efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491224002364

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
AVY AND MARCIE STEIN FOUNDATION

% AVY STEIN

Number and street (or P O box number if mail is not delivered to street address)
1033 SKOKIE BOULEVARD
SUITE 360 Suite

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
NORTHBROOK, IL 60062

A Employer identification number
36-3993406

B Telephone number (see instructions)
(312) 422-2406

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☑ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 1,359

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	244,390			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	1	1		
	4	Dividends and interest from securities.	321	321		
	5a	Gross rents				
	b	Net rental income or (loss) ____________				
	6a	Net gain or (loss) from sale of assets not on line 10	3,027			
	b	Gross sales price for all assets on line 6a 204,314				
	7	Capital gain net income (from Part IV, line 2)		117,833		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	247,739	118,155		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,720	2,360	0	2,360
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	25			25
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	55	28		27
	24	**Total operating and administrative expenses.** Add lines 13 through 23	4,800	2,388	0	2,412
	25	Contributions, gifts, grants paid	328,636			328,636
	26	**Total expenses and disbursements.** Add lines 24 and 25	333,436	2,388	0	331,048
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-85,697			
	b	**Net investment income** (if negative, enter -0-)		115,767		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	87,056	1,359	1,359
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	87,056	1,359	1,359
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	87,056	1,359	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	87,056	1,359	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	87,056	1,359	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	87,056
2	Enter amount from Part I, line 27a	2	-85,697
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,359
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,359

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	GOLDMAN SACHS - ASSORTED SECURITIES SEE ATTACHED	D	2011-01-01	2013-12-31
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	204,314		86,481	117,833
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				117,833
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	117,833
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	419,861	65,605	6 399832
2011	321,604	64,497	4 98634
2010	466,323	58,763	7 935657
2009	494,357	74,737	6 614622
2008	781,340	49,076	15 92102

2	**Total** of line 1, column (d).	2	41 857471
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	8 371494
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	75,379
5	Multiply line 4 by line 3.	5	631,035
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,158
7	Add lines 5 and 6.	7	632,193
8	Enter qualifying distributions from Part XII, line 4.	8	331,048

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	2,315
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	2,315
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,315
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,316		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	3,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	5,316
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,001
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 3,001 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶AVY STEIN Telephone no ▶(312) 422-2406

Located at ▶1033 SKOKIE BOULEVARD SUITE 360 NORTHBROOK IL ZIP+4 ▶60062

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
AVY STEIN 1033 SKOKIE BOULEVARD NORTHBROOK,IL 60062	DIRECTOR 0	0	0	0
MARCIE STEIN 1033 SKOKIE BOULEVARD NORTHBROOK,IL 60062	DIRECTOR 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	15,736
b	Average of monthly cash balances.	1b	60,791
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	76,527
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	76,527
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,148
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	75,379
6	**Minimum investment return.** Enter 5% of line 5.	6	3,769

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	3,769
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	2,315		
b	Income tax for 2013 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	2,315
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	1,454
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	1,454
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	1,454

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	331,048
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	331,048
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	331,048

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,454
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. 781,548				
b From 2009. 494,357				
c From 2010. 464,571				
d From 2011. 319,200				
e From 2012. 419,051				
f **Total** of lines 3a through e.	2,478,727			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 331,048				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				1,454
e Remaining amount distributed out of corpus	329,594			
5 Excess distributions carryover applied to 2013				
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,808,321			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	781,548			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	2,026,773			
10 Analysis of line 9				
a Excess from 2009. . . . 494,357				
b Excess from 2010. . . . 464,571				
c Excess from 2011. . . . 319,200				
d Excess from 2012. . . . 419,051				
e Excess from 2013. . . . 329,594				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

AVY MARCIE STEIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SEE ATTACHED SEE ATTACHED, IL 60611	NONE	PC	UNRESTRICTED	328,636
Total . . . . ▶ **3a**				328,636
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1	
4 Dividends and interest from securities. . . .			14	321	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	3,027	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				3,349	

13 Total. Add line 12, columns (b), (d), and (e). **13** 3,349

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-05-15	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Michael Dicker				

Firm's name	TOPEL FORMAN LLC	Firm's EIN
Firm's address	500 NORTH MICHIGAN AVE SUITE 1700 CHICAGO, IL 60611	Phone no (312) 642-0006

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization
AVY AND MARCIE STEIN FOUNDATION

Employer identification number
36-3993406

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
AVY AND MARCIE STEIN FOUNDATION

