Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
ALBERT J. AND CLAIRE R. SPEH FOUNDATION
C/O KEVIN MALINGER, EXECUTIVE DIRECTOR

A Employer identification number
36-4118596

Number and street (or P O box number if mail is not delivered to street address)
10700 W. HIGGINS RD

Room/suite
250

B Telephone number
(847) 299-7011

City or town, state or province, country, and ZIP or foreign postal code
ROSEMONT, IL 60018

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 9,993,033.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	57,008.	57,008.		STATEMENT 1
	4 Dividends and interest from securities	150,484.	150,484.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	335,610.			
	b Gross sales price for all assets on line 6a 3,158,320.				
	7 Capital gain net income (from Part IV, line 2)		335,610.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	1,568.	0.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	544,670.	543,102.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	153,539.	15,354.		138,185.
	14 Other employee salaries and wages	6,732.	673.		6,059.
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	4,850.	2,425.		2,425.
	c Other professional fees STMT 5	115,539.	97,703.		17,836.
	17 Interest				
	18 Taxes STMT 6	38,339.	26,509.		11,430.
	19 Depreciation and depletion	9,635.	0.		
	20 Occupancy	9,478.	2,369.		7,109.
	21 Travel, conferences, and meetings	2,205.	551.		1,654.
	22 Printing and publications	447.	224.		223.
	23 Other expenses STMT 7	24,004.	6,018.		17,986.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	364,768.	151,826.		202,907.
	25 Contributions, gifts, grants paid	537,982.			537,982.
	26 **Total expenses and disbursements.** Add lines 24 and 25	902,750.	151,826.		740,889.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-358,080.			
	b **Net investment income** (if negative, enter -0-)		391,276.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	3,468,333.	2,916,188.	2,916,188.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	3,431,731.	3,913,487.	3,913,487.
	c Investments - corporate bonds STMT 10	366,594.	256,457.	256,457.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	1,954,315.	2,595,321.	2,595,321.
	14 Land, buildings, and equipment: basis ▶ 460,027.			
	Less accumulated depreciation STMT 12▶ 148,447.	319,900.	311,580.	311,580.
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	9,540,873.	9,993,033.	9,993,033.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	9,540,873.	9,993,033.	
	30 Total net assets or fund balances	9,540,873.	9,993,033.	
	31 Total liabilities and net assets/fund balances	9,540,873.	9,993,033.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,540,873.
2 Enter amount from Part I, line 27a	2	-358,080.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	810,240.
4 Add lines 1, 2, and 3	4	9,993,033.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,993,033.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	3,158,320.		2,822,710.	335,610.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				335,610.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	335,610.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	665,037.	9,300,894.	.071502
2011	775,885.	9,953,786.	.077949
2010	535,657.	9,974,239.	.053704
2009	689,966.	9,820,938.	.070255
2008	890,983.	11,670,921.	.076342

2 Total of line 1, column (d)	2	.349752
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.069950
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	9,416,301.
5 Multiply line 4 by line 3	5	658,670.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,913.
7 Add lines 5 and 6	7	662,583.
8 Enter qualifying distributions from Part XII, line 4	8	740,889.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	3,913.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,913.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	3,913.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 1,756.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	1,756.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	2,157.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.SPEH.ORG

14 The books are in care of ▶ KEVIN MALINGER Telephone no. ▶ 847-299-7011
Located at ▶ 10700 W. HIGGINS RD, STE 250, ROSEMONT, IL ZIP+4 ▶ 60018

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		153,539.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,133,601.
b	Average of monthly cash balances	1b	2,426,095.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	9,559,696.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,559,696.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	143,395.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,416,301.
6	**Minimum investment return** Enter 5% of line 5	6	470,815.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	470,815.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,913.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,913.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	466,902.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	466,902.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	466,902.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	740,889.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	740,889.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,913.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	736,976.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				466,902.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 312,891.				
b From 2009 202,633.				
c From 2010 39,457.				
d From 2011 281,950.				
e From 2012 203,280.				
f Total of lines 3a through e	1,040,211.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 740,889.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				466,902.
e Remaining amount distributed out of corpus	273,987.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,314,198.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	312,891.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	1,001,307.			
10 Analysis of line 9:				
a Excess from 2009 202,633.				
b Excess from 2010 39,457.				
c Excess from 2011 281,950.				
d Excess from 2012 203,280.				
e Excess from 2013 273,987.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 14

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ACADEMY OF SCHOLASTIC ACHIEVEMENT 4651 W MADISON ST CHICAGO, IL 60644	N/A	N/A	GENERAL SUPPORT	5,000.
ALBANY PARK THEATER PROJECT P.O. BOX 25072 CHICAGO, IL 60625	N/A	N/A	YOUTH DEVELOPMENT THROUGH THEATRE	12,500.
ALTERNATIVES, INC 4730 N SHERIDAN RD CHICAGO, IL 60640	N/A	N/A	GENERAL SUPPORT	20,000.
ARAB AMERICAN ACTION NETWORK 6400 S KEDZIE AVENUE CHICAGO, IL 60629	N/A	N/A	GENERAL SUPPORT	5,000.
BRIGHTON PARK NEIGHBORHOOD COUNCIL 4477 S ARCHER AVENUE CHICAGO, IL 60632	N/A	N/A	YOUTH ORGANIZING INTIATIVES	25,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	537,982.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	57,008.	
4 Dividends and interest from securities			14	150,484.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	335,610.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a NON-DIVIDEND					
b DISTRIBUTIONS			01	1,568.	
c FEDERAL TAX REFUND			01		
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		544,670.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . 13 544,670.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5-14-14 Title: EXECUTIVE DIRECTOR

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CATHERINE M. KANE	Catherine Kane	5-7-14		P00404366

Firm's name ▶ CLIFTONLARSONALLEN LLP Firm's EIN ▶ 41-0746749

Firm's address ▶ 1301 W. 22ND ST, STE 1100 OAK BROOK, IL 60523 Phone no. (630) 573-8600

Form **990-PF** (2013)

323622 10-10-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BY THE HAND CLUB FOR KIDS 1000 N SEDGWICK CHICAGO, IL 60610	N/A	N/A	COLLEGE READINESS INTITIATIVE	5,000.
CARE PACKAGE COALTION 444 E ROOSEVELT RD, SUITE 349 LOMBARD, IL 60148	N/A	N/A	GENERAL SUPPORT	10,500.
CENTER ON HALSTED 3656 N HALSTED ST CHICAGO, IL 60613	N/A	N/A	GENERAL SUPPORT	7,500.
DONORS FORUM OF CHICAGO 208 SOUTH LASALLE SUITE 740 CHICAGO, IL 60604	N/A	N/A	GENERAL SUPPORT	3,258.
EAST VILLAGE YOUTH PROGRAM 3643 W BELMONT ST CHICAGO, IL 60618	N/A	N/A	COLLEGE READINESS & SUPPORT	10,000.
EASTER SEALS 401 S IVY STREET ESCONDIDOS, CA 92025	N/A	N/A	GENERAL SUPPORT	2,500.
FAMILY MATTERS 7731 N MARSHFIELD AVENUE CHICAGO, IL 60626	N/A	N/A	POSTSECONDARY READINESS INTITIATIVE	10,000.
GATEHELP INC P.O. BOX 2217 ATASCADERO, CA 93423	N/A	N/A	GENERAL SUPPORT	5,000.
JUVENILE DIABETES RESEARCH FOUNDATION 1450 CENTREPARK BLVD #330 W PALM BEACH, FL 33401	N/A	N/A	GENERAL SUPPORT	2,500.
LITERATURE FOR ALL OF US 2010 DEWEY AVE EVANSTON, IL 60201	N/A	N/A	SIMPSON ACADEMY OF YOUNG WOMEN	7,500.
Total from continuation sheets				470,482.

323631
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MERCY HOME FOR BOYS AND GIRLS 1140 W. JACKSON BLVD CHICAGO, IL 60607	N/A	N/A	GENERAL SUPPORT	30,154.
MERIT SCHOOL OF MUSIC 38 SOUTH PEORIA STREET CHICAGO, IL 60607	N/A	N/A	GENERAL SUPPORT	10,000.
NOBLE NETWORK OF CHARTER SCHOOLS 1 NORTH STATE ST, FLOOR 7-L CHICAGO, IL 60602	N/A	N/A	GENERAL SUPPORT	15,000.
NORTH LAWNDALE COLLEGE PREPARATORY CHARTER HIGH SCHOOL 1616 S SPAULDING CHICAGO, IL 60623	N/A	N/A	GENERAL SUPPORT	15,000.
OLD TOWN SCHOOL OF FOLK MUSIC 4544 N LINCOLN AVE CHICAGO, IL 60625	N/A	N/A	GENERAL SUPPORT	5,000.
OMNI YOUTH SERVICES 1111 W. LAKE COOK ROAD BUFFALO GROVE, IL 60089	N/A	N/A	GENERAL SUPPORT	10,000.
PERSPECTIVES CHARTER SCHOOL 1530 S STATE ST CHICAGO, IL 60605	N/A	N/A	CAPITAL CAMPAIGN	15,000.
POSSE FOUNDATION 111 W JACKSON, SUITE 1900 CHICAGO, IL 60604	N/A	N/A	GENERAL SUPPORT	30,000.
PROJECT EXPLORATION 950 E 61ST STREET CHICAGO, IL 60637	N/A	N/A	SCIENCE EDUCATION PROGRAM	20,000.
RAINBOWS 1360 HAMILTON PARKWAY ITASCA, IL 60143	N/A	N/A	GENERAL SUPPORT	5,000.
Total from continuation sheets				

323831
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
STANDUP FOR KIDS - CHICAGO P.O. BOX 6076 WILMETTE, IL 60091	N/A	N/A	AQUISITION OF INDOOR SPACE TO CONDUCT YOUTH OUTREACH & SKILL DEVELOPMENT ACTIVITIES	16,570.
STORY CATCHERS THEATRE 544 W OAK STREET, SUITE 1005 CHICAGO, IL 60610	N/A	N/A	GENERAL SUPPORT	10,000.
TARGET HOPE 4713 BLARNEY DR MATTESON, IL 60443	N/A	N/A	GENERAL SUPPORT	20,000.
TEEN PARENT CONNECTION 739 ROOSEVELT RD, BLDG 8, STE 100 GLEN ELLYN, IL 60137	N/A	N/A	GENERAL SUPPORT	15,000.
UMOJA STUDENT DEVELOPMENT CORPORATION 954 W WASHINGTON BLVD #225 CHICAGO, IL 60607	N/A	N/A	GENERAL SUPPORT	20,000.
URBAN PREP ACADEMIES 420 N WABASH STE 203 CHICAGO, IL 60611	N/A	N/A	GENERAL SUPPORT	120,000.
YOUNG CHICAGO AUTHORS 1180 N MILWAUKEE AVE, 2ND FLR CHICAGO, IL 60622	N/A	N/A	GENERAL SUPPORT	10,000.
YOUNG MEN'S EDUCATIONAL NETWORK 1241 S PULASKI CHICAGO, IL 60623	N/A	N/A	GENERAL SUPPORT	30,000.
YOUNG WOMEN'S LEADERSHIP CHARTER SCHOOL 2641 CALUMET STREET CHICAGO, IL 60616	N/A	N/A	CARE & COLLEGE PREP PROGRAM	10,000.
Total from continuation sheets				

323631
05-01-13

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME	57,008.	57,008.	
TOTAL TO PART I, LINE 3	57,008.	57,008.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	253,653.	103,169.	150,484.	150,484.	
TO PART I, LINE 4	253,653.	103,169.	150,484.	150,484.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
NON-DIVIDEND DISTRIBUTIONS	1,568.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,568.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,850.	2,425.		2,425.
TO FORM 990-PF, PG 1, LN 16B	4,850.	2,425.		2,425.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	79,867.	79,867.		0.
CONSULTING FEES	35,672.	17,836.		17,836.
TO FORM 990-PF, PG 1, LN 16C	115,539.	97,703.		17,836.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	400.	0.		0.
FOREIGN TAXES	6,657.	6,657.		0.
PAYROLL TAXES	12,700.	1,270.		11,430.
REAL ESTATE TAXES	18,582.	18,582.		0.
TO FORM 990-PF, PG 1, LN 18	38,339.	26,509.		11,430.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	600.	0.		600.
ILLINOIS FILING FEES	25.	13.		12.
SUPPLIES	843.	422.		421.
POSTAGE	808.	202.		606.
TELEPHONE	3,562.	891.		2,671.
UTILITIES	2,689.	672.		2,017.
INSURANCE	13,077.	3,269.		9,808.
OFFICE CLEANING	1,680.	420.		1,260.
BANK FEES	120.	60.		60.
AGENCY PROCESSING FEES	3.	3.		0.
REPAIRS	265.	66.		199.
SEMINARS	332.	0.		332.
TO FORM 990-PF, PG 1, LN 23	24,004.	6,018.		17,986.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
UNREALIZED GAIN(LOSS) ON INVESTMENTS	810,240.
TOTAL TO FORM 990-PF, PART III, LINE 3	810,240.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
OPPENHEIMER	3,913,487.	3,913,487.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,913,487.	3,913,487.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
OPPENHEIMER	256,457.	256,457.
TOTAL TO FORM 990-PF, PART II, LINE 10C	256,457.	256,457.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OPPENHEIMER	FMV	2,595,321.	2,595,321.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,595,321.	2,595,321.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 12

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
DESKS	6,745.	6,745.	0.
SIGN	483.	483.	0.
CREDENZA AND DISPLAY CASE	850.	850.	0.
CABINET	2,136.	2,136.	0.
SOFTWARE	705.	695.	10.
COMPUTER	16,592.	16,592.	0.
COMPUTER	1,398.	1,398.	0.
DESKS	101.	101.	0.
CABINET	199.	199.	0.
SOFTWARE	297.	296.	1.
DATA CART	234.	234.	0.
COMPUTERS	2,498.	2,498.	0.
COPY MACHINE	450.	450.	0.
COMPUTER & SCANNER	1,730.	1,730.	0.
OFFICE FURNITURE	11,695.	11,695.	0.
COPIER	425.	425.	0.
BUILDING	291,993.	60,832.	231,161.
LAND	72,998.	0.	72,998.
FURNITURE	905.	905.	0.
IMPROVEMENTS	7,017.	1,432.	5,585.
OFFICE FURNITURE	950.	950.	0.
LAPTOP	2,025.	2,025.	0.
COMPUTER	1,550.	1,550.	0.
COMPUTER	826.	826.	0.
FILING CABINETS	448.	448.	0.
OFFICE FURNITURE	1,131.	1,131.	0.
SERVER	10,674.	10,674.	0.
COMPUTER HARDWARE	1,360.	1,360.	0.
COMPUTER SOFTWARE	494.	494.	0.
COMPUTER & HARDWARE	865.	716.	149.
COMPUTER SOFTWARE	1,056.	1,056.	0.
COMPUTER HARDWARE	10,932.	10,932.	0.
COMPUTER SOFTWARE	1,790.	1,790.	0.
COMPUTER HARDWARE	4,801.	3,649.	1,152.
COMPUTER SOFTWARE	359.	319.	40.
COMPUTER HARDWARE	699.	420.	279.
COMPUTER SOFTWARE	616.	411.	205.
TOTAL TO FM 990-PF, PART II, LN 14	460,027.	148,447.	311,580.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MICHELLE SHARKO 3001 GLACIER CT ST CHARLES, IL 60174	DIRECTOR 1.00	0.	0.	0.
LAWRENCE J. SPEH 17 MARINA GARDENS DRIVE PALM BEACH GARDENS, FL 33410	DIRECTOR 1.00	0.	0.	0.
KATHLEEN MALINGER 430 CIRCLE LANE LAKE FOREST, IL 60045	DIRECTOR 1.00	0.	0.	0.
LYNETTE MALINGER-WILEY 315 COLUMBIA STREET BROOKLYN, NY 11231	DIRECTOR 1.00	0.	0.	0.
JONATHAN SPEH 159 E. WALTON PLACE #5G CHICAGO, IL 60611	DIRECTOR 1.00	26,539.	0.	0.
SHANNON NEAL 1440 SYRAH CT TEMPLETON, CA 93465	DIRECTOR 1.00	0.	0.	0.
ALBERT J. SPEH IV 2730 NE PINECREST LAKES BLVD JENSEN BEACH, FL 34957	DIRECTOR 1.00	0.	0.	0.
ALANNA ANDRUSZKIEWICZ 1 S 685 SCHOOL ST LOMBARD, IL 60148	DIRECTOR 32.00	50,000.	0.	0.
ERIK JORGENSEN 2912 45TH STREET DES MOINES, IA 50310	DIRECTOR 1.00	0.	0.	0.
MEGAN JORGENSEN 2538 GARDENS PARKWAY PALM BEACH GARDENS, FL 33410	DIRECTOR 1.00	0.	0.	0.
KEVIN MALINGER 303 MORGAN LANE FOX RIVER GROVE, IL 60021	EXECUTIVE DIRECTOR 40.00	77,000.	0.	0.

LORENE CARAVELLO 909 S. HI LUSI AVE MOUNT PROSPECT, IL 60056	DIRECTOR 1.00	0.	0.	0.
JUSTIN BENNETT 1440 SYRAH CT TEMPLETON, CA 93465	DIRECTOR 1.00	0.	0.	0.
MATTHEW SHARKO 3001 GLACIER CT ST CHARLES, IL 60174	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		153,539.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 14
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ALBERT J. AND CLAIRE R. SPEH FOUNDATION, ATTN: KEVIN MALINGER
10700 W. HIGGINS RD, STE 250
ROSEMONT, IL 60018

TELEPHONE NUMBER

847-299-7011

FORM AND CONTENT OF APPLICATIONS

A LETTER OF INTENT MUST BE SUBMITTED 60 DAYS PRIOR TO GRANT APPLICATION DEAD LINE. THE FOUNDATION WILL THEN DECIDE WHETHER THEY WILL REQUEST A GRANT APPLICATION

ANY SUBMISSION DEADLINES

GRANT APPLICATION DEADLINES ARE MARCH 15 AND JULY 15

RESTRICTIONS AND LIMITATIONS ON AWARDS

REQUESTS MUST BE MADE FOR PROGRAMS WHICH DIRECTLY IMPACT YOUTH BETWEEN THE AGES OF 13 - 19, MUST BE MADE BY A 501(C)(3) ORG., CHICAGO METRO REGION, ORG MUST HAVE STRONG COMMUNITY SUPPORT, HAVE MEANINGFUL OBJECTIVES AND REALISTIC BUDGETS. THEY MUST ALSO DEMONSTRATE COMPETENT LEADERSHIP AND PROVIDE FOR PERIODIC EVALUATION OF THEIR PROGRAMS.

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	DESKS	07/15/98	200DB	7.00	HY	17	6,745.				6,745.	6,745.		0.	6,745.
2	SIGN	07/15/98	200DB	7.00	HY	17	483.				483.	483.		0.	483.
6	CREDENZA AND DISPLAY CASE	04/03/00	200DB	7.00	HY	17	850.				850.	850.		0.	850.
7	CABINET	05/31/00	200DB	7.00	HY	17	2,136.				2,136.	2,136.		0.	2,136.
8	SOFTWARE	08/28/01	SL	3.00	HY	16	705.				705.	695.		0.	695.
9	COMPUTER	11/27/01	200DB	5.00	HY	17	16,592.				16,592.	16,592.		0.	16,592.
10	COMPUTER	09/12/01	200DB	5.00	HY	17	1,398.				1,398.	1,398.		0.	1,398.
11	DESKS	05/22/02	200DB	7.00	HY	17	101.				101.	101.		0.	101.
13	CABINET	01/28/03	200DB	7.00	HY	17	199.			60.	139.	139.		0.	139.
14	SOFTWARE	10/08/03	SL	3.00	HY	16	297.			149.	148.	147.		0.	147.
15	DATA CART	03/27/03	200DB	7.00	HY	17	234.			70.	164.	164.		0.	164.
16	COMPUTERS	01/09/03	200DB	5.00	HY	17	2,498.			749.	1,749.	1,749.		0.	1,749.
17	COPY MACHINE	06/05/03	200DB	5.00	HY	17	450.			225.	225.	225.		0.	225.
18	COMPUTER & SCANNER	04/22/04	200DB	5.00	HY	17	1,730.			865.	865.	865.		0.	865.
19	OFFICE FURNITURE	10/05/05	200DB	7.00	MQ	17	11,695.				11,695.	11,695.		0.	11,695.
20	COPIER	06/14/05	200DB	5.00	MQ	17	425.				425.	425.		0.	425.
21	BUILDING	11/30/05	SL	39.00	MM	17	291,993.				291,993.	53,345.		7,487.	60,832.
22	LAND	11/30/05	L				72,998.				72,998.			0.	

328111
05-01-13

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
23	FURNITURE	11/17/05	200DB	7.00	MQ	17	905.				905.	905.		0.	905.
24	IMPROVEMENTS	01/11/06	SL	39.00	MM	17	7,017.				7,017.	1,252.		180.	1,432.
25	OFFICE FURNITURE	01/26/06	200DB	7.00	HY	17	950.				950.	908.		42.	950.
26	LAPTOP	04/13/06	200DB	5.00	HY	17	2,025.				2,025.	2,025.		0.	2,025.
27	COMPUTER	09/13/06	200DB	5.00	HY	17	1,550.				1,550.	1,550.		0.	1,550.
28	COMPUTER	11/16/06	200DB	5.00	HY	17	826.				826.	826.		0.	826.
29	FILING CABINETS	02/15/06	200DB	7.00	HY	17	448.				448.	428.		20.	448.
30	OFFICE FURNITURE	03/07/06	200DB	7.00	HY	17	1,131.				1,131.	1,081.		50.	1,131.
31	SERVER	04/25/07	200DB	5.00	HY	17	10,674.				10,674.	10,674.		0.	10,674.
42	COMPUTER HARDWARE	09/10/09	200DB	5.00	HY	17	1,360.		1,360.					0.	
43	COMPUTER SOFTWARE	06/30/09	200DB	3.00	HY	17	494.		494.					0.	
54	COMPUTER & HARDWARE	07/14/10	200DB	5.00	HY	17	865.				865.	616.		100.	716.
66	COMPUTER SOFTWARE	06/30/10	200DB	3.00	HY	17	1,056.				1,056.	978.		78.	1,056.
77	COMPUTER HARDWARE	06/30/11	200DB	5.00	HY	17	10,932.			10,932.				0.	
78	COMPUTER SOFTWARE	06/30/11	200DB	3.00	HY	17	1,790.			1,790.				0.	
89	COMPUTER HARDWARE	06/30/12	200DB	5.00	HY	17	4,801.			2,401.	2,400.	480.		768.	1,248.
90	COMPUTER SOFTWARE	06/30/12	200DB	3.00	HY	17	359.			180.	179.	60.		79.	139.
101	COMPUTER HARDWARE	06/30/13	200DB	5.00	HY	19B	699.			350.	349.			420.	70.

328111
05-01-13

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
102	COMPUTER SOFTWARE	06/30/13	200DB	3.00	HY	19A	616.			308.	308.			411.	103.
	* TOTAL 990-PF PG 1 DEPR						460,027.		1,854.	18,079.	440,094.	119,537.		9,635.	128,514.

328111
05-01-13

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization 990-PF

(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment Sequence No 179

Name(s) shown on return	Business or activity to which this form relates	Identifying number
ALBERT J. AND CLAIRE R. SPEH FOUNDATION C/O KEVIN MALINGER, EXECUTIVE DIRECTOR	FORM 990-PF PAGE 1	36-4118596

Part I Election To Expense Certain Property Under Section 179 Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	658.
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III **MACRS Depreciation (Do not** include listed property) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	8,804.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property		308.	3 YRS.	HY	200DB	103.
b 5-year property		349.	5 YRS.	HY	200DB	70.
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs	MM	S/L	
	/		27 5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b)	(c)	(d)	(e)	(f)	(g)
20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs	MM	S/L	

Part IV **Summary** (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return Partnerships and S corporations - see instr.	22	9,635.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.*)

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use						25		
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use:								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons.

