



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending

THE RICH FOUNDATION
P.O. BOX 369
SUGAR GROVE, IL 60554

A Employer identification number
36-4348318

B Telephone number (see the instructions)
630-466-7610

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 10,198,121.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)		8,441,485.			
2 Ck <input type="checkbox"/> if the foundn is not req to att Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		55,714.	55,714.	55,714.	
5a Gross rents					
b Net rental income or (loss)					
6a Net gain/(loss) from sale of assets not on line 10		107,200.			
b Gross sales price for all assets on line 6a		379,326.			
7 Capital gain net income (from Part IV, line 2)			107,200.		
8 Net short-term capital gain				0.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		8,604,399.	162,914.	55,714.	
13 Compensation of officers, directors, trustees, etc.		0.			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach sch)					
c Other prof fees (attach sch)					
17 Interest					
18 Taxes (attach schedule)(see instrs) See Stmt 1		2,624.	2,624.		
19 Depreciation (attach sch) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) See Statement 2		3,307.	3,282.		25.
24 Total operating and administrative expenses. Add lines 13 through 23		5,931.	5,906.		25.
25 Contributions, gifts, grants paid Part XV		600,000.			600,000.
26 Total expenses and disbursements. Add lines 24 and 25		605,931.	5,906.	0.	600,025.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		7,998,468.			
b Net investment income (if negative, enter -0-)			157,008.		
c Adjusted net income (if negative, enter -0-)				55,714.	

GA 7

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		4,514.	476,616.	476,616.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) Statement 3		3,905,909.	4,376,063.	
	c	Investments — corporate bonds (attach schedule) Statement 4		2,784,272.	2,771,266.	
	LIABILITIES	11	Investments — land, buildings, and equipment: basis	223,500.		
		Less: accumulated depreciation (attach schedule) See Stmt. 5		223,500.	223,500.	
12		Investments — mortgage loans				
13		Investments — other (attach schedule) Statement 6		612,685.	580,676.	
14		Land, buildings, and equipment: basis	1,770,000.			
		Less: accumulated depreciation (attach schedule) See Stmt. 7		1,770,000.	1,770,000.	
15		Other assets (describe				
16		Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	1,774,514.	9,772,982.	10,198,121.	
17		Accounts payable and accrued expenses				
18		Grants payable				
FUND ASSETS	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/> X				
	24	Unrestricted	1,774,514.	9,772,982.		
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
27	Capital stock, trust principal, or current funds					
28	Paid-in or capital surplus, or land, building, and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)	1,774,514.	9,772,982.			
31	Total liabilities and net assets/fund balances (see instructions)	1,774,514.	9,772,982.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,774,514.
2	Enter amount from Part I, line 27a	2	7,998,468.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	9,772,982.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	9,772,982.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	THE NORTHERN TRUST 4660	P	Various	Various
b	THE NORTHERN TRUST 4660	P	Various	Various
c	THE NORTHERN TRUST 4660 CAPT GAIN DIV	P	Various	Various
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 32,705.		40,589.	-7,884.
b 289,971.		231,537.	58,434.
c 56,650.			56,650.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(l) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-7,884.
b			58,434.
c			56,650.
d			
e			

2 Capital gain net income or (net capital loss).	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>		2	107,200.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<div> <div>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8</div> </div>		3	-7,884.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	309.	4,722.	0.065438
2011		4,896.	
2010			
2009			
2008			

2 Total of line 1, column (d)	2	0.065438
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.032719
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,356,788.
5 Multiply line 4 by line 3	5	44,393.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,570.
7 Add lines 5 and 6	7	45,963.
8 Enter qualifying distributions from Part XII, line 4	8	600,025.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1. a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1,570.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2.		3	1,570.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.		5	1,570.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013.	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d.	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		28.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		1,598.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax		Refunded	11

Part VII A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?.. If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) .. IL		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.		X

BAA

Form 990-PF (2013)

Part VIIA Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ JEROME RICH Telephone no. ▶ 630-466-7610 Located at ▶ P.O. BOX 369 SUGAR GROVE IL ZIP + 4 ▶ 60554-0369			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ▶				

Part VIIB Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	N/A
Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

BAA

Form 990-PF (2013)