Employer identification number
36-3993406

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AVY MARCIE STEIN 57 MAPLE HILL RD GLENCOE, IL60022	$ 103,523	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
2	AVY MARCIE STEIN 57 MAPLE HILL RD GLENCOE, IL60022	$ 97,766	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
3	AVY MARCIE STEIN 57 MAPLE HILL RD GLENCOE, IL60022	$ 43,101	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
AVY AND MARCIE STEIN FOUNDATION

Employer identification number
36-3993406

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	SECURITIES - see attached	$ 103,523	2013-09-18

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	SECURITIES - see attached	$ 97,766	2013-04-17

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
AVY AND MARCIE STEIN FOUNDATION

Employer identification number
36-3993406

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: AVY AND MARCIE STEIN FOUNDATION

EIN: 36-3993406

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included

TY 2013 Investments - Land Schedule

Name: AVY AND MARCIE STEIN FOUNDATION

EIN: 36-3993406

TY 2013 Land, Etc. Schedule

Name: AVY AND MARCIE STEIN FOUNDATION

EIN: 36-3993406

TY 2013 Other Expenses Schedule

Name: AVY AND MARCIE STEIN FOUNDATION

EIN: 36-3993406

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	55	28		27

TY 2013 Taxes Schedule

Name: AVY AND MARCIE STEIN FOUNDATION

EIN: 36-3993406

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IL CHARITY BUREAU FUND	15			15
IL ATTORNEY GENERAL	10			10

Avy & Marcie Stein Foundation
2,013.00
CHECK

DATE	RELATIOI	TYPE	PURPOSE	ORGANIZATION	Address	Amount
1/7/2013	NONE	PC	UNRESTRICTED	KARMA FOR CARA	650 South Exeter St, Suite 1000, Baltimore, MD 21202	1,000 00
1/8/2013	NONE	PC	UNRESTRICTED	CLAREMONT MCKENNA COLLEGE	888 Columbia Ave, Claremont, CA 91711	10,000 00
1/21/2013	NONE	PC	UNRESTRICTED	NICKLAUS CHILDRENS HEALTH CARE F(	11770 U S Highway One, Suite 303, North Palm Beach, FL 33408	10,785 00
			UNRESTRICTED	PRIOR YEAR ADJUSTMENT		
2/8/2013	NONE	PC	UNRESTRICTED	CYSTIC FIBROSIS	150 N Michigan Ave, Chicago, IL 60601	2,000 00
2/8/2013	NONE	PC	UNRESTRICTED	JEWISH UNITED FUND	30 S Wells St, Chicago, IL 60606	7,500 00
2/11/2013	NONE	PC	UNRESTRICTED	LEE TURNEE ALS FOUNDATION	900 N Lake Shore Dr, Chicago, IL 60611	1,000 00
2/11/2013	NONE	PC	UNRESTRICTED	VOLUNTEER CENTER OF NEW TRIER	520 Glendale Ave, Winnetka, IL 60093	500 00
2/11/2013	NONE	PC	UNRESTRICTED	SARAH SIDDONS SOCIETY	333 N Michigan Ave Chicago, IL 60601	100 00
2/13/2013	NONE	PC	UNRESTRICTED	ILLINOIS HOLOCAUST MUSEUM	9603 Woods Dr, Skokie, IL 60077	800 00
3/4/2013	NONE	PC	UNRESTRICTED	RAVINA FESTIVAL	418 Sheridan Rd, Highland Park, IL 60035	500 00
3/5/2013	NONE	PC	UNRESTRICTED	EVANS SCHOLARSHIP FOUNDATION	One Briar Road, Golf, Illinois 60029-0301	500 00
