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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation HERBST FAMILY FOUNDATION		A Employer identification number 36-4333045	
Number and street (or P O box number if mail is not delivered to street address) 1040 N LAKE SHORE DRIVE		Room/suite	B Telephone number (see instructions) (312) 316-5085
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60611		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input type="checkbox"/> \$ 1,269,524		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	18,412	18,412		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	11,717			
	b Gross sales price for all assets on line 6a 522,069				
	7 Capital gain net income (from Part IV, line 2) . . .		11,717		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	13,635	13,635		
	12 Total. Add lines 1 through 11	43,764	43,764		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,600			1,600
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,657	2,657		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,751	2,656		95
	24 Total operating and administrative expenses. Add lines 13 through 23	7,008	5,313		1,695
	25 Contributions, gifts, grants paid	62,236			62,236
	26 Total expenses and disbursements. Add lines 24 and 25	69,244	5,313		63,931
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-25,480			
	b Net investment income (if negative, enter -0-)		38,451		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	234,221	225,980	225,980
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	694,668	664,133	980,896
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	57,376	62,648	62,648	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	986,265	952,761	1,269,524	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	986,265	952,761	
	30	Total net assets or fund balances (see page 17 of the instructions)	986,265	952,761	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	986,265	952,761		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	986,265
2	Enter amount from Part I, line 27a	2	-25,480
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	960,785
5	Decreases not included in line 2 (itemize) ▶ _____	5	8,024
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	952,761

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	11,717
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-4,142

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	63,012	1,098,856	0 057343
2011	60,853	1,214,399	0 050110
2010	61,365	1,185,619	0 051758
2009	43,240	926,951	0 046648
2008	59,756	1,060,006	0 056373

2	Total of line 1, column (d).	2	0 262232
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052446
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,186,464
5	Multiply line 4 by line 3.	5	62,225
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	385
7	Add lines 5 and 6.	7	62,610
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	63,931

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	385
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	385
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	385
6 Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,311
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		7	1,311
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	926
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 926 Refunded		11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) IL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	Yes

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶DR ARTHUR L HERBST Telephone no ▶(312) 316-5085			
	Located at ▶1040 N LAKE SHORE DRIVE 5C CHICAGO IL ZIP +4 ▶60611			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DR ARTHUR L HERBST	PRESIDENT	0	0	0
4451 N CAMINO SUMO TUCSON,AZ 85718	1 00			
LEE G HERBST	VICE PRESIDENT	0	0	0
4451 N CAMINO SUMO TUCSON,AZ 85718	1 00			
ARTHUR L HERBST JR	DIRECTOR	0	0	0
1816 N LINCOLN PARK W CHICAGO,IL 60614	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,009,022
b	Average of monthly cash balances.	1b	242,442
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,251,464
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,251,464
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	65,000
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,186,464
6	Minimum investment return. Enter 5% of line 5.	6	59,323

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	59,323
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	385
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	385
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	58,938
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	58,938
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	58,938

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	63,931
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	63,931
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	385
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	63,546
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				58,938
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008. 7,640				
b From 2009.				
c From 2010. 3,336				
d From 2011. 587				
e From 2012. 10,541				
f Total of lines 3a through e.	22,104			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 63,931				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				58,938
e Remaining amount distributed out of corpus	4,993			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	27,097			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	7,640			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	19,457			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . . 3,336				
c Excess from 2011. . . . 587				
d Excess from 2012. . . . 10,541				
e Excess from 2013. . . . 4,993				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

