE	EXEMPT	CHARITABLE	10,000.
RAINBOWS 1360 HAMILTON PARKWAY ITASCA, IL 60143	NONE	EXEMPT	CHARITABLE	13,000.
RAVINIA 418 SHERIDAN ROAD HIGHLAND PARK, IL 60035	NONE	EXEMPT	CHARITABLE	35,000.
REHABILITATION INSTITUTE OF CHICAGO 345 EAST SUPERIOR STREET CHICAGO, IL 60611	NONE	EXEMPT	CHARITABLE	1,000,000.
RUSH UNIVERSITY MEDICAL CENTER 1700 WEST VAN BUREN, SUITE 250 CHICAGO, IL 60611	NONE	EXEMPT	CHARITABLE	75,000.
SAFER FOUNDATION 571 WEST JACKSON BOULEVARD CHICAGO, IL 60661	NONE	EXEMPT	CHARITABLE	5,000.
SAN MIGUEL SCHOOL 1954 WEST 48TH STREET CHICAGO, IL 60609	NONE	EXEMPT	CHARITABLE	10,000.
SKOKIE VALLEY ORCHESTRAL ASSOCIATION 9501 SKOKIE BOULEVARD SKOKIE , IL 60077	NONE	EXEMPT	CHARITABLE	15,000.

RICE FOUNDATION

36-6043160

STATEMENT 17 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
SNOW CITY ARTS FOUNDATION 630 SOUTH HERMITAGE, SUITE 103K CHICAGO, IL 60612	NONE	EXEMPT	CHARITABLE	\$ 10,000.
SOMMER FOUNDATION 3550 LAKE STREET EVANSTON, IL 60203	NONE	EXEMPT	CHARITABLE	10,000.
SOUTHWEST CHICAGO P.A.D.S. 3121 W. 71ST ST. PO BOX 29453 CHICAGO, IL 60629	NONE	EXEMPT	CHARITABLE	10,000.
SPECIAL OLYMPICS ILLINOIS 800 ROOSEVELT RD., BLDG. B, SUITE 200 GLEN ELLYN, IL 60137	NONE	EXEMPT	CHARITABLE	5,000.
ST. FRANCIS DE SALES CATHOLIC PARISH 135 SOUTH BUESCHING LAKE ZURICH, IL 60047	NONE	EXEMPT	CHARITABLE	200,000.
ST. VINCENT DE PAUL CENTER 2145 NORTH HALSTED STREET CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	5,000.
STARLIGHT CHILDREN'S FOUNDATION 30 EAST ADAMS, SUITE 1020 CHICAGO, IL 60603	NONE	EXEMPT	CHARITABLE	5,000.
STEPPENWOLF THEATER COMPANY 758 WEST NORTH AVENUE CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	5,000.
SUPPLIES FOR DREAMS P.O. BOX 8130 EVANSTON, IL 60204	NONE	EXEMPT	CHARITABLE	5,000.
THE NATURE CONSERVANCY IN ILLINOIS 8 SOUTH MICHIGAN AVENUE, SUITE 900 CHICAGO, IL 60603	NONE	EXEMPT	CHARITABLE	250,000.
THE WETLANDS INITIATIVE 53 WEST JACKSON BLVD, SUITE 1015 CHICAGO, IL 60604	NONE	EXEMPT	CHARITABLE	40,000.

RICE FOUNDATION

36-6043160

STATEMENT 17 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THRESHOLDS 4101 NORTH RAVENSWOOD AVENUE CHICAGO, IL 60613	NONE	EXEMPT	CHARITABLE	\$ 20,000.
TOUCHED BY AN ANIMAL 2131 WEST BERWYN, P.O. BOX 59067 CHICAGO, IL 60659	NONE	EXEMPT	CHARITABLE	10,100.
TUESDAY'S CHILD C/O GORDON TECH HS 3633 NORTH CALIFORNIA AVENUE CHICAGO, IL 60618	NONE	EXEMPT	CHARITABLE	5,000.
TUTORING CHICAGO 2145 NORTH HALSTED CHICAGO, IL 60614	NONE	EXEMPT	CHARITABLE	5,000.
UNION LEAGUE BOYS AND GIRLS CLUBS 65 WEST JACKSON BOULEVARD CHICAGO, IL 60604	NONE	EXEMPT	CHARITABLE	6,000.
WILLOW HOUSE 300 SAUNDERS ROAD, SUITE 300 RIVERWOODS, IL 60015	NONE	EXEMPT	CHARITABLE	25,000.
YOUNG WOMEN'S LEADERSHIP CHARTER SCHOOL 2641 SOUTH CALUMET AVENUE CHICAGO, IL 60616	NONE	EXEMPT	CHARITABLE	5,000.
YOUTH JOB CENTER OF EVANSTON, INC. 1114 CHURCH STREET EVANSTON, IL 60201	NONE	EXEMPT	CHARITABLE	5,000.

TOTAL \$ 3,990,232.

RICE FOUNDATION

36-6043160

STATEMENT 18
FORM 990-PF, PART XV, LINE 3B
RECIPIENT APPROVED FOR FUTURE PAYMENT

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BY THE HAND CLUB 1000 NORTH SEDGWICK CHICAGO, IL 60610	NONE	EXEMPT	CHARITABLE	\$ 450,000.
NORTHWESTERN UNIVERSITY 2205 TECH DRIVE EVANSTON, IL 60208	NONE	EXEMPT	CHARITABLE	125,000.
REHABILITATION INSTITUTE OF CHICAGO 345 EAST SUPERIOR STREET CHICAGO, IL 60611	NONE	EXEMPT	CHARITABLE	4,000,000.
THE NATURE CONSERVANCY IN ILLINOIS 8 SOUTH MICHIGAN AVENUE, SUITE 900 CHICAGO, IL 60603	NONE	EXEMPT	CHARITABLE	750,000.
TOTAL				\$ 5,325,000.

STATEMENT 19
FORM 990-PF, PART XVI-A, LINE 11
OTHER REVENUE

OTHER REVENUE	(A) BUSI- NESS CODE	(B) UNRELATED BUSINESS AMOUNT	(C) EXCLU- SION CODE	(D) EXCLUDED AMOUNT	(E) RELATED OR EXEMPT FUNCTION
HF CHILTON GLN RES LTD			1		\$ 376.
LITIGATION PROCEEDS			14		53,856.
MORGAN STANLEY #147341			15		310,349.
OIL ROYALTIES			1		46.
OTHER			14		-10,673.
WESTMINSTER FUND VI LOSS			14		-13,182.
WESTMINSTER FUND VII LOSS			14		
TOTAL		\$ 0.		\$ 0.	\$ 340,772.

RICE FOUNDATION

36-6043160

PART XV - SUPPLEMENTARY INFORMATION
GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

BY THE HAND CLUB -	\$450,000
NORTHWESTERN UNIVERSITY -	\$125,000
REHABILITATION INSTITUTE OF CHICAGO -	\$4,000,000
THE NATURE CONSERVANCY -	\$750,000
TOTAL	\$5,325,000

RICE FOUNDATION
EIN 36-6043160
2013 FORM 990-PF
8600 GROSS POINT ROAD
SKOKIE, IL 60077-2151

PART IV, PAGE 3
CAPITAL GAINS – SEE ATTACHED

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ABBOTT LABORATORIES	CUSIP: 002824100	Symbol (Box 1d): ABT						
	438.000	05/30/13	11/21/13	\$16,751.98	\$16,492.98	\$0.00	\$259.00	\$0.00
ASML HOLDING NV NY REG NEW	CUSIP: N07059210	Symbol (Box 1d): ASML						
	535.000	11/14/13	11/21/13	\$48,065.59	\$47,456.48	\$0.00	\$609.11	\$0.00
CDN PACIFIC RY LTD NEW	CUSIP: 13645T100	Symbol (Box 1d): CP						
	178.000	02/14/13	11/21/13	\$26,266.56	\$21,093.02	\$0.00	\$5,173.54	\$0.00
	353.000	02/26/13	11/21/13	\$52,090.42	\$41,439.20	\$0.00	\$10,651.22	\$0.00
	173.000	07/15/13	11/21/13	\$25,528.73	\$22,158.30	\$0.00	\$3,372.43	\$0.00
----- Security Subtotal	704.000			\$103,885.71	\$84,688.52	\$0.00	\$19,197.19	\$0.00
COMCAST CORP (NEW) CLASS A	CUSIP: 20030N101	Symbol (Box 1d): CMCSA						
	1,572.000	02/14/13	11/21/13	\$74,157.65	\$63,096.31	\$0.00	\$11,061.34	\$0.00
	424.000	07/15/13	11/21/13	\$20,001.81	\$18,834.80	\$0.00	\$1,167.01	\$0.00
----- Security Subtotal	1,996.000			\$94,159.46	\$81,931.11	\$0.00	\$12,228.35	\$0.00
CONOCOPHILLIPS	CUSIP: 20825C104	Symbol (Box 1d): COP						
	490.000	01/09/13	11/21/13	\$35,962.54	\$28,611.64	\$0.00	\$7,350.90	\$0.00
EOG RESOURCES INC	CUSIP: 28875P101	Symbol (Box 1d): EOG						
	308.000	07/15/13	11/21/13	\$52,103.45	\$44,527.68	\$0.00	\$7,575.77	\$0.00
EXXON MOBIL CORP	CUSIP: 30231G102	Symbol (Box 1d): XOM						
	624.000	01/09/13	11/21/13	\$59,302.68	\$54,942.08	\$0.00	\$4,360.60	\$0.00
FRANKLIN RESOURCES INC	CUSIP: 354613101	Symbol (Box 1d): BEN						
	1,155.000	07/15/13	11/21/13	\$62,707.56	\$55,303.59	\$0.00	\$7,403.97	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

RICE FOUNDATION

ATTN: PETER NOLAN

FAYEZ LARGE CAP CORE

8600 GROSS POINT ROAD

SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160

Account Number: 559 147348 055

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
FREEPORT MCMORAN CP&GLD	CUSIP: 35671D857	Symbol (Box 1d): FCX						
	1,565,000	01/09/13	11/21/13	\$56,624.63	\$54,835.10	\$0.00	\$1,789.53	\$0.00
GENERAL ELECTRIC CO	CUSIP: 369604103	Symbol (Box 1d): GE						
	1,046,000	01/09/13	11/21/13	\$28,126.34	\$22,018.30	\$0.00	\$6,108.04	\$0.00
NOVARTIS AG ADR	CUSIP: 66987V109	Symbol (Box 1d): NVS						
	611,000	05/29/13	11/21/13	\$48,314.96	\$44,374.73	\$0.00	\$3,940.23	\$0.00
	593,000	06/18/13	11/21/13	\$46,891.61	\$43,413.95	\$0.00	\$3,477.66	\$0.00
Security Subtotal	1,204,000			\$95,206.57	\$87,788.68	\$0.00	\$7,417.89	\$0.00
ORACLE CORP	CUSIP: 68389X105	Symbol (Box 1d): ORCL						
	750,000	07/15/13	11/21/13	\$26,095.72	\$23,592.23	\$0.00	\$2,503.49	\$0.00
STATE STREET CORP	CUSIP: 857477103	Symbol (Box 1d): STT						
	662,000	11/14/13	11/21/13	\$47,466.42	\$46,771.29	\$0.00	\$695.13	\$0.00
YUM BRANDS INC	CUSIP: 988498101	Symbol (Box 1d): YUM						
	2,500,000	11/21/13	12/09/13	\$186,256.50	\$184,787.50	\$0.00	\$1,469.00	\$0.00
Total Short Term Covered Securities				\$912,715.15	\$833,747.18	\$0.00	\$78,967.97	\$0.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERICAN EXPRESS CO	CUSIP: 025816109	11/08/12	11/21/13	\$58,005.93	\$38,970.99	\$0.00	\$19,034.94	\$0.00
DIAGEO PLC SPON ADR NEW	CUSIP: 25243Q205	11/09/12	11/21/13	\$88,403.85	\$78,987.35	\$0.00	\$9,416.50	\$0.00
ORACLE CORP	CUSIP: 68389X105	05/15/12	11/21/13	\$73,241.99	\$56,826.37	\$0.00	\$16,415.62	\$0.00
XILINX INC	CUSIP: 983919101	05/15/12	11/21/13	\$40,335.11	\$30,241.58	\$0.00	\$10,093.53	\$0.00
Total Long Term Covered Securities				\$259,986.88	\$205,026.29	\$0.00	\$54,960.59	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 002824100 Symbol (Box 1d): ABT								
ABBOTT LABORATORIES	673.000	02/14/06	11/21/13	\$25,739.92	\$14,115.95	\$0.00	\$11,623.97	\$0.00
	353.000	05/14/10	11/21/13	\$13,501.03	\$8,185.36	\$0.00	\$5,315.67	\$0.00
	1,101.000	05/17/10	11/21/13	\$42,109.43	\$25,463.91	\$0.00	\$16,645.52	\$0.00
	354.000	02/03/11	11/21/13	\$13,539.27	\$7,829.06	\$0.00	\$5,710.21	\$0.00
	621.000	02/11/11	11/21/13	\$23,751.10	\$13,584.77	\$0.00	\$10,166.33	\$0.00
Security Subtotal	3,102.000			\$118,640.75	\$69,179.05	\$0.00	\$49,461.70	\$0.00
CUSIP: 009158106 Symbol (Box 1d): APD								
AIR PROD & CHEM INC	186.000	10/21/10	11/21/13	\$20,370.07	\$15,692.50	\$0.00	\$4,677.57	\$0.00
	195.000	02/11/11	11/21/13	\$21,355.71	\$17,328.09	\$0.00	\$4,027.62	\$0.00
	393.000	05/31/11	11/21/13	\$43,039.98	\$37,006.88	\$0.00	\$6,033.10	\$0.00
Security Subtotal	774.000			\$84,765.76	\$70,027.47	\$0.00	\$14,738.29	\$0.00
CUSIP: 02209S103 Symbol (Box 1d): MO								
ALTRIA GROUP INC	167.000	10/27/03	05/29/13	\$6,098.13	\$1,768.92	\$0.00	\$4,329.21	\$0.00
	142.000	11/25/03	05/29/13	\$5,185.24	\$1,677.94	\$0.00	\$3,507.30	\$0.00
	143.000	12/26/03	05/29/13	\$5,221.75	\$1,785.42	\$0.00	\$3,436.33	\$0.00
	157.000	01/23/04	05/29/13	\$5,732.97	\$1,989.40	\$0.00	\$3,743.57	\$0.00
	125.000	02/26/04	05/29/13	\$4,564.47	\$1,650.70	\$0.00	\$2,913.77	\$0.00
	30.000	12/20/04	05/29/13	\$1,095.48	\$418.40	\$0.00	\$677.08	\$0.00
	64.000	12/20/04	11/21/13	\$2,371.56	\$892.58	\$0.00	\$1,478.98	\$0.00
	268.000	03/02/05	11/21/13	\$9,930.89	\$4,093.15	\$0.00	\$5,837.74	\$0.00
	841.000	02/14/06	11/21/13	\$31,163.72	\$14,128.01	\$0.00	\$17,035.71	\$0.00
	425.000	05/27/08	11/21/13	\$15,748.61	\$9,552.17	\$0.00	\$6,196.44	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055
Customer Service: 866-324-6088

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALTRIA GROUP INC	467.000	06/04/09	11/21/13	\$17,304.94	\$7,909.11	\$0.00	\$9,395.83	\$0.00
	2,779.000	02/11/11	11/21/13	\$102,977.38	\$67,524.14	\$0.00	\$35,453.24	\$0.00
Security Subtotal	5,608.000			\$207,395.14	\$113,389.94	\$0.00	\$94,005.20	\$0.00
AMERICAN EXPRESS CO								
	362.000	02/14/06	11/21/13	\$30,169.75	\$19,439.40	\$0.00	\$10,730.35	\$0.00
	368.000	02/11/11	11/21/13	\$30,669.80	\$17,192.96	\$0.00	\$13,476.84	\$0.00
Security Subtotal	730.000			\$60,839.55	\$36,632.36	\$0.00	\$24,207.19	\$0.00
APACHE CORP								
	596.000	07/08/11	02/14/13	\$49,253.94	\$74,488.08	\$0.00	(\$25,234.14)	\$0.00
APPLE INC								
	180.000	07/23/07	11/21/13	\$92,834.73	\$25,887.73	\$0.00	\$66,947.00	\$0.00
	125.000	02/04/08	11/21/13	\$64,468.57	\$16,463.26	\$0.00	\$48,005.31	\$0.00
	79.000	10/28/08	11/21/13	\$40,744.13	\$7,513.91	\$0.00	\$33,230.22	\$0.00
	16.000	06/02/09	11/21/13	\$8,251.98	\$2,238.24	\$0.00	\$6,013.74	\$0.00
Security Subtotal	400.000			\$206,299.41	\$52,103.14	\$0.00	\$154,196.27	\$0.00
ARCOS DORADOS HLDGS INC CL-A								
	1,640.000	06/02/11	05/29/13	\$23,918.49	\$36,003.08	\$0.00	(\$12,084.59)	\$0.00
AUTOMATIC DATA PROCESSING INC								
	262.000	10/17/05	11/21/13	\$20,875.80	\$10,129.24	\$0.00	\$10,746.56	\$0.00
	220.000	02/14/06	11/21/13	\$17,529.29	\$9,014.21	\$0.00	\$8,515.08	\$0.00
	188.000	10/17/06	11/21/13	\$14,979.58	\$7,971.37	\$0.00	\$7,008.21	\$0.00
	698.000	02/11/11	11/21/13	\$55,615.67	\$34,634.06	\$0.00	\$20,981.61	\$0.00
Security Subtotal	1,368.000			\$109,000.34	\$61,748.88	\$0.00	\$47,251.46	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorRICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CATERPILLAR INC								
	288.000	07/11/06	11/14/13	\$24,119.06	\$21,055.68	\$0.00	\$3,063.38	\$0.00
CHEVRON CORP								
	87.000	11/01/04	11/21/13	\$10,738.98	\$4,617.96	\$0.00	\$6,121.02	\$0.00
	187.000	03/02/05	11/21/13	\$23,082.63	\$11,513.59	\$0.00	\$11,569.04	\$0.00
	301.000	02/14/06	11/21/13	\$37,154.40	\$16,831.92	\$0.00	\$20,322.48	\$0.00
Security Subtotal	575.000			\$70,976.01	\$32,963.47	\$0.00	\$38,012.54	\$0.00
COCA COLA CO								
	196.000	10/27/03	11/21/13	\$7,899.19	\$4,443.32	\$0.00	\$3,455.87	\$0.00
	338.000	11/25/03	11/21/13	\$13,622.08	\$7,883.85	\$0.00	\$5,738.23	\$0.00
	296.000	12/26/03	11/21/13	\$11,929.39	\$7,400.00	\$0.00	\$4,529.39	\$0.00
	366.000	01/23/04	11/21/13	\$14,750.53	\$9,109.74	\$0.00	\$5,640.79	\$0.00
	340.000	02/26/04	11/21/13	\$13,702.68	\$8,430.30	\$0.00	\$5,272.38	\$0.00
	139.000	03/02/05	11/21/13	\$5,601.98	\$2,992.67	\$0.00	\$2,609.31	\$0.00
	2,000.000	09/13/99	11/21/13	\$80,603.99	\$55,000.00	\$0.00	\$25,603.99	\$0.00
Security Subtotal	3,675.000			\$148,109.84	\$95,259.88	\$0.00	\$52,849.96	\$0.00
CONOCOPHILLIPS								
	70.000	06/07/04	11/21/13	\$5,137.50	\$2,033.87	\$0.00	\$3,103.63	\$0.00
	78.000	11/01/04	11/21/13	\$5,724.65	\$2,518.25	\$0.00	\$3,206.40	\$0.00
	128.000	12/20/04	11/21/13	\$9,394.29	\$4,344.67	\$0.00	\$5,049.62	\$0.00
	80.000	03/02/05	11/21/13	\$5,871.43	\$3,370.99	\$0.00	\$2,500.44	\$0.00
	140.000	04/21/05	11/21/13	\$10,275.01	\$5,571.14	\$0.00	\$4,703.87	\$0.00
	8.000	08/31/05	11/21/13	\$587.14	\$403.27	\$0.00	\$183.87	\$0.00
	758.000	02/14/06	11/21/13	\$55,631.83	\$34,178.18	\$0.00	\$21,453.65	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CONOCOPHILLIPS	131.000	02/27/06	11/21/13	\$9,614.47	\$6,227.92	\$0.00	\$3,386.55	\$0.00
	300.000	03/02/06	11/21/13	\$22,017.88	\$14,336.43	\$0.00	\$7,681.45	\$0.00
	321.000	05/07/09	11/21/13	\$23,559.13	\$10,919.91	\$0.00	\$12,639.22	\$0.00
	235.000	11/10/09	11/21/13	\$17,247.34	\$9,698.32	\$0.00	\$7,549.02	\$0.00
	700.000	11/11/09	11/21/13	\$51,375.04	\$29,005.11	\$0.00	\$22,369.93	\$0.00
	50.000	11/13/09	11/21/13	\$3,669.65	\$2,033.09	\$0.00	\$1,636.56	\$0.00
Security Subtotal	2,999.000			\$220,105.36	\$124,641.15	\$0.00	\$95,464.21	\$0.00
ESTEE LAUDER CO INC CL A								
				CUSIP: 518439104	Symbol (Box 1d): EL			
	66.000	02/26/04	11/21/13	\$4,866.09	\$1,377.75	\$0.00	\$3,488.34	\$0.00
	194.000	03/02/05	11/21/13	\$14,303.37	\$4,149.66	\$0.00	\$10,153.71	\$0.00
	80.000	07/07/05	11/21/13	\$5,898.30	\$1,518.80	\$0.00	\$4,379.50	\$0.00
	766.000	02/14/06	11/21/13	\$56,476.19	\$14,492.72	\$0.00	\$41,983.47	\$0.00
	376.000	02/11/11	11/21/13	\$27,722.00	\$17,478.08	\$0.00	\$10,243.92	\$0.00
Security Subtotal	1,482.000			\$109,265.95	\$39,017.01	\$0.00	\$70,248.94	\$0.00
EXXON MOBIL CORP								
				CUSIP: 30231G102	Symbol (Box 1d): XOM			
	76.000	11/25/03	07/15/13	\$7,078.65	\$2,723.08	\$0.00	\$4,355.57	\$0.00
	208.000	12/26/03	07/15/13	\$19,373.13	\$8,342.88	\$0.00	\$11,030.25	\$0.00
	237.000	01/23/04	07/15/13	\$22,074.20	\$9,719.37	\$0.00	\$12,354.83	\$0.00
	26.000	02/04/04	07/15/13	\$2,421.64	\$1,050.14	\$0.00	\$1,371.50	\$0.00
	196.000	02/26/04	07/15/13	\$18,255.45	\$8,351.56	\$0.00	\$9,903.89	\$0.00
	5.000	03/02/05	07/15/13	\$465.70	\$312.95	\$0.00	\$152.75	\$0.00
	1.000	03/02/05	11/21/13	\$95.04	\$62.59	\$0.00	\$32.45	\$0.00
	1,210.000	02/14/06	11/21/13	\$114,993.98	\$72,067.60	\$0.00	\$42,926.38	\$0.00
	46.000	06/04/09	11/21/13	\$4,371.67	\$3,352.89	\$0.00	\$1,018.78	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor
New York, NY 10004
Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EXXON MOBIL CORP	198.000	08/04/09	11/21/13	\$18,817.20	\$13,901.80	\$0.00	\$4,915.40	\$0.00
	205.000	02/22/10	11/21/13	\$19,482.45	\$13,476.41	\$0.00	\$6,006.04	\$0.00
	2,492.000	02/11/11	11/21/13	\$236,830.57	\$206,853.69	\$0.00	\$29,976.88	\$0.00
Security Subtotal	4,900.000			\$464,259.68	\$340,214.96	\$0.00	\$124,044.72	\$0.00
FRANKLIN RESOURCES INC								
	783.000	06/02/08	11/21/13	\$42,510.83	\$26,140.46	\$0.00	\$16,370.37	\$0.00
	858.000	02/11/11	11/21/13	\$46,582.75	\$36,519.05	\$0.00	\$10,063.70	\$0.00
Security Subtotal	1,641.000			\$89,093.58	\$62,659.51	\$0.00	\$26,434.07	\$0.00
FREEPORT MCMORAN CP&GLD								
	686.000	11/27/09	11/21/13	\$24,820.76	\$29,121.87	\$0.00	(\$4,301.11)	\$0.00
	76.000	02/23/10	11/21/13	\$2,749.82	\$2,828.49	\$0.00	(\$78.67)	\$0.00
	509.000	02/11/11	11/21/13	\$18,416.57	\$27,255.42	\$0.00	(\$8,838.85)	\$0.00
	739.000	02/28/11	11/21/13	\$26,738.40	\$38,789.37	\$0.00	(\$12,050.97)	\$0.00
	502.000	05/26/11	11/21/13	\$18,163.30	\$25,329.97	\$0.00	(\$7,166.67)	\$0.00
Security Subtotal	2,512.000			\$90,888.85	\$123,325.12	\$0.00	(\$32,436.27)	\$0.00
GENERAL ELECTRIC CO								
	388.000	03/02/05	11/21/13	\$10,433.10	\$13,812.80	\$0.00	(\$3,379.70)	\$0.00
	2,206.000	02/14/06	11/21/13	\$59,318.09	\$73,967.18	\$0.00	(\$14,649.09)	\$0.00
	2,207.000	02/11/11	11/21/13	\$59,344.98	\$46,920.82	\$0.00	\$12,424.16	\$0.00
Security Subtotal	4,801.000			\$129,096.17	\$134,700.80	\$0.00	(\$5,604.63)	\$0.00
HSBC HOLDINGS PLC SPON ADR NEW								
	63.000	10/04/04	11/21/13	\$3,543.45	\$5,089.14	\$0.00	(\$1,545.69)	\$0.00
	63.000	10/05/04	11/21/13	\$3,543.45	\$5,085.36	\$0.00	(\$1,541.91)	\$0.00
	63.000	12/20/04	11/21/13	\$3,543.45	\$5,369.49	\$0.00	(\$1,826.04)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HSBC HOLDINGS PLC SPON ADR	89.000	03/02/05	11/21/13	\$5,005.83	\$7,315.80	\$0.00	(\$2,309.97)	\$0.00
	5.000	07/07/05	11/21/13	\$281.23	\$393.35	\$0.00	(\$112.12)	\$0.00
	248.000	02/14/06	11/21/13	\$13,948.84	\$20,581.52	\$0.00	(\$6,632.68)	\$0.00
	221.000	04/08/09	11/21/13	\$12,430.21	\$4,085.74	\$0.00	\$8,344.47	\$0.00
	1,026.000	02/11/11	11/21/13	\$57,707.71	\$58,623.79	\$0.00	(\$916.08)	\$0.00
Security Subtotal	1,778.000			\$100,004.17	\$106,544.19	\$0.00	(\$6,540.02)	\$0.00
INTEL CORP								
	19.000	10/27/03	11/14/13	\$464.20	\$596.03	\$0.00	(\$131.83)	\$0.00
	226.000	11/25/03	11/14/13	\$5,521.49	\$7,586.82	\$0.00	(\$2,065.33)	\$0.00
	372.000	12/26/03	11/14/13	\$9,088.47	\$11,680.80	\$0.00	(\$2,592.33)	\$0.00
	263.000	01/23/04	11/14/13	\$6,425.45	\$8,347.62	\$0.00	(\$1,922.17)	\$0.00
	364.000	02/26/04	11/14/13	\$8,893.02	\$10,814.44	\$0.00	(\$1,921.42)	\$0.00
	454.000	03/02/05	11/14/13	\$11,091.84	\$11,191.10	\$0.00	(\$99.26)	\$0.00
	488.000	02/14/06	11/14/13	\$11,922.51	\$10,448.08	\$0.00	\$1,474.43	\$0.00
	249.000	05/03/99	11/14/13	\$6,083.41	\$7,788.72	\$0.00	(\$1,705.31)	\$0.00
	556.000	02/14/06	11/21/13	\$13,823.42	\$11,903.96	\$0.00	\$1,919.46	\$0.00
	3,260.000	02/11/11	11/21/13	\$81,050.98	\$70,833.28	\$0.00	\$10,217.70	\$0.00
Security Subtotal	6,251.000			\$154,364.79	\$151,190.85	\$0.00	\$3,173.94	\$0.00
INTL BUSINESS MACHINES CORP								
	100.000	04/02/09	11/21/13	\$18,406.09	\$9,918.47	\$0.00	\$8,487.62	\$0.00
	100.000	04/07/09	11/21/13	\$18,406.09	\$9,913.78	\$0.00	\$8,492.31	\$0.00
	83.000	04/23/09	11/21/13	\$15,277.05	\$8,395.25	\$0.00	\$6,881.80	\$0.00
	158.000	04/27/09	11/21/13	\$29,081.62	\$15,802.05	\$0.00	\$13,279.57	\$0.00
	376.000	01/19/10	11/21/13	\$69,206.90	\$50,270.30	\$0.00	\$18,936.60	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INTL BUSINESS MACHINES CORP	82.000	03/12/10	11/21/13	\$15,092.99	\$10,486.26	\$0.00	\$4,606.73	\$0.00
	332.000	03/18/10	11/21/13	\$61,108.22	\$42,479.53	\$0.00	\$18,628.69	\$0.00
Security Subtotal	1,231.000			\$226,578.96	\$147,265.64	\$0.00	\$79,313.32	\$0.00
INTUITIVE SURGICAL INC								
	5.000	05/08/08	11/21/13	\$1,946.66	\$1,420.64	\$0.00	\$526.02	\$0.00
	30.000	05/12/08	11/21/13	\$11,679.95	\$8,599.56	\$0.00	\$3,080.39	\$0.00
	47.000	04/02/09	11/21/13	\$18,298.59	\$4,579.86	\$0.00	\$13,718.73	\$0.00
	20.000	02/11/11	11/21/13	\$7,786.63	\$6,849.00	\$0.00	\$937.63	\$0.00
	110.000	03/08/11	11/21/13	\$42,826.47	\$36,333.04	\$0.00	\$6,493.43	\$0.00
Security Subtotal	212.000			\$82,538.30	\$57,782.10	\$0.00	\$24,756.20	\$0.00
JOHNSON & JOHNSON								
	245.000	02/14/06	05/29/13	\$21,109.47	\$14,464.80	\$0.00	\$6,644.67	\$0.00
	74.000	03/31/09	05/29/13	\$6,375.92	\$3,946.95	\$0.00	\$2,428.97	\$0.00
	40.000	06/04/09	05/29/13	\$3,446.44	\$2,229.05	\$0.00	\$1,217.39	\$0.00
	139.000	06/04/09	11/21/13	\$13,232.51	\$7,745.94	\$0.00	\$5,486.57	\$0.00
	2,679.000	02/11/11	11/21/13	\$255,035.29	\$163,440.43	\$0.00	\$91,594.86	\$0.00
Security Subtotal	3,177.000			\$299,199.63	\$191,827.17	\$0.00	\$107,372.46	\$0.00
KRAFT FOODS GROUP INC COM								
	324.000	10/21/10	11/21/13	\$16,974.32	\$10,899.69	\$0.00	\$6,074.63	\$0.00
	414.000	02/11/11	11/21/13	\$21,689.41	\$13,370.37	\$0.00	\$8,319.04	\$0.00
Security Subtotal	738.000			\$38,663.73	\$24,270.06	\$0.00	\$14,393.67	\$0.00
MC DONALDS CORP								
	28.000	10/27/03	07/15/13	\$2,844.92	\$681.24	\$0.00	\$2,163.68	\$0.00
	73.000	11/25/03	07/15/13	\$7,417.12	\$1,878.29	\$0.00	\$5,538.83	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

