Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: Dan and Micki Chapin Fund, Inc.

A Employer identification number: 36-4147435

Number and street (or P.O. box number if mail is not delivered to street address): P O Box 550 | Room/suite:

B Telephone number (see instructions): 847 909 8351

City or town, state or province, country, and ZIP or foreign postal code: Hampshire, IL 60140-0550847-909-8351

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1791070

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	25			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	33567	33567	33567	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	141584			
	b	Gross sales price for all assets on line 6a 552388				
	7	Capital gain net income (from Part IV, line 2)		141584		
	8	Net short-term capital gain			13005	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	175176	175151	46572	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	12657	12657	12657	12657
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	9621	9621	9621	9621
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	25	25	25	25
	24	**Total operating and administrative expenses.** Add lines 13 through 23	22303	22303	22303	22303
	25	Contributions, gifts, grants paid	51000			
	26	**Total expenses and disbursements.** Add lines 24 and 25	73303	22303	22303	22303
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	101873			
	b	**Net investment income** (if negative, enter -0-)		152848		
	c	**Adjusted net income** (if negative, enter -0-)			24269	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	9900	14448	14448
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	969780	34992	35101
	c	Investments—corporate bonds (attach schedule)	52373	1124100	1741521
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1032053	1173540	1791070
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1032053	1173540	
	30	**Total net assets or fund balances** (see instructions)			
	31	**Total liabilities and net assets/fund balances** (see instructions)	1032053	1173540	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1032053
2	Enter amount from Part I, line 27a	2	101873
3	Other increases not included in line 2 (itemize) ▶ **Timing Differences**	3	39614
4	Add lines 1, 2, and 3	4	1173540
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1173540

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	STMT Attached LT			
b	STNT Attached ST			
c	Cap Gain Dividend			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				121191
b				13005
c				6638
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 141584

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . — 3 — 13005

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	51090	1200363	.04256
2011	58197	1123882	.05178
2010	56397	1033608	.05456
2009	58764	934362	.06289
2008	66536	1291789	.05892

2	**Total** of line 1, column (d) . . .	2	.27071
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	.05414
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 . . .	4	1592816
5	Multiply line 4 by line 3 . . .	5	86235
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	1528
7	Add lines 5 and 6 . . .	7	87763
8	Enter qualifying distributions from Part XII, line 4 . . .	8	73303

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary—see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	3057
3	Add lines 1 and 2	3	3057
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	3057
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 7500		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	7500
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	4443
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 4443 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ **Illinois**			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
	Website address ▶			
14	The books are in care of ▶ Daniel Chapin Telephone no. ▶ 847 909 8351 Located at ▶ 361 Keyes Ave., Hampshire, IL ZIP+4 ▶ 60140			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☐ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		✓
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Daniel R. Chapin PO Box 550, 361 Keyes Ave., Hampshire, IL 60140	Pres/Dir nil	-0-	-0-	-0-
Marilyn M. Chapin same	Sec/Dir nil	-0-	-0-	-0-
Christine Tilton-Chapin same	Dir nil	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 ▶ none

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
n/a		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Donations to qualified charitable organizations.	nil
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1603335
b	Average of monthly cash balances	1b	13736
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1617072
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1617072
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	24256
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1592816
6	**Minimum investment return.** Enter 5% of line 5	6	79641

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	79641
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3057		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3057
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	76584
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	76584
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	76584

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	73303
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	[redacted]		
5			
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	73303

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				76584
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only				
b	Total for prior years: 20___,20___,20___				
3	Excess distributions carryover, if any, to 2013:				
a	From 2008 — 10389				
b	From 2009 — 12229				
c	From 2010 — 6107				
d	From 2011 — 3558				
e	From 2012 — 0				
f	**Total** of lines 3a through e	32313			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 73303				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2013 distributable amount				3281
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				3281
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	7118			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	21694			
10	Analysis of line 9:				
a	Excess from 2009 — 12229				
b	Excess from 2010 — 6107				
c	Excess from 2011 — 3358				
d	Excess from 2012 — 0				
e	Excess from 2013 — 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	n/a				
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

Daniel R and Marilyn M Chapin, PO Box 550, Hampshire, IL 60140-0550

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
STMT attahed				51000
Total . . . . . . ▶ **3a**				51000
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				none

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) 13

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	n/a

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		
	(2) Other assets	1a(2)		
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		
	(3) Rental of facilities, equipment, or other assets	1b(3)		
	(4) Reimbursement arrangements	1b(4)		
	(5) Loans or loan guarantees	1b(5)		
	(6) Performance of services or membership or fundraising solicitations	1b(6)		
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [signature] Date: 3/22/14 Title: Pres

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
self prepared				
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