DR ARTHUR L HERBST

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	62,236
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
WESTMINSTER FUND V, LP - SEC 1231 GAIN	P	2012-01-01	2013-12-31
WESTMINSTER FUND VI, LP - SEC 1231 LOSS	P	2012-01-01	2013-12-31
ELLINGTON FINANCIAL LLC - S/T CAPITAL GAINS	P	2013-12-31	2013-12-31
1000 SHARES ELLINGTON FINANCIAL LLC	P	2011-07-29	2013-10-23
ENERGY TRANSFER PARTNERS, LP - L/T CAPITAL GAINS	P	2012-01-01	2013-12-31
ENERGY TRANSFER PARTNERS, LP - SEC 1231 GAIN	P	2012-01-01	2013-12-31
800 SHARES ENERGY TRANSFER PARTNERS, LP	P	2013-02-04	2013-10-23
ALLIANCEBERNSTEIN HOLDINS, LP - S/T CAPITAL GAINS	P	2013-12-31	2013-12-31
ALLIANCEBERNSTEIN HOLDINGS, LP - L/T CAPITAL GAINS	P	2012-01-01	2013-12-31
KINDER MORGAN ENERGY PARTNERS, LP - SEC 1231 LOSS	P	2012-01-01	2013-12-31
VANGUARD MARKETING CORP - CAPITAL GAIN DISTRIBUTION	P	2012-01-01	2013-12-31
400 SHARES CANADIAN IMPERIAL BANK	P	2013-08-21	2013-09-09
600 SHARES CENTURYLINK INC	P	2013-06-01	2013-12-23
1400 SHARES EXELON CORP	P	2012-06-01	2013-01-28
500 SHARES NATL PRESTO IND INC	P	2012-06-01	2013-01-25
200 SHARES SPDR GOLD TRUST GOLD ETF	P	2013-03-11	2013-09-09
150 SHARES SPDR GOLD TRUST GOLD ETF	P	2013-03-11	2013-10-04
500 SHARES TOTAL S A	P	2013-06-01	2013-10-21
250 SHARES VNGRD FTSE EMG MKTS ETF	P	2013-07-18	2013-12-20
500 SHARES AFLAC INC	P	1996-02-09	2013-07-19
2000 SHARES ANNALY CAP MGMT INC	P	2012-06-01	2013-07-15
500 SHARES CENTURYLINK INC	P	2010-07-30	2013-12-23
350 SHARES CONOCOPHILLIPS	P	2011-09-13	2013-03-11
1345 016 SHARES DOUBLELN TTL RET BD N	P	2012-06-01	2013-06-03
1500 SHARES FIRSTENERGY CORP	P	2012-06-01	2013-06-27
600 SHARES NATL PRESTO IND INC	P	2012-01-01	2013-01-25
750 SHARES VNGRD FTSE EMG MKTS ETF	P	2012-06-01	2013-12-20


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,044	0	0	3,044
0	0	5,086	-5,086
150	0	0	150
23,855	0	27,882	-4,027
307	0	0	307
1	0	0	1
42,391	0	34,854	7,537
120	0	0	120
159	0	0	159
0	0	10	-10
352	0	0	352
31,751	0	30,004	1,747
18,816	0	21,820	-3,004
43,539	0	50,990	-7,451
36,429	0	37,016	-587
26,764	0	30,558	-3,794
19,059	0	22,919	-3,860
30,306	0	25,374	4,932
10,008	0	9,940	68
29,715	0	3,543	26,172
24,020	0	32,446	-8,426
15,680	0	17,810	-2,130
20,443	0	17,567	2,876
15,172	0	15,000	172
56,249	0	55,890	359
43,715	0	37,018	6,697
30,024	0	34,625	-4,601


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	3,044
0	0	0	-5,086
0	0	0	150
0	0	0	-4,027
0	0	0	307
0	0	0	1
0	0	0	7,537
0	0	0	120
0	0	0	159
0	0	0	-10
0	0	0	352
0	0	0	1,747
0	0	0	-3,004
0	0	0	-7,451
0	0	0	-587
0	0	0	-3,794
0	0	0	-3,860
0	0	0	4,932
0	0	0	68
0	0	0	26,172
0	0	0	-8,426
0	0	0	-2,130
0	0	0	2,876
0	0	0	172
0	0	0	359
0	0	0	6,697
0	0	0	-4,601