3/5/2013	NONE	PC	UNRESTRICTED	BLIND CHILDRENS CENTER	4120 Marathon St, Los Angeles, CA 90029	1,000 00
3/5/2013	NONE	PC	UNRESTRICTED	MUSIC THEATRE COMPANY	1850 Green Bay Rd, Highland Park, IL 60035	300 00
3/5/2013	NONE	PC	UNRESTRICTED	KESHET	3210 Dundee Rd, Northbrook, IL 60062	250 00
3/6/2013	NONE	PC	UNRESTRICTED	B U I L D	2385 Bay Road, Redwood City, CA 94063	13,850 00
3/8/2013	NONE	PC	UNRESTRICTED	MIDWEST PALLIATIVE HOSPICE CARE	2050 Claire Ct, Glenview, IL 60025	250 00
3/14/2013	NONE	PC	UNRESTRICTED	NORTHLIGHT THEATRE	9501 Skokie Blvd, Skokie, IL 60077	455 00
3/15/2013	NONE	PC	UNRESTRICTED	NICKLAUS CHILDRENS HEALTH CARE F(	11770 U S Highway One, Suite 303, North Palm Beach, FL 33408	1,000 00
4/1/2013	NONE	PC	UNRESTRICTED	RENEW HOPE	198 Palm Harbor Blvd S, Palm Harbor, FL 34683	1,000 00
4/1/2013	NONE	PC	UNRESTRICTED	U S FUND FOR UNICEF	125 Maiden Lane, New York, NY 10038	2,500 00
4/1/2013	NONE	PC	UNRESTRICTED	RAVINA FESTIVAL	418 Sheridan Rd, Highland Park, IL 60035	1,000 00
4/1/2013	NONE	PC	UNRESTRICTED	WILMETTE THEATRE ATC PRODUCTION	1122 Central Ave Wilmette, IL 60091	5,000 00
4/4/2013	NONE	PC	UNRESTRICTED	ALZHEIMER'S ASSOCIATION	225 N Michigan Ave , Fl 17, Chicago, IL 60601-7633	2,500 00
4/25/2013	NONE	PC	UNRESTRICTED	MERIT SCHOOL OF MUSIC	38 S Peoria St, Chicago, IL 60607	1,000 00
4/25/2013	NONE	PC	UNRESTRICTED	STARLIGHT CHILDRENS FOUNDATION M	30 E Adams St, Chicago, IL 60603	2,000 00
4/25/2013	NONE	PC	UNRESTRICTED	NORTHLIGHT THEATRE	9501 Skokie Blvd, Skokie, IL 60077	2,500 00
4/26/2013	NONE	PC	UNRESTRICTED	WOMENS BOARD OF RAVINA	418 Sheridan Road Highland Park, Illinois 60035	3,000 00
5/1/2013	NONE	PC	UNRESTRICTED	FAMILY SERVICE OF GLENCOE	325 Hazel Ave, Glencoe, IL 60022	1,250 00
5/8/2013	NONE	PC	UNRESTRICTED	AMERICAN JEWISH COMMITTEE	55 E Monroe St, Chicago, IL 60603	1,000 00
5/22/2013	NONE	PC	UNRESTRICTED	SARAH SIDDONS SOCIETY	333 N Michigan Ave Chicago, IL 60601	3,500 00
5/23/2013	NONE	PC	UNRESTRICTED	NEW YORK MUSIC FESTIVAL	250 West 49th Street, Suite 601, New York, NY 10019	5,000 00
5/23/2013	NONE	PC	UNRESTRICTED	SARAH SIDDONS SOCIETY	333 N Michigan Ave Chicago, IL 60601	1,750 00
5/24/2013	NONE	PC	UNRESTRICTED	TEACH FOR AMERICA	300 W Adams St #1000, Chicago, IL 60606	10,000 00
6/10/2013	NONE	PC	UNRESTRICTED	GARY SINISE FOUNDATION	PO Box 50008, Studio City, CA 91614-500	2,000 00
6/17/2013	NONE	PC	UNRESTRICTED	MORSE LIFE FOUNDATION	4920 Loring Dr, West Palm Beach, FL 33417	1,000 00
6/18/2013	NONE	PC	UNRESTRICTED	SARAH SIDDONS SOCIETY	333 N Michigan Ave Chicago, IL 60601	1,225 00
6/22/2013	NONE	PC	UNRESTRICTED	NATIONAL MULTIPLE SCLEROSIS	525 W Monroe St, Chicago, IL 60661	12,500 00
7/10/2013	NONE	PC	UNRESTRICTED	CHILDRENS RESEARCH FUND	225 East Chicago Avenue, Box 4, Chicago, IL 60611-2605	500 00