CONTINUED ON NEXT PAGE

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MC DONALDS CORP	101.000	12/26/03	07/15/13	\$10,262.05	\$2,434.10	\$0.00	\$7,827.95	\$0.00
	67.000	01/23/04	07/15/13	\$6,807.50	\$1,679.02	\$0.00	\$5,128.48	\$0.00
	4.000	01/23/04	11/21/13	\$391.38	\$100.24	\$0.00	\$291.14	\$0.00
	48.000	02/26/04	11/21/13	\$4,696.57	\$1,347.84	\$0.00	\$3,348.73	\$0.00
	85.000	03/02/05	11/21/13	\$8,316.84	\$2,835.60	\$0.00	\$5,481.24	\$0.00
	345.000	02/14/06	11/21/13	\$33,756.59	\$12,478.65	\$0.00	\$21,277.94	\$0.00
	263.000	06/02/09	11/21/13	\$25,733.29	\$15,913.00	\$0.00	\$9,820.29	\$0.00
	337.000	11/25/09	11/21/13	\$32,973.83	\$21,702.73	\$0.00	\$11,271.10	\$0.00
	1,333.000	02/11/11	11/21/13	\$130,427.64	\$101,006.74	\$0.00	\$29,420.90	\$0.00
Security Subtotal	2,684.000			\$263,627.73	\$162,057.45	\$0.00	\$101,570.28	\$0.00
MERCK & CO INC NEW COM		CUSIP: 58933Y105	Symbol (Box 1d): MRK					
	118.000	07/01/09	11/21/13	\$5,737.12	\$3,301.35	\$0.00	\$2,435.77	\$0.00
	1,893.000	02/11/11	11/21/13	\$92,037.00	\$62,484.14	\$0.00	\$29,552.86	\$0.00
Security Subtotal	2,011.000			\$97,774.12	\$65,785.49	\$0.00	\$31,988.63	\$0.00
MONDELEZ INTL INC COM		CUSIP: 609207105	Symbol (Box 1d): MDLZ					
	972.000	10/21/10	11/21/13	\$32,784.99	\$20,162.42	\$0.00	\$12,622.57	\$0.00
	1,243.000	02/11/11	11/21/13	\$41,925.66	\$24,753.51	\$0.00	\$17,172.15	\$0.00
Security Subtotal	2,215.000			\$74,710.65	\$44,915.93	\$0.00	\$29,794.72	\$0.00
NESTLE SPON ADR REP REG SHR		CUSIP: 641069406	Symbol (Box 1d): NSRGY					
	50.000	01/23/04	07/15/13	\$3,372.01	\$1,343.00	\$0.00	\$2,029.01	\$0.00
	129.000	02/26/04	07/15/13	\$8,699.78	\$3,421.08	\$0.00	\$5,278.70	\$0.00
	11.000	02/26/04	11/21/13	\$805.96	\$291.72	\$0.00	\$514.24	\$0.00
	335.000	03/02/05	11/21/13	\$24,545.02	\$9,313.00	\$0.00	\$15,232.02	\$0.00
	910.000	02/14/06	11/21/13	\$66,674.54	\$26,299.00	\$0.00	\$40,375.54	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055
Customer Service: 866-324-6088

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NESTLE SPON ADR REP REG SHR	359.000	11/30/09	11/21/13	\$26,303.47	\$17,008.81	\$0.00	\$9,294.66	\$0.00
	2,028.000	02/11/11	11/21/13	\$148,588.97	\$107,889.60	\$0.00	\$40,699.37	\$0.00
Security Subtotal	3,822.000			\$278,989.75	\$165,566.21	\$0.00	\$113,423.54	\$0.00
NEWS CORP LTD CL A DEL								
	919.000	02/14/06	05/29/13	\$30,231.27	\$14,731.57	\$0.00	\$15,499.70	\$0.00
	404.000	02/11/11	05/29/13	\$13,289.91	\$6,988.59	\$0.00	\$6,301.32	\$0.00
Security Subtotal	1,323.000			\$43,521.18	\$21,720.16	\$0.00	\$21,801.02	\$0.00
NEWS CORPORATION CL A								
	0.250	02/11/11	06/28/13	\$3.92	\$1.98	\$0.00	\$1.94	\$0.00
	675.000	02/11/11	11/21/13	\$11,933.79	\$5,347.83	\$0.00	\$6,585.96	\$0.00
Security Subtotal	675.250			\$11,937.71	\$5,349.81	\$0.00	\$6,587.90	\$0.00
OCCIDENTAL PETROLEUM CORP DE								
	172.000	10/22/04	11/21/13	\$16,898.24	\$4,982.84	\$0.00	\$11,915.40	\$0.00
	16.000	12/08/04	11/21/13	\$1,571.93	\$434.40	\$0.00	\$1,137.53	\$0.00
	90.000	12/20/04	11/21/13	\$8,842.10	\$2,628.90	\$0.00	\$6,213.20	\$0.00
	54.000	03/02/05	11/21/13	\$5,305.26	\$1,912.14	\$0.00	\$3,393.12	\$0.00
	58.000	10/11/05	11/21/13	\$5,698.24	\$2,295.64	\$0.00	\$3,402.60	\$0.00
	276.000	02/14/06	11/21/13	\$27,115.78	\$12,066.72	\$0.00	\$15,049.06	\$0.00
	54.000	11/27/09	11/21/13	\$5,305.26	\$4,377.80	\$0.00	\$927.46	\$0.00
	640.000	02/11/11	11/21/13	\$62,877.17	\$64,129.28	\$0.00	(\$1,252.11)	\$0.00
	332.000	05/27/11	11/21/13	\$32,617.53	\$35,723.23	\$0.00	(\$3,105.70)	\$0.00
	303.000	09/01/11	11/21/13	\$29,768.43	\$26,387.27	\$0.00	\$3,381.16	\$0.00
Security Subtotal	1,995.000			\$195,999.94	\$154,938.22	\$0.00	\$41,061.72	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PEPSICO INC NC								
		CUSIP: 713448108		Symbol (Box 1d): PEP				
	94.000	11/25/03	07/15/13	\$7,961.32	\$4,479.10	\$0.00	\$3,482.22	\$0.00
	124.000	12/26/03	07/15/13	\$10,502.17	\$5,768.48	\$0.00	\$4,733.69	\$0.00
	115.000	01/23/04	07/15/13	\$9,739.92	\$5,311.85	\$0.00	\$4,428.07	\$0.00
	56.000	02/26/04	07/15/13	\$4,742.92	\$2,906.40	\$0.00	\$1,836.52	\$0.00
	206.000	03/02/05	07/15/13	\$17,447.15	\$11,119.88	\$0.00	\$6,327.27	\$0.00
	222.000	02/14/06	07/15/13	\$18,802.27	\$12,809.40	\$0.00	\$5,992.87	\$0.00
	334.000	02/14/06	11/21/13	\$28,552.69	\$19,271.80	\$0.00	\$9,280.89	\$0.00
	1,517.000	02/11/11	11/21/13	\$129,683.95	\$96,247.58	\$0.00	\$33,436.37	\$0.00
Security Subtotal	2,668.000			\$227,432.39	\$157,914.49	\$0.00	\$69,517.90	\$0.00
PHILIP MORRIS INTL INC								
		CUSIP: 718172109		Symbol (Box 1d): PM				
	167.000	10/27/03	11/21/13	\$14,358.53	\$4,030.82	\$0.00	\$10,327.71	\$0.00
	142.000	11/25/03	11/21/13	\$12,209.05	\$3,823.51	\$0.00	\$8,385.54	\$0.00
	143.000	12/26/03	11/21/13	\$12,295.03	\$4,068.43	\$0.00	\$8,226.60	\$0.00
	157.000	01/23/04	11/21/13	\$13,498.74	\$4,533.21	\$0.00	\$8,965.53	\$0.00
	125.000	02/26/04	11/21/13	\$10,747.40	\$3,761.42	\$0.00	\$6,985.98	\$0.00
	94.000	12/20/04	11/21/13	\$8,082.05	\$2,987.31	\$0.00	\$5,094.74	\$0.00
	268.000	03/02/05	11/21/13	\$23,042.43	\$9,327.00	\$0.00	\$13,715.43	\$0.00
	841.000	02/14/06	11/21/13	\$72,308.51	\$32,193.35	\$0.00	\$40,115.16	\$0.00
	492.000	04/21/08	11/21/13	\$42,301.77	\$24,740.02	\$0.00	\$17,561.75	\$0.00
	96.000	01/13/09	11/21/13	\$8,253.98	\$4,056.41	\$0.00	\$4,197.57	\$0.00
Security Subtotal	2,525.000			\$217,097.49	\$93,521.48	\$0.00	\$123,576.01	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PHILLIPS 66 COM								
		CUSIP: 718546104		Symbol (Box 1d): PSX				
	34.988	06/07/04	05/29/13	\$2,313.23	\$604.23	\$0.00	\$1,709.00	\$0.00
	38.987	11/01/04	05/29/13	\$2,577.62	\$748.14	\$0.00	\$1,829.48	\$0.00
	63.978	12/20/04	05/29/13	\$4,229.90	\$1,290.74	\$0.00	\$2,939.16	\$0.00
	39.986	03/02/05	05/29/13	\$2,643.67	\$1,001.48	\$0.00	\$1,642.19	\$0.00
	69.976	04/21/05	05/29/13	\$4,626.46	\$1,655.11	\$0.00	\$2,971.35	\$0.00
	3.998	08/31/05	05/29/13	\$264.33	\$119.81	\$0.00	\$144.52	\$0.00
	378.873	02/14/06	05/29/13	\$25,049.17	\$10,153.85	\$0.00	\$14,895.32	\$0.00
	65.478	02/27/06	05/29/13	\$4,329.07	\$1,850.23	\$0.00	\$2,478.84	\$0.00
	128.736	03/02/06	05/29/13	\$8,511.38	\$3,656.59	\$0.00	\$4,854.79	\$0.00
Security Subtotal	825.000			\$54,544.83	\$21,080.18	\$0.00	\$33,464.65	\$0.00
PRAXAIR INC								
		CUSIP: 74005P104		Symbol (Box 1d): PX				
	159.000	03/10/05	11/21/13	\$19,869.04	\$7,598.61	\$0.00	\$12,270.43	\$0.00
	126.000	02/14/06	11/21/13	\$15,745.28	\$6,706.98	\$0.00	\$9,038.30	\$0.00
	110.000	03/21/06	11/21/13	\$13,745.88	\$6,286.50	\$0.00	\$7,459.38	\$0.00
	139.000	05/15/06	11/21/13	\$17,369.79	\$7,763.15	\$0.00	\$9,606.64	\$0.00
	524.000	02/11/11	11/21/13	\$65,480.35	\$50,521.46	\$0.00	\$14,958.89	\$0.00
Security Subtotal	1,058.000			\$132,210.34	\$78,876.70	\$0.00	\$53,333.64	\$0.00
PROCTER & GAMBLE								
		CUSIP: 742718109		Symbol (Box 1d): PG				
	129.000	12/26/03	07/15/13	\$10,475.94	\$6,340.35	\$0.00	\$4,135.59	\$0.00
	47.000	12/26/03	11/21/13	\$3,981.75	\$2,310.05	\$0.00	\$1,671.70	\$0.00
	150.000	01/23/04	11/21/13	\$12,707.72	\$7,377.00	\$0.00	\$5,330.72	\$0.00
	128.000	02/26/04	11/21/13	\$10,843.92	\$6,583.04	\$0.00	\$4,260.88	\$0.00
	290.000	03/02/05	11/21/13	\$24,568.26	\$15,558.50	\$0.00	\$9,009.76	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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**Corporate Tax Statement
Tax Year 2013**

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

**RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151**

New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PROCTER & GAMBLE	611.000	02/14/06	11/21/13	\$51,762.77	\$36,525.58	\$0.00	\$15,237.19	\$0.00
	1,782.000	02/11/11	11/21/13	\$150,987.70	\$115,470.04	\$0.00	\$35,497.66	\$0.00
Security Subtotal	3,137.000			\$265,308.06	\$190,164.56	\$0.00	\$75,143.50	\$0.00
RIO TINTO PLC SPON ADR								
		CUSIP: 767204100	Symbol (Box 1d): RIO					
	475.000	10/21/10	07/15/13	\$20,135.56	\$30,759.48	\$0.00	(\$10,623.92)	\$0.00
	434.000	02/11/11	07/15/13	\$18,397.55	\$32,309.48	\$0.00	(\$13,911.93)	\$0.00
	607.000	03/01/11	07/15/13	\$25,731.13	\$42,625.79	\$0.00	(\$16,894.66)	\$0.00
Security Subtotal	1,516.000			\$64,264.24	\$105,694.75	\$0.00	(\$41,430.51)	\$0.00
ROCHE HOLDINGS ADR								
		CUSIP: 771195104	Symbol (Box 1d): RHBY					
	328.000	01/20/10	11/21/13	\$22,746.40	\$14,579.67	\$0.00	\$8,166.73	\$0.00
	669.000	02/03/10	11/21/13	\$46,394.34	\$28,258.02	\$0.00	\$18,136.32	\$0.00
	1,754.000	02/11/11	11/21/13	\$121,637.79	\$84,336.72	\$0.00	\$57,301.07	\$0.00
Security Subtotal	2,751.000			\$190,778.53	\$107,174.41	\$0.00	\$83,604.12	\$0.00
ROYAL DUTCH SHELL PLC								
		CUSIP: 780259206	Symbol (Box 1d): RDSA					
	45.000	10/27/03	11/21/13	\$3,050.96	\$2,004.75	\$0.00	\$1,046.21	\$0.00
	44.000	11/25/03	11/21/13	\$2,983.16	\$1,963.72	\$0.00	\$1,019.44	\$0.00
	32.000	12/26/03	11/21/13	\$2,169.57	\$1,639.68	\$0.00	\$529.89	\$0.00
	52.000	01/23/04	11/21/13	\$3,525.55	\$2,497.04	\$0.00	\$1,028.51	\$0.00
	42.000	02/26/04	11/21/13	\$2,847.56	\$2,068.92	\$0.00	\$778.64	\$0.00
	28.000	03/02/05	11/21/13	\$1,898.38	\$1,771.56	\$0.00	\$126.82	\$0.00
	115.000	06/27/05	11/21/13	\$7,796.90	\$7,406.00	\$0.00	\$390.90	\$0.00
	341.000	02/14/06	11/21/13	\$23,119.50	\$21,210.20	\$0.00	\$1,909.30	\$0.00
	202.000	10/28/08	11/21/13	\$13,695.42	\$9,705.43	\$0.00	\$3,989.99	\$0.00
	155.000	03/31/09	11/21/13	\$10,508.86	\$6,901.89	\$0.00	\$3,606.97	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160

Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ROYAL DUTCH SHELL PLC	108,000	02/22/10	11/21/13	\$7,322.30	\$6,022.06	\$0.00	\$1,300.24	\$0.00
	1,129,000	02/11/11	11/21/13	\$76,545.20	\$82,078.30	\$0.00	(\$5,533.10)	\$0.00
	336,000	06/01/11	11/21/13	\$22,780.52	\$23,587.23	\$0.00	(\$806.71)	\$0.00
Security Subtotal	2,629,000			\$178,243.88	\$168,856.78	\$0.00	\$9,387.10	\$0.00
SABMILLER PLC SPONS ADR		CUSIP: 78572M105	Symbol (Box 1d): SBMRY					
	975,000	03/20/12	11/21/13	\$50,562.62	\$39,925.76	\$0.00	\$10,636.86	\$0.00
TARGET CORPORATION		CUSIP: 87612E106	Symbol (Box 1d): TGT					
	54,000	11/25/03	11/21/13	\$3,469.30	\$2,107.08	\$0.00	\$1,362.22	\$0.00
	90,000	12/26/03	11/21/13	\$5,782.17	\$3,407.40	\$0.00	\$2,374.77	\$0.00
	80,000	01/23/04	11/21/13	\$5,139.71	\$3,099.20	\$0.00	\$2,040.51	\$0.00
	42,000	02/26/04	11/21/13	\$2,698.35	\$1,816.08	\$0.00	\$882.27	\$0.00
	82,000	03/02/05	11/21/13	\$5,268.20	\$4,249.24	\$0.00	\$1,018.96	\$0.00
	353,000	02/14/06	11/21/13	\$22,678.97	\$19,358.52	\$0.00	\$3,320.45	\$0.00
	149,000	07/21/06	11/21/13	\$9,572.71	\$6,870.39	\$0.00	\$2,702.32	\$0.00
	1,020,000	02/11/11	11/21/13	\$65,531.31	\$55,344.69	\$0.00	\$10,186.62	\$0.00
	823,000	02/28/11	11/21/13	\$52,874.78	\$43,092.20	\$0.00	\$9,782.58	\$0.00
Security Subtotal	2,693,000			\$173,015.50	\$139,344.80	\$0.00	\$33,670.70	\$0.00
TEXAS INSTRUMENTS		CUSIP: 882508104	Symbol (Box 1d): TXN					
	545,000	12/01/06	11/21/13	\$23,049.23	\$15,761.40	\$0.00	\$7,287.83	\$0.00
	464,000	08/28/07	11/21/13	\$19,623.56	\$15,804.12	\$0.00	\$3,819.44	\$0.00
	335,000	10/31/07	11/21/13	\$14,167.87	\$10,926.39	\$0.00	\$3,241.48	\$0.00
	2,545,000	03/18/10	11/21/13	\$107,633.56	\$82,779.55	\$0.00	\$44,854.01	\$0.00
	307,000	10/20/10	11/21/13	\$12,983.70	\$8,599.41	\$0.00	\$4,384.29	\$0.00
Security Subtotal	4,196,000			\$177,457.92	\$113,870.87	\$0.00	\$63,587.05	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 36-6043160
Account Number 559 147348 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TOTAL S A SPON ADR								
	CUSIP 89151E109		Symbol (Box 1d) TOT					
	182 000	02/28/05	11/21/13	\$10,907.34	\$10,606.96	\$0.00	\$300.38	\$0.00
	78 000	03/02/05	11/21/13	\$4,674.58	\$4,542.72	\$0.00	\$131.86	\$0.00
	130 000	04/21/05	11/21/13	\$7,790.96	\$7,230.60	\$0.00	\$560.36	\$0.00
	20 000	10/11/05	11/21/13	\$1,198.61	\$1,280.60	\$0.00	(\$81.99)	\$0.00
	16 000	12/07/05	11/21/13	\$958.89	\$936.88	\$0.00	(\$22.01)	\$0.00
	368 000	02/14/06	11/21/13	\$22,054.41	\$23,235.52	\$0.00	(\$1,181.11)	\$0.00
	123 000	10/28/08	11/21/13	\$7,371.45	\$5,774.69	\$0.00	\$1,596.76	\$0.00
	51 000	03/31/09	11/21/13	\$3,056.45	\$2,498.33	\$0.00	\$558.12	\$0.00
	143 000	02/22/10	11/21/13	\$8,570.06	\$8,333.60	\$0.00	\$236.46	\$0.00
	1 549 000	02/11/11	11/21/13	\$92,832.28	\$91,387.90	\$0.00	\$1,444.38	\$0.00
	282 000	03/01/11	11/21/13	\$16,900.39	\$17,031.84	\$0.00	(\$131.45)	\$0.00
	328 000	06/01/11	11/21/13	\$19,537.33	\$18,437.55	\$0.00	\$1,099.78	\$0.00
	258 000	09/01/11	11/21/13	\$16,061.34	\$13,170.00	\$0.00	\$2,891.34	\$0.00
Security Subtotal	3,536 000			\$211,914.09	\$204,529.19	\$0.00	\$7,384.90	\$0.00
TWENTY-FIRST CENTURY FOX CL A								
	CUSIP 90130A101		Symbol (Box 1d) FOXA					
	2,701 000	02/11/11	11/21/13	\$90,199.95	\$41,373.44	\$0.00	\$48,826.51	\$0.00
UNITED TECHNOLOGIES CORP								
	CUSIP 913017109		Symbol (Box 1d) UTX					
	457 000	05/15/06	11/21/13	\$49,976.42	\$29,627.31	\$0.00	\$20,349.11	\$0.00
	15 000	06/22/06	11/21/13	\$1,640.36	\$922.95	\$0.00	\$717.41	\$0.00
	244 000	10/31/07	11/21/13	\$26,683.25	\$18,454.48	\$0.00	\$8,228.77	\$0.00
	493 000	02/11/11	11/21/13	\$53,913.30	\$41,809.36	\$0.00	\$12,103.94	\$0.00
	481 000	05/25/11	11/21/13	\$52,601.01	\$41,151.57	\$0.00	\$11,449.44	\$0.00
Security Subtotal	1,690 000			\$184,814.34	\$131,965.67	\$0.00	\$52,848.67	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorRICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151New York, NY 10004
Identification Number: 26-4310632Taxpayer ID Number: 36-6043160
Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WAL MART STORES INC								
CUSIP: 931142103 Symbol (Box 1d): WMT								
	417.000	02/14/06	07/15/13	\$32,000.31	\$19,369.65	\$0.00	\$12,630.66	\$0.00
	132.000	02/14/06	11/21/13	\$10,392.93	\$6,131.40	\$0.00	\$4,261.53	\$0.00
	253.000	03/31/09	11/21/13	\$19,919.78	\$12,995.42	\$0.00	\$6,924.36	\$0.00
	114.000	05/14/09	11/21/13	\$8,975.71	\$5,610.40	\$0.00	\$3,365.31	\$0.00
	43.000	06/04/09	11/21/13	\$3,385.58	\$2,179.18	\$0.00	\$1,206.40	\$0.00
	1,469.000	02/11/11	11/21/13	\$115,660.73	\$81,717.53	\$0.00	\$33,943.20	\$0.00
Security Subtotal	2,428.000			\$190,335.04	\$128,003.58	\$0.00	\$62,331.46	\$0.00
WALGREEN CO								
CUSIP: 931422109 Symbol (Box 1d): WAG								
	381.000	02/14/06	05/29/13	\$18,923.55	\$16,931.64	\$0.00	\$1,991.91	\$0.00
	75.000	06/02/09	05/29/13	\$3,725.11	\$2,374.09	\$0.00	\$1,351.02	\$0.00
	125.000	06/02/09	11/21/13	\$7,510.98	\$3,956.81	\$0.00	\$3,554.17	\$0.00
	1,662.000	02/11/11	11/21/13	\$99,866.01	\$70,332.52	\$0.00	\$29,533.49	\$0.00
Security Subtotal	2,243.000			\$130,025.65	\$93,595.06	\$0.00	\$36,430.59	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
FAYEZ LARGE CAP CORE
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160