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year:					
43 Amortization of costs that began before your 2013 tax year				43	
44 Total. Add amounts in column (f). See the instructions for where to report				44	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SECURITIES LITIGATION INCOME	P		
b	OPPENHEIMER #G40-0104134	P	01/01/13	12/31/13
c	OPPENHEIMER #G40-0104134	P	01/01/12	12/31/13
d	OPPENHEIMER #G40-0107178	P	01/01/13	12/31/13
e	OPPENHEIMER #G40-0107178	P	01/01/12	12/31/13
f	OPPENHEIMER #G40-0109422	P	01/01/13	12/31/13
g	OPPENHEIMER #G40-0109422	P	01/01/12	12/31/13
h	OPPENHEIMER #G40-0123092	P	01/01/13	12/31/13
i	OPPENHEIMER #G40-0123092	P	01/01/12	12/31/13
j	OPPENHEIMER #G40-0123464	P	01/01/13	12/31/13
k	OPPENHEIMER #G40-1156968	P	01/01/13	12/31/13
l	OPPENHEIMER #G40-1355586	P	01/01/12	12/31/13
m	OPPENHEIMER #G40-1355586	P	01/01/13	12/31/13
n	OPPENHEIMER #G40-1387530	P	01/01/12	12/31/13
o	OPPENHEIMER #G40-1387530	P	01/01/13	12/31/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	53.			53.
b	1,596.		1,054.	542.
c	15,841.		9,660.	6,181.
d	16,050.		11,201.	4,849.
e	211,596.		222,315.	-10,719.
f	218,664.		186,666.	31,998.
g	314,204.		261,353.	52,851.
h	4,081.		3,715.	366.
i	147,701.		145,408.	2,293.
j	80,784.		50,885.	29,899.
k	1,150,000.		1,150,005.	-5.
l	65,240.		63,254.	1,986.
m	132,032.		103,006.	29,026.
n	10,069.		9,564.	505.
o	185,287.		148,766.	36,521.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				53.
b				542.
c				6,181.
d				4,849.
e				-10,719.
f				31,998.
g				52,851.
h				366.
i				2,293.
j				29,899.
k				-5.
l				1,986.
m				29,026.
n				505.
o				36,521.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	OPPENHEIMER #G40-1416099	P	01/01/12	12/31/13
b	OPPENHEIMER #G40-1416099	P	01/01/13	12/31/13
c	CAPITAL GAINS DIVIDENDS			
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	306,848.		285,439.	21,409.
b	195,105.		170,419.	24,686.
c	103,169.			103,169.
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				21,409.
b				24,686.
c				103,169.
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	335,610.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

Oppenheimer & Co. Inc. Account G400104134

Supplemental Information

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

Refer to the 1099-B and PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses The amounts shown below are for informational purposes

Term*	Category	Detail Schedule	Gross Proceeds #	Cost Basis +	Wash Sale Loss Disallowed	Net Gain/Loss
Short	A (basis reported to the IRS)	Form 1099-B	1,595.95	1,053.56	0 00	542.39
Short	B (basis not reported to the IRS)	Form 1099-B	0 00	0 00	0 00	0 00
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0.00	0.00	0.00
Total Short-term			1,595 95	1,053 56	0 00	542 39
Long	D (basis reported to the IRS)	Form 1099-B	442 26	254 22	0.00	188 04
Long	E (basis not reported to the IRS)	Form 1099-B	15,398.65	9,406.12	0 00	5,992 54
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0 00	0 00
Total Long-term			15,840 91	9,660 34	0.00	6,180 58
Undetermined	B or E (basis not reported to the IRS)	Form 1099-B	0 00	0 00	0.00	0 00
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0 00	0 00	0 00
Total Undetermined-term			0 00	0 00	0 00	0.00
		Total Categories A, B, D, E	**17,436.86**	**10,713.90**	**0.00**	**6,722.97**
		Total Category C, F	**0.00**	**0.00**	**0.00**	**0.00**
		Grand total	**17,436.86**	**10,713.90**	**0.00**	**6,722.97**

Withholding from Proceeds

Federal income tax withheld	0 00
State tax withheld	0 00

SUMMARY OF NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The items in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s)

Interest Payments and Other Adjustments

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0 00
Tax-exempt accrued interest paid AMT	0 00
Taxable accrued interest paid on OID bonds	0.00
Non qualified interest	0 00
Taxable non qualified interest paid	0.00
Projected interest shortfall on contingent payment debt	0.00

Other Receipts

Partnership distributions	0.00
Foreign tax paid - partnership distributions	0 00
Return of principal distributions	0.00
Deferred income payment	0.00

Expenses

Margin interest	0.00
Dividends paid - short position	0 00
Interest paid - short position	0.00
Non reportable distribution expense	0.00
Other expenses	0 00
Severance tax	0 00

Fees and Payments Received

Deemed premium	0.00
Organizational expense	0.00
Income accrual - UIT	0 00
Miscellaneous fees	3,826.32
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0.00

Options Transactions

Sales	0.00
Short sales	0.00
Purchases	0.00
Purchases to close	0.00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.
+Cost basis totals include only amounts that were available to us.

Oppenheimer & Co. Inc. Account G400104134

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* OMB No. 1545-0715

*1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol						These columns are not reported to the IRS	
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
SAFEWAY INC COM NEW / CUSIP 786514208 / Symbol SWY							
06/13/13	64 000	1,595 95	11/26/12	1,053.56		542 39	Sale

*1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol						These columns are not reported to the IRS	
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
HALLIBURTON CO / CUSIP 406216101 / Symbol HAL							
08/28/13	9.000	442.26	06/13/12	254 22	.	188.04	Sale

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol			These columns are not reported to the IRS				
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
COMCAST CORP NEW CL A / CUSIP. 20030N101 / Symbol CMCSA							
01/30/13	209 000	8,179.44	01/07/10	3,534 67		4,644 77	Sale
LOWES COS INC / CUSIP 548661107 / Symbol LOW							
07/02/13	40 000	1,699 63	10/05/09	813 88	.	885.75	Sale
SAFEWAY INC COM NEW / CUSIP. 786514208 / Symbol. SWY							
03/12/13	110.000	2,627.06	05/24/05	2,461.65	...	165.41	Sale
04/05/13	93 000	2,318 98	05/24/05	2,081.21		237 77	Sale
06/13/13	23.000	573.54	05/24/05	514.71	...	58.84	Sale
Security total		5,519 58		5,057 57	0 00	462.02	
Totals:		**15,398.65**		**9,406.12**	**0.00**	**5,992.54**	

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. Account G400107178

Supplemental Information

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

Refer to the 1099-B and PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses The amounts shown below are for informational purposes

Term*	Category	Detail Schedule	Gross Proceeds #	Cost Basis +	Wash Sale Loss Disallowed	Net Gain/Loss
Short	A (basis reported to the IRS)	Form 1099-B	16,048 82	11,200 77	0.00	4,848.05
Short	B (basis not reported to the IRS)	Form 1099-B	1 27	0.00	0 00	1.27
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0 00	0 00
Total Short-term			16,050.09	11,200.77	0.00	4,849.32
Long	D (basis reported to the IRS)	Form 1099-B	41,274 81	33,602 40	0 00	7,672 41
Long	E (basis not reported to the IRS)	Form 1099-B	170,321 20	188,712 44	0 00	-18,391 25
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0.00	0 00
Total Long-term			211,596.01	222,314.84	0.00	-10,718.84
Undetermined	B or E (basis not reported to the IRS)	Form 1099-B	0 00	0 00	0 00	0 00
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0 00	0.00	0 00
Total Undetermined-term			0 00	0 00	0 00	0 00
		Total Categories A, B, D, E	**227,646.10**	**233,515.61**	**0.00**	**-5,869.52**
		Total Category C, F	**0.00**	**0.00**	**0.00**	**0.00**
		Grand total	**227,646.10**	**233,515.61**	**0.00**	**-5,869.52**

Withholding from Proceeds

Federal income tax withheld	0.00
State tax withheld	0.00

SUMMARY OF NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The items in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s)

Interest Payments and Other Adjustments

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid AMT	0.00
Taxable accrued interest paid on OID bonds	0.00
Non qualified interest	0.00
Taxable non qualified interest paid	0.00
Projected interest shortfall on contingent payment debt	0.00

Other Receipts

Partnership distributions	0.00
Foreign tax paid - partnership distributions	0.00
Return of principal distributions	0.00
Deferred income payment	0 00

Expenses

Margin interest	0 00
Dividends paid - short position	0.00
Interest paid - short position	0.00
Non reportable distribution expense	0 00
Other expenses	0 00
Severance tax	0.00

Fees and Payments Received

Deemed premium	0.00
Organizational expense	0 00
Income accrual - UIT	0 00
Miscellaneous fees	11,735.07
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0.00

Options Transactions

Sales	0.00
Short sales	0 00
Purchases	0.00
Purchases to close	0 00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes
+Cost basis totals include only amounts that were available to us.

Oppenheimer & Co. Inc. Account G400107178

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
BANCO SANTANDER BRASIL S A ADS REP 1 UNIT / CUSIP. 05967A107 / Symbol BSBR							
08/22/13	0 000	0.15	08/22/13	0 00	..	0.15	Cash in lieu
CEMEX SAB DE CV SPON ADR NEW / CUSIP 151290889 / Symbol CX							
04/30/13	0 000	0 90	04/30/13	0.00	...	0.90	Cash in lieu
FIRST PAC LTD SPONSORED ADR / CUSIP 335889200 / Symbol FPAFY							
07/15/13	0 000	22.94	07/15/13	0.00	..	22 94	Cash in lieu
SEIKO EPSON CORP ADR / CUSIP 81603X108 / Symbol SEKEY							
10/18/13	225 000	2,098 58	02/22/13	1,069 09	.	1,029.49	Sale
DAIMLER AG REG SHS / CUSIP. D1668R123 / Symbol. DDAIF							
02/26/13	35 000	2,028.20	07/11/12	1,511 54	...	516.66	Sale
SEAGATE TECHNOLOGY PLC / CUSIP G7945M107 / Symbol· STX							
02/26/13	90 000	2,807.03	10/03/12	2,698 58	...	108.45	Sale
05/08/13	35 000	1,477.31	10/03/12	1,049 45	...	427 86	Sale
2 tax lots for 05/30/13 Total proceeds (and cost when required) reported to the IRS							
	40 000	*1,764 72*	*10/03/12*	*1,199 37*		*565 35*	*Sale*
	10 000	*441 18*	*11/07/12*	*298 40*		*142 78*	*Sale*
05/30/13	50.000	2,205 90	VARIOUS	1,497.77	0 00	708.13	Total of 2 lots
3 tax lots for 07/22/13. Total proceeds (and cost when required) reported to the IRS							
	50 000	*2,351 22*	*11/07/12*	*1,491 99*		*859 23*	*Sale*
	20 000	*940 49*	*11/20/12*	*536 40*		*404 09*	*Sale*
	45 000	*2,116 10*	*12/28/12*	*1,345 95*	.	*770 15*	*Sale*
07/22/13	115 000	5,407 81	VARIOUS	3,374 34	0 00	2,033.47	Total of 3 lots
	Security total·	11,898.05		8,620 14	0.00	3,277.91	
	Totals:	**16,048.82**		**11,200.77**	**0.00**	**4,848.05**	

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

\# Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. | Account G400107178

Proceeds from Broker and Barter Exchange Transactions
(continued)

2013 1099-B* | OMB No. 1545-0715

SHORT-TERM TRANSACTIONS *6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS (Date of acquisition through Additional information)

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of# stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
BARCLAYS PLC RIGHTS / CUSIP 06738E121 / Symbol							
09/23/13	0 000	1.27	09/23/13	0 00	..	1.27	Cash in lieu

1c - LONG-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS (Gain or loss(-), Additional information)

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of# stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
AKZO NOBEL NV SPONSORED ADR / CUSIP· 010199305 / Symbol AKZOY							
	2 tax lots for 02/15/13 Total proceeds (and cost when required) reported to the IRS						
	90 000	*2,086 85*	*10/18/11*	*1,450 75*		*636 11*	*Sale*
	180 000	*4,173 71*	*10/18/11*	*2,901 49*		*1,272 21*	*Sale*
02/15/13	270.000	6,260.56	VARIOUS	4,352 24	0 00	1,908.32	Total of 2 lots
BANCO DO BRASIL S A SPONSORED ADR / CUSIP· 059578104 / Symbol BDORY							
02/26/13	335.000	4,257.75	01/10/11	6,210.23	..	-1,952.48	Sale
HSBC HLDGS PLC SPON ADR NEW / CUSIP 404280406 / Symbol HSBC							
02/26/13	45.000	2,432.64	10/20/11	1,801 65	...	630.99	Sale
HONDA MOTOR LTD AMERN SHS / CUSIP 438128308 / Symbol HMC							
02/26/13	115.000	4,219.48	03/24/11	4,360.27	...	-140.79	Sale
SEVEN & I HLDGS CO LTD ADR / CUSIP 81783H105 / Symbol SVNDY							
03/21/13	90.000	5,709.79	04/08/11	4,344.60	...	1,365.19	Sale
STMICROELECTRONICS N V NY REGISTRY / CUSIP 861012102 / Symbol STM							
01/08/13	105.000	794 91	12/15/11	584 52		210 39	Sale
01/17/13	65 000	533 53	12/15/11	361 85	...	171.68	Sale
01/25/13	910 000	7,798 61	12/15/11	5,065.88	...	2,732.73	Sale
	Security total	9,127.05		6,012 25	0 00	3,114.80	
SWISS RE LTD SPONSORED ADR / CUSIP· 870886108 / Symbol· SSREY							
02/26/13	45 000	3,545.92	06/23/11	2,478.44	...	1,067.48	Sale

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G400107178

Proceeds from Broker and Barter Exchange Transactions
(continued)

2013 1099-B* OMB No 1545-0715

*1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***
Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS (Gain or loss(-), Additional information)

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
WOLTERS KLUWER N V SPONSORED ADR / CUSIP 977874205 / Symbol WTKWY							
	2 tax lots for 12/13/13 Total proceeds (and cost when required) reported to the IRS						
	27.000	*746 03*	*05/24/11*	*618 45*		*127 58*	*Sale*
	36 000	*994 70*	*05/22/12*	*594 05*	.	*400 65*	*Sale*
12/13/13	63 000	1,740 73	VARIOUS	1,212.50	0 00	528.23	Total of 2 lots
FLEXTRONICS INTL LTD ORD / CUSIP. Y2573F102 / Symbol FLEX							
08/08/13	220 000	2,002 14	07/11/11	1,415 11	...	587.03	Sale
08/23/13	220 000	1,978.75	07/11/11	1,415.11	...	563 64	Sale
	Security total·	3,980 89		2,830 22	0 00	1,150.67	
	Totals:	**41,274.81**		**33,602.40**	**0.00**	**7,672.41**	

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***
Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS (Date of acquisition, Cost or other basis, Wash sale loss disallowed)

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
AEGON N V NY REGISTRY SH / CUSIP· 007924103 / Symbol AEG							
	2 tax lots for 08/01/13 Total proceeds (and cost when required) reported to the IRS						
	120.000	*936.45*	*06/08/07*	*2,356 51*	.	*-1,420.06*	*Sale*
	10 000	*78 04*	*06/29/07*	*197 83*		*-119 79*	*Sale*
08/01/13	130 000	1,014 49	VARIOUS	2,554 34	0 00	-1,539 85	Total of 2 lots
08/14/13	195.000	1,515.00	06/29/07	3,857 63	...	-2,342.63	Sale
10/18/13	180.000	1,457 86	06/29/07	3,560 89		-2,103 03	Sale
11/15/13	120.000	1,018.88	06/29/07	2,373 92	...	-1,355 04	Sale
	3 tax lots for 11/25/13. Total proceeds (and cost when required) reported to the IRS						
	220 000	*1,940 16*	*06/29/07*	*4,352 19*		*-2,412 03*	*Sale*
	36 000	*317.48*	*09/14/07*	*692.87*		*-375 39*	*Sale*
	19 000	*167 56*	*02/13/08*	*266 70*		*-99 14*	*Sale*
11/25/13	275 000	2,425.20	VARIOUS	5,311 76	0 00	-2,886.56	Total of 3 lots
12/19/13	165 000	1,476.34	02/13/08	2,316.06	...	-839.72	Sale
	Security total.	8,907.77		19,974 60	0 00	-11,066.83	

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. — Account G400107178

Proceeds from Broker and Barter Exchange Transactions

(continued)

2013 1099-B* — OMB No. 1545-0715

LONG-TERM TRANSACTIONS *6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
AKZO NOBEL NV SPONSORED ADR / CUSIP 010199305 / Symbol AKZOY							
01/15/13	40.000	907.66	10/11/04	474 60	...	433.06	Sale
02/15/13	135.000	3,130 28	10/11/04	1,601 76		1,528.52	Sale
	Security total.	4,037.94		2,076 36	0 00	1,961.58	
ASTELLAS PHARMA INC ADR / CUSIP. 04623U102 / Symbol. ALPMY							
02/26/13	60 000	3,167.92	07/01/10	1,996.19	...	1,171.73	Sale
05/08/13	25.000	1,461.71	07/01/10	831 75	...	629.96	Sale
	Security total·	4,629 63		2,827.94	0 00	1,801 69	
BARCLAYS PLC ADR / CUSIP. 06738E204 / Symbol BCS							
01/18/13	25 000	470 85	11/07/07	1,079.74	...	-608 89	Sale
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	35 000	*627.22*	*11/07/07*	*1,511 64*		*-884 42*	*Sale*
	220 000	*3,942 53*	*06/10/08*	*5,607 23*		*-1,664 70*	*Sale*
02/26/13	255 000	4,569.75	VARIOUS	7,118.87	0 00	-2,549.12	Total of 2 lots
	Security total	5,040 60		8,198 61	0 00	-3,158.01	
CARREFOUR SA SPONSORED ADR / CUSIP 144430204 / Symbol CRRFY							
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	970 000	*5,111 78*	*05/13/09*	*7,872 42*		*-2,760 64*	*Sale*
	420 000	*2,213 35*	*05/18/09*	*3,301 45*		*-1,088 10*	*Sale*
02/26/13	1,390 000	7,325 13	VARIOUS	11,173 87	0.00	-3,848 74	Total of 2 lots
12/03/13	130 000	996 64	05/18/09	1,021 88	...	-25.24	Sale
	Security total	8,321.77		12,195 75	0 00	-3,873 98	
DEUTSCHE TELEKOM AG SPONSORED ADR / CUSIP· 251566105 / Symbol. DTEGY							
09/27/13	315.000	4,584.84	08/11/06	4,306.05	..	278.79	Sale
10/17/13	60.000	957.15	08/11/06	820 20		136.95	Sale
	Security total·	5,541.99		5,126.25	0 00	415.74	
ENI S P A SPONSORED ADR / CUSIP 26874R108 / Symbol E							
	2 tax lots for 01/22/13 Total proceeds (and cost when required) reported to the IRS						
	60 000	*3,102 27*	*08/12/09*	*2,730 47*		*371 80*	*Sale*

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS
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Oppenheimer & Co. Inc. | Account G400107178

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* | (continued) | OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ENI S P A SPONSORED ADR / CUSIP 26874R108 / Symbol E (cont'd)							
	20 000	*1,034.09*	*10/09/09*	*1,013 32*		*20 77*	*Sale*
01/22/13	80 000	4,136 36	VARIOUS	3,743 79	0 00	392 57	Total of 2 lots
FUJIFILM HLDGS CORP ADR 2 ORD / CUSIP 35958N107 / Symbol FUJIY							
12/02/13	80.000	2,169 64	08/15/08	2,471 54	...	-301.90	Sale
GLAXOSMITHKLINE PLC SPONSORED ADR / CUSIP 37733W105 / Symbol GSK							
02/26/13	55 000	2,433 14	08/08/07	2,944 76	...	-511.62	Sale
H LUNDBECK A S SPONS ADR L 1 / CUSIP 40422M206 / Symbol HLUYY							
12/23/13	340.000	8,359 60	05/25/07	8,451 58		-91 98	Sale
KINGFISHER PLC SPON ADR PAR / CUSIP 495724403 / Symbol KGFHY							
	2 tax lots for 06/13/13 Total proceeds (and cost when required) reported to the IRS						
	190 000	*2,033 49*	*10/10/07*	*1,503 47*		*530 02*	*Sale*
	125 000	*1,337 83*	*05/06/08*	*685 86*		*651 96*	*Sale*
06/13/13	315.000	3,371 32	VARIOUS	2,189 33	0 00	1,181.98	Total of 2 lots
09/04/13	595.000	7,331 04	05/06/08	3,264.71	..	4,066.33	Sale
	Security total	10,702.36		5,454 04	0 00	5,248 31	
MARKS & SPENCER GROUP PLC SPONSORED ADR / CUSIP 570912105 / Symbol MAKSY							
09/05/13	105.000	1,616 17	02/20/08	1,686 99	..	-70.82	Sale
NIPPON TELEG & TEL CORP SPONSORED ADR / CUSIP 654624105 / Symbol NTT							
05/17/13	100 000	2,637.02	02/18/05	2,167 67	...	469.35	Sale
	3 tax lots for 07/02/13. Total proceeds (and cost when required) reported to the IRS						
	30 000	*776 40*	*02/18/05*	*650 30*		*126 10*	*Sale*
	80 000	*2,070 39*	*06/28/07*	*1,779 04*		*291 35*	*Sale*
	10 000	*258 80*	*07/27/07*	*223 78*		*35 02*	*Sale*
07/02/13	120 000	3,105 59	VARIOUS	2,653 12	0 00	452.47	Total of 3 lots
	Security total	5,742.61		4,820.79	0 00	921.82	

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS

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Oppenheimer & Co. Inc.

Account G400107178

Proceeds from Broker and Barter Exchange Transactions

(continued)

2013 1099-B*

OMB No. 1545-0715

LONG-TERM TRANSACTIONS *6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
PORTUGAL TELECOM SGPS S A SPONSORED ADR / CUSIP. 737273102 / Symbol PT							
02/26/13	150 000	757 63	08/22/01	1,000 50		-242 87	Sale
SANOFI SPONSORED ADR / CUSIP 80105N105 / Symbol SNY							
	3 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	30 000	*1,398 57*	*02/02/07*	*1,324 80*		*73 77*	*Sale*
	20 000	*932 38*	*02/09/07*	*872 33*		*60 05*	*Sale*
	25 000	*1,165 47*	*02/28/07*	*1,066 76*		*98 72*	*Sale*
02/26/13	75.000	3,496.42	VARIOUS	3,263.89	0.00	232.54	Total of 3 lots
04/15/13	10 000	527 06	02/28/07	426.70		100.36	Sale
Security total		4,023.48		3,690 59	0 00	332.90	
SEIKO EPSON CORP ADR / CUSIP 81603X108 / Symbol SEKEY							
	2 tax lots for 09/27/13 Total proceeds (and cost when required) reported to the IRS						
	400 000	*3,374 18*	*04/17/06*	*5,639 36*		*-2,265 18*	*Sale*
	200 000	*1,687.09*	*12/13/06*	*2,585 46*		*-898 37*	*Sale*
09/27/13	600 000	5,061.27	VARIOUS	8,224.82	0.00	-3,163.55	Total of 2 lots
10/15/13	55 000	503 00	12/13/06	711.00		-208.00	Sale
10/18/13	585.000	5,456 31	12/13/06	7,562.47	..	-2,106.16	Sale
Security total		11,020 58		16,498.29	0.00	-5,477.71	
SEVEN & I HLDGS CO LTD ADR / CUSIP 81783H105 / Symbol SVNDY							
03/21/13	5.000	317 21	11/19/10	251 83	...	65.38	Sale
SONY CORP ADR NEW / CUSIP. 835699307 / Symbol SNE							
	2 tax lots for 01/23/13 Total proceeds (and cost when required) reported to the IRS						
	110 000	*1,459 68*	*08/26/05*	*3,718 74*		*-2,259 06*	*Sale*
	50 000	*663 49*	*12/07/06*	*2,013 01*		*-1,349 52*	*Sale*
01/23/13	160.000	2,123.17	VARIOUS	5,731 75	0.00	-3,608 58	Total of 2 lots
	3 tax lots for 02/04/13 Total proceeds (and cost when required) reported to the IRS						
	340 000	*5,352 36*	*12/07/06*	*13,688 47*	.	*-8,336 11*	*Sale*
	40 000	*629 69*	*04/08/08*	*1,614 00*		*-984 31*	*Sale*

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Oppenheimer & Co. Inc. | Account G400107178

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* | (continued) | OMB No. 1545-0715

LONG-TERM TRANSACTIONS* 6 - *NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
SONY CORP ADR NEW / CUSIP: 835699307 / Symbol SNE (cont'd)							
	30 000	*472.27*	*04/16/08*	*1,233 22*	.	*-760 95*	*Sale*
02/04/13	410 000	6,454 32	VARIOUS	16,535.69	0.00	-10,081 37	Total of 3 lots
Security total		8,577.49		22,267 44	0 00	-13,689 95	
STMICROELECTRONICS N V NY REGISTRY / CUSIP· 861012102 / Symbol STM							
01/08/13	90 000	681.36	07/13/06	1,333.97	..	-652.62	Sale
SUMITOMO MITSUI FINL GROUP INC SPONSORED ADR / CUSIP 86562M209 / Symbol SMFG							
02/26/13	230.000	1,823 85	09/19/07	3,271 06	.	-1,447 21	Sale
11/15/13	795.000	8,005.83	09/19/07	11,306 49	..	-3,300.66	Sale
Security total.		9,829 68		14,577 55	0 00	-4,747 87	
TDK CORP AMERN DEP SH / CUSIP 872351408 / Symbol TTDKY							
2 tax lots for 11/26/13 Total proceeds (and cost when required) reported to the IRS							
	40 000	*1,839 13*	*11/30/07*	*2,871 53*		*-1,032 40*	*Sale*
	90 000	*4,138 03*	*09/09/08*	*4,807 65*		*-669 62*	*Sale*
11/26/13	130 000	5,977.16	VARIOUS	7,679 18	0 00	-1,702.02	Total of 2 lots
TAKEDA PHARMACEUTICAL CO LTD SPONSORED ADR / CUSIP 874060205 / Symbol TKPYY							
02/26/13	110.000	2,840 13	07/07/08	2,771.09	...	69.04	Sale
TOKIO MARINE HOLDINGS INC ADR / CUSIP 889094108 / Symbol. TKOMY							
02/26/13	85.000	2,456.44	06/29/05	2,325.40	...	131.04	Sale
TOTAL S A SPONSORED ADR / CUSIP 89151E109 / Symbol TOT							
11/01/13	20 000	1,202.81	03/31/10	1,158 14	.	44 67	Sale
12/19/13	50 000	2,933 80	03/31/10	2,895 35	.	38.45	Sale
Security total		4,136.61		4,053 49	0.00	83.12	
TOYOTA MOTOR CORP SP ADR REP2COM / CUSIP 892331307 / Symbol TM							
02/26/13	45 000	4,582 69	06/17/10	3,247.29	..	1,335.40	Sale
2 tax lots for 05/08/13 Total proceeds (and cost when required) reported to the IRS							
	5 000	*597.74*	*06/17/10*	*360 81*	..	*236.93*	*Sale*

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Oppenheimer & Co. Inc.

