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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

|                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                |                                                                                                                                                                              |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Name of foundation<br>FINNEGAN FAMILY FOUNDATION                                                                                                                                                                                                                                                   |                                                                                                                                                                                                | A Employer identification number<br>36-4221334                                                                                                                               |  |
| % ALBERT W MCCALLY III                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                | B Telephone number (see instructions)<br>(847) 866-5400                                                                                                                      |  |
| Number and street (or P O box number if mail is not delivered to street address)<br>500 DAVIS STREET<br>Suite 508                                                                                                                                                                                  |                                                                                                                                                                                                | Room/suite                                                                                                                                                                   |  |
| City or town, state or province, country, and ZIP or foreign postal code<br>EVANSTON, IL 60201                                                                                                                                                                                                     |                                                                                                                                                                                                | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |  |
| G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |                                                                                                                                                                                                | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |  |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                   |                                                                                                                                                                                                | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |  |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 39,426,744                                                                                                                                                                                                   | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |  |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) ) |                                                                                           | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                             | 1 Contributions, gifts, grants, etc , received (attach schedule)                          | 1,791,594                          |                           |                         |                                                             |
|                                                                                                                                                                     | 2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 3 Interest on savings and temporary cash investments                                      | 9                                  | 9                         |                         |                                                             |
|                                                                                                                                                                     | 4 Dividends and interest from securities. . . . .                                         | 675,196                            | 675,196                   |                         |                                                             |
|                                                                                                                                                                     | 5a Gross rents . . . . .                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | b Net rental income or (loss) _____                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 6a Net gain or (loss) from sale of assets not on line 10                                  | 2,125,677                          |                           |                         |                                                             |
|                                                                                                                                                                     | b Gross sales price for all assets on line 6a<br>5,620,521                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 7 Capital gain net income (from Part IV, line 2) . . .                                    |                                    | 2,125,677                 |                         |                                                             |
|                                                                                                                                                                     | 8 Net short-term capital gain . . . . .                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 9 Income modifications . . . . .                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 10a Gross sales less returns and allowances                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | b Less Cost of goods sold . . . . .                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | c Gross profit or (loss) (attach schedule) . . . . .                                      |                                    |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                               | 11 Other income (attach schedule) . . . . .                                               | 2,444                              | 2,444                     |                         |                                                             |
|                                                                                                                                                                     | 12 <b>Total.</b> Add lines 1 through 11 . . . . .                                         | 4,594,920                          | 2,803,326                 |                         |                                                             |
|                                                                                                                                                                     | 13 Compensation of officers, directors, trustees, etc                                     | 123,250                            | 61,625                    |                         | 61,625                                                      |
|                                                                                                                                                                     | 14 Other employee salaries and wages . . . . .                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 15 Pension plans, employee benefits . . . . .                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 16a Legal fees (attach schedule) . . . . .                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | b Accounting fees (attach schedule) . . . . .                                             | 367                                | 184                       | 0                       | 184                                                         |
|                                                                                                                                                                     | c Other professional fees (attach schedule) . . . . .                                     | 132,225                            | 132,219                   |                         |                                                             |
|                                                                                                                                                                     | 17 Interest . . . . .                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 18 Taxes (attach schedule) (see instructions)                                             | 62,056                             | 45,249                    |                         | 4,419                                                       |
|                                                                                                                                                                     | 19 Depreciation (attach schedule) and depletion . . .                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 20 Occupancy . . . . .                                                                    | 23,861                             | 11,931                    |                         | 11,931                                                      |
|                                                                                                                                                                     | 21 Travel, conferences, and meetings . . . . .                                            | 3,851                              | 1,926                     |                         | 1,926                                                       |
|                                                                                                                                                                     | 22 Printing and publications . . . . .                                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | 23 Other expenses (attach schedule) . . . . .                                             | 5,255                              | 2,615                     |                         | 2,615                                                       |
|                                                                                                                                                                     | 24 <b>Total operating and administrative expenses.</b>                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | Add lines 13 through 23 . . . . .                                                         | 350,865                            | 255,749                   | 0                       | 82,700                                                      |
|                                                                                                                                                                     | 25 Contributions, gifts, grants paid . . . . .                                            | 1,330,137                          |                           |                         | 1,330,137                                                   |
|                                                                                                                                                                     | 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25                           | 1,681,002                          | 255,749                   | 0                       | 1,412,837                                                   |
|                                                                                                                                                                     | 27 Subtract line 26 from line 12                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                     | a <b>Excess of revenue over expenses and disbursements</b>                                | 2,913,918                          |                           |                         |                                                             |
|                                                                                                                                                                     | b <b>Net investment income</b> (if negative, enter -0-)                                   |                                    | 2,547,577                 |                         |                                                             |
|                                                                                                                                                                     | c <b>Adjusted net income</b> (if negative, enter -0-)                                     |                                    |                           |                         |                                                             |

| Part II Balance Sheets      |                                                                                                                               | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )               | Beginning of year | End of year    |                       |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                             |                                                                                                                               |                                                                                                                                   | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                                             | Cash—non-interest-bearing . . . . .                                                                                               |                   |                |                       |
|                             | 2                                                                                                                             | Savings and temporary cash investments . . . . .                                                                                  | 141,100           | 3,111,972      | 3,111,972             |
|                             | 3                                                                                                                             | Accounts receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                       |                   |                |                       |
|                             | 4                                                                                                                             | Pledges receivable ▶ _____<br>Less allowance for doubtful accounts ▶ _____                                                        |                   |                |                       |
|                             | 5                                                                                                                             | Grants receivable . . . . .                                                                                                       |                   |                |                       |
|                             | 6                                                                                                                             | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                   |                |                       |
|                             | 7                                                                                                                             | Other notes and loans receivable (attach schedule) ▶ _____<br>Less allowance for doubtful accounts ▶ _____                        |                   |                |                       |
|                             | 8                                                                                                                             | Inventories for sale or use . . . . .                                                                                             |                   |                |                       |
|                             | 9                                                                                                                             | Prepaid expenses and deferred charges . . . . .                                                                                   |                   |                |                       |
|                             | 10a                                                                                                                           | Investments—U S and state government obligations (attach schedule)                                                                |                   |                |                       |
|                             | b                                                                                                                             | Investments—corporate stock (attach schedule) . . . . .                                                                           | 17,713,362        | 16,477,005     | 31,231,352            |
|                             | c                                                                                                                             | Investments—corporate bonds (attach schedule). . . . .                                                                            | 1,234,985         | 1,331,046      | 1,413,000             |
|                             | 11                                                                                                                            | Investments—land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____               |                   |                |                       |
|                             | 12                                                                                                                            | Investments—mortgage loans . . . . .                                                                                              |                   |                |                       |
|                             | 13                                                                                                                            | Investments—other (attach schedule) . . . . .                                                                                     | 2,867,435         | 2,906,991      | 3,666,187             |
|                             | 14                                                                                                                            | Land, buildings, and equipment basis ▶ _____<br>Less accumulated depreciation (attach schedule) ▶ _____                           |                   |                |                       |
| 15                          | Other assets (describe ▶ _____)                                                                                               | 41,733                                                                                                                            | 4,233             | 4,233          |                       |
| 16                          | Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)                                    | 21,998,615                                                                                                                        | 23,831,247        | 39,426,744     |                       |
| Liabilities                 | 17                                                                                                                            | Accounts payable and accrued expenses . . . . .                                                                                   |                   |                |                       |
|                             | 18                                                                                                                            | Grants payable . . . . .                                                                                                          |                   |                |                       |
|                             | 19                                                                                                                            | Deferred revenue . . . . .                                                                                                        |                   |                |                       |
|                             | 20                                                                                                                            | Loans from officers, directors, trustees, and other disqualified persons                                                          |                   |                |                       |
|                             | 21                                                                                                                            | Mortgages and other notes payable (attach schedule) . . . . .                                                                     |                   |                |                       |
|                             | 22                                                                                                                            | Other liabilities (describe ▶ _____)                                                                                              |                   |                |                       |
|                             | 23                                                                                                                            | Total liabilities (add lines 17 through 22) . . . . .                                                                             |                   | 0              |                       |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                                                                   |                   |                |                       |
|                             | 24                                                                                                                            | Unrestricted . . . . .                                                                                                            |                   |                |                       |
|                             | 25                                                                                                                            | Temporarily restricted . . . . .                                                                                                  |                   |                |                       |
|                             | 26                                                                                                                            | Permanently restricted . . . . .                                                                                                  |                   |                |                       |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.   |                                                                                                                                   |                   |                |                       |
|                             | 27                                                                                                                            | Capital stock, trust principal, or current funds . . . . .                                                                        | 21,998,615        | 23,831,247     |                       |
|                             | 28                                                                                                                            | Paid-in or capital surplus, or land, bldg , and equipment fund                                                                    |                   |                |                       |
|                             | 29                                                                                                                            | Retained earnings, accumulated income, endowment, or other funds                                                                  | 0                 | 0              |                       |
|                             | 30                                                                                                                            | Total net assets or fund balances (see page 17 of the instructions) . . . . .                                                     | 21,998,615        | 23,831,247     |                       |
| 31                          | Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .                                    | 21,998,615                                                                                                                        | 23,831,247        |                |                       |

Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                                    |   |            |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . . | 1 | 21,998,615 |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 | 2,913,918  |
| 3 | Other increases not included in line 2 (itemize) ▶ _____                                                                                                           | 3 |            |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 | 24,912,533 |
| 5 | Decreases not included in line 2 (itemize) ▶ _____                                                                                                                 | 5 | 1,081,286  |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .                                                      | 6 | 23,831,247 |

Part IV Capital Gains and Losses for Tax on Investment Income

|                                                                                                                                   |                           |                                              |                                      |                                  |
|-----------------------------------------------------------------------------------------------------------------------------------|---------------------------|----------------------------------------------|--------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) |                           | (b) How acquired<br>P—Purchase<br>D—Donation | (c) Date acquired<br>(mo , day, yr ) | (d) Date sold<br>(mo , day, yr ) |
| 1a                                                                                                                                | See Additional Data Table |                                              |                                      |                                  |
| b                                                                                                                                 |                           |                                              |                                      |                                  |
| c                                                                                                                                 |                           |                                              |                                      |                                  |
| d                                                                                                                                 |                           |                                              |                                      |                                  |
| e                                                                                                                                 |                           |                                              |                                      |                                  |

|                             |                                            |                                                 |                                              |
|-----------------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| (e) Gross sales price       | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
| a See Additional Data Table |                                            |                                                 |                                              |
| b                           |                                            |                                                 |                                              |
| c                           |                                            |                                                 |                                              |
| d                           |                                            |                                                 |                                              |
| e                           |                                            |                                                 |                                              |

|                                                                                             |                                      |                                               |                                                                                              |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------|----------------------------------------------------------------------------------------------|
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                               | (I) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |                                                                                              |
| a See Additional Data Table                                                                 |                                      |                                               |                                                                                              |
| b                                                                                           |                                      |                                               |                                                                                              |
| c                                                                                           |                                      |                                               |                                                                                              |
| d                                                                                           |                                      |                                               |                                                                                              |
| e                                                                                           |                                      |                                               |                                                                                              |

|   |                                                                                                                                                                                                              |                                                                                     |   |           |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---|-----------|
| 2 | Capital gain net income or (net capital loss)                                                                                                                                                                | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 2,125,677 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br><br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-<br>in Part I, line 8 . . . . . |                                                                                     | 3 |           |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

|                                                                      |                                          |                                              |                                                           |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| (a)<br>Base period years Calendar<br>year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
| 2012                                                                 | 1,319,649                                | 23,715,878                                   | 0 055644                                                  |
| 2011                                                                 | 917,816                                  | 22,392,684                                   | 0 040987                                                  |
| 2010                                                                 | 562,075                                  | 11,907,580                                   | 0 047203                                                  |
| 2009                                                                 | 308,932                                  | 7,354,647                                    | 0 042005                                                  |
| 2008                                                                 | 113,873                                  | 2,788,327                                    | 0 040839                                                  |

|   |                                                                                                                                                                                                                                  |   |            |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 | Total of line 1, column (d). . . . .                                                                                                                                                                                             | 2 | 0 226678   |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by<br>the number of years the foundation has been in existence if less than 5 years . . . .                                            | 3 | 0 045336   |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .                                                                                                                                            | 4 | 33,433,380 |
| 5 | Multiply line 4 by line 3. . . . .                                                                                                                                                                                               | 5 | 1,515,736  |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). . . . .                                                                                                                                                              | 6 | 25,476     |
| 7 | Add lines 5 and 6. . . . .                                                                                                                                                                                                       | 7 | 1,541,212  |
| 8 | Enter qualifying distributions from Part XII, line 4. . . . .<br><br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See<br>the Part VI instructions | 8 | 1,412,837  |

|                                                                                                                                                                    |           |           |        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|--------|
| <b>Part VI    Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)</b>                               |           |           |        |
| <b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1                              |           |           |        |
| Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)                                                                 |           |           |        |
| <b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . . |           | <b>1</b>  | 50,952 |
| <b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)                                   |           |           |        |
| <b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                 |           | <b>2</b>  |        |
| <b>3</b> Add lines 1 and 2. . . . .                                                                                                                                |           | <b>3</b>  | 50,952 |
| <b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                               |           | <b>4</b>  |        |
| <b>5</b> <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                  |           | <b>5</b>  | 50,952 |
| <b>6</b> Credits/Payments                                                                                                                                          |           |           |        |
| <b>a</b> 2013 estimated tax payments and 2012 overpayment credited to 2013                                                                                         | <b>6a</b> | 27,611    |        |
| <b>b</b> Exempt foreign organizations—tax withheld at source . . . . .                                                                                             | <b>6b</b> |           |        |
| <b>c</b> Tax paid with application for extension of time to file (Form 8868)                                                                                       | <b>6c</b> | 50,000    |        |
| <b>d</b> Backup withholding erroneously withheld . . . . .                                                                                                         | <b>6d</b> |           |        |
| <b>7</b> Total credits and payments. Add lines 6a through 6d. . . . .                                                                                              |           | <b>7</b>  | 77,611 |
| <b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                  |           | <b>8</b>  |        |
| <b>9</b> <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <input type="checkbox"/>                            |           | <b>9</b>  |        |
| <b>10</b> <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . <input type="checkbox"/>                   |           | <b>10</b> | 26,659 |
| <b>11</b> Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> 26,659 <b>Refunded</b> <input type="checkbox"/>         |           | <b>11</b> |        |

**Part VII-A    Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                                                  |           |     |    |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----|----|
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input type="checkbox"/>                                                                                                                                                                          | <b>1a</b> | Yes | No |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .<br><i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | <b>1b</b> |     | No |
| <b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?. . . . .                                                                                                                                                                                                                                                                                                    | <b>1c</b> |     | No |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br><b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____                                                                                                                                 |           |     |    |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____                                                                                                                                                                                                  |           |     |    |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br><i>If "Yes," attach a detailed description of the activities.</i>                                                                                                                                                                                          | <b>2</b>  |     | No |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> <input type="checkbox"/>                                                                                                             | <b>3</b>  |     | No |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .                                                                                                                                                                                                                                                                   | <b>4a</b> |     | No |
| <b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?. . . . .                                                                                                                                                                                                                                                                                        | <b>4b</b> |     |    |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br><i>If "Yes," attach the statement required by General Instruction T.</i> <input type="checkbox"/>                                                                                                                                                           | <b>5</b>  |     | No |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .                             | <b>6</b>  | Yes |    |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>                                                                                                                                                                                                                               | <b>7</b>  | Yes |    |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions)<br><input type="checkbox"/> IL _____                                                                                                                                                                                                                                |           |     |    |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>                                                                                                                                                     | <b>8b</b> | Yes |    |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?<br><i>If "Yes," complete Part XIV</i> . . . . .                                                                                                | <b>9</b>  |     | No |
| <b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>                                                                                                                                                                                                                             | <b>10</b> |     | No |