Account Number: 559 147348 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WALT DISNEY CO HLDG CO CUSIP: 254687106 Symbol (Box 1d): DIS								
	656.000	03/12/10	11/21/13	\$45,751.27	\$22,040.29	\$0.00	\$23,710.98	\$0.00
	521.000	02/11/11	11/21/13	\$36,335.99	\$22,583.27	\$0.00	\$13,752.72	\$0.00
	477.000	03/01/11	11/21/13	\$33,267.31	\$20,579.26	\$0.00	\$12,688.05	\$0.00
Security Subtotal	1,654.000			\$115,354.57	\$65,202.82	\$0.00	\$50,151.75	\$0.00
Total Long Term Noncovered Securities				\$6,727,497.98	\$4,667,717.40	\$0.00	\$2,059,780.58	\$0.00
Total Long Term Covered and Noncovered Securities				\$6,987,484.86	\$4,872,743.69	\$0.00	\$2,114,741.17	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$7,900,200.01	\$5,706,490.87	\$0.00	\$2,193,709.14	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$7,900,200.01				
Total Cost or Other Basis (Box 3)					\$1,038,773.47			
Total Wash Sale Loss Disallowed (Box 5)					\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 002824100 Symbol (Box 1d): ABT								
ABBOTT LABORATORIES	25,000	05/08/12	01/24/13	\$819.35	\$742.61	\$0.00	\$76.74	\$0.00
	29,000	05/11/12	01/24/13	\$950.45	\$867.63	\$0.00	\$82.82	\$0.00
	60,000	05/14/12	01/24/13	\$1,966.45	\$1,778.48	\$0.00	\$187.97	\$0.00
	34,000	06/20/12	01/24/13	\$1,114.32	\$1,023.68	\$0.00	\$90.64	\$0.00
	13,000	07/20/12	01/24/13	\$426.07	\$406.92	\$0.00	\$19.15	\$0.00
	36,000	07/20/12	01/25/13	\$1,179.45	\$1,126.86	\$0.00	\$52.59	\$0.00
	227,000	01/03/13	01/25/13	\$7,437.08	\$7,505.03	\$0.00	(\$67.95)	\$0.00
	221,000	01/04/13	01/25/13	\$7,240.50	\$7,326.77	\$0.00	(\$86.27)	\$0.00
Security Subtotal	645,000			\$21,133.67	\$20,777.98	\$0.00	\$355.69	\$0.00
CUSIP: G1151C101 Symbol (Box 1d): ACN								
ACCENTURE PLC IRELAND CL A	20,000	01/30/13	07/29/13	\$1,448.08	\$1,452.72	\$0.00	(\$4.64)	\$0.00
	24,000	01/30/13	07/29/13	\$1,739.70	\$1,743.26	\$0.00	(\$3.56)	\$0.00
	62,000	01/31/13	07/31/13	\$4,585.06	\$4,505.09	\$0.00	\$79.97	\$0.00
	3,000	01/31/13	08/02/13	\$223.34	\$217.99	\$0.00	\$5.35	\$0.00
	100,000	02/01/13	08/02/13	\$7,444.62	\$7,359.07	\$0.00	\$85.55	\$0.00
	35,000	02/01/13	08/02/13	\$2,604.15	\$2,575.67	\$0.00	\$28.48	\$0.00
	36,000	02/04/13	08/02/13	\$3,573.20	\$3,641.31	\$0.00	\$37.24	\$0.00
	48,000	02/04/13	08/05/13	\$3,573.20	\$3,521.74	\$0.00	\$51.46	\$0.00
	36,000	02/04/13	08/05/13	\$2,679.74	\$2,641.31	\$0.00	\$38.43	\$0.00
	67,000	02/04/13	08/05/13	\$4,984.15	\$4,901.12	\$0.00	\$83.03	\$0.00
	1,000	02/04/13	08/05/13	\$74.39	\$73.37	\$0.00	\$1.02	\$0.00
	34,000	02/05/13	08/05/13	\$2,529.27	\$2,489.94	\$0.00	\$39.33	\$0.00
	15,000	02/06/13	08/05/13	\$1,115.85	\$1,086.18	\$0.00	\$29.67	\$0.00
	16,000	02/06/13	08/06/13	\$1,181.76	\$1,158.60	\$0.00	\$23.16	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

26-4310632

Identification Number:
Taxpayer ID Number: 36-6043160
Account Number 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACCENTURE PLC IRELAND CL A	22.000	02/11/13	08/06/13	\$1,624.92	\$1,604.79	\$0.00	\$20.13	\$0.00
	10.000	02/21/13	08/06/13	\$738.59	\$740.06	\$0.00	(\$1.47)	\$0.00
	9.000	02/21/13	08/06/13	\$661.98	\$666.05	\$0.00	(\$4.07)	\$0.00
	39.000	03/28/13	08/06/13	\$2,868.58	\$2,960.15	\$0.00	(\$91.57)	\$0.00
	4.000	03/28/13	08/06/13	\$293.18	\$303.60	\$0.00	(\$10.42)	\$0.00
	44.000	04/01/13	08/06/13	\$3,224.99	\$3,342.50	\$0.00	(\$117.51)	\$0.00
Security Subtotal	625.000			\$46,274.10	\$45,984.52	\$0.00	\$289.58	\$0.00
ACTAVIS INC COM								
	13.000	07/29/13	09/30/13	\$1,872.00	\$1,746.45	\$0.00	\$125.55	\$0.00
	52.000	07/30/13	09/30/13	\$7,488.00	\$7,052.31	\$0.00	\$435.69	\$0.00
	39.000	07/30/13	09/30/13	\$5,616.00	\$5,288.03	\$0.00	\$327.97	\$0.00
	48.000	07/31/13	09/30/13	\$6,912.00	\$6,463.54	\$0.00	\$448.46	\$0.00
	65.000	07/31/13	09/30/13	\$9,360.00	\$8,735.92	\$0.00	\$624.08	\$0.00
	7.000	08/01/13	09/30/13	\$1,008.00	\$947.57	\$0.00	\$60.43	\$0.00
	13.000	08/06/13	09/30/13	\$1,872.00	\$1,775.16	\$0.00	\$96.84	\$0.00
	13.000	08/09/13	09/30/13	\$1,872.00	\$1,755.19	\$0.00	\$116.81	\$0.00
	14.000	08/26/13	09/30/13	\$2,016.00	\$1,895.47	\$0.00	\$120.53	\$0.00
Security Subtotal	264.000			\$38,016.00	\$35,559.64	\$0.00	\$2,356.36	\$0.00
ADOBE SYSTEMS								
	71.000	10/14/13	11/21/13	\$3,980.35	\$3,739.59	\$0.00	\$240.76	\$0.00
	125.000	10/14/13	11/21/13	\$7,007.65	\$6,588.83	\$0.00	\$418.82	\$0.00
	35.000	10/15/13	11/21/13	\$1,962.14	\$1,823.21	\$0.00	\$138.93	\$0.00
	35.000	10/15/13	11/21/13	\$1,962.14	\$1,839.21	\$0.00	\$122.93	\$0.00
	36.000	10/15/13	11/21/13	\$2,018.20	\$1,884.06	\$0.00	\$134.14	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ADOBE SYSTEMS	105,000	10/16/13	11/21/13	\$5,886.43	\$5,470.86	\$0.00	\$415.57	\$0.00
	46,000	10/17/13	11/21/13	\$2,578.82	\$2,387.69	\$0.00	\$191.13	\$0.00
	36,000	10/17/13	11/21/13	\$2,018.20	\$1,872.51	\$0.00	\$145.69	\$0.00
	43,000	10/17/13	11/21/13	\$2,410.63	\$2,246.41	\$0.00	\$164.22	\$0.00
	57,000	10/21/13	11/21/13	\$3,195.49	\$3,020.69	\$0.00	\$174.80	\$0.00
	54,000	11/04/13	11/21/13	\$3,027.31	\$2,983.71	\$0.00	\$43.60	\$0.00
Security Subtotal	643,000			\$36,047.36	\$33,856.77	\$0.00	\$2,190.59	\$0.00
CUSIP: 008252108 Symbol (Box 1d): AMG								
AFFILIATED MGRS GROUP INC	9,000	07/11/13	10/29/13	\$1,812.14	\$1,535.25	\$0.00	\$276.89	\$0.00
	17,000	07/11/13	11/04/13	\$3,373.64	\$2,899.93	\$0.00	\$473.71	\$0.00
	7,000	07/12/13	11/04/13	\$1,389.14	\$1,202.42	\$0.00	\$186.72	\$0.00
	19,000	07/12/13	11/21/13	\$3,811.77	\$3,263.71	\$0.00	\$548.06	\$0.00
	25,000	07/15/13	11/21/13	\$5,015.48	\$4,359.64	\$0.00	\$655.84	\$0.00
	22,000	07/16/13	11/21/13	\$4,413.63	\$3,854.13	\$0.00	\$559.50	\$0.00
	17,000	07/16/13	11/21/13	\$3,410.53	\$2,981.96	\$0.00	\$428.57	\$0.00
	15,000	07/16/13	11/21/13	\$3,009.29	\$2,619.93	\$0.00	\$389.36	\$0.00
	19,000	07/17/13	11/21/13	\$3,811.77	\$3,340.05	\$0.00	\$471.72	\$0.00
	17,000	07/17/13	11/21/13	\$3,410.53	\$2,980.56	\$0.00	\$429.97	\$0.00
	12,000	07/30/13	11/21/13	\$2,407.43	\$2,146.53	\$0.00	\$260.90	\$0.00
	19,000	07/31/13	11/21/13	\$3,811.77	\$3,425.59	\$0.00	\$386.18	\$0.00
	10,000	08/06/13	11/21/13	\$2,006.19	\$1,853.46	\$0.00	\$152.73	\$0.00
Security Subtotal	208,000			\$41,683.31	\$36,463.16	\$0.00	\$5,220.15	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8500 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AGILENT TECHNOLOGIES								
		CUSIP: 008460101		Symbol (Box 1d): A				
	108 000	01/24/13	05/03/13	\$4,545.23	\$4,857.32	\$0.00	(\$312.09)	\$0.00
	96 000	01/24/13	05/06/13	\$4,026.08	\$4,317.62	\$0.00	(\$291.54)	\$0.00
	8 000	01/25/13	05/06/13	\$335.51	\$363.98	\$0.00	(\$28.47)	\$0.00
	42 000	01/25/13	05/08/13	\$1,796.89	\$1,910.87	\$0.00	(\$113.98)	\$0.00
	23 000	01/25/13	05/09/13	\$982.58	\$1,046.43	\$0.00	(\$63.85)	\$0.00
	17 000	01/28/13	05/09/13	\$726.26	\$767.00	\$0.00	(\$40.74)	\$0.00
	104 000	01/28/13	06/07/13	\$4,706.03	\$4,692.25	\$0.00	\$13.78	\$0.00
	94 000	01/28/13	06/10/13	\$4,187.89	\$4,241.07	\$0.00	(\$53.18)	\$0.00
	110 000	01/28/13	06/10/13	\$4,927.46	\$4,962.96	\$0.00	(\$35.50)	\$0.00
	5 000	01/28/13	06/11/13	\$222.55	\$225.59	\$0.00	(\$3.04)	\$0.00
	53 000	01/29/13	06/11/13	\$2,359.06	\$2,373.67	\$0.00	(\$14.61)	\$0.00
	37 000	02/11/13	06/11/13	\$1,646.89	\$1,650.36	\$0.00	(\$3.47)	\$0.00
Security Subtotal	697 000			\$30,462.43	\$31,409.12	\$0.00	(\$946.69)	\$0.00
ALEXION PHARM INC								
		CUSIP: 015351109		Symbol (Box 1d): ALXN				
	25 000	06/14/12	02/07/13	\$2,307.33	\$2,311.35	\$0.00	(\$4.02)	\$0.00
	14 000	11/21/12	02/07/13	\$1,292.11	\$1,321.87	\$0.00	(\$29.76)	\$0.00
Security Subtotal	39 000			\$3,599.44	\$3,633.22	\$0.00	(\$33.78)	\$0.00
ALLERGAN INC								
		CUSIP: 018490102		Symbol (Box 1d): AGN				
	17 000	05/07/12	04/22/13	\$1,925.28	\$1,598.12	\$0.00	\$327.16	\$0.00
	17 000	05/07/12	04/23/13	\$1,947.44	\$1,598.12	\$0.00	\$349.32	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALLERGAN INC	29.000	10/02/12	07/09/13	\$2,497.02	\$2,665.61	\$0.00	(\$168.59)	\$0.00
	18.000	10/23/12	07/09/13	\$1,549.87	\$1,628.24	\$0.00	(\$78.37)	\$0.00
Security Subtotal	81.000			\$7,919.61	\$7,490.09	\$0.00	\$429.52	\$0.00
ALLIANCE DATA SYSTEMS CORP CUSIP: 018581108 Symbol (Box 1d): ADS								
	12.000	05/01/13	11/19/13	\$2,977.52	\$2,052.85	\$0.00	\$924.67	\$0.00
	27.000	05/01/13	11/21/13	\$6,601.28	\$4,618.90	\$0.00	\$1,982.38	\$0.00
	38.000	05/02/13	11/21/13	\$9,290.69	\$6,529.97	\$0.00	\$2,760.72	\$0.00
	44.000	05/03/13	11/21/13	\$10,757.64	\$7,698.23	\$0.00	\$3,059.41	\$0.00
	26.000	05/06/13	11/21/13	\$6,356.79	\$4,508.38	\$0.00	\$1,848.41	\$0.00
	15.000	07/09/13	11/21/13	\$3,667.38	\$2,762.21	\$0.00	\$905.17	\$0.00
	20.000	07/11/13	11/21/13	\$4,889.84	\$3,747.65	\$0.00	\$1,142.19	\$0.00
	9.000	07/31/13	11/21/13	\$2,200.43	\$1,789.47	\$0.00	\$410.96	\$0.00
	31.000	07/31/13	11/21/13	\$7,579.25	\$6,144.66	\$0.00	\$1,434.59	\$0.00
	11.000	08/01/13	11/21/13	\$2,689.41	\$2,230.70	\$0.00	\$458.71	\$0.00
	17.000	08/02/13	11/21/13	\$4,156.36	\$3,451.53	\$0.00	\$704.83	\$0.00
	12.000	09/16/13	11/21/13	\$2,933.90	\$2,454.44	\$0.00	\$479.46	\$0.00
	12.000	09/25/13	11/21/13	\$2,933.91	\$2,528.32	\$0.00	\$405.59	\$0.00
Security Subtotal	274.000			\$67,034.40	\$50,517.31	\$0.00	\$16,517.09	\$0.00
AMAZON COM INC CUSIP: 023135106 Symbol (Box 1d): AMZN								
	8.000	08/14/12	07/22/13	\$2,433.58	\$1,871.70	\$0.00	\$561.88	\$0.00
AMERICAN TOWER REIT COM CUSIP: 03027X100 Symbol (Box 1d): AMT								
	19.000	11/21/13	11/25/13	\$1,460.22	\$1,482.02	\$0.00	(\$21.80)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 5)	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERIPRISE FINCL INC	CUSIP: 03076C106	Symbol (Box 1d): AMP						
	39 000	10/22/12	03/11/13	\$2,808.81	\$2,257.13	\$0.00	\$551.68	\$0.00
	17 000	10/23/12	03/11/13	\$1,224.36	\$974.00	\$0.00	\$250.36	\$0.00
	22 000	10/23/12	03/18/13	\$1,635.46	\$1,260.48	\$0.00	\$374.98	\$0.00
	1 000	10/23/12	03/18/13	\$74.34	\$56.98	\$0.00	\$17.36	\$0.00
	21 000	10/23/12	03/20/13	\$1,573.95	\$1,196.82	\$0.00	\$377.33	\$0.00
	23 000	10/23/12	04/01/13	\$1,675.45	\$1,310.59	\$0.00	\$364.86	\$0.00
	45 000	10/23/12	04/02/13	\$3,297.23	\$2,564.19	\$0.00	\$733.04	\$0.00
	57 000	10/24/12	04/02/13	\$4,168.24	\$3,289.98	\$0.00	\$878.26	\$0.00
	2 000	10/24/12	04/02/13	\$146.54	\$115.44	\$0.00	\$31.10	\$0.00
	72 000	10/24/12	04/18/13	\$5,051.25	\$4,155.76	\$0.00	\$895.49	\$0.00
	60 000	10/25/12	04/18/13	\$4,209.37	\$3,602.02	\$0.00	\$607.35	\$0.00
	33 000	10/25/12	04/18/13	\$2,309.21	\$1,981.11	\$0.00	\$328.10	\$0.00
	33 000	11/02/12	04/18/13	\$2,309.20	\$1,992.82	\$0.00	\$316.38	\$0.00
	29 000	11/02/12	04/19/13	\$2,063.33	\$1,751.26	\$0.00	\$312.07	\$0.00
	55 000	11/15/12	04/19/13	\$3,913.21	\$3,179.74	\$0.00	\$733.47	\$0.00
	26 000	11/19/12	04/19/13	\$1,849.88	\$1,552.53	\$0.00	\$297.35	\$0.00
	27 000	12/12/12	04/19/13	\$1,921.03	\$1,682.51	\$0.00	\$238.52	\$0.00
Security Subtotal	562 000			\$40,230.86	\$32,923.16	\$0.00	\$7,307.70	\$0.00
AMGEN INC	CUSIP: 031162100	Symbol (Box 1d): AMGN						
	1 000	06/18/13	08/21/13	\$104.85	\$101.67	\$0.00	\$3.18	\$0.00
	33 000	06/18/13	08/21/13	\$3,475.01	\$3,355.05	\$0.00	\$119.96	\$0.00
	50 000	06/20/13	08/21/13	\$5,242.71	\$4,897.25	\$0.00	\$345.46	\$0.00
	36 000	06/20/13	08/22/13	\$3,825.03	\$3,526.02	\$0.00	\$299.01	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

