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Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

Name of foundation THE CLARE FOUNDATION		A Employer identification number 36-4134738
Number and street (or P O box number if mail is not delivered to street address) Room/suite 945 EAST 93RD STREET		B Telephone number (see instructions) (773) 374-4714
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60619		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,628,863	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	28	28	28	
	4 Dividends and interest from securities	68,376	68,376	68,376	
	5a Gross rents	36,738	36,738	36,738	
	b Net rental income or (loss) <u>36,738</u>				
	6a Net gain or (loss) from sale of assets not on line 10	38,870			
	b Gross sales price for all assets on line 6a <u>4,487,577</u>				
	7 Capital gain net income (from Part IV, line 2)		38,870		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	61		61		
12 Total. Add lines 1 through 11	144,073	144,012	105,203		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,300			
	c Other professional fees (attach schedule)	41,240	19,640		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,903			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses (attach schedule)	1,855	1,730			
24 Total operating and administrative expenses.					
Add lines 13 through 23	46,298	21,370		0	
25 Contributions, gifts, grants paid	60,000			60,000	
26 Total expenses and disbursements. Add lines 24 and 25	106,298	21,370		60,000	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	37,775				
b Net investment income (if negative, enter -0-)		122,642			
c Adjusted net income (if negative, enter -0-)			105,203		

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash—non-interest-bearing	9,948	25,280	25,280	
	2 Savings and temporary cash investments	54,343	34,384	34,384	
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)	2,558,644 <input checked="" type="checkbox"/>	2,600,734	2,569,199	
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12 Investments—mortgage loans				
	13 Investments—other (attach schedule)				
	14 Land, buildings, and equipment basis ▶ _____ 1,510 Less accumulated depreciation (attach schedule) ▶ 1,510				
15 Other assets (describe ▶ _____)					
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,622,935	2,660,398	2,628,863		
Liabilities	17 Accounts payable and accrued expenses	508	196		
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ▶ _____)				
	23 Total liabilities (add lines 17 through 22)	508	196		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted	2,622,427	2,660,202		
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see page 17 of the instructions)	2,622,427	2,660,202		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,622,935	2,660,398			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,622,427
2	Enter amount from Part I, line 27a	2	37,775
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	2,660,202
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,660,202

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE ATTACHED LPL STATEMENT	P	2013-01-01	2013-12-31
b SIERRA CORE RETIRE A	P	2011-12-12	2013-05-22
c SIERRA CORE RETIRE A	P	2011-12-09	2013-05-22
d PIMCO EMRG MKT	P	2013-11-01	2013-12-05
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,279,638		4,296,246	-16,608
b 2,943		2,829	114
c 155,529		149,616	5,913
d 16		16	
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-16,608
b			114
c			5,913
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	38,870
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	41,470		
2011	72,462		
2010	58,476		
2009	58,327		
2008	53,592		

2 Total of line 1, column (d).	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	0
5 Multiply line 4 by line 3.	5	
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	1,226
7 Add lines 5 and 6.	7	1,226
8 Enter qualifying distributions from Part XII, line 4.	8	60,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,226
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	1,226
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	1,226
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,030
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,650
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	2,680
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,454
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 1,454 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?.	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of TIMOTHY J ENRIGHT Telephone no (773) 374-4714 Located at 945 EAST 93RD STREET CHICAGO IL ZIP+4 60619
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -Check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions).
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i></p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b No</p> <p><i>If "Yes" to 6b, file Form 8870.</i></p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? 7b</p>	
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TIM ENRIGHT 10329 S HOYNE CHICAGO, IL 60643	PRES/SEC 5 00	0	0	0
KENNETH ENRIGHT 10327 SOUTH ARTESIAN CHICAGO, IL 60655	DIRECTOR 0 10	0	0	0
PATRICIA ENRIGHT 10329 S HOYNE CHICAGO, IL 60643	DIRECTOR 0 10	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,226
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,226
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	60,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	60,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,226
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	58,774

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20__ , 20__ , 20__				
3 Excess distributions carryover, if any, to 2013				
a From 2008.		56,008		
b From 2009.		58,773		
c From 2010.		59,000		
d From 2011.		73,000		
e From 2012.		42,500		
f Total of lines 3a through e.	289,281			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>60,000</u>				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				
e Remaining amount distributed out of corpus	60,000			
5 Excess distributions carryover applied to 2013 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	349,281			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	56,008			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	293,273			
10 Analysis of line 9				
a Excess from 2009.		58,773		
b Excess from 2010.		59,000		
c Excess from 2011.		73,000		
d Excess from 2012.		42,500		
e Excess from 2013.		60,000		