Part VII-A

Statements Regarding Activities *(continued)*

|    |                                                                                                                                                                                                                                                                                                                              |    |     |    |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).                                                                                                                                     | 11 |     | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                     | 12 |     | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ▶finneganfamilyfdn.org                                                                                                                                                                | 13 | Yes |    |
| 14 | The books are in care of ▶ALBERT W MCCALLY III Telephone no ▶(847) 866-5400<br>Located at ▶500 DAVIS STREET SUITE 508 evanston IL ZIP +4 ▶60201                                                                                                                                                                              |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/><br>and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶                                                                                | 15 |     |    |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶ | 16 | Yes | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |    |     |    |
|-----------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |    | Yes | No |
| 1a                                                                                      | During the year did the foundation (either directly or indirectly)<br>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |     |    |
| b                                                                                       | If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | 1b |     | No |
| c                                                                                       | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 1c |     | No |
| 2                                                                                       | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |    |     |    |
| a                                                                                       | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶ 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |    |     |    |
| b                                                                                       | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 2b |     |    |
| c                                                                                       | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here<br>▶ 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |    |     |    |
| 3a                                                                                      | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |    |     |    |
| b                                                                                       | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 3b |     |    |
| 4a                                                                                      | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 4a |     | No |
| b                                                                                       | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 4b |     | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

|    |                                                                                                                                                                                                                                |                                                                     |    |  |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|--|
| 5a | During the year did the foundation pay or incur any amount to                                                                                                                                                                  |                                                                     |    |  |
|    | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?                                                                                                                                      | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |  |
|    | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |  |
|    | (3) Provide a grant to an individual for travel, study, or other similar purposes?                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |  |
|    | (4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2 ), or (3 ), or section 4940(d)(2)? (see instructions).                                                  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |  |
|    | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?                                                          | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |  |
| b  | If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? |                                                                     | 5b |  |
|    | Organizations relying on a current notice regarding disaster assistance check here.                                                                                                                                            | <input checked="" type="checkbox"/>                                 |    |  |
| c  | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?                                                                     | <input type="checkbox"/> Yes <input type="checkbox"/> No            |    |  |
|    | If "Yes," attach the statement required by Regulations section 53.4945–5(d).                                                                                                                                                   |                                                                     |    |  |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                                                                                | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |  |
| b  | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?                                                                                                                     |                                                                     | 6b |  |
|    | If "Yes" to 6b, file Form 8870.                                                                                                                                                                                                |                                                                     |    |  |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |  |
| b  | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?                                                                                                                        |                                                                     | 7b |  |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| 1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).                     |                                                           |                                           |                                                                       |                                       |
| (a) Name and address                                                                                                         | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| PAUL J FINNEGAN<br>1133 MICHIGAN AVENUE<br>EVANSTON,IL 60202                                                                 | CHAIRMAN/TREASURER/DIRECTOR<br>0                          | 0                                         | 0                                                                     | 0                                     |
| MARY FINNEGAN<br>1133 MICHIGAN AVENUE<br>EVANSTON,IL 60202                                                                   | V P /SECRETARY/DIRECTOR<br>0                              | 0                                         | 0                                                                     | 0                                     |
| ALBERT WARD MCCALLY III<br>327 W BELDEN AVENUE<br>CHICAGO,IL 60614                                                           | PRESIDENT/DIRECTOR<br>0                                   | 123,250                                   | 0                                                                     | 0                                     |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE." |                                                           |                                           |                                                                       |                                       |
| (a) Name and address of each employee paid more than \$50,000                                                                | (b) Title, and average hours per week devoted to position | (c) Compensation                          | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
|                                                                                                                              |                                                           |                                           |                                                                       |                                       |
| Total number of other employees paid over \$50,000.                                                                          |                                                           |                                           |                                                                       |                                       |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000                       | (b) Type of service | (c) Compensation |
|-----------------------------------------------------------------------------------|---------------------|------------------|
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
|                                                                                   |                     |                  |
| Total number of others receiving over \$50,000 for professional services. . . . . |                     |                  |

Part IX-A

Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 N/A                                                                                                                                                                                                                                                 |          |
| 2                                                                                                                                                                                                                                                     |          |
| 3                                                                                                                                                                                                                                                     |          |
| 4                                                                                                                                                                                                                                                     |          |

Part IX-B

Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| 2                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| All other program-related investments. See page 24 of the instructions                                           |        |
| 3                                                                                                                |        |
|                                                                                                                  |        |
|                                                                                                                  |        |
| Total. Add lines 1 through 3 . . . . .                                                                           |        |

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                                    |    |            |
|---|--------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes         |    |            |
| a | Average monthly fair market value of securities. . . . .                                                           | 1a | 28,704,085 |
| b | Average of monthly cash balances. . . . .                                                                          | 1b | 1,777,791  |
| c | Fair market value of all other assets (see instructions). . . . .                                                  | 1c | 3,460,642  |
| d | Total (add lines 1a, b, and c). . . . .                                                                            | 1d | 33,942,518 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . . | 1e |            |
| 2 | Acquisition indebtedness applicable to line 1 assets. . . . .                                                      | 2  | 0          |
| 3 | Subtract line 2 from line 1d. . . . .                                                                              | 3  | 33,942,518 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .  | 4  | 509,138    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4                | 5  | 33,433,380 |
| 6 | Minimum investment return. Enter 5% of line 5. . . . .                                                             | 6  | 1,671,669  |

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |    |           |
|----|-----------------------------------------------------------------------------------------------------------|----|-----------|
| 1  | Minimum investment return from Part X, line 6. . . . .                                                    | 1  | 1,671,669 |
| 2a | Tax on investment income for 2013 from Part VI, line 5. . . . .                                           | 2a | 50,952    |
| b  | Income tax for 2013 (This does not include the tax from Part VI ). . . . .                                | 2b |           |
| c  | Add lines 2a and 2b. . . . .                                                                              | 2c | 50,952    |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1. . . . .                             | 3  | 1,620,717 |
| 4  | Recoveries of amounts treated as qualifying distributions. . . . .                                        | 4  |           |
| 5  | Add lines 3 and 4. . . . .                                                                                | 5  | 1,620,717 |
| 6  | Deduction from distributable amount (see instructions). . . . .                                           | 6  |           |
| 7  | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . . | 7  | 1,620,717 |

Part XII

Qualifying Distributions (see instructions)

|                                                                                                                                                                                              |                                                                                                                                                              |    |           |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----------|
| 1                                                                                                                                                                                            | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes                                                                    |    |           |
| a                                                                                                                                                                                            | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .                                                                         | 1a | 1,412,837 |
| b                                                                                                                                                                                            | Program-related investments—total from Part IX-B. . . . .                                                                                                    | 1b | 0         |
| 2                                                                                                                                                                                            | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .                                           | 2  | 0         |
| 3                                                                                                                                                                                            | Amounts set aside for specific charitable projects that satisfy the                                                                                          |    |           |
| a                                                                                                                                                                                            | Suitability test (prior IRS approval required). . . . .                                                                                                      | 3a | 0         |
| b                                                                                                                                                                                            | Cash distribution test (attach the required schedule). . . . .                                                                                               | 3b | 0         |
| 4                                                                                                                                                                                            | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                                    | 4  | 1,412,837 |
| 5                                                                                                                                                                                            | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . . | 5  |           |
| 6                                                                                                                                                                                            | Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .                                                                                      | 6  | 1,412,837 |
| Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years |                                                                                                                                                              |    |           |

Part XIII

Undistributed Income (see instructions)

|                                                                                                                                                                                     | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7                                                                                                                                |               |                            |             | 1,620,717   |
| 2 Undistributed income, if any, as of the end of 2013                                                                                                                               |               |                            |             |             |
| a Enter amount for 2012 only. . . . .                                                                                                                                               |               |                            | 0           |             |
| b Total for prior years 2011 , 2010 , 2009                                                                                                                                          |               | 0                          |             |             |
| 3 Excess distributions carryover, if any, to 2013                                                                                                                                   |               |                            |             |             |
| a From 2008. . . . .                                                                                                                                                                |               |                            |             | 0           |
| b From 2009. . . . .                                                                                                                                                                |               |                            |             | 0           |
| c From 2010. . . . .                                                                                                                                                                |               |                            |             | 0           |
| d From 2011. . . . .                                                                                                                                                                |               |                            |             | 0           |
| e From 2012. . . . .                                                                                                                                                                |               |                            |             | 150,918     |
| f Total of lines 3a through e. . . . .                                                                                                                                              | 150,918       |                            |             |             |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,412,837                                                                                                            |               |                            |             |             |
| a Applied to 2012, but not more than line 2a                                                                                                                                        |               |                            | 0           |             |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . .                                                                                      |               |                            |             |             |
| c Treated as distributions out of corpus (Election required—see instructions). . . . .                                                                                              |               |                            |             |             |
| d Applied to 2013 distributable amount. . . . .                                                                                                                                     |               |                            |             | 1,412,837   |
| e Remaining amount distributed out of corpus                                                                                                                                        | 0             |                            |             |             |
| 5 Excess distributions carryover applied to 2013<br>(If an amount appears in column (d), the same amount must be shown in column (a).)                                              | 150,918       |                            |             | 150,918     |
| 6 Enter the net total of each column as indicated below:                                                                                                                            |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                                   |               |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b. . . . .                                                                                                          |               | 0                          |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               |                            |             |             |
| d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .                                                                                                           |               | 0                          |             |             |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .                                                                             |               |                            | 0           |             |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .                                                               |               |                            |             | 56,962      |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .                                  |               |                            |             |             |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .                                                                                  |               |                            |             |             |
| 9 Excess distributions carryover to 2014.<br>Subtract lines 7 and 8 from line 6a . . . . .                                                                                          |               |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                               |               |                            |             |             |
| a Excess from 2009. . . .                                                                                                                                                           |               |                            |             |             |
| b Excess from 2010. . . .                                                                                                                                                           |               |                            |             |             |
| c Excess from 2011. . . .                                                                                                                                                           |               |                            |             |             |
| d Excess from 2012. . . .                                                                                                                                                           |               |                            |             |             |
| e Excess from 2013. . . .                                                                                                                                                           |               |                            |             |             |