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Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
AMGEN INC	12 000	06/20/13	08/22/13	\$1,275.01	\$1,170.82	\$0.00	\$104.19	\$0.00
	42 000	06/20/13	08/22/13	\$4,457.09	\$4,097.86	\$0.00	\$359.23	\$0.00
	40 000	06/20/13	08/23/13	\$4,215.45	\$3,902.72	\$0.00	\$312.73	\$0.00
	41 000	06/21/13	08/23/13	\$4,317.43	\$4,021.21	\$0.00	\$296.22	\$0.00
	2 000	06/21/13	08/23/13	\$210.77	\$196.16	\$0.00	\$14.61	\$0.00
	25 000	06/21/13	08/26/13	\$2,860.76	\$2,451.96	\$0.00	\$408.80	\$0.00
	9 000	06/24/13	08/26/13	\$1,025.58	\$872.85	\$0.00	\$152.73	\$0.00
	15 000	06/24/13	08/26/13	\$1,716.45	\$1,454.75	\$0.00	\$261.70	\$0.00
	19 000	06/28/13	08/26/13	\$2,165.12	\$1,866.68	\$0.00	\$298.44	\$0.00
	14 000	07/22/13	08/26/13	\$1,595.36	\$1,524.57	\$0.00	\$70.79	\$0.00
Security Subtotal	339 000			\$36,486.62	\$33,439.57	\$0.00	\$3,047.05	\$0.00
ANHEUSER BUSCH INBEV SA SPON	43 000	10/02/12	06/18/13	\$4,029.80	\$3,800.88	\$0.00	\$228.92	\$0.00
	12 000	10/02/12	06/19/13	\$1,121.07	\$1,060.71	\$0.00	\$60.36	\$0.00
	41 000	10/02/12	06/19/13	\$3,830.31	\$3,619.68	\$0.00	\$210.63	\$0.00
	13 000	10/02/12	06/20/13	\$1,153.41	\$1,147.70	\$0.00	\$5.71	\$0.00
	49 000	10/03/12	06/20/13	\$4,347.46	\$4,297.37	\$0.00	\$50.09	\$0.00
	32 000	10/03/12	06/20/13	\$2,814.79	\$2,806.44	\$0.00	\$8.35	\$0.00
	35 000	10/04/12	06/20/13	\$3,078.67	\$3,106.74	\$0.00	(\$28.07)	\$0.00
	28 000	10/04/12	06/21/13	\$2,439.39	\$2,480.43	\$0.00	(\$41.04)	\$0.00
	48 000	10/04/12	06/21/13	\$4,190.97	\$4,260.67	\$0.00	(\$69.70)	\$0.00
	61 000	10/05/12	06/21/13	\$5,314.38	\$5,527.22	\$0.00	(\$212.84)	\$0.00
	8 000	10/15/12	06/21/13	\$696.96	\$694.84	\$0.00	\$2.12	\$0.00
	8 000	10/15/12	06/24/13	\$681.13	\$694.84	\$0.00	(\$13.71)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ANHEUSER BUSCH INBEV SA SPON	20.000	11/02/12	06/24/13	\$1,702.81	\$1,671.07	\$0.00	\$31.74	\$0.00
	19.000	12/12/12	06/24/13	\$1,617.67	\$1,666.43	\$0.00	(\$48.76)	\$0.00
Security Subtotal	417.000			\$37,018.92	\$36,835.02	\$0.00	\$183.90	\$0.00
AON PLC SHS CL-A								
	37.000	10/28/13	11/21/13	\$3,000.62	\$2,887.47	\$0.00	\$113.15	\$0.00
	86.000	10/29/13	11/21/13	\$6,974.42	\$6,754.45	\$0.00	\$219.97	\$0.00
	87.000	10/29/13	11/21/13	\$7,055.52	\$6,852.98	\$0.00	\$202.54	\$0.00
	93.000	10/30/13	11/21/13	\$7,542.10	\$7,412.36	\$0.00	\$129.74	\$0.00
	57.000	10/30/13	11/21/13	\$4,622.58	\$4,543.91	\$0.00	\$78.67	\$0.00
	53.000	10/30/13	11/21/13	\$4,298.19	\$4,253.83	\$0.00	\$44.36	\$0.00
	46.000	10/31/13	11/21/13	\$3,730.50	\$3,677.78	\$0.00	\$52.72	\$0.00
	79.000	11/04/13	11/21/13	\$6,406.73	\$6,222.43	\$0.00	\$184.30	\$0.00
Security Subtotal	538.000			\$43,630.66	\$42,605.21	\$0.00	\$1,025.45	\$0.00
ARM HOLDINGS PLC ADS								
	49.000	05/01/13	11/21/13	\$2,295.45	\$2,343.13	\$47.68	(\$47.68)	\$0.00
	42.000	05/01/13	11/21/13	\$1,967.55	\$2,008.42	\$40.87	(\$40.87)	\$0.00
	41.000	05/01/13	11/21/13	\$1,920.77	\$1,960.67	\$39.90	(\$39.90)	\$0.00
	11.000	05/01/13	11/21/13	\$515.33	\$526.04	\$0.00	(\$10.71)	\$0.00
	97.000	05/02/13	11/21/13	\$4,544.15	\$4,578.54	\$0.00	(\$34.39)	\$0.00
	70.000	05/02/13	11/21/13	\$3,279.28	\$3,325.99	\$0.00	(\$46.71)	\$0.00
Security Subtotal	310.000			\$14,522.93	\$14,742.79	\$128.45	(\$220.26)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
ASML HOLDING NV NY REG NEW	CUSIP: N07059210	Symbol (Box 1d): ASML						
	50.000	10/17/13	11/21/13	\$4,498.16	\$4,690.33	\$0.00	(\$192.17)	\$0.00
	40.000	10/17/13	11/21/13	\$3,598.53	\$3,740.36	\$0.00	(\$141.83)	\$0.00
	19.000	10/17/13	11/21/13	\$1,709.30	\$1,777.64	\$0.00	(\$68.34)	\$0.00
	40.000	10/18/13	11/21/13	\$3,598.53	\$3,712.40	\$0.00	(\$113.87)	\$0.00
	42.000	10/18/13	11/21/13	\$3,778.46	\$3,880.38	\$0.00	(\$101.92)	\$0.00
	61.000	10/18/13	11/21/13	\$5,487.76	\$5,634.86	\$0.00	(\$147.10)	\$0.00
	25.000	10/21/13	11/21/13	\$2,249.08	\$2,336.78	\$0.00	(\$87.70)	\$0.00
	28.000	10/21/13	11/21/13	\$2,518.97	\$2,600.70	\$0.00	(\$81.73)	\$0.00
	34.000	10/22/13	11/21/13	\$3,058.75	\$3,209.92	\$0.00	(\$151.17)	\$0.00
	43.000	10/23/13	11/21/13	\$3,868.41	\$4,035.05	\$0.00	(\$166.64)	\$0.00
Security Subtotal	382.000			\$34,365.95	\$35,618.42	\$0.00	(\$1,252.47)	\$0.00
ATHENAHEALTH INC COM	CUSIP: 04685W103	Symbol (Box 1d): ATHN						
	19.000	11/21/13	11/26/13	\$2,430.75	\$2,471.90	\$0.00	(\$41.15)	\$0.00
	31.000	11/21/13	11/27/13	\$3,958.25	\$4,033.10	\$0.00	(\$74.85)	\$0.00
Security Subtotal	50.000			\$6,389.00	\$6,505.00	\$0.00	(\$116.00)	\$0.00
BEST BUY CO	CUSIP: 086516101	Symbol (Box 1d): BBY						
	141.000	08/20/13	11/21/13	\$5,461.86	\$4,755.68	\$0.00	\$706.18	\$0.00
	140.000	08/20/13	11/21/13	\$5,423.13	\$4,722.86	\$0.00	\$700.27	\$0.00
	141.000	08/20/13	11/21/13	\$5,461.86	\$4,857.22	\$0.00	\$604.64	\$0.00
	125.000	08/21/13	11/21/13	\$4,842.08	\$4,247.34	\$0.00	\$594.74	\$0.00
	78.000	08/21/13	11/21/13	\$3,021.46	\$2,692.21	\$0.00	\$329.25	\$0.00
	124.000	08/21/13	11/21/13	\$4,803.34	\$4,218.27	\$0.00	\$585.07	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BEST BUY CO	92.000	08/22/13	11/21/13	\$3,563.77	\$3,170.52	\$0.00	\$393.25	\$0.00
	72.000	09/09/13	11/21/13	\$2,789.04	\$2,688.98	\$0.00	\$100.06	\$0.00
	46.000	09/16/13	11/21/13	\$1,791.88	\$1,794.86	\$0.00	(\$12.98)	\$0.00
Security Subtotal	959.000			\$37,148.42	\$33,147.94	\$0.00	\$4,000.48	\$0.00
BIOMED IDEC INC		CUSIP: 09062X103	Symbol (Box 1d): BILB					
	20.000	06/03/13	11/21/13	\$4,922.51	\$4,433.51	\$0.00	\$489.00	\$0.00
BOSTON SCIENTIFIC CORP		CUSIP: 101137107	Symbol (Box 1d): BSX					
	351.000	10/07/13	11/21/13	\$4,208.42	\$4,089.29	\$0.00	\$119.13	\$0.00
	160.000	10/07/13	11/21/13	\$1,918.37	\$1,868.74	\$0.00	\$49.63	\$0.00
	238.000	10/08/13	11/21/13	\$2,853.57	\$2,734.14	\$0.00	\$119.43	\$0.00
	235.000	10/08/13	11/21/13	\$2,817.60	\$2,745.20	\$0.00	\$72.40	\$0.00
	321.000	10/09/13	11/21/13	\$3,848.72	\$3,712.37	\$0.00	\$136.35	\$0.00
	315.000	10/09/13	11/21/13	\$3,776.78	\$3,690.67	\$0.00	\$86.11	\$0.00
	314.000	10/09/13	11/21/13	\$3,764.79	\$3,685.10	\$0.00	\$79.69	\$0.00
	291.000	10/10/13	11/21/13	\$3,489.03	\$3,457.08	\$0.00	\$31.95	\$0.00
	287.000	10/10/13	11/21/13	\$3,441.07	\$3,399.83	\$0.00	\$41.24	\$0.00
	276.000	10/10/13	11/21/13	\$3,309.18	\$3,278.52	\$0.00	\$30.66	\$0.00
	183.000	10/14/13	11/21/13	\$2,194.13	\$2,231.30	\$0.00	(\$37.17)	\$0.00
	151.000	10/15/13	11/21/13	\$1,810.46	\$1,846.93	\$0.00	(\$36.47)	\$0.00
	160.000	11/04/13	11/21/13	\$1,918.37	\$1,916.00	\$0.00	\$2.37	\$0.00
Security Subtotal	3,282.000			\$39,350.49	\$38,655.17	\$0.00	\$695.32	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BROADCOM CORP CL A		CUSIP: 111320107	Symbol (Box 1d): BRCM					
	180 000	06/01/12	01/30/13	\$6,109 10	\$5,756 67	\$0.00	\$352 43	\$0.00
	103 000	06/01/12	01/31/13	\$3,486 14	\$3,294 09	\$0.00	\$192 05	\$0.00
	41 000	06/01/12	01/31/13	\$1,387 69	\$1,299 90	\$0.00	\$87 79	\$0.00
	272 000	06/01/12	02/01/13	\$9,006 48	\$8,623 70	\$0.00	\$382 78	\$0.00
	129 000	06/18/12	02/01/13	\$4,271 45	\$4,465 36	\$0.00	(\$193 91)	\$0.00
	42 000	06/22/12	02/01/13	\$1,390 71	\$1,423 05	\$0.00	(\$32 34)	\$0.00
	25 000	10/26/12	02/01/13	\$827 80	\$802 97	\$0.00	\$24 83	\$0.00
Security Subtotal	792 000			\$26,479.37	\$25,665.74	\$0.00	\$813.63	\$0.00
CAPITAL ONE FINANCIAL CORP		CUSIP: 14040H105	Symbol (Box 1d): COF					
	97 000	10/23/12	01/28/13	\$5,433 32	\$5,763 99	\$0.00	(\$330 67)	\$0.00
	91 000	10/23/12	01/28/13	\$5,106 11	\$5,407 46	\$0.00	(\$301 35)	\$0.00
	23 000	10/23/12	01/28/13	\$1,290 55	\$1,382 54	\$0.00	(\$91 99)	\$0.00
	77 000	10/23/12	01/28/13	\$4,321 19	\$4,628 51	\$0.00	(\$307 32)	\$0.00
	12 000	10/24/12	01/28/13	\$672 16	\$723 23	\$0.00	(\$51 07)	\$0.00
	77 000	10/24/12	01/29/13	\$4,285 79	\$4,640 76	\$0.00	(\$354 97)	\$0.00
	30 000	11/19/12	01/29/13	\$1,669 79	\$1,734 33	\$0.00	(\$64 54)	\$0.00
	29 000	12/12/12	01/29/13	\$1,614 12	\$1,698 14	\$0.00	(\$84 02)	\$0.00
Security Subtotal	436 000			\$24,393.03	\$25,976.96	\$0.00	(\$1,583.93)	\$0.00
CBRE GROUP INC		CUSIP: 12504L109	Symbol (Box 1d): CBG					
	83 000	03/22/13	10/08/13	\$1,851 11	\$2,053 30	\$0.00	(\$202 19)	\$0.00
	21 000	03/22/13	10/09/13	\$464 20	\$519 51	\$0.00	(\$55 31)	\$0.00
	114 000	03/22/13	10/09/13	\$2,549 63	\$2,820 20	\$0.00	(\$270 57)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1 New York Plaza
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

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CBRE GROUP INC	85.000	03/25/13	10/09/13	\$1,878.89	\$2,134.26	\$0.00	(\$255.37)	\$0.00
	113.000	03/25/13	10/09/13	\$2,486.12	\$2,837.31	\$0.00	(\$351.19)	\$0.00
	21.000	03/25/13	10/10/13	\$479.23	\$527.29	\$0.00	(\$48.06)	\$0.00
	94.000	03/26/13	10/10/13	\$2,145.11	\$2,338.94	\$0.00	(\$193.83)	\$0.00
	113.000	03/26/13	10/10/13	\$2,561.52	\$2,811.70	\$0.00	(\$250.18)	\$0.00
	14.000	03/26/13	10/15/13	\$314.36	\$348.35	\$0.00	(\$33.99)	\$0.00
	69.000	04/03/13	10/15/13	\$1,549.37	\$1,651.94	\$0.00	(\$102.57)	\$0.00
	36.000	04/03/13	10/15/13	\$807.55	\$861.88	\$0.00	(\$54.33)	\$0.00
	46.000	04/17/13	10/15/13	\$1,031.86	\$1,092.01	\$0.00	(\$60.15)	\$0.00
	83.000	04/17/13	10/15/13	\$1,857.37	\$1,970.37	\$0.00	(\$113.00)	\$0.00
	11.000	04/18/13	10/15/13	\$246.16	\$260.54	\$0.00	(\$14.38)	\$0.00
	96.000	04/18/13	10/16/13	\$2,177.97	\$2,273.77	\$0.00	(\$95.80)	\$0.00
	45.000	04/18/13	10/17/13	\$1,018.22	\$1,065.83	\$0.00	(\$47.61)	\$0.00
	61.000	04/26/13	10/17/13	\$1,380.25	\$1,480.63	\$0.00	(\$100.38)	\$0.00
	2.000	04/29/13	10/17/13	\$45.25	\$49.11	\$0.00	(\$3.86)	\$0.00
	101.000	04/29/13	10/18/13	\$2,333.47	\$2,480.23	\$0.00	(\$146.76)	\$0.00
	7.000	05/22/13	10/18/13	\$161.73	\$176.42	\$0.00	(\$14.69)	\$0.00
	98.000	05/22/13	10/21/13	\$2,243.08	\$2,469.93	\$0.00	(\$226.85)	\$0.00
Security Subtotal	1,313.000			\$29,582.45	\$32,223.52	\$0.00	(\$2,641.07)	\$0.00
CUSIP: 124857202			Symbol (Box 1d): CBS					
CBS CORP NEW CL B SHRS	87.000	08/23/12	06/07/13	\$4,235.31	\$3,148.46	\$0.00	\$1,086.85	\$0.00
	10.000	08/23/12	06/07/13	\$482.72	\$361.89	\$0.00	\$120.83	\$0.00
	208.000	08/24/12	06/07/13	\$10,040.60	\$7,471.15	\$0.00	\$2,569.45	\$0.00
	153.000	08/27/12	06/07/13	\$7,369.93	\$5,593.39	\$0.00	\$1,776.54	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CBS CORP NEW CL B SHRS	58,000	08/27/12	06/07/13	\$2,799.79	\$2,120.37	\$0.00	\$679.42	\$0.00
	3,000	08/29/12	06/07/13	\$144.51	\$108.77	\$0.00	\$35.74	\$0.00
	48,000	08/29/12	06/10/13	\$2,306.60	\$1,740.25	\$0.00	\$566.35	\$0.00
	55,000	08/30/12	06/10/13	\$2,642.98	\$1,992.56	\$0.00	\$650.42	\$0.00
	89,000	09/21/12	06/10/13	\$4,247.34	\$3,382.50	\$0.00	\$864.84	\$0.00
	65,000	09/21/12	06/10/13	\$3,123.51	\$2,470.36	\$0.00	\$653.15	\$0.00
	53,000	05/13/13	06/10/13	\$2,529.31	\$2,538.25	\$0.00	(\$8.94)	\$0.00
Security Subtotal	829,000			\$39,922.60	\$30,927.95	\$0.00	\$8,994.65	\$0.00
CISCO SYS INC								
	186,000	08/14/13	08/21/13	\$4,501.47	\$4,904.02	\$402.55	(\$402.55)	\$0.00
	16,000	08/14/13	08/21/13	\$388.13	\$421.82	\$33.69	(\$33.69)	\$0.00
	127,000	08/14/13	08/21/13	\$3,081.07	\$3,348.48	\$267.41	(\$267.41)	\$0.00
	140,000	08/14/13	08/22/13	\$3,380.65	\$4,293.12	\$912.47	(\$912.47)	\$0.00
	3,000	08/14/13	08/22/13	\$72.02	\$79.10	\$7.08	(\$7.08)	\$0.00
	37,000	08/14/13	08/22/13	\$888.29	\$1,047.38	\$159.09	(\$159.09)	\$0.00
	140,000	08/14/13	08/22/13	\$3,361.10	\$3,963.02	\$601.92	(\$601.92)	\$0.00
	3,000	08/14/13	08/23/13	\$72.04	\$80.71	\$8.67	(\$8.67)	\$0.00
	9,000	08/14/13	08/23/13	\$216.12	\$637.84	\$421.72	(\$421.72)	\$0.00
	16,000	08/14/13	08/23/13	\$384.22	\$451.98	\$67.76	(\$67.76)	\$0.00
	37,000	08/14/13	08/23/13	\$888.50	\$1,067.25	\$178.75	(\$178.75)	\$0.00
	127,000	08/14/13	08/23/13	\$3,049.73	\$3,384.60	\$334.87	(\$334.87)	\$0.00
	140,000	08/14/13	08/23/13	\$3,361.90	\$4,348.74	\$986.84	(\$986.84)	\$0.00
	27,000	08/15/13	08/23/13	\$648.37	\$662.71	\$14.34	(\$14.34)	\$0.00
Security Subtotal	1,008,000			\$24,293.61	\$28,690.77	\$2,384.21	(\$4,397.16)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CONSTELLATION BRANDS INC CL A CUSIP: 21036P108 Symbol (Box 1d): STZ								
	119 000	04/23/13	11/21/13	\$8,227.34	\$5,778.89	\$0.00	\$2,448.45	\$0.00
	171 000	04/23/13	11/21/13	\$11,822.48	\$8,270.48	\$0.00	\$3,552.00	\$0.00
	215 000	04/24/13	11/21/13	\$14,864.52	\$10,378.61	\$0.00	\$4,485.91	\$0.00
	52 000	04/25/13	11/21/13	\$3,595.14	\$2,517.37	\$0.00	\$1,077.77	\$0.00
	95 000	04/25/13	11/21/13	\$6,568.04	\$4,592.89	\$0.00	\$1,975.15	\$0.00
	114 000	04/26/13	11/21/13	\$7,881.65	\$5,487.71	\$0.00	\$2,393.94	\$0.00
	104 000	04/30/13	11/21/13	\$7,190.28	\$5,049.25	\$0.00	\$2,141.03	\$0.00
	51 000	05/17/13	11/21/13	\$3,526.00	\$2,640.37	\$0.00	\$885.63	\$0.00
	34 000	05/22/13	11/21/13	\$2,350.67	\$1,773.00	\$0.00	\$577.67	\$0.00
	35 000	07/09/13	11/21/13	\$2,419.81	\$1,794.88	\$0.00	\$624.93	\$0.00
	56 000	07/29/13	11/21/13	\$3,871.69	\$2,857.83	\$0.00	\$1,013.86	\$0.00
	31 000	07/30/13	11/21/13	\$2,143.26	\$1,602.19	\$0.00	\$541.07	\$0.00
	24 000	08/26/13	11/21/13	\$1,659.30	\$1,340.03	\$0.00	\$319.27	\$0.00
	34 000	08/27/13	11/21/13	\$2,350.65	\$1,880.44	\$0.00	\$470.21	\$0.00
Security Subtotal	1,135,000			\$78,470.83	\$55,963.94	\$0.00	\$22,506.89	\$0.00
CROWN CASTLE INTL CUSIP: 228227104 Symbol (Box 1d): CCI								
	26 000	02/22/13	10/24/13	\$1,928.63	\$1,827.75	\$0.00	\$100.88	\$0.00
	23 000	03/06/13	10/24/13	\$1,706.10	\$1,654.98	\$0.00	\$51.12	\$0.00
	30 000	04/05/13	10/24/13	\$2,225.34	\$2,101.59	\$0.00	\$123.75	\$0.00
Security Subtotal	79,000			\$5,860.07	\$5,584.32	\$0.00	\$275.75	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUMMINS INC								
		CUSIP: 231021106		Symbol (Box 1d): CMI				
	13 000	10/15/12	07/09/13	\$1,462.44	\$1,154.49	\$0.00	\$307.95	\$0.00
	8 000	10/15/12	07/09/13	\$900.64	\$710.46	\$0.00	\$190.18	\$0.00
	17 000	10/16/12	07/09/13	\$1,912.42	\$1,536.97	\$0.00	\$375.45	\$0.00
	1 000	10/18/12	07/09/13	\$112.50	\$93.27	\$0.00	\$19.23	\$0.00
	30 000	10/18/12	07/09/13	\$3,351.73	\$2,798.13	\$0.00	\$553.60	\$0.00
	16 000	01/25/13	07/09/13	\$1,787.59	\$1,859.67	\$0.00	(\$72.08)	\$0.00
Security Subtotal	85 000			\$9,527.32	\$8,152.99	\$0.00	\$1,374.33	\$0.00
CVS CAREMARK CORP								
		CUSIP: 126650100		Symbol (Box 1d): CVS				
	64 000	05/24/13	09/11/13	\$3,802.61	\$3,795.76	\$0.00	\$6.85	\$0.00
	10 000	05/24/13	10/03/13	\$568.71	\$593.09	\$0.00	(\$24.38)	\$0.00
	74 000	05/24/13	10/03/13	\$4,208.42	\$4,358.80	\$0.00	(\$150.38)	\$0.00
	48 000	05/28/13	10/03/13	\$2,715.71	\$2,897.50	\$0.00	(\$181.79)	\$0.00
	46 000	05/28/13	10/03/13	\$2,616.04	\$2,781.53	\$0.00	(\$165.49)	\$0.00
	22 000	05/28/13	10/03/13	\$1,247.49	\$1,328.02	\$0.00	(\$80.53)	\$0.00
	27 000	05/28/13	10/03/13	\$1,531.00	\$1,632.64	\$0.00	(\$101.64)	\$0.00
	50 000	05/29/13	10/03/13	\$2,828.86	\$2,970.43	\$0.00	(\$141.57)	\$0.00
	49 000	05/29/13	10/03/13	\$2,768.87	\$2,902.40	\$0.00	(\$133.53)	\$0.00
	41 000	05/29/13	10/03/13	\$2,316.81	\$2,435.75	\$0.00	(\$118.94)	\$0.00
	21 000	05/29/13	10/04/13	\$1,197.09	\$1,243.89	\$0.00	(\$46.80)	\$0.00
	44 000	05/30/13	10/04/13	\$2,508.20	\$2,608.99	\$0.00	(\$100.79)	\$0.00
	6 000	05/30/13	10/04/13	\$341.42	\$355.77	\$0.00	(\$14.35)	\$0.00
	59 000	06/13/13	10/04/13	\$3,357.27	\$3,466.79	\$0.00	(\$109.52)	\$0.00
	33 000	06/14/13	10/04/13	\$1,876.86	\$1,973.30	\$0.00	(\$96.44)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CVS CAREMARK CORP	24.000	06/14/13	10/07/13	\$1,359.29	\$1,435.13	\$0.00	(\$75.84)	\$0.00
	57.000	06/19/13	10/07/13	\$3,228.33	\$3,429.49	\$0.00	(\$201.16)	\$0.00
Security Subtotal	675.000			\$38,472.98	\$40,209.28	\$0.00	(\$1,736.30)	\$0.00
DANAHER CORPORATION								
	25.000	05/08/12	04/24/13	\$1,483.75	\$1,322.80	\$0.00	\$160.95	\$0.00
	25.000	12/28/12	04/24/13	\$1,483.73	\$1,381.23	\$0.00	\$102.50	\$0.00
Security Subtotal	50.000			\$2,967.48	\$2,704.03	\$0.00	\$263.45	\$0.00
DELPHI AUTOMOTIVE PLC								
	63.000	08/26/13	11/21/13	\$3,655.31	\$3,519.25	\$0.00	\$136.06	\$0.00
	47.000	08/27/13	11/21/13	\$2,726.98	\$2,561.87	\$0.00	\$165.11	\$0.00
	48.000	08/27/13	11/21/13	\$2,785.00	\$2,616.38	\$0.00	\$168.62	\$0.00
	127.000	08/28/13	11/21/13	\$7,368.64	\$7,059.00	\$0.00	\$309.64	\$0.00
	161.000	08/28/13	11/21/13	\$9,341.35	\$8,724.25	\$0.00	\$617.10	\$0.00
	23.000	08/29/13	11/21/13	\$1,334.48	\$1,279.60	\$0.00	\$54.88	\$0.00
	22.000	08/29/13	11/21/13	\$1,276.46	\$1,224.17	\$0.00	\$52.29	\$0.00
	47.000	09/11/13	11/21/13	\$2,726.98	\$2,707.14	\$0.00	\$19.84	\$0.00
	44.000	09/12/13	11/21/13	\$2,552.91	\$2,503.02	\$0.00	\$49.89	\$0.00
	47.000	09/16/13	11/21/13	\$2,726.96	\$2,688.42	\$0.00	\$38.54	\$0.00
Security Subtotal	629.000			\$36,495.07	\$34,883.10	\$0.00	\$1,611.97	\$0.00
DELTA AIR LINES INC NEW								
	87.000	07/22/13	11/21/13	\$2,430.66	\$1,767.79	\$0.00	\$662.87	\$0.00
	87.000	07/23/13	11/21/13	\$2,430.66	\$1,779.92	\$0.00	\$650.74	\$0.00
	216.000	07/23/13	11/21/13	\$6,034.74	\$4,422.08	\$0.00	\$1,612.66	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1 New York Plaza
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Identification Number: 26-4310632