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHICAGO SYMPHONY ORCHESTRA FUND 220 S MICHIGAN AVENUE CHICAGO,IL 60604		PUBLIC	GENERAL SUPPORT	3,500
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEWYORK,NY 10001		PUBLIC	GENERAL SUPPORT	250
LYRIC OPERA OF CHICAGO FUND 20 N WACKER DRIVE CHICAGO,IL 60603		PUBLIC	GENERAL SUPPORT	3,500
SHADY HILL SCHOOL 178 COOLIDGE HILL CAMBRIDGE,MA 02138		PUBLIC	GENERAL SUPPORT	200
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVENUE MONTGOMERY,AL 36104		PUBLIC	GENERAL SUPPORT	250
TUSCON SYMPHONY ORCHESTRA FUND 2175 N SIXTH AVENUE TUCSON,AZ 85705		PUBLIC	GENERAL SUPPORT	3,000
UNICEF 125 MAIDEN LANE NEWYORK,NY 10038		PUBLIC	GENERAL SUPPORT	200
UNIVERSITY OF ARIZONA 1111 N CHERRY AVENUE TUCSON,AZ 85721		PUBLIC	GENERAL SUPPORT	6,361
UNIVERSITY OF CHICAGO 5801 S ELLIS AVENUE CHICAGO,IL 60637		PUBLIC	GENERAL SUPPORT	9,300
WTTW PUBLIC TELEVISION 5400 N ST LOUIS AVENUE CHICAGO,IL 60625		PUBLIC	GENERAL SUPPORT	600
AMERICAN HUMANIST ASSOCIATION 1777 T ST NW WASHINGTON,DC 20009		PUBLIC	GENERAL SUPPORT	250
INSTITUTE OF MEDICINE 500 FIFTH STREET NW WASHINGTON,DC 20001		PUBLIC	GENERAL SUPPORT	500
ARIZONA PUBLIC MEDIA PO BOX 210067 TUCSON,AZ 85721		PUBLIC	GENERAL SUPPORT	400
COMPASSION & CHOICES PO BOX 101810 DENVER,CO 80250		PUBLIC	GENERAL SUPPORT	300
CONSUMER REPORTS FOUNDATION 101 TRUMAN AVENUE YONKERS,NY 10703		POF-EXEMPT	GENERAL SUPPORT	100
Total  3a				62,236

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HARVARD MEDICAL SCHOOL 124 MOUNT AUBURN STREET CAMBRIDGE,MA 02138		PUBLIC	ALUMNI FUND	15,000
INVISIBLE THEATER 1400 N FIRST AVENUE TUCSON,AZ 85719		POF-EXEMPT	GENERAL SUPPORT	250
TIMELINE THEATER FUND 615 W WELLINGTON AVENUE CHICAGO,IL 60657		PUBLIC	GENERAL SUPPORT	100
BUCKINGHAM BROWNE & NICHOLS SCHOOL 80 GERRYS LANDING ROAD CAMBRIDGE,MA 02138		PUBLIC	GENERAL SUPPORT	12,000
WBEZ PUBLIC RADIO 848 E GRAND AVENUE CHICAGO,IL 60611		PUBLIC	GENERAL SUPPORT	200
FREEDOM FROM RELIGION FOUNDATION PO BO X750 MADISON,WI 53701		PUBLIC	GENERAL SUPPORT	500
ARIZONA THEATER FUND 343 S SCOTT AVENUE TUCSON,AZ 85701		PUBLIC	GENERAL SUPPORT	250
EXPERIMENT IN INTERNATIONAL LIVING PO BOX 676 1 KIPLING RD BRATTLEBORO,VT 05302		PUBLIC	GENERAL SUPPORT	250
ACOG FOUNDATION 409 12TH STREET SW WASHINGTON,DC 20024		POF-EXEMPT	GENERAL SUPPORT	250
CHICAGO LYING-IN BOARD OF DIRECTORS 5841 S MARYLAND AVENUE MC 2050 CHICAGO,IL 60637		PUBLIC	GENERAL SUPPORT	200
MORGRIDGE INSTITUTE FUND BOX 88103 MILWAUKEE,WI 53288		PUBLIC	GENERAL SUPPORT	250
NATIONAL STRATEGY FORUM 212 W VAN BUREN STREET CHICAGO,IL 60607		PUBLIC	GENERAL SUPPORT	100
CHALLENGED ATHLETES FUND 9591 WAPLES STREET SAN DIEGO,CA 92121		POF-EXEMPT	GENERAL SUPPORT	100
RADCLIFFE INSTITUTE FUND 10 GARDEN STREET CAMBRIDGE,MA 02138		PUBLIC	GENERAL SUPPORT	200
SMART MUSEUM OF ART 5550 S GREENWOOD AVENUE CHICAGO,IL 60637		PUBLIC	GENERAL SUPPORT	250
Total  3a				62,236