Part VII Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEROME RICH 7 S 771 DUGAN RD. SUGAR GROVE, IL 60554	Director 0	0.	0.	0.
KEITH RICH 1701 W. QUINCY AVE. #10 NAPERVILLE, IL 60540	Director 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	970,968.
b Average of monthly cash balances	1 b	315,857.
c Fair market value of all other assets (see instructions)	1 c	90,625.
d Total (add lines 1a, b, and c)	1 d	1,377,450.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets.	2	0.
3 Subtract line 2 from line 1d.	3	1,377,450.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	20,662.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,356,788.
6 Minimum investment return. Enter 5% of line 5	6	67,839.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	67,839.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	1,570.
b Income tax for 2013. (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b.	2 c	1,570.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	66,269.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	66,269.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	66,269.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	600,025.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	600,025.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,570.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	598,455.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				66,269.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			172.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 600,025.				
a Applied to 2012, but not more than line 2a			172.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				66,269.
e Remaining amount distributed out of corpus	533,584.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	533,584.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	533,584.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013	533,584.			

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ST BEDE LEGACY PROJECT 24 W US HWY 6 PERU, IL 61354	NONE	EXEMPT	CHARITY	100,000.
ST KATHERINE DREXEL PARISH CHURCH 8S055 DUGAN ROAD SUGAR GROVE, IL 60554	NONE	EXEMPT	CHARITY	500,000.
Total			3 a	600,000.
b Approved for future payment				
Total			3 b	

Part XVI-A. Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14		
4	Dividends and interest from securities			14	55,714.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					107,200.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				55,714.	107,200.
13	Total. Add line 12, columns (b), (d), and (e)				13	162,914.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE RICH FOUNDATION

Employer identification number

36-4348318

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ,

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

THE RICH FOUNDATION

Employer identification number

36-4348318

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANTHONY J RICH DECLARATION OF TRUST 50 SOUTH LASALLE CHICAGO, IL 60603	\$ 223,500.	Person <input checked="" type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
2	ANTHONY J RICH DECLARATION OF TRUST 50 SOUTH LASALLE CHICAGO, IL 60603	\$ 4,225,000.	Person <input checked="" type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	ANTHONY J RICH DECLARATION OF TRUST 50 SOUTH LASALLE CHICAGO, IL 60603	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	ANTHONY J RICH DECLARATION OF TRUST 50 SOUTH LASALLE CHICAGO, IL 60603	\$ 2,992,985.	Person <input checked="" type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE RICH FOUNDATION

36-4348318

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	LAND CORNER OF ILLINOIS ROUTE 6, ROUTE 29 AND ROUTE 89, SPRING VALLEY ILLINOIS	\$ 223,500.	8/05/13
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	PUBLICLY TRADED SECURITIES SEE ATTACHED LIST OF INDIVIDUAL SECURITIES.	\$ 2,992,985.	11/12/13
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

BAA

Name of organization

Employer identification number

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10)

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.)

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	N/A		
-----	<div style="display: flex; justify-content: space-between;"> <div>(e) Transfer of gift</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		
-----	<div style="display: flex; justify-content: space-between;"> <div>(e) Transfer of gift</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		
-----	<div style="display: flex; justify-content: space-between;"> <div>(e) Transfer of gift</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		
-----	<div style="display: flex; justify-content: space-between;"> <div>(e) Transfer of gift</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		
-----	<div style="display: flex; justify-content: space-between;"> <div>(e) Transfer of gift</div> </div> <div style="display: flex; justify-content: space-between;"> <div>Transferee's name, address, and ZIP + 4</div> <div>Relationship of transferor to transferee</div> </div>		

THE RICH FOUNDATION

36-4348318

Statement 1
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAX CREDIT	\$ 2,624.	\$ 2,624.		
Total	<u>\$ 2,624.</u>	<u>\$ 2,624.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ILLINOIS CHARITY BREAU FILING FEE. \$	15.			\$ 15.
NORTHERN TRUST AGENCY FEES	2,197.	\$ 2,197.		
NORTHERN TRUST FEES SUBJECT TO 2%	1,085.	1,085.		
SECRETARY OF STATE	10.			10.
Total	<u>\$ 3,307.</u>	<u>\$ 3,282.</u>	<u>\$ 0.</u>	<u>\$ 25.</u>

