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Form **990-PF**

OMB No 1545-0052

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

For calendar year 2013, or tax year beginning , 2013, and ending

FOUNDATION FOR RETINAL RESEARCH
666 DUNDEE ROAD, SUITE 1102
NORTHBROOK, IL 60062

COPY

A Employer identification number
36-4232603

B Telephone number (see the instructions)
847-562-9400

C If exemption application is pending, check here

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

H Check type of organization Section 501(c)(3) exempt private foundation **15**
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
▶ \$ **1,404,974.**

J Accounting method Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)		1,127,976.			
2 Ck <input type="checkbox"/> if the foundn is not req to att Sch B					
3 Interest on savings and temporary cash investments		1,542.	1,542.		
4 Dividends and interest from securities					
5a Gross rents					
b Net rental income or (loss)					
6a Net gain/(loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
SEE STATEMENT 1		200.			
12 Total. Add lines 1 through 11		1,129,718.	1,542.	0.	
13 Compensation of officers, directors, trustees, etc		0.			
14 Other employee salaries and wages		79,825.			56,675.
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach sch) SEE ST 2		6,300.			
c Other prof fees (attach sch)					
17 Interest					
18 Taxes (attach schedule)(see instrs) SEE STM 3		12,038.			
19 Depreciation (attach sch) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		4,405.			
22 Printing and publications					
23 Other expenses (attach schedule) SEE STATEMENT 4		115,948.	387.		94,420.
24 Total operating and administrative expenses. Add lines 13 through 23		218,516.	387.		159,642.
25 Contributions, gifts, grants paid PART XV		267,573.			267,573.
26 Total expenses and disbursements Add lines 24 and 25		486,089.	387.	0.	427,215.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		643,629.			
b Net investment income (if negative enter 0)			1,155.		
c Adjusted net income (if negative enter 0)				0.	

0436896929 MAR 22 2017 NO STATUTE ISODE

SCANNED MAR 28 2017 ADMINISTRATIVE EXPENSES

STATUTE UNIT RECEIVED
MAR 21 2017
TPR BRANCH OGDEN

RECEIVED
AUG 15 2014
PHILA 1028

BO AUG 01 2016 OGDEN, UT

[Handwritten initials]

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Form 990-PF (2013) FOUNDATION FOR RETINAL RESEARCH

36-4232603

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
A S S E T S	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	749,167.	1,384,634.	1,384,634.
	3	Accounts receivable	301.		
		Less allowance for doubtful accounts	329.	301.	301.
	4	Pledges receivable	20,039.		
		Less allowance for doubtful accounts	20,320.	20,039.	20,039.
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
		b Investments – corporate stock (attach schedule)			
		c Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)				
14	Land, buildings, and equipment basis	8,934.			
	Less accumulated depreciation (attach schedule) SEE STMT 5	8,934.			
15	Other assets (describe)				
16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	769,816.	1,404,974.	1,404,974.	
L I A B I L I T I E S	17	Accounts payable and accrued expenses	23,878.	15,407.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	23,878.	15,407.	
N E T A S S E T S O R F U N D B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>				
	24	Unrestricted	191,694.	336,218.	
	25	Temporarily restricted	554,244.	1,053,349.	
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	745,938.	1,389,567.		
31	Total liabilities and net assets/fund balances (see instructions)	769,816.	1,404,974.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end of year figure reported on prior year's return)	1	745,938.
2	Enter amount from Part I, line 27a	2	643,629.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	1,389,567.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,389,567

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a N/A			
b			
c			
d			
e			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than 0) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			
2 Capital gain net income or (net capital loss)	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]		2
3 Net short term capital gain or (loss) as defined in sections 1222(5) and (6)	[If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8]		3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	434,871.	567,890.	0.765766
2011	261,039.	633,706.	0.411924
2010	350,987.	797,749.	0.439972
2009	297,873.	646,704.	0.460602
2008	210,170.	421,962.	0.498078
2 Total of line 1, column (d)			2.576342
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.515268
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			1,241,356.
5 Multiply line 4 by line 3			639,631.
6 Enter 1% of net investment income (1% of Part I, line 27b)			12.
7 Add lines 5 and 6			639,643.
8 Enter qualifying distributions from Part XII, line 4			427,215.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b and complete that part using a 1% tax rate. See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