Account G400107178

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B*

(continued)

OMB No. 1545-0715

LONG-TERM TRANSACTIONS *6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

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TOYOTA MOTOR CORP SP ADR REP2COM / CUSIP 892331307 / Symbol TM (cont'd)							
	30.000	*3,586 41*	*08/24/10*	*2,114 46*	.	*1,471 95*	*Sale*
05/08/13	35.000	4,184.15	VARIOUS	2,475.27	0 00	1,708 88	Total of 2 lots
11/11/13	3 000	378.55	08/24/10	211 45	...	167.10	Sale
	Security total	9,145.39		5,934.01	0 00	3,211.38	
UNILEVER N V N Y SHS NEW / CUSIP 904784709 / Symbol UN							
02/26/13	105 000	4,051 96	06/07/06	2,322.38		1,729.58	Sale
2 tax lots for 04/25/13 Total proceeds (and cost when required) reported to the IRS							
	20 000	*828 05*	*06/07/06*	*442 36*		*385 70*	*Sale*
	60 000	*2,484 17*	*05/05/09*	*1,238 50*		*1,245 66*	*Sale*
04/25/13	80 000	3,312 22	VARIOUS	1,680 86	0 00	1,631 36	Total of 2 lots
05/08/13	40 000	1,681 56	05/05/09	825 67	. .	855.89	Sale
	Security total·	9,045 74		4,828 91	0 00	4,216.83	
WOLTERS KLUWER N V SPONSORED ADR / CUSIP. 977874205 / Symbol WTKWY							
10/01/13	100.000	2,595.33	08/05/02	1,413.49	...	1,181.84	Sale
2 tax lots for 11/07/13 Total proceeds (and cost when required) reported to the IRS							
	110 000	*2,965 48*	*08/05/02*	*1,554 84*		*1,410 64*	*Sale*
	50 000	*1,347 94*	*05/08/03*	*661 50*	..	*686 44*	*Sale*
11/07/13	160.000	4,313.42	VARIOUS	2,216.34	0.00	2,097.08	Total of 2 lots
5 tax lots for 12/13/13 Total proceeds (and cost when required) reported to the IRS.							
	20 000	*552 61*	*05/08/03*	*264 60*		*288 01*	*Sale*
	65 000	*1,795 99*	*05/10/05*	*1,154 09*	..	*641.90*	*Sale*
	45 000	*1,243 38*	*05/25/06*	*1,162 00*		*81 38*	*Sale*
	38.000	*1,049 96*	*05/15/09*	*636 88*		*413 08*	*Sale*
	34 000	*939 44*	*05/11/10*	*675 58*		*263 86*	*Sale*
12/13/13	202.000	5,581 38	VARIOUS	3,893.15	0 00	1,688 23	Total of 5 lots
	Security total	12,490 13		7,522.98	0.00	4,967.15	
TE CONNECTIVITY LTD REG SHS / CUSIP H84989104 / Symbol TEL							
07/11/13	70 000	3,294.01	07/20/07	2,617.04	...	676.97	Sale
07/23/13	40 000	1,920.62	07/20/07	1,495.45	..	425 17	Sale

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Oppenheimer & Co. Inc. Account G400107178

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

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TE CONNECTIVITY LTD REG SHS / CUSIP H84989104 / Symbol TEL (cont'd)							
11/06/13	100 000	5,237 68	07/20/07	3,738.63		1,499.05	Sale
	Security total	10,452 31		7,851.12	0 00	2,601.19	
UBS AG SHS NEW / CUSIP H89231338 / Symbol UBS							
02/26/13	440 000	6,930.28	06/24/10	6,153 09	.	777 19	Sale
	Totals:	**170,321.20**		**188,712.44**	**0.00**	**-18,391.25**	

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Oppenheimer & Co. Inc.

Account G400109422

Supplemental Information

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

Refer to the 1099-B and PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses The amounts shown below are for informational purposes

Term*	Category	Detail Schedule	Gross Proceeds#	Cost Basis+	Wash Sale Loss Disallowed	Net Gain/Loss
Short	A (basis reported to the IRS)	Form 1099-B	218,664 04	186,666.01	0.00	31,998.04
Short	B (basis not reported to the IRS)	Form 1099-B	0 00	0 00	0 00	0 00
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0 00	0 00
Total Short-term			218,664 04	186,666 01	0.00	31,998 04
Long	D (basis reported to the IRS)	Form 1099-B	193,373 51	172,585 84	0 00	20,787.67
Long	E (basis not reported to the IRS)	Form 1099-B	120,830.14	88,766 63	0 00	32,063.47
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0 00	0.00	0 00
Total Long-term			314,203 65	261,352.47	0.00	52,851.14
Undetermined	B or E (basis not reported to the IRS)	Form 1099-B	0.00	0.00	0.00	0 00
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0 00	0.00	0 00
Total Undetermined-term			0 00	0 00	0 00	0.00
		Total Categories A, B, D, E	**532,867.69**	**448,018.48**	**0.00**	**84,849.18**
		Total Category C, F	**0.00**	**0.00**	**0.00**	**0.00**
		Grand total	**532,867.69**	**448,018.48**	**0.00**	**84,849.18**

Withholding from Proceeds

Federal income tax withheld	0.00
State tax withheld	0 00

SUMMARY OF NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The items in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s).

Interest Payments and Other Adjustments

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0 00
Tax-exempt accrued interest paid AMT	0 00
Taxable accrued interest paid on OID bonds	0.00
Non qualified interest	0 00
Taxable non qualified interest paid	0.00
Projected interest shortfall on contingent payment debt	0 00

Other Receipts

Partnership distributions	0 00
Foreign tax paid - partnership distributions	0.00
Return of principal distributions	0.00
Deferred income payment	0.00

Expenses

Margin interest	0.00
Dividends paid - short position	0 00
Interest paid - short position	0.00
Non reportable distribution expense	0 00
Other expenses	0.00
Severance tax	0 00

Fees and Payments Received

Deemed premium	0.00
Organizational expense	0.00
Income accrual - UIT	0 00
Miscellaneous fees	10,857.95
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0.00

Options Transactions

Sales	0.00
Short sales	0.00
Purchases	0.00
Purchases to close	0.00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term
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+Cost basis totals include only amounts that were available to us.

Oppenheimer & Co. Inc. Account G400109422

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* OMB No 1545-0715

1c - SHORT-TERM TRANSACTIONS ***6 - COVERED tax lot for which cost basis is reported to the IRS****

Report on Form 8949, Part I, with Box A checked

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AETNA INC NEW / CUSIP 00817Y108 / Symbol. AET							
05/09/13	19.000	1,126 48	06/21/12	779.65	...	346.83	Sale
AIR PRODS & CHEMS INC / CUSIP 009158106 / Symbol APD							
08/08/13	28 000	2,985 17	05/20/13	2,664 82	.	320 35	Sale
10/01/13	132 000	14,079.52	05/20/13	12,562 70		1,516.82	Sale
Security total		17,064 69		15,227 52	0.00	1,837.17	
CST BRANDS INC / CUSIP 12646R105 / Symbol CST							
05/02/13	0.000	23 15	05/02/13	0.00	. .	23 15	Cash in lieu
2 tax lots for 05/09/13. Total proceeds (and cost when required) reported to the IRS.							
	22 000	*671 74*	*11/02/12*	*496.50*	.	*175 24*	*Sale*
	19 000	*580 14*	*11/12/12*	*445 45*		*134 69*	*Sale*
05/09/13	41 000	1,251 88	VARIOUS	941.95	0 00	309.93	Total of 2 lots
Security total		1,275 03		941 95	0 00	333.08	
CAPITAL ONE FINL CORP / CUSIP 14040H105 / Symbol· COF							
05/09/13	55.000	3,247.67	01/31/13	3,098 83	.	148.84	Sale
10/07/13	30.000	2,077.01	01/31/13	1,690 27	...	386.74	Sale
Security total		5,324.68		4,789.10	0.00	535.58	
DARDEN RESTAURANTS INC / CUSIP· 237194105 / Symbol DRI							
05/09/13	85.000	4,502 34	02/01/13	3,996 29		506 05	Sale
2 tax lots for 10/17/13 Total proceeds (and cost when required) reported to the IRS							
	63 000	*3,264 09*	*02/01/13*	*2,961.96*		*302 13*	*Sale*
	226 000	*11,709 28*	*02/06/13*	*10,648 37*		*1,060 91*	*Sale*
10/17/13	289 000	14,973.37	VARIOUS	13,610.33	0.00	1,363.04	Total of 2 lots
Security total		19,475.71		17,606.62	0 00	1,869.09	
DEVON ENERGY CORP NEW / CUSIP 25179M103 / Symbol DVN							
07/29/13	72.000	3,943 94	05/02/13	4,079 22	...	-135.28	Sale
EXPRESS SCRIPTS HLDG CO / CUSIP· 30219G108 / Symbol ESRX							
05/09/13	65.000	4,000 01	03/19/13	3,828 32		171.69	Sale

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Oppenheimer & Co. Inc. Account G400109422

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

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FORD MTR CO DEL COM PAR $0 01 / CUSIP. 345370860 / Symbol F							
01/11/13	325 000	4,541 02	11/02/12	3,669 87	.	871.15	Sale
05/09/13	475 000	6,740 57	11/02/12	5,363 65		1,376.92	Sale
07/08/13	20.000	336 39	11/02/12	225.84	..	110.55	Sale
07/10/13	160 000	2,681 55	11/02/12	1,806.70	...	874.85	Sale
	Security total	14,299 53		11,066.06	0 00	3,233.47	
GOOGLE INC CL A / CUSIP 38259P508 / Symbol GOOG							
05/09/13	8.000	7,001.44	11/02/12	5,537 26	...	1,464.18	Sale
LIFE TECHNOLOGIES CORP / CUSIP· 53217V109 / Symbol LIFE							
01/18/13	38.000	2,308 06	10/26/12	1,851.93	.	456.13	Sale
02/22/13	222 000	12,928.50	10/26/12	10,819.14		2,109.36	Sale
	Security total	15,236.56		12,671.07	0 00	2,565.49	
MERCK & CO INC NEW / CUSIP 58933Y105 / Symbol· MRK							
05/09/13	75.000	3,413 17	04/24/13	3,604 02	...	-190.85	Sale
MICROSOFT CORP / CUSIP 594918104 / Symbol MSFT							
04/11/13	246 000	7,072 81	11/06/12	7,399.31	..	-326.50	Sale
04/22/13	64.000	1,978.83	11/06/12	1,925.02	...	53.81	Sale
	3 tax lots for 05/01/13 Total proceeds (and cost when required) reported to the IRS						
	108 000	*3,542 34*	*11/06/12*	*3,248 48*		*293 86*	*Sale*
	222.000	*7,281 48*	*11/12/12*	*6,306 29*	.	*975 19*	*Sale*
	228.000	*7,478 28*	*01/23/13*	*6,267 72*		*1,210 56*	*Sale*
05/01/13	558 000	18,302.10	VARIOUS	15,822.49	0 00	2,479.61	Total of 3 lots
	Security total	27,353 74		25,146.82	0 00	2,206.92	
MOSAIC CO NEW / CUSIP· 61945C103 / Symbol MOS							
07/30/13	40 000	1,633 27	10/08/12	2,191 72	.	-558 45	Sale
NATIONAL OILWELL VARCO INC / CUSIP 637071101 / Symbol NOV							
05/09/13	35 000	2,383 09	02/28/13	2,394 07	..	-10.98	Sale
OCCIDENTAL PETE CORP DEL / CUSIP. 674599105 / Symbol OXY							
05/06/13	18.000	1,628.90	08/14/12	1,618.00	..	10.90	Sale

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Oppenheimer & Co. Inc. Account G400109422

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

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OCCIDENTAL PETE CORP DEL / CUSIP· 674599105 / Symbol OXY (cont'd)							
05/09/13	40 000	3,528 72	08/14/12	3,595 56	.	-66 84	Sale
12/11/13	70 000	6,470 42	06/21/13	6,262 93	.	207 49	Sale
	Security total	11,628.04		11,476 49	0.00	151 55	
PROCTER & GAMBLE CO / CUSIP 742718109 / Symbol PG							
01/31/13	70 000	5,238.11	06/19/12	4,370.77	...	867.34	Sale
05/09/13	45 000	3,520 27	06/19/12	2,809.78		710 49	Sale
	Security total·	8,758.38		7,180 55	0.00	1,577.83	
PRUDENTIAL FINL INC / CUSIP 744320102 / Symbol PRU							
05/09/13	31 000	2,027.97	06/21/12	1,490 38	.	537.59	Sale
10/01/13	90 000	7,017 57	02/08/13	5,137.06		1,880 51	Sale
	Security total	9,045.54		6,627 44	0 00	2,418.10	
REGIONS FINL CORP NEW / CUSIP· 7591EP100 / Symbol RF							
05/09/13	515 000	4,532 41	11/02/12	3,465.44		1,066 97	Sale
07/05/13	210 000	2,123 10	11/02/12	1,413.09		710 01	Sale
	Security total	6,655 51		4,878 53	0 00	1,776.98	
ROCKWELL AUTOMATION INC / CUSIP 773903109 / Symbol ROK							
05/09/13	45 000	3,958.56	10/26/12	3,117.80	..	840.76	Sale
STANLEY BLACK & DECKER INC / CUSIP 854502101 / Symbol SWK							
05/09/13	40 000	3,171.12	12/14/12	2,864 52	..	306 60	Sale
SUPERIOR ENERGY SVCS INC / CUSIP 868157108 / Symbol SPN							
02/25/13	92 000	2,369.51	02/29/12	2,691 94	...	-322.43	Sale
SYMANTEC CORP / CUSIP 871503108 / Symbol SYMC							
01/25/13	120 000	2,643 22	01/27/12	2,041 91	..	601.31	Sale
03/13/13	76 000	1,851 73	04/24/12	1,215 79		635.94	Sale
	Security total	4,494.95		3,257 70	0 00	1,237.25	
VALERO ENERGY CORP NEW / CUSIP 91913Y100 / Symbol VLO							
01/04/13	146.000	5,118 11	11/02/12	4,145.49		972.62	Sale
02/05/13	94.000	4,306.18	11/02/12	2,669.02	...	1,637.16	Sale

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Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

*1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
VALERO ENERGY CORP NEW / CUSIP 91913Y100 / Symbol VLO (cont'd)							
05/09/13	105 000	4,017 32	11/02/12	2,723.27	..	1,294.05	Sale
10/23/13	70 000	2,862.50	11/02/12	1,815.51	..	1,046 99	Sale
	Security total	16,304 11		11,353 29	0.00	4,950 82	
VODAFONE GROUP PLC NEW SPONS ADR NEW / CUSIP 92857W209 / Symbol VOD							
2 tax lots for 10/14/13 Total proceeds (and cost when required) reported to the IRS							
	82 000	*2,900 33*	*12/20/12*	*2,088 95*		*811 38*	*Sale*
	54 000	*1,909 97*	*06/21/13*	*1,472 63*		*437 34*	*Sale*
10/14/13	136 000	4,810.30	VARIOUS	3,561 58	0 00	1,248 72	Total of 2 lots
EATON CORP PLC / CUSIP G29183103 / Symbol ETN							
03/06/13	78 000	4,896.75	12/03/12	4,029.09	...	867.66	Sale
4 tax lots for 05/06/13 Total proceeds (and cost when required) reported to the IRS							
	36 000	*2,237 34*	*12/03/12*	*1,859 58*		*377 76*	*Sale*
	38 000	*2,361 63*	*12/03/12*	*1,962 89*		*398 74*	*Sale*
	42 000	*2,610 22*	*12/03/12*	*2,169 51*		*440 72*	*Sale*
	164 000	*10,192 31*	*12/03/12*	*8,471 42*	.	*1,720.89*	*Sale*
05/06/13	280.000	17,401.50	VARIOUS	14,463 40	0.00	2,938.11	Total of 4 lots
	Security total	22,298.25		18,492 49	0.00	3,805.77	
PARTNERRE LTD / CUSIP G6852T105 / Symbol PRE							
04/10/13	18 000	1,638 43	08/01/12	1,300 28	...	338.15	Sale
	Totals:	**218,664.04**		**186,666.01**	**0.00**	**31,998.04**	

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Oppenheimer & Co. Inc. Account G400109422

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

1c - LONG-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
ABBOTT LABS / CUSIP 002824100 / Symbol ABT							
05/09/13	22.000	795 94	02/03/11	484 11	...	311 83	Sale
ABBVIE INC / CUSIP: 00287Y109 / Symbol ABBV							
01/04/13	94.000	3,242.67	02/03/11	2,243.07	.	999.60	Sale
AETNA INC NEW / CUSIP. 00817Y108 / Symbol AET							
05/09/13	61 000	3,616 61	10/17/11	2,302 03		1,314.57	Sale
CARNIVAL CORP PAIRED CTF / CUSIP 143658300 / Symbol CCL							
05/09/13	65.000	2,286 64	02/17/12	2,014.38		272 26	Sale
DEVON ENERGY CORP NEW / CUSIP. 25179M103 / Symbol DVN							
05/09/13	80 000	4,663.09	04/30/12	5,527.78	...	-864.69	Sale
07/23/13	30.000	1,713 53	04/30/12	2,072.92	...	-359.39	Sale
3 tax lots for 07/29/13 Total proceeds (and cost when required) reported to the IRS							
	76 000	*4,163 05*	*04/30/12*	*5,251.40*		*-1,088 35*	*Sale*
	104 000	*5,696 80*	*05/09/12*	*6,663 70*		*-966 90*	*Sale*
	18.000	*985 98*	*06/19/12*	*1,040 41*		*-54 43*	*Sale*
07/29/13	198 000	10,845.83	VARIOUS	12,955.51	0 00	-2,109.68	Total of 3 lots
Security total		17,222 45		20,556.21	0 00	-3,333.76	
DOW CHEM CO / CUSIP 260543103 / Symbol DOW							
05/09/13	190.000	6,644.44	04/03/12	6,523.51		120.93	Sale
2 tax lots for 07/24/13. Total proceeds (and cost when required) reported to the IRS							
	97 000	*3,327 04*	*04/03/12*	*3,330 43*		*-3 39*	*Sale*
	63 000	*2,160 86*	*04/04/12*	*2,113 57*		*47.29*	*Sale*
07/24/13	160 000	5,487 90	VARIOUS	5,444.00	0 00	43 90	Total of 2 lots
09/17/13	60 000	2,384.39	04/04/12	2,012 92	.	371 47	Sale
Security total		14,516 73		13,980 43	0 00	536.30	
GOOGLE INC CL A / CUSIP 38259P508 / Symbol GOOG							
11/04/13	2.000	2,048.49	11/02/12	1,384.31	...	664.18	Sale
12/23/13	7 000	7,793 07	11/02/12	4,845 10		2,947 97	Sale
Security total		9,841.56		6,229.41	0.00	3,612.15	

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Oppenheimer & Co. Inc. Account G400109422

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

1c - LONG-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS: Gain or loss(-), Additional information

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of# stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
HALLIBURTON CO / CUSIP 406216101 / Symbol HAL							
05/09/13	5 000	217.79	10/18/11	172 82		44.97	Sale
09/09/13	30 000	1,503 95	10/18/11	1,036 93	.	467 02	Sale
	Security total·	1,721.74		1,209 75	0 00	511.99	
HOSPIRA INC / CUSIP 441060100 / Symbol HSP							
02/14/13	187.000	5,631.53	11/01/11	5,856.73	...	-225 20	Sale
MOSAIC CO NEW / CUSIP 61945C103 / Symbol MOS							
	2 tax lots for 05/09/13 Total proceeds (and cost when required) reported to the IRS						
	66 000	*4,216 64*	*06/22/11*	*4,244 01*		*-27 37*	*Sale*
	9 000	*575.00*	*06/27/11*	*587 49*		*-12 49*	*Sale*
05/09/13	75 000	4,791.64	VARIOUS	4,831 50	0 00	-39 86	Total of 2 lots
	3 tax lots for 07/30/13 Total proceeds (and cost when required) reported to the IRS						
	89 000	*3,634 02*	*06/27/11*	*5,809 64*		*-2,175 62*	*Sale*
	95 000	*3,879 01*	*06/30/11*	*6,386 43*	...	*-2,507.42*	*Sale*
	54 000	*2,204 91*	*02/09/12*	*3,035 95*		*-831 04*	*Sale*
07/30/13	238.000	9,717 94	VARIOUS	15,232 02	0 00	-5,514 08	Total of 3 lots
	Security total	14,509.58		20,063.52	0 00	-5,553.94	
OCCIDENTAL PETE CORP DEL / CUSIP· 674599105 / Symbol· OXY							
12/09/13	58 000	5,406 62	08/14/12	5,213.57	...	193.05	Sale
	2 tax lots for 12/11/13 Total proceeds (and cost when required) reported to the IRS						
	24 000	*2,218 43*	*08/14/12*	*2,157 34*	...	*61 09*	*Sale*
	80 000	*7,394 77*	*10/01/12*	*6,916 86*	.	*477.91*	*Sale*
12/11/13	104.000	9,613 20	VARIOUS	9,074 20	0 00	539.00	Total of 2 lots
	Security total	15,019 82		14,287 77	0 00	732.05	
ON SEMICONDUCTOR CORP / CUSIP 682189105 / Symbol ONNN							
	3 tax lots for 11/11/13 Total proceeds (and cost when required) reported to the IRS.						
	350 000	*2,477 60*	*08/24/11*	*2,486 51*		*-8 91*	*Sale*
	270 000	*1,911 29*	*05/21/12*	*1,863 00*	.	*48 29*	*Sale*

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Oppenheimer & Co. Inc. Account G400109422

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* **(continued)** OMB No 1545-0715

*1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
ON SEMICONDUCTOR CORP / CUSIP. 682189105 / Symbol ONNN (cont'd)							
	225 000	*1,592 75*	*09/13/12*	*1,524 83*	.	*67 92*	*Sale*
11/11/13	845 000	5,981 64	VARIOUS	5,874.34	0 00	107 30	Total of 3 lots
PRUDENTIAL FINL INC / CUSIP: 744320102 / Symbol PRU							
05/06/13	26 000	1,701 05	11/09/11	1,353 56	. .	347 49	Sale
05/09/13	29 000	1,897 14	11/09/11	1,509.74	. .	387.39	Sale
07/05/13	24 000	1,809 97	06/21/12	1,153.84		656.13	Sale
10/01/13	107.000	8,343.12	06/21/12	5,144.21	...	3,198.91	Sale
Security total		13,751 28		9,161.35	0 00	4,589.92	
ROCKWELL AUTOMATION INC / CUSIP 773903109 / Symbol ROK							
11/04/13	137 000	15,062 32	10/26/12	9,491 96		5,570 36	Sale
ROYAL DUTCH SHELL PLC SPONS ADR A / CUSIP 780259206 / Symbol· RDSA							
01/30/13	10.000	727.48	05/16/11	691.63	. .	35.85	Sale
05/09/13	25.000	1,729 21	05/16/11	1,729.06	..	0.15	Sale
2 tax lots for 06/12/13 Total proceeds (and cost when required) reported to the IRS							
	117 000	*7,733.92*	*05/16/11*	*8,092 02*		*-358 10*	*Sale*
	97 000	*6,411 89*	*05/25/11*	*6,687 40*		*-275 51*	*Sale*
06/12/13	214.000	14,145 81	VARIOUS	14,779 42	0 00	-633.61	Total of 2 lots
Security total		16,602.50		17,200 11	0 00	-597.61	
SUPERIOR ENERGY SVCS INC / CUSIP 868157108 / Symbol SPN							
05/09/13	115 000	3,295 82	02/29/12	3,364 92	. .	-69.10	Sale
SYMANTEC CORP / CUSIP 871503108 / Symbol SYMC							
03/13/13	284 000	6,919.61	01/27/12	4,832.52	.	2,087 10	Sale
05/09/13	130 000	3,164.25	04/24/12	2,079 64	...	1,084.61	Sale
Security total.		10,083.86		6,912.16	0 00	3,171.71	
VALERO ENERGY CORP NEW / CUSIP 91913Y100 / Symbol VLO							
2 tax lots for 12/09/13 Total proceeds (and cost when required) reported to the IRS							
	27 000	*1,246 35*	*11/02/12*	*700 27*		*546 09*	*Sale*

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Oppenheimer & Co. Inc. | Account G400109422

Proceeds from Broker and Barter Exchange Transactions
(continued)

2013 1099-B* | OMB No. 1545-0715

1c - LONG-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***
Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS (Gain or loss(-), Additional information)

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
VALERO ENERGY CORP NEW / CUSIP 91913Y100 / Symbol VLO (cont'd)							
	29 000	1,338 68	11/12/12	783 39	.	555 29	Sale
12/09/13	56 000	2,585 03	VARIOUS	1,483 66	0.00	1,101.38	Total of 2 lots
VODAFONE GROUP PLC NEW SPONS ADR NEW / CUSIP 92857W209 / Symbol VOD							
	2 tax lots for 05/01/13 Total proceeds (and cost when required) reported to the IRS						
	154 000	4,699 97	01/10/11	4,240.60	.	459.36	Sale
	8 000	244 15	01/13/11	219 08		25 08	Sale
05/01/13	162 000	4,944.12	VARIOUS	4,459 68	0 00	484.44	Total of 2 lots
05/09/13	105.000	3,134.28	01/13/11	2,875.40	...	258.88	Sale
09/04/13	76.000	2,454.75	01/13/11	2,081.24	..	373.51	Sale
	3 tax lots for 10/14/13 Total proceeds (and cost when required) reported to the IRS						
	59 000	2,086 82	01/13/11	1,615 70	.	471.12	Sale
	231 000	8,170 44	04/01/11	6,710 18		1,460 26	Sale
	40 000	1,414 79	09/13/12	1,152 40		262 39	Sale
10/14/13	330 000	11,672 05	VARIOUS	9,478 28	0 00	2,193 77	Total of 3 lots
	Security total	22,205.20		18,894 60	0 00	3,310 60	
PARTNERRE LTD / CUSIP G6852T105 / Symbol PRE							
04/10/13	151 000	13,744 61	01/25/12	9,591.35	...	4,153.26	Sale
FLEXTRONICS INTL LTD ORD / CUSIP. Y2573F102 / Symbol FLEX							
11/21/13	220.000	1,655 98	07/19/12	1,383.98	...	272.00	Sale
	Totals:	**193,373.51**		**172,585.84**	**0.00**	**20,787.67**	

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Oppenheimer & Co. Inc. Account G400109422