Statement 3
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
NORTHERN TRUST LARGE CAP	Cost	\$ 1,517,426.	\$ 1,845,175.
NORTHERN TRUST MID CAP	Cost	347,076.	374,690.
NORTHERN TRUST SMALL CAP	Cost	257,712.	262,717.
NORTHERN TRUST INTERNATIONAL DEVELOPMENT	Cost	1,153,103.	1,263,395.
NORTHERN TRUST INTERNATIONAL EMERGING	Cost	630,592.	630,086.
Total		<u>\$ 3,905,909.</u>	<u>\$ 4,376,063.</u>

Statement 4
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

Corporate Bonds	Valuation Method	Book Value	Fair Market Value
NORTHERN TRUST CORPORATE BONDS	Cost	\$ 2,606,780.	\$ 2,596,826.
NORTHERN TRUST OTHER BONDS	Cost	177,492.	174,440.
Total		<u>\$ 2,784,272.</u>	<u>\$ 2,771,266.</u>

THE RICH FOUNDATION

36-4348318

Statement 5
Form 990-PF, Part II, Line 11
Investments - Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Land	\$ 223,500.		\$ 223,500.	\$ 223,500.
Total	<u>\$ 223,500.</u>	<u>\$ 0.</u>	<u>\$ 223,500.</u>	<u>\$ 223,500.</u>

Statement 6
Form 990-PF, Part II, Line 13
Investments - Other

	Valuation Method	Book Value	Fair Market Value
<u>Other Publicly Traded Securities</u>			
NORTHERN TRUST REAL ESTATE FUNDS	Cost	\$ 262,574.	\$ 230,624.
NORTHERN TRUST COMMODITIES	Cost	350,111.	350,052.
Total		<u>\$ 612,685.</u>	<u>\$ 580,676.</u>

Statement 7
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Buildings	\$ 1,593,000.	\$ 0.	\$ 1,593,000.	\$ 1,593,000.
Land	177,000.		177,000.	177,000.
Total	<u>\$ 1,770,000.</u>	<u>\$ 0.</u>	<u>\$ 1,770,000.</u>	<u>\$ 1,770,000.</u>



Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-34660
THE RICH FOUNDATION

Activity Details

Settled Activity

Date	Type	Description	Market Value	Tax Cost/ Adjustment Amt	Income Cash	Principal Cash	Reserve Cash
U.S. DOLLARS - USD							
Opening Balance							
11/18/13	Receipt	#REORG/NOBLE STOCK MERGER NOBLE CO 2R1JA21 EFF 11-20-2013 (NE) 500.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	19,870.00	17,460.00	\$ 0.00	\$ 0.00	\$ 0.00
	Receipt	AMERICAN EXPRESS CO., COMMON STOCK, \$.60PAR (AXP) 500.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	41,400.00	28,882.50			
	Receipt	AMERICAN TOWER CORP (AMT) 300.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	23,784.00	22,185.92			
	Receipt	AMERICAN WTR WKS CO INC NEW COM (AWK) 500.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	21,590.00	19,110.00			
	Receipt	AMGEN INC COMMON STOCK (AMGN) 250.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	28,745.00	22,563.75			

Continued on next page.



Northern Trust

Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-34660
THE RICH FOUNDATION

Activity Details (continued)

Settled Activity

Date	Type	Description	Market Value	Tax Cost/ Adjustment Amt	Income Cash	Principal Cash	Reserve Cash
	Receipt	APPLE INC COM STK (AAPL) 75.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	39,381.00	40,660.13			
	Receipt	C R BARD (BCR) 150.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	20,857.50	15,091.28			
	Receipt	CISCO SYSTEMS INC COMMON STOCK (CSCO) 1,850.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	39,849.00	39,096.29			
	Receipt	CITIGROUP INC COM NEW COM NEW (CI) 700.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	35,280.00	35,102.78			
	Receipt	CITRIX SYS INC COMMON STOCK (CTXS) 200.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	11,380.00	12,991.00			
	Receipt	COSTCO WHOLESALE CORP NEW COM (COST) 200.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	24,858.00	19,664.00			

Continued on next page.