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

| Tax year                                                                                                                                                   | Prior 3 years |          |          | (e) Total |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------|----------|-----------|
| (a) 2013                                                                                                                                                   | (b) 2012      | (c) 2011 | (d) 2010 |           |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |               |          |          |           |
| b 85% of line 2a . . . . .                                                                                                                                 |               |          |          |           |
| c Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                            |               |          |          |           |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                          |               |          |          |           |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .                                   |               |          |          |           |
| 3 Complete 3a, b, or c for the alternative test relied upon                                                                                                |               |          |          |           |
| a "Assets" alternative test—enter                                                                                                                          |               |          |          |           |
| (1) Value of all assets . . . . .                                                                                                                          |               |          |          |           |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                              |               |          |          |           |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .                                   |               |          |          |           |
| c "Support" alternative test—enter                                                                                                                         |               |          |          |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . |               |          |          |           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                      |               |          |          |           |
| (3) Largest amount of support from an exempt organization                                                                                                  |               |          |          |           |
| (4) Gross investment income                                                                                                                                |               |          |          |           |

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1Information Regarding Foundation Managers:

aList any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

PAUL J MARY M FINNEGAN

bList any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

aThe name, address, and telephone number of the person to whom applications should be addressed

bThe form in which applications should be submitted and information and materials they should include

cAny submission deadlines

dAny restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount    |
|------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|-----------|
| Name and address (home or business)                        |                                                                                                                    |                                      |                                     |           |
| a Paid during the year<br>See Additional Data Table        |                                                                                                                    |                                      |                                     |           |
| Total . . . . .                                            |                                                                                                                    |                                      | 3a                                  | 1,330,137 |
| b Approved for future payment<br>See Additional Data Table |                                                                                                                    |                                      |                                     |           |
| Total . . . . .                                            |                                                                                                                    |                                      | 3b                                  | 1,035,000 |