2013 Tax Inforn. .ion Statement

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number: 535301204320
Recipient's Tax ID Number: XX-XXX7435

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
DAN & MICKI CHAPIN FUND, INC.
P.O. BOX 550
HAMPSHIRE, IL 60140

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales								
001055102	AFLAC INC COMMON STOCK		AFL					
100.0000	05/20/2013	11/19/2012	5,557.43	5,129.40	0.00	428.03	0.00	0.00
001055102	AFLAC INC COMMON STOCK		AFL					
65.0000	06/21/2013	11/19/2012	3,714.24	3,334.11	0.00	380.13	0.00	0.00
020002101	ALLSTATE CORPORATION COMMON STOCK		ALL					
115.0000	11/21/2013	05/20/2013	6,245 83	5,769.54	0.00	476.29	0.00	0.00
G27823106	DELPHI AUTOMOTIVE PLC		DLPH					
75.0000	06/21/2013	11/19/2012	3,765.35	2,491.14	0.00	1,274.21	0.00	0.00
277432100	EASTMAN CHEMICAL COMPANY COMMON STOCK		EMN					
60.0000	04/22/2013	09/25/2012	4,147.10	3,391.19	0.00	755.91	0.00	0.00
G10082140	ENERGY XXI BERMUDA		EXXI					
255.0000	01/17/2013	09/25/2012	8,291.30	9,329.30	0.00	-1,038.00	0.00	0.00
364760108	GAP INC COMMON STOCK		GPS					
115.0000	12/23/2013	07/19/2013	4,440.29	5,183.77	0.00	-743.48	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC COMMON STOCK		GS					
45 0000	06/21/2013	12/21/2012	6,997.39	5,730.93	0.00	1,266.46	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC COMMON STOCK		GS					
45.0000	07/19/2013	12/21/2012	7,410.13	5,730.92	0.00	1,679 21	0.00	0.00

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
413086109	HARMAN INTERNATIONAL INDUSTRIES INC NEW COMMON STOCK		HAR					
100.0000	01/17/2013	09/25/2012	5,006.65	4,856.99	0.00	149.66	0.00	0.00
413875105	HARRIS CORPORATION DEL COMMON STOCK		HRS					
90.0000	03/22/2013	09/25/2012	3,964.42	4,629.59	0.00	-665.17	0.00	0.00
416649804	HARTFORD FLOATING RATE I		HFLIX					
2990.0330	11/15/2013	05/23/2013	27,000.00	27,149.50	0.00	-149.50	0.00	0.00
423452101	HELMERICH & PAYNE INC COMMON STOCK		HP					
120.0000	08/15/2013	02/22/2013	7,884.48	7,825.97	0.00	58.51	0.00	0.00
423452101	HELMERICH & PAYNE INC COMMON STOCK		HP					
130.0000	10/17/2013	Various	9,772.07	8,113.70	0.00	1,658.37	0.00	0.00
457187102	INGREDION INC		INGR					
60.0000	08/15/2013	09/25/2012	3,825.11	3,341.36	0.00	483.75	0.00	0.00
460146103	INTERNATIONAL PAPER COMPANY COMMON STOCK		IP					
80.0000	08/15/2013	03/22/2013	3,803.50	3,639.00	0.00	164.50	0.00	0.00
460146103	INTERNATIONAL PAPER COMPANY COMMON STOCK		IP					
70 0000	09/20/2013	03/22/2013	3,361.13	3,184.13	0.00	177.00	0.00	0.00
539830109	LOCKHEED MARTIN CORPORATION COMMON STOCK		LMT					
30 0000	05/28/2013	09/25/2012	3,228.62	2,746.80	0.00	481.82	0 00	0.00

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Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
56585A102	MARATHON PETROLEUM CORPORATION		MPC					
35.0000	02/22/2013	09/25/2012	2,840.95	1,903.30	0.00	937.65	0.00	0.00
594918104	MICROSOFT CORPORATION COMMON STOCK		MSFT					
65.0000	03/22/2013	09/25/2012	1,825.81	2,005.24	0.00	-179.43	0.00	0.00
628530107	MYLAN LABORATORIES INC COMMON STOCK		MYL					
65.0000	08/15/2013	01/17/2013	2,349 73	1,818.37	0.00	531 36	0.00	0.00
67073Y106	NV ENERGY INC		NVE					
235.0000	02/22/2013	09/25/2012	4,620.75	4,286.02	0.00	334.73	0.00	0.00
67073Y106	NV ENERGY INC		NVE					
390.0000	04/22/2013	09/25/2012	8,073.01	7,112.98	0.00	960.03	0.00	0.00
85771P102	STATOIL ASA		STO					
540.0000	08/15/2013	Various	11,716.81	13,578.53	0.00	-1,861.70	0.00	0.00
871503108	SYMANTEC CORPORATION COMMON STOCK		SYMC					
480.0000	12/23/2013	Various	10,947.12	10,480.38	0.00	466.74	0.00	0.00
881609101	TESORO PETROLEUM CORPORATION COMMON STOCK		TSO					
50.0000	02/22/2013	05/24/2012	2,698.46	1,148.43	0.00	1,550.03	0.00	0.00
88732J207	TIME WARNER CABLE INC		TWC					
35.0000	03/22/2013	05/24/2012	3,345.00	2,670.44	0.00	674.56	0.00	0.00