7/10/2013	NONE	PC	UNRESTRICTED	AMERICAN HEART ASSOCIATION	208 S LaSalle St Ste 1500, Chicago, IL 60604	200 00
7/11/2013	NONE	PC	UNRESTRICTED	WOMEN'S BOARD OF RAVINA FESTIVAL	418 Sheridan Road Highland Park, Illinois 60035	110 00
7/11/2013	NONE	PC	UNRESTRICTED	SUSAN F LASKY CANCER FOUNDATION	2150 E Lake Cook Rd Ste 430, Buffalo Grove, Illinois 60089-1876	250 00
7/28/2013	NONE	PC	UNRESTRICTED	NORTH SHORE CONGREGATION	1185 Sheridan Rd, Glencoe, IL 60022	25,000 00
7/28/2013	NONE	PC	UNRESTRICTED	CHICAGO DRAMATISTS	1105 W Chicago Ave, Chicago, IL 60642	250 00
7/29/2013	NONE	PC	UNRESTRICTED	CHICAGO HUMANITIES FESTIVAL	500 N Dearborn , Suite 825, Chicago , IL 60654	4,700 00
7/29/2013	NONE	PC	UNRESTRICTED	MUSIC THEATRE COMPANY	1850 Green Bay Rd, Highland Park, IL 60035	20,000 00
8/7/2013	NONE	PC	UNRESTRICTED	ANDREW SCOGGIN ALUMNI ASSOCIATIC	55 Strawberry Avenue, Lewiston, Maine 04240	1,000 00
8/27/2013	NONE	PC	UNRESTRICTED	CHARLES M SCHWARTZ	333 W Upper Wacker Dr, Chicago, IL 60606	750 00
8/29/2013	NONE	PC	UNRESTRICTED	RELAY FOR LIFE	250 Williams Street NW, Atlanta, Georgia, 30303	150 00
8/29/2013	NONE	PC	UNRESTRICTED	HIGHLAND PARK PLAYERS	636 Ridge Rd Highland Park, IL 60035	500 00
8/29/2013	NONE	PC	UNRESTRICTED	LEUKEMIA AND LYMPHOMA SOCIETY	1311 Mamaroneck Avenue, Suite 310, White Plains, NY 10605	2,500 00
8/29/2013	NONE	PC	UNRESTRICTED	AFTER SCHOOL MATTERS	78 E Washington St, Chicago, IL 60602	3,000 00
8/29/2013	NONE	PC	UNRESTRICTED	WRITERS THEATRE	321 Park Ave, Glencoe, IL, 60022	3,250 00
8/30/2013	NONE	PC	UNRESTRICTED	UNITED STATES HOLOCAUST MUSEUM	100 Raoul Wallenberg Pl SW, Washington, DC 20024	7,300 00
9/30/2013	NONE	PC	UNRESTRICTED	KOHL'S CHILDREN'S MUSEUM	2100 Patriot Blvd, Glenview, IL 60026	2,000 00
9/5/2013	NONE	PC	UNRESTRICTED	CHICAGO HOME	60606	250 00
9/6/2013	NONE	PC	UNRESTRICTED	BIRTHRIGHT ISRAEL FOUNDATION	33 E 33rd Street, 7th Floor, New York, NY 10016	3,000 00
9/6/2013	NONE	PC	UNRESTRICTED	JEWISH NATIONAL FUND	42 East 69th Street, New York, NY 10021	1,000 00
9/6/2013	NONE	PC	UNRESTRICTED	CANCER WELLNESS CENTER	215 Revere Dr, Northbrook, IL 60062	1,000 00
9/6/2013	NONE	PC	UNRESTRICTED	LURIE CHILDREN'S FOUNDATION	225 E Chicago Ave, Chicago, IL 60611	500 00
9/17/2013	NONE	PC	UNRESTRICTED	B U I L D	2385 Bay Road, Redwood City, CA 94063	250 00
9/20/2013	NONE	PC	UNRESTRICTED	BRAIN RESEARCH FOUNDATION	111 W Washington St, Chicago, IL 60602	1,000 00
10/1/2013	NONE	PC	UNRESTRICTED	FRIENDS OF BELL	3730 n oakley chicago il 60618	250 00
10/1/2013	NONE	PC	UNRESTRICTED	FOLDS OF HONOR FOUNDATION	5800 N Patriot Dr , Owasso, OK 74055	1,000 00
10/9/2013	NONE	PC	UNRESTRICTED	BEST FUTURES INC	3100 Trail Way, Highland Park, Illinois 60035	2,000 00
10/9/2013	NONE	PC	UNRESTRICTED	ISRAEL CANCER RESEARCH FUND	P O Box 405, Deerfield, IL 60015	2,200 00
10/9/2013	NONE	PC	UNRESTRICTED	LAMB'S FARM	14245 W Rockland Rd, Libertyville, IL 60048	500 00
10/9/2013	NONE	PC	UNRESTRICTED	U S FUND FOR UNICEF	125 Maiden Lane, New York, NY 10038	1,000 00
10/9/2013	NONE	PC	UNRESTRICTED	MERCY HOMES	1999 Broadway, Suite 1000, Denver, Colorado 80202	500 00
10/23/2013	NONE	PC	UNRESTRICTED	INTERACTION MUSIC WORKSHOP	32 Pennydog Court Wheaton, MD 20902	500 00
10/28/2013	NONE	PC	UNRESTRICTED	INTERNATIONAL WALDENSTROM'S FOUI	6144 Clark Center Ave, Sarasota, FL 34238	500 00
10/31/2013	NONE	PC	UNRESTRICTED	STEPPENWOLF THEATRE COMPANY	1650 N Halsted St, Chicago, IL 60614	5,000 00
10/31/2013	NONE	PC	UNRESTRICTED	READING BUDDIES OF THE NORTH	74 Central Ave Highland Park, Illinois 60035-2609 US	800 00
10/31/2013	NONE	PC	UNRESTRICTED	FACING HISTORY & OURSELVES	2 N LaSalle St #2300, Chicago, IL 60602	2,000 00
11/1/2013	NONE	PC	UNRESTRICTED	KRAVIS CENTER	701 Okeechobee Blvd, West Palm Beach, FL 33401	4,925 00
11/12/2013	NONE	PC	UNRESTRICTED	WRITERS THEATRE	321 Park Ave, Glencoe, IL, 60022	15,000 00
11/12/2013	NONE	PC	UNRESTRICTED	IMPACT 100 CHICAGO	P O Box 607 Palatine, IL 60078	1,000 00
11/16/2013	NONE	PC	UNRESTRICTED	A NIGHT OUT	247 S State St, Chicago, IL 60604	2,000 00
11/18/2013	NONE	PC	UNRESTRICTED	NORTH SHORE COUNTRY DAY SCHOOL	310 Green Bay Rd Winnetka, IL 60093	2,000 00
11/18/2013	NONE	PC	UNRESTRICTED	DREAM ASSOCIATES	14 Forest Hills Rd, Lake Bluff, IL 60044	500 00
11/20/2013	NONE	PC	UNRESTRICTED	ROBERT LURIE COMP CANCER CTR	675 N St Clair St Suite 19 100, Chicago, IL 60611	50,000 00
11/20/2013	NONE	PC	UNRESTRICTED	SPECIAL OLYMPICS	1644 N Honore St, Chicago, IL 60622	500 00