<p>1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)</p>				
<p>b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b</p>	1		23.	
<p>c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)</p>				
<p>2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)</p>	2		0.	
<p>3 Add lines 1 and 2</p>	3		23.	
<p>4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)</p>	4		0.	
<p>5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-</p>	5		23.	
<p>6 Credits/Payments</p>				
<p>a 2013 estimated tax pmts and 2012 overpayment credited to 2013</p>	6a			
<p>b Exempt foreign organizations – tax withheld at source</p>	6b			
<p>c Tax paid with application for extension of time to file (Form 8868)</p>	6c			
<p>d Backup withholding erroneously withheld</p>	6d			
<p>7 Total credits and payments Add lines 6a through 6d</p>	7		0.	
<p>8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached</p>	8			
<p>9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed</p>	9		23.	
<p>10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid</p>	10			
<p>11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded</p>	11			

Part VII-A Statements Regarding Activities

			Yes	No
<p>1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?</p>	1 a			X
<p>b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?</p> <p><i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i></p>	1 b			X
<p>c Did the foundation file Form 1120-POL for this year?</p>	1 c			X
<p>d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \rightarrow \$ 0. (2) On foundation managers \rightarrow \$ 0.</p>				
<p>e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \rightarrow \$ 0.</p>				
<p>2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i></p>	2			X
<p>3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i></p>	3			X
<p>4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?</p>	4 a			X
<p>b If 'Yes,' has it filed a tax return on Form 990-T for this year?</p>	4 b			N/A
<p>5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i></p>	5			X
<p>6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either</p> <ul style="list-style-type: none"> • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 	6			X
<p>7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i></p>	7	X		
<p>8 a Enter the states to which the foundation reports or with which it is registered (see instructions)</p> <p style="text-align: center;">IL</p>				
<p>b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i></p>	8 b	X		
<p>9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i></p>	9			X
<p>10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i></p>	10			X

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Part VII-A: Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address _____ ▶ N/A	13	X	
14 The books are in care of ▶ <u>DAVID BRINT</u> Telephone no ▶ <u>847-562-9400</u> Located at ▶ <u>666 DUNDEE ROAD, SUITE 1102 NORTHBROOK IL</u> ZIP + 4 ▶ <u>60062</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year N/A ▶ <input type="checkbox"/> 15 N/A			
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶	16	Yes	No
			X

Part VII-B: Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is 'Yes' to 1a(1) (6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1 b		N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ▶ 20 __, 20 __, 20 __, 20 __			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __, 20 __, 20 __, 20 __			
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5 year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b		N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b		X

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes			
a Average monthly fair market value of securities	1 a		
b Average of monthly cash balances	1 b	1,260,260.	
c Fair market value of all other assets (see instructions)	1 c		
d Total (add lines 1a, b, and c)	1 d	1,260,260.	
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.	
2 Acquisition indebtedness applicable to line 1 assets	2	0.	
3 Subtract line 2 from line 1d	3	1,260,260.	
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	18,904.	
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,241,356.	
6 Minimum investment return. Enter 5% of line 5	6	62,068.	

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6			
2a Tax on investment income for 2013 from Part VI, line 5	2 a	23.	
b Income tax for 2013 (This does not include the tax from Part VI)	2 b		
c Add lines 2a and 2b	2 c	23.	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	62,045.	
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5	62,045.	
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	62,045.	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes			
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	427,215.	
b Program-related investments — total from Part IX-B	1 b		
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2		
3 Amounts set aside for specific charitable projects that satisfy the	3		
a Suitability test (prior IRS approval required)	3 a		
b Cash distribution test (attach the required schedule)	3 b		
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	427,215.	
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5		
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	427,215.	