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Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
891092108	TORO COMPANY COMMON STOCK		TTC					
150.0000	05/20/2013	09/25/2012	6,974.42	5,904.09	0.00	1,070.33	0.00	0.00
891092108	TORO COMPANY COMMON STOCK		TTC					
130.0000	07/19/2013	09/25/2012	6,235.95	5,116.88	0 00	1,119.07	0.00	0.00
91324P102	UNITEDHEALTH GROUP INC COMMON STOCK		UNH					
65.0000	03/22/2013	09/25/2012	3,570.77	3,659.86	0.00	-89.09	0.00	0.00
91324P102	UNITEDHEALTH GROUP INC COMMON STOCK		UNH					
60.0000	04/22/2013	09/25/2012	3,532.72	3,378.34	0.00	154.38	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COMMON STOCK		UNH					
80.0000	05/20/2013	09/25/2012	5,003.06	4,504.45	0.00	498.61	0.00	0.00
Total Short Term Sales			**192,149.60**	**179,144.65**	**0.00**	**13,004.97**	**0.00**	**0.00**
Long Term Sales								
001055102	AFLAC INC COMMON STOCK		AFL					
130.0000	05/20/2013	12/15/2011	7,224.65	5,406.32	0.00	1,818.33	0.00	0.00
03076C106	AMERIPRISE FINANCIAL INC.		AMP					
60.0000	11/21/2013	04/21/2011	6,410.90	3,815 70	0.00	2,595.20	0.00	0.00
031162100	AMGEN INC COMMON STOCK		AMGN					
500 0000	03/14/2013	08/15/1997	45,814.02	6,570.00	0 00	39,244.02	0.00	0.00

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Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
031162100	AMGEN INC COMMON STOCK		AMGN					
150.0000	08/26/2013	08/15/1997	17,077.05	1,971.00	0.00	15,106.05	0.00	0.00
031162100	AMGEN INC COMMON STOCK		AMGN					
100.0000	09/20/2013	08/15/1997	11,687.30	1,314.00	0.00	10,373.30	0.00	0.00
046353108	ASTRAZENECA PLC SPONSORED ADR		AZN					
100.0000	03/22/2013	09/17/2010	4,926.38	5,206.15	0.00	-279.77	0.00	0.00
046353108	ASTRAZENECA PLC SPONSORED ADR		AZN					
115.0000	04/22/2013	Various	5,894.77	5,707.28	0.00	187.49	0.00	0.00
053332102	AUTOZONE INC COMMON STOCK		AZO					
10.0000	02/22/2013	06/18/2009	3,816.62	1,575.78	0.00	2,240.84	0.00	0.00
124857202	CBS CORPORATION CLASS B W/I		CBS					
175.0000	03/22/2013	Various	8,068 66	4,267.93	0.00	3,800.73	0.00	0.00
125269100	CF INDUSTRIES HOLDINGS INC		CF					
35.0000	06/21/2013	Various	6,428.04	6,171.15	0.00	256.89	0.00	0.00
12646R105	CST BRANDS INC		CST					
.1111	05/14/2013	11/17/2011	3.57	1.82	0.00	1.75	0.00	0.00
12646R105	CST BRANDS INC		CST					
16.0000	05/20/2013	11/17/2011	528 94	261.54	0.00	267 40	0 00	0.00

This is important tax information and is being furnished to you.

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2013 Tax Information Statement

BMO HARRIS BANK N.A.
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CHICAGO, IL 60603

Account Number: 535301204320
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DAN & MICKI CHAPIN FUND, INC.
P.O. BOX 550
HAMPSHIRE, IL 60140