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. _____

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include
BY LETTER AND FORM LETTER FOR RESPONSE

c Any submission deadlines
NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
IN ACCORDANCE WITH MISSION STMT, AVAILABLE UPON REQUEST

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total				60,000
b <i>Approved for future payment</i>				
Total				3b

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LITTLE BROTHERS HANCOCK MICHIGAN 527 HANCOCK HANCOCK, MI 49930			DONATION	10,000
BEVERLY ART CENTER 2407 WEST 111TH STREET CHICAGO, IL 60655			DONATION	5,000
HALES FRANCISCAN HIGH SCHOOL 4930 COTTAGE GROVE CHICAGO, IL 60615			SCHOLARSHIP FUND	10,000
MARILLAC SOCIAL CENTER 212 S SAN FRANCISCO AVE CHICAGO, IL 60612			DONATION	5,000
ST BARNABAS SCHOOL 10121 S LONGWOOD DRIVE CHICAGO, IL 60643			SCHOLARSHIP FUND	5,000
PROVIDENCE ST MEL'S HIGH SCHOOL 119 S CENTRAL PARK BLVD CHICAGO, IL 606242998			SCHOLARSHIP FUND	10,000
CLARA'S HOUSE 1650 WEST 63RD STREET CHICAGO, IL 60636			DONATION	5,000
LAKEVIEW PANTRY 3831 N BROADWAY ST CHICAGO, IL 60613			DONATION	10,000
Total			▶ 3a	60,000

TY 2013 Accounting Fees Schedule

Name: THE CLARE FOUNDATION

EIN: 36-4134738

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	1,300			

TY 2013 Compensation Explanation

Name: THE CLARE FOUNDATION

EIN: 36-4134738

Person Name	Explanation
TIM ENRIGHT	
KENNETH ENRIGHT	
PATRICIA ENRIGHT	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE CLARE FOUNDATION

EIN: 36-4134738

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
EQUIPMENT	1998-06-01	1,510	1,510	S/L	3 0000				

**TY 2013 Investments Corporate
Stock Schedule****Name:** THE CLARE FOUNDATION**EIN:** 36-4134738

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VARIOUS LIMITED PARTNERSHIPS	955,671	955,671
5163SHS FIRST EAGLE FDS GLOBAL		
4399SHS FIRST EAGLE FDS GOLD	126,210	63,827
37307 SHS JP MORGAN TR II HIGH YIELD		
6795SHS NORTHERN LIGHTS FUND TRUST		
22417SHS PIMCO FD ALL ASSET		
22562SHS PIMCO FUNDS EM FNDTMTL		
43673SHS PIMCO FUNDS INTL		
13847SHS ARTISAN FDS GLOBAL VALUE	205,671	212,408
5404SHS FIRS EAGLE FDS GLOBAL CL A	248,401	289,735
40525SHS JP MORGAN TR II HIGH YLD BD	333,417	323,392
17151SHS PIMCO FDS HIGH YIELD	163,707	164,824
57134SHS PIMCO FUNDS SPTCKSPPLUS FD	567,657	559,342

TY 2013 Land, Etc. Schedule

Name: THE CLARE FOUNDATION

EIN: 36-4134738

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	1,510	1,510		

TY 2013 Other Expenses Schedule

Name: THE CLARE FOUNDATION

EIN: 36-4134738

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
ILLINOIS CHARITY BUREAU	115			
ILLINOIS SECRETARY OF STATE	10			
OTHER DEDUCTIONS - K-1'S	1,730	1,730		

TY 2013 Other Income Schedule

Name: THE CLARE FOUNDATION

EIN: 36-4134738

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROCKFORD LIMITED PARTNERSHIP	61		61

TY 2013 Other Professional Fees Schedule

Name: THE CLARE FOUNDATION

EIN: 36-4134738

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BROKER MANAGEMENT FEES	19,640	19,640		
TRUSTEE MANAGEMENT FEES	21,600			

TY 2013 Taxes Schedule

Name: THE CLARE FOUNDATION

EIN: 36-4134738

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FED INCOME TAXES	1,226			
INCOME TAXES - NEW MEXICO	25			
INCOME TAXES - PA	202			
INCOME TAXES - KANSAS	360			
INCOME TAXES - VIRGINIA	90			