11/20/2013	NONE	PC	UNRESTRICTED	HIGHLAND PARK PLAYERS	1855 Deerfield Rd, Highland Park, IL 60035	250 00
12/14/2013	NONE	PC	UNRESTRICTED	HARVARD LAW SCHOOL	1563 Massachusetts Avenue Cambridge, MA 02138	20,000 00
12/14/2013	NONE	PC	UNRESTRICTED	HOST FOUNDATION	35 Mason St, Greenwich, Connecticut 06830-5433	1,000 00
12/14/2013	NONE	PC	UNRESTRICTED	NEW YORK UNIVERSITY	70 Washington Square S, New York, NY 10012	2,000 00
12/16/2013	NONE	PC	UNRESTRICTED	RAVINA FESTIVAL	418 Sheridan Rd, Highland Park, IL 60035	5,636 00
12/18/2013	NONE	PC	UNRESTRICTED	EVANS SCHOLARS FOUNDATION	One Briar Road • Golf, Illinois 60029-0301	5,000 00
12/18/2013	NONE	PC	UNRESTRICTED	CLAREMONT MCKENNA COLLEGE	888 Columbia Ave, Claremont, CA 91711	12,500 00
12/19/2013	NONE	PC	UNRESTRICTED	VANDERBILT UNIVERSITY	Nashville, TN 37240	100 00
					Total	328,636 00

√

	Type of Activity	Trade Date	Settlement Date	Quantity	Price	Net Settlement Amount Accrued Interest
WADDELL & REED FIN , INC CLASS A COMMON	Sale	Apr 17 13	Apr 22 13	(20 00)	39 2200	783 38
WELLS FARGO & CO (NEW) CMN	Sale	Apr 17 13	Apr 22 13	(160 00)	36 4700	5,827 06
TOTAL PURCHASES & SALES						**97,636.34**