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
29266R108	ENERGIZER HOLDINGS INC COMMON STOCK		ENR					
55.0000	10/17/2013	09/25/2012	5,129.46	4,223.95	0.00	905.51	0.00	0.00
30231G102	EXXON MOBIL CORPCOMMON STOCK		XOM					
120.0000	10/17/2013	Various	10,441.16	8,237.44	0.00	2,203.72	0.00	0.00
353612781	FRANKLIN FLOAT RATE DLY A-AD		FDAAX					
1411.5090	11/15/2013	10/31/2012	13,000.00	12,858.85	0.00	141.15	0.00	0.00
364760108	GAP INC COMMON STOCK		GPS					
140.0000	12/23/2013	11/19/2012	5,405.57	4,797.39	0.00	608.18	0.00	0.00
457187102	INGREDION INC		INGR					
95.0000	07/19/2013	Various	5,860.24	4,255.52	0.00	1,604.72	0.00	0.00
457187102	INGREDION INC		INGR					
55.0000	08/15/2013	12/16/2010	3,506.36	2,592.14	0.00	914.22	0.00	0.00
459200101	INTERNATIONAL BUSINESS MACHINES CAPITAL STOCK		IBM					
45.0000	02/22/2013	Various	9,008.36	3,833.00	0.00	5,175.36	0.00	0.00
459200101	INTERNATIONAL BUSINESS MACHINES CAPITAL STOCK		IBM					
15.0000	04/22/2013	05/21/2009	2,826.23	1,538.85	0.00	1,287.38	0.00	0.00
459200101	INTERNATIONAL BUSINESS MACHINES CAPITAL STOCK		IBM					
25 0000	06/21/2013	05/21/2009	4,899.67	2,564.75	0.00	2,334.92	0.00	0.00

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2013 Tax Infor...tion Statement

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Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
532457108	LILLY ELI & COMPANY COMMON STOCK		LLY					
320.0000	07/19/2013	Various	15,972.56	12,255.17	0.00	3,717.40	0.00	0.00
539830109	LOCKHEED MARTIN CORPORATION COMMON STOCK		LMT					
70.0000	05/28/2013	05/24/2012	7,533.46	5,794.49	0.00	1,738.97	0.00	0.00
58155Q103	MCKESSON HBOC INC COMMON STOCK		MCK					
35.0000	06/21/2013	09/17/2009	3,936.24	2,042.52	0.00	1,893.72	0.00	0.00
58155Q103	MCKESSON HBOC INC COMMON STOCK		MCK					
35.0000	11/21/2013	Various	5,627.91	2,099.27	0.00	3,528.64	0.00	0.00
594918104	MICROSOFT CORPORATION COMMON STOCK		MSFT					
245.0000	01/17/2013	Various	6,701.96	6,225.30	0.00	476.66	0.00	0.00
594918104	MICROSOFT CORPORATION COMMON STOCK		MSFT					
125.0000	03/22/2013	10/21/2011	3,511.17	3,386.24	0.00	124 93	0.00	0.00
716768106	PETSMART INC COMMON STOCK		PETM					
50.0000	03/22/2013	03/03/2010	3,092.30	1,398.57	0.00	1,693.73	0.00	0.00
716768106	PETSMART INC COMMON STOCK		PETM					
80.0000	05/20/2013	Various	5,521.49	2,332.09	0.00	3,189.40	0.00	0.00
717081103	PFIZER INC COMMON STOCK		PFE					
225.0000	09/20/2013	Various	6,523.85	4,614.75	0.00	1,909 10	0.00	0.00

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2013 Tax Information Statement

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CHICAGO, IL 60603

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HAMPSHIRE, IL 60140

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
722005667	PIMCO COMMODITY RR STRAT-INS		PCRIX					
5149.7820	09/10/2013	Various	29,199.26	42,019.93	0.00	-12,820 67	0.00	0.00
693390841	PIMCO FDS PAC INVT MGMT SER HIGH YIELD FD INSTL CL		PHIYX					
2410.8330	08/20/2013	02/22/2012	22,734.16	22,372.53	0.00	361.63	0.00	0.00
755111507	RAYTHEON COMPANY COMMON STOCK		RTN					
55.0000	11/21/2013	Various	4,776.15	2,676.49	0.00	2,099.66	0.00	0.00
755111507	RAYTHEON COMPANY COMMON STOCK		RTN					
90.0000	12/23/2013	Various	8,026.45	4,135.80	0.00	3,890.65	0.00	0.00
871503108	SYMANTEC CORPORATION COMMON STOCK		SYMC					
270.0000	12/23/2013	11/19/2012	6,157.75	4,897.91	0.00	1,259.84	0 00	0.00
881609101	TESORO PETROLEUM CORPORATION COMMON STOCK		TSO					
150.0000	09/20/2013	05/24/2012	6,721.42	3,445.27	0.00	3,276.15	0.00	0.00
872540109	TJX COMPANIES INC NEW COMMON STOCK		TJX					
85.0000	09/20/2013	06/17/2010	4,802.73	1,942.67	0 00	2,860.06	0.00	0.00
89417E109	TRAVELERS COMPANIES INC		TRV					
40.0000	09/20/2013	12/21/2009	3,484.02	1,947.59	0.00	1,536.43	0.00	0.00
902973304	US BANCORP COMMON STOCK		USB					
225.0000	01/17/2013	Various	7,406 28	5,591.25	0 00	1,815.03	0.00	0 00

This is important tax information and is being furnished to you.