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				62,045.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008	210,170.			
b From 2009	265,691.			
c From 2010	311,162.			
d From 2011	229,400.			
e From 2012	406,476.			
f Total of lines 3a through e	1,422,899.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 427,215.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				62,045.
e Remaining amount distributed out of corpus	365,170.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,788,069.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions.		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions.			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	210,170.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	1,577,899.			
10 Analysis of line 9				
a Excess from 2009	265,691.			
b Excess from 2010	311,162.			
c Excess from 2011	229,400.			
d Excess from 2012	406,476.			
e Excess from 2013	365,170.			

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
THE FOUNDATION FIGHTING BLINDNESS 7168 COLUMBIA GATEWAY DRIVE, #100 COLUMBIA, MD 21046	NONE	501 (C) (3)	FUNDS RESEARCH AT VARIOUS INSTITUTIONS IN THE AREA OF DEGENERATIVE RETINAL DISEASES.	75,000.
UMC ST. RADBOUD P. O. BOX 9101 6500 HB NIJMEGEN, NETHERLANDS	NONE	501 (C) (3)	FUND RESEARCH ON LEBER CONGENITAL AMAUROSIS AT UMC ST. RADBOUD.	26,240.
UNIVERSITY OF PENNSYLVANIA 422 CURRIE BLVD PHILADELPHIA, PA 19104	NONE	501 (C) (3)	EDUCATION	166,333.
Total				267,573.
<i>b Approved for future payment</i>				
Total				3 b

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Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

FOUNDATION FOR RETINAL RESEARCH

Employer identification number

36-4232603

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

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Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Page 1 of 4 of Part 1

Name of organization

Employer identification number

FOUNDATION FOR RETINAL RESEARCH

36-4232603

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	VALERIE BLOCK ----- 50 GLENWOOD ROAD ----- UPPER MOUNTCLAIR, NJ 07043 -----	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	STEVEN BRINT ----- 21 W. GOETHE #3F ----- CHICAGO, IL 60610 -----	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	ISABELLE'S EYES C/O RITA RALSTON ----- 37 ORION WAY ----- SEWELL, NJ 08080 -----	\$ 7,751.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
4	LAGRANGE HIGHLANDS WOMENS CLUB ----- PO BOX 341 ----- LA GRANGE, IL 60525 -----	\$ 7,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
5	RICHARD CORTESI ----- 1912 CLOVERDALE ----- HIGHLAND PARK, IL 60035 -----	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
6	EARL AND BRANDA SHAPIRO FOUNDATION ----- 111 E. WACKER DR. #2607 ----- CHICAGO, IL 60601 -----	\$ 167,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

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Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

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Name of organization FOUNDATION FOR RETINAL RESEARCH	Employer identification number 36-4232603
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	MIDTOWN CHARITABLE FOUNDATION 165 TOWNSHIP LINE RD #150 JENKINTOWN, PA 19046	\$ 100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
8	APEX OIL COMPANY CHARITABLE FOUNDAT 8235 FORSYTH BLVD. ST LOUIS, MO 63105	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
9	MICHAEL BINSTEN C/O GOLD STD 5100 W. DEMPSTER ST SKOKJE, IL 60077	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
10	NETWORK FOR GOOD 1140 CONNECTICUT AVE NW #700 WASHINGTON DC, 20036	\$ 6,712.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
11	AUDREY AND FRED HORNE 7430 E CACTUS WREN RD SCOTTSDALE, AZ 85250	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
12	SPECIAL KIDS NETWORK, INC. 1121 LAKE COOK ROAD #P DEERFIELD, IL 60015	\$ 7,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

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Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Page 3 of 4 of Part 1