SECURITY DEPOSITS & WITHDRAWALS

	Type of Activity	Effective Date	Quantity	Price	Value / Accrued Interest
BERKSHIRE HATHAWAY INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	25 00	105 1700	2,629 25
CBRE GROUP INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	327 00	23 7000	7,749 90
CELGENE CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	20 00	121 3400	2,426 80
COMCAST CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	50 00	40 6150	2,030 75
COMCAST CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	80 00	38 8500	3,108 00
COSTCO WHOLESALE CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	20 00	104 7700	2,095 40
CROWN CASTLE INTL CORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	75 00	72 8300	5,462 25
DELTA AIR LINES, INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	25 00	15 5300	388 25
DIRECTV TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	40 00	54 1600	2,166 40
EQUINIX INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	41 00	212 7800	8,723 98
EXPRESS SCRIPTS HOLDINGS TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	40 00	55 6000	2,224 00
FIDELITY NATIONAL FINL TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	20 00	26 2200	524 40
GENERAL ELECTRIC CO TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	320 00	22 7600	7,283 20
GILEAD SCIENCES TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	80 00	51 9400	4,155 20
GOOGLE, INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	20 00	782 5600	15,651 20
HONEYWELL INTL INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	20 00	71 8900	1,437 80
LOWES COMPANIES INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	40 00	37 5900	1,503 60
MANITOWOC CO INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	40 00	17 6000	704 00
MASTERCARD INCORPORATED TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	13 00	525 7600	6,834 88
PHILIP MORRIS INTL INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	40 00	94 0400	3,761 60
PHILLIPS 66 TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	40 00	57 9900	2,319 60
TEREX CORP (NEW) TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	20 00	27 7100	554 20
THE HOME DEPOT, INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	60 00	72 8000	4,368 00

√

Goldman Sachs

AVY & MARCIE STEIN FOUNDATION - BROK

Investment Activity (Continued)

Period Ended April 30, 2013

	Type of Activity	Effective Date	Quantity	Price	Value / Accrued Interest
TIME WARNER INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	40 00	59 5200	2,380 80
U S BANCORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	20 00	32 3800	647 60
WADDELL & REED FIN , INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	20 00	39 2700	785 40
WELLS FARGO & CO (NEW) TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Apr 17 13	160 00	36 5600	5,849 60
TOTAL SECURITY DEPOSITS & WITHDRAWALS					**97,766.06**

AVY & MARCIE STEIN FOUNDATION - BROK

Investment Activity

Period Ended September 30, 2013

SECURITY DEPOSITS & WITHDRAWALS

	Type of Activity	Effective Date	Quantity	Price	Value / Accrued Interest
ALTRIA GROUP, INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	100 00	35 7900	3,579 00
CITIGROUP INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	140 00	52 2100	7,309 40
CONOCOPHILLIPS TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	60 00	70 5600	4,233 60
COSTCO WHOLESALE CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	118 00	118 6420	13,999 76
EOG RESOURCES INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	20 00	171 7900	3,435 80
INTL BUSINESS MACHINES CORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	20 00	194 4200	3,888 40
JPMORGAN CHASE & CO TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	60 00	53 4100	3,204 60
ORACLE CORPORATION TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	100 00	33 8700	3,387 00
PFIZER INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	300 00	29 0400	8,712 00
PVH CORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	93 00	126 4400	11,758 92
QUALCOMM INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	171 00	69 6400	11,908 44
SCHLUMBERGER LTD TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	131 00	88 9500	11,652 45
TEXAS INSTRUMENTS INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	80 00	40 8500	3,268 00
UNITED TECHNOLOGIES CORP TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	40 00	111 0100	4,440 40
VERIZON COMMUNICATIONS INC TRFR FROM ACCT AVY H STEIN 1999 TRUST DTD	Deposit	Sep 18 13	100 00	48 7200	4,872 00
VISA INC [redacted]	Deposit	Sep 18 13	20 00	193 6500	3,873 00
TOTAL SECURITY DEPOSITS & WITHDRAWALS					**103,522.77**