2013 Tax Inforn..tion Statement

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number: 535301204320
Recipient's Tax ID Number: XX-XXX7435

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
DAN & MICKI CHAPIN FUND, INC.
P.O. BOX 550
HAMPSHIRE, IL 60140

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip / Quantity Sold	Description / Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol / Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
902973304	US BANCORP COMMON STOCK		USB					
190.0000	09/20/2013	Various	7,146.02	5,011.28	0.00	2,134.74	0.00	0.00
91913Y100	VALERO REFNG & MARKETING CO COMMON STOCK		VLO					
75.0000	02/22/2013	11/17/2011	3,460.44	1,651.92	0.00	1,808 52	0 00	0.00
91913Y100	VALERO REFNG & MARKETING CO COMMON STOCK		VLO					
145.0000	11/21/2013	11/17/2011	6,159.83	2,930.35	0.00	3,229.48	0.00	0.00
92343V104	VERIZON COMMUNICATIONS COMMON STOCK		VZ					
65.0000	02/22/2013	09/26/2011	2,927.55	2,363.39	0.00	564.16	0 00	0.00
931142103	WAL-MART STORES INC COMMON STOCK		WMT					
60.0000	02/22/2013	Various	4,219.73	3,354.40	0.00	865 33	0 00	0.00
Total Long Term Sales			**353,600.68**	**231,659.74**	**0.00**	**121,940.95**	**0.00**	**0.00**

This is important tax information and is being furnished to you.

Dan and Mickic Chapin Fund, Inc.
Income, Expense and Distribution Summay **2013**
36-4147435

Income	A	B	C	D
Cash Donated by Chapins	25			
Dividend Income	33567	33567	33567	
Short Term Capita 13005	13005	13005	13005	
Long Term Capital Gain	121941	121941		
Capital Gain Dividends	6638	6638		
Subtotal Capital Gains	*141584*			
Total Income	175176	175151	46572	
Expenses				
Filing Fees	25	25	25	25
Foreign Taxes	637	637	637	637
US Taxes	8984	8984	8984	8984
Agency Fees	12657	12657	12657	12657
Misc.	0	0	0	0
Total Expenses	22303	22303	22303	22303
Charitable Contributions	51000			51000
Total Expenses & Distributi	73303			73303
Surplus	101873			
Net Investment Income		152848		
Adjusted Net Income			24269	