Account Statement

January 1, 2013 - December 31, 2013

Activity Details (continued)

Settled Activity

Date	Type	Description	Market Value	Adjustment Amt	Tax Cost/	Income Cash	Principal Cash	Reserve Cash
	Receipt	CVS CAREMARK CORP COM STK (CVS) 600.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	39,372.00	28,545.00				
	Receipt	DANAHER CORP. COMMON STOCK \$.01 PAR (DHR) 750.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	55,867.50	40,781.25				
	Receipt	EATON CORP PLC COM USD0.50 (ETN) 400.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	28,920.00	20,693.50				
	Receipt	EMC CORP COM (EMC) 1,300.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	31,200.00	32,370.00				
	Receipt	EMERSON ELECTRIC CO COM (EMR) 350.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	23,789.50	18,496.59				
	Receipt	EXELON CORP. COM (EXC) 700.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	19,684.00	26,262.53				

Continued on next page.



Northern Trust

Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-34660
THE RICH FOUNDATION

Activity Details (continued)

Settled Activity

Date	Type	Description	Market Value	Adjustment Amt	Tax Cost/	Income Cash	Principal Cash	Reserve Cash
	Receipt	EXPRESS SCRIPTS HLDG CO COM (ESRX) 450.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	29,403.00	24,581.25				
	Receipt	EXXON MOBIL CORP COM (XOM) 600.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	57,162.00	53,784.00				
	Receipt	GENERAL ELECTRIC CO (GE) 1,750.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	47,600.00	38,080.00				
	Receipt	GILEAD SCIENCES INC (GILD) 300.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	20,967.00	16,436.58				
	Receipt	GOOGLE INC CL A CL A (GOOG) 60.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	62,013.60	41,909.70				
	Receipt	HOME DEPOT INC., COMMON STOCK, \$.05 PAR (HD) 500.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	40,015.00	31,472.50				

Continued on next page.



Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-34660
THE RICH FOUNDATION

Activity Details (continued)

Settled Activity

Date	Type	Description	Market Value	Tax Cost/ Adjustment Amt	Income Cash	Principal Cash	Reserve Cash
	Receipt	INTEL CORP COM (INTC) 700.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	17,164.00	14,535.50			
	Receipt	INTERCONTINENTAL EXCHANGE GROUP INC COM (ICE) 150.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	30,354.00	19,456.50			
	Receipt	INTERNATIONAL BUSINESS MACHS CORP COM (IBM) 100.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	18,319.00	19,360.50			
	Receipt	JPMORGAN CHASE & CO COM (JPM) 1,000.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	54,870.00	43,037.50			
	Receipt	MC DONALDS CORP. COMMON STOCK, NO PAR (MCD) 450.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	43,614.00	40,317.75			
	Receipt	MERCK & CO INC NEW COM (MRK) 700.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	33,649.00	30,362.00			

Continued on next page.



Northern Trust

Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-34660
THE RICH FOUNDATION

Activity Details (continued)

Settled Activity

Date	Type	Description	Market Value	Tax Cost/ Adjustment Amt	Income Cash	Principal Cash	Reserve Cash
	Receipt	METLIFE INC COM STK USD0.01 (MET) 700.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	36,407.00	25,527.41			
	Receipt	MFC FLEXSHARES TR IBOX 3 YR TARGET DURATION TIPS INDEX FD TR IBOX 3 YR TARGET DURATION TIPS INDEX FD (TDTT) 5,000.00 PAR RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	125,095.00	127,641.63			
	Receipt	MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD (GURN) 10,000.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	349,500.00	350,110.88			
	Receipt	MFC ISHARES TR MSCI EAFE ETF (EFA) 5,000.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	331,600.00	280,825.00			
	Receipt	MONDELEZ INTL INC COM (MDLZ) 800.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	27,240.00	24,823.12			
	Receipt	MONSANTO CO NEW COM (MON) 200.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	22,212.00	21,166.69			

Continued on next page.