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| <b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | <b>(b)</b> How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b> Date acquired<br>(mo , day, yr ) | <b>(d)</b> Date sold<br>(mo , day, yr ) |
|------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|---------------------------------------------|-----------------------------------------|
| 585 805 SHS JANUS INVESTMENT FUND                                                                                                        | P                                                   | 2012-12-24                                  | 2013-01-17                              |
| 357 695 SHS VANGUARD INTERNATIONAL EXPLORER                                                                                              | P                                                   | 2012-12-31                                  | 2013-06-18                              |
| 10170 186 SHS JANUS INVESTMENT FUND                                                                                                      | P                                                   |                                             | 2013-01-17                              |
| 7425 261 SHS TCW GALILEO FUNDS INC                                                                                                       | P                                                   |                                             | 2013-01-17                              |
| 12894 695 SHS VANGUARD INTL EXPLORER                                                                                                     | P                                                   |                                             | 2013-06-18                              |
| 15000 SHS PACKAGING CORP OF AMERICA                                                                                                      | P                                                   | 2007-08-13                                  | 2013-03-14                              |
| GARDNER RUSSO & GARDNER #519610 - ST COVERED - SEE ATTACHED                                                                              | P                                                   |                                             |                                         |
| GARDNER RUSSO & GARDNER #519610 - LT COVERED - SEE ATTACHED                                                                              | P                                                   |                                             |                                         |
| GARDNER RUSSO & GARDNER #519610 - LT NON-COVERED - SEE ATTACHED                                                                          | P                                                   |                                             |                                         |
| CHARLES SCHWAB #3147 - ST NON-COVERED - SEE ATTACHED                                                                                     | P                                                   |                                             |                                         |
| CHARLES SCHWAB #3147 - LT NON-COVERED - SEE ATTACHED                                                                                     | P                                                   |                                             |                                         |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 12,899                |                                            | 12,425                                          | 474                                          |
| 5,870                 |                                            | 5,222                                           | 648                                          |
| 223,947               |                                            | 228,988                                         | -5,041                                       |
| 206,719               |                                            | 200,000                                         | 6,719                                        |
| 211,602               |                                            | 162,063                                         | 49,539                                       |
| 641,924               |                                            | 15,900                                          | 626,024                                      |
| 652,437               |                                            | 567,467                                         | 85,153                                       |
| 215,426               |                                            | 154,010                                         | 61,416                                       |
| 2,462,774             |                                            | 1,252,967                                       | 1,209,807                                    |
| 292,522               |                                            | 314,873                                         | -22,351                                      |
| 616,948               |                                            | 581,112                                         | 35,836                                       |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                   |                                            | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------|
| (i) F M V as of 12/31/69                                                                    | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any |                                                                                        |
|                                                                                             |                                   |                                            | 474                                                                                    |
|                                                                                             |                                   |                                            | 648                                                                                    |
|                                                                                             |                                   |                                            | -5,041                                                                                 |
|                                                                                             |                                   |                                            | 6,719                                                                                  |
|                                                                                             |                                   |                                            | 49,539                                                                                 |
|                                                                                             |                                   |                                            | 626,024                                                                                |
|                                                                                             |                                   |                                            | 84,970                                                                                 |
|                                                                                             |                                   |                                            | 61,416                                                                                 |
|                                                                                             |                                   |                                            | 1,209,807                                                                              |
|                                                                                             |                                   |                                            | -22,351                                                                                |
|                                                                                             |                                   |                                            | 35,836                                                                                 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                              | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                 | Amount    |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|------------------------------------------------------------------|-----------|
| Name and address (home or business)                                                                                    |                                                                                                           |                                |                                                                  |           |
| <b>a</b> Paid during the year                                                                                          |                                                                                                           |                                |                                                                  |           |
| OUNCE OF PREVENTION FUND 33 W MONROE STREET SUITE 2400 CHICAGO,IL 60603                                                | NONE                                                                                                      | PC                             | UNRESTRICTED                                                     | 100,000   |
| CATHOLIC RELIEF SERVICES 952 NW HOLCOMB DRIVE MUNDELEIN,IL 60060                                                       | NONE                                                                                                      | PC                             | MICROFINANCE PROGRAMS                                            | 25,000    |
| MILLENNIUM PROMISE 475 Riverside Drive Suite 1040 NEW YORK,NY 10015                                                    | NONE                                                                                                      | PC                             | MILLENNIUM VILLAGES PROGRAM                                      | 25,000    |
| CENTER FOR INDEPENDENT FUTURES 743 MAIN STREET EVANSTON,IL 60202                                                       | NONE                                                                                                      | PC                             | DIGITAL WEB PLATFORM \$20,000, GENERAL OPERATING SUPPORT \$5,000 | 25,000    |
| SOUP AT SIX 993 CHICAGO AVENUE EVANSTON,IL 60202                                                                       | NONE                                                                                                      | PC                             | UNRESTRICTED                                                     | 1,000     |
| BRIGHT PROMISES 333 N MICHIGAN AVENUE SUITE 510 CHICAGO,IL 60601                                                       | NONE                                                                                                      | PC                             | UNRESTRICTED                                                     | 1,000     |
| THE HARBOUR 1440 RENAISSANCE DRIVE SUITE 240 PARK RIDGE,IL 60068                                                       | NONE                                                                                                      | PC                             | TO BENEFIT HOMELESS YOUTH IN EVANSTON                            | 1,000     |
| CHRISTIAN APPALACHIAN PROJECT 2610 PALUMBO DRIVE LEXINGTON,KY 40509                                                    | NONE                                                                                                      | PC                             | UNRESTRICTED                                                     | 1,500     |
| ACADEMY FOR URBAN SCHOOL LEADERSHIP 3400 N AUSTIN AVE CHICAGO,IL 60634                                                 | NONE                                                                                                      | PC                             | UNRESTRICTED                                                     | 50,000    |
| NEW LEADERS 17 N STATE STREET SUITE 1790 CHICAGO,IL 60602                                                              | NONE                                                                                                      | PC                             | CHICAGO SCHOOL LEADERSHIP PROGRAM                                | 50,000    |
| HEIFER INTERNATIONAL ONE WORLD AVENUE LITTLE ROCK,AR 72202                                                             | NONE                                                                                                      | PC                             | Nutrition and livelihood project for families in Rwanda          | 25,000    |
| NEW TEACHER CENTER 725 FRONT STREET SUITE 400 SANTA CRUZ,CA 95060                                                      | NONE                                                                                                      | PC                             | i3 MATCHING GRANT                                                | 25,000    |
| YEAR UP 223 W JACKSON BLVD SUITE 400 CHICAGO,IL 60606                                                                  | NONE                                                                                                      | PC                             | CHICAGO PROGRAM                                                  | 50,000    |
| LAWRENCE HALL YOUTH SERVICES 4833 N FRANCISCO AVENUE CHICAGO,IL 60625                                                  | NONE                                                                                                      | PC                             | UNRESTRICTED                                                     | 6,000     |
| CHERRY PRESCHOOL 1418 LAKE STREET EVANSTON,IL 60201                                                                    | NONE                                                                                                      | PC                             | LATINO OUTREACH PROGRAM                                          | 5,000     |
| <b>Total . . . . .</b>  <b>3a</b> |                                                                                                           |                                |                                                                  | 1,330,137 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                              | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                      | Amount    |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------|-----------|
| Name and address (home or business)                                                                                    |                                                                                                           |                                |                                                                       |           |
| <b>a</b> Paid during the year                                                                                          |                                                                                                           |                                |                                                                       |           |
| CITY YEAR 36 S WABASH SUITE 1500 CHICAGO,IL 60603                                                                      | NONE                                                                                                      | PC                             | CHICAGO PROGRAM                                                       | 5,000     |
| CONNECTIONS 2121 DEWEY AVENUE EVANSTON,IL 60201                                                                        | NONE                                                                                                      | PC                             | UNRESTRICTED                                                          | 25,000    |
| EDUCATION PIONEERS 1625 CLAY STREET SUITE 300 OAKLAND,CA 94612                                                         | NONE                                                                                                      | PC                             | CHICAGO PROGRAM                                                       | 50,000    |
| ILLINOIS NETWORK OF CHARTER SCHOOLS 205 W RANDOLPH ST SUITE 1340 CHICAGO,IL 60606                                      | NONE                                                                                                      | PC                             | UNRESTRICTED                                                          | 5,000     |
| INNOVATIONS FOR LEARNING 518-526 DAVIS STREET SUITE 205 EVANSTON,IL 60201                                              | NONE                                                                                                      | PC                             | CHICAGO PROGRAM                                                       | 20,000    |
| JUMPSTART 900 N FRANKLIN SUITE 505 CHICAGO,IL 60610                                                                    | NONE                                                                                                      | PC                             | CHICAGO PRESCHOOL LITERACY PROGRAM                                    | 10,000    |
| LIFT 4554 N BROADWAY SUITE 329 CHICAGO,IL 60640                                                                        | NONE                                                                                                      | PC                             | CHICAGO PROGRAM                                                       | 15,000    |
| PEER HEALTH EXCHANGE 111 E WACKER DRIVE SUITE 2800 CHICAGO,IL 60601                                                    | NONE                                                                                                      | PC                             | CHICAGO PROGRAM                                                       | 35,000    |
| SPARK 251 RHODE ISLAND ST SUITE 205 SAN FRANCISCO,CA 94103                                                             | NONE                                                                                                      | PC                             | CHICAGO PROGRAM                                                       | 30,000    |
| TEACH FOR ALL 315 W 36TH ST 5TH FLOOR NEW YORK,NY 10018                                                                | NONE                                                                                                      | PC                             | REGIONAL HUB IN AFRICA \$141,000, GENERAL OPERATING SUPPORT \$100,000 | 241,000   |
| THE NEW TEACHER PROJECT 186 JORALEMON ST SUITE 300 BROOKLYN,NY 11201                                                   | NONE                                                                                                      | PC                             | UNRESTRICTED                                                          | 25,000    |
| YOUTH JOB CENTER OF EVANSTON 1114 CHURCH STREET EVANSTON,IL 60201                                                      | NONE                                                                                                      | PC                             | UNRESTRICTED                                                          | 15,000    |
| CHICAGO CARES 2 N Riverside Plaza Ste 2200 Chicago,IL 60606                                                            | NONE                                                                                                      | PC                             | Education program                                                     | 1,000     |
| CHICAGO SCHOLARS 55 E Jackson Blvd Ste 1010 Chicago,IL 60604                                                           | NONE                                                                                                      | PC                             | Unrestricted                                                          | 5,000     |
| CITIZEN SCHOOLS 308 Congress St 5th fl Boston,MA 02210                                                                 | NONE                                                                                                      | PC                             | i3 MATCHING GRANT                                                     | 37,500    |
| <b>Total . . . . .</b>  <b>3a</b> |                                                                                                           |                                |                                                                       | 1,330,137 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                              | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                  | Amount    |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------|-----------|
| Name and address (home or business)                                                                                    |                                                                                                           |                                |                                                                                   |           |
| <b>a</b> Paid during the year                                                                                          |                                                                                                           |                                |                                                                                   |           |
| ERIE FAMILY HEALTH CENTER<br>1701 W Superior St<br>Chicago,IL 60622                                                    | NONE                                                                                                      | PC                             | Evanston/Skokie federally-qualified health center                                 | 20,000    |
| FOUNDATION FOR EXCELLENCE IN EDUCATION 215 S Monroe St Ste 420<br>Tallahassee,FL 32301                                 | NONE                                                                                                      | PC                             | Unrestricted                                                                      | 5,000     |
| GRIFFITH TUTORING 40 E CHICAGO AVE<br>SUITE 115<br>CHICAGO,IL 60611                                                    | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                      | 10,000    |
| LEAP EDUCATION FUND 121 Mystic Ave Suites 7-9<br>MEDFORD,MA 02155                                                      | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                      | 2,000     |
| MCGAW YMCA 1000 GROVE STREET<br>EVANSTON,IL 60201                                                                      | NONE                                                                                                      | PC                             | PIONEERING HEALTHIER COMMUNITIES INITIATIVE                                       | 15,000    |
| NOBLE NETWORK OF CHARTER SCHOOLS 1 North State St 7-Lower<br>CHICAGO,IL 60602                                          | NONE                                                                                                      | PC                             | RIGHT ANGLE PROGRAM                                                               | 5,000     |
| PAWS CHICAGO 1997 N Clybourn Ave<br>CHICAGO,IL 60614                                                                   | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                      | 1,000     |
| ROOM TO READ 111 Sutter Street 16th fl<br>SAN FRANCISCO,CA 94104                                                       | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                      | 1,000     |
| ST JUDE CHILDREN'S RESEARCH HOSPITAL 501 St Jude Place<br>MEMPHIS,TN 38105                                             | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                      | 2,000     |
| SAVE THE CHILDREN 501 KINGS HIGHWAY EAST<br>SUITE 400<br>FAIRFIELD,CT 06825                                            | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                      | 1,000     |
| UIC COLLEGE OF EDUCATION 1040 W Harrison Street<br>CHICAGO,IL 60607                                                    | NONE                                                                                                      | PC                             | Center for Urban Education Leadership                                             | 30,000    |
| UNIVERSITY OF MINNESOTA 200 Oak Street SE<br>MINNEAPOLIS,MN 55455                                                      | NONE                                                                                                      | PC                             | Midwest Expansion of the Child-Parent Center Education Program, 13 matching funds | 25,000    |
| YOUTH ORGANIZATIONS UMBRELLA INC 1027 Sherman Avenue<br>EVANSTON,IL 60202                                              | NONE                                                                                                      | PC                             | SUMMER PROGRAM                                                                    | 25,000    |
| A BETTER CHICAGO 222 MERCHANDISE PLAZA SUITE 1212<br>CHICAGO,IL 60654                                                  | NONE                                                                                                      | PC                             | PROJECT IMPACT                                                                    | 25,000    |
| ADVANCE ILLINOIS 50 E WASHINGTON ST STE 410<br>CHICAGO,IL 60602                                                        | NONE                                                                                                      | PC                             | ISBE SCHOOL REPORT CARD                                                           | 10,000    |
| <b>Total . . . . .</b>  <b>3a</b> |                                                                                                           |                                |                                                                                   | 1,330,137 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                              | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                                                 | Amount    |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| Name and address (home or business)                                                                                    |                                                                                                           |                                |                                                                                                                                                  |           |
| <b>a</b> Paid during the year                                                                                          |                                                                                                           |                                |                                                                                                                                                  |           |
| ASPIRITECH 1950 SHERIDAN ROAD SUITE 206 HIGHLAND PARK,IL 60035                                                         | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 5,000     |
| BLUE ENGINE 55 EXCHANGE PLACE 6TH FLOOR NEWYORK,NY 10005                                                               | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 1,000     |
| CIVIC CONSULTING ALLIANCE 21 S CLARK ST SUITE 4301 CHICAGO,IL 60603                                                    | NONE                                                                                                      | SO II                          | EDUCATION PROJECT                                                                                                                                | 10,000    |
| CURT'S CAFE 2922 CENTRAL STREET EVANSTON,IL 60201                                                                      | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 5,000     |
| DONOR'S FORUM 208 S LASALLE ST SUITE 1540 CHICAGO,IL 60604                                                             | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 1,637     |
| EVANSTON COMMUNITY FOUNDATION 1007 CHURCH STREET SUITE 108 EVANSTON,IL 60201                                           | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 25,000    |
| EVANSTON SCHOLARS 1234 SHERMAN AVE SUITE 213 EVANSTON,IL 60202                                                         | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 10,000    |
| FOLDS OF HONOR FOUNDATION 5800 N PATRIOT DRIVE OWASSO,OK 74055                                                         | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 1,000     |
| GENESYS WORKS 333 W WACKER DRIVE SUITE 1840 CHICAGO,IL 60606                                                           | NONE                                                                                                      | PC                             | CHICAGO PROGRAM                                                                                                                                  | 15,000    |
| GLOBEMED 670 LIBRARY PLACE EVANSTON,IL 60208                                                                           | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 1,000     |
| GREATER CHICAGO FOOD DEPOSITORY 4100 WANN LURIE PLACE CHICAGO,IL 60632                                                 | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 25,000    |
| HEALTHY SCHOOLS CAMPAIGN 175 N FRANKLIN SUITE 300 CHICAGO,IL 60606                                                     | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 5,000     |
| METROPOLITAN FAMILY SERVICES ONE NORTH DEARBORN 10TH FL CHICAGO,IL 60602                                               | NONE                                                                                                      | PC                             | Evanston/Skokie Valley Family Support & Prevention Program \$13,000, Evanston/Skokie Community Center \$10,000, North Children's Center \$12,000 | 35,000    |
| METROSQUASH 5655 SOUTH UNIVERSITY AVENUE CHICAGO,IL 60637                                                              | NONE                                                                                                      | PC                             | UNRESTRICTED                                                                                                                                     | 2,500     |
| NATIONAL COUNCIL ON TEACHER QUALITY 1420 NEWYORK AVE SUITE 800 WASHINGTON,DC 20005                                     | NONE                                                                                                      | PC                             | TEACHER PREP REVIEW                                                                                                                              | 10,000    |
| <b>Total . . . . .</b>  <b>3a</b> |                                                                                                           |                                |                                                                                                                                                  | 1,330,137 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                            | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution        | Amount    |
|----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------|-----------|
| Name and address (home or business)                                                                                  |                                                                                                           |                                |                                         |           |
| <b>a</b> <i>Paid during the year</i>                                                                                 |                                                                                                           |                                |                                         |           |
| ONE ACRE FUND 1742 TATUM STREET<br>FALCON HEIGHTS,MN 55113                                                           | NONE                                                                                                      | PC                             | UNRESTRICTED                            | 5,000     |
| ONEGOAL 215 W SUPERIOR ST<br>SUITE 700<br>CHICAGO,IL 60654                                                           | NONE                                                                                                      | PC                             | CHICAGO PROGRAM                         | 50,000    |
| SALVATION ARMY 1403 SHERMAN AVENUE<br>EVANSTON,IL 60201                                                              | NONE                                                                                                      | PC                             | EVANSTON CORPS                          | 15,000    |
| WORLD BICYCLE RELIEF 1333 N KINGSBURY AVE<br>4TH FLOOR<br>CHICAGO,IL 60642                                           | NONE                                                                                                      | PC                             | EDUCATION PROGRAM                       | 1,000     |
| YOUTH TECHNOLOGY CORPS 1015 W BRYN MAWR AVE<br>SUITE F-218<br>CHICAGO,IL 60660                                       | NONE                                                                                                      | PC                             | TO SUPPORT EVANSTON, ILLINOIS OPERATION | 10,000    |
| YMCA 1215 CHURCH STREET<br>EVANSTON,IL 60201                                                                         | NONE                                                                                                      | PC                             | UNRESTRICTED                            | 10,000    |
| <b>Total . . . . .</b>  <b>3a</b> |                                                                                                           |                                |                                         | 1,330,137 |