• Details of assets in your account

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CASH & SHORT-TERM								
CASH		38.500	1.0000	38.50	38.50	0.00	0.00	0.00%
TOTAL CASH & SHORT-TERM				**$38.50**	**$38.50**	**$0.00**	**$0.00**	**.00%**
CASH & SHORT-TERM								
VIRTUS INSIGHT GOVERNMENT MONEY MARKET FUND I		14,410.400	1.0000	14,410 40	14,410.40	0.00	1.44	0.01%
TOTAL CASH & SHORT-TERM				**$14,410.40**	**$14,410.40**	**$0.00**	**$1.44**	**.01%**
FIXED INCOME/LOW VOLATILITY								
Corporate and other taxable								
FRANKLIN FLOATING RATE DAILY ACCESS FUND	FDAAX	1,881.576	9.2100	17,329 31	17,141.15	188.16	660.43	3.81%
HARTFORD FLOATING RATE FUND	HFLIX	1,965.914	9.0400	17,771.86	17,850.50	-78.64	758.84	4.27%
Total Corporate and other taxable				**$35,101.17**	**$34,991.65**	**$109.52**	**$1,419.27**	**4.04%**
TOTAL FIXED INCOME/LOW VOLATILITY				**$35,101.17**	**$34,991.65**	**$109.52**	**$1,419.27**	**4.04%**
EQUITY/HIGH VOLATILITY								
U.S. equity								
AES CORP Utilities	AES	1,445 000	14.5100	20,966.95	18,952.04	2,014.91	289.00	1.38%
AGCO CORP Industrials	AGCO	310.000	59 1900	18,348.90	18,684.82	-335.92	124.00	0.68%
ALLIANCE DATA SYSTEMS CORP Information technology	ADS	80.000	262.9300	21,034.40	11,424.47	9,609.93	0 00	0 00%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
AMERICAN EXPRESS CO CAPITAL STOCK Financials	AXP	95.000	90.7300	8,619.35	7,119.52	1,499.83	87.40	1.01%
AMERIPRISE FINANCIAL INC. Financials	AMP	155.000	115.0500	17,832.75	8,273.44	9,559.31	322.40	1.81%
AMGEN INC Health care	AMGN	2,450.000	114.0800	279,496.00	41,664.66	237,831.34	5,978.00	2.14%
APPLE INC Information technology	AAPL	55.000	561.0200	30,856.10	13,433.35	17,422.75	671.00	2.17%
BABCOCK & WILCOX Industrials	BWC	290.000	34.1900	9,915.10	8,638.96	1,276.14	116.00	1.17%
BED BATH & BEYOND INC Consumer discretionary	BBBY	150.000	80.3000	12,045.00	11,793.96	251.04	0.00	0.00%
BERKSHIRE HATHAWAY INC-CL B Financials	BRK/B	95.000	118.5600	11,263.20	11,176.74	86.46	0.00	0.00%
BOEING CO Industrials	BA	115.000	136.4900	15,696.35	13,553.83	2,142.52	335.80	2.14%
CELGENE CORP Health care	CELG	110.000	168.9680	18,586.48	13,042.87	5,543.61	0.00	0.00%
CF INDUSTRIES HOLDINGS INC Materials	CF	40.000	233.0400	9,321.60	7,427.20	1,894.40	160.00	1.72%
CHEVRON CORPORATION Energy	CVX	140.000	124.9100	17,487.40	15,079.79	2,407.61	560.00	3.20%
CIGNA CORP Health care	CI	70.000	87.4800	6,123.60	4,542.30	1,581.30	2.80	0.05%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
CISCO SYSTEMS INC Information technology	CSCO	1,090.000	22.4300	24,448.70	20,728.79	3,719.91	741.20	3.03%
CITIGROUP INC Financials	C	155.000	52.1100	8,077.05	6,385.18	1,691.87	6.20	0.08%
COCA COLA ENTERPRISES INC Consumer staples	CCE	490.000	44.1300	21,623.70	13,118.47	8,505.23	392.00	1.81%
COMCAST CORP-CL A CL A COMMON STOCK Consumer discretionary	CMCSA	505.000	51.9650	26,242.33	14,942.05	11,300.28	393.90	1.50%
CVS CAREMARK CORP Consumer staples	CVS	90.000	71.5700	6,441.30	4,359.59	2,081.71	99.00	1.54%
DISCOVER FINL SVCS Financials	DFS	360.000	55.9500	20,142.00	11,047.97	9,094.03	288.00	1.43%
EVEREST RE GROUP LIMITED Financials	RE	65.000	155 8700	10,131 55	9,111.52	1,020.03	195.00	1.92%
EXXON MOBIL CORPORATION Energy	XOM	190.000	101.2000	19,228.00	15,421.48	3,806.52	478.80	2.49%
FRANKLIN RESOURCES INC Financials	BEN	270.000	57.7300	15,587.10	12,026.26	3,560.84	129.60	0.83%
HALLIBURTON CO Energy	HAL	340.000	50.7500	17,255.00	18,061.14	-806.14	204.00	1.18%
HUNTINGTON INGALLS INDUSTRIES Industrials	HII	90.000	90.0100	8,100.90	7,858.07	242.83	72.00	0.89%
JOHNSON & JOHNSON Health care	JNJ	130.000	91.5900	11,906 70	11,660.94	245.76	343.20	2.88%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
KROGER CO Consumer staples	KR	440.000	39.5300	17,393.20	10,582.32	6,810.88	290.40	1.67%
MACY'S INC Consumer discretionary	M	420.000	53.4000	22,428.00	14,411.49	8,016.51	420.00	1.87%
MAGNA INTERNATIONAL INC CLASS A COMMON STOCK CLASS A Consumer discretionary	MGA	75.000	82.0600	6,154.50	5,580.63	573.87	96.00	1.56%
MARATHON PETROLEUM CORPROATION Energy	MPC	90.000	91.7300	8,255.70	4,894.19	3,361.51	151.20	1.83%
MASTERCARD INC-A Information technology	MA	10.000	835.4600	8,354.60	8,140.09	214.51	44.00	0.53%
MCKESSON CORP Health care	MCK	130.000	161.4000	20,982.00	11,405.55	9,576.45	124.80	0.59%
MICROSOFT CORP Information technology	MSFT	180.000	37.4100	6,733.80	5,738.38	995.42	201.60	2.99%
MYLAN INC Health care	MYL	515.000	43.4000	22,351.00	14,476.07	7,874.93	0.00	0.00%
NETAPP INC Information technology	NTAP	225.000	41.1400	9,256.50	9,104.06	152.44	135.00	1.46%
NORTHROP GRUMMAN CORPORATION Industrials	NOC	195.000	114.6100	22,348.95	12,561.59	9,787.36	475.80	2.13%
O'REILLY AUTOMOTIVE INC Consumer discretionary	ORLY	60.000	128.7100	7,722.60	8,125.29	-402.69	0.00	0.00%
ORACLE CORPORATION Information technology	ORCL	630 000	38.2600	24,103.80	12,633.77	11,470.03	302.40	1.25%