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DELTA AIR LINES INC NEW	217.000	07/23/13	11/21/13	\$6,062.68	\$4,414.08	\$0.00	\$1,648.60	\$0.00
	258.000	07/24/13	11/21/13	\$7,208.16	\$5,418.98	\$0.00	\$1,789.18	\$0.00
	167.000	07/24/13	11/21/13	\$4,665.75	\$3,601.77	\$0.00	\$1,063.98	\$0.00
	48.000	07/26/13	11/21/13	\$1,341.05	\$1,049.50	\$0.00	\$291.55	\$0.00
Security Subtotal	1,080.000			\$30,173.70	\$22,454.12	\$0.00	\$7,719.58	\$0.00
DISCOVERY COMMUNICATIONS SER A								
	108.000	03/07/13	11/21/13	\$9,180.92	\$8,246.20	\$0.00	\$934.72	\$0.00
	103.000	03/08/13	11/21/13	\$8,755.88	\$7,991.54	\$0.00	\$764.34	\$0.00
	62.000	03/11/13	11/21/13	\$5,270.53	\$4,830.22	\$0.00	\$440.31	\$0.00
	29.000	03/11/13	11/21/13	\$2,465.25	\$2,258.09	\$0.00	\$207.16	\$0.00
	23.000	03/12/13	11/21/13	\$1,955.20	\$1,790.95	\$0.00	\$164.25	\$0.00
	17.000	04/30/13	11/21/13	\$1,445.14	\$1,353.67	\$0.00	\$91.47	\$0.00
	44.000	05/21/13	11/21/13	\$3,740.37	\$3,494.56	\$0.00	\$245.81	\$0.00
	48.000	05/29/13	11/21/13	\$4,080.41	\$3,798.10	\$0.00	\$282.31	\$0.00
	33.000	06/18/13	11/21/13	\$2,805.28	\$2,563.84	\$0.00	\$241.44	\$0.00
	17.000	09/24/13	11/21/13	\$1,445.14	\$1,369.43	\$0.00	\$75.71	\$0.00
	15.000	09/25/13	11/21/13	\$1,275.13	\$1,217.26	\$0.00	\$57.87	\$0.00
	21.000	09/25/13	11/21/13	\$1,785.18	\$1,692.37	\$0.00	\$92.81	\$0.00
	1.000	09/26/13	11/21/13	\$85.01	\$81.81	\$0.00	\$3.20	\$0.00
	44.000	09/27/13	11/21/13	\$3,740.37	\$3,761.77	\$0.00	(\$21.40)	\$0.00
Security Subtotal	565.000			\$48,029.81	\$44,449.81	\$0.00	\$3,580.00	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 256677105 Symbol (Box 1d): DG								
DOLLAR GEN CORP NEW COM	13.000	08/31/12	07/31/13	\$710.79	\$663.01	\$0.00	\$47.78	\$0.00
	65.000	08/31/12	07/31/13	\$3,549.07	\$3,315.04	\$0.00	\$234.03	\$0.00
Security Subtotal	78.000			\$4,259.86	\$3,978.05	\$0.00	\$281.81	\$0.00
CUSIP: G29183103 Symbol (Box 1d): ETN								
EATON CORP PLC SHS	19.000	01/24/13	10/07/13	\$1,289.78	\$1,071.73	\$0.00	\$218.05	\$0.00
	35.000	01/24/13	10/14/13	\$2,421.06	\$1,974.25	\$0.00	\$446.81	\$0.00
	27.000	01/24/13	10/15/13	\$1,812.85	\$1,523.00	\$0.00	\$289.85	\$0.00
	36.000	01/24/13	10/15/13	\$2,442.24	\$2,030.65	\$0.00	\$411.59	\$0.00
	23.000	01/24/13	10/16/13	\$1,530.77	\$1,297.36	\$0.00	\$233.41	\$0.00
	23.000	01/25/13	10/16/13	\$1,530.76	\$1,313.20	\$0.00	\$217.56	\$0.00
	34.000	01/25/13	11/21/13	\$2,458.36	\$1,935.19	\$0.00	\$523.17	\$0.00
	123.000	01/28/13	11/21/13	\$8,893.47	\$6,963.18	\$0.00	\$1,930.29	\$0.00
	167.000	01/28/13	11/21/13	\$12,074.87	\$9,489.98	\$0.00	\$2,584.89	\$0.00
	82.000	02/05/13	11/21/13	\$5,928.98	\$4,725.95	\$0.00	\$1,203.03	\$0.00
	61.000	05/07/13	11/21/13	\$4,410.58	\$3,822.42	\$0.00	\$588.16	\$0.00
	72.000	10/25/13	11/21/13	\$5,205.94	\$5,140.14	\$0.00	\$65.80	\$0.00
Security Subtotal	702.000			\$49,999.66	\$41,287.05	\$0.00	\$8,712.61	\$0.00
CUSIP: 278642103 Symbol (Box 1d): EBAY								
EBAY INC	60.000	11/21/13	12/26/13	\$3,240.40	\$3,029.03	\$0.00	\$211.37	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EOG RESOURCES INC		CUSIP: 26875P101	Symbol	(Box 1d): EOG				
	18,000	11/21/13	12/02/13	\$2,973.12	\$3,050.32	\$0.00	(\$77.20)	\$0.00
	72,000	11/21/13	12/09/13	\$11,351.41	\$12,201.30	\$0.00	(\$849.89)	\$0.00
Security Subtotal	90,000			\$14,324.53	\$15,251.62	\$0.00	(\$927.09)	\$0.00
FACEBOOK INC CL-A		CUSIP: 30303M102	Symbol	(Box 1d): FB				
	63,000	07/29/13	10/29/13	\$3,172.62	\$2,232.24	\$0.00	\$940.38	\$0.00
	61,000	07/29/13	10/29/13	\$3,029.31	\$2,161.38	\$0.00	\$867.93	\$0.00
	161,000	07/29/13	11/21/13	\$7,562.79	\$5,704.61	\$0.00	\$1,858.18	\$0.00
	269,000	07/29/13	11/21/13	\$12,635.97	\$9,399.56	\$0.00	\$3,236.41	\$0.00
	16,000	07/29/13	12/23/13	\$912.68	\$559.08	\$0.00	\$353.60	\$0.00
	46,000	07/30/13	12/23/13	\$2,623.94	\$1,722.74	\$0.00	\$901.20	\$0.00
Security Subtotal	616,000			\$29,937.31	\$21,779.61	\$0.00	\$8,157.70	\$0.00
F5 NETWORKS INC		CUSIP: 315616102	Symbol	(Box 1d): FFIV				
	5,000	08/05/13	10/23/13	\$435.31	\$442.50	\$0.00	(\$7.19)	\$0.00
	24,000	08/06/13	10/23/13	\$2,089.46	\$2,123.03	\$0.00	(\$33.57)	\$0.00
	30,000	08/06/13	10/23/13	\$2,611.83	\$2,654.38	\$0.00	(\$42.55)	\$0.00
	17,000	08/06/13	10/23/13	\$1,460.37	\$1,503.82	\$0.00	(\$43.45)	\$0.00
	35,000	08/06/13	10/23/13	\$3,006.64	\$3,095.90	\$0.00	(\$89.26)	\$0.00
	12,000	08/07/13	10/23/13	\$1,030.84	\$1,056.59	\$0.00	(\$25.75)	\$0.00
	28,000	08/07/13	10/24/13	\$2,441.55	\$2,465.39	\$0.00	(\$23.84)	\$0.00
	29,000	08/08/13	10/24/13	\$2,459.04	\$2,586.82	\$0.00	(\$127.78)	\$0.00
	26,000	08/08/13	10/24/13	\$2,204.66	\$2,351.54	\$0.00	(\$146.88)	\$0.00
	14,000	08/08/13	10/24/13	\$1,220.78	\$1,266.22	\$0.00	(\$45.44)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number 36-6043160
Account Number 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
F5 NETWORKS INC	20,000	08/08/13	10/25/13	\$1,698.68	\$1,784.01	\$0.00	(\$85.33)	\$0.00
	30,000	08/09/13	10/25/13	\$2,513.47	\$2,695.93	\$0.00	(\$182.46)	\$0.00
	22,000	08/09/13	10/25/13	\$1,843.21	\$1,985.24	\$0.00	(\$142.03)	\$0.00
	14,000	08/09/13	10/25/13	\$1,189.07	\$1,263.34	\$0.00	(\$74.27)	\$0.00
	19,000	08/09/13	10/25/13	\$1,613.75	\$1,716.66	\$0.00	(\$102.91)	\$0.00
Security Subtotal	325,000			\$27,818.66	\$28,991.37	\$0.00	(\$1,172.71)	\$0.00
HCA HOLDINGS INC								
	68,000	01/15/13	05/24/13	\$2,482.14	\$2,364.11	\$0.00	\$118.03	\$0.00
	46,000	01/16/13	05/24/13	\$1,670.73	\$1,608.53	\$0.00	\$62.20	\$0.00
	2,000	01/16/13	05/24/13	\$73.00	\$69.94	\$0.00	\$3.06	\$0.00
	3,000	01/17/13	05/24/13	\$108.96	\$107.81	\$0.00	\$1.15	\$0.00
	71,000	01/17/13	05/26/13	\$2,579.12	\$2,551.46	\$0.00	\$27.66	\$0.00
	45,000	01/17/13	05/26/13	\$1,643.74	\$1,617.12	\$0.00	\$26.62	\$0.00
	77,000	01/17/13	05/27/13	\$2,839.95	\$2,767.07	\$0.00	\$72.88	\$0.00
	27,000	01/18/13	05/27/13	\$980.19	\$994.71	\$0.00	(\$14.52)	\$0.00
	38,000	01/18/13	05/27/13	\$1,401.53	\$1,399.96	\$0.00	\$1.57	\$0.00
	55,000	01/18/13	05/27/13	\$2,008.03	\$2,026.26	\$0.00	(\$18.23)	\$0.00
	78,000	01/18/13	05/27/13	\$2,831.65	\$2,907.71	\$0.00	(\$76.06)	\$0.00
	58,000	01/22/13	05/28/13	\$2,079.18	\$2,162.15	\$0.00	(\$82.97)	\$0.00
	24,000	01/25/13	05/28/13	\$856.25	\$908.54	\$0.00	(\$52.29)	\$0.00
	22,000	01/25/13	05/28/13	\$788.66	\$832.82	\$0.00	(\$44.16)	\$0.00
	69,000	02/13/13	06/28/13	\$2,461.72	\$2,552.43	\$0.00	(\$90.71)	\$0.00
	28,000	02/13/13	06/28/13	\$997.73	\$1,035.77	\$0.00	(\$38.04)	\$0.00
	18,000	02/21/13	05/28/13	\$641.40	\$642.94	\$0.00	(\$1.54)	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HCA HOLDINGS INC	27 000	02/21/13	07/01/13	\$994.59	\$964.42	\$0.00	\$30.17	\$0.00
	42 000	03/06/13	07/01/13	\$1,547.13	\$1,555.92	\$0.00	(\$8.79)	\$0.00
Security Subtotal	798 000			\$28,995.70	\$29,069.87	\$0.00	(\$83.97)	\$0.00
CUSIP: 427866108 Symbol (Box 1d): HSY								
HERSHEY COMPANY	67 000	06/29/12	01/24/13	\$5,256.08	\$4,793.50	\$0.00	\$462.58	\$0.00
	15 000	07/02/12	01/24/13	\$1,176.74	\$1,074.67	\$0.00	\$102.07	\$0.00
	80 000	07/02/12	01/25/13	\$6,212.20	\$5,731.59	\$0.00	\$480.61	\$0.00
	3 000	07/02/12	01/28/13	\$231.32	\$214.93	\$0.00	\$16.39	\$0.00
	77 000	07/03/12	01/28/13	\$5,937.28	\$5,584.97	\$0.00	\$352.31	\$0.00
	7 000	07/03/12	01/29/13	\$542.02	\$507.72	\$0.00	\$34.30	\$0.00
	63 000	07/05/12	01/29/13	\$4,878.20	\$4,570.65	\$0.00	\$307.55	\$0.00
	19 000	07/05/12	01/30/13	\$1,481.95	\$1,378.45	\$0.00	\$103.50	\$0.00
	28 000	07/20/12	01/30/13	\$2,183.93	\$1,991.92	\$0.00	\$192.01	\$0.00
	25 000	07/23/12	01/30/13	\$1,949.93	\$1,772.45	\$0.00	\$177.48	\$0.00
Security Subtotal	384 000			\$29,849.65	\$27,620.85	\$0.00	\$2,228.80	\$0.00
CUSIP: 428051105 Symbol (Box 1d): HTZ								
HERTZ GLOBAL HOLDINGS, INC	21 000	11/16/12	11/12/13	\$479.04	\$306.28	\$0.00	\$172.76	\$0.00
	130 000	11/16/12	11/13/13	\$2,926.72	\$1,895.99	\$0.00	\$1,030.73	\$0.00
Security Subtotal	151 000			\$3,405.76	\$2,202.27	\$0.00	\$1,203.49	\$0.00
CUSIP: 437076102 Symbol (Box 1d): HD								
HOME DEPOT INC	72 000	12/28/12	11/21/13	\$5,745.46	\$4,396.39	\$0.00	\$1,349.07	\$0.00
	63 000	12/31/12	11/21/13	\$5,027.28	\$3,846.14	\$0.00	\$1,181.14	\$0.00
	23 000	01/25/13	11/21/13	\$1,835.36	\$1,561.81	\$0.00	\$273.55	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
HOME DEPOT INC	24.000	02/05/13	11/21/13	\$1,915.15	\$1,600.53	\$0.00	\$314.62	\$0.00
	27.000	02/26/13	11/21/13	\$2,154.55	\$1,828.45	\$0.00	\$326.10	\$0.00
	24.000	02/26/13	11/21/13	\$1,915.15	\$1,616.82	\$0.00	\$298.33	\$0.00
	22.000	02/27/13	11/21/13	\$1,755.56	\$1,492.78	\$0.00	\$262.78	\$0.00
	35.000	03/04/13	11/21/13	\$2,792.93	\$2,446.06	\$0.00	\$346.87	\$0.00
	25.000	03/18/13	11/21/13	\$1,994.96	\$1,741.86	\$0.00	\$253.10	\$0.00
Security Subtotal	315.000			\$25,136.40	\$20,530.84	\$0.00	\$4,605.56	\$0.00
CUSIP: 45866F104 Symbol (Box 1d): ICE								
INTERCONTINENTAL EXCHANGE GROUP	59.000	01/24/13	11/21/13	\$12,649.97	\$7,955.35	\$0.00	\$4,694.62	\$0.00
	24.000	01/25/13	11/21/13	\$5,145.75	\$3,228.86	\$0.00	\$1,916.89	\$0.00
	24.000	01/28/13	11/21/13	\$5,145.75	\$3,234.61	\$0.00	\$1,911.14	\$0.00
	59.000	01/28/13	11/21/13	\$12,649.97	\$7,929.31	\$0.00	\$4,720.66	\$0.00
	61.000	01/29/13	11/21/13	\$13,078.79	\$8,321.30	\$0.00	\$4,757.49	\$0.00
	9.000	03/06/13	11/21/13	\$1,929.66	\$1,451.38	\$0.00	\$478.28	\$0.00
	17.000	08/13/13	11/21/13	\$3,644.91	\$3,103.12	\$0.00	\$541.79	\$0.00
	9.000	08/16/13	11/21/13	\$1,929.66	\$1,623.07	\$0.00	\$306.59	\$0.00
	8.000	09/24/13	11/21/13	\$2,144.06	\$1,828.22	\$0.00	\$315.84	\$0.00
	10.000	10/03/13	11/21/13	\$1,715.25	\$1,448.52	\$0.00	\$266.73	\$0.00
	10.000	10/03/13	11/21/13	\$2,144.06	\$1,878.78	\$0.00	\$265.28	\$0.00
	11.000	10/03/13	11/21/13	\$2,358.47	\$2,054.40	\$0.00	\$304.07	\$0.00
	10.000	10/04/13	11/21/13	\$2,144.06	\$1,931.78	\$0.00	\$212.28	\$0.00
	10.000	10/04/13	11/21/13	\$2,144.06	\$1,924.28	\$0.00	\$219.78	\$0.00
	10.000	10/08/13	11/21/13	\$2,144.08	\$1,905.43	\$0.00	\$238.65	\$0.00
Security Subtotal	331.000			\$70,968.50	\$49,818.41	\$0.00	\$21,150.09	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 36-6043160
Account Number 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INTUITIVE SURGICAL INC								
		CUSIP: 46120E602	Symbol	(Box 1d): ISRG				
	2 000	05/09/12	03/26/13	\$977.80	\$1,100.32	\$0.00	(\$122.52)	\$0.00
	2 000	06/05/12	03/26/13	\$977.80	\$1,037.19	\$0.00	(\$59.39)	\$0.00
	3 000	06/06/12	03/26/13	\$1,466.71	\$1,609.91	\$0.00	(\$143.20)	\$0.00
	2 000	09/25/12	03/26/13	\$977.80	\$1,029.50	\$0.00	(\$51.70)	\$0.00
	3 000	12/18/12	03/26/13	\$1,466.71	\$1,648.79	\$0.00	(\$182.08)	\$0.00
Security Subtotal	12 000			\$5,866.82	\$6,425.71	\$0.00	(\$558.89)	\$0.00
KANSAS CY SOUTH IND NEW								
		CUSIP: 485170302	Symbol	(Box 1d): KSU				
	16 000	10/22/13	11/21/13	\$1,950.11	\$1,924.77	\$0.00	\$25.34	\$0.00
	17 000	10/22/13	11/21/13	\$2,071.99	\$2,051.89	\$0.00	\$20.10	\$0.00
	39 000	10/23/13	11/21/13	\$4,753.39	\$4,752.46	\$0.00	\$0.92	\$0.00
	18 000	10/23/13	11/21/13	\$2,193.87	\$2,225.95	\$32.08	(\$32.08)	\$0.00
	14 000	10/23/13	11/21/13	\$1,706.34	\$1,731.30	\$24.96	(\$24.96)	\$0.00
	2 000	10/23/13	11/21/13	\$243.78	\$245.64	\$1.86	(\$1.86)	\$0.00
	13 000	10/23/13	11/21/13	\$1,584.46	\$1,596.58	\$12.12	(\$12.12)	\$0.00
	17 000	10/23/13	11/21/13	\$2,071.97	\$2,087.83	\$15.86	(\$15.86)	\$0.00
	22 000	10/24/13	11/21/13	\$2,681.36	\$2,721.28	\$39.92	(\$39.92)	\$0.00
	20 000	10/24/13	11/21/13	\$2,437.63	\$2,473.43	\$0.00	(\$35.80)	\$0.00
	16 000	10/24/13	11/21/13	\$1,950.11	\$1,981.96	\$0.00	(\$31.85)	\$0.00
	15 000	10/24/13	11/21/13	\$1,950.14	\$1,979.18	\$0.00	(\$29.04)	\$0.00
	15 000	10/24/13	11/21/13	\$1,828.22	\$1,866.73	\$0.00	(\$38.51)	\$0.00
	39 000	10/25/13	11/21/13	\$4,753.38	\$4,837.75	\$0.00	(\$84.37)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number. 36-6043160
Account Number. 559 147355 055

Customer Service: 866-324-6088

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OMB NO. 1545-0715

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CUSIP: 501797104 Symbol (Box 1d): LTD								
KANSAS CY SOUTHN IND NEW	15 000	10/28/13	11/21/13	\$1,828.22	\$1,846.33	\$0.00	(\$18.11)	\$0.00
	11 000	10/31/13	11/21/13	\$1,340.71	\$1,345.85	\$0.00	(\$5.14)	\$0.00
Security Subtotal	290 000			\$35,345.68	\$35,668.93	\$126.80	(\$323.26)	\$0.00
L BRANDS INC COM								
	25 000	06/14/12	05/31/13	\$1,250.10	\$1,054.57	\$0.00	\$195.53	\$0.00
	33 000	06/27/12	05/31/13	\$1,650.13	\$1,345.79	\$0.00	\$304.34	\$0.00
	25 000	09/14/12	05/31/13	\$1,246.96	\$1,249.20	\$0.00	(\$2.24)	\$0.00
	9 000	09/14/12	05/31/13	\$450.04	\$449.71	\$0.00	\$0.33	\$0.00
	17 000	09/19/12	05/31/13	\$847.93	\$849.69	\$0.00	(\$1.76)	\$0.00
	73 000	09/20/12	05/31/13	\$3,641.11	\$3,689.35	\$0.00	(\$48.24)	\$0.00
	52 000	09/21/12	05/31/13	\$2,593.66	\$2,707.11	\$0.00	(\$113.45)	\$0.00
	18 000	09/21/12	06/03/13	\$903.67	\$937.08	\$0.00	(\$33.41)	\$0.00
	37 000	10/05/12	06/03/13	\$1,857.55	\$1,871.98	\$0.00	(\$14.43)	\$0.00
	25 000	12/28/12	06/03/13	\$1,255.11	\$1,145.14	\$0.00	\$109.97	\$0.00
Security Subtotal	314 000			\$15,696.26	\$15,299.62	\$0.00	\$396.64	\$0.00
LIBERTY GLOBAL PLC CL C NEW								
	85 000	03/20/13	11/21/13	\$6,385.98	\$5,431.66	\$0.00	\$954.32	\$0.00
	92 000	03/21/13	11/21/13	\$6,911.88	\$5,919.33	\$0.00	\$992.55	\$0.00
	141 000	03/22/13	11/21/13	\$10,593.21	\$9,207.82	\$0.00	\$1,385.39	\$0.00
	60 000	03/26/13	11/21/13	\$4,507.75	\$3,967.30	\$0.00	\$540.45	\$0.00
	23 000	04/22/13	11/21/13	\$1,727.97	\$1,562.20	\$0.00	\$165.77	\$0.00
	24 000	04/25/13	11/21/13	\$1,803.10	\$1,623.79	\$0.00	\$179.31	\$0.00
	29 000	05/06/13	11/21/13	\$2,178.75	\$2,042.76	\$0.00	\$135.99	\$0.00
	32 000	05/07/13	11/21/13	\$2,404.13	\$2,265.29	\$0.00	\$138.84	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
LIBERTY GLOBAL PLC CL C NEW	46,000	05/09/13	11/21/13	\$3,455.94	\$3,292.83	\$0.00	\$163.11	\$0.00
	24,000	05/29/13	11/21/13	\$1,803.10	\$1,661.43	\$0.00	\$141.67	\$0.00
	28,000	05/30/13	11/21/13	\$2,103.62	\$1,975.20	\$0.00	\$128.42	\$0.00
	38,000	06/13/13	11/21/13	\$2,854.91	\$2,639.45	\$0.00	\$215.46	\$0.00
	24,000	06/14/13	11/21/13	\$1,803.10	\$1,651.01	\$0.00	\$152.09	\$0.00
	21,000	10/08/13	11/21/13	\$1,577.72	\$1,536.64	\$0.00	\$41.08	\$0.00
Security Subtotal	667,000			\$50,111.16	\$44,776.71	\$0.00	\$5,334.45	\$0.00
LIBERTY MEDIA CO LIBERTY CAP A	7,000	09/27/12	01/03/13	\$839.18	\$728.15	\$0.00	\$111.03	\$0.00
	8,000	09/28/12	01/03/13	\$959.06	\$830.97	\$0.00	\$128.09	\$0.00
	15,000	09/28/12	01/08/13	\$1,764.66	\$1,558.07	\$0.00	\$206.59	\$0.00
	16,000	09/28/12	01/08/13	\$1,894.29	\$1,661.94	\$0.00	\$232.35	\$0.00
Security Subtotal	46,000			\$5,457.19	\$4,779.13	\$0.00	\$678.06	\$0.00
LINKEDIN CORP-A	16,000	11/19/12	02/08/13	\$2,411.55	\$1,660.87	\$0.00	\$750.68	\$0.00
	21,000	11/19/12	03/18/13	\$3,646.48	\$2,179.90	\$0.00	\$1,466.58	\$0.00
	10,000	11/19/12	04/01/13	\$1,729.20	\$1,038.05	\$0.00	\$691.15	\$0.00
	13,000	11/19/12	07/19/13	\$2,594.29	\$1,349.46	\$0.00	\$1,244.83	\$0.00
	11,000	11/19/12	07/19/13	\$2,202.45	\$1,141.85	\$0.00	\$1,060.60	\$0.00
	8,000	11/19/12	07/29/13	\$1,612.30	\$830.44	\$0.00	\$781.86	\$0.00
	9,000	11/19/12	07/30/13	\$1,827.40	\$934.24	\$0.00	\$893.16	\$0.00
Security Subtotal	88,000			\$16,023.67	\$9,134.81	\$0.00	\$6,888.86	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LULULEMON ATHLETICA INC		CUSIP: 550021109	Symbol (Box 1d): LULU					
	50.000	06/11/12	04/17/13	\$3,569.23	\$3,275.46	\$0.00	\$293.77	\$0.00
	18.000	12/12/12	04/17/13	\$1,284.92	\$1,339.96	\$0.00	(\$55.04)	\$0.00
Security Subtotal	68.000			\$4,854.15	\$4,615.42	\$0.00	\$238.73	\$0.00
MASTERCARD INC CL A		CUSIP: 57636Q104	Symbol (Box 1d): MA					
	3.000	07/31/13	11/04/13	\$2,199.32	\$1,841.88	\$0.00	\$357.44	\$0.00
	9.000	07/31/13	11/18/13	\$6,773.01	\$5,525.64	\$0.00	\$1,247.37	\$0.00
	3.000	07/31/13	11/19/13	\$2,255.82	\$1,841.88	\$0.00	\$413.94	\$0.00
	8.000	08/01/13	11/19/13	\$6,015.53	\$5,164.34	\$0.00	\$851.19	\$0.00
	10.000	08/01/13	11/21/13	\$7,477.06	\$6,455.42	\$0.00	\$1,021.64	\$0.00
Security Subtotal	33.000			\$24,720.74	\$20,829.16	\$0.00	\$3,891.58	\$0.00
MICHAEL KORS HOLDINGS LTD		CUSIP: G60754101	Symbol (Box 1d): KORS					
	62.000	11/07/13	11/21/13	\$4,972.99	\$4,817.45	\$0.00	\$155.54	\$0.00
	25.000	11/07/13	11/21/13	\$2,005.24	\$1,957.06	\$0.00	\$48.18	\$0.00
	101.000	11/07/13	11/21/13	\$8,101.16	\$7,909.91	\$0.00	\$191.25	\$0.00
	84.000	11/08/13	11/21/13	\$6,737.60	\$6,661.38	\$0.00	\$76.22	\$0.00
	63.000	11/08/13	11/21/13	\$5,053.20	\$5,009.37	\$0.00	\$43.83	\$0.00
	73.000	11/08/13	11/21/13	\$5,855.29	\$5,756.45	\$0.00	\$98.84	\$0.00
	30.000	11/13/13	11/21/13	\$2,406.27	\$2,456.73	\$0.00	(\$50.46)	\$0.00
Security Subtotal	438.000			\$35,131.75	\$34,568.35	\$0.00	\$563.40	\$0.00
MICROSOFT CORP		CUSIP: 594918104	Symbol (Box 1d): MSFT					
	282.000	06/07/13	08/06/13	\$8,874.41	\$10,030.69	\$0.00	(\$1,156.28)	\$0.00
	120.000	06/07/13	08/06/13	\$3,772.60	\$4,265.45	\$0.00	(\$492.85)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MICROSOFT CORP	90.000	06/07/13	08/06/13	\$2,829.45	\$3,193.09	\$0.00	(\$363.64)	\$0.00
	79.000	06/07/13	08/06/13	\$2,483.63	\$2,810.01	\$0.00	(\$326.38)	\$0.00
	31.000	06/07/13	08/07/13	\$972.58	\$1,099.84	\$0.00	(\$127.26)	\$0.00
	251.000	06/10/13	08/07/13	\$7,874.78	\$8,900.61	\$0.00	(\$1,025.83)	\$0.00
	111.000	06/10/13	08/07/13	\$3,480.10	\$3,936.13	\$0.00	(\$456.03)	\$0.00
	147.000	06/19/13	08/07/13	\$4,608.78	\$5,142.19	\$0.00	(\$533.41)	\$0.00
	25.000	07/01/13	08/07/13	\$783.80	\$866.75	\$0.00	(\$82.95)	\$0.00
Security Subtotal	1,136.000			\$35,680.13	\$40,244.76	\$0.00	(\$4,564.63)	\$0.00
MORGAN STANLEY				CUSIP: 617446448 Symbol (Box 1d): MS				
	96.000	11/21/13	12/16/13	\$2,969.46	\$2,960.68	\$0.00	\$8.78	\$0.00
NETFLIX INC				CUSIP: 64110L106 Symbol (Box 1d): NFLX				
	28.000	11/18/13	11/21/13	\$9,723.67	\$9,539.28	\$0.00	\$184.39	\$0.00
	27.000	11/19/13	11/21/13	\$9,376.40	\$9,298.82	\$0.00	\$77.58	\$0.00
	29.000	11/19/13	11/21/13	\$10,070.94	\$9,980.54	\$0.00	\$90.40	\$0.00
Security Subtotal	94.000			\$29,171.01	\$28,818.64	\$0.00	\$352.37	\$0.00
PIONEER NATURAL RESOURCES CO				CUSIP: 723787107 Symbol (Box 1d): PXD				
	12.000	01/25/13	11/21/13	\$2,253.54	\$1,385.14	\$0.00	\$868.40	\$0.00
PRICELINE COM INC NEW				CUSIP: 741503403 Symbol (Box 1d): PCLN				
	5.000	08/08/12	07/30/13	\$4,445.24	\$2,850.41	\$0.00	\$1,594.83	\$0.00
	2.000	08/22/12	07/30/13	\$1,778.10	\$1,175.02	\$0.00	\$603.08	\$0.00
	2.000	11/28/12	07/31/13	\$1,765.35	\$1,290.88	\$0.00	\$474.47	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
PRICELINE.COM INC NEW	2.000	01/25/13	07/31/13	\$1,765.35	\$1,398.81	\$0.00	\$366.54	\$0.00
	4.000	06/19/13	07/31/13	\$3,530.68	\$3,339.62	\$0.00	\$191.06	\$0.00
Security Subtotal	15.000			\$13,284.72	\$10,054.74	\$0.00	\$3,229.98	\$0.00
QUALCOMM INC								
	25.000	12/28/12	05/02/13	\$1,563.61	\$1,526.00	\$0.00	\$37.61	\$0.00
	25.000	02/06/13	05/02/13	\$1,563.62	\$1,673.72	\$0.00	(\$110.10)	\$0.00
	61.000	02/06/13	05/03/13	\$3,878.40	\$4,083.86	\$0.00	(\$205.46)	\$0.00
	19.000	02/11/13	05/03/13	\$1,208.02	\$1,275.39	\$0.00	(\$67.37)	\$0.00
Security Subtotal	130.000			\$8,213.65	\$8,558.97	\$0.00	(\$345.32)	\$0.00
RALPH LAUREN CORP CL A								
	14.000	05/15/13	08/14/13	\$2,476.66	\$2,613.37	\$0.00	(\$136.71)	\$0.00
	4.000	05/16/13	08/14/13	\$707.62	\$745.66	\$0.00	(\$38.04)	\$0.00
	25.000	05/16/13	08/15/13	\$4,371.82	\$4,660.39	\$0.00	(\$288.57)	\$0.00
	8.000	05/16/13	08/15/13	\$1,390.51	\$1,491.33	\$0.00	(\$100.82)	\$0.00
	16.000	05/16/13	08/15/13	\$2,781.01	\$2,959.29	\$0.00	(\$178.28)	\$0.00
	6.000	05/16/13	08/16/13	\$1,034.29	\$1,109.73	\$0.00	(\$75.44)	\$0.00
	25.000	05/16/13	08/16/13	\$4,347.30	\$4,623.89	\$0.00	(\$276.59)	\$0.00
	18.000	05/17/13	08/16/13	\$3,102.88	\$3,377.55	\$0.00	(\$274.67)	\$0.00
	1.000	05/22/13	08/16/13	\$172.39	\$190.76	\$0.00	(\$18.37)	\$0.00
	14.000	05/22/13	08/19/13	\$2,409.86	\$2,670.67	\$0.00	(\$260.81)	\$0.00
	11.000	05/24/13	08/19/13	\$1,893.46	\$2,001.05	\$0.00	(\$107.59)	\$0.00
	1.000	05/24/13	08/20/13	\$171.38	\$181.91	\$0.00	(\$10.53)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
RALPH LAUREN CORP CL A	10,000	05/28/13	08/20/13	\$1,713.85	\$1,802.99	\$0.00	(\$89.14)	\$0.00
	14,000	06/19/13	08/20/13	\$2,399.39	\$2,517.91	\$0.00	(\$118.52)	\$0.00
Security Subtotal	167,000			\$28,972.42	\$30,946.50	\$0.00	(\$1,974.08)	\$0.00
CUSIP: 79466L302 Symbol (Box 1d): CRM								
SALESFORCE COM, INC	46,000	11/21/12	08/19/13	\$1,999.93	\$1,825.80	\$0.00	\$174.13	\$0.00
	44,000	11/21/12	08/20/13	\$1,903.53	\$1,738.57	\$0.00	\$164.96	\$0.00
	6,000	11/21/12	08/20/13	\$259.57	\$238.15	\$0.00	\$21.42	\$0.00
	103,000	11/21/12	08/26/13	\$4,483.74	\$4,069.83	\$0.00	\$413.91	\$0.00
	28,000	11/21/12	10/07/13	\$1,469.92	\$1,106.36	\$0.00	\$363.56	\$0.00
Security Subtotal	227,000			\$10,116.69	\$8,978.71	\$0.00	\$1,137.98	\$0.00
CUSIP: 80105N105 Symbol (Box 1d): SNY								
SANOFI ADR	41,000	12/13/12	05/06/13	\$2,176.89	\$1,928.76	\$0.00	\$248.13	\$0.00
	40,000	12/13/12	05/06/13	\$2,121.63	\$1,881.72	\$0.00	\$239.91	\$0.00
	27,000	12/13/12	08/27/13	\$1,360.76	\$1,270.16	\$0.00	\$90.60	\$0.00
	59,000	12/14/12	08/27/13	\$2,946.02	\$2,782.73	\$0.00	\$163.29	\$0.00
	44,000	12/14/12	08/27/13	\$2,217.53	\$2,075.26	\$0.00	\$142.27	\$0.00
	31,000	12/14/12	08/28/13	\$1,522.30	\$1,462.11	\$0.00	\$60.19	\$0.00
	142,000	12/17/12	08/28/13	\$6,973.10	\$6,679.12	\$0.00	\$293.98	\$0.00
	109,000	12/18/12	08/28/13	\$5,352.59	\$5,176.91	\$0.00	\$175.68	\$0.00
	110,000	12/19/12	08/28/13	\$5,401.70	\$5,203.40	\$0.00	\$198.30	\$0.00
	5,000	12/20/12	08/28/13	\$245.53	\$237.46	\$0.00	\$8.07	\$0.00
	119,000	12/20/12	08/28/13	\$5,842.45	\$5,651.63	\$0.00	\$190.82	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
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WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SANOFI ADR	32,000	01/25/13	08/28/13	\$1,571.08	\$1,571.57	\$0.00	(\$0.49)	\$0.00
	22,000	02/21/13	08/28/13	\$1,080.12	\$1,040.42	\$0.00	\$39.70	\$0.00
Security Subtotal	781,000			\$38,811.70	\$36,961.25	\$0.00	\$1,850.45	\$0.00
SBA COMMUNICATIONS CORP	45,000	11/21/13	11/25/13	\$3,835.86	\$3,870.90	\$0.00	(\$35.04)	\$0.00
SCHLUMBERGER LTD	12,000	11/21/13	11/26/13	\$1,073.00	\$1,092.00	\$0.00	(\$19.00)	\$0.00
SERVICENOW INC	30,000	08/22/13	10/07/13	\$1,624.18	\$1,393.05	\$0.00	\$231.13	\$0.00
	31,000	08/22/13	11/21/13	\$1,611.74	\$1,439.48	\$0.00	\$172.26	\$0.00
	65,000	08/22/13	11/21/13	\$3,379.45	\$3,004.70	\$0.00	\$374.75	\$0.00
	66,000	08/23/13	11/21/13	\$3,431.44	\$3,011.49	\$0.00	\$419.95	\$0.00
	39,000	08/23/13	11/21/13	\$2,027.67	\$1,784.34	\$0.00	\$243.33	\$0.00
	65,000	08/23/13	11/21/13	\$3,379.45	\$2,996.64	\$0.00	\$382.81	\$0.00
	65,000	08/26/13	11/21/13	\$3,379.45	\$3,086.30	\$0.00	\$293.15	\$0.00
	65,000	08/26/13	11/21/13	\$3,379.45	\$3,032.06	\$0.00	\$347.39	\$0.00
	24,000	08/27/13	11/21/13	\$1,247.78	\$1,108.45	\$0.00	\$139.33	\$0.00
Security Subtotal	450,000			\$23,460.61	\$20,856.51	\$0.00	\$2,604.10	\$0.00
SHERWIN WILLIAMS COMPANY OHIO	26,000	04/16/13	08/06/13	\$4,558.35	\$4,552.65	\$0.00	\$5.70	\$0.00
	3,000	04/16/13	08/07/13	\$522.99	\$525.31	\$0.00	(\$2.32)	\$0.00
	34,000	04/17/13	08/07/13	\$5,927.19	\$5,914.92	\$0.00	\$12.27	\$0.00
	32,000	04/17/13	08/07/13	\$5,542.14	\$5,537.37	\$0.00	\$4.77	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SHERWIN WILLIAMS COMPANY	8,000	04/17/13	08/07/13	\$1,385.54	\$1,391.74	\$0.00	(\$6.20)	\$0.00
	25,000	04/17/13	08/08/13	\$4,435.46	\$4,326.07	\$0.00	\$1,093.39	\$0.00
	14,000	04/17/13	08/08/13	\$2,482.84	\$2,422.60	\$0.00	\$60.24	\$0.00
	11,000	04/18/13	08/08/13	\$1,932.17	\$1,939.75	\$0.00	(\$7.58)	\$0.00
	11,000	04/18/13	08/08/13	\$1,950.80	\$1,939.75	\$0.00	\$11.05	\$0.00
	14,000	04/19/13	08/08/13	\$2,459.12	\$2,495.98	\$0.00	(\$36.86)	\$0.00
Security Subtotal	178,000			\$31,196.60	\$31,046.14	\$0.00	\$150.46	\$0.00
SIRIUS XM HLDGS INC								
CUSIP: 82968B103								
	211,000	06/26/13	11/21/13	\$772.71	\$694.59	\$0.00	\$78.12	\$0.00
	1,446,000	06/27/13	11/21/13	\$5,295.45	\$4,866.51	\$0.00	\$428.94	\$0.00
	1,255,000	06/27/13	11/21/13	\$4,595.98	\$4,289.21	\$0.00	\$306.77	\$0.00
	1,610,000	06/28/13	11/21/13	\$5,896.04	\$5,447.92	\$0.00	\$448.12	\$0.00
	1,583,000	06/28/13	11/21/13	\$5,797.16	\$5,358.61	\$0.00	\$438.55	\$0.00
	485,000	07/02/13	11/21/13	\$1,776.14	\$1,664.81	\$0.00	\$111.33	\$0.00
	481,000	07/03/13	11/21/13	\$1,761.49	\$1,632.71	\$0.00	\$128.78	\$0.00
	764,000	07/09/13	11/21/13	\$2,797.87	\$2,697.00	\$0.00	\$100.87	\$0.00
	506,000	07/11/13	11/21/13	\$1,853.04	\$1,841.84	\$0.00	\$11.20	\$0.00
	502,000	07/25/13	11/21/13	\$1,838.39	\$1,867.64	\$0.00	(\$29.25)	\$0.00
	463,000	07/29/13	11/21/13	\$1,695.57	\$1,730.46	\$0.00	(\$34.89)	\$0.00
	464,000	07/30/13	11/21/13	\$1,699.23	\$1,747.93	\$0.00	(\$48.70)	\$0.00
	505,000	08/16/13	11/21/13	\$1,849.38	\$1,866.88	\$0.00	(\$17.50)	\$0.00
	593,000	09/16/13	11/21/13	\$2,171.65	\$2,287.85	\$0.00	(\$116.20)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160

Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SIRIUS XM HLDGS INC	514,000	09/24/13	11/21/13	\$1,882.34	\$1,978.85	\$0.00	(\$96.51)	\$0.00
	929,000	10/08/13	11/21/13	\$2,303.47	\$2,505.06	\$0.00	(\$201.59)	\$0.00
Security Subtotal	12,011,000			\$43,985.91	\$42,477.87	\$0.00	\$1,508.04	\$0.00
SIRIUS XM RADIO INC COM								
		CUSIP: 82967N108		Symbol (Box 1d):				
	772,000	06/26/13	11/05/13	\$2,861.91	\$2,561.65	\$0.00	\$300.26	\$0.00
	302,000	06/26/13	11/05/13	\$1,119.55	\$994.15	\$0.00	\$125.40	\$0.00
	484,000	06/26/13	11/06/13	\$1,794.29	\$1,593.28	\$0.00	\$201.01	\$0.00
	549,000	06/26/13	11/08/13	\$2,005.84	\$1,807.25	\$0.00	\$198.59	\$0.00
Security Subtotal	2,107,000			\$7,781.59	\$6,956.33	\$0.00	\$825.26	\$0.00
STARZ LIBRTY CAPITAL SER A								
		CUSIP: 85571Q102		Symbol (Box 1d): STRZA				
	28,000	09/28/12	01/18/13	\$434.44	\$299.94	\$0.00	\$134.50	\$0.00
	44,000	10/01/12	01/18/13	\$682.70	\$479.33	\$0.00	\$203.37	\$0.00
	30,000	10/02/12	01/18/13	\$465.48	\$328.08	\$0.00	\$137.40	\$0.00
	14,000	10/02/12	01/22/13	\$219.03	\$153.11	\$0.00	\$65.92	\$0.00
	46,000	10/03/12	01/22/13	\$719.68	\$506.52	\$0.00	\$213.16	\$0.00
	71,000	10/04/12	01/22/13	\$1,110.81	\$804.93	\$0.00	\$305.88	\$0.00
	15,000	10/05/12	01/22/13	\$234.68	\$166.83	\$0.00	\$67.85	\$0.00
	13,000	10/11/12	01/22/13	\$203.39	\$147.89	\$0.00	\$55.50	\$0.00
Security Subtotal	261,000			\$4,070.21	\$2,886.63	\$0.00	\$1,183.58	\$0.00
TJX COS INC NEW								
		CUSIP: 872540109		Symbol (Box 1d): TJX				
	25,000	12/28/12	11/21/13	\$1,595.92	\$1,040.51	\$0.00	\$555.41	\$0.00
	48,000	01/24/13	11/21/13	\$3,064.18	\$2,160.66	\$0.00	\$903.52	\$0.00
Security Subtotal	73,000			\$4,660.10	\$3,201.17	\$0.00	\$1,458.93	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
ULTA SALON COS & FRAGR INC								
		CUSIP: 90384S303	Symbol (Box 1d): ULTA					
	33,000	11/21/13	12/06/13	\$3,098.26	\$4,252.29	\$0.00	(\$1,154.03)	\$0.00
	37,000	11/21/13	12/09/13	\$3,365.54	\$4,767.72	\$0.00	(\$1,402.18)	\$0.00
	70,000			\$6,463.80	\$9,020.01	\$0.00	(\$2,556.21)	\$0.00
Security Subtotal		CUSIP: 907818108	Symbol (Box 1d): UNP					
UNION PACIFIC CORP								
	6,000	02/27/13	10/24/13	\$924.90	\$821.41	\$0.00	\$103.49	\$0.00
	4,000	02/27/13	10/24/13	\$616.07	\$547.60	\$0.00	\$68.47	\$0.00
	12,000	03/06/13	10/24/13	\$1,848.21	\$1,672.73	\$0.00	\$175.48	\$0.00
	30,000	04/18/13	10/24/13	\$4,620.51	\$4,295.45	\$0.00	\$325.06	\$0.00
Security Subtotal	52,000			\$8,009.69	\$7,337.19	\$0.00	\$672.50	\$0.00
UNITED TECHNOLOGIES CORP		CUSIP: 913017109	Symbol (Box 1d): UTX					
	25,000	11/21/13	12/16/13	\$2,720.19	\$2,736.86	\$0.00	(\$16.67)	\$0.00
UNITEDHEALTH GP INC		CUSIP: 91324P102	Symbol (Box 1d): UNH					
	43,000	08/29/12	03/04/13	\$2,287.14	\$2,355.46	\$0.00	(\$68.32)	\$0.00
	36,000	08/29/12	03/04/13	\$1,921.00	\$1,972.02	\$0.00	(\$51.02)	\$0.00
	33,000	08/29/12	03/06/13	\$1,766.41	\$1,807.68	\$0.00	(\$41.27)	\$0.00
	75,000	08/30/12	03/06/13	\$4,013.66	\$4,100.00	\$0.00	(\$86.34)	\$0.00
	46,000	08/30/12	03/06/13	\$2,462.28	\$2,514.66	\$0.00	(\$52.38)	\$0.00
	45,000	08/30/12	03/07/13	\$2,430.80	\$2,460.00	\$0.00	(\$29.20)	\$0.00
	53,000	08/31/12	03/07/13	\$2,862.94	\$2,912.65	\$0.00	(\$49.71)	\$0.00
	79,000	08/31/12	03/08/13	\$4,260.07	\$4,341.49	\$0.00	(\$81.42)	\$0.00
	33,000	08/31/12	03/11/13	\$1,800.65	\$1,813.53	\$0.00	(\$12.88)	\$0.00
	33,000	10/23/12	03/11/13	\$1,800.65	\$1,841.96	\$0.00	(\$41.31)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNITEDHEALTH GP INC	21.000	11/26/12	03/11/13	\$1,145.87	\$1,113.64	\$0.00	\$32.23	\$0.00
	34.000	01/25/13	03/11/13	\$1,855.21	\$1,902.80	\$0.00	(\$47.59)	\$0.00
Security Subtotal	531.000			\$28,506.58	\$29,135.89	\$0.00	(\$529.21)	\$0.00
CUSIP: 92210H105 Symbol (Box 1d): VNTV								
VANTIV INC CL-A	164.000	08/05/13	11/21/13	\$4,880.03	\$4,535.90	\$0.00	\$344.13	\$0.00
	163.000	08/05/13	11/21/13	\$4,850.27	\$4,484.81	\$0.00	\$365.46	\$0.00
	226.000	08/06/13	11/21/13	\$6,724.92	\$6,238.68	\$0.00	\$486.24	\$0.00
	235.000	08/06/13	11/21/13	\$6,992.73	\$6,617.98	\$0.00	\$374.75	\$0.00
	117.000	08/07/13	11/21/13	\$3,481.49	\$3,252.58	\$0.00	\$228.91	\$0.00
	115.000	08/07/13	11/21/13	\$3,421.97	\$3,210.83	\$0.00	\$211.14	\$0.00
	59.000	08/09/13	11/21/13	\$1,755.62	\$1,672.47	\$0.00	\$83.15	\$0.00
	57.000	09/27/13	11/21/13	\$1,696.11	\$1,555.31	\$0.00	\$140.80	\$0.00
	118.000	10/08/13	11/21/13	\$3,511.24	\$3,168.13	\$0.00	\$343.11	\$0.00
Security Subtotal	1,254.000			\$37,314.38	\$34,736.69	\$0.00	\$2,577.69	\$0.00
CUSIP: 92553P201 Symbol (Box 1d): VIAB								
VIACOM INC NEW CLASS B	61.000	06/07/13	09/11/13	\$4,998.34	\$4,141.94	\$0.00	\$856.40	\$0.00
	66.000	06/07/13	11/14/13	\$5,382.72	\$4,481.44	\$0.00	\$901.28	\$0.00
	15.000	06/07/13	11/14/13	\$1,223.34	\$1,016.17	\$0.00	\$207.17	\$0.00
	48.000	06/07/13	11/15/13	\$3,865.22	\$3,251.73	\$0.00	\$613.49	\$0.00
	1.000	06/10/13	11/15/13	\$80.53	\$67.69	\$0.00	\$12.84	\$0.00
	61.000	06/10/13	11/15/13	\$4,901.40	\$4,128.99	\$0.00	\$772.41	\$0.00
	12.000	06/11/13	11/15/13	\$964.21	\$808.97	\$0.00	\$155.24	\$0.00
	44.000	06/11/13	11/18/13	\$3,527.75	\$2,966.23	\$0.00	\$561.52	\$0.00
	37.000	06/11/13	11/18/13	\$2,934.91	\$2,494.33	\$0.00	\$440.58	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
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Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
VIACOM INC NEW CLASS B	24,000	06/11/13	11/19/13	\$1,917.27	\$1,616.77	\$0.00	\$300.50	\$0.00
	14,000	06/11/13	11/19/13	\$1,118.41	\$943.80	\$0.00	\$174.61	\$0.00
	46,000	06/11/13	11/19/13	\$3,668.53	\$3,098.81	\$0.00	\$569.72	\$0.00
	15,000	06/11/13	11/21/13	\$1,199.94	\$1,010.48	\$0.00	\$189.46	\$0.00
	104,000	06/12/13	11/21/13	\$8,319.62	\$6,820.22	\$0.00	\$1,499.40	\$0.00
	125,000	06/12/13	11/21/13	\$9,999.54	\$8,312.11	\$0.00	\$1,687.43	\$0.00
Security Subtotal	673,000			\$54,191.73	\$45,159.68	\$0.00	\$8,942.05	\$0.00
VISA INC CL A	7,000	09/21/12	08/27/13	\$1,220.59	\$948.24	\$0.00	\$272.35	\$0.00
	37,000	09/21/12	08/27/13	\$6,434.01	\$5,012.12	\$0.00	\$1,421.89	\$0.00
Security Subtotal	44,000			\$7,654.60	\$5,960.36	\$0.00	\$1,694.24	\$0.00
VMWARE INC CLASS A	25,000	09/11/12	03/04/13	\$1,840.25	\$2,360.40	\$0.00	(\$520.15)	\$0.00
	32,000	09/11/12	03/28/13	\$2,520.07	\$3,021.31	\$0.00	(\$501.24)	\$0.00
	31,000	09/11/12	04/19/13	\$2,250.65	\$2,926.89	\$0.00	(\$676.24)	\$0.00
	31,000	09/12/12	04/19/13	\$2,250.64	\$3,030.86	\$0.00	(\$780.22)	\$0.00
	16,000	09/12/12	04/22/13	\$1,171.28	\$1,564.31	\$0.00	(\$393.03)	\$0.00
	31,000	09/12/12	04/22/13	\$2,288.43	\$3,030.86	\$0.00	(\$742.43)	\$0.00
	7,000	09/12/12	04/23/13	\$525.63	\$684.39	\$0.00	(\$158.76)	\$0.00
	63,000	09/13/12	04/23/13	\$4,730.63	\$6,168.33	\$0.00	(\$1,437.70)	\$0.00
	27,000	09/13/12	05/01/13	\$1,899.74	\$2,643.57	\$0.00	(\$743.83)	\$0.00
	19,000	10/02/12	05/01/13	\$1,336.85	\$1,815.74	\$0.00	(\$478.89)	\$0.00
	17,000	10/05/12	05/01/13	\$1,196.13	\$1,654.27	\$0.00	(\$458.14)	\$0.00
	8,000	10/24/12	05/01/13	\$562.89	\$689.57	\$0.00	(\$126.68)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
VMWARE INC CLASS A	30,000	10/24/12	05/02/13	\$2,129.38	\$2,585.91	\$0.00	(\$456.53)	\$0.00
	16,000	10/25/12	05/02/13	\$1,135.67	\$1,368.13	\$0.00	(\$232.46)	\$0.00
	21,000	11/19/12	05/02/13	\$1,490.57	\$1,823.24	\$0.00	(\$332.67)	\$0.00
	28,000	12/12/12	05/02/13	\$1,987.42	\$2,610.40	\$0.00	(\$622.98)	\$0.00
Security Subtotal	402,000			\$29,316.23	\$37,978.18	\$0.00	(\$8,661.95)	\$0.00
W W GRAINGER INC	11,000	04/18/13	10/07/13	\$2,873.75	\$2,634.98	\$0.00	\$238.77	\$0.00
	15,000	04/18/13	11/18/13	\$3,873.14	\$3,593.15	\$0.00	\$279.99	\$0.00
	8,000	04/18/13	11/19/13	\$2,052.36	\$1,916.35	\$0.00	\$136.01	\$0.00
	9,000	04/19/13	11/19/13	\$2,308.90	\$2,200.88	\$0.00	\$108.02	\$0.00
	20,000	04/19/13	11/21/13	\$5,113.31	\$4,890.84	\$0.00	\$222.47	\$0.00
	11,000	04/19/13	11/25/13	\$2,821.54	\$2,689.96	\$0.00	\$131.58	\$0.00
	17,000	04/22/13	11/25/13	\$4,360.55	\$4,148.23	\$0.00	\$212.32	\$0.00
	3,000	04/22/13	12/06/13	\$771.09	\$732.04	\$0.00	\$39.05	\$0.00
	12,000	04/23/13	12/06/13	\$3,084.37	\$2,949.12	\$0.00	\$135.25	\$0.00
	7,000	04/23/13	12/12/13	\$1,764.06	\$1,720.32	\$0.00	\$43.74	\$0.00
	16,000	04/24/13	12/12/13	\$4,032.14	\$3,947.43	\$0.00	\$84.71	\$0.00
Security Subtotal	129,000			\$33,055.21	\$31,423.30	\$0.00	\$1,631.91	\$0.00
WHOLE FOODS MARKETS INC	19,000	05/21/12	02/05/13	\$1,796.68	\$1,605.49	\$0.00	\$191.19	\$0.00
	38,000	05/21/12	02/14/13	\$3,334.15	\$3,210.99	\$0.00	\$123.16	\$0.00
	57,000	05/22/12	02/14/13	\$5,001.22	\$4,930.76	\$0.00	\$70.46	\$0.00
	11,000	05/23/12	02/14/13	\$965.15	\$952.19	\$0.00	\$12.96	\$0.00
	25,000	05/23/12	04/26/13	\$2,206.52	\$2,137.07	\$0.00	\$69.45	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WHOLE FOODS MARKETS INC	73.000	05/23/12	04/26/13	\$6,443.04	\$6,319.09	\$0.00	\$123.95	\$0.00
	58.000	05/23/12	04/29/13	\$5,112.62	\$4,957.99	\$0.00	\$154.63	\$0.00
	21.000	07/12/12	04/29/13	\$1,851.12	\$1,968.20	\$0.00	(\$117.08)	\$0.00
	14.000	07/20/12	04/29/13	\$1,234.08	\$1,186.91	\$0.00	\$47.17	\$0.00
	11.000	07/23/12	04/29/13	\$969.64	\$919.29	\$0.00	\$50.35	\$0.00
	7.000	07/23/12	04/30/13	\$616.32	\$585.00	\$0.00	\$31.32	\$0.00
	24.000	08/29/12	04/30/13	\$2,113.09	\$2,343.35	\$0.00	(\$230.26)	\$0.00
	17.000	10/05/12	04/30/13	\$1,496.77	\$1,719.81	\$0.00	(\$223.04)	\$0.00
	7.000	10/15/12	04/30/13	\$616.32	\$681.49	\$0.00	(\$65.17)	\$0.00
	11.000	10/15/12	05/01/13	\$983.52	\$1,070.90	\$0.00	(\$87.38)	\$0.00
	26.000	11/02/12	05/01/13	\$2,324.69	\$2,543.03	\$0.00	(\$218.34)	\$0.00
	20.000	11/02/12	05/01/13	\$1,788.22	\$1,967.09	\$0.00	(\$178.87)	\$0.00
	100.000	11/21/13	12/30/13	\$5,747.66	\$5,640.87	\$0.00	\$106.79	\$0.00
Security Subtotal	539.000			\$44,600.81	\$44,739.52	\$0.00	(\$138.71)	\$0.00
WILLIAMS CO INC		CUSIP: 969457100	Symbol (Box 1d): WMB					
	73.000	06/11/12	06/04/13	\$2,540.35	\$2,155.94	\$0.00	\$384.41	\$0.00
	21.000	12/28/12	06/04/13	\$730.79	\$672.63	\$0.00	\$58.16	\$0.00
	4.000	12/28/12	06/05/13	\$136.63	\$128.12	\$0.00	\$8.51	\$0.00
	29.000	01/25/13	06/05/13	\$990.58	\$1,000.56	\$0.00	(\$9.98)	\$0.00
	89.000	03/08/13	06/05/13	\$3,040.07	\$3,087.55	\$0.00	(\$47.48)	\$0.00
Security Subtotal	215.000			\$7,438.42	\$7,044.80	\$0.00	\$393.62	\$0.00
WYNN RESORTS LTD		CUSIP: 983134107	Symbol (Box 1d): WYNN					
	14.000	11/21/13	12/16/13	\$2,549.59	\$2,270.11	\$0.00	\$279.48	\$0.00
Total Short Term Covered Securities				\$2,017,300.68	\$1,864,433.99	\$2,639.46	\$152,866.68	\$0.00