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

|                                                        |                                              |
|--------------------------------------------------------|----------------------------------------------|
| Name of the organization<br>FINNEGAN FAMILY FOUNDATION | Employer identification number<br>36-4221334 |
|--------------------------------------------------------|----------------------------------------------|

Organization type (check one)

|                    |                                                                                                           |
|--------------------|-----------------------------------------------------------------------------------------------------------|
| Filers of:         | Section:                                                                                                  |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)( ) (enter number) organization                                            |
|                    | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation |
|                    | <input type="checkbox"/> 527 political organization                                                       |
| Form 990-PF        | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation                                   |
|                    | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation            |
|                    | <input type="checkbox"/> 501(c)(3) taxable private foundation                                             |

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

|                                                           |                                                     |
|-----------------------------------------------------------|-----------------------------------------------------|
| <b>Name of organization</b><br>FINNEGAN FAMILY FOUNDATION | <b>Employer identification number</b><br>36-4221334 |
|-----------------------------------------------------------|-----------------------------------------------------|

| Part I     | Contributors (see instructions) Use duplicate copies of Part I if additional space is needed |                            |                                                                                                                                                                                    |
|------------|----------------------------------------------------------------------------------------------|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                            | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                                        |
| <u>1</u>   | PAUL J FINNEGAN<br>1133 MICHIGAN AVENUE<br><br>EVANSTON, IL 60202                            | \$ 1,791,594               | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br><br>(Complete Part II for noncash contributions ) |
| <br>       |                                                                                              | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II for noncash contributions )                       |
| <br>       |                                                                                              | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II for noncash contributions )                       |
| <br>       |                                                                                              | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II for noncash contributions )                       |
| <br>       |                                                                                              | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II for noncash contributions )                       |
| <br>       |                                                                                              | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II for noncash contributions )                       |
| <br>       |                                                                                              | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br><br>(Complete Part II for noncash contributions )                       |

[illegible]

|                                                           |                                                     |
|-----------------------------------------------------------|-----------------------------------------------------|
| <b>Name of organization</b><br>FINNEGAN FAMILY FOUNDATION | <b>Employer identification number</b><br>36-4221334 |
|-----------------------------------------------------------|-----------------------------------------------------|

Part III

**Exclusively** religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ▶ \$

Use duplicate copies of Part III if additional space is needed

| (a) No.<br>from<br>Part I | (b) Purpose of gift                                                                      | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|------------------------------------------------------------------------------------------|-----------------|-------------------------------------|
| —                         | <div></div>                                                                              | <div></div>     | <div></div>                         |
|                           | <div>(e) Transfer of gift</div>                                                          |                 |                                     |
|                           | <div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div> |                 |                                     |

| (a) No.<br>from<br>Part I | (b) Purpose of gift                                                                      | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|------------------------------------------------------------------------------------------|-----------------|-------------------------------------|
| —                         | <div></div>                                                                              | <div></div>     | <div></div>                         |
|                           | <div>(e) Transfer of gift</div>                                                          |                 |                                     |
|                           | <div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div> |                 |                                     |

| (a) No.<br>from<br>Part I | (b) Purpose of gift                                                                      | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|------------------------------------------------------------------------------------------|-----------------|-------------------------------------|
| —                         | <div></div>                                                                              | <div></div>     | <div></div>                         |
|                           | <div>(e) Transfer of gift</div>                                                          |                 |                                     |
|                           | <div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div> |                 |                                     |

| (a) No.<br>from<br>Part I | (b) Purpose of gift                                                                      | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|------------------------------------------------------------------------------------------|-----------------|-------------------------------------|
| —                         | <div></div>                                                                              | <div></div>     | <div></div>                         |
|                           | <div>(e) Transfer of gift</div>                                                          |                 |                                     |
|                           | <div>Transferee's name, address, and ZIP 4Relationship of transferor to transferee</div> |                 |                                     |