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ABBOTT LABS / CUSIP 002824100 / Symbol ABT							
	2 tax lots for 05/09/13 Total proceeds (and cost when required) reported to the IRS						
	28.000	*1,013 02*	*07/01/09*	*626 35*	.	*386 67*	*Sale*
	60 000	*2,170 75*	*04/21/10*	*1,491 52*		*679 23*	*Sale*
05/09/13	88 000	3,183 77	VARIOUS	2,117 87	0 00	1,065.90	Total of 2 lots
ABBVIE INC / CUSIP. 00287Y109 / Symbol ABBV							
	2 tax lots for 01/04/13 Total proceeds (and cost when required) reported to the IRS						
	28 000	*965 90*	*07/01/09*	*679 22*	.	*286.68*	*Sale*
	60 000	*2,069 79*	*04/21/10*	*1,617 42*		*452 37*	*Sale*
01/04/13	88 000	3,035.69	VARIOUS	2,296.64	0 00	739.05	Total of 2 lots
BAXTER INTL INC / CUSIP 071813109 / Symbol BAX							
	2 tax lots for 05/09/13 Total proceeds (and cost when required) reported to the IRS						
	54 000	*3,841.47*	*02/03/10*	*3,107.43*		*734 04*	*Sale*
	11 000	*782 52*	*04/22/10*	*555 49*		*227 03*	*Sale*
05/09/13	65 000	4,623 99	VARIOUS	3,662 92	0 00	961.07	Total of 2 lots
CVS CAREMARK CORPORATION / CUSIP. 126650100 / Symbol. CVS							
05/09/13	55 000	3,151.97	11/05/09	1,604.01	...	1,547.96	Sale
	2 tax lots for 12/16/13. Total proceeds (and cost when required) reported to the IRS.						
	10 000	*677 38*	*11/05/09*	*291 64*		*385 75*	*Sale*
	16 000	*1,083 82*	*07/07/10*	*461 03*	...	*622 79*	*Sale*
12/16/13	26.000	1,761.20	VARIOUS	752.67	0 00	1,008.54	Total of 2 lots
Security total		4,913 17		2,356 68	0.00	2,556 50	
HALLIBURTON CO / CUSIP 406216101 / Symbol HAL							
02/05/13	214 000	8,774.72	11/09/10	7,232.30	...	1,542.42	Sale
05/09/13	80 000	3,484 72	11/09/10	2,703.66		781.05	Sale
Security total		12,259 44		9,935.96	0 00	2,323.47	

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Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

LONG-TERM TRANSACTIONS *6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
HONEYWELL INTL INC / CUSIP. 438516106 / Symbol. HON							
2 tax lots for 01/25/13 Total proceeds (and cost when required) reported to the IRS							
	46 000	*3,146.64*	*07/14/09*	*1,433.21*	...	*1,713 43*	*Sale*
	34 000	*2,325 78*	*08/17/09*	*1,185 36*		*1,140 42*	*Sale*
01/25/13	80.000	5,472 42	VARIOUS	2,618 57	0 00	2,853.85	Total of 2 lots
2 tax lots for 05/09/13 Total proceeds (and cost when required) reported to the IRS							
	38 000	*2,957 85*	*08/17/09*	*1,324 81*		*1,633 04*	*Sale*
	17 000	*1,323 25*	*10/20/09*	*643 15*		*680 10*	*Sale*
05/09/13	55 000	4,281.10	VARIOUS	1,967 96	0 00	2,313.14	Total of 2 lots
Security total		9,753.52		4,586 53	0 00	5,166.99	
HOSPIRA INC / CUSIP 441060100 / Symbol HSP							
3 tax lots for 02/14/13 Total proceeds (and cost when required) reported to the IRS							
	86 000	*2,589 90*	*05/13/09*	*2,871 25*	.	*-281 35*	*Sale*
	48 000	*1,445 53*	*05/26/10*	*2,469 03*		*-1,023 50*	*Sale*
	30 000	*903 45*	*08/24/10*	*1,536 51*		*-633 06*	*Sale*
02/14/13	164.000	4,938 88	VARIOUS	6,876.79	0.00	-1,937 91	Total of 3 lots
KBR INC / CUSIP 48242W106 / Symbol. KBR							
2 tax lots for 01/23/13 Total proceeds (and cost when required) reported to the IRS							
	64 000	*1,936 17*	*05/11/09*	*1,129.87*	..	*806 30*	*Sale*
	16 000	*484 04*	*10/30/09*	*336 04*		*148 00*	*Sale*
01/23/13	80 000	2,420.21	VARIOUS	1,465 91	0 00	954.30	Total of 2 lots
2 tax lots for 05/09/13 Total proceeds (and cost when required) reported to the IRS							
	35 000	*1,095 13*	*10/30/09*	*735 08*		*360 04*	*Sale*
	50 000	*1,564 46*	*11/25/09*	*958 21*		*606 26*	*Sale*
05/09/13	85 000	2,659.59	VARIOUS	1,693.29	0 00	966.30	Total of 2 lots
Security total		5,079 80		3,159 20	0 00	1,920.60	
METLIFE INC / CUSIP 59156R108 / Symbol MET							
03/15/13	126.000	5,040 89	08/25/10	4,476 62		564.27	Sale
05/09/13	90.000	3,647.61	08/25/10	3,197.58	...	450.03	Sale
Security total.		8,688 50		7,674.20	0.00	1,014.30	

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Oppenheimer & Co. Inc. Account G400109422

Proceeds from Broker and Barter Exchange Transactions
(continued)

2013 1099-B* OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
NORFOLK SOUTHERN CORP / CUSIP 655844108 / Symbol NSC							
04/02/13	50 000	3,854 31	05/11/09	1,861 93		1,992.38	Sale
2 tax lots for 05/09/13 Total proceeds (and cost when required) reported to the IRS							
	19 000	*1,495 08*	*05/11/09*	*707 53*		*787 54*	*Sale*
	26 000	*2,045 89*	*06/15/09*	*1,021 05*		*1,024 84*	*Sale*
05/09/13	45 000	3,540 97	VARIOUS	1,728 58	0 00	1,812.38	Total of 2 lots
Security total		7,395.28		3,590.51	0 00	3,804.76	
ON SEMICONDUCTOR CORP / CUSIP 682189105 / Symbol ONNN							
05/09/13	435.000	3,428 16	05/13/10	3,460.90		-32 74	Sale
11/11/13	623 000	4,410.14	05/13/10	4,956 65		-546.52	Sale
Security total.		7,838.30		8,417 55	0 00	-579.26	
TARGET CORP / CUSIP. 87612E106 / Symbol TGT							
2 tax lots for 04/11/13 Total proceeds (and cost when required) reported to the IRS							
	10 000	*691 65*	*12/04/09*	*456.76*		*234.88*	*Sale*
	56 000	*3,873 22*	*10/07/10*	*3,029 79*		*843 43*	*Sale*
04/11/13	66.000	4,564.87	VARIOUS	3,486 55	0.00	1,078.31	Total of 2 lots
05/09/13	45.000	3,133.27	10/07/10	2,434.65	...	698.62	Sale
Security total.		7,698.14		5,921.20	0 00	1,776 93	
UNION PAC CORP / CUSIP 907818108 / Symbol UNP							
05/06/13	103.000	15,502 55	10/27/09	5,881.72	.	9,620.83	Sale
WILLIS GROUP HOLDINGS PUBLIC L / CUSIP G96666105 / Symbol WSH							
05/09/13	45 000	1,827.40	02/04/09	1,047.24	.	780.16	Sale
ACE LTD / CUSIP· H0023R105 / Symbol· ACE							
3 tax lots for 05/09/13 Total proceeds (and cost when required) reported to the IRS.							
	14 000	*1,281 95*	*01/23/09*	*619 93*	..	*662 02*	*Sale*
	6 000	*549 41*	*04/09/09*	*263 68*		*285 73*	*Sale*
	25 000	*2,289 19*	*09/17/09*	*1,290 06*		*999 13*	*Sale*
05/09/13	45 000	4,120.55	VARIOUS	2,173 67	0 00	1,946 88	Total of 3 lots

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc.

Account G400109422

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

LONG-TERM TRANSACTIONS ***6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS*****

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
FLEXTRONICS INTL LTD ORD / CUSIP. Y2573F102 / Symbol FLEX							
	5 tax lots for 05/09/13 Total proceeds (and cost when required) reported to the IRS						
	86 000	*614 12*	*06/06/07*	*946 86*	...	*-332.74*	*Sale*
	285 000	*2,035 16*	*08/07/07*	*3,138 48*		*-1,103 32*	*Sale*
	80 000	*571 27*	*03/11/08*	*760 28*		*-189 01*	*Sale*
	115 000	*821 20*	*04/15/08*	*1,067 60*		*-246.40*	*Sale*
	234 000	*1,670 97*	*05/16/08*	*2,461 75*		*-790 78*	*Sale*
05/09/13	800 000	5,712 72	VARIOUS	8,374 97	0 00	-2,662 25	Total of 5 lots
	3 tax lots for 07/29/13 Total proceeds (and cost when required) reported to the IRS.						
	46 000	*385 40*	*05/16/08*	*483 93*		*-98 54*	*Sale*
	50 000	*418 91*	*10/09/08*	*271.02*	..	*147 89*	*Sale*
	154 000	*1,290 24*	*10/24/08*	*583 46*		*706 78*	*Sale*
07/29/13	250.000	2,094 55	VARIOUS	1,338 41	0 00	756.13	Total of 3 lots
	4 tax lots for 11/21/13 Total proceeds (and cost when required) reported to the IRS						
	396 000	*2,980 76*	*10/24/08*	*1,500 33*		*1,480.43*	*Sale*
	165 000	*1,241 98*	*11/02/09*	*1,070 29*		*171 69*	*Sale*
	795 000	*5,984 09*	*02/09/10*	*5,269 42*		*714.67*	*Sale*
	260 000	*1,957 06*	*07/07/10*	*1,514 53*		*442 53*	*Sale*
11/21/13	1,616 000	12,163 89	VARIOUS	9,354 57	0 00	2,809.32	Total of 4 lots
	Security total·	19,971.16		19,067.95	0 00	903.20	
	Totals:	**120,830.14**		**88,766.63**	**0.00**	**32,063.47**	

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Oppenheimer & Co. Inc. Account G400123092

Supplemental Information

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

Refer to the 1099-B and PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses The amounts shown below are for informational purposes

Term*	Category	Detail Schedule	Gross Proceeds #	Cost Basis +	Wash Sale Loss Disallowed	Net Gain/Loss
Short	A (basis reported to the IRS)	Form 1099-B	4,080.82	3,714.68	0.00	366.15
Short	B (basis not reported to the IRS)	Form 1099-B	0 00	0 00	0.00	0 00
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0.00	0 00
Total Short-term			4,080 82	3,714.68	0.00	366 15
Long	D (basis reported to the IRS)	Form 1099-B	10,763.55	12,839 57	0.00	-2,076.01
Long	E (basis not reported to the IRS)	Form 1099-B	136,937 29	132,568.37	0 00	4,368.91
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0 00	0.00	0 00
Total Long-term			147,700.84	145,407.94	0.00	2,292 90
Undetermined	B or E (basis not reported to the IRS)	Form 1099-B	0.00	0 00	0 00	0.00
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0.00	0 00	0 00
Total Undetermined-term			0 00	0 00	0.00	0 00
		Total Categories A, B, D, E	**151,781.66**	**149,122.62**	**0.00**	**2,659.05**
		Total Category C, F	**0.00**	**0.00**	**0.00**	**0.00**
		Grand total	**151,781.66**	**149,122.62**	**0.00**	**2,659.05**

Withholding from Proceeds

Federal income tax withheld	0.00
State tax withheld	0.00

SUMMARY OF NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The items in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s)

Interest Payments and Other Adjustments

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid AMT	0 00
Taxable accrued interest paid on OID bonds	0 00
Non qualified interest	0 00
Taxable non qualified interest paid	0 00
Projected interest shortfall on contingent payment debt	0 00

Other Receipts

Partnership distributions	0 00
Foreign tax paid - partnership distributions	0 00
Return of principal distributions	0 00
Deferred income payment	0 00

Expenses

Margin interest	0.00
Dividends paid - short position	0.00
Interest paid - short position	0.00
Non reportable distribution expense	0 00
Other expenses	0.00
Severance tax	0.00

Fees and Payments Received

Deemed premium	0 00
Organizational expense	0.00
Income accrual - UIT	0.00
Miscellaneous fees	7,992.91
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0 00

Options Transactions

Sales	0.00
Short sales	0.00
Purchases	0.00
Purchases to close	0 00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term.
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes
+Cost basis totals include only amounts that were available to us.

Oppenheimer & Co. Inc. | Account G400123092

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* | OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
ABB LTD SPONSORED ADR / CUSIP 000375204 / Symbol. ABB							
02/26/13	107 000	2,405.30	03/30/12	2,181.52	...	223.78	Sale
BANCO SANTANDER SA ADR / CUSIP· 05964H105 / Symbol SAN							
02/20/13	0.000	164.44	02/20/13	0 00	...	164.44	Cash in lieu
08/09/13	0 000	265.69	08/09/13	0.00	..	265 69	Cash in lieu
	Security total.	430 13		0 00	0 00	430.13	
TOKYO ELECTRON LTD ADR / CUSIP· 889110102 / Symbol· TOELY							
2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS.							
	22 000	*246 83*	*04/27/12*	*303 87*		*-57 03*	*Sale*
	89 000	*998.56*	*04/27/12*	*1,229.29*	..	*-230 73*	*Sale*
02/26/13	111.000	1,245.39	VARIOUS	1,533 16	0 00	-287.76	Total of 2 lots
	Totals:	**4,080.82**		**3,714.68**	**0.00**	**366.15**	

1c - LONG-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
CARREFOUR SA SPONSORED ADR / CUSIP 144430204 / Symbol· CRRFY							
02/26/13	103 000	544.86	01/27/11	958 86		-414 00	Sale
2 tax lots for 10/11/13 Total proceeds (and cost when required) reported to the IRS							
	236 000	*1,682 18*	*01/27/11*	*2,196 99*		*-514 82*	*Sale*
	74 000	*527 46*	*10/24/11*	*383 45*		*144 02*	*Sale*
10/11/13	310.000	2,209 64	VARIOUS	2,580.44	0.00	-370.80	Total of 2 lots
12/18/13	333.000	2,499 51	10/24/11	1,725 51		774 00	Sale
	Security total	5,254 01		5,264 81	0 00	-10 80	
GDF SUEZ SPONS ADR / CUSIP 36160B105 / Symbol GDFZY							
02/26/13	44.000	822.78	04/04/11	1,769 87	.	-947 09	Sale

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Oppenheimer & Co. Inc. Account G400123092

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

*1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
QBE INS GROUP LTD SPONSORED ADR / CUSIP 74728G605 / Symbol QBIEY							
02/26/13	68.000	883 30	11/28/11	885 25	..	-1.95	Sale
TESCO PLC SPONSORED ADR / CUSIP 881575302 / Symbol· TSCDY							
02/26/13	67 000	1,112.17	01/13/11	1,311.50	.	-199.32	Sale
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP· 881624209 / Symbol. TEVA							
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	53 000	*1,981 09*	*06/02/11*	*2,668 48*		*-687 39*	*Sale*
	19 000	*710 20*	*06/15/11*	*939.66*	. .	*-229 46*	*Sale*
02/26/13	72.000	2,691.29	VARIOUS	3,608 14	0.00	-916.85	Total of 2 lots
	Totals:	**10,763.55**		**12,839.57**	**0.00**	**-2,076.01**	

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
AMCOR LTD ADR NEW / CUSIP 02341R302 / Symbol AMCRY							
02/26/13	34 000	1,283.13	04/20/04	804.10	.	479.03	Sale
06/26/13	64 000	2,378 78	04/20/04	1,513.60		865.18	Sale
07/30/13	83.000	3,172 80	04/20/04	1,962.95	..	1,209.85	Sale
	Security total	6,834 71		4,280 65	0 00	2,554.06	
ASTELLAS PHARMA INC ADR / CUSIP· 04623U102 / Symbol ALPMY							
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	31.000	*1,637.07*	*06/07/10*	*1,000.73*	...	*636 34*	*Sale*
	19.000	*1,003 37*	*06/15/10*	*615 75*		*387.62*	*Sale*
02/26/13	50 000	2,640.44	VARIOUS	1,616.48	0 00	1,023.96	Total of 2 lots
04/19/13	42.000	2,362.10	06/15/10	1,361.13	..	1,000 97	Sale

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Oppenheimer & Co. Inc. Account G400123092

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol				These columns are not reported to the IRS			
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ASTELLAS PHARMA INC ADR / CUSIP 04623U102 / Symbol. ALPMY (cont'd)							
	2 tax lots for 05/02/13 Total proceeds (and cost when required) reported to the IRS						
	16 000	*917.10*	*06/15/10*	*518 53*	..	*398 58*	*Sale*
	21 000	*1,203 70*	*08/26/10*	*716 87*		*486 83*	*Sale*
05/02/13	37 000	2,120 80	VARIOUS	1,235 40	0 00	885.41	Total of 2 lots
	3 tax lots for 05/17/13 Total proceeds (and cost when required) reported to the IRS						
	41 000	*581 39*	*08/26/10*	*349 90*		*231 49*	*Sale*
	123 000	*1,744 18*	*08/26/10*	*1,049 69*		*694 48*	*Sale*
	28 000	*397.05*	*10/12/10*	*265 89*	.	*131.16*	*Sale*
05/17/13	192.000	2,722.62	VARIOUS	1,665.48	0.00	1,057.13	Total of 3 lots
12/10/13	183.000	2,678 80	10/12/10	1,737.77		941.03	Sale
	2 tax lots for 12/20/13 Total proceeds (and cost when required) reported to the IRS						
	66 000	*948 57*	*10/12/10*	*626 74*		*321 84*	*Sale*
	99 000	*1,422 86*	*10/12/10*	*940 10*		*482 75*	*Sale*
12/20/13	165 000	2,371.43	VARIOUS	1,566.84	0 00	804.59	Total of 2 lots
	Security total	14,896 19		9,183 10	0 00	5,713 09	
BG GROUP PLC ADR FIN INST N / CUSIP 055434203 / Symbol BRGYY							
02/26/13	92 000	1,608 12	04/20/04	578 31	...	1,029.81	Sale
BP PLC SPONSORED ADR / CUSIP 055622104 / Symbol BP							
02/26/13	68.000	2,752 57	04/20/04	3,599.92	.	-847.35	Sale
BANCO SANTANDER SA ADR / CUSIP 05964H105 / Symbol SAN							
02/26/13	153.000	1,130.66	04/20/04	1,697.63	...	-566.97	Sale
CANON INC SPONSORED ADR / CUSIP 138006309 / Symbol CAJ							
02/26/13	89 000	3,219 05	04/20/04	3,055 85		163 20	Sale
CARREFOUR SA SPONSORED ADR / CUSIP 144430204 / Symbol CRRFY							
	2 tax lots for 02/21/13. Total proceeds (and cost when required) reported to the IRS						
	222 000	*1,195 86*	*03/16/09*	*1,493 97*		*-298 11*	*Sale*

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Oppenheimer & Co. Inc. — Account G400123092

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* — (continued) — OMB No. 1545-0715

LONG-TERM TRANSACTIONS ***6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS*****

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CARREFOUR SA SPONSORED ADR / CUSIP. 144430204 / Symbol. CRRFY (cont'd)							
	335 000	*1,804 57*	*07/17/09*	*2,972 36*		*-1,167 79*	*Sale*
02/21/13	557.000	3,000 43	VARIOUS	4,466 33	0 00	-1,465.90	Total of 2 lots
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	41.000	*216.88*	*07/17/09*	*363 78*		*-146 90*	*Sale*
	304 000	*1,608 12*	*09/03/09*	*2,716 88*		*-1,108 76*	*Sale*
02/26/13	345 000	1,825 00	VARIOUS	3,080 66	0 00	-1,255.66	Total of 2 lots
	Security total:	4,825.43		7,546.99	0.00	-2,721.56	
DEUTSCHE TELEKOM AG SPONSORED ADR / CUSIP 251566105 / Symbol DTEGY							
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS.						
	93 000	*985 78*	*10/23/06*	*1,500 76*	..	*-514 98*	*Sale*
	172 000	*1,823 15*	*03/09/07*	*2,856 06*	...	*-1,032 91*	*Sale*
02/26/13	265.000	2,808.93	VARIOUS	4,356.82	0.00	-1,547.89	Total of 2 lots
ENI S P A SPONSORED ADR / CUSIP 26874R108 / Symbol E							
	2 tax lots for 02/26/13. Total proceeds (and cost when required) reported to the IRS						
	37 000	*1,666 07*	*09/22/10*	*1,568 19*		*97 88*	*Sale*
	6 000	*270 17*	*10/14/10*	*273.56*		*-3 39*	*Sale*
02/26/13	43 000	1,936.24	VARIOUS	1,841.75	0 00	94.49	Total of 2 lots
FRANCE TELECOM SPONSORED ADR / CUSIP 35177Q105 / Symbol FTE							
	3 tax lots for 02/26/13. Total proceeds (and cost when required) reported to the IRS						
	6 000	*57 66*	*04/30/10*	*131 60*		*-73 94*	*Sale*
	134 000	*1,287 72*	*06/04/10*	*2,504 84*	.	*-1,217 12*	*Sale*
	64 000	*615 03*	*06/17/10*	*1,223 83*	.	*-608 80*	*Sale*
02/26/13	204.000	1,960 41	VARIOUS	3,860 27	0 00	-1,899 86	Total of 3 lots
GLAXOSMITHKLINE PLC SPONSORED ADR / CUSIP 37733W105 / Symbol GSK							
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	19 000	*841.49*	*04/20/04*	*793.63*	..	*47 86*	*Sale*

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Oppenheimer & Co. Inc. Account G400123092

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

LONG-TERM TRANSACTIONS ***6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS*****

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
GLAXOSMITHKLINE PLC SPONSORED ADR / CUSIP. 37733W105 / Symbol GSK (cont'd)							
	47 000	*2,081 58*	*06/04/04*	*1,979 83*		*101 75*	*Sale*
02/26/13	66 000	2,923 07	VARIOUS	2,773.46	0 00	149.61	Total of 2 lots
04/23/13	44.000	2,252.32	06/04/04	1,853.46		398.86	Sale
	Security total	5,175 39		4,626.92	0 00	548 47	
IBERDROLA SA SPON ADR / CUSIP. 450737101 / Symbol IBDRY							
	3 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	22 000	*417 77*	*02/02/09*	*671 59*		*-253 82*	*Sale*
	64 000	*1,215 33*	*02/03/09*	*2,002 60*		*-787 27*	*Sale*
	76 000	*1,443 21*	*07/15/09*	*2,394 84*	..	*-951 64*	*Sale*
02/26/13	162 000	3,076 31	VARIOUS	5,069 03	0 00	-1,992 73	Total of 3 lots
KAO CORP SPONSORED ADR / CUSIP 485537302 / Symbol· KCRPY							
02/26/13	104.000	3,343 52	05/24/06	2,699 61	..	643.91	Sale
KONINKLIJKE AHOLD N V SPON ADR 2007 / CUSIP 500467402 / Symbol AHONY							
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	69 000	*987 36*	*11/04/10*	*966 32*		*21 05*	*Sale*
	123 000	*1,760 09*	*11/18/10*	*1,606 70*		*153 38*	*Sale*
02/26/13	192.000	2,747.45	VARIOUS	2,573 02	0 00	174.43	Total of 2 lots
NATIONAL GRID PLC SPON ADR NEW / CUSIP 636274300 / Symbol NGG							
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS.						
	21 000	*1,140 27*	*04/20/04*	*943 67*		*196 60*	*Sale*
	32 000	*1,737 56*	*11/01/05*	*1,473 57*	.	*263.99*	*Sale*
02/26/13	53 000	2,877.83	VARIOUS	2,417 24	0.00	460 59	Total of 2 lots
NOVARTIS A G SPONSORED ADR / CUSIP 66987V109 / Symbol NVS							
	2 tax lots for 02/20/13 Total proceeds (and cost when required) reported to the IRS						
	28 000	*1,958 81*	*05/16/07*	*1,605 66*		*353 15*	*Sale*

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Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. Account G400123092

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
NOVARTIS A G SPONSORED ADR / CUSIP. 66987V109 / Symbol NVS (cont'd)							
	11 000	*769 53*	*06/07/07*	*612 42*	.	*157 11*	*Sale*
02/20/13	39 000	2,728 34	VARIOUS	2,218.08	0 00	510.26	Total of 2 lots
02/26/13	64.000	4,290.46	06/07/07	3,563.16		727.30	Sale
Security total		7,018.80		5,781 24	0.00	1,237.56	
RWE AG SPONSORED ADR / CUSIP 74975E303 / Symbol RWEOY							
02/26/13	75.000	2,690 18	04/20/04	3,393 75		-703.57	Sale
03/19/13	59.000	2,169.71	04/20/04	2,669.75	...	-500.04	Sale
Security total		4,859.89		6,063 50	0 00	-1,203.61	
REED ELSEVIER N V SPONS ADR NEW / CUSIP 758204200 / Symbol ENL							
02/26/13	73 000	2,153 45	04/20/04	2,389 95		-236.50	Sale
ROYAL DUTCH SHELL PLC SPONS ADR A / CUSIP 780259206 / Symbol RDSA							
02/26/13	28 000	1,816.03	04/20/04	1,382.92	.	433.11	Sale
SANOFI SPONSORED ADR / CUSIP 80105N105 / Symbol SNY							
2 tax lots for 01/16/13 Total proceeds (and cost when required) reported to the IRS							
	46 000	*2,218 30*	*03/31/10*	*1,716 58*	..	*501 73*	*Sale*
	9 000	*434 02*	*04/16/10*	*330 81*	.	*103 21*	*Sale*
01/16/13	55 000	2,652 32	VARIOUS	2,047 39	0.00	604.94	Total of 2 lots
02/26/13	72 000	3,359 44	04/16/10	2,646 44	..	713.00	Sale
Security total		6,011.76		4,693 83	0 00	1,317.94	
SEVEN & I HLDGS CO LTD ADR / CUSIP 81783H105 / Symbol SVNDY							
2 tax lots for 02/26/13. Total proceeds (and cost when required) reported to the IRS							
	38 000	*2,251 07*	*09/11/09*	*1,791.34*		*459 73*	*Sale*
	7 000	*414 67*	*01/22/10*	*305 14*		*109 53*	*Sale*
02/26/13	45 000	2,665 74	VARIOUS	2,096.48	0.00	569.26	Total of 2 lots
06/20/13	32 000	2,240 16	01/22/10	1,394 93	.	845 23	Sale
Security total		4,905.90		3,491.41	0 00	1,414.49	