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE ADJUSTMENT TO BASIS ² (BOX 5)	WASH SALE LOSS DISALLOWED ³ (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF) ⁴
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B); FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
JPM HI YLD SEL QTY (BOX 1E) 38324 863	4812C0803	VARIOUS	05/31/13	316,946.78	311,336.54	5,610.24	0.00	16.13	0.00	
JPM HI YLD SEL QTY (BOX 1E) 19449 709	4812C0803	07/18/13	08/27/13	156,764.65	159,682.11	0.00	0.00	0.00	(2,917.46)	
JPM SHRT DUR BD SEL QTY (BOX 1E) 29132 863	4812C1330	VARIOUS	07/18/13	317,839.54	318,712.67	(873.13)	0.00	0.00	0.00	
JPM SHRT DUR BD SEL QTY (BOX 1E) 14391 322	4812C1330	VARIOUS	09/18/13	157,009.32	156,865.32	144.00	0.00	0.00	0.00	
LAZARD DEV MKT EQ I QTY (BOX 1E) 20920 355	52106N475	09/18/13	11/01/13	249,993.74	244,354.25	5,639.49	0.00	0.00	0.00	
SIERRA CORE RETIRE A QTY (BOX 1E) 200.427	66537T570	VARIOUS	05/22/13	4,790.09	4,723.65	66.44	0.00	0.00	0.00	
PIMCO EM FNDMTL IDXPLS P QTY (BOX 1E) 6788.467	72201M115	08/31/12	01/31/13	71,271.96	67,079.37	4,192.59	0.00	0.00	0.00	
PIMCO EM FNDMTL IDXPLS P QTY (BOX 1E) 15793.091	72201M115	VARIOUS	03/19/13	159,189.86	165,336.76	(6,146.90)	0.00	0.00	0.00	
PIMCO EM FNDMTL IDXPLS P QTY (BOX 1E) 24345 002	72201M115	VARIOUS	06/04/13	237,115.82	250,744.32	(13,628.50)	0.00	0.00	0.00	
PIMCO EM FNDMTL IDXPLS P QTY (BOX 1E) 26507 965	72201M115	08/14/13	08/26/13	236,446.55	245,203.18	(8,756.63)	0.00	0.00	0.00	
PIMCO ALL ASSET AUTH P QTY (BOX 1E) 7405 238	72201M438	07/18/12	05/07/13	82,494.35	79,607.87	2,886.48	0.00	0.00	0.00	
PIMCO ALL ASSET AUTH P QTY (BOX 1E) 15197 746	72201M438	VARIOUS	05/10/13	168,543.00	163,826.25	4,716.75	0.00	0.00	0.00	

¹ These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

² Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

³ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

⁴ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 1907-3673

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS GAIN OR (LOSS) (BOX 3)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS ¹ (BOX 5)	WASH SALE LOSS DISALLOWED ² (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF) ⁴
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued									
PIMCO STRPLS P QTY (BOX 1E): 5591 175	72201M578	01/31/13	04/30/13	52,557 05	49,146.44	3,410 61	0 00	0 00	0 00
PIMCO STRPLS P QTY (BOX 1E): 32930 377	72201M578	VARIOUS	09/30/13	319,424 66	304,023 42	15,401 24	0 00	0 00	0 00
PIMCO SMCP S/PL AR P QTY (BOX 1E): 22658 264	72201M566	VARIOUS	12/31/13	219,105 41	240,971 98	(21,866 57)	0 00	0 00	0 00
PIMCO SHRT TRM P QTY (BOX 1E): 8245 631	72201M594	07/02/13	07/31/13	81,054 55	80,972.10	82 45	0 00	0 00	0 00
PIMCO SHRT TRM P QTY (BOX 1E): 8248 992	72201M594	08/30/13	09/30/13	81 252 57	80,922.62	329 95	0 00	0 00	0 00
PIMCO INT S/PL AR UNH P QTY (BOX 1E): 14106 272	72201M693	VARIOUS	02/28/13	94,653 09	88,582 62	6,070 47	0 00	0 00	0 00
PIMCO INT S/PL AR UNH P QTY (BOX 1E): 10052 575	72201M693	VARIOUS	03/28/13	68,256 98	65,655 06	2,601 92	0 00	0 00	0 00
PIMCO INT S/PL AR UNH P QTY (BOX 1E): 21450 730	72201M693	VARIOUS	05/31/13	147,581 02	145,070 26	2,510 76	0 00	0 00	0 00
PIMCO INT S/PL AR UNH P QTY (BOX 1E): 5362 683	72201M693	04/30/13	06/28/13	34,803 81	38,611 31	(3,807 50)	0 00	0 00	0 00
PIMCO INT S/PL AR UNH P QTY (BOX 1E): 15689 102	72201M693	09/30/13	11/26/13	117,354 48	112,804 65	4,549 83	0 00	0 00	0 00
PIMCO INT S/PL AR UNH P QTY (BOX 1E): 31214 045	72201M693	VARIOUS	11/29/13	234,417 48	224,978 40	9,439 08	0 00	0 00	0 00
PIMCO INCM P QTY (BOX 1E): 126 768	72201M719	05/10/13	07/02/13	1,549 09	1,623 88	0 00	0 00	(74 79)	0 00
PIMCO INCM P QTY (BOX 1E): 6499 427	72201M719	05/10/13	07/02/13	79,423 01	83,257 68	(3,834 67)	0 00	0 00	0 00
PIMCO INCM P QTY (BOX 1E): 6657 716	72201M719	VARIOUS	08/30/13	80,558 36	85,301 65	(4,743 29)	0 00	74 79	0 00

¹ These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

² Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

³ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

⁴ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WFIT Guide online for details.