## TY 2013 Accounting Fees Schedule

**Name:** FINNEGAN FAMILY FOUNDATION

**EIN:** 36-4221334

| Category                   | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|----------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| PAYROLL PROCESSING<br>FEES | 367    | 184                      |                        | 184                                         |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: FINNEGAN FAMILY FOUNDATION

EIN: 36-4221334

| Description of Property | Date Acquired | Cost or Other Basis | Prior Years' Depreciation | Computation Method | Rate / Life (# of years) | Current Year's Depreciation Expense | Net Investment Income | Adjusted Net Income | Cost of Goods Sold Not Included |
|-------------------------|---------------|---------------------|---------------------------|--------------------|--------------------------|-------------------------------------|-----------------------|---------------------|---------------------------------|
|-------------------------|---------------|---------------------|---------------------------|--------------------|--------------------------|-------------------------------------|-----------------------|---------------------|---------------------------------|

TY 2013 Investments Corporate  
 Bonds Schedule

Name: FINNEGAN FAMILY FOUNDATION  
 EIN: 36-4221334

| Name of Bond                   | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| AT&T INC 5.5% 02/01/18         | 48,968                 | 49,262                        |
| AMERICAN TOWER 4.7% 03/15/22   | 50,940                 | 51,219                        |
| ANHEUSER BUSCH 5.5% 01/15/18   | 0                      | 0                             |
| APACHE 6.9% 09/15/18           | 48,577                 | 54,371                        |
| BANK OF AMERICA 7.63% 06/01/19 | 45,076                 | 49,576                        |
| BANC OF AMERICA 5.88% 01/05/21 | 0                      | 0                             |
| BANK OF NEW YORK 5.5% 05/15/19 | 50,405                 | 56,531                        |
| BECTON DICKINSON 5.0% 05/15/19 | 49,699                 | 55,947                        |
| BERKSHIRE HATHAWAY 2% 01/15/19 | 50,146                 | 50,081                        |
| BOEING CO 4.875% 2/15/20       | 0                      | 0                             |
| BOTTLING GR 5.1% 01/15/19      | 26,420                 | 28,199                        |
| BRISTOL MYERS 5.5% 05/01/18    | 49,795                 | 48,703                        |
| CATERPILLAR 7.2% 02/15/19      | 50,852                 | 61,344                        |
| CITIGROUP INC 6.1% 05/15/18    | 49,806                 | 50,340                        |
| CONOCOPHILIPS 5.8% 02/01/19    | 50,557                 | 58,228                        |
| CONTL AIRLINES 6.9% 01/02/18   | 0                      | 0                             |
| DELTA AIRLINES 4.95% 05/23/19  | 39,265                 | 41,859                        |
| EMERSON ELECTRIC 5.0% 04/15/19 | 45,345                 | 50,653                        |
| EOG RESOURCES 5.6% 06/01/19    | 49,933                 | 57,897                        |
| GE CAPITAL 5.6% 09/15/17       | 49,391                 | 49,436                        |
| JOHNSON CONTROLS 5.0% 3/30/20  | 0                      | 0                             |
| JPMORGAN CHASE 4.625% 05/10/21 | 47,960                 | 48,920                        |
| MONSANTO CO 1.85% 11/15/18     | 49,956                 | 49,410                        |
| MORGAN STANLEY 2.125% 04/25/18 | 48,276                 | 49,691                        |
| ORACLE CORP 2.375% 01/15/19    | 50,268                 | 50,375                        |
| PNC FDG CORP 4.375% 8/11/20    | 0                      | 0                             |
| THE COCA-COLA CO 1.7% 11/01/18 | 49,954                 | 49,344                        |
| UNITED TECH 6.1% 02/01/19      | 48,440                 | 53,152                        |
| US AWAYS 6.25% 10/22/24        | 0                      | 0                             |
| UNITED AIRLINES 6.9% 07/02/19  | 22,696                 | 22,704                        |
| US BANCORP 2.95% 06/15/22      | 49,963                 | 49,617                        |
| VERIZON COMMS 6.4% 04/01/19    | 52,089                 | 58,871                        |
| WAL-MART STORES 1.95% 12/15/18 | 49,930                 | 49,936                        |
| WEATHERFORD IN 9.6% 03/01/19   | 53,818                 | 64,412                        |
| WELLS FARGO & CO 3.5% 03/08/22 | 0                      | 0                             |
| WELLS FARGO & CO 2.2% 01/15/19 | 29,959                 | 29,892                        |
| WELLS FARGO & CO 5.8% 02/01/18 | 22,562                 | 23,030                        |

TY 2013 Investments Corporate  
Stock Schedule

**Name:** FINNEGAN FAMILY FOUNDATION  
**EIN:** 36-4221334

| Name of Stock                  | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| CBEYOND INC.                   | 59,302                 | 36,352                        |
| FIDELITY FLOATING RATE INCOME  | 574,207                | 604,065                       |
| ISHARES S&P SMALL CAP 600 IDX  | 636,941                | 1,191,700                     |
| MIDCAP SPDR TRUST SERIES I     | 469,105                | 859,584                       |
| DEUTSCHE TELEKOM AG            | 91,825                 | 86,300                        |
| VANGUARD BOND INDEX FUND       | 260,454                | 274,886                       |
| VANGUARD TOTAL INT'L STOCK IDX | 867,388                | 980,623                       |
| VANGUARD EMERGING MKTS ETF     | 124,357                | 147,487                       |
| VANGUARD EMERGING MKTS STOCK   | 1,243,924              | 852,132                       |
| PACKAGING CORP OF AMERICA      | 35,124                 | 2,452,986                     |
| VANGUARD MIDCAP INDEX FUND     | 1,260,375              | 1,623,721                     |
| VANGUARD INT'L EXPLORER FUND   | 0                      | 0                             |
| HARBOR FUND                    | 165,314                | 244,201                       |
| JANUS INVT FD                  | 0                      | 0                             |
| PIMCO COMMODITY REAL RETURN    | 475,210                | 309,235                       |
| TCW GALILEO FDS INC.           | 0                      | 0                             |
| T-MOBILE US, INC.              | 1,791,594              | 4,092,541                     |
| ALTRIA GROUP INC COM           | 87,270                 | 323,436                       |
| ANHEUSER BUSCH                 | 308,273                | 612,145                       |
| BERKSHIRE HATHAWAY INC CL A    | 451,318                | 1,067,400                     |
| BERKSHIRE HATHAWAY INC CL B    | 28,845                 | 59,280                        |
| BERNER GRUPPEN AS SHS          | 125,586                | 61,833                        |
| BRITISH AMERICAN TOBACCO PLC   | 167,784                | 320,387                       |
| BROWN FORMAN CORP CL A         | 42,949                 | 295,080                       |
| CIE FINANCIERE RICHE MONT AG   | 89,227                 | 764,053                       |
| COMCAST                        | 34,105                 | 236,930                       |
| DIAGEO PLC                     | 88,757                 | 331,200                       |
| HASBRO INC                     | 0                      | 0                             |
| HEINEKEN HLDG                  | 231,506                | 712,779                       |
| MARTIN MARIETTA MATLS INC      | 34,776                 | 199,880                       |

| Name of Stock                  | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| MASTERCARD INC CL A            | 215,048                | 863,866                       |
| NESTLE SA SPONSORED ADRS       | 381,231                | 1,193,140                     |
| PERNOD RICARD                  | 347,257                | 627,526                       |
| PHILIP MORRIS INTL INC         | 371,160                | 1,067,343                     |
| SABMILLER PLC                  | 238,974                | 693,511                       |
| SCRIPPS NETWORKS INTERACTIVE   | 9,930                  | 108,013                       |
| UNILEVER NV NEW YORK           | 328,792                | 500,260                       |
| WASHINGTON POST                | 0                      | 0                             |
| WELLS FARGO & CO               | 356,023                | 692,350                       |
| SPDR S&P 500 ETF               | 916,020                | 1,418,235                     |
| ISHARES CORE S&P SMALL CAP ETF | 875,208                | 1,545,390                     |
| DFA INT'L SMALL CAP VALUE PORT | 882,443                | 1,502,409                     |
| TWEEDY BROWNE GLOBAL VALUE FD  | 1,809,403              | 2,279,093                     |

**TY 2013 Investments - Land Schedule****Name:** FINNEGAN FAMILY FOUNDATION**EIN:** 36-4221334

**TY 2013 Investments - Other Schedule****Name:** FINNEGAN FAMILY FOUNDATION**EIN:** 36-4221334

| Category/ Item                 | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| AURORA GLOBAL OPPORTUNITIES FD | AT COST               | 500,000    | 642,072                       |
| CREATION INVEST SOCIAL FUND I  | AT COST               | 219,240    | 205,675                       |
| CREATION INVEST SOCIAL FUND II | AT COST               | 87,751     | 103,663                       |
| THE WEATHERLOW FUND            | AT COST               | 1,100,000  | 1,452,608                     |
| THIRTEEN PARTNERS OFFSHORE     | AT COST               | 1,000,000  | 1,262,169                     |