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Oppenheimer & Co. Inc. Account G400123092

Proceeds from Broker and Barter Exchange Transactions

(continued)

2013 1099-B* OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

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SINGAPORE TELECOMMUNICATNS LTD SPON ADR NEW06 / CUSIP 82929R304 / Symbol SGAPY							
2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS							
	11 000	*306 45*	*07/29/08*	*283 53*		*22 92*	*Sale*
	61 000	*1,699 42*	*08/14/08*	*1,506 59*	..	*192.83*	*Sale*
02/26/13	72.000	2,005.87	VARIOUS	1,790 12	0 00	215.75	Total of 2 lots
SOCIETE GENERALE FRANCE SPONSORED ADR / CUSIP 83364L109 / Symbol SCGLY							
01/09/13	357.000	2,969.21	04/20/04	6,265 35	...	-3,296.14	Sale
3 tax lots for 02/25/13 Total proceeds (and cost when required) reported to the IRS.							
	81 000	*649 54*	*04/20/04*	*1,421 55*		*-772 01*	*Sale*
	206.000	*1,651 91*	*05/06/08*	*4,871.51*	...	*-3,219 60*	*Sale*
	34 000	*272 65*	*06/30/09*	*303 53*		*-30 88*	*Sale*
02/25/13	321.000	2,574 10	VARIOUS	6,596.59	0.00	-4,022.49	Total of 3 lots
Security total		5,543.31		12,861.94	0.00	-7,318.63	
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR / CUSIP· 874039100 / Symbol· TSM							
02/26/13	138.000	2,457 72	01/07/08	1,204 27	.	1,253 45	Sale
TAKEDA PHARMACEUTICAL CO LTD SPONSORED ADR / CUSIP 874060205 / Symbol TKPYY							
2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS.							
	81 000	*2,091 37*	*06/10/08*	*2,225 73*		*-134 36*	*Sale*
	58 000	*1,497.52*	*06/30/08*	*1,466 56*		*30.96*	*Sale*
02/26/13	139 000	3,588.89	VARIOUS	3,692.29	0.00	-103.40	Total of 2 lots
05/09/13	60 000	1,629.90	06/30/08	1,517 13	..	112.77	Sale
2 tax lots for 10/11/13 Total proceeds (and cost when required) reported to the IRS							
	47 000	*1,122 76*	*06/30/08*	*1,188 42*		*-65 67*	*Sale*
	22 000	*525 54*	*09/16/08*	*551 75*		*-26 21*	*Sale*
10/11/13	69 000	1,648 30	VARIOUS	1,740 17	0 00	-91 88	Total of 2 lots
Security total		6,867 09		6,949.59	0.00	-82.51	

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Oppenheimer & Co. Inc. Account G400123092

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

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TELEFONICA S A SPONSORED ADR / CUSIP 879382208 / Symbol TEF							
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	43 000	*539 21*	*04/20/04*	*637 23*	.	*-98.02*	*Sale*
	147 000	*1,843 35*	*10/27/05*	*2,445 92*		*-602 57*	*Sale*
02/26/13	190.000	2,382 56	VARIOUS	3,083.15	0.00	-700.59	Total of 2 lots
TESCO PLC SPONSORED ADR / CUSIP· 881575302 / Symbol TSCDY							
02/26/13	130.000	2,157.95	11/22/10	2,646.67	...	-488.72	Sale
TOKIO MARINE HOLDINGS INC ADR / CUSIP· 889094108 / Symbol· TKOMY							
02/26/13	108 000	3,121.13	04/20/04	3,198.53	...	-77.40	Sale
TOTAL S A SPONSORED ADR / CUSIP 89151E109 / Symbol TOT							
02/26/13	63.000	3,091.97	04/20/04	2,960 82		131.15	Sale
TOYOTA MOTOR CORP SP ADR REP2COM / CUSIP 892331307 / Symbol TM							
	2 tax lots for 02/26/13 Total proceeds (and cost when required) reported to the IRS						
	22 000	*2,241 53*	*04/20/04*	*1,621 84*		*619 69*	*Sale*
	3 000	*305 66*	*10/27/04*	*227 69*		*77 97*	*Sale*
02/26/13	25 000	2,547.19	VARIOUS	1,849 53	0 00	697.66	Total of 2 lots
06/19/13	19.000	2,322.33	10/27/04	1,442 03	...	880.30	Sale
07/08/13	20.000	2,493 23	10/27/04	1,517.92	...	975.31	Sale
09/04/13	16 000	2,007 73	10/27/04	1,214 34		793.39	Sale
	2 tax lots for 11/25/13 Total proceeds (and cost when required) reported to the IRS						
	13 000	*1,633 09*	*10/27/04*	*986 65*	. .	*646 44*	*Sale*
	5 000	*628 11*	*04/15/05*	*369 12*		*258 99*	*Sale*
11/25/13	18 000	2,261.20	VARIOUS	1,355 77	0 00	905 43	Total of 2 lots
	Security total	11,631.68		7,379 59	0 00	4,252 09	
UNILEVER PLC SPON ADR NEW / CUSIP. 904767704 / Symbol UL							
02/26/13	89 000	3,494 95	01/24/06	2,045.74	.	1,449.21	Sale
UNITED OVERSEAS BK LTD SPONSORED ADR / CUSIP 911271302 / Symbol UOVEY							
02/26/13	55.000	1,704.96	10/17/06	1,175.11	...	529.85	Sale

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Oppenheimer & Co. Inc. Account G400123092

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

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VINCI S A ADR / CUSIP: 927320101 / Symbol. VCISY							
	2 tax lots for 02/26/13	*Total proceeds (and cost when required) reported to the IRS*					
	68 000	*773.14*	*02/10/10*	*890 77*		*-117.63*	*Sale*
	128 000	*1,455 33*	*02/23/10*	*1,668.34*	.	*-213 01*	*Sale*
02/26/13	196.000	2,228.47	VARIOUS	2,559.11	0 00	-330 64	Total of 2 lots
VODAFONE GROUP PLC NEW SPONS ADR NEW / CUSIP 92857W209 / Symbol VOD							
02/26/13	85 000	2,091.80	09/01/09	1,822 80	. .	269.00	Sale
ZURICH INS GROUP LTD SPONSORED ADR / CUSIP· 989825104 / Symbol ZURVY							
	2 tax lots for 02/26/13	*Total proceeds (and cost when required) reported to the IRS*					
	12 000	*321 83*	*09/23/09*	*241 95*		*79 88*	*Sale*
	70 000	*1,877 36*	*10/26/09*	*1,459 02*		*418 34*	*Sale*
02/26/13	82 000	2,199 19	VARIOUS	1,700 97	0.00	498.22	Total of 2 lots
	Totals:	**136,937.29**		**132,568.37**	**0.00**	**4,368.91**	

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Oppenheimer & Co. Inc. Account G400123464

Supplemental Information

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

Refer to the 1099-B and PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses The amounts shown below are for informational purposes

Term*	Category	Detail Schedule	Gross Proceeds #	Cost Basis +	Wash Sale Loss Disallowed	Net Gain/Loss
Short	A (basis reported to the IRS)	Form 1099-B	0.00	0 00	0.00	0.00
Short	B (basis not reported to the IRS)	Form 1099-B	0 00	0 00	0 00	0.00
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0.00	0 00
Total Short-term			0 00	0.00	0.00	0 00
Long	D (basis reported to the IRS)	Form 1099-B	0 00	0 00	0.00	0 00
Long	E (basis not reported to the IRS)	Form 1099-B	80,784.45	50,885.52	0.00	29,898 94
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0 00	0 00	0 00
Total Long-term			80,784.45	50,885 52	0.00	29,898.94
Undetermined	B or E (basis not reported to the IRS)	Form 1099-B	0.00	0 00	0 00	0.00
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0 00	0 00	0.00
Total Undetermined-term			0 00	0.00	0.00	0 00
		Total Categories A, B, D, E	**80,784.45**	**50,885.52**	**0.00**	**29,898.94**
		Total Category C, F	**0.00**	**0.00**	**0.00**	**0.00**
		Grand total	**80,784.45**	**50,885.52**	**0.00**	**29,898.94**

Withholding from Proceeds

Federal income tax withheld	0.00
State tax withheld	0.00

SUMMARY OF NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The items in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s)

Interest Payments and Other Adjustments

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid AMT	0 00
Taxable accrued interest paid on OID bonds	0.00
Non qualified interest	0 00
Taxable non qualified interest paid	0.00
Projected interest shortfall on contingent payment debt	0 00

Other Receipts

Partnership distributions	0.00
Foreign tax paid - partnership distributions	0.00
Return of principal distributions	0.00
Deferred income payment	0 00

Expenses

Margin interest	0.00
Dividends paid - short position	0 00
Interest paid - short position	0.00
Non reportable distribution expense	0 00
Other expenses	0.00
Severance tax	0 00

Fees and Payments Received

Deemed premium	0.00
Organizational expense	0.00
Income accrual - UIT	0.00
Miscellaneous fees	12,121.13
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0.00

Options Transactions

Sales	0.00
Short sales	0 00
Purchases	0.00
Purchases to close	0.00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term.
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes
+Cost basis totals include only amounts that were available to us.

Oppenheimer & Co. Inc. — Account G400123464

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* — OMB No 1545-0715

LONG-TERM TRANSACTIONS *6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
				These columns are not reported to the IRS			
HEINZ H J CO / CUSIP 423074103 / Symbol HNZ							
	2 tax lots for 06/10/13 Total proceeds (and cost when required) reported to the IRS						
	290 000	*21,025 00*	*04/17/06*	*10,993 90*		*10,031 10*	*Tender*
	70 000	*5,075 00*	*04/14/10*	*3,235 40*		*1,839 60*	*Tender*
06/10/13	360.000	26,100.00	VARIOUS	14,229 30	0 00	11,870.70	Total of 2 lots
KIMBERLY CLARK CORP / CUSIP 494368103 / Symbol KMB							
	2 tax lots for 06/20/13 Total proceeds (and cost when required) reported to the IRS						
	75 000	*7,070 13*	*10/01/07*	*5,276 51*	.	*1,793 63*	*Sale*
	25 000	*2,356 71*	*10/05/07*	*1,750 38*		*606 33*	*Sale*
06/20/13	100.000	9,426 84	VARIOUS	7,026.89	0 00	2,399.96	Total of 2 lots
MONDELEZ INTL INC CL A / CUSIP 609207105 / Symbol MDLZ							
03/26/13	550.000	16,522.39	06/03/08	11,480.84	...	5,041.55	Sale
PETROCHINA CO LTD SPONSORED ADR / CUSIP 71646E100 / Symbol PTR							
	2 tax lots for 08/15/13 Total proceeds (and cost when required) reported to the IRS						
	40 000	*4,698 38*	*07/19/04*	*1,975 20*		*2,723 18*	*Sale*
	20 000	*2,349 19*	*06/07/06*	*2,088 00*		*261 19*	*Sale*
08/15/13	60 000	7,047.57	VARIOUS	4,063 20	0 00	2,984.37	Total of 2 lots
VERIZON COMMUNICATIONS INC / CUSIP· 92343V104 / Symbol· VZ							
	2 tax lots for 02/14/13. Total proceeds (and cost when required) reported to the IRS						
	310 000	*13,720 76*	*04/17/06*	*9,071 83*	.	*4,648 93*	*Sale*
	180 000	*7,966 89*	*04/14/10*	*5,013 46*		*2,953 43*	*Sale*
02/14/13	490 000	21,687 65	VARIOUS	14,085 29	0 00	7,602 36	Total of 2 lots
	Totals:	**80,784.45**		**50,885.52**	**0.00**	**29,898.94**	

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Oppenheimer & Co. Inc. Account G401156968

Supplemental Information

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

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Term*	Category	Detail Schedule	Gross Proceeds #	Cost Basis +	Wash Sale Loss Disallowed	Net Gain/Loss
Short	A (basis reported to the IRS)	Form 1099-B	0.00	0.00	0.00	0 00
Short	B (basis not reported to the IRS)	Form 1099-B	0.00	0 00	0.00	0 00
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0.00	0.00
Total Short-term			0 00	0 00	0 00	0 00
Long	D (basis reported to the IRS)	Form 1099-B	0 00	0.00	0.00	0 00
Long	E (basis not reported to the IRS)	Form 1099-B	1,150,000 00	1,150,005.00	0 00	-5 00
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0.00	0 00	0 00
Total Long-term			1,150,000 00	1,150,005.00	0.00	-5.00
Undetermined	B or E (basis not reported to the IRS)	Form 1099-B	0 00	0 00	0.00	0 00
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0 00	0 00	0.00
Total Undetermined-term			0 00	0 00	0.00	0 00
		Total Categories A, B, D, E	**1,150,000.00**	**1,150,005.00**	**0.00**	**-5.00**
		Total Category C, F	**0.00**	**0.00**	**0.00**	**0.00**
		Grand total	**1,150,000.00**	**1,150,005.00**	**0.00**	**-5.00**

Withholding from Proceeds

Federal income tax withheld	0.00
State tax withheld	0 00

SUMMARY OF NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The items in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s).

Interest Payments and Other Adjustments

Taxable accrued interest paid	14.38
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid AMT	0 00
Taxable accrued interest paid on OID bonds	0.00
Non qualified interest	0 00
Taxable non qualified interest paid	0 00
Projected interest shortfall on contingent payment debt	0.00

Other Receipts

Partnership distributions	0.00
Foreign tax paid - partnership distributions	0 00
Return of principal distributions	0 00
Deferred income payment	0.00

Expenses

Margin interest	0.00
Dividends paid - short position	0 00
Interest paid - short position	0.00
Non reportable distribution expense	0 00
Other expenses	0 00
Severance tax	0.00

Fees and Payments Received

Deemed premium	0.00
Organizational expense	0.00
Income accrual - UIT	0.00
Miscellaneous fees	0.00
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0.00

Options Transactions

Sales	0.00
Short sales	0.00
Purchases	0.00
Purchases to close	0.00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term.
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.
+Cost basis totals include only amounts that were available to us.

Oppenheimer & Co. Inc. Account G401156968

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* OMB No. 1545-0715

LONG-TERM TRANSACTIONS *6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
				These columns are not reported to the IRS			
ALLY BK MIDVALE UTAH B/E 85% DUE 02/04/13 / CUSIP 02005QET6 / Symbol.							
02/04/13	100,000 000	100,000 00	02/01/11	100,000 00		0 00	Redemption Original basis $100,000.00
ALLY BK MIDVALE UTAH B/E 9% DUE 03/28/13 / CUSIP 02005QKT9 / Symbol.							
03/28/13	70,000.000	70,000.00	03/24/11	70,000.00	...	0.00	Redemption Original basis $70,000.00
AMERICAN EXPRESS CENTRN B/E 65% DUE 03/08/13 / CUSIP 02587DCQ9 / Symbol							
03/08/13	100,000.000	100,000.00	09/02/11	100,000 00	...	0.00	Redemption Original basis: $100,000.00
BMW BK NORTH AMER UTAH B/E 1% DUE 09/23/13 / CUSIP. 05568PS32 / Symbol							
09/23/13	120,000.000	120,000 00	09/15/11	120,000.00	...	0.00	Redemption Original basis: $120,000.00
BANCO SANTANDER P R SAN JUAN B/E 2% DUE 04/22/13 / CUSIP 059646QX0 / Symbol							
04/22/13	37,000.000	37,000.00	04/22/10	37,000.00	.	0.00	Redemption Original basis· $37,000 00
BEAL SVGS BK LAS VEGAS NEV B/E 1.95% DUE 03/27/13 / CUSIP. 073714QP5 / Symbol							
03/27/13	120,000 000	120,000.00	03/17/10	120,000.00	...	0.00	Redemption Original basis. $120,000.00
EATON VANCE FLTING RATE INC TR TUE PFD AUC SER A / CUSIP 278279203 / Symbol							
01/02/13	1.000	25,000.00	01/22/08	25,000.00	...	0.00	Tender
GE CAP RETAIL BK DRAPER UTAH B/E 5 05% DUE 11/13/13 / CUSIP 36159AKN9 / Symbol							
11/13/13	94,000.000	94,000.00	11/06/08	94,000 00		0.00	Redemption Original basis· $94,000.00
GE CAP BK INC RETAIL B/E 5% DUE 10/30/13 / CUSIP· 36160WFF1 / Symbol							
10/30/13	95,000 000	95,000 00	10/23/08	95,000.00	...	0 00	Redemption Original basis $95,000 00
GOLDMAN SACHS BK USA NY B/E .85% DUE 09/16/13 / CUSIP 3814265E1 / Symbol							
09/16/13	50,000 000	50,000 00	09/02/11	50,000 00	...	0 00	Redemption Original basis $50,000.00

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. Account G401156968

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* (continued) OMB No. 1545-0715

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS (Cost or other basis, Wash sale loss disallowed, Gain or loss(-), Additional information)

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
INTL BK RECON DEV MTNS BE B/E 3% DUE 12/10/13 / CUSIP. 45905UDJ0 / Symbol							
12/10/13	100,000 000	100,000 00	11/21/03	100,005 00	..	-5.00	Redemption Original basis. $100,005 00
MORGAN STANLEY BK N A UTAH B/E 5 05% DUE 10/29/13 / CUSIP 61747MQF1 / Symbol.							
10/29/13	95,000.000	95,000.00	10/23/08	95,000.00	...	0.00	Redemption Original basis. $95,000 00
PIONEER FLOATING RATE TR WED PFD AUC SER W7 / CUSIP. 72369J300 / Symbol:							
10/16/13	2.000	50,000.00	03/07/07	50,000 00	...	0.00	Sale
STATE BK INDIA NEW YORK NY B/E 5 05% DUE 09/12/13 / CUSIP: 856284QD9 / Symbol							
09/12/13	94,000.000	94,000 00	09/09/08	94,000.00	...	0.00	Redemption Original basis $94,000 00
	Totals:	**1,150,000.00**		**1,150,005.00**	**0.00**	**-5.00**	

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc.

Account G401355586

Supplemental Information

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

Refer to the 1099-B and PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses The amounts shown below are for informational purposes

Term*	Category	Detail Schedule	Gross Proceeds #	Cost Basis +	Wash Sale Loss Disallowed	Net Gain/Loss
Short	A (basis reported to the IRS)	Form 1099-B	65,240.37	63,254 46	0.00	1,985 91
Short	B (basis not reported to the IRS)	Form 1099-B	0 00	0 00	0 00	0 00
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0 00	0 00	0.00
Total Short-term			65,240.37	63,254 46	0 00	1,985.91
Long	D (basis reported to the IRS)	Form 1099-B	80,082 74	70,940 39	0 00	9,142.34
Long	E (basis not reported to the IRS)	Form 1099-B	51,948 94	32,065.41	0.00	19,883 53
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0.00	0.00	0 00
Total Long-term			132,031.68	103,005.80	0.00	29,025.87
Undetermined	B or E (basis not reported to the IRS)	Form 1099-B	0 00	0 00	0 00	0 00
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0 00	0 00	0 00
Total Undetermined-term			0.00	0 00	0 00	0.00
		Total Categories A, B, D, E	**197,272.05**	**166,260.26**	**0.00**	**31,011.78**
		Total Category C, F	**0.00**	**0.00**	**0.00**	**0.00**
		Grand total	**197,272.05**	**166,260.26**	**0.00**	**31,011.78**

Withholding from Proceeds

Federal income tax withheld	0.00
State tax withheld	0.00

SUMMARY OF NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES

The items in this section are not reported to the IRS They are presented here for your reference when preparing your tax return(s)

Interest Payments and Other Adjustments

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid AMT	0.00
Taxable accrued interest paid on OID bonds	0.00
Non qualified interest	0 00
Taxable non qualified interest paid	0 00
Projected interest shortfall on contingent payment debt	0.00

Other Receipts

Partnership distributions	0.00
Foreign tax paid - partnership distributions	0 00
Return of principal distributions	0.00
Deferred income payment	0 00

Expenses

Margin interest	0.00
Dividends paid - short position	0.00
Interest paid - short position	0.00
Non reportable distribution expense	0 00
Other expenses	0 00
Severance tax	0 00

Fees and Payments Received

Deemed premium	0.00
Organizational expense	0 00
Income accrual - UIT	0 00
Miscellaneous fees	7,582.85
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0.00

Options Transactions

Sales	0.00
Short sales	0.00
Purchases	0.00
Purchases to close	0 00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes
+Cost basis totals include only amounts that were available to us

Oppenheimer & Co. Inc. Account G401355586

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* OMB No. 1545-0715

1c - SHORT-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
AGILENT TECHNOLOGIES INC / CUSIP. 00846U101 / Symbol A							
03/20/13	240.000	10,170.97	05/24/12	9,780.72	.	390 25	Sale
BED BATH & BEYOND INC / CUSIP. 075896100 / Symbol BBBY							
05/14/13	155 000	10,660 69	08/17/12	10,268 02	...	392.67	Sale
CAPITAL ONE FINL CORP / CUSIP. 14040H105 / Symbol COF							
03/05/13	30.000	1,619.76	04/20/12	1,619.10	..	0.66	Sale
COACH INC / CUSIP 189754104 / Symbol COH							
2 tax lots for 01/30/13 Total proceeds (and cost when required) reported to the IRS							
	50 000	*2,585 90*	*04/20/12*	*3,800 00*	...	*-1,214.10*	*Sale*
	30 000	*1,551 54*	*10/16/12*	*1,672 50*	.	*-120 96*	*Sale*
01/30/13	80.000	4,137.44	VARIOUS	5,472 50	0.00	-1,335 06	Total of 2 lots
EDWARDS LIFESCIENCES CORP / CUSIP 28176E108 / Symbol EW							
05/29/13	110 000	7,050 50	03/20/13	8,743.80	..	-1,693 30	Sale
FASTENAL CO / CUSIP 311900104 / Symbol. FAST							
08/20/13	210 000	9,384.79	01/30/13	10,399.24	...	-1,014.45	Sale
MICROSOFT CORP / CUSIP· 594918104 / Symbol· MSFT							
06/21/13	270.000	8,973 51	11/05/12	7,959 01	...	1,014.50	Sale
PERRIGO CO / CUSIP 714290103 / Symbol							
12/20/13	87.000	13,242.71	12/20/12	9,012 07	.	4,230.64	Tender
	Totals:	**65,240.37**		**63,254.46**	**0.00**	**1,985.91**	

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. — Account G401355586

Proceeds from Broker and Barter Exchange Transactions
(continued)

2013 1099-B* — OMB No. 1545-0715

1c - LONG-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
AMERICAN TOWER CORP NEW REIT / CUSIP. 03027X100 / Symbol AMT							
2 tax lots for 07/18/13 Total proceeds (and cost when required) reported to the IRS							
	110 000	*8,199.66*	*10/03/11*	*5,940.96*		*2,258.70*	*Sale*
	50 000	*3,727 12*	*04/20/12*	*3,211 50*		*515 62*	*Sale*
07/18/13	160 000	11,926 78	VARIOUS	9,152 46	0 00	2,774.32	Total of 2 lots
BB&T CORP / CUSIP. 054937107 / Symbol BBT							
05/13/13	260.000	8,257.31	02/08/12	7,697.12	...	560.19	Sale
CAPITAL ONE FINL CORP / CUSIP. 14040H105 / Symbol COF							
03/05/13	120 000	6,479.03	03/11/11	5,917.96		561.07	Sale
COACH INC / CUSIP 189754104 / Symbol COH							
01/30/13	90 000	4,654 61	09/16/11	5,378 63	.	-724.02	Sale
COCA COLA CO / CUSIP 191216100 / Symbol KO							
2 tax lots for 09/20/13 Total proceeds (and cost when required) reported to the IRS							
	55 000	*2,135 51*	*04/20/12*	*2,038 85*	...	*96 66*	*Sale*
	55 000	*2,135 51*	*04/20/12*	*2,038 85*		*96 66*	*Sale*
09/20/13	110 000	4,271.02	VARIOUS	4,077.70	0 00	193.32	Total of 2 lots
E M C CORP MASS / CUSIP 268648102 / Symbol EMC							
07/10/13	85.000	2,079.73	04/20/12	2,379.15	.	-299.42	Sale
INTERNATIONAL BUSINESS MACHS / CUSIP 459200101 / Symbol IBM							
08/09/13	10.000	1,874.41	04/20/12	1,994.00	...	-119.59	Sale
KRAFT FOODS GROUP INC / CUSIP 50076Q106 / Symbol KRFT							
05/13/13	17 000	928.42	04/20/12	673.23	..	255 18	Sale
NATIONAL OILWELL VARCO INC / CUSIP 637071101 / Symbol NOV							
2 tax lots for 05/17/13 Total proceeds (and cost when required) reported to the IRS							
	110 000	*7,516 66*	*01/07/11*	*7,189 33*		*327 33*	*Sale*

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. | Account G401355586

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* | (continued) | OMB No. 1545-0715

*1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	5 - Wash sale loss disallowed	Gain or loss(-)	Additional information
NATIONAL OILWELL VARCO INC / CUSIP 637071101 / Symbol NOV (cont'd)							
	20 000	1,366 66	04/20/12	1,558 40		-191 74	Sale
05/17/13	130 000	8,883 32	VARIOUS	8,747 73	0 00	135.59	Total of 2 lots
ROPER INDS INC NEW / CUSIP 776696106 / Symbol ROP							
	2 tax lots for 05/21/13 Total proceeds (and cost when required) reported to the IRS						
	80 000	9,909 96	03/15/12	7,914 58	..	1,995 38	Sale
	25 000	3,096 86	04/20/12	2,480 75		616 11	Sale
05/21/13	105 000	13,006.82	VARIOUS	10,395 33	0 00	2,611 49	Total of 2 lots
UNITED PARCEL SERVICE INC CL B / CUSIP 911312106 / Symbol UPS							
05/17/13	40.000	3,536 37	04/20/12	3,206 80	.	329.57	Sale
V F CORP / CUSIP· 918204108 / Symbol. VFC							
	2 tax lots for 08/27/13 Total proceeds (and cost when required) reported to the IRS						
	43 000	8,015 88	02/24/12	6,277 88		1,738 00	Sale
	25 000	4,660 40	04/20/12	3,773 00		887 40	Sale
08/27/13	68 000	12,676.28	VARIOUS	10,050 88	0 00	2,625.40	Total of 2 lots
ACCENTURE PLC IRELAND SHS CLASS A / CUSIP G1151C101 / Symbol ACN							
07/18/13	20.000	1,508.64	04/20/12	1,269.40	...	239.24	Sale
	Totals:	**80,082.74**		**70,940.39**	**0.00**	**9,142.34**	

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
COCA COLA CO / CUSIP 191216100 / Symbol KO							
	2 tax lots for 09/20/13. Total proceeds (and cost when required) reported to the IRS						
	85 000	3,300 34	09/07/10	2,451 78		848 56	Sale

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS.