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LATIN SCHOOL OF CHICAGO 59 W NORTH BLVD CHICAGO,IL 60610		PUBLIC	GENERAL SUPPORT	500
CHICAGO FOUNDATION FOR EDUCATION 1 N LA SALLE STREET CHICAGO,IL 60602		PUBLIC	GENERAL SUPPORT	125
COLLEGIATE SCHOOL 260 WEST 78TH STREET NEW YORK,NY 10024		PUBLIC	ANNUAL GIVING FUND	1,500
CHAPIN SCHOOL 100 EAST END AVENUE NEW YORK,NY 10028		PUBLIC	ANNUAL GIVING FUND	1,500
Total  3a				62,236

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions)
11 Other revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a WESTMINSTER FUND V LP			14	-19	
b WESTMINSTER FUND VI LP			14	-902	
c WESTMINSTER FUND VIII LP			14	-2,278	
d ELLINGTON FINANCIAL LLC			14	16,017	
e ENERGY TRANSFER PARTNERS, LP			14	-1,488	
f ALLIANCEBERNSTEIN HOLDINGS, LP			14	310	
g TERRA NITROGEN COMPANY, LP			14	-1,605	
h KINDER MORGAN ENERGY PARTNERS, LP			14	-1,872	
i JPM ALERIAN MLP			14	5,472	

TY 2013 Accounting Fees Schedule

Name: HERBST FAMILY FOUNDATION

EIN: 36-4333045

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DIETRICH & DIETRICH, LLC TAX PREPARATION	1,600			1,600

TY 2013 Cash Deemed Charitable Explanation Statement

Name: HERBST FAMILY FOUNDATION

EIN: 36-4333045

Explanation: IT IS THE FOUNDATION'S POLICY TO KEEP A MINIMUM CASH BALANCE IN THE ACCOUNT TO COVER ANY ADMINISITRATIVE EXPENSES AND TO COVER THE DISTRIBUTIONS FOR THE COMING YEAR PLUS ANY UNANTICIPATED DONATION REQUESTS.