**TY 2013 Land, Etc. Schedule****Name:** FINNEGAN FAMILY FOUNDATION**EIN:** 36-4221334

**TY 2013 Other Assets Schedule****Name:** FINNEGAN FAMILY FOUNDATION**EIN:** 36-4221334

| Description          | Beginning of Year -<br>Book Value | End of Year - Book<br>Value | End of Year - Fair<br>Market Value |
|----------------------|-----------------------------------|-----------------------------|------------------------------------|
| PROGRAM-RELATED LOAN | 37,500                            | 0                           | 0                                  |
| SECURITY DEPOSIT     | 4,233                             | 4,233                       | 4,233                              |

## TY 2013 Other Decreases Schedule

**Name:** FINNEGAN FAMILY FOUNDATION

**EIN:** 36-4221334

| Description                              | Amount    |
|------------------------------------------|-----------|
| PACKING CORP OF AMERICA BASIS CORRECTION | 1,010,008 |
| PROGRAM RELATED LOANS BASIS CORRECTION   | 37,500    |
| OTHER BASIS ADJUSTMENT                   | 33,778    |

**TY 2013 Other Expenses Schedule****Name:** FINNEGAN FAMILY FOUNDATION**EIN:** 36-4221334

| Description            | Revenue and Expenses<br>per Books | Net Investment<br>Income | Adjusted Net Income | Disbursements for<br>Charitable Purposes |
|------------------------|-----------------------------------|--------------------------|---------------------|------------------------------------------|
| DUES AND SUBSCRIPTIONS | 2,245                             | 1,123                    |                     | 1,123                                    |
| IL SECRETARY OF STATE  | 10                                |                          |                     |                                          |
| TELEPHONE              | 2,122                             | 1,061                    |                     | 1,061                                    |
| INSURANCE              | 296                               | 148                      |                     | 148                                      |
| OFFICE EQUIPMENT       | 106                               | 53                       |                     | 53                                       |
| OFFICE SUPPLIES        | 324                               | 162                      |                     | 162                                      |
| POSTAGE AND DELIVERY   | 121                               | 60                       |                     | 60                                       |
| SOFTWARE               | 16                                | 8                        |                     | 8                                        |
| FILING FEES            | 15                                |                          |                     |                                          |

TY 2013 Other Income Schedule

**Name:** FINNEGAN FAMILY FOUNDATION

**EIN:** 36-4221334

| Description        | Revenue And<br>Expenses Per Books | Net Investment<br>Income | Adjusted Net Income |
|--------------------|-----------------------------------|--------------------------|---------------------|
| IRC Sec 988 Income | 2,444                             | 2,444                    |                     |

## TY 2013 Other Professional Fees Schedule

**Name:** FINNEGAN FAMILY FOUNDATION

**EIN:** 36-4221334

| Category                      | Amount  | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|-------------------------------|---------|--------------------------|------------------------|---------------------------------------------|
| INVESTMENT MANAGEMENT<br>FEES | 132,225 | 132,219                  |                        |                                             |

**TY 2013 Taxes Schedule****Name:** FINNEGAN FAMILY FOUNDATION**EIN:** 36-4221334

| Category              | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable<br>Purposes |
|-----------------------|--------|--------------------------|------------------------|---------------------------------------------|
| FOREIGN TAXES PAID    | 40,830 | 40,830                   |                        |                                             |
| FEDERAL PAYROLL TAXES | 8,837  | 4,419                    |                        | 4,419                                       |
| FEDERAL EXCISE TAXES  | 12,389 |                          |                        |                                             |

Finnegan Family Foundation  
 EIN 36-4221334  
 Form 990-PF, Part IV Capital Gains and Losses For Tax On Investment Income

Charles Schwab Account No K8 8442-3137

Short-Term - Non-Covered

| Property Type | Date Acquired | Date Sold | Number of Shs | Description                | Acquisition Method | Gross Sales Price | Cost basis | Gain or (Loss) |
|---------------|---------------|-----------|---------------|----------------------------|--------------------|-------------------|------------|----------------|
| Security      | Various       | 9/4/2013  | 50,000 000    | AT&T, Inc 2 625% Notes     | P                  | 44,519 00         | 48,971 00  | (4,452 00)     |
| Security      | 1/18/2013     | 9/4/2013  | 65,000 000    | Anheuser Busch 2 625% Note | P                  | 59,981 00         | 64,876 00  | (4,895 00)     |
| Security      | 2/21/2013     | 9/4/2013  | 65,000 000    | Citigroup, Inc 3 375% Note | P                  | 61,090 00         | 65,283 00  | (4,193 00)     |
| Security      | 1/9/2013      | 9/4/2013  | 65,000 000    | G E Capital 3 1% Note      | P                  | 59,963 00         | 64,881 00  | (4,918 00)     |
| Security      | 3/12/2013     | 9/4/2013  | 55,000 000    | Hewlett Packard 4 3% Bonds | P                  | 53,007 10         | 55,775 96  | (2,768 86)     |
| Security      | 3/8/2013      | 9/4/2013  | 15,000 000    | US Bancorp 2 95% Note      | P                  | 13,961 92         | 15,086 10  | (1,124 18)     |

Long-Term - Non-Covered

| Property Type |         |           | Number of Shs       | Description                        | P or D | Gross Sales Price | Cost basis | Gain or (Loss) |
|---------------|---------|-----------|---------------------|------------------------------------|--------|-------------------|------------|----------------|
| Security      | Various | 5/14/2013 | 20,000 00           | AT&T, INC 5 5% Note                | P      | 23,626 20         | 21,314 00  | 2,312 20       |
| Security      | Various | 9/4/2013  | 65,000 00           | American Tower CP 4 7% Note        | P      | 63,735 00         | 69,059 00  | (5,324 00)     |
| Security      | Various | 1/18/2013 | 50,000 00           | Anheuser Busch 5 5% Note           | P      | 59,668 00         | 47,319 00  | 12,349 00      |
| Security      | Various | 9/4/2013  | 20,000 00           | Banc of America 5 878% Note        | P      | 22,239 00         | 21,277 00  | 962 00         |
| Security      | Various | 9/4/2013  | 35,000 00           | Boeing 4 88% Note                  | P      | 39,352 00         | 36,231 00  | 3,121 00       |
| Security      | Various | 3/5/2013  | 50,000 00           | Bristol Myers 5 45% Note           | P      | 59,921 50         | 52,327 00  | 7,594 50       |
| Security      | Various | 2/21/2013 | 40,000 00           | Citigroup 6 13% Note               | P      | 47,633 00         | 40,839 00  | 6,694 00       |
| Security      | Various | Various   | Return of Principal | Continental Airlines 6 90%         | P      | 2,373 00          | 2,373 00   | -              |
| Security      | Various | Various   | Return of Principal | Delta Airlines 4 95%               | P      | 4,753 00          | 4,753 00   | -              |
| Security      | Various | 1/8/2013  | 50,000 00           | G E Capital 5 625% Note            | P      | 58,831 50         | 44,822 00  | 14,009 50      |
| Security      | Various | 9/4/2013  | 45,000 00           | Johnson Control 5% Note            | P      | 48,964 25         | 45,108 00  | 3,856 25       |
| Security      | Various | 9/4/2013  | 25,000 00           | JPMorgan Chase 4 625% Note         | P      | 26,509 75         | 27,172 00  | (662 25)       |
| Security      | Various | 9/4/2013  | 25,000 00           | PNC FDG Corp 4 375% Note           | P      | 26,463 00         | 25,813 00  | 650 00         |
| Security      | Various | 9/4/2013  | 65,000 00           | US Airways Pass Thr 6 25% Security | P      | 58,280 67         | 62,179 00  | (3,898 33)     |
| Security      | Various | 4/22/2013 | Return of Principal | US Airways Pass Thr 6 25% Security | P      | 2,098 18          | 2,098 18   | -              |
| Security      | Various | 9/4/2013  | 30,000 00           | US Bancorp 2 95% Note              | P      | 27,923 83         | 30,704 00  | (2,780 17)     |
| Security      | Various | 9/4/2013  | 45,000 00           | Wells Fargo & Co 3 5% Note         | P      | 44,575 85         | 47,624 00  | (3,048 15)     |