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

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8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
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Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 002824100 Symbol (Box 1d): ABT								
ABBOTT LABORATORIES	10.000	03/23/12	01/24/13	\$327.74	\$289.04	\$0.00	\$38.70	\$0.00
	63.000	03/27/12	01/24/13	\$2,064.77	\$1,856.62	\$0.00	\$208.15	\$0.00
	56.000	03/28/12	01/24/13	\$1,835.35	\$1,634.86	\$0.00	\$200.49	\$0.00
Security Subtotal	129.000			\$4,227.86	\$3,780.52	\$0.00	\$447.34	\$0.00
CUSIP: 00287Y109 Symbol (Box 1d): ABBV								
ABBVIE INC COM	10.000	03/23/12	01/03/13	\$344.43	\$313.45	\$0.00	\$30.98	\$0.00
	63.000	03/27/12	01/03/13	\$2,169.88	\$2,013.35	\$0.00	\$156.53	\$0.00
	56.000	03/28/12	01/03/13	\$1,928.79	\$1,772.88	\$0.00	\$155.91	\$0.00
	25.000	05/08/12	01/03/13	\$861.07	\$805.31	\$0.00	\$55.76	\$0.00
	11.000	05/11/12	01/03/13	\$378.86	\$356.89	\$0.00	\$21.97	\$0.00
	18.000	05/11/12	01/04/13	\$621.95	\$583.99	\$0.00	\$37.96	\$0.00
	60.000	05/14/12	01/04/13	\$2,073.18	\$1,928.62	\$0.00	\$144.56	\$0.00
	34.000	06/20/12	01/04/13	\$1,174.80	\$1,110.09	\$0.00	\$64.71	\$0.00
	49.000	07/20/12	01/04/13	\$1,693.10	\$1,663.27	\$0.00	\$29.83	\$0.00
Security Subtotal	326.000			\$11,246.06	\$10,547.85	\$0.00	\$698.21	\$0.00
CUSIP: G0083B108 Symbol (Box 1d): ACT								
ACTAVIS PLC	264.000	09/30/13	11/21/13	\$43,168.52	\$38,016.00	\$0.00	\$5,152.52	\$0.00
CUSIP: 018490102 Symbol (Box 1d): AGN								
ALLERGAN INC	10.000	05/03/12	03/04/13	\$1,089.42	\$939.24	\$0.00	\$150.18	\$0.00
	2.000	05/03/12	04/22/13	\$226.50	\$187.85	\$0.00	\$38.65	\$0.00
Security Subtotal	12.000			\$1,315.92	\$1,127.09	\$0.00	\$188.83	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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New York, NY 10004

RICE FOUNDATION
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SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CROWN CASTLE INTL								
		CUSIP: 228227104		Symbol (Box 1d): CCI				
	2,000	03/08/12	01/29/13	\$146.31	\$107.86	\$0.00	\$38.45	\$0.00
	36,000	03/09/12	01/29/13	\$2,633.63	\$1,944.14	\$0.00	\$689.49	\$0.00
Security Subtotal	38,000			\$2,779.94	\$2,052.00	\$0.00	\$727.94	\$0.00
LIBERTY MEDIA CORP SER A								
		CUSIP: 531229102		Symbol (Box 1d): LMCA				
	6,000	09/27/12	01/18/13	\$662.18	\$653.06	\$0.00	\$9.12	\$0.00
	18,000	09/28/12	01/18/13	\$1,986.53	\$1,971.04	\$0.00	\$15.49	\$0.00
	39,000	09/28/12	01/24/13	\$4,296.03	\$4,270.60	\$0.00	\$25.43	\$0.00
	10,000	10/01/12	01/24/13	\$1,101.54	\$1,126.61	\$0.00	(\$25.07)	\$0.00
	27,000	10/01/12	01/25/13	\$2,970.95	\$3,041.85	\$0.00	(\$70.90)	\$0.00
	37,000	10/02/12	01/25/13	\$4,071.31	\$4,184.70	\$0.00	(\$113.39)	\$0.00
	39,000	10/03/12	01/25/13	\$4,291.38	\$4,404.96	\$0.00	(\$113.58)	\$0.00
	35,000	10/04/12	01/25/13	\$3,851.23	\$4,016.43	\$0.00	(\$165.20)	\$0.00
	26,000	10/04/12	01/28/13	\$2,860.42	\$2,983.63	\$0.00	(\$123.21)	\$0.00
	13,000	10/05/12	01/28/13	\$1,430.21	\$1,450.80	\$0.00	(\$20.59)	\$0.00
	11,000	10/11/12	01/28/13	\$1,210.17	\$1,286.17	\$0.00	(\$76.00)	\$0.00
Security Subtotal	261,000			\$28,731.95	\$29,389.85	\$0.00	(\$657.90)	\$0.00
LIMITED BRANDS INC								
		CUSIP: 532716107		Symbol (Box 1d):				
	167,000	04/18/12	01/17/13	\$7,808.27	\$8,213.94	\$0.00	(\$405.67)	\$0.00
Total Short Term Noncovered Securities				\$99,278.52	\$93,127.25	\$0.00	\$6,151.27	\$0.00
Total Short Term Covered and Noncovered Securities				\$2,116,579.20	\$1,957,561.24	\$2,639.46	\$159,017.95	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1 New York Plaza
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 018490102 Symbol (Box 1d): AGN								
ALLERGAN INC	79.000	05/07/12	06/24/13	\$6,644.74	\$7,426.54	\$0.00	(\$718.80)	\$0.00
	18.000	05/07/12	06/26/13	\$1,551.52	\$1,692.12	\$0.00	(\$140.60)	\$0.00
	29.000	05/07/12	06/28/13	\$2,456.50	\$2,726.20	\$0.00	(\$269.70)	\$0.00
	22.000	05/07/12	07/02/13	\$1,835.49	\$2,068.15	\$0.00	(\$232.66)	\$0.00
	13.000	05/07/12	07/03/13	\$1,087.78	\$1,222.09	\$0.00	(\$134.31)	\$0.00
	22.000	05/07/12	07/08/13	\$1,885.18	\$2,068.15	\$0.00	(\$182.97)	\$0.00
	66.000	05/07/12	07/09/13	\$5,726.24	\$6,204.45	\$0.00	(\$478.21)	\$0.00
	12.000	05/21/12	07/09/13	\$1,041.14	\$1,075.81	\$0.00	(\$34.67)	\$0.00
	11.000	05/21/12	07/09/13	\$947.14	\$986.16	\$0.00	(\$39.02)	\$0.00
Security Subtotal	272.000			\$23,175.73	\$25,469.67	\$0.00	(\$2,293.94)	\$0.00
CUSIP: 023135106 Symbol (Box 1d): AMZN								
AMAZON COM INC	24.000	08/14/12	11/21/13	\$8,829.68	\$5,615.10	\$0.00	\$3,214.58	\$0.00
	16.000	08/15/12	11/21/13	\$5,886.46	\$3,762.13	\$0.00	\$2,124.33	\$0.00
Security Subtotal	40.000			\$14,716.14	\$9,377.23	\$0.00	\$5,338.91	\$0.00
CUSIP: 228227104 Symbol (Box 1d): CCI								
CROWN CASTLE INTL	62.000	05/21/12	10/24/13	\$4,599.05	\$3,304.09	\$0.00	\$1,294.96	\$0.00
CUSIP: 256677105 Symbol (Box 1d): DG								
DOLLAR GEN CORP NEW COM	14.000	05/08/12	07/30/13	\$755.61	\$657.06	\$0.00	\$98.55	\$0.00
	11.000	05/08/12	07/31/13	\$601.44	\$516.27	\$0.00	\$85.17	\$0.00
	47.000	06/25/12	07/31/13	\$2,569.78	\$2,480.23	\$0.00	\$89.55	\$0.00
Security Subtotal	72.000			\$3,926.83	\$3,653.56	\$0.00	\$273.27	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Continued) (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EBAY INC		CUSIP: 278642103	Symbol (Box 1d): EBAY					
	104.000	06/11/12	10/18/13	\$5,256.14	\$4,301.84	\$0.00	\$954.30	\$0.00
HERTZ GLOBAL HOLDINGS, INC		CUSIP: 42805T105	Symbol (Box 1d): HTZ					
	31.000	05/21/12	11/11/13	\$673.11	\$396.38	\$0.00	\$276.73	\$0.00
	102.000	05/21/12	11/11/13	\$2,224.02	\$1,304.22	\$0.00	\$919.80	\$0.00
	92.000	08/29/12	11/11/13	\$1,997.60	\$1,335.21	\$0.00	\$662.39	\$0.00
	30.000	08/29/12	11/12/13	\$684.34	\$435.40	\$0.00	\$248.94	\$0.00
	88.000	08/30/12	11/12/13	\$2,007.39	\$1,269.46	\$0.00	\$737.93	\$0.00
Security Subtotal	343.000			\$7,586.46	\$4,740.67	\$0.00	\$2,845.79	\$0.00
HOME DEPOT INC		CUSIP: 437076102	Symbol (Box 1d): HD					
	65.000	06/18/12	09/09/13	\$4,762.08	\$3,396.67	\$0.00	\$1,365.41	\$0.00
	42.000	06/18/12	11/04/13	\$3,220.49	\$2,194.77	\$0.00	\$1,025.72	\$0.00
	49.000	06/18/12	11/12/13	\$3,724.57	\$2,560.56	\$0.00	\$1,164.01	\$0.00
	25.000	06/18/12	11/12/13	\$1,903.18	\$1,306.41	\$0.00	\$596.77	\$0.00
	24.000	06/18/12	11/13/13	\$1,823.17	\$1,254.15	\$0.00	\$569.02	\$0.00
	42.000	06/18/12	11/18/13	\$3,330.42	\$2,194.77	\$0.00	\$1,135.65	\$0.00
	25.000	06/18/12	11/19/13	\$2,027.58	\$1,306.41	\$0.00	\$721.17	\$0.00
	14.000	06/22/12	11/19/13	\$1,135.44	\$724.16	\$0.00	\$411.28	\$0.00
	42.000	06/22/12	11/21/13	\$3,351.52	\$2,172.47	\$0.00	\$1,179.05	\$0.00
	26.000	06/27/12	11/21/13	\$2,074.75	\$1,345.60	\$0.00	\$729.15	\$0.00
	29.000	09/21/12	11/21/13	\$2,314.14	\$1,736.82	\$0.00	\$577.32	\$0.00
	55.000	10/04/12	11/21/13	\$5,186.88	\$4,053.02	\$0.00	\$1,133.86	\$0.00
Security Subtotal	448.000			\$34,854.22	\$24,245.81	\$0.00	\$10,608.41	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
L BRANDS INC COM								
		CUSIP: 501797104		Symbol (Box 1d): LB				
	37,000	05/14/12	05/30/13	\$1,825.13	\$1,786.56	\$0.00	\$38.57	\$0.00
	29,000	05/17/12	05/30/13	\$1,430.51	\$1,359.59	\$0.00	\$70.92	\$0.00
	3,000	05/17/12	05/31/13	\$150.01	\$140.65	\$0.00	\$9.35	\$0.00
Security Subtotal	69,000			\$3,405.65	\$3,286.80	\$0.00	\$118.85	\$0.00
LINKEDIN CORP-A								
		CUSIP: 53578A108		Symbol (Box 1d): LNKD				
	18,000	11/19/12	11/21/13	\$3,949.52	\$1,868.48	\$0.00	\$2,081.04	\$0.00
	92,000	11/20/12	11/21/13	\$20,186.46	\$9,758.70	\$0.00	\$10,427.76	\$0.00
Security Subtotal	110,000			\$24,135.98	\$11,627.18	\$0.00	\$12,508.80	\$0.00
PRICELINE.COM INC NEW								
		CUSIP: 741503403		Symbol (Box 1d): PCLN				
	2,000	05/08/12	07/30/13	\$1,779.00	\$1,415.28	\$0.00	\$363.72	\$0.00
TJX COS INC NEW								
		CUSIP: 872540109		Symbol (Box 1d): TJX				
	25,000	05/08/12	11/21/13	\$1,595.92	\$1,017.40	\$0.00	\$578.52	\$0.00
	85,000	08/29/12	11/21/13	\$5,426.14	\$3,952.41	\$0.00	\$1,473.73	\$0.00
	43,000	09/14/12	11/21/13	\$2,744.99	\$1,989.59	\$0.00	\$755.40	\$0.00
	60,000	09/17/12	11/21/13	\$3,830.22	\$2,761.74	\$0.00	\$1,068.48	\$0.00
	41,000	10/05/12	11/21/13	\$2,617.32	\$1,857.87	\$0.00	\$759.45	\$0.00
	8,000	11/20/12	11/21/13	\$510.70	\$348.18	\$0.00	\$162.52	\$0.00
Security Subtotal	262,000			\$16,725.29	\$11,927.19	\$0.00	\$4,798.10	\$0.00
UNION PACIFIC CORP								
		CUSIP: 907818108		Symbol (Box 1d): UNP				
	16,000	07/12/12	10/23/13	\$2,458.49	\$1,866.36	\$0.00	\$592.13	\$0.00
	6,000	08/29/12	10/23/13	\$921.94	\$736.24	\$0.00	\$183.70	\$0.00
	12,000	08/29/12	10/24/13	\$1,849.82	\$1,476.49	\$0.00	\$373.33	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632

Taxpayer ID Number 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNION PACIFIC CORP	32,000	08/29/12	10/24/13	\$4,951.93	\$3,937.30	\$0.00	\$1,014.63	\$0.00
	17,000	10/05/12	10/24/13	\$2,620.57	\$2,074.87	\$0.00	\$545.70	\$0.00
	12,000	10/23/12	10/24/13	\$1,849.82	\$1,470.29	\$0.00	\$379.53	\$0.00
Security Subtotal	95,000			\$14,652.57	\$11,563.55	\$0.00	\$3,089.02	\$0.00
VISA INC CL A								
	CUSIP: 92826C839		Symbol (Box 1d): V					
	6,000	05/08/12	08/26/13	\$1,061.13	\$700.67	\$0.00	\$360.46	\$0.00
	12,000	06/11/12	08/26/13	\$2,122.25	\$1,411.13	\$0.00	\$711.12	\$0.00
	30,000	06/11/12	08/27/13	\$5,231.09	\$3,527.81	\$0.00	\$1,703.28	\$0.00
Security Subtotal	48,000			\$8,414.47	\$5,639.61	\$0.00	\$2,774.86	\$0.00
WILLIAMS CO INC								
	CUSIP: 969457100		Symbol (Box 1d): WMB					
	55,000	05/14/12	06/03/13	\$1,922.65	\$1,758.71	\$0.00	\$163.94	\$0.00
	118,000	05/21/12	06/03/13	\$4,124.97	\$3,619.95	\$0.00	\$505.02	\$0.00
	51,000	05/21/12	06/03/13	\$1,775.32	\$1,564.55	\$0.00	\$210.77	\$0.00
	46,000	05/31/12	06/03/13	\$1,601.26	\$1,387.86	\$0.00	\$213.40	\$0.00
	3,000	05/31/12	06/04/13	\$104.40	\$90.51	\$0.00	\$13.89	\$0.00
Security Subtotal	273,000			\$9,528.60	\$8,421.58	\$0.00	\$1,107.02	\$0.00
Total Long Term Covered Securities				\$172,756.13	\$128,974.06	\$0.00	\$43,782.07	\$0.00

Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
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Account Number: 559 147355 055
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALEXION PHARM INC								
		CUSIP: 015351109		Symbol (Box 1d): ALXN				
	65,000	08/16/11	02/05/13	\$6,208.56	\$3,414.26	\$0.00	\$2,794.30	\$0.00
	25,000	08/16/11	02/05/13	\$2,385.78	\$1,313.18	\$0.00	\$1,072.60	\$0.00
	11,000	08/18/11	02/05/13	\$1,049.75	\$549.73	\$0.00	\$500.02	\$0.00
	41,000	08/18/11	02/06/13	\$3,858.67	\$2,049.01	\$0.00	\$1,809.66	\$0.00
	34,000	08/18/11	02/06/13	\$3,197.70	\$1,699.18	\$0.00	\$1,498.52	\$0.00
	6,000	08/18/11	02/07/13	\$555.84	\$299.85	\$0.00	\$255.99	\$0.00
	16,000	12/22/11	02/07/13	\$1,482.23	\$1,078.47	\$0.00	\$403.76	\$0.00
	36,000	12/23/11	02/07/13	\$3,335.01	\$2,470.24	\$0.00	\$864.77	\$0.00
	3,000	12/23/11	02/07/13	\$276.88	\$205.85	\$0.00	\$71.03	\$0.00
Security Subtotal	237,000			\$22,350.42	\$13,079.77	\$0.00	\$9,270.65	\$0.00
APPLE INC								
		CUSIP: 037833100		Symbol (Box 1d): AAPL				
	10,000	03/18/09	02/06/13	\$4,586.94	\$1,016.09	\$0.00	\$3,570.85	\$0.00
	4,000	04/29/09	02/06/13	\$1,834.77	\$503.21	\$0.00	\$1,331.56	\$0.00
	6,000	04/29/09	02/27/13	\$2,702.22	\$754.81	\$0.00	\$1,947.41	\$0.00
	2,000	04/29/09	03/27/13	\$907.17	\$251.60	\$0.00	\$655.57	\$0.00
	11,000	04/29/09	06/19/13	\$4,700.84	\$1,383.83	\$0.00	\$3,317.01	\$0.00
	2,000	04/29/09	06/20/13	\$848.82	\$251.60	\$0.00	\$597.22	\$0.00
	15,000	05/27/09	06/20/13	\$6,366.18	\$1,999.98	\$0.00	\$4,366.20	\$0.00
	15,000	05/27/09	06/20/13	\$6,293.48	\$1,999.98	\$0.00	\$4,293.50	\$0.00
	1,000	05/27/09	06/21/13	\$411.17	\$133.33	\$0.00	\$277.84	\$0.00
	19,000	05/27/09	09/16/13	\$8,608.88	\$2,533.31	\$0.00	\$6,075.57	\$0.00
	2,000	10/15/09	09/16/13	\$906.20	\$380.05	\$0.00	\$526.15	\$0.00
	12,000	10/15/09	09/17/13	\$5,484.56	\$2,280.32	\$0.00	\$3,204.24	\$0.00
	35,000	10/15/09	11/21/13	\$18,046.39	\$6,650.94	\$0.00	\$11,395.45	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Identification Number: 26-4310632

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
APPLE INC	5,000	10/21/09	11/21/13	\$2,578.05	\$1,031.16	\$0.00	\$1,546.89	\$0.00
	12,000	10/21/09	11/22/13	\$6,262.06	\$2,474.78	\$0.00	\$3,787.28	\$0.00
	12,000	10/21/09	12/26/13	\$6,786.38	\$2,474.78	\$0.00	\$4,321.60	\$0.00
Security Subtotal	163,000			\$77,334.11	\$26,119.77	\$0.00	\$51,214.34	\$0.00
CROWN CASTLE INTL								
	50,000	03/09/12	05/29/13	\$3,514.11	\$2,700.20	\$0.00	\$813.91	\$0.00
	36,000	03/09/12	05/29/13	\$2,569.73	\$1,944.14	\$0.00	\$625.59	\$0.00
	30,000	03/09/12	05/30/13	\$2,170.59	\$1,620.12	\$0.00	\$550.47	\$0.00
	4,000	03/09/12	07/31/13	\$282.61	\$216.02	\$0.00	\$66.59	\$0.00
	45,000	03/12/12	07/31/13	\$3,179.41	\$2,483.56	\$0.00	\$695.85	\$0.00
	16,000	03/12/12	07/31/13	\$1,112.69	\$883.04	\$0.00	\$229.65	\$0.00
	46,000	03/12/12	08/01/13	\$3,223.33	\$2,538.75	\$0.00	\$684.58	\$0.00
	42,000	03/12/12	10/23/13	\$3,123.06	\$2,317.99	\$0.00	\$805.07	\$0.00
	29,000	03/14/12	10/23/13	\$2,156.40	\$1,525.83	\$0.00	\$630.57	\$0.00
	36,000	03/20/12	10/23/13	\$2,676.91	\$1,900.07	\$0.00	\$776.84	\$0.00
	22,000	03/26/12	10/23/13	\$1,635.90	\$1,181.57	\$0.00	\$454.33	\$0.00
	5,000	03/26/12	10/23/13	\$369.94	\$268.54	\$0.00	\$101.40	\$0.00
	13,000	04/16/12	10/23/13	\$959.82	\$704.94	\$0.00	\$254.88	\$0.00
	137,000	04/16/12	10/23/13	\$10,136.48	\$7,428.98	\$0.00	\$2,707.50	\$0.00
	48,000	05/03/12	10/23/13	\$3,543.93	\$2,722.73	\$0.00	\$821.20	\$0.00
	1,000	05/03/12	10/24/13	\$74.18	\$56.72	\$0.00	\$17.46	\$0.00
Security Subtotal	560,000			\$40,729.09	\$30,493.20	\$0.00	\$10,235.89	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUMMINS INC								
		CUSIP: 231021106		Symbol (Box 1d): CMI				
	14.000	07/13/10	02/06/13	\$1,678.68	\$1,012.90	\$0.00	\$665.78	\$0.00
	18.000	07/13/10	04/18/13	\$1,915.30	\$1,302.30	\$0.00	\$613.00	\$0.00
	27.000	07/13/10	04/19/13	\$2,890.67	\$1,953.46	\$0.00	\$937.21	\$0.00
	3.000	07/14/10	04/19/13	\$321.19	\$222.22	\$0.00	\$98.97	\$0.00
	36.000	07/14/10	06/19/13	\$4,203.95	\$2,666.69	\$0.00	\$1,537.26	\$0.00
	37.000	07/14/10	06/20/13	\$4,220.51	\$2,740.77	\$0.00	\$1,479.74	\$0.00
	15.000	02/07/11	06/20/13	\$1,704.66	\$1,676.96	\$0.00	\$27.70	\$0.00
	17.000	02/07/11	06/21/13	\$1,892.41	\$1,900.56	\$0.00	(\$8.15)	\$0.00
	23.000	02/07/11	07/08/13	\$2,552.86	\$2,571.35	\$0.00	(\$18.49)	\$0.00
	13.000	04/21/11	07/08/13	\$1,442.92	\$1,419.51	\$0.00	\$23.41	\$0.00
	15.000	04/21/11	07/09/13	\$1,688.69	\$1,637.90	\$0.00	\$50.79	\$0.00
	8.000	01/31/12	07/09/13	\$900.63	\$834.44	\$0.00	\$66.19	\$0.00
Security Subtotal	226.000			\$25,412.47	\$19,939.06	\$0.00	\$5,473.41	\$0.00
DANAHER CORPORATION								
		CUSIP: 235851102		Symbol (Box 1d): DHR				
	22.000	06/03/11	02/11/13	\$1,328.88	\$1,141.23	\$0.00	\$187.65	\$0.00
	61.000	06/15/11	02/11/13	\$3,684.64	\$3,161.36	\$0.00	\$523.28	\$0.00
	71.000	06/15/11	04/18/13	\$4,129.57	\$3,679.62	\$0.00	\$449.95	\$0.00
	65.000	06/15/11	04/18/13	\$3,772.82	\$3,368.66	\$0.00	\$404.16	\$0.00
	72.000	06/15/11	04/19/13	\$4,218.98	\$3,731.44	\$0.00	\$487.54	\$0.00
	51.000	06/15/11	04/22/13	\$3,010.65	\$2,643.11	\$0.00	\$367.54	\$0.00
	37.000	06/15/11	04/22/13	\$2,184.19	\$1,917.04	\$0.00	\$267.15	\$0.00
	129.000	06/15/11	04/23/13	\$7,618.85	\$6,683.72	\$0.00	\$935.14	\$0.00
	8.000	07/21/11	04/23/13	\$472.49	\$417.04	\$0.00	\$55.45	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Continued) (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

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DANAHER CORPORATION	46,000	07/21/11	04/23/13	\$2,714.59	\$2,398.00	\$0.00	\$316.59	\$0.00
	9,000	07/25/11	04/23/13	\$531.11	\$464.67	\$0.00	\$66.44	\$0.00
	41,000	07/25/11	04/24/13	\$2,433.34	\$2,116.83	\$0.00	\$316.51	\$0.00
	25,000	10/07/11	04/24/13	\$1,483.75	\$1,061.32	\$0.00	\$422.43	\$0.00
	25,000	01/31/12	04/24/13	\$1,483.75	\$1,308.91	\$0.00	\$174.84	\$0.00
	25,000	03/07/12	04/24/13	\$1,483.75	\$1,302.23	\$0.00	\$181.52	\$0.00
Security Subtotal	687,000			\$40,551.37	\$35,395.18	\$0.00	\$5,156.19	\$0.00
DOLLAR GEN CORP NEW COM								
	21,000	09/16/11	01/24/13	\$964.30	\$801.18	\$0.00	\$163.12	\$0.00
	38,000	09/19/11	01/24/13	\$1,744.91	\$1,427.04	\$0.00	\$317.87	\$0.00
	51,000	09/19/11	02/05/13	\$2,314.87	\$1,915.24	\$0.00	\$399.63	\$0.00
	37,000	09/19/11	02/05/13	\$1,673.42	\$1,389.49	\$0.00	\$283.93	\$0.00
	42,000	10/05/11	02/05/13	\$1,899.56	\$1,573.13	\$0.00	\$326.43	\$0.00
	30,000	10/05/11	02/19/13	\$1,312.31	\$1,123.67	\$0.00	\$188.64	\$0.00
	55,000	12/20/11	02/19/13	\$2,405.91	\$2,244.96	\$0.00	\$160.95	\$0.00
	67,000	12/20/11	06/19/13	\$3,488.45	\$2,734.77	\$0.00	\$753.68	\$0.00
	11,000	12/20/11	07/22/13	\$589.41	\$448.99	\$0.00	\$140.42	\$0.00
	18,000	01/31/12	07/22/13	\$964.48	\$764.37	\$0.00	\$200.11	\$0.00
	32,000	01/31/12	07/23/13	\$1,718.85	\$1,358.87	\$0.00	\$359.98	\$0.00
	33,000	02/16/12	07/23/13	\$1,769.91	\$1,385.33	\$0.00	\$384.58	\$0.00
	37,000	02/16/12	07/23/13	\$1,987.43	\$1,553.25	\$0.00	\$434.18	\$0.00
	12,000	02/16/12	07/23/13	\$642.07	\$503.76	\$0.00	\$138.31	\$0.00
	21,000	03/07/12	07/23/13	\$1,123.62	\$892.24	\$0.00	\$231.38	\$0.00
	4,000	03/07/12	07/26/13	\$215.05	\$169.95	\$0.00	\$45.10	\$0.00