TY 2013 Investments Corporate Stock Schedule

Name: HERBST FAMILY FOUNDATION

EIN: 36-4333045

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1500 SHARES VANGUARD EXTENDED MARKET ETF	54,790	124,050
500 SHARES AFLAC INC	3,543	33,400
2500 SHARES JPMORGAN CHASE & CO ALERIAN MLP INDEX ETN	56,133	115,875
500 SHARES ENSCO PLC	29,125	28,590
400 SHARES FREEPORT MCMORAN COPPER & GOLD	11,288	15,096
7000 SHARES FRONTIER COMMUNICATIONS CORP	30,143	32,550
600 SHARES KINDER MORGAN INC	21,000	21,600
400 SHARES ISHARES S&P SMALL CAP ETF	24,900	43,652
400 SHARES VANGUARD DIVIDEND APPRECIATION ETF	21,598	30,096
1000 SHARES AMERICAN ELECTRIC POWER COMPANY	37,992	46,740
350 SHARES PROCTER & GAMBLE COMPANY	21,143	28,494
2000 SHARES VALE S A	28,855	30,500
600 SHARES VANGUARD SHORT TERM CORP BOND ETF	47,928	47,868
200 SHARES SPDR GOLD TRUST GOLD SHARES	24,000	23,224
550 SHARES SPDR S&P MID CAP 400 ETF	18,070	134,310
1800 SHARES ALLIANCEBERNSTEIN HOLDING, LP	39,510	38,412
4000 SHARES ELLINGTON FINANCIAL LLC	79,316	90,680
400 SHARES KINDER MORGAN ENERGY PARTNERS, LP	32,836	32,264
450 SHARES TERRA NITROGEN CO, LP	81,963	63,495
ALL BOOK VALUES ARE SHOWN AT COST		

TY 2013 Other Assets Schedule**Name:** HERBST FAMILY FOUNDATION**EIN:** 36-4333045

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
WESTMINSTER FUND V, LP	14	3,046	3,046
WESTMINSTER FUND VI, LP	14,164	8,181	8,181
WESTMINSTER FUND VIII, LP	43,198	51,421	51,421
ALL BOOK VALUES ARE SHOWN USING TAX BASIS			

TY 2013 Other Assets Schedule**Name:** HERBST FAMILY FOUNDATION**EIN:** 36-4333045

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
WESTMINSTER FUND V, LP	14	3,046	3,046
WESTMINSTER FUND VI, LP	14,164	8,181	8,181
WESTMINSTER FUND VIII, LP	43,198	51,421	51,421
ALL BOOK VALUES ARE SHOWN USING TAX BASIS			

TY 2013 Other Assets Schedule**Name:** HERBST FAMILY FOUNDATION**EIN:** 36-4333045

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
WESTMINSTER FUND V, LP	14	3,046	3,046
WESTMINSTER FUND VI, LP	14,164	8,181	8,181
WESTMINSTER FUND VIII, LP	43,198	51,421	51,421
ALL BOOK VALUES ARE SHOWN USING TAX BASIS			

TY 2013 Other Decreases Schedule**Name:** HERBST FAMILY FOUNDATION**EIN:** 36-4333045

Description	Amount
NONDEDUCTIBLE EXPENSES FROM LIMITED PARTNERSHIPS	390
DIFFERENCE BETWEEN BOOK/TAX GAIN	7,634

TY 2013 Other Expenses Schedule**Name:** HERBST FAMILY FOUNDATION**EIN:** 36-4333045

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	10			10
MISC INVESTMENT EXPENSES FROM LIMITED PARTNERSHIPS	2,553	2,553		
MISC INVESTMENT EXPENSES	103	103		
BANK FEES	85			85

TY 2013 Other Income Schedule**Name:** HERBST FAMILY FOUNDATION**EIN:** 36-4333045

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
WESTMINSTER FUND V LP	-19	-19	
WESTMINSTER FUND VI LP	-902	-902	
WESTMINSTER FUND VIII LP	-2,278	-2,278	
ELLINGTON FINANCIAL LLC	16,017	16,017	
ENERGY TRANSFER PARTNERS, LP	-1,488	-1,488	
ALLIANCEBERNSTEIN HOLDINGS, LP	310	310	
TERRA NITROGEN COMPANY, LP	-1,605	-1,605	
KINDER MORGAN ENERGY PARTNERS, LP	-1,872	-1,872	
JPM ALERIAN MLP	5,472	5,472	

TY 2013 Taxes Schedule**Name:** HERBST FAMILY FOUNDATION**EIN:** 36-4333045

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	557	557		
EXCISE TAXES	2,100	2,100		