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. — Account G401355586

Proceeds from Broker and Barter Exchange Transactions

2013 1099-B* — (continued) — OMB No. 1545-0715

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

8 - Description / CUSIP / 1d - Symbol — These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
COCA COLA CO / CUSIP: 191216100 / Symbol KO (cont'd)							
	85 000	*3,300 34*	*09/07/10*	*2,451 78*		*848.56*	*Sale*
09/20/13	170.000	6,600 68	VARIOUS	4,903 56	0 00	1,697 12	Total of 2 lots
E M C CORP MASS / CUSIP. 268648102 / Symbol EMC							
07/10/13	280 000	6,850 86	10/05/09	4,754 04	...	2,096.82	Sale
INTERNATIONAL BUSINESS MACHS / CUSIP. 459200101 / Symbol IBM							
08/09/13	40 000	7,497.65	05/15/09	4,062 67	..	3,434.98	Sale
INTUITIVE SURGICAL INC COM NEW / CUSIP 46120E602 / Symbol ISRG							
05/24/13	22.000	11,044 71	08/31/10	5,826 07	...	5,218 64	Sale
KRAFT FOODS GROUP INC / CUSIP. 50076Q106 / Symbol KRFT							
05/13/13	71 000	3,877.50	08/19/08	2,456 40	.	1,421.11	Sale
UNITED PARCEL SERVICE INC CL B / CUSIP. 911312106 / Symbol UPS							
05/17/13	88.000	7,780.00	08/04/10	5,935.71	...	1,844.29	Sale
ACCENTURE PLC IRELAND SHS CLASS A / CUSIP G1151C101 / Symbol ACN							
	2 tax lots for 07/18/13 Total proceeds (and cost when required) reported to the IRS						
	45 000	*3,394 45*	*01/24/07*	*1,642 01*	..	*1,752 43*	*Sale*
	65 000	*4,903 09*	*10/25/07*	*2,484 95*		*2,418 14*	*Sale*
07/18/13	110.000	8,297 54	VARIOUS	4,126.96	0 00	4,170 57	Total of 2 lots
	Totals:	**51,948.94**		**32,065.41**	**0.00**	**19,883.53**	

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**For NONCOVERED tax lots, values for "Date of acquisition," "Cost or other basis" and "Wash sale loss disallowed" are provided for your reference and are NOT reported to the IRS

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. — Account G401387530

Supplemental Information

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

Refer to the PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items to determine the correct gains and losses The amounts shown below are for informational purposes

Term*	Category	Detail Schedule	Gross Proceeds #	Cost Basis +	Wash Sale Loss Disallowed	Net Gain/Loss
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	10,068.59	9,564.00	0.00	504 59
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	185,287 55	148,766.30	0 00	36,521 25
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0.00	0.00	0 00	0 00
		Total Category C, F	**195,356.14**	**158,330.30**	**0.00**	**37,025.84**
		Grand total	**195,356.14**	**158,330.30**	**0.00**	**37,025.84**

Withholding from Proceeds

Federal income tax withheld	0.00
State tax withheld	0.00

SUMMARY OF INCOME, FEES, EXPENSES AND EXPENDITURES

Interest Payments and Other Adjustments

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid AMT	0 00
Taxable accrued interest paid on OID bonds	0 00
Non qualified interest	0.00
Taxable non qualified interest paid	0 00
Projected interest shortfall on contingent payment debt	0 00

Other Receipts

Partnership distributions	0 00
Foreign tax paid - partnership distributions	0 00
Return of principal distributions	0.00
Deferred income payment	0.00

Expenses

Margin interest	0 00
Dividends paid - short position	0.00
Interest paid - short position	0 00
Non reportable distribution expense	0 00
Other expenses	0 00
Severance tax	0 00

Fees and Payments Received

Deemed premium	0.00
Organizational expense	0.00
Income accrual - UIT	0.00
Miscellaneous fees	17,079.22
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0.00

Options Transactions

Sales	0.00
Short sales	0.00
Purchases	0 00
Purchases to close	0 00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term.
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes
+Cost basis totals include only amounts that were available to us

Oppenheimer & Co. Inc. Account G401387530

Proceeds Not Reported to the IRS

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
EATON VANCE LARGE CAP VALUE FD A / CUSIP. 277905808 / Symbol EHSTX							
	4 tax lots for 05/08/13						
	7 509	*167 38*	*06/12/12*	*131.79*		*35.59*	*Sale*
	6 814	*151.88*	*09/12/12*	*132 26*	. .	*19 62*	*Sale*
	8 155	*181 77*	*12/20/12*	*160 25*		*21 52*	*Sale*
	5 572	*124 20*	*03/12/13*	*118 08*	..	*6 12*	*Sale*
05/08/13	28.050	625.23	VARIOUS	542.38	0 00	82.85	Total of 4 lots
PERKINS MID CAP VALUE FUND CLASS I / CUSIP 47103C241 / Symbol JMVAX							
	2 tax lots for 01/25/13						
	234 008	*5,311 98*	*12/24/12*	*5,035 85*	...	*276.13*	*Sale*
	181 999	*4,131 38*	*01/07/13*	*3,985 77*		*145 61*	*Sale*
01/25/13	416.007	9,443.36	VARIOUS	9,021.62	0 00	421.74	Total of 2 lots
	Totals:	**10,068.59**		**9,564.00**	**0.00**	**504.59**	

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
BARON ASSET FD SH BEN INT / CUSIP 068278100 / Symbol· BARAX							
01/07/13	55.521	2,801.02	12/12/08	1,981 54	.	819.48	Sale
BARON GROWTH FD OPEN END / CUSIP 068278209 / Symbol BGRFX							
01/07/13	78.593	4,340.69	12/12/08	2,291 77	...	2,048.92	Sale
CAPITAL WORLD GRWTH & INCM FD CLASS F1 / CUSIP 140543406 / Symbol CWGFX							
01/07/13	59.282	2,236 70	09/18/08	2,017.96	...	218.74	Sale
DWS SMALL CAP VALUE FD CL A OPEN END / CUSIP 23338F820 / Symbol KDSAX							
01/07/13	48 976	1,843.45	12/12/08	1,104 41	...	739.04	Sale

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401387530

Proceeds Not Reported to the IRS

2013 (continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
EATON VANCE LARGE CAP VALUE FD A / CUSIP 277905808 / Symbol. EHSTX							
01/07/13	23 045	459.51	09/18/08	445 69		13.82	Sale
	17 tax lots for 05/08/13						
	1,114 494	*24,842 07*	*09/18/08*	*21,554 31*		*3,287 76*	*Sale*
	5 482	*122 19*	*12/31/08*	*78 72*		*43 47*	*Sale*
	7 191	*160 29*	*03/11/09*	*81 04*		*79 25*	*Sale*
	5 727	*127 65*	*06/10/09*	*81.44*		*46 21*	*Sale*
	2 942	*65 58*	*09/10/09*	*45 89*		*19 69*	*Sale*
	2 827	*63 01*	*12/31/09*	*47.75*		*15.26*	*Sale*
	58 049	*1,293 91*	*01/07/10*	*1,004 82*		*289 09*	*Sale*
	2 902	*64 69*	*03/10/10*	*49 77*		*14 92*	*Sale*
	3 825	*85.26*	*06/10/10*	*59 67*		*25 59*	*Sale*
	3 396	*75 70*	*09/10/10*	*55 19*		*20 51*	*Sale*
	3 412	*76 05*	*12/30/10*	*62 23*		*13 82*	*Sale*
	308 642	*6,879 63*	*01/11/11*	*5,682 10*		*1,197 53*	*Sale*
	3 588	*79 98*	*03/10/11*	*67 23*		*12 75*	*Sale*
	4 034	*89.92*	*06/10/11*	*73 41*		*16 51*	*Sale*
	6 595	*147 00*	*09/12/11*	*104 60*		*42 40*	*Sale*
	10.459	*233 13*	*12/29/11*	*178 02*		*55.11*	*Sale*
	4 159	*92 70*	*03/12/12*	*76 61*		*16 09*	*Sale*
05/08/13	1,547.724	34,498.76	VARIOUS	29,302.80	0.00	5,195.96	Total of 17 lots
	Security total	34,958.27		29,748.49	0.00	5,209.78	
HARBOR INTL FD INV CL OPEN END / CUSIP 411511645 / Symbol HIINX							
01/07/13	265 354	16,504 99	09/18/08	14,023 95		2,481.04	Sale
PERKINS MID CAP VALUE FUND CLASS I / CUSIP. 47103C241 / Symbol JMVAX							
	10 tax lots for 01/25/13						
	795 385	*18,055 24*	*12/12/08*	*11,619 30*		*6,435 94*	*Sale*
	1,403 397	*31,857.11*	*03/17/09*	*19,250 00*		*12,607 11*	*Sale*
	1,060 504	*24,073 44*	*06/09/09*	*18,000 00*		*6,073 44*	*Sale*
	16 047	*364 27*	*06/26/09*	*258 87*		*105 40*	*Sale*
	6 916	*156 99*	*12/22/09*	*135 42*		*21 57*	*Sale*
	85 213	*1,934 34*	*01/07/10*	*1,728 08*		*206 26*	*Sale*
	35 194	*798 90*	*12/22/10*	*783 77*		*15 13*	*Sale*

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. | Account G401387530

Proceeds Not Reported to the IRS

2013 | (continued)

LONG-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
PERKINS MID CAP VALUE FUND CLASS I / CUSIP 47103C241 / Symbol JMVAX (cont'd)							
	242 153	*5,496 87*	*01/11/11*	*5,508 97*		*-12 10*	*Sale*
	1,175 082	*26,674 36*	*05/12/11*	*28,625 00*		*-1,950 64*	*Sale*
	487 601	*11,068 54*	*12/27/11*	*9,849 54*	.	*1,219 00*	*Sale*
01/25/13	5,307.492	120,480 06	VARIOUS	95,758 95	0 00	24,721 11	Total of 10 lots
THORNBURG INTL VALUE FD-A OPEN END / CUSIP 885215657 / Symbol TGVAX							
01/07/13	75 907	2,122.37	09/18/08	1,839.23	.	283.14	Sale
	Totals:	**185,287.55**		**148,766.30**	**0.00**	**36,521.25**	

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc.

Account G401416099

Supplemental Information

2013

SUMMARY OF PROCEEDS, GAINS & LOSSES AND TAX WITHHELD

Refer to the PROCEEDS NOT REPORTED to the IRS pages to ensure that you consider all relevant items to determine the correct gains and losses The amounts shown below are for informational purposes

Term*	Category	Detail Schedule	Gross Proceeds #	Cost Basis +	Wash Sale Loss Disallowed	Net Gain/Loss
Short	C (Form 1099-B not received)	Proceeds not reported to IRS	306,847.57	285,439.19	0.00	21,408.39
Long	F (Form 1099-B not received)	Proceeds not reported to IRS	195,106 07	170,419 32	0 00	24,686 73
Undetermined	C or F (Form 1099-B not received)	Proceeds not reported to IRS	0 00	0 00	0 00	0 00
		Total Category C, F	**501,953.64**	**455,858.51**	**0.00**	**46,095.12**
		Grand total	**501,953.64**	**455,858.51**	**0.00**	**46,095.12**

Withholding from Proceeds

Federal income tax withheld	0.00
State tax withheld	0.00

SUMMARY OF INCOME, FEES, EXPENSES AND EXPENDITURES

Interest Payments and Other Adjustments

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid AMT	0.00
Taxable accrued interest paid on OID bonds	0 00
Non qualified interest	0 00
Taxable non qualified interest paid	0.00
Projected interest shortfall on contingent payment debt	0.00

Other Receipts

Partnership distributions	0.00
Foreign tax paid - partnership distributions	0.00
Return of principal distributions	0.00
Deferred income payment	0 00

Expenses

Margin interest	0 00
Dividends paid - short position	0.00
Interest paid - short position	0 00
Non reportable distribution expense	0 00
Other expenses	0 00
Severance tax	0 00

Fees and Payments Received

Deemed premium	0.00
Organizational expense	0.00
Income accrual - UIT	0 00
Miscellaneous fees	8,693 42
Basis adjustments	0.00
Tax-exempt investment expense	0.00
Foreign tax withholding paid in excess of treaty rate	0 00

Options Transactions

Sales	0.00
Short sales	0.00
Purchases	0 00
Purchases to close	0 00

*Amounts of undetermined-term must be reviewed to establish the correct acquisition date and whether the gains/losses are short-term or long-term.
Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.
+Cost basis totals include only amounts that were available to us.

Oppenheimer & Co. Inc. | Account G401416099

Proceeds Not Reported to the IRS

2013

SHORT-TERM TRANSACTIONS ***NOT reported on Form 1099-B***

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
ACME PACKET INC / CUSIP· 004764106 / Symbol APKT							
02/15/13	22.000	644 15	03/30/12	604.56	...	39 59	Sale
	3 tax lots for 02/20/13						
	48 000	*1,400 61*	*03/30/12*	*1,319 04*		*81 57*	*Sale*
	45 000	*1,313 07*	*04/13/12*	*1,234 80*		*78 27*	*Sale*
	38 000	*1,108 82*	*04/23/12*	*1,029 80*		*79 02*	*Sale*
02/20/13	131 000	3,822.50	VARIOUS	3,583.64	0 00	238.86	Total of 3 lots
	3 tax lots for 02/26/13						
	31 000	*904 55*	*04/23/12*	*840 10*	.	*64 45*	*Sale*
	2 000	*58 36*	*06/04/12*	*44 32*		*14 04*	*Sale*
	1 000	*29 18*	*01/31/13*	*23 77*		*5 41*	*Sale*
02/26/13	34 000	992.09	VARIOUS	908 19	0.00	83 90	Total of 3 lots
	Security total·	5,458.74		5,096 39	0 00	362.35	
ALTERA CORP / CUSIP 021441100 / Symbol ALTR							
07/23/13	35.000	1,246 71	03/05/13	1,225 27	..	21.44	Sale
12/23/13	135.000	4,305 08	03/05/13	4,726.05		-420.97	Sale
	Security total	5,551 79		5,951 32	0 00	-399.53	
APOLLO ED GROUP INC CL A / CUSIP 037604105 / Symbol APOL							
04/17/13	17.000	297 57	04/18/12	609.28	...	-311.71	Sale
	2 tax lots for 05/10/13						
	23 000	*427 03*	*05/10/12*	*756 47*		*-329 44*	*Sale*
	48 000	*891 19*	*05/16/12*	*1,535 99*		*-644 81*	*Sale*
05/10/13	71 000	1,318 22	VARIOUS	2,292 46	0.00	-974.25	Total of 2 lots
	3 tax lots for 05/15/13						
	23 000	*471 95*	*05/16/12*	*736 00*		*-264 05*	*Sale*
	46 000	*943 90*	*07/25/12*	*1,288 00*	.	*-344 10*	*Sale*
	6 000	*123 12*	*10/23/12*	*120 30*	. .	*2 82*	*Sale*
05/15/13	75.000	1,538 97	VARIOUS	2,144 30	0 00	-605.33	Total of 3 lots
05/22/13	31 000	649.77	10/23/12	621.55	...	28.22	Sale
06/03/13	82.000	1,630 22	10/23/12	1,644.09	.	-13.87	Sale

\# Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc.

Account G401416099

Proceeds Not Reported to the IRS

2013

(continued)

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
APOLLO ED GROUP INC CL A / CUSIP. 037604105 / Symbol APOL (cont'd)							
	2 tax lots for 06/05/13						
	23 000	*478 44*	*10/23/12*	*461 15*	...	*17.29*	*Sale*
	15 000	*312 02*	*10/25/12*	*295 65*		*16 38*	*Sale*
06/05/13	38.000	790.46	VARIOUS	756 80	0 00	33.67	Total of 2 lots
06/11/13	30 000	651 91	10/25/12	591 30	...	60.61	Sale
06/21/13	56 000	1,074 59	10/25/12	1,103.75	..	-29.16	Sale
Security total		7,951.71		9,763.53	0.00	-1,811.82	
APPLE INC / CUSIP 037833100 / Symbol AAPL							
	9 tax lots for 03/05/13.						
	14 000	*6,061 31*	*04/23/12*	*8,002 40*	.	*-1,941 09*	*Sale*
	7 000	*3,030 66*	*11/01/12*	*4,170 59*		*-1,139 93*	*Sale*
	8 000	*3,463 61*	*11/08/12*	*4,342 31*	.	*-878 70*	*Sale*
	7 000	*3,030 66*	*11/12/12*	*3,792 52*	.	*-761 86*	*Sale*
	4 000	*1,731 80*	*11/15/12*	*2,125 63*		*-393 83*	*Sale*
	8 000	*3,463 61*	*12/07/12*	*4,276 62*		*-813 01*	*Sale*
	3 000	*1,298.85*	*12/11/12*	*1,639 59*		*-340 74*	*Sale*
	4 000	*1,731 80*	*01/15/13*	*1,950 07*		*-218 27*	*Sale*
	4 000	*1,731 80*	*01/31/13*	*1,822 03*		*-90 23*	*Sale*
03/05/13	59.000	25,544 10	VARIOUS	32,121.76	0 00	-6,577.66	Total of 9 lots
BAIDU INC SPON ADR REP A / CUSIP 056752108 / Symbol. BIDU							
	7 tax lots for 01/29/13.						
	14 000	*1,532 97*	*04/27/12*	*1,888 59*		*-355 62*	*Sale*
	8 000	*875 98*	*05/10/12*	*985 43*		*-109 45*	*Sale*
	22 000	*2,408 95*	*05/22/12*	*2,668 36*		*-259 41*	*Sale*
	12 000	*1,313 97*	*06/05/12*	*1,404 95*		*-90 98*	*Sale*
	8 000	*875 98*	*06/15/12*	*953 84*		*-77 86*	*Sale*
	11 000	*1,204 48*	*06/25/12*	*1,214 06*		*-9 58*	*Sale*
	3 000	*328.49*	*08/24/12*	*346.83*	.	*-18 34*	*Sale*
01/29/13	78.000	8,540.82	VARIOUS	9,462.06	0 00	-921 24	Total of 7 lots

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. | Account G401416099

Proceeds Not Reported to the IRS
(continued)

2013

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*
Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
BAIDU INC SPON ADR REP A / CUSIP. 056752108 / Symbol BIDU (cont'd)							
	4 tax lots for 02/06/13						
	5 000	*493 64*	*08/24/12*	*578 05*	..	*-84.41*	*Sale*
	7 000	*691 10*	*08/31/12*	*780 85*		*-89 75*	*Sale*
	23 000	*2,270 76*	*09/12/12*	*2,469 04*		*-198 28*	*Sale*
	29 000	*2,863 13*	*11/01/12*	*3,086 46*		*-223 33*	*Sale*
02/06/13	64 000	6,318 63	VARIOUS	6,914 40	0.00	-595.77	Total of 4 lots
Security total		14,859.45		16,376.46	0.00	-1,517.01	
BURBERRY GROUP PLC SPONSORED ADR / CUSIP 12082W204 / Symbol BURBY							
09/17/13	11.000	561.32	11/12/12	437.14		124.18	Sale
	2 tax lots for 09/19/13						
	34 000	*1,790 07*	*11/12/12*	*1,351 16*	.	*438 91*	*Sale*
	15 000	*789 73*	*08/08/13*	*731.85*		*57 88*	*Sale*
09/19/13	49 000	2,579 80	VARIOUS	2,083.01	0.00	496.79	Total of 2 lots
Security total		3,141.12		2,520 15	0 00	620.97	
CBS CORP NEW CL B / CUSIP 124857202 / Symbol CBS							
01/29/13	1.000	42.13	08/07/12	35.76	...	6.37	Sale
02/15/13	67.000	2,961 68	08/07/12	2,395.92	..	565.76	Sale
Security total		3,003.81		2,431.68	0.00	572.13	
CME GROUP INC / CUSIP 12572Q105 / Symbol CME							
08/08/13	7 000	506 41	06/21/13	529 97		-23 56	Sale
CSX CORP / CUSIP 126408103 / Symbol CSX							
06/05/13	47 000	1,149.13	11/15/12	918 37	.	230 76	Sale
06/07/13	30 000	759 30	11/15/12	586 20	..	173 10	Sale
Security total		1,908 43		1,504 57	0 00	403.86	
CAMDEN PPTY TR SH BEN INT / CUSIP 133131102 / Symbol CPT							
04/11/13	44 000	3,180.17	09/20/12	2,921.89	...	258.28	Sale
	2 tax lots for 04/17/13						
	12 000	*849 93*	*09/20/12*	*796 88*		*53 06*	*Sale*

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

SHORT-TERM TRANSACTIONS ***NOT reported on Form 1099-B***

Report on Form 8949, Part I, with Box C checked

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CAMDEN PPTY TR SH BEN INT / CUSIP 133131102 / Symbol CPT (cont'd)							
	7 000	*495 80*	*09/27/12*	*452 53*		*43 27*	*Sale*
04/17/13	19.000	1,345 73	VARIOUS	1,249.41	0 00	96.33	Total of 2 lots
06/03/13	15.000	1,045.69	09/27/12	969.71	...	75.98	Sale
	2 tax lots for 07/23/13						
	12 000	*882 89*	*09/27/12*	*775 76*		*107 12*	*Sale*
	33 000	*2,427 93*	*12/11/12*	*2,221 20*	...	*206 74*	*Sale*
07/23/13	45.000	3,310.82	VARIOUS	2,996.96	0 00	313.86	Total of 2 lots
	2 tax lots for 07/26/13						
	8 000	*572 73*	*12/11/12*	*538 47*		*34 26*	*Sale*
	11 000	*787.51*	*06/21/13*	*713.39*		*74 11*	*Sale*
07/26/13	19.000	1,360.24	VARIOUS	1,251.86	0.00	108.37	Total of 2 lots
Security total		10,242 65		9,389.83	0 00	852.82	
CAPITAL ONE FINL CORP / CUSIP. 14040H105 / Symbol COF							
06/21/13	120.000	7,342.69	09/18/12	6,936.57	...	406.12	Sale
07/03/13	41 000	2,624 85	09/18/12	2,369 99	...	254.86	Sale
	3 tax lots for 07/10/13						
	8 000	*519 03*	*09/18/12*	*462.44*	...	*56 60*	*Sale*
	18 000	*1,167 83*	*12/07/12*	*1,025 64*		*142 19*	*Sale*
	11 000	*713 67*	*12/11/12*	*642 72*		*70 95*	*Sale*
07/10/13	37 000	2,400.53	VARIOUS	2,130 80	0 00	269 74	Total of 3 lots
07/15/13	8 000	531 44	12/11/12	467 43	..	64.01	Sale
Security total.		12,899 51		11,904.79	0 00	994.73	
CELGENE CORP / CUSIP 151020104 / Symbol CELG							
01/18/13	15 000	1,481.96	06/19/12	1,009 05	..	472 91	Sale
	2 tax lots for 02/20/13						
	8 000	*821 18*	*06/19/12*	*538 16*		*283 02*	*Sale*
	3 000	*307 94*	*06/22/12*	*181 42*		*126 52*	*Sale*
02/20/13	11.000	1,129.12	VARIOUS	719.58	0.00	409.54	Total of 2 lots
02/27/13	15 000	1,512 12	06/22/12	907 10	.	605 02	Sale
Security total		4,123 20		2,635 73	0 00	1,487.47	

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc.

Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
COCA COLA CO / CUSIP: 191216100 / Symbol. KO							
	2 tax lots for 04/03/13						
	128.000	*5,157.01*	*09/20/12*	*4,947 19*	...	*209 82*	*Sale*
	4.000	*161.16*	*09/27/12*	*152 16*		*9 00*	*Sale*
04/03/13	132 000	5,318 17	VARIOUS	5,099 35	0 00	218.82	Total of 2 lots
04/08/13	37.000	1,493.32	09/27/12	1,407.44	...	85 88	Sale
	2 tax lots for 05/28/13						
	19 000	*806 74*	*09/27/12*	*722 74*		*84 00*	*Sale*
	45 000	*1,910 71*	*09/28/12*	*1,710 89*		*199 81*	*Sale*
05/28/13	64.000	2,717.45	VARIOUS	2,433.63	0.00	283.81	Total of 2 lots
	2 tax lots for 08/30/13						
	38 000	*1,447 77*	*09/28/12*	*1,444 76*		*3 02*	*Sale*
	30 000	*1,142 98*	*04/17/13*	*1,276 50*		*-133 52*	*Sale*
08/30/13	68.000	2,590.75	VARIOUS	2,721 26	0.00	-130.50	Total of 2 lots
	2 tax lots for 09/03/13						
	30 000	*1,142 08*	*04/17/13*	*1,276.50*	..	*-134 42*	*Sale*
	109 000	*4,149 56*	*07/18/13*	*4,455 91*		*-306 35*	*Sale*
09/03/13	139 000	5,291.64	VARIOUS	5,732.41	0 00	-440.77	Total of 2 lots
	2 tax lots for 09/04/13						
	52 000	*2,007.68*	*07/18/13*	*2,125.75*	.	*-118 07*	*Sale*
	49 000	*1,891 86*	*08/08/13*	*1,970 29*		*-78 43*	*Sale*
09/04/13	101.000	3,899.54	VARIOUS	4,096.04	0 00	-196 50	Total of 2 lots
	Security total	21,310.87		21,490.13	0.00	-179.26	
COMCAST CORP NEW CL A SPL / CUSIP: 20030N200 / Symbol CMCSK							
06/21/13	53.000	2,019.30	02/15/13	2,084.48	.	-65.18	Sale
COSTCO WHSL CORP NEW / CUSIP 22160K105 / Symbol COST							
02/27/13	11 000	1,110 64	10/25/12	1,055 45	.	55.19	Sale
03/12/13	15.000	1,572.41	10/25/12	1,439.25	...	133.16	Sale

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
COSTCO WHSL CORP NEW / CUSIP. 22160K105 / Symbol COST (cont'd)							
	2 tax lots for 06/03/13						
	23 000	*2,501.04*	*10/25/12*	*2,206.85*	..	*294 19*	*Sale*
	11 000	*1,196 15*	*11/05/12*	*1,069 09*	.	*127 06*	*Sale*
06/03/13	34.000	3,697 19	VARIOUS	3,275 94	0 00	421.25	Total of 2 lots
Security total		6,380.24		5,770.64	0.00	609.60	
DOLLAR GEN CORP NEW / CUSIP 256677105 / Symbol DG							
	2 tax lots for 02/08/13.						
	24 000	*1,103 08*	*02/15/12*	*1,022 14*	.	*80 94*	*Sale*
	16 000	*735 38*	*04/23/12*	*742 08*		*-6.70*	*Sale*
02/08/13	40 000	1,838.46	VARIOUS	1,764 22	0 00	74 24	Total of 2 lots
	3 tax lots for 02/20/13						
	18 000	*797 04*	*04/23/12*	*834 84*		*-37 80*	*Sale*
	1 000	*44 28*	*06/04/12*	*48 32*	.	*-4.04*	*Sale*
	26 000	*1,151 28*	*07/25/12*	*1,330 50*		*-179 22*	*Sale*
02/20/13	45 000	1,992 60	VARIOUS	2,213 66	0 00	-221 06	Total of 3 lots
06/07/13	23 000	1,181.50	08/03/12	1,195.25	...	-13.75	Sale
	2 tax lots for 08/02/13						
	26 000	*1,443 52*	*08/03/12*	*1,351 16*		*92 36*	*Sale*
	45.000	*2,498 40*	*09/10/12*	*2,216 69*	...	*281 71*	*Sale*
08/02/13	71.000	3,941 92	VARIOUS	3,567 85	0 00	374.07	Total of 2 lots
Security total		8,954 48		8,740 98	0 00	213.50	
EBAY INC / CUSIP. 278642103 / Symbol EBAY							
01/18/13	33 000	1,782.62	02/17/12	1,158 30	. .	624 32	Sale
EXPRESS SCRIPTS HLDG CO / CUSIP 30219G108 / Symbol· ESRX							
07/10/13	30 000	1,920 28	11/21/12	1,551 00	.	369.28	Sale
10/14/13	26 000	1,632 52	11/21/12	1,344 20	.	288.32	Sale
Security total		3,552 80		2,895 20	0 00	657.60	

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
FLUOR CORP NEW / CUSIP: 343412102 / Symbol. FLR							
	3 tax lots for 01/09/13						
	18 000	*1,085 23*	*01/11/12*	*952 89*	. .	*132.34*	*Sale*
	12 000	*723 49*	*01/17/12*	*651 17*		*72 32*	*Sale*
	2 000	*120 58*	*01/23/12*	*111 20*		*9 38*	*Sale*
01/09/13	32.000	1,929.30	VARIOUS	1,715.26	0 00	214.04	Total of 3 lots
GILEAD SCIENCES INC / CUSIP 375558103 / Symbol GILD							
11/08/13	23 000	1,532 14	04/17/13	1,195.83	...	336.31	Sale
GOOGLE INC CL A / CUSIP 38259P508 / Symbol GOOG							
07/18/13	1 000	913 71	02/08/13	782.39	. .	131.32	Sale
12/26/13	4.000	4,468.08	02/08/13	3,129.55	...	1,338.53	Sale
	Security total.	5,381.79		3,911 94	0.00	1,469.85	
HOLOGIC INC / CUSIP 436440101 / Symbol HOLX							
11/22/13	102 000	2,297 48	12/04/12	1,923 71		373 77	Sale
	3 tax lots for 11/27/13						
	5 000	*112 92*	*12/04/12*	*94 30*	.	*18 62*	*Sale*
	99 000	*2,235 90*	*06/26/13*	*1,934 45*		*301 45*	*Sale*
	31 000	*700 13*	*06/28/13*	*593 65*		*106 49*	*Sale*
11/27/13	135.000	3,048.95	VARIOUS	2,622.40	0 00	426.56	Total of 3 lots
11/29/13	30.000	671.11	06/28/13	574 50	...	96.61	Sale
12/06/13	45 000	1,010.28	06/28/13	861 74	...	148.54	Sale
	2 tax lots for 12/17/13						
	37 000	*823 26*	*06/28/13*	*708 54*		*114 71*	*Sale*
	1 000	*22 25*	*08/08/13*	*22 68*	.	*-0 43*	*Sale*
12/17/13	38 000	845 51	VARIOUS	731 22	0 00	114.28	Total of 2 lots
	Security total·	7,873 33		6,713 57	0.00	1,159.76	
HUGO BOSS AG SPONSORED ADR / CUSIP 444560106 / Symbol BOSSY							
05/15/13	30.000	662.38	02/27/13	706 23	...	-43.85	Sale
05/22/13	22 000	486.19	02/27/13	517.91	.	-31.72	Sale
	Security total	1,148.57		1,224.14	0.00	-75.57	

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
LINKEDIN CORP COM CL A / CUSIP. 53578A108 / Symbol. LNKD							
06/21/13	15 000	2,567 76	05/06/13	2,654 25	.	-86.49	Sale
09/19/13	7.000	1,764 17	05/06/13	1,238 65	.	525.52	Sale
09/23/13	11.000	2,636.25	05/06/13	1,946 45		689 80	Sale
	2 tax lots for 09/27/13						
	1 000	*248 01*	*05/06/13*	*176 95*		*71 06*	*Sale*
	3 000	*744 01*	*05/10/13*	*518 19*		*225 82*	*Sale*
09/27/13	4 000	992 02	VARIOUS	695 14	0 00	296 88	Total of 2 lots
Security total		7,960 20		6,534.49	0 00	1,425.71	
MEAD JOHNSON NUTRITION CO / CUSIP 582839106 / Symbol MJN							
	2 tax lots for 06/28/13						
	38 000	*3,010 00*	*05/28/13*	*3,275 50*		*-265 50*	*Sale*
	18 000	*1,425 79*	*06/03/13*	*1,477 54*		*-51 75*	*Sale*
06/28/13	56 000	4,435.79	VARIOUS	4,753.04	0.00	-317.25	Total of 2 lots
MICRON TECHNOLOGY INC / CUSIP 595112103 / Symbol MU							
09/04/13	71 000	1,050.14	07/26/13	893 88	.	156 26	Sale
09/11/13	304 000	4,943 92	07/26/13	3,827.33	..	1,116.59	Sale
	3 tax lots for 09/12/13.						
	19 000	*313 87*	*07/26/13*	*239 21*	.	*74.67*	*Sale*
	11.000	*181 72*	*08/08/13*	*153 33*	...	*28.39*	*Sale*
	23 000	*383 88*	*08/08/13*	*320 60*		*63 28*	*Sale*
09/12/13	53 000	879 47	VARIOUS	713 14	0 00	166.34	Total of 3 lots
Security total		6,873 53		5,434.35	0.00	1,439.19	
MONDELEZ INTL INC CL A / CUSIP 609207105 / Symbol. MDLZ							
05/10/13	87.000	2,653.44	04/03/13	2,653 48		-0 04	Sale
11/06/13	83 000	2,770 50	04/03/13	2,531 48	..	239.02	Sale
	5 tax lots for 12/23/13.						
	37 000	*1,279 44*	*04/03/13*	*1,128 49*		*150 95*	*Sale*
	45 000	*1,556 07*	*04/08/13*	*1,349 94*		*206 13*	*Sale*
	30 000	*1,037 38*	*06/03/13*	*884 07*		*153 31*	*Sale*

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

SHORT-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
MONDELEZ INTL INC CL A / CUSIP: 609207105 / Symbol. MDLZ (cont'd)							
	64 000	*2,213 08*	*08/05/13*	*2,030 07*		*183 01*	*Sale*
	11 000	*380 37*	*08/08/13*	*358 60*		*21.77*	*Sale*
12/23/13	187 000	6,466 34	VARIOUS	5,751.17	0.00	715.17	Total of 5 lots
Security total		11,890.28		10,936 13	0 00	954.15	
MORGAN STANLEY COM NEW / CUSIP 617446448 / Symbol MS							
08/08/13	1 000	27.02	06/03/13	25 55	.	1.47	Sale
12/31/13	41.000	1,266.46	06/03/13	1,047.55	...	218 91	Sale
Security total		1,293.48		1,073 10	0 00	220 38	
MOSAIC CO NEW / CUSIP. 61945C103 / Symbol MOS							
09/19/13	32.000	1,444 13	11/15/12	1,582 34	.	-138 21	Sale
NETAPP INC / CUSIP· 64110D104 / Symbol. NTAP							
05/22/13	3.000	113.33	05/24/12	86.10	...	27.23	Sale
	2 tax lots for 07/15/13						
	72 000	*2,913 07*	*12/04/12*	*2,324 15*	.	*588 92*	*Sale*
	63.000	*2,548 94*	*02/06/13*	*2,271 78*		*277.16*	*Sale*
07/15/13	135.000	5,462 01	VARIOUS	4,595.93	0.00	866.08	Total of 2 lots
08/05/13	139 000	5,790 68	02/06/13	5,012 33	..	778.35	Sale
Security total		11,366.02		9,694 36	0 00	1,671.66	
NIKE INC CL B / CUSIP· 654106103 / Symbol NKE							
	2 tax lots for 03/14/13.						
	11 000	*602 58*	*10/03/12*	*519 14*		*83 44*	*Sale*
	26 000	*1,424.27*	*10/03/12*	*1,227 05*	...	*197.22*	*Sale*
03/14/13	37 000	2,026 85	VARIOUS	1,746.19	0 00	280.66	Total of 2 lots
	2 tax lots for 03/26/13						
	15 000	*891 14*	*10/03/12*	*707 91*		*183 23*	*Sale*
	3 000	*178 23*	*10/12/12*	*141 82*		*36 41*	*Sale*
03/26/13	18 000	1,069.37	VARIOUS	849 73	0.00	219.64	Total of 2 lots

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
NIKE INC CL B / CUSIP 654106103 / Symbol NKE (cont'd)							
	3 tax lots for 05/06/13						
	5 000	*320 15*	*10/12/12*	*236 37*	..	*83 77*	*Sale*
	8 000	*512 23*	*10/12/12*	*378 20*		*134 04*	*Sale*
	10 000	*640 29*	*10/17/12*	*488 10*		*152 19*	*Sale*
05/06/13	23.000	1,472 67	VARIOUS	1,102.67	0 00	370.00	Total of 3 lots
	3 tax lots for 08/08/13						
	1 000	*66 18*	*10/17/12*	*48 81*	.	*17 37*	*Sale*
	11 000	*727.98*	*10/17/12*	*536 91*		*191 07*	*Sale*
	11.000	*727 98*	*10/23/12*	*513 63*		*214 35*	*Sale*
08/08/13	23.000	1,522 14	VARIOUS	1,099 35	0.00	422.79	Total of 3 lots
09/23/13	7.000	480 12	10/23/12	326 86	..	153.26	Sale
	2 tax lots for 09/25/13						
	4 000	*276 76*	*10/23/12*	*186 77*		*89 98*	*Sale*
	7 000	*484 32*	*11/05/12*	*332 57*		*151 76*	*Sale*
09/25/13	11.000	761 08	VARIOUS	519.34	0.00	241.74	Total of 2 lots
	Security total.	7,332 23		5,644 14	0.00	1,688.09	
ONYX PHARMACEUTICALS INC / CUSIP· 683399109 / Symbol ONXX							
09/23/13	15.000	1,867 92	08/21/13	1,716.00	...	151.92	Sale
	2 tax lots for 09/25/13						
	19 000	*2,365 66*	*08/21/13*	*2,173.60*		*192 06*	*Sale*
	11 000	*1,369 60*	*08/22/13*	*1,308 89*		*60.71*	*Sale*
09/25/13	30 000	3,735.26	VARIOUS	3,482 49	0 00	252.77	Total of 2 lots
	Security total	5,603.18		5,198 49	0 00	404 69	
PANERA BREAD CO CL A / CUSIP 69840W108 / Symbol PNRA							
	3 tax lots for 06/21/13.						
	8 000	*1,439 41*	*04/08/13*	*1,412 63*	..	*26 78*	*Sale*
	11 000	*1,979 19*	*04/11/13*	*2,019 48*		*-40 29*	*Sale*
	7 000	*1,259 49*	*04/17/13*	*1,270 22*		*-10 73*	*Sale*
06/21/13	26 000	4,678 09	VARIOUS	4,702 33	0 00	-24.24	Total of 3 lots

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. | Account G401416099

Proceeds Not Reported to the IRS

2013 | (continued)

SHORT-TERM TRANSACTIONS ***NOT reported on Form 1099-B***
Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
PHILIP MORRIS INTL INC / CUSIP 718172109 / Symbol PM							
03/05/13	7 000	645.32	11/01/12	613 33	...	31.99	Sale
PULTE GROUP INC / CUSIP 745867101 / Symbol PHM							
12/31/13	55 000	1,113.18	08/16/13	880 55		232.63	Sale
QUALCOMM INC / CUSIP 747525103 / Symbol QCOM							
11/14/13	8 000	568 87	01/29/13	509 04		59 83	Sale
	3 tax lots for 11/22/13						
	7 000	*508.55*	*01/29/13*	*445 41*	...	*63 14*	*Sale*
	41 000	*2,978 65*	*01/31/13*	*2,722 40*		*256 25*	*Sale*
	1 000	*72 65*	*08/08/13*	*66.34*	..	*6 31*	*Sale*
11/22/13	49.000	3,559 85	VARIOUS	3,234 15	0 00	325.70	Total of 3 lots
Security total		4,128 72		3,743 19	0 00	385 53	
RALPH LAUREN CORP CL A / CUSIP 751212101 / Symbol RL							
05/28/13	7 000	1,258 18	01/18/13	1,168 58		89 60	Sale
08/06/13	15 000	2,840 05	01/18/13	2,504 10	...	335.95	Sale
Security total.		4,098.23		3,672 68	0 00	425 55	
ROCKWELL AUTOMATION INC / CUSIP. 773903109 / Symbol ROK							
01/09/13	27.000	2,327 23	06/08/12	1,889 17	...	438 06	Sale
SALESFORCE COM INC / CUSIP 79466L302 / Symbol CRM							
	2 tax lots for 05/10/13						
	12 000	*525 36*	*02/26/13*	*487 53*		*37 83*	*Sale*
	22 000	*963 16*	*02/26/13*	*893 80*		*69 36*	*Sale*
05/10/13	34 000	1,488.52	VARIOUS	1,381 33	0 00	107.19	Total of 2 lots
11/05/13	8.000	443 91	02/26/13	325 02	...	118.89	Sale
Security total.		1,932.43		1,706 35	0 00	226.08	
SHERWIN WILLIAMS CO / CUSIP 824348106 / Symbol SHW							
07/19/13	31.000	5,280.44	09/18/12	4,511 11	...	769.33	Sale
10/31/13	2 000	376 49	02/27/13	320 47	...	56.02	Sale
Security total		5,656 93		4,831.58	0.00	825.35	

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS ***NOT reported on Form 1099-B***

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
TJX COS INC NEW / CUSIP 872540109 / Symbol TJX							
08/08/13	7.000	369 53	01/31/13	317.38	...	52.15	Sale
08/19/13	30.000	1,526 70	01/31/13	1,360 20	...	166 50	Sale
08/21/13	15 000	809 98	01/31/13	680 10	...	129 88	Sale
12/06/13	48 000	2,997 57	01/31/13	2,176 32	.	821.25	Sale
	Security total	5,703.78		4,534 00	0.00	1,169 78	
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP. 881624209 / Symbol TEVA							
01/29/13	1.000	37 38	07/18/12	42.22	...	-4.84	Sale
	3 tax lots for 04/17/13.						
	14 000	*539 47*	*07/18/12*	*591 07*		*-51 60*	*Sale*
	82 000	*3,159 76*	*08/03/12*	*3,248.01*		*-88 25*	*Sale*
	31 000	*1,194 54*	*08/10/12*	*1,279 37*		*-84 83*	*Sale*
04/17/13	127 000	4,893.77	VARIOUS	5,118.45	0 00	-224.68	Total of 3 lots
08/08/13	34.000	1,330.76	08/10/12	1,403.18	...	-72.42	Sale
	2 tax lots for 08/22/13						
	27 000	*1,036 24*	*09/10/12*	*1,100 49*		*-64 25*	*Sale*
	61.000	*2,341 14*	*01/03/13*	*2,283.78*	.	*57 36*	*Sale*
08/22/13	88 000	3,377 38	VARIOUS	3,384.27	0 00	-6.89	Total of 2 lots
	Security total	9,639.29		9,948.12	0 00	-308.83	
UNITED RENTALS INC / CUSIP 911363109 / Symbol URI							
10/17/13	30 000	1,864 92	06/21/13	1,493 40	...	371.52	Sale
10/21/13	27 000	1,763 87	06/21/13	1,344 06		419.81	Sale
	Security total	3,628 79		2,837.46	0 00	791.33	
V F CORP / CUSIP. 918204108 / Symbol VFC							
	4 tax lots for 01/18/13						
	12 000	*1,775 25*	*04/23/12*	*1,788 12*	. .	*-12 87*	*Sale*
	11 000	*1,627 32*	*05/10/12*	*1,540 42*		*86 90*	*Sale*
	11 000	*1,627 32*	*05/16/12*	*1,511.54*	.	*115 78*	*Sale*
	7.000	*1,035 56*	*01/03/13*	*1,063 51*		*-27 95*	*Sale*
01/18/13	41 000	6,065 45	VARIOUS	5,903.59	0 00	161.86	Total of 4 lots

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. Account G401416099

2013

Proceeds Not Reported to the IRS

(continued)

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
WELLPOINT INC / CUSIP 94973V107 / Symbol WLP							
01/03/13	7 000	415 80	01/27/12	453 18		-37.38	Sale
01/24/13	31 000	2,028.97	01/27/12	2,006 94		22.03	Sale
04/17/13	14 000	967.01	04/23/12	987 70		-20 69	Sale
	3 tax lots for 05/06/13						
	34 000	*2,510 12*	*05/16/12*	*2,240 19*		*269 93*	*Sale*
	16 000	*1,181 23*	*06/29/12*	*1,051 52*		*129 71*	*Sale*
	19 000	*1,402 71*	*07/03/12*	*1,167 89*		*234 82*	*Sale*
05/06/13	69.000	5,094.06	VARIOUS	4,459.60	0.00	634.46	Total of 3 lots
	2 tax lots for 06/21/13						
	12 000	*943 06*	*07/03/12*	*737.62*		*205 44*	*Sale*
	14 000	*1,100 24*	*07/31/12*	*753 68*		*346 56*	*Sale*
06/21/13	26.000	2,043 30	VARIOUS	1,491.30	0.00	552 00	Total of 2 lots
	2 tax lots for 06/26/13						
	30 000	*2,399 23*	*07/31/12*	*1,615 02*		*784 21*	*Sale*
	19 000	*1,519 52*	*09/20/12*	*1,111 77*		*407 75*	*Sale*
06/26/13	49 000	3,918 75	VARIOUS	2,726.79	0.00	1,191.96	Total of 2 lots
07/03/13	60.000	4,870.17	09/20/12	3,510.85		1,359.32	Sale
	Security total.	19,338 06		15,636.36	0.00	3,701.70	
WESTERN UN CO / CUSIP. 959802109 / Symbol WU							
10/30/13	149.000	2,391.42	11/01/12	1,832 68		558.73	Sale
	2 tax lots for 10/31/13						
	48 000	*803 98*	*11/01/12*	*590 40*		*213 59*	*Sale*
	125 000	*2,093 71*	*12/13/12*	*1,653 74*		*439 97*	*Sale*
10/31/13	173.000	2,897.69	VARIOUS	2,244.14	0.00	653.56	Total of 2 lots
	2 tax lots for 11/01/13						
	74 000	*1,280 25*	*12/13/12*	*979 01*		*301.24*	*Sale*
	1 000	*17.30*	*08/08/13*	*18.40*		*-1 10*	*Sale*
11/01/13	75.000	1,297.55	VARIOUS	997.41	0.00	300.14	Total of 2 lots
	Security total	6,586.66		5,074 23	0 00	1,512.43	

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS ***NOT reported on Form 1099-B***

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
ZILLOW INC CL A / CUSIP 98954A107 / Symbol Z							
09/19/13	30 000	3,005 94	04/24/13	1,775.70	...	1,230.24	Sale
	2 tax lots for 09/23/13						
	11 000	*987 99*	*04/24/13*	*651 09*		*336 90*	*Sale*
	23 000	*2,065 80*	*05/10/13*	*1,253 11*		*812 68*	*Sale*
09/23/13	34 000	3,053.79	VARIOUS	1,904 20	0 00	1,149 58	Total of 2 lots
	Security total	6,059 73		3,679.90	0 00	2,379.82	
MICHAEL KORS HLDGS LTD / CUSIP G60754101 / Symbol KORS							
	2 tax lots for 08/06/13						
	11 000	*777.46*	*05/15/13*	*675 07*	.	*102 39*	*Sale*
	4 000	*282 71*	*05/22/13*	*249 39*		*33 32*	*Sale*
08/06/13	15 000	1,060.17	VARIOUS	924.46	0.00	135.71	Total of 2 lots
09/23/13	15.000	1,123.65	05/22/13	935.20	...	188.45	Sale
	2 tax lots for 12/06/13.						
	23 000	*1,827 59*	*05/22/13*	*1,433 98*		*393 61*	*Sale*
	22 000	*1,748 13*	*06/03/13*	*1,358 91*	.	*389.22*	*Sale*
12/06/13	45 000	3,575.72	VARIOUS	2,792 89	0 00	782.83	Total of 2 lots
	Security total.	5,759 54		4,652 55	0 00	1,106.99	
WEATHERFORD INTERNATIONAL LTD REG SHS / CUSIP H27013103 / Symbol WFT							
	2 tax lots for 04/24/13						
	2 000	*25 58*	*06/04/12*	*23.10*	.	*2 48*	*Sale*
	114 000	*1,458 03*	*12/04/12*	*1,193 57*		*264 46*	*Sale*
04/24/13	116 000	1,483 61	VARIOUS	1,216 67	0 00	266 94	Total of 2 lots
STRATASYS LTD / CUSIP· M85548101 / Symbol SSYS							
	2 tax lots for 05/15/13						
	19.000	*1,739 98*	*02/26/13*	*1,152 22*		*587 76*	*Sale*
	11 000	*1,007 35*	*03/05/13*	*787 82*		*219 53*	*Sale*
05/15/13	30.000	2,747 33	VARIOUS	1,940.04	0.00	807.29	Total of 2 lots
	Totals:	**306,847.57**		**285,439.19**	**0.00**	**21,408.39**	

\# Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. | Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ACME PACKET INC / CUSIP. 004764106 / Symbol APKT							
	3 tax lots for 02/06/13						
	85 000	*2,486 20*	*04/06/11*	*6,132 74*		*-3,646 54*	*Sale*
	33 000	*965 23*	*08/19/11*	*1,340 32*	.	*-375 09*	*Sale*
	2 000	*58 50*	*10/07/11*	*79 12*		*-20 62*	*Sale*
02/06/13	120.000	3,509.93	VARIOUS	7,552 18	0 00	-4,042.25	Total of 3 lots
	2 tax lots for 02/15/13						
	19 000	*556 31*	*10/07/11*	*751 64*	.	*-195 33*	*Sale*
	45 000	*1,317 57*	*11/29/11*	*1,485 62*	..	*-168 05*	*Sale*
02/15/13	64 000	1,873 88	VARIOUS	2,237.26	0.00	-363.38	Total of 2 lots
Security total.		5,383 81		9,789 44	0.00	-4,405.63	
ANADARKO PETE CORP / CUSIP 032511107 / Symbol APC							
01/29/13	1.000	80.44	08/23/11	67.56	...	12.88	Sale
06/07/13	7.000	618 78	08/23/11	472 92	.	145.86	Sale
	3 tax lots for 06/21/13						
	31.000	*2,580 55*	*08/23/11*	*2,094 36*	...	*486 19*	*Sale*
	15 000	*1,248 65*	*11/09/11*	*1,200 90*		*47.75*	*Sale*
	10 000	*832 44*	*04/09/12*	*759 18*		*73 25*	*Sale*
06/21/13	56.000	4,661.64	VARIOUS	4,054.44	0 00	607.19	Total of 3 lots
Security total		5,360 86		4,594.92	0 00	765.93	
APOLLO ED GROUP INC CL A / CUSIP 037604105 / Symbol APOL							
04/17/13	51.000	892.71	04/13/12	1,888 52	...	-995.81	Sale
04/24/13	62.000	1,053.41	04/18/12	2,222 08	...	-1,168.67	Sale
04/26/13	38.000	689.32	04/18/12	1,361 92	...	-672.60	Sale
	2 tax lots for 05/06/13						
	19.000	*334 79*	*04/18/12*	*680 96*	. .	*-346 17*	*Sale*
	29 000	*511 00*	*04/23/12*	*998 47*	. .	*-487 47*	*Sale*
05/06/13	48 000	845.79	VARIOUS	1,679 43	0.00	-833.64	Total of 2 lots
05/10/13	15.000	278.50	04/23/12	516 45	...	-237.95	Sale
Security total		3,759.73		7,668.40	0 00	-3,908 67	