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Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8500 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DOLLAR GEN CORP NEW COM	36 000	03/20/12	07/26/13	\$1,935.41	\$1,624.72	\$0.00	\$310.69	\$0.00
	26 000	03/26/12	07/26/13	\$1,394.23	\$1,229.33	\$0.00	\$164.90	\$0.00
	8 000	03/26/12	07/26/13	\$430.09	\$378.25	\$0.00	\$51.84	\$0.00
	31 000	03/29/12	07/26/13	\$1,662.35	\$1,433.74	\$0.00	\$228.61	\$0.00
	65 000	03/29/12	07/29/13	\$3,493.73	\$3,006.22	\$0.00	\$487.51	\$0.00
	33 000	03/29/12	07/29/13	\$1,782.26	\$1,526.24	\$0.00	\$256.02	\$0.00
	72 000	03/29/12	07/29/13	\$3,873.75	\$3,329.97	\$0.00	\$543.78	\$0.00
	53 000	03/29/12	07/30/13	\$2,860.50	\$2,451.23	\$0.00	\$409.27	\$0.00
Security Subtotal	833,000			\$42,246.87	\$35,265.94	\$0.00	\$6,980.93	\$0.00
EBAY INC		CUSIP: 278642103		Symbol (Box 1d): EBAY				
	28 000	12/08/11	02/05/13	\$1,577.05	\$873.47	\$0.00	\$703.58	\$0.00
	65 000	12/08/11	02/21/13	\$3,530.74	\$2,027.69	\$0.00	\$1,503.05	\$0.00
	71 000	12/08/11	02/22/13	\$3,901.47	\$2,214.86	\$0.00	\$1,686.61	\$0.00
	23 000	12/08/11	09/16/13	\$1,245.78	\$717.49	\$0.00	\$528.29	\$0.00
	46 000	12/09/11	09/16/13	\$2,491.56	\$1,452.03	\$0.00	\$1,039.53	\$0.00
	67 000	12/09/11	09/16/13	\$3,599.10	\$2,114.92	\$0.00	\$1,484.18	\$0.00
	106 000	12/09/11	10/17/13	\$5,463.82	\$3,345.99	\$0.00	\$2,117.83	\$0.00
	109 000	12/09/11	10/17/13	\$5,589.38	\$3,440.68	\$0.00	\$2,148.70	\$0.00
	56 000	12/09/11	10/17/13	\$2,865.64	\$1,767.69	\$0.00	\$1,097.95	\$0.00
	107 000	12/19/11	10/17/13	\$5,475.43	\$3,253.16	\$0.00	\$2,222.27	\$0.00
	25 000	01/31/12	10/17/13	\$1,279.31	\$788.54	\$0.00	\$490.77	\$0.00
	109 000	02/16/12	10/17/13	\$5,577.77	\$3,719.07	\$0.00	\$1,858.70	\$0.00
	2 000	02/21/12	10/17/13	\$102.34	\$70.22	\$0.00	\$32.12	\$0.00
	94 000	02/21/12	10/18/13	\$4,785.82	\$3,300.53	\$0.00	\$1,485.29	\$0.00

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IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EBAY INC	25.000	03/07/12	10/18/13	\$1,272.82	\$885.41	\$0.00	\$387.41	\$0.00
	14.000	03/14/12	10/18/13	\$712.78	\$525.56	\$0.00	\$187.22	\$0.00
	26.000	03/14/12	10/18/13	\$1,314.03	\$976.04	\$0.00	\$337.99	\$0.00
Security Subtotal	973.000			\$50,784.84	\$31,473.35	\$0.00	\$19,311.49	\$0.00
GILEAD SCIENCE								
	73.000	01/18/12	02/01/13	\$2,954.86	\$1,729.24	\$0.00	\$1,225.62	\$0.00
	95.000	01/18/12	03/20/13	\$4,214.30	\$2,250.38	\$0.00	\$1,963.92	\$0.00
	9.000	01/19/12	03/20/13	\$399.25	\$212.15	\$0.00	\$187.10	\$0.00
	37.000	01/19/12	04/01/13	\$1,785.24	\$872.18	\$0.00	\$913.06	\$0.00
	38.000	01/19/12	07/22/13	\$2,271.39	\$895.75	\$0.00	\$1,375.64	\$0.00
	90.000	01/19/12	07/23/13	\$5,377.59	\$2,121.52	\$0.00	\$3,256.07	\$0.00
	310.000	01/19/12	11/21/13	\$22,150.20	\$7,307.46	\$0.00	\$14,842.74	\$0.00
Security Subtotal	652.000			\$39,152.83	\$15,388.68	\$0.00	\$23,764.15	\$0.00
GOOGLE INC-CL A								
	2.000	07/15/11	03/27/13	\$1,605.52	\$1,185.43	\$0.00	\$420.09	\$0.00
	5.000	07/15/11	09/27/13	\$4,364.76	\$2,963.58	\$0.00	\$1,401.18	\$0.00
	1.000	07/21/11	09/27/13	\$872.95	\$606.25	\$0.00	\$266.70	\$0.00
	3.000	07/21/11	10/07/13	\$2,611.83	\$1,818.75	\$0.00	\$793.08	\$0.00
	10.000	07/21/11	11/21/13	\$10,310.02	\$6,062.48	\$0.00	\$4,247.54	\$0.00
Security Subtotal	21.000			\$19,765.08	\$12,636.49	\$0.00	\$7,128.59	\$0.00
HERTZ GLOBAL HOLDINGS, INC								
	48.000	04/19/11	01/29/13	\$886.33	\$814.59	\$0.00	\$71.74	\$0.00
	82.000	04/20/11	01/29/13	\$1,514.16	\$1,417.50	\$0.00	\$96.66	\$0.00
	87.000	04/20/11	02/06/13	\$1,601.39	\$1,503.94	\$0.00	\$97.45	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HERTZ GLOBAL HOLDINGS, INC	111 000	04/20/11	03/20/13	\$2,362.58	\$1,918.81	\$0.00	\$443.77	\$0.00
	75 000	04/27/11	03/20/13	\$1,596.34	\$1,287.38	\$0.00	\$308.96	\$0.00
	105 000	04/27/11	04/11/13	\$2,521.52	\$1,802.33	\$0.00	\$719.19	\$0.00
	70 000	04/27/11	04/22/13	\$1,638.46	\$1,201.56	\$0.00	\$436.90	\$0.00
	94 000	04/27/11	11/05/13	\$2,036.71	\$1,613.52	\$0.00	\$423.19	\$0.00
	156 000	05/03/11	11/05/13	\$3,259.90	\$2,647.01	\$0.00	\$612.89	\$0.00
	77 000	05/03/11	11/05/13	\$1,668.36	\$1,306.54	\$0.00	\$361.82	\$0.00
	198 000	05/03/11	11/07/13	\$4,214.79	\$3,359.66	\$0.00	\$855.13	\$0.00
	40 000	05/03/11	11/08/13	\$851.89	\$678.72	\$0.00	\$173.17	\$0.00
	20 000	08/31/11	11/08/13	\$422.12	\$226.12	\$0.00	\$196.00	\$0.00
	180 000	08/31/11	11/08/13	\$3,833.49	\$2,035.06	\$0.00	\$1,798.43	\$0.00
	119 000	09/01/11	11/08/13	\$2,511.64	\$1,332.55	\$0.00	\$1,179.09	\$0.00
	91 000	09/01/11	11/11/13	\$1,984.17	\$1,019.01	\$0.00	\$965.16	\$0.00
	75 000	03/07/12	11/11/13	\$1,635.31	\$1,049.84	\$0.00	\$585.47	\$0.00
Security Subtotal	1,628,000			\$34,539.16	\$25,214.14	\$0.00	\$9,325.02	\$0.00
INTUITIVE SURGICAL INC		CUSIP: 46120E602	Symbol (Box 1d): ISRG					
	6,000	02/03/12	03/08/13	\$3,079.56	\$2,945.56	\$0.00	\$134.00	\$0.00
	11 000	02/03/12	03/22/13	\$5,314.23	\$5,400.20	\$0.00	(\$85.97)	\$0.00
	1 000	02/06/12	03/22/13	\$483.11	\$492.87	\$0.00	(\$9.76)	\$0.00
	15,000	02/06/12	03/25/13	\$7,367.77	\$7,393.12	\$0.00	(\$25.35)	\$0.00
	3 000	02/06/12	03/26/13	\$1,466.71	\$1,478.62	\$0.00	(\$11.91)	\$0.00
	2,000	03/07/12	03/26/13	\$977.80	\$1,014.60	\$0.00	(\$36.80)	\$0.00
Security Subtotal	38,000			\$18,689.18	\$18,724.97	\$0.00	(\$35.79)	\$0.00
L BRANDS INC COM		CUSIP: 501797104	Symbol (Box 1d): LB					

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
L BRANDS INC COM	41 000	04/18/12	05/15/13	\$2,077.77	\$2,016.60	\$0.00	\$61.17	\$0.00
	38 000	04/19/12	05/15/13	\$1,925.73	\$1,862.10	\$0.00	\$63.63	\$0.00
	99 000	04/19/12	05/16/13	\$5,034.28	\$4,851.27	\$0.00	\$183.01	\$0.00
	93 000	04/19/12	05/28/13	\$4,650.27	\$4,557.25	\$0.00	\$93.02	\$0.00
	46 000	04/23/12	05/28/13	\$2,300.14	\$2,219.73	\$0.00	\$80.41	\$0.00
	35 000	04/23/12	05/29/13	\$1,716.77	\$1,688.93	\$0.00	\$27.84	\$0.00
	23 000	04/24/12	05/29/13	\$1,128.16	\$1,118.20	\$0.00	\$9.96	\$0.00
	52 000	04/24/12	05/30/13	\$2,565.05	\$2,528.11	\$0.00	\$36.94	\$0.00
Security Subtotal	427 000			\$21,398.17	\$20,842.19	\$0.00	\$555.98	\$0.00
LULULEMON ATHLETICA INC								
	81 000	10/06/11	04/05/13	\$5,158.46	\$4,184.66	\$0.00	\$973.80	\$0.00
	19 000	10/06/11	04/16/13	\$1,329.37	\$981.59	\$0.00	\$347.78	\$0.00
	4 000	11/21/11	04/16/13	\$279.87	\$188.75	\$0.00	\$91.12	\$0.00
	95 000	11/21/11	04/16/13	\$6,646.08	\$4,482.80	\$0.00	\$2,163.28	\$0.00
	25 000	12/07/11	04/16/13	\$1,748.97	\$1,127.16	\$0.00	\$621.81	\$0.00
	25 000	12/07/11	04/17/13	\$1,784.62	\$1,127.16	\$0.00	\$657.46	\$0.00
	25 000	01/31/12	04/17/13	\$1,784.62	\$1,570.13	\$0.00	\$214.49	\$0.00
Security Subtotal	274 000			\$18,731.99	\$13,662.25	\$0.00	\$5,069.74	\$0.00
MONSANTO CO NEW								
	16 000	09/13/11	02/11/13	\$1,632.53	\$1,077.08	\$0.00	\$555.45	\$0.00
	24 000	09/13/11	05/07/13	\$2,584.97	\$1,615.62	\$0.00	\$969.35	\$0.00
	19 000	10/05/11	05/07/13	\$2,046.44	\$1,241.05	\$0.00	\$805.39	\$0.00
	20 000	10/05/11	05/21/13	\$2,138.29	\$1,306.37	\$0.00	\$831.92	\$0.00
	37 000	10/05/11	05/29/13	\$3,831.78	\$2,416.78	\$0.00	\$1,415.00	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MONSANTO CO/NEW	33,000	10/05/11	05/31/13	\$3,351.26	\$2,155.51	\$0.00	\$1,195.75	\$0.00
	34,000	10/05/11	11/04/13	\$3,535.71	\$2,220.83	\$0.00	\$1,314.88	\$0.00
	31,000	10/05/11	11/04/13	\$3,217.19	\$2,024.87	\$0.00	\$1,192.32	\$0.00
Security Subtotal	214,000			\$22,338.17	\$14,058.11	\$0.00	\$8,280.06	\$0.00
CUSIP: 723787107								
PIONEER NATURAL RESOURCES CO	14,000	01/13/12	02/06/13	\$1,729.68	\$1,360.80	\$0.00	\$368.88	\$0.00
	32,000	01/13/12	05/06/13	\$4,279.41	\$3,110.39	\$0.00	\$1,169.02	\$0.00
	11,000	01/13/12	10/07/13	\$2,181.86	\$1,069.20	\$0.00	\$1,112.66	\$0.00
	7,000	01/13/12	10/07/13	\$1,390.58	\$680.40	\$0.00	\$710.18	\$0.00
	13,000	01/13/12	10/22/13	\$2,727.97	\$1,263.60	\$0.00	\$1,464.37	\$0.00
	115,000	01/13/12	11/21/13	\$21,596.40	\$11,175.37	\$0.00	\$10,421.03	\$0.00
	42,000	01/13/12	11/21/13	\$7,887.38	\$4,082.39	\$0.00	\$3,804.99	\$0.00
	8,000	03/07/12	11/21/13	\$1,502.36	\$831.28	\$0.00	\$671.08	\$0.00
	27,000	03/08/12	11/21/13	\$5,070.46	\$2,885.50	\$0.00	\$2,184.96	\$0.00
	15,000	03/14/12	11/21/13	\$2,816.92	\$1,611.13	\$0.00	\$1,205.79	\$0.00
	18,000	03/26/12	11/21/13	\$3,380.31	\$1,871.58	\$0.00	\$1,508.73	\$0.00
Security Subtotal	302,000			\$54,563.33	\$29,941.64	\$0.00	\$24,621.69	\$0.00
CUSIP: 740189105								
PRECISION CASTPARTS CORP	13,000	04/30/10	01/16/13	\$2,383.88	\$1,678.37	\$0.00	\$705.51	\$0.00
	13,000	04/30/10	01/17/13	\$2,365.49	\$1,678.37	\$0.00	\$687.12	\$0.00
	19,000	04/30/10	01/24/13	\$3,619.02	\$2,453.01	\$0.00	\$1,166.01	\$0.00
	11,000	05/10/10	01/24/13	\$2,095.22	\$1,369.17	\$0.00	\$726.05	\$0.00
	5,000	05/10/10	07/08/13	\$1,161.43	\$622.35	\$0.00	\$539.08	\$0.00
	7,000	05/10/10	07/09/13	\$1,637.88	\$871.29	\$0.00	\$766.59	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 36-6043160
Account Number 559 147355 055
Customer Service: 866-324-6088

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PRECISION CASTPARTS CORP	9 000	05/10/10	11/21/13	\$2,283.62	\$1,120.23	\$0.00	\$1,163.39	\$0.00
	2,000	08/25/10	11/21/13	\$507.47	\$224.22	\$0.00	\$283.25	\$0.00
	37 000	09/14/10	11/21/13	\$9,388.22	\$4,596.20	\$0.00	\$4,792.02	\$0.00
	22,000	05/06/11	11/21/13	\$5,582.18	\$3,461.82	\$0.00	\$2,120.36	\$0.00
Security Subtotal	138,000			\$31,024.41	\$18,075.03	\$0.00	\$12,949.38	\$0.00
CUSIP: 741503403 Symbol (Box 1d): PCLN								
PRICELINE COM INC NEW	2 000	11/09/09	03/04/13	\$1,408.92	\$350.29	\$0.00	\$1,058.63	\$0.00
	3 000	11/09/09	07/22/13	\$2,699.62	\$525.44	\$0.00	\$2,174.18	\$0.00
	9 000	11/09/09	07/29/13	\$8,000.40	\$1,576.32	\$0.00	\$6,424.08	\$0.00
	4 000	11/09/09	07/29/13	\$3,552.67	\$700.59	\$0.00	\$2,852.08	\$0.00
	2 000	11/11/09	07/29/13	\$1,776.34	\$395.21	\$0.00	\$1,381.13	\$0.00
	3 000	04/01/11	07/29/13	\$2,664.50	\$1,544.26	\$0.00	\$1,120.24	\$0.00
	6,000	04/01/11	07/30/13	\$5,337.03	\$3,088.51	\$0.00	\$2,248.52	\$0.00
	2,000	10/07/11	07/30/13	\$1,779.01	\$926.32	\$0.00	\$852.69	\$0.00
	2,000	12/29/11	07/30/13	\$1,779.01	\$944.00	\$0.00	\$835.01	\$0.00
	2,000	03/07/12	07/30/13	\$1,779.01	\$1,274.14	\$0.00	\$504.87	\$0.00
Security Subtotal	35,000			\$30,776.51	\$11,325.08	\$0.00	\$19,451.43	\$0.00
CUSIP: 747525103 Symbol (Box 1d): QCOM								
QUALCOMM INC	131,000	11/11/10	05/01/13	\$8,092.09	\$6,222.14	\$0.00	\$1,869.95	\$0.00
	88 000	02/14/11	05/01/13	\$5,435.91	\$5,142.10	\$0.00	\$293.81	\$0.00
	10,000	02/25/11	05/01/13	\$617.72	\$590.38	\$0.00	\$27.34	\$0.00
	89 000	02/25/11	05/02/13	\$5,593.06	\$5,254.38	\$0.00	\$338.68	\$0.00
	7 000	03/07/11	05/02/13	\$439.90	\$399.47	\$0.00	\$40.43	\$0.00
	64,000	03/07/11	05/02/13	\$4,016.91	\$3,652.32	\$0.00	\$364.59	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
QUALCOMM INC	15,000	06/03/11	05/02/13	\$938.17	\$855.61	\$0.00	\$82.56	\$0.00
	26,000	06/03/11	05/02/13	\$1,631.87	\$1,483.07	\$0.00	\$148.80	\$0.00
	25,000	10/07/11	05/02/13	\$1,563.61	\$1,256.56	\$0.00	\$307.05	\$0.00
	25,000	01/31/12	05/02/13	\$1,563.61	\$1,476.99	\$0.00	\$86.62	\$0.00
	25,000	03/07/12	05/02/13	\$1,563.61	\$1,546.18	\$0.00	\$17.43	\$0.00
Security Subtotal	505,000			\$31,456.46	\$27,879.20	\$0.00	\$3,577.26	\$0.00
STARBUCKS CORP WASHINGTON	25,000	03/11/11	01/31/13	\$1,403.17	\$918.92	\$0.00	\$484.25	\$0.00
	27,000	03/11/11	06/11/13	\$1,766.89	\$992.43	\$0.00	\$774.46	\$0.00
	3,000	03/15/11	06/11/13	\$196.32	\$107.11	\$0.00	\$89.21	\$0.00
	41,000	03/15/11	06/19/13	\$2,749.21	\$1,463.81	\$0.00	\$1,285.40	\$0.00
	39,000	03/15/11	06/20/13	\$2,566.07	\$1,392.41	\$0.00	\$1,173.66	\$0.00
	22,000	03/15/11	08/26/13	\$1,584.99	\$785.46	\$0.00	\$799.53	\$0.00
	22,000	03/15/11	10/07/13	\$1,690.94	\$785.46	\$0.00	\$905.48	\$0.00
Security Subtotal	179,000			\$11,957.59	\$6,445.60	\$0.00	\$5,511.99	\$0.00
TJX COS INC NEW	25,000	09/20/11	03/27/13	\$1,174.16	\$737.03	\$0.00	\$437.13	\$0.00
	62,000	09/20/11	05/15/13	\$3,193.20	\$1,827.84	\$0.00	\$1,365.36	\$0.00
	21,000	09/20/11	05/16/13	\$1,075.05	\$619.11	\$0.00	\$455.94	\$0.00
	80,000	09/22/11	05/16/13	\$4,095.41	\$2,241.48	\$0.00	\$1,853.93	\$0.00
	318,000	09/22/11	11/21/13	\$20,300.16	\$8,909.88	\$0.00	\$11,390.28	\$0.00
	50,000	10/07/11	11/21/13	\$3,191.85	\$1,409.53	\$0.00	\$1,782.32	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 36-6043160
Account Number: 559 147355 055
Customer Service: 866-324-6088

RICE FOUNDATION
ATTN: PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TJX COS INC NEW	50,000	01/31/12	11/21/13	\$3,191.85	\$1,689.98	\$0.00	\$1,501.87	\$0.00
	25,000	03/07/12	11/21/13	\$1,595.92	\$920.49	\$0.00	\$675.43	\$0.00
Security Subtotal	631,000			\$37,817.60	\$18,355.34	\$0.00	\$19,462.26	\$0.00
CUSIP: 907818108 Symbol (Box 1d): UNP								
UNION PACIFIC CORP	26,000	11/04/10	05/21/13	\$4,128.44	\$2,388.60	\$0.00	\$1,739.84	\$0.00
	25,000	11/04/10	05/22/13	\$4,003.11	\$2,296.73	\$0.00	\$1,706.38	\$0.00
	24,000	11/04/10	10/18/13	\$3,660.17	\$2,204.86	\$0.00	\$1,455.31	\$0.00
	20,000	12/30/10	10/18/13	\$3,047.58	\$1,840.13	\$0.00	\$1,207.45	\$0.00
	20,000	12/30/10	10/18/13	\$3,050.15	\$1,840.13	\$0.00	\$1,210.02	\$0.00
	25,000	12/31/10	10/18/13	\$3,809.47	\$2,317.95	\$0.00	\$1,491.52	\$0.00
	2,000	12/31/10	10/22/13	\$310.14	\$185.43	\$0.00	\$124.71	\$0.00
	59,000	03/18/11	10/22/13	\$9,149.25	\$5,624.19	\$0.00	\$3,525.06	\$0.00
	19,000	03/18/11	10/23/13	\$2,923.34	\$1,811.18	\$0.00	\$1,112.16	\$0.00
	25,000	06/09/11	10/23/13	\$3,846.50	\$2,524.32	\$0.00	\$1,322.18	\$0.00
	8,000	01/31/12	10/23/13	\$1,230.88	\$911.03	\$0.00	\$319.85	\$0.00
	10,000	03/07/12	10/23/13	\$1,538.59	\$1,082.37	\$0.00	\$456.22	\$0.00
	16,000	03/20/12	10/23/13	\$2,458.49	\$1,789.71	\$0.00	\$668.78	\$0.00
Security Subtotal	279,000			\$43,156.11	\$26,816.53	\$0.00	\$16,339.58	\$0.00
CUSIP: 92826C839 Symbol (Box 1d): V								
VISA INC CL A	9,000	07/25/11	02/05/13	\$1,428.74	\$808.46	\$0.00	\$620.28	\$0.00
	6,000	07/25/11	03/04/13	\$948.98	\$538.98	\$0.00	\$410.00	\$0.00
	43,000	07/25/11	07/31/13	\$7,726.30	\$3,862.66	\$0.00	\$3,863.64	\$0.00
	9,000	07/25/11	08/01/13	\$1,582.71	\$808.46	\$0.00	\$774.25	\$0.00
	99,000	07/25/11	08/01/13	\$17,428.75	\$8,893.10	\$0.00	\$8,535.65	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

RICE FOUNDATION
ATTN. PETER NOLAN
WELLS LARGE CAP SELECT
8600 GROSS POINT ROAD
SKOKIE IL 60077-2151

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number. 26-4310632

Taxpayer ID Number: 36-6043160
Account Number. 559 147355 055

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VISA INC CL A	59 000	09/13/11	08/01/13	\$10,375.54	\$5,166.23	\$0.00	\$5,209.31	\$0.00
	25 000	10/07/11	08/01/13	\$4,396.41	\$2,175.95	\$0.00	\$2,220.46	\$0.00
	5.000	03/07/12	08/01/13	\$879.28	\$575.58	\$0.00	\$303.70	\$0.00
	7.000	03/07/12	08/26/13	\$1,237.98	\$805.82	\$0.00	\$432.16	\$0.00
Security Subtotal	262.000			\$46,004.69	\$23,635.24	\$0.00	\$22,369.45	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 969457100 Symbol (Box 1d): WMB								
WILLIAMS CO INC	59,000	04/19/12	05/21/13	\$2,232.46	\$1,902.20	\$0.00	\$330.26	\$0.00
	124,000	04/19/12	06/03/13	\$4,334.70	\$3,997.85	\$0.00	\$336.85	\$0.00
	242,000	04/20/12	06/03/13	\$8,459.66	\$7,835.23	\$0.00	\$624.43	\$0.00
Security Subtotal	425,000			\$15,026.82	\$13,735.28	\$0.00	\$1,291.54	\$0.00
Total Long Term Noncovered Securities				\$775,807.27	\$488,502.04	\$0.00	\$287,305.23	\$0.00
Total Long Term Covered and Noncovered Securities				\$948,563.40	\$617,476.10	\$0.00	\$331,087.30	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$3,065,142.60	\$2,575,037.34	\$2,639.46	\$490,105.25	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$3,065,142.60				
Total Cost or Other Basis (Box 3)					\$1,993,408.05			
Total Wash Sale Loss Disallowed (Box 5)						\$2,639.46		
Total Fed Tax Withheld (Box 4)								\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**

OMB No 1545-1709

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	RICE FOUNDATION	36-6043160
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	8600 GROSS POINT ROAD	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	SKOKIE, IL 60077-2151	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► THE RICE FOUNDATION

Telephone No ► 847-581-9999 Fax No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 20 13 or
 - ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$ 17,168.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$ 130,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev 1-2014)