1099-B, continued

Account Number: 1907-3673

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS ²	WASH SALE LOSS DISALLOWED ³ (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF) ⁴
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
PIMCO FGN BD UNHDBG P QTY (BOX 1E) 8114.476	72201M776	VARIOUS	11/01/13	82,605.37	81,634.67	970.70	0.00	0.00	0.00	0.00
PIMCO EMRG MKT BD P QTY (BOX 1E) 1.421	72201M818	11/01/13	11/29/13	15.74	16.15	0.00	0.00	0.00	(0.41)	
PIMCO EMRG MKT BD P QTY (BOX 1E) 7263.783	72201M818	11/01/13	11/29/13	80,482.72	82,589.22	(2,106.50)	0.00	0.00	0.00	
PROFDS US GOV PLS INVS QTY (BOX 1E) 2792.008	74318A620	04/01/13	04/30/13	140,242.56	133,346.28	6,896.28	0.00	0.00	0.00	
PROFDS US GOV PLS INVS QTY (BOX 1E) 5012.033	74318A620	06/05/13	08/14/13	205,894.32	232,257.63	(26,363.31)	0.00	0.00	0.00	
TOTAL SHORT-TERM COVERED				4,279,637.93	4,299,238.31	(16,607.72)	0.00	90.92	(2,992.66)	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
SIERRA CORE RETIRE A QTY (BOX 1E) 123.155	66537T570	VARIOUS	05/22/13	2,943.31	2,829.50	113.81	0.00	0.00	0.00	
TOTAL LONG-TERM COVERED				2,943.31	2,829.50	113.81	0.00	0.00	0.00	
NON-COVERED (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
SIERRA CORE RETIRE A QTY (BOX 1E) 6507.672	66537T570	12/09/11	05/22/13	155,529.07	149,615.88	5,913.19	0.00	0.00	0.00	
TOTAL LONG-TERM NON-COVERED				155,529.07	149,615.88	5,913.19	0.00	0.00	0.00	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				4,438,110.31	4,451,683.69	(10,580.72)	0.00	90.92	(2,992.66)	

¹ These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

² Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

³ The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

⁴ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 1907-3673

DETAILS - PROCEEDS FROM CHANGES IN CORPORATE CONTROL OR CAPITAL STRUCTURE

This section includes cash and stock received from mergers, redemptions (including those redeemed at maturity), tenders, liquidations, cash received in lieu of fractional shares, bankruptcies and any other transaction where a price per share is not available due to the transaction initiated by the issuer and not by you.

SECURITY DESCRIPTION (BOX 6)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	CASH OR STOCK RECEIVED IN REPORTABLE CHANGE IN CONTROL OR CAPITAL STRUCTURE (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS) ²	IF CHECKED, LOSS NOT ALLOWED IN BOX 2A ³	ACTIVITY TYPE (BOX 2B)	WASH SALE LOSS DISALLOWED ⁴	COLLECTIBLES ALLOCATION FACTOR (CAF) ⁵ (BOX 5)
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
PIMCO EMRG MKT BD P QTY (BOX 1E) 1 421	72201M818	11/01/13	12/05/13	15.62	16.16	(0.54)		REDEMPTION	0.00	
TOTAL SHORT-TERM COVERED				15.62	16.16	(0.54)			0.00	
TOTAL PROCEEDS FROM CHANGES IN CONTROL AND CAPITAL STRUCTURE (BOX 2A)										
				15.62	16.16	(0.54)			0.00	

¹ These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013-1099 Tax Guide online for more information on covered securities.

² For principal payments from REMICs or other fixed income securities, LPL Financial defaults to the accounting method of recognizing gain or loss at the final redemption or disposition of the asset. You may instead elect a different accounting method and recognize gain or loss on every principal paydown, which would override or nullify the gain or loss amount shown on this statement. Should you choose the latter, please consult with your tax professional on calculating the actual gain or loss amount for your tax return.

³ A loss may not be taken on any cash and stock received from this merger.

⁴ The amounts listed in this column represent the losses you incurred from wash sales triggered by the timing of redemptions and reinvestments, which cannot be deducted from your tax return.

⁵ Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFFT Guide online for details.