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
APPLE INC / CUSIP. 037833100 / Symbol. AAPL							
	4 tax lots for 03/05/13						
	12 000	*5,195 41*	*02/24/09*	*1,062 33*		*4,133 08*	*Sale*
	10 000	*4,329 51*	*04/06/11*	*3,377 20*	.	*952 31*	*Sale*
	3 000	*1,298 85*	*04/21/11*	*1,054 35*		*244 50*	*Sale*
	6 000	*2,597 71*	*05/31/11*	*2,070 61*		*527 10*	*Sale*
03/05/13	31 000	13,421.48	VARIOUS	7,564.49	0 00	5,856.99	Total of 4 lots
BURBERRY GROUP PLC SPONSORED ADR / CUSIP 12082W204 / Symbol BURBY							
	2 tax lots for 02/08/13						
	26.000	*1,118.75*	*04/06/11*	*1,045 20*		*73 55*	*Sale*
	4 000	*172 12*	*05/31/11*	*176 24*		*-4 12*	*Sale*
02/08/13	30 000	1,290 87	VARIOUS	1,221.44	0 00	69.43	Total of 2 lots
	2 tax lots for 08/14/13						
	20 000	*986 38*	*05/31/11*	*881 20*	.	*105 18*	*Sale*
	10 000	*493 19*	*08/26/11*	*420 84*		*72 35*	*Sale*
08/14/13	30 000	1,479.57	VARIOUS	1,302 04	0 00	177.53	Total of 2 lots
09/12/13	26 000	1,325.71	08/26/11	1,094.17	...	231.54	Sale
	3 tax lots for 09/17/13						
	12 000	*612 35*	*08/26/11*	*505 00*		*107 35*	*Sale*
	36.000	*1,837 04*	*04/23/12*	*1,667 16*	..	*169.88*	*Sale*
	1 000	*51 03*	*06/04/12*	*40 44*		*10 59*	*Sale*
09/17/13	49 000	2,500 42	VARIOUS	2,212.60	0 00	287.82	Total of 3 lots
Security total		6,596.57		5,830.25	0 00	766.32	
CSX CORP / CUSIP. 126408103 / Symbol CSX							
01/29/13	1 000	22.53	12/16/11	20 35	...	2.18	Sale
04/03/13	31.000	742 43	12/16/11	630 82	.	111.61	Sale
	2 tax lots for 05/06/13.						
	16 000	*402 71*	*12/16/11*	*325 58*		*77 13*	*Sale*
	139 000	*3,498 57*	*12/30/11*	*2,924 55*		*574 02*	*Sale*
05/06/13	155.000	3,901 28	VARIOUS	3,250 13	0 00	651.15	Total of 2 lots

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

LONG-TERM TRANSACTIONS *NOT reported on Form 1099-B*

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CSX CORP / CUSIP. 126408103 / Symbol CSX (cont'd)							
	2 tax lots for 05/22/13						
	3 000	*78 66*	*12/30/11*	*63 12*	..	*15 54*	*Sale*
	102 000	*2,674 40*	*02/17/12*	*2,208 30*		*466 10*	*Sale*
05/22/13	105 000	2,753 06	VARIOUS	2,271.42	0.00	481.64	Total of 2 lots
	2 tax lots for 05/28/13						
	82 000	*2,109 83*	*02/17/12*	*1,775 30*		*334 53*	*Sale*
	27.000	*694 70*	*04/23/12*	*576 45*		*118 25*	*Sale*
05/28/13	109 000	2,804 53	VARIOUS	2,351 75	0 00	452.78	Total of 2 lots
	2 tax lots for 06/05/13						
	60 000	*1,466 98*	*04/23/12*	*1,281 00*		*185 98*	*Sale*
	2 000	*48 90*	*06/04/12*	*40 60*	...	*8 30*	*Sale*
06/05/13	62 000	1,515 88	VARIOUS	1,321.60	0 00	194 28	Total of 2 lots
Security total		11,739.71		9,846 07	0 00	1,893.64	
CELGENE CORP / CUSIP 151020104 / Symbol CELG							
07/18/13	34 000	4,511.79	06/22/12	2,056 10	..	2,455.69	Sale
CITRIX SYS INC / CUSIP. 177376100 / Symbol. CTXS							
	3 tax lots for 01/31/13						
	14 000	*1,034 71*	*12/01/11*	*1,003 23*	..	*31 48*	*Sale*
	9 000	*665 17*	*12/22/11*	*540 72*		*124 45*	*Sale*
	3 000	*221 72*	*12/28/11*	*182 55*	..	*39 17*	*Sale*
01/31/13	26.000	1,921 60	VARIOUS	1,726 50	0 00	195.10	Total of 3 lots
COBALT INTL ENERGY INC / CUSIP· 19075F106 / Symbol CIE							
04/03/13	76 000	2,080 84	05/16/11	1,025 24	.	1,055.60	Sale
11/05/13	16 000	372 65	05/16/11	215 84	...	156 81	Sale
	3 tax lots for 12/03/13						
	11 000	*193 16*	*05/16/11*	*148 39*		*44 77*	*Sale*
	48 000	*842 87*	*08/01/11*	*600 43*	.	*242 44*	*Sale*

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
COBALT INTL ENERGY INC / CUSIP 19075F106 / Symbol CIE (cont'd)							
	53 000	*930 67*	*08/05/11*	*538 05*		*392 61*	*Sale*
12/03/13	112 000	1,966.70	VARIOUS	1,286 87	0 00	679.82	Total of 3 lots
Security total		4,420.19		2,527 95	0 00	1,892.23	
DIAGEO P L C SPON ADR NEW / CUSIP 25243Q205 / Symbol DEO							
03/22/13	3 000	370.85	04/06/11	235.41	.	135 44	Sale
	2 tax lots for 07/31/13						
	2 000	*250 75*	*04/06/11*	*156 94*		*93 81*	*Sale*
	17 000	*2,131 40*	*12/06/11*	*1,451 57*		*679 83*	*Sale*
07/31/13	19 000	2,382.15	VARIOUS	1,608.51	0 00	773.64	Total of 2 lots
Security total		2,753 00		1,843 92	0 00	909.08	
DICKS SPORTING GOODS INC / CUSIP· 253393102 / Symbol DKS							
07/10/13	3.000	147.44	01/06/12	105.00	...	42.44	Sale
08/08/13	11.000	572.00	01/06/12	384.99	..	187.01	Sale
	2 tax lots for 11/13/13						
	12 000	*652 91*	*01/06/12*	*419 99*		*232 92*	*Sale*
	7 000	*380 86*	*04/23/12*	*346 78*		*34 08*	*Sale*
11/13/13	19 000	1,033 77	VARIOUS	766 77	0 00	267.00	Total of 2 lots
	4 tax lots for 12/31/13						
	11 000	*635 02*	*04/23/12*	*544 94*		*90 08*	*Sale*
	1 000	*57 73*	*06/04/12*	*44 54*		*13 19*	*Sale*
	22 000	*1,270 04*	*09/20/12*	*1,180 73*	.	*89 31*	*Sale*
	19 000	*1,096 85*	*12/19/12*	*878 75*		*218 10*	*Sale*
12/31/13	53 000	3,059 64	VARIOUS	2,648.96	0.00	410.68	Total of 4 lots
Security total		4,812 85		3,905 72	0.00	907.13	
DISNEY WALT CO COM DISNEY / CUSIP 254687106 / Symbol DIS							
01/29/13	1 000	54 00	01/13/10	31 15		22.85	Sale
	2 tax lots for 07/18/13						
	35.000	*2,317 34*	*01/13/10*	*1,090 25*	...	*1,227 09*	*Sale*

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
DISNEY WALT CO COM DISNEY / CUSIP. 254687106 / Symbol DIS (cont'd)							
	10 000	*662 10*	*05/16/11*	*410 09*		*252 01*	*Sale*
07/18/13	45.000	2,979.44	VARIOUS	1,500.34	0 00	1,479.10	Total of 2 lots
08/08/13	4.000	263.39	05/16/11	164 03	...	99.36	Sale
	Security total:	3,296 83		1,695 52	0 00	1,601 31	
DOLLAR GEN CORP NEW / CUSIP 256677105 / Symbol DG							
	3 tax lots for 02/08/13						
	38 000	*1,746 54*	*12/16/11*	*1,541 14*	.	*205 40*	*Sale*
	46 000	*2,114 23*	*12/28/11*	*1,903 89*		*210 34*	*Sale*
	18 000	*827 31*	*01/06/12*	*734 04*		*93 27*	*Sale*
02/08/13	102 000	4,688 08	VARIOUS	4,179.07	0 00	509.01	Total of 3 lots
EBAY INC / CUSIP 278642103 / Symbol EBAY							
	2 tax lots for 11/22/13						
	35 000	*1,765 05*	*02/17/12*	*1,228 50*		*536 55*	*Sale*
	22 000	*1,109 46*	*04/23/12*	*873 62*		*235 84*	*Sale*
11/22/13	57 000	2,874 51	VARIOUS	2,102.12	0 00	772 39	Total of 2 lots
FLUOR CORP NEW / CUSIP 343412102 / Symbol FLR							
01/09/13	5.000	301.45	08/10/11	272.46	...	29.00	Sale
01/29/13	1 000	65.95	01/23/12	55.60		10.35	Sale
04/03/13	17.000	1,064.87	01/23/12	945.20	...	119.67	Sale
08/08/13	1 000	66 21	01/23/12	55 60		10.61	Sale
	2 tax lots for 10/21/13						
	9 000	*694 15*	*01/23/12*	*500 40*		*193 75*	*Sale*
	14 000	*1,079 80*	*04/23/12*	*797 02*		*282 78*	*Sale*
10/21/13	23 000	1,773 95	VARIOUS	1,297.42	0 00	476.53	Total of 2 lots
10/28/13	15.000	1,144 48	04/23/12	853.95	.	290.53	Sale
	Security total	4,416 91		3,480 23	0 00	936.69	
FREEPORT-MCMORAN COPPER & GOLD / CUSIP 35671D857 / Symbol FCX							
01/29/13	1.000	35 07	05/16/11	47.93	.	-12.86	Sale

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc.

Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
FREEPORT-MCMORAN COPPER & GOLD / CUSIP. 35671D857 / Symbol FCX (cont'd)							
	3 tax lots for 10/21/13						
	16 000	*558 23*	*05/16/11*	*766.86*	.	*-208 64*	*Sale*
	21 000	*732 67*	*05/23/11*	*988 24*		*-255 57*	*Sale*
	5 000	*174 45*	*06/23/11*	*240 64*		*-66 20*	*Sale*
10/21/13	42 000	1,465 35	VARIOUS	1,995.74	0 00	-530.41	Total of 3 lots
Security total.		1,500.42		2,043.67	0 00	-543.27	
GOOGLE INC CL A / CUSIP. 38259P508 / Symbol GOOG							
	2 tax lots for 07/15/13						
	2.000	*1,846 33*	*01/23/12*	*1,169.84*	. .	*676 49*	*Sale*
	2 000	*1,846 33*	*01/27/12*	*1,149 20*		*697 13*	*Sale*
07/15/13	4 000	3,692 66	VARIOUS	2,319 04	0 00	1,373 62	Total of 2 lots
	2 tax lots for 07/18/13						
	4 000	*3,654 84*	*01/27/12*	*2,298 39*		*1,356 45*	*Sale*
	3 000	*2,741 13*	*02/06/12*	*1,817 73*		*923 40*	*Sale*
07/18/13	7.000	6,395.97	VARIOUS	4,116 12	0 00	2,279.85	Total of 2 lots
Security total		10,088.63		6,435 16	0.00	3,653.47	
HOLOGIC INC / CUSIP 436440101 / Symbol HOLX							
	2 tax lots for 07/23/13						
	93 000	*2,129 67*	*06/12/12*	*1,554 02*		*575 65*	*Sale*
	32 000	*732 79*	*06/13/12*	*539 84*	. .	*192 95*	*Sale*
07/23/13	125 000	2,862 46	VARIOUS	2,093 86	0.00	768.60	Total of 2 lots
	2 tax lots for 07/26/13						
	48 000	*1,090 06*	*06/13/12*	*809 76*		*280 30*	*Sale*
	46 000	*1,044 64*	*06/19/12*	*827 07*	...	*217 57*	*Sale*
07/26/13	94 000	2,134.70	VARIOUS	1,636.83	0.00	497.87	Total of 2 lots
	2 tax lots for 09/11/13						
	29 000	*584 63*	*06/19/12*	*521 42*		*63 22*	*Sale*

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc.

Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
HOLOGIC INC / CUSIP 436440101 / Symbol HOLX (cont'd)							
	9 000	*181 44*	*06/25/12*	*156 60*		*24 84*	*Sale*
09/11/13	38 000	766 07	VARIOUS	678 02	0 00	88.06	Total of 2 lots
	2 tax lots for 11/22/13.						
	40 000	*900 97*	*06/25/12*	*696 00*		*204 97*	*Sale*
	68 000	*1,531 65*	*06/26/12*	*1,171 63*		*360 02*	*Sale*
11/22/13	108 000	2,432 62	VARIOUS	1,867 63	0.00	564.99	Total of 2 lots
	Security total	8,195.85		6,276 34	0.00	1,919.52	
JOHNSON CTLS INC / CUSIP 478366107 / Symbol JCI							
	2 tax lots for 01/09/13						
	56 000	*1,765 08*	*04/21/11*	*2,261 84*		*-496 76*	*Sale*
	20 000	*630 39*	*05/23/11*	*754 33*		*-123.95*	*Sale*
01/09/13	76.000	2,395.47	VARIOUS	3,016.17	0.00	-620.71	Total of 2 lots
03/14/13	34 000	1,185 55	05/23/11	1,282 37	...	-96.82	Sale
04/03/13	22 000	750.18	05/23/11	829 77	...	-79.59	Sale
	2 tax lots for 05/28/13						
	18 000	*684 33*	*05/23/11*	*678 90*		*5 43*	*Sale*
	24 000	*912 43*	*06/06/11*	*903.40*		*9.04*	*Sale*
05/28/13	42.000	1,596.76	VARIOUS	1,582.30	0.00	14.47	Total of 2 lots
	3 tax lots for 06/21/13						
	43 000	*1,520 46*	*06/06/11*	*1,618 58*		*-98 13*	*Sale*
	48 000	*1,697 25*	*08/10/11*	*1,484 78*	. .	*212 47*	*Sale*
	3 000	*106 08*	*09/12/11*	*85 17*		*20 91*	*Sale*
06/21/13	94 000	3,323 79	VARIOUS	3,188 53	0 00	135.25	Total of 3 lots
	2 tax lots for 07/19/13						
	42 000	*1,702 67*	*09/12/11*	*1,192 34*		*510 33*	*Sale*
	15 000	*608 10*	*11/02/11*	*468 30*		*139 80*	*Sale*
07/19/13	57 000	2,310 77	VARIOUS	1,660 64	0 00	650 13	Total of 2 lots
11/05/13	34 000	1,576.23	11/02/11	1,061 48		514.75	Sale

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
JOHNSON CTLS INC / CUSIP. 478366107 / Symbol JCI (cont'd)							
	2 tax lots for 11/22/13						
	8 000	*402 80*	*11/02/11*	*249 76*		*153 04*	*Sale*
	15 000	*755.24*	*11/07/11*	*485 68*		*269 56*	*Sale*
11/22/13	23.000	1,158 04	VARIOUS	735 44	0 00	422.60	Total of 2 lots
	3 tax lots for 12/19/13						
	34 000	*1,703 40*	*11/07/11*	*1,100 89*		*602 51*	*Sale*
	1.000	*50 10*	*03/30/12*	*32 56*		*17 54*	*Sale*
	6 000	*300 60*	*04/23/12*	*187 92*		*112.68*	*Sale*
12/19/13	41.000	2,054.10	VARIOUS	1,321.37	0.00	732.73	Total of 3 lots
Security total		16,350.89		14,678.07	0.00	1,672.81	
JOY GLOBAL INC / CUSIP. 481165108 / Symbol. JOY							
	2 tax lots for 12/13/13						
	5 000	*266 85*	*02/27/12*	*456 00*		*-189 15*	*Sale*
	10 000	*533 71*	*03/16/12*	*800 69*		*-266 98*	*Sale*
12/13/13	15 000	800.56	VARIOUS	1,256 69	0.00	-456 13	Total of 2 lots
MOSAIC CO NEW / CUSIP 61945C103 / Symbol MOS							
01/29/13	22.000	1,362 00	06/06/11	1,481.70	...	-119.70	Sale
05/10/13	7 000	441.35	06/06/11	471.45	...	-30 10	Sale
	3 tax lots for 07/18/13.						
	12 000	*648 59*	*06/06/11*	*808 20*		*-159 61*	*Sale*
	12 000	*648 59*	*06/23/11*	*757 08*		*-108 49*	*Sale*
	3 000	*162 15*	*08/10/11*	*178 74*		*-16 60*	*Sale*
07/18/13	27.000	1,459.33	VARIOUS	1,744 02	0 00	-284 70	Total of 3 lots
07/31/13	11 000	466.51	08/10/11	655 39	..	-188 88	Sale
	4 tax lots for 09/17/13						
	22 000	*985 14*	*08/10/11*	*1,310 78*		*-325 64*	*Sale*
	39 000	*1,746 39*	*12/01/11*	*2,056 84*		*-310 45*	*Sale*
	15 000	*671 69*	*01/17/12*	*832 47*		*-160 78*	*Sale*

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
MOSAIC CO NEW / CUSIP 61945C103 / Symbol MOS (cont'd)							
	29 000	*1,298 60*	*04/23/12*	*1,458 12*		*-159 52*	*Sale*
09/17/13	105.000	4,701.82	VARIOUS	5,658 21	0 00	-956.39	Total of 4 lots
	2 tax lots for 09/19/13						
	12 000	*541 55*	*04/23/12*	*603 36*		*-61 81*	*Sale*
	1 000	*45 13*	*06/04/12*	*45 58*		*-0 45*	*Sale*
09/19/13	13.000	586.68	VARIOUS	648.94	0 00	-62.26	Total of 2 lots
Security total		9,017.69		10,659.71	0.00	-1,642.03	
NETAPP INC / CUSIP 64110D104 / Symbol NTAP							
05/28/13	26 000	986 94	05/24/12	746 20	...	240.74	Sale
07/15/13	38 000	1,537 45	05/24/12	1,090 59		446.86	Sale
Security total		2,524 39		1,836.79	0 00	687.60	
PHILIP MORRIS INTL INC / CUSIP 718172109 / Symbol PM							
	3 tax lots for 12/09/13						
	19 000	*1,636 65*	*11/01/12*	*1,664 76*		*-28 11*	*Sale*
	26.000	*2,239.62*	*11/05/12*	*2,240 65*	...	*-1 03*	*Sale*
	8 000	*689 11*	*12/07/12*	*713 91*		*-24 79*	*Sale*
12/09/13	53.000	4,565 38	VARIOUS	4,619.32	0 00	-53 93	Total of 3 lots
QUALCOMM INC / CUSIP. 747525103 / Symbol QCOM							
	2 tax lots for 09/11/13						
	14 000	*957 58*	*04/07/10*	*596 26*	...	*361.32*	*Sale*
	4 000	*273 60*	*04/08/10*	*168 56*		*105 04*	*Sale*
09/11/13	18.000	1,231 18	VARIOUS	764 82	0 00	466 36	Total of 2 lots
	3 tax lots for 10/07/13						
	18 000	*1,193 38*	*04/08/10*	*758 52*		*434 86*	*Sale*
	66 000	*4,375 72*	*07/30/10*	*2,508 60*		*1,867 12*	*Sale*
	3 000	*198 90*	*04/06/11*	*160 92*		*37 98*	*Sale*
10/07/13	87.000	5,768.00	VARIOUS	3,428 04	0.00	2,339.96	Total of 3 lots

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes.

Oppenheimer & Co. Inc.

Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS ***NOT reported on Form 1099-B***

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
QUALCOMM INC / CUSIP 747525103 / Symbol. QCOM (cont'd)							
	10 tax lots for 11/06/13						
	3 000	*208 28*	*04/06/11*	*160.92*		*47 36*	*Sale*
	1 000	*69 43*	*05/16/11*	*56 98*		*12 45*	*Sale*
	42 000	*2,916 01*	*05/16/11*	*2,393 16*		*522 85*	*Sale*
	15 000	*1,041 43*	*05/31/11*	*874 35*		*167 08*	*Sale*
	18 000	*1,249 72*	*06/30/11*	*1,020 76*	.	*228 96*	*Sale*
	17 000	*1,180 29*	*11/02/11*	*875 12*		*305 17*	*Sale*
	72 000	*4,998 87*	*04/23/12*	*4,438 08*	.	*560 79*	*Sale*
	1 000	*69 43*	*04/24/12*	*61 57*	...	*7 86*	*Sale*
	1 000	*69 43*	*06/04/12*	*55 80*		*13 63*	*Sale*
	44 000	*3,054 87*	*06/26/12*	*2,380 31*		*674.56*	*Sale*
11/06/13	214.000	14,857 76	VARIOUS	12,317 05	0 00	2,540.71	Total of 10 lots
11/14/13	48 000	3,413 22	06/26/12	2,596 70		816.52	Sale
Security total		25,270 16		19,106.61	0 00	6,163.55	
ROCKWELL AUTOMATION INC / CUSIP 773903109 / Symbol· ROK							
08/02/13	15 000	1,498.80	06/08/12	1,049 54	.	449.26	Sale
	2 tax lots for 08/26/13						
	10 000	*994 75*	*06/08/12*	*699 69*		*295 05*	*Sale*
	5 000	*497 37*	*07/18/12*	*330 05*		*167 33*	*Sale*
08/26/13	15.000	1,492.12	VARIOUS	1,029.74	0.00	462.38	Total of 2 lots
08/29/13	26 000	2,548.14	07/18/12	1,716 23	..	831.91	Sale
Security total		5,539.06		3,795 51	0 00	1,743 55	
SCHLUMBERGER LTD / CUSIP 806857108 / Symbol SLB							
11/22/13	19 000	1,753 85	11/02/11	1,362 11		391.74	Sale
SHERWIN WILLIAMS CO / CUSIP 824348106 / Symbol SHW							
10/31/13	14 000	2,635 45	09/18/12	2,037.28		598.17	Sale
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP 881624209 / Symbol TEVA							
08/21/13	26.000	1,010.86	08/10/12	1,073 02	...	-62.16	Sale
08/22/13	10 000	383 79	08/10/12	412 70		-28.91	Sale
Security total		1,394.65		1,485.72	0.00	-91.07	

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

2013 (continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
TEXTRON INC / CUSIP: 883203101 / Symbol TXT							
11/18/13	22 000	680 91	03/02/12	594 22		86.69	Sale
12/27/13	53 000	1,957 65	03/02/12	1,431.53		526.12	Sale
Security total		2,638 56		2,025 75	0 00	612.81	
VISA INC COM CL A / CUSIP: 92826C839 / Symbol V							
07/10/13	3 000	559.32	04/06/11	227 88		331.44	Sale
08/02/13	8.000	1,467.11	04/06/11	607 68	...	859 43	Sale
08/08/13	4.000	724.90	04/06/11	303 84	. .	421 06	Sale
Security total		2,751.33		1,139.40	0.00	1,611.93	
WELLPOINT INC / CUSIP 94973V107 / Symbol. WLP							
2 tax lots for 03/21/13							
	16 000	*1,023 81*	*01/27/12*	*1,035 84*		*-12 03*	*Sale*
	2 000	*127 98*	*02/02/12*	*130 54*		*-2 56*	*Sale*
03/21/13	18.000	1,151 79	VARIOUS	1,166.38	0.00	-14.59	Total of 2 lots
04/17/13	16 000	1,105 16	02/02/12	1,044 30	...	60.86	Sale
05/06/13	3.000	221.48	04/23/12	211 65	.	9.83	Sale
Security total.		2,478.43		2,422 33	0 00	56.10	
WESTERN UN CO / CUSIP 959802109 / Symbol WU							
2 tax lots for 08/02/13							
	52 000	*946 39*	*04/06/11*	*1,092 00*	.	*-145 61*	*Sale*
	112 000	*2,038 37*	*07/18/11*	*2,124 63*	.	*-86 26*	*Sale*
08/02/13	164.000	2,984 76	VARIOUS	3,216 63	0 00	-231.87	Total of 2 lots
08/19/13	60.000	1,092.59	10/07/11	941 40		151.19	Sale
4 tax lots for 08/21/13							
	58 000	*1,043 98*	*10/07/11*	*910 02*		*133 96*	*Sale*
	50.000	*899 98*	*11/11/11*	*861 45*	...	*38 53*	*Sale*
	77 000	*1,385 98*	*04/23/12*	*1,371 37*	..	*14.61*	*Sale*
	97 000	*1,745 97*	*05/22/12*	*1,659 66*	.	*86 31*	*Sale*
08/21/13	282.000	5,075.91	VARIOUS	4,802.50	0.00	273.41	Total of 4 lots

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes

Oppenheimer & Co. Inc. Account G401416099

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
WESTERN UN CO / CUSIP 959802109 / Symbol WU (cont'd)							
	2 tax lots for 10/10/13						
	32.000	*586.23*	*05/22/12*	*547 52*	..	*38 72*	*Sale*
	58 000	*1,062 55*	*06/07/12*	*961 06*	.	*101 49*	*Sale*
10/10/13	90 000	1,648.78	VARIOUS	1,508 58	0 00	140.21	Total of 2 lots
10/30/13	69.000	1,107.43	06/07/12	1,143.32	...	-35.89	Sale
Security total		11,909 47		11,612 43	0 00	297.05	
WEATHERFORD INTERNATIONAL LTD REG SHS / CUSIP H27013103 / Symbol WFT							
04/11/13	137.000	1,730 20	04/06/11	2,990 71	..	-1,260.51	Sale
	4 tax lots for 04/24/13						
	179 000	*2,289 36*	*04/06/11*	*3,907 57*		*-1,618 21*	*Sale*
	1 000	*12 79*	*07/18/11*	*18 41*		*-5 62*	*Sale*
	48 000	*613 91*	*03/30/12*	*728 59*		*-114 68*	*Sale*
	85 000	*1,087 12*	*04/23/12*	*1,170 45*		*-83 33*	*Sale*
04/24/13	313.000	4,003 18	VARIOUS	5,825 02	0 00	-1,821 84	Total of 4 lots
Security total		5,733 38		8,815 73	0 00	-3,082.35	
Totals:		**195,106.07**		**170,419.32**	**0.00**	**24,686.73**	

Proceeds are the net sale amount received and may be adjusted for option premiums or specific tax treatment of capital changes