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes You should use only your official form 1099's or other tax records for tax reporting This information is not, and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
BERKSHIRE HATHAWAY INC CLASS B	Mar 17 2009	Apr 17 2013	25 00 [9]	2,619 94	988 41	0 00	1,631 53	1,631 53	LT
CBRE GROUP INC CMN	Nov 10 2009	Apr 17 2013	327 00 [9]	7,710 48	3,479 28	0 00	4,231 20	4,231 20	LT
CELGENE CORPORATION CMN	May 27 2009	Apr 17 2013	20 00 [9]	2,434 34	819 47	0 00	1,614 87	1,614 87	LT
COMCAST CORPORATION CMN CLASS A NON VOTING	Mar 17 2009	Apr 17 2013	80 00 [9]	3,107 93	973 68	0 00	2,134 25	2,134 25	LT
COMCAST CORPORATION CMN CLASS A	Jan 12 2009	Apr 17 2013	10 00 [9]	407 19	157 16	0 00	250 03	250 03	LT
VOTING	Apr 24 2009	Apr 17 2013	40 00 [9]	1,628 76	555 19	0 00	1,073 57	1,073 57	LT
COSTCO WHOLESALE CORPORATION CMN	Mar 17 2009	Apr 17 2013	20 00 [9]	2,097 55	873 02	0 00	1,224 53	1,224 53	LT
CROWN CASTLE INTL CORP COMMON STOCK	Jan 18 2006	Apr 17 2013	50 00 [9]	3,651 91	1,452 58	0 00	2,199 33	2,199 33	LT
	Sep 29 2008	Apr 17 2013	25 00 [9]	1,825 96	741 44	0 00	1,084 52	1,084 52	LT
DELTA AIR LINES, INC CMN	Jun 26 2008	Apr 17 2013	25 00 [9]	383 49	123 73	0 00	259 76	259 76	LT
DIRECTV CMN	Nov 05 2008	Apr 17 2013	20 00 [9]	1,091 18	431 91	0 00	659 27	659 27	LT
	Jan 12 2009	Apr 17 2013	20 00 [9]	1,091 18	432 79	0 00	658 39	658 39	LT
EQUINIX INC CMN	Aug 21 2008	Apr 17 2013	1 00 [9]	212 13	75 46	0 00	136 67	136 67	LT
	Sep 10 2008	Apr 17 2013	15 00 [9]	3,181 88	1,070 40	0 00	2,111 48	2,111 48	LT
	Sep 11 2008	Apr 17 2013	14 00 [9]	2,969 75	1,030 28	0 00	1,939 47	1,939 47	LT
	Sep 22 2011	Apr 17 2013	5 00 [9]	1,060 63	457 60	0 00	603 03	603 03	LT
	Sep 22 2011	Apr 17 2013	6 00 [9]	1,272 75	549 34	0 00	723 41	723 41	LT
EXPRESS SCRIPTS HOLDINGS CMN	Mar 17 2009	Apr 17 2013	40 00 [9]	2,217 55	974 46	0 00	1,243 09	1,243 09	LT
FIDELITY NATIONAL FINL CMN	Nov 05 2008	Apr 17 2013	20 00 [9]	527 58	173 43	0 00	354 15	354 15	LT
GENERAL ELECTRIC CO CMN	Mar 17 2009	Apr 17 2013	320 00 [9]	7,255 22	3,150 24	0 00	4,104 98	4,104 98	LT
GILEAD SCIENCES CMN	Jul 08 2010	Apr 17 2013	40 00 [9]	2,079 55	695 59	0 00	1,383 96	1,383 96	LT
	Aug 11 2010	Apr 17 2013	40 00 [9]	2,079 55	694 97	0 00	1,384 58	1,384 58	LT
GOOGLE, INC CMN CLASS A	Dec 09 2008	Apr 17 2013	20 00 [9]	15,623 84	6,155 13	0 00	9,468 71	9,468 71	LT