Account Statement

January 1, 2013 - December 31, 2013

Activity Details (continued)

Settled Activity

Date	Type	Description	Market Value	Tax Cost/ Adjustment Amt	Income Cash	Principal Cash	Reserve Cash
	Receipt	NATIONAL OILWELL VARCO COM STK (NOV) 600.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	50,580.00	40,377.00			
	Receipt	NIKE INC CL B CL B (NKE) 500.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	39,610.00	24,825.45			
	Receipt	NORFOLK SOUTHN CORP COM (NSC) 300.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	26,145.00	23,685.56			
	Receipt	NORTHERN TR CORP COM INTRS 220.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	12,573.00	10,545.70			
	Receipt	NUCOR CORP. COMMON STOCK, \$ 40 PAR (NUE) 350.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	18,763.50	14,540.75			
	Receipt	ORACLE CORPORATION COM (ORCL) 800.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	27,936.00	25,732.00			

Continued on next page.



Northern Trust

Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-34660
THE RICH FOUNDATION

Activity Details (continued)

Settled Activity

Date	Type	Description	Market Value	Tax Cost/ Adjustment Amt	Income Cash	Principal Cash	Reserve Cash
	Receipt	PEPSICO INC COM (PEP) 450.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	38,713.50	31,704.75			
	Receipt	PFIZER INC COM (PFE) 1,000.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	32,200.00	25,595.00			
	Receipt	PRECISION CASTPARTS CORP COM (PCP) 100.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	25,020.00	18,576.00			
	Receipt	PROCTER & GAMBLE COM NPV (PG) 600.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	50,904.00	42,480.00			
	Receipt	QUALCOMM INC COM (QCOM) 500.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	36,080.00	32,012.50			
	Receipt	SCHLUMBERGER LTD COM (SLB) 400.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	37,192.00	29,200.00			

Continued on next page.



Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-34660
THE RICH FOUNDATION

Activity Details (continued)

Settled Activity

Date	Type	Description	Market Value	Tax Cost/ Adjustment Amt	Income Cash	Principal Cash	Reserve Cash
	Receipt	ST JUDE MED INC COM (STJ) 400.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	22,948.00	14,244.00			
	Receipt	STARBUCKS CORP COM (SBUX) 250.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	20,297.50	13,417.50			
	Receipt	THOMSON REUTERS (TRI) 4,270.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	163,754.50	123,723.25			
	Receipt	UNITED TECHNOLOGIES CORP COM (UTX) 400.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	43,436.00	32,512.00			
	Receipt	UNITEDHEALTH GROUP INC COM (UNH) 300.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	21,561.00	16,608.00			
	Receipt	V. F. CORP., COMMON STOCK, NO PAR (VFC) 200.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	45,112.00	30,038.00			

Continued on next page.



Northern Trust

Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-34660
THE RICH FOUNDATION

Activity Details (continued)

Settled Activity

Date	Type	Description	Market Value	Adjustment Amt	Tax Cost/ Income Cash	Principal Cash	Reserve Cash
	Receipt	WALT DISNEY CO (DIS) 600.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	42,000.00	29,847.00			
	Receipt	WELLS FARGO & CO NEW COM STK (WFC) 1,000.00 SHARES RECEIVED FROM TRUST ACCOUNT #02-15230 ANTHONY RICH TRUST PER PLAN OF DIVISION DATED 11-12-2013	43,540.00	33,640.00			
11/19/13	Receipt	MFB NORTHERN FDS MULTI-MANAGER GLOBAL REAL ESTATE FD (NMMGX) 12,692.43 UNITS RECEIVED BY TRANSFER FROM TRUST UNDER AGREEMENT ACCOUNT 02-15230, RICH TRUST ANTHONY J. AS A DISTRIBUTION PER PLAN OF DIVISION DATED 11/12/13	239,379.23	239,632.52			
	Receipt	MFB NORTHERN FDS MULTI-MANAGER EMERGING MKTS EQUITY FD (NMMEX) 14,775.83 UNITS RECEIVED BY TRANSFER FROM TRUST UNDER AGREEMENT ACCOUNT 02-15230, RICH TRUST ANTHONY J. AS A DISTRIBUTION PER PLAN OF DIVISION DATED 11/12/13	287,980.93	280,593.01			
	Receipt	MFB NORTHERN MULTI-MANAGER MID CAP FUND (NMMCX) 17,452.67 UNITS RECEIVED BY TRANSFER FROM TRUST UNDER AGREEMENT ACCOUNT 02-15230, RICH TRUST ANTHONY J. AS A DISTRIBUTION PER PLAN OF DIVISION DATED 11/12/13	271,389.02	216,070.42			

Continued on next page.

2,992,985