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• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
OSHKOSH CORP Industrials	OSK	160.000	50.3800	8,060.80	7,309.23	751.57	96 00	1.19%
PACKAGING CORP OF AMERICA Materials	PKG	195.000	63.2800	12,339.60	8,486.38	3,853.22	312.00	2.53%
PETSMART INC Consumer discretionary	PETM	75.000	72.7500	5,456.25	5,628.05	-171.80	58.50	1.07%
PPG INDUSTRIES INC Materials	PPG	95.000	189.6600	18,017.70	10,615.65	7,402.05	231.80	1 29%
QUALCOMM INC Information technology	QCOM	110.000	74.2500	8,167.50	8,055.97	111.53	154.00	1.89%
ROCK-TENN CO CL A CL A COMMON STOCK Materials	RKT	55.000	105.0100	5,775.55	5,945.37	-169.82	77.00	1.33%
SOUTHWEST AIRLINES CO Industrials	LUV	490.000	18.8400	9,231.60	9,078.52	153.08	78.40	0.85%
TIME WARNER CABLE INC Consumer discretionary	TWC	85.000	135.5000	11,517.50	6,885.78	4,631.72	221.00	1.92%
TJX COS INC Consumer discretionary	TJX	195.000	63.7300	12,427.35	4,283.36	8,143.99	113.10	0.91%
TRAVELERS COMPANIES INC Financials	TRV	160.000	90.5400	14,486.40	8,530 78	5,955.62	320.00	2.21%
TYSON FOODS INC CLASS A CLASS A COMMON STOCK Consumer staples	TSN	340.000	33.4600	11,376.40	8,172.75	3,203.65	102.00	0 90%
UNION PAC CORP Industrials	UNP	135.000	168.0000	22,680.00	19,506.13	3,173 87	426.60	1 88%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
UNUMPROVIDENT CORP Financials	UNM	390.000	35.0800	13,681.20	10,852.26	2,828.94	226.20	1.65%
WAL MART STORES INC Consumer staples	WMT	215.000	78.6900	16,918.35	12,223.74	4,694.61	404.20	2.39%
WELLS FARGO & CO Financials	WFC	540.000	45.4000	24,516.00	18,719.86	5,796.14	648.00	2.64%
Total U.S. equity				**$1,057,514.36**	**$611,476.67**	**$446,037.69**	**$17,699.30**	**1.67%**
U.S. equity funds								
EATON VANCE ATLANTA CAPITAL SMID CAP FUND Small cap diversified equity	EISMX	1,200.000	24.6900	29,628.00	16,632.00	12,996.00	0.00	0.00%
HARBOR CAPITAL APPRECIATION FUND #12 Large cap growth	HACAX	840.000	56.6900	47,619.60	34,952.40	12,667.20	37.88	0.08%
ISHARES RUSSELL 1000 VALUE ETF Large cap value	IWD	1,081.000	94.1700	101,797.77	60,132.91	41,664.86	1,983.64	1.95%
MIDCAP SPDR TRUST SERIES 1 Small cap diversified equity	MDY	148.000	244.2000	36,141.60	15,198.20	20,943.40	385.54	1.07%
TOUCHSTONE SANDS CAPITAL INSTL GRWTH Large cap growth	CISGX	2,100.000	22.3400	46,914.00	34,881.00	12,033.00	2.06	0.00%
Total U.S. equity funds				**$262,100.97**	**$161,796.51**	**$100,304.46**	**$2,409.12**	**.92%**
International								
ABERDEEN EMERGING MARKETS INSTITUTIONAL FUND Emerging	ABEMX	3,000.000	14.4700	43,410.00	43,860.00	-450.00	606.00	1.40%

• Details of assets in your account (continued)