Name of organization FOUNDATION FOR RETINAL RESEARCH	Employer identification number 36-4232603
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Part III **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	MYSTIC BALLET, INC. ----- PO BOX 426 ----- MYSTIC, CT 06355 -----	\$ 6,975.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
14	SQUARE INC. ----- 1455 MARKET STREET ----- SAN FRANCISCO, CA 94103 -----	\$ 6,395.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
15	LA GRANGE HIGHLANDS LIONS CLUB ----- ----- ----- WESTCHESTER, IL 60154 -----	\$ 55,715.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
16	HIGHLANDS PARENTS TEACHER COUNCIL ----- 1850 PLAINFIELD RD ----- LAGRANGE HIGHLANDS, IL 60525 -----	\$ 5,275.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
17	RONNIE AND ALAN SCHWARTZ ----- 666 RICE STREET ----- HIGHLAND PARK, IL 60035 -----	\$ 335,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
18	CLAUDIA AND STEVEN SCHWARTZ ----- 585 STONEGATE TR ----- GLENCO, IL 60022 -----	\$ 45,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

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Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

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Name of organization FOUNDATION FOR RETINAL RESEARCH	Employer identification number 36-4232603
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Part II **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19	ANTHONYS SEEING IS BELIEVING ----- PO BOX 21102 ----- BAKERSFIELD, CA 93390 -----	\$ 30,000. -----	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

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Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Page 1 to 1 of Part III

Name of organization

FOUNDATION FOR RETINAL RESEARCH

Employer identification number

36-4232603

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry for organizations completing Part III, enter total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.)

▶ \$ _____ N/A

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

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2013	FEDERAL STATEMENTS	PAGE 1		
CLIENT 0373-220	FOUNDATION FOR RETINAL RESEARCH	36-4232603		
10/22/14		12 10PM		
STATEMENT 1 FORM 990-PF, PART I, LINE 11 OTHER INCOME				
	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	
OTHER INCOME	\$ 200.			
TOTAL	\$ 200.	\$ 0.	\$ 0.	
STATEMENT 2 FORM 990-PF, PART I, LINE 16B ACCOUNTING FEES				
	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND AUDITING	\$ 6,300.			
TOTAL	\$ 6,300.	\$ 0.	\$ 0.	\$ 0.
STATEMENT 3 FORM 990-PF, PART I, LINE 18 TAXES				
	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	\$ 12,038.			\$ 8,547.
TOTAL	\$ 12,038.	\$ 0.	\$ 0.	\$ 8,547.
STATEMENT 4 FORM 990-PF, PART I, LINE 23 OTHER EXPENSES				
	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	\$ 387.	\$ 387.		
CREDIT CARD FEES	10,352.			\$ 10,352.
EMPLOYEE HEALTH BENEFITS	9,158.			6,502.
INSURANCE	978.			978.
LCA CONFERENCE	2,279.			2,279.
OFFICE SUPPLIES	8,429.			
OTHER OPERATING EXPENSES	35,098.			28,822.
POSTAGE	500.			355.
PRINTING AND PROMOTIONS	48,168.			45,132.
WEBSITE MAINTENANCE	599.			
TOTAL	\$ 115,948.	\$ 387.	\$ 0.	\$ 94,420.

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2013

FEDERAL STATEMENTS

PAGE 2

CLIENT 0373-220

FOUNDATION FOR RETINAL RESEARCH

36-4232603

10/22/14

12 10PM

**STATEMENT 5
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT**

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	\$ 8,934.	\$ 8,934.	\$ 0.	\$ 0.
TOTAL	\$ 8,934.	\$ 8,934.	\$ 0.	\$ 0.

**STATEMENT 6
FORM 990-PF, PART XV, LINE 2A-D ✓
APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM: NONE
NAME: DAVID BRINT
CARE OF:
STREET ADDRESS: 666 DUNDEE ROAD, SUITE 1104
CITY, STATE, ZIP CODE: NORTHBROOK, IL 60062
TELEPHONE: 224-927-5063
E-MAIL ADDRESS:
FORM AND CONTENT: WRITTEN OR ELECTRONIC FORMATS ARE ACCEPTABLE; SHOULD BE IN STANDARD FORMAT FOR MEDICAL RESEARCH.
SUBMISSION DEADLINES: NONE; ACCEPTED YEAR-ROUND
RESTRICTIONS ON AWARDS: NONE