[9] This position is a gifted security

AVY & MARCIE STEIN FOUNDATION - BROK

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
HONEYWELL INTL INC CMN	Apr 24 2009	Apr 17 2013	20 00 [9]	1,437 16	622 91	0 00	814 25	814 25	LT
LOWES COMPANIES INC CMN	Mar 17 2009	Apr 17 2013	40 00 [9]	1,502 76	649 82	0 00	852 94	852 94	LT
MANITOWOC CO INC CMN	Sep 28 2011	Apr 17 2013	40 00 [9]	689 18	303 58	0 00	385 60	385 60	LT
MASTERCARD INCORPORATED CMN CLASS A	May 21 2010	Apr 17 2013	5 00 [9]	2,633 09	1,070 89	0 00	1,562 20	1,562 20	LT
	Jul 20 2010	Apr 17 2013	1 00 [9]	526 62	204 87	0 00	321 75	321 75	LT
	Jul 21 2010	Apr 17 2013	2 00 [9]	1,053 24	411 23	0 00	642 01	642 01	LT
	Jul 22 2010	Apr 17 2013	5 00 [9]	2,633 09	1,040 10	0 00	1,592 99	1,592 99	LT
PHILIP MORRIS INTL INC CMN	Mar 17 2009	Apr 17 2013	40 00 [9]	3,775 91	1,528 44	0 00	2,247 47	2,247 47	LT
PHILLIPS 66 CMN	Mar 17 2009	Apr 17 2013	30 00 [9]	1,731 26	507 75	0 00	1,223 51	1,223 51	LT
	Oct 06 2009	Apr 17 2013	10 00 [9]	577 09	222 00	0 00	355 09	355 09	LT
TEREX CORP (NEW) CMN	Sep 28 2011	Apr 17 2013	20 00 [9]	552 38	230 06	0 00	322 32	322 32	LT
THE HOME DEPOT, INC CMN	Jul 29 2008	Apr 17 2013	60 00 [9]	4,364 30	1,447 18	0 00	2,917 12	2,917 12	LT
TIME WARNER INC CMN	Jan 12 2009	Apr 17 2013	20 00 [9]	1,186 77	421 93	0 00	764 84	764 84	LT
	May 27 2009	Apr 17 2013	20 00 [9]	1,186 77	439 31	0 00	747 46	747 46	LT
U S BANCORP CMN	Feb 12 2009	Apr 17 2013	20 00 [9]	645 98	266 13	0 00	379 85	379 85	LT
WADDELL & REED FIN , INC CLASS A COMMON	Nov 05 2008	Apr 17 2013	20 00 [9]	783 38	282 74	0 00	500 64	500 64	LT
WELLS FARGO & CO (NEW) CMN	Mar 17 2009	Apr 17 2013	160 00 [9]	5,827 06	2,200 14	0 00	3,626 92	3,626 92	LT
ALTRIA GROUP, INC CMN	Jan 12 2009	Nov 19 2013	100 00	3,774 93	1,595 58	0 00	2,179 35	2,179 35	LT
CITIGROUP INC CMN	Nov 23 2011	Nov 19 2013	140 00	7,160 87	3,303 55	0 00	3,857 32	3,857 32	LT
CONOCOPHILLIPS CMN	Mar 17 2009	Nov 19 2013	60 00	4,334 92	1,708 52	0 00	2,626 40	2,626 40	LT
COSTCO WHOLESALE CORPORATION CMN	Apr 24 2009	Nov 19 2013	73 00	9,021 18	3,520 23	0 00	5,500 95	5,500 95	LT
	Apr 30 2009	Nov 19 2013	45 00	5,561 00	2,174 37	0 00	3,386 63	3,386 63	LT
EOG RESOURCES INC CMN	Mar 17 2009	Nov 19 2013	20 00	3,359 34	1,240 70	0 00	2,118 64	2,118 64	LT
INTL BUSINESS MACHINES CORP CMN	Oct 06 2008	Nov 19 2013	20 00	3,706 53	1,970 23	0 00	1,736 30	1,736 30	LT
JPMORGAN CHASE & CO CMN	Feb 12 2009	Nov 19 2013	60 00	3,374 34	1,489 06	0 00	1,885 28	1,885 28	LT
ORACLE CORPORATION CMN	Jan 12 2009	Nov 19 2013	100 00	3,470 93	1,711 15	0 00	1,759 78	1,759 78	LT
PFIZER INC CMN	Feb 12 2009	Nov 19 2013	160 00	5,083 11	2,305 20	0 00	2,777 91	2,777 91	LT
	Jul 08 2010	Nov 19 2013	140 00	4,447 72	2,062 12	0 00	2,385 60	2,385 60	LT
PVH CORP CMN	Dec 22 2011	Nov 19 2013	93 00	12,270 20	6,400 26	0 00	5,869 94	5,869 94	LT
QUALCOMM INC CMN	Dec 22 2008	Nov 19 2013	65 00	4,691 62	2,256 15	0 00	2,435 47	2,435 47	LT
	Feb 04 2010	Nov 19 2013	46 00	3,320 22	1,781 87	0 00	1,538 35	1,538 35	LT
	Aug 11 2010	Nov 19 2013	60 00	4,330 72	2,341 12	0 00	1,989 60	1,989 60	LT
SCHLUMBERGER LTD CMN	Dec 09 2008	Nov 19 2013	60 00	5,497 10	2,569 73	0 00	2,927 37	2,927 37	LT

[9] This position is a gifted security

AVY & MARCIE STEIN FOUNDATION - BROK

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Dec 22 2008	Nov 19 2013	71 00	6,504 91	2,855 85	0 00	3,649 06	3,649 06	LT
TEXAS INSTRUMENTS INC CMN	Dec 09 2008	Nov 19 2013	80 00	3,371 94	1,245 74	0 00	2,126 20	2,126 20	LT
UNITED TECHNOLOGIES CORP CMN	Nov 04 2005	Nov 19 2013	40 00	4,363 92	2,065 15	0 00	2,298 77	2,298 77	LT
VERIZON COMMUNICATIONS INC CMN	Jun 02 2010	Nov 19 2013	100 00	5,076 91	2,562 72	0 00	2,514 19	2,514 19	LT
VISA INC CMN CLASS A	Jun 02 2010	Nov 19 2013	20 00	3,955 53	1,417 11	0 00	2,538 42	2,538 42	LT

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
LONG TERM GAINS	204,314 32	86,481 05	0 00	117,833 27	117,833 27
NET LONG TERM GAINS (LOSSES)	**204,314.32**	**86,481.05**	**0.00**	**117,833.27**	**117,833.27**