DESCRIPTION OF ASSET		QUANTITY	X CURRENT UNIT VALUE	= CURRENT TOTAL MARKET VALUE	- FEDERAL TAX COST	= UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
DELPHI AUTOMOTIVE PLC Consumer discretionary	DLPH	325.000	60.1300	19,542.25	11,790.47	7,751.78	221.00	1.13%
ISHARES MSCI EMERGING MKT ETF Emerging	EEM	1,400.000	41.7950	58,513.00	49,339.54	9,173.46	1,201.20	2.05%
OAKMARK INTERNATIONAL FUND Developed large cap	OAKIX	795.248	26.3200	20,930.93	20,000.00	930.93	346.73	1.66%
TE CONNECTIVITY LIMITED Information technology	TEL	150.000	55.1100	8,266.50	6,776.76	1,489.74	150.00	1.81%
TWEEDY BROWNE GLOBAL VALUE FUND Developed large cap	TBGVX	764.967	26.6200	20,363.42	19,999.99	363.43	0.00	0.00%
WISDOMTREE INTERNATIONAL SMALLCAP DIVIDEND FUND Developed small cap	DLS	1,672.000	63.0100	105,352.72	60,192.21	45,160.51	4,101.42	3.89%
XL GROUP PUBLIC LIMITED COMPANY Financials	XL	275.000	31.8400	8,756.00	8,206.53	549.47	154.00	1.76%
Total International				**$285,134.82**	**$220,165.50**	**$64,969.32**	**$6,780.35**	**2.38%**
Alternatives-High Volatility								
Hedge funds								
GATEWAY FUND Total return hedge funds	GTEYX	2,495.389	28.9900	72,341.33	67,934.99	4,406.34	1,120.43	1.55%
Total Alternatives-High Volatility				**$72,341.33**	**$67,934.99**	**$4,406.34**	**$1,120.43**	**1.55%**
REITS								
VANGUARD REIT ETF Domestic reits	VNQ	555.000	64.5600	35,830.80	33,415 93	2,414 87	1,549.01	4.32%

• *Details of assets in your account (continued)*

DESCRIPTION OF ASSET		QUANTITY X	CURRENT UNIT VALUE =	CURRENT TOTAL MARKET VALUE -	FEDERAL TAX COST =	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD
Total REITS				**$35,830.80**	**$33,415.93**	**$2,414.87**	**$1,549.01**	**4.32%**
Commodities								
CREDIT SUISSE COMMODITY RETURN STRATEGY I Commodities	CRSOX	3,955 530	7.2300	28,598.48	29,310.48	-712.00	0.00	0.00%
Total Commodities				**$28,598.48**	**$29,310.48**	**-$712.00**	**$0.00**	**.00%**
TOTAL EQUITY/HIGH VOLATILITY				**$1,741,520.76**	**$1,124,100.08**	**$617,420.68**	**$29,558.21**	**1.70%**
TOTAL ASSETS IN YOUR ACCOUNT				**$1,791,070.83**	**$1,173,540.63**	**$617,530.20**	**$30,978.92**	**1.73%**

Dan and Micki Chapin Fund 36-4147435		2013 Contributions
Idaho Public Television		1250
1455 N. Orchard St.	Boise, ID 83706-2239	
Camp Rainbow Gold		1250
2676 S. Vista Avenue	Boise, ID 83705	
Boise State Public Radio		1250
1910 University Dr.	Boise, ID 83725-1915	
Sun Valley Center for the Arts		1250
P. O. Box 656	Sun Valley, ID 83353	
Animal Shelter-Wood River Valley		1250
PO Box 1496	Hailey, ID 83333	
Sun Valley Summer Symphony		5000
P. O. Box 1914	Sun Valley, ID 83353	
Spectrum Institute for Low Vision		10000
219 E. Cole Ave.	Wheaton, IL 60187	
Salvation Army		5000
5040 N. Pulaski Rd.	Chicago, IL 60630-2788	
Anticruelty Society		1250
157 W Grand Ave	Chicago, IL 60654-7174	
Assisi Animal Foundation		1250
P. O. Box 143	Crystal Lake, IL 60039-143	
St. Luke's Wood River Foundation		750
PO Box 7005.	Ketchum ID 83340	
Sun Valley Jazz Jamboree		1250
P. O. Box 2745	Sun Valley, ID 83353	
National Trust for Historic Preservation		1250
1785 Massachusetts Ave. NW	Washington, DC 20036-2117	
Save A Pet		1250
31664 N. Fairfield Rd.	Grayslake, IL 60030	
Wood River Women's Charitable Foundation		2000
PO Box 3686	Ketchum ID 83340	
Hunger Coalition		2500
121 Honeysuckle St.	Belleview, ID 83313-5095	
Boise Philharmonic		2500
516 S. 9th St.	Boise, ID 83702	

The Advocates		2500
P. O. Box 3216	Hailey, ID 83333	
Wood River Land Trust		2000
119 E. Bullion St.	Hailey, ID 83333	
Hospice of the Wood River Valley		1250
P. O. Box 4320	Ketchum ID 83340	
Wounded Warrior Project		2500
120 G Street NW, Suite 700	Washington, DC 20005	
Senior Connection		1250
P. O. Box 28	Hailey, ID 83333	
Crisis Hotline		1250
P. O. Box 939	Ketchum ID 83340	
Total		51000