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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

FOT	cater	igar year 2013 of tax year beginning		, and end	ııng		
		foundation				A Employer identification	number
JOHN D & ALEXANDRA NICHOLS FAMILY							
FOUNDATION					36-3858388		
Nun	nber a	nd street (or P O box number if mail is not delivered to street	address)	F	Room/suite	B Telephone number	
9	00	MOUNT PLEASANT ROAD				(847)441-6	592
City	or to	own, state or province, country, and ZIP or foreign p	ostal code			C if exemption application is p	
		NETKA, IL 60093	00.2. 00.20			т ехетриот арриалиот в р	chang, oncor had
		all that apply: Initial return	Initial return of a fo	rmer nublic ch	arity	D 1 Foreign organizations	chack hara
u	111000	Final return	Amended return	inter public cil	arity	D I Toreign organizations	, check here
						2 Foreign organizations me check here and attach co	eting the 85% test,
	VI 1	Address change	Name change			check here and attach co	mputation
H (_	type of organization: X Section 501(c)(3) ex				E If private foundation sta	
<u></u>			Other taxable private founda			under section 507(b)(1)	(A), check here
		arket value of all assets at end of year J Accountii	_	Accrua	1	F If the foundation is in a l	- 1
			her (specify)			under section 507(b)(1)	(B), check here
_		69,144,556. (Part I, colu	mn (d) must be on cash b	basis.)			
Pa	ırt l	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not	(a) Revenue and	(b) Net inve		(c) Adjusted net	(d) Disbursements for charitable purposes
		necessarily equal the amounts in column (a)	expenses per books	ıncon	10	income	(cash basis only)
	1	Contributions, gifts, grants, etc , received	98,803.			N/A	
	2	Check If the foundation is not required to attach Sch. B					
	3	Interest on savings and temporary cash investments	634.		634.		STATEMENT 2
	4	Dividends and interest from securities	1,581,309.	1,581	,309.		STATEMENT 3
	5a	Gross rents			•		
		Net rental income or (loss)					
	_	Net gain or (loss) from sale of assets not on line 10	4,976,123.		· · · · · · · · · · · · · · · · · · ·		STATEMENT 1
e	b	Gross sales price for all 1 / 7 20 / 26	275,072201				
Revenue	7	assets on line 6a 14, 729, 430. Capital gain net income (from Part IV, line 2)	· · · · · · · · · · · · · · · · · · ·	4,919	871		
æ	1	· - · · ·		4,515	,071.		'
	8	Net short-term capital gain					
	9	Income modifications Gross sales less returns					
	10a						
		Less Cost of goods sold					
	1	Gross profit or (loss)	012 004	005	008		
	11	Other income	-213,204.		,929.	RECEIVED	STATEMENT 4
	12	Total Add lines 1 through 11	6,443,665.	6,729	,74B.		
	13	Compensation of officers, directors, trustees, etc	0.		20		0.
	14	Other employee salaries and wages				SEP 2 5 2014	
w	15	Pension plans, employee benefits			Ш		W
enses	16a	Legal fees		_		OCDEN II	-
per	b	Accounting fees STMT 5	11,850.	5	,	<u> </u>	5,925.
Ä	C	Other professional fees STMT 6	97,430.	97	,430.		0.
ĕ	17	Interest					
Administrative Exp	18	Taxes STMT 7	100,289.	55	,264.		25.
isi	19	Depreciation and depletion					
Ē	20	Occupancy					
Ą	21	Travel, conferences, and meetings	-				
P	22	Printing and publications					
g g	23	Other expenses STMT 8	323,426.	129	,767.		0.
Operating and	24	Total operating and administrative	· ·		•	- "	
Jer.		expenses Add lines 13 through 23	532,995.	288	,386.		5,950.
ŏ	25	Contributions, gifts, grants paid	1,664,465.				1,664,465.
		Total expenses and disbursements	, ,				=,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	-	Add lines 24 and 25	2,197,460.	288	,386.		1,670,415.
_	27	Subtract line 26 from line 12	2,251,2001	200	, 550.		1,0,0,410
			4,246,205.				
		Excess of revenue over expenses and disbursements	7,440,403.	6 111	,357.		
		Net investment income (if negative, enter -0-)		0,441	, , , , , , ,	N/A	
3235 10-10		Adjusted net income (if negative, enter -0-)				IV/ PA	Form 990-PF (2013)
10-10	3-13	LHA For Paperwork Reduction Act Notice, see i	เมริน บิดินิดิเรี				rum 330-FF (2013)

Form 990-PF (2013) FOUNDATION 36-3858388 Page 2 Beginning of year End of year Part II Balance Sheets Atlached schedules and amounts in the description column should be for end-of year amounts only (a) Book Value (b) Book Value (c) Fair Market Value 41,384 Cash - non-interest-bearing 1,484,228. 3,928,015. 3,928,015. 2 Savings and temporary cash investments 256,067. 3 Accounts receivable ► 181,918. 256,067. 256,067. Less: allowance for doubtful accounts 4 Pledges receivable ▶ Less: allowance for doubtful accounts 5 Grants receivable 6 Receivables due from officers, directors, trustees, and other disqualified persons 7 Other notes and loans receivable Less: allowance for doubtful accounts 8 Inventories for sale or use 9 Prepaid expenses and deferred charges 10a Investments - U S. and state government obligations 24,866,773. 33,393,618. 47,089,822. STMT 10 b Investments - corporate stock STMT 11 1,100,178. 615,000. 645,820. c Investments - corporate bonds 11 Investments land, buildings, and equipment basis Less accumulated depreciation 12 Investments - mortgage loans 11,470,076. 8,169,565. 17,191,394. 13 Investments - other STMT 12 14 Land, buildings, and equipment basis Less accumulated depreciation 15 Other assets (describe ► ACCRUED DIVIDENDS 40,456. 33,438. 33,438. 16 Total assets (to be completed by all filers - see the 39,185,013. 46,395,703. 69,144,556. instructions. Also, see page 1, item 1) 17 Accounts payable and accrued expenses 18 Grants payable 19 Deferred revenue 20 Loans from officers, directors, trustees, and other disqualified persons 21 Mortgages and other notes payable 22 Other liabilities (describe 0 0 23 Total liabilities (add lines 17 through 22) Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 or Fund Balances 24 Unrestricted 25 Temporarily restricted 26 Permanently restricted **▶** 🗓 Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 39,185,013 46,395,703 27 Capital stock, trust principal, or current funds <u>o</u> , Ō. 28 Paid-in or capital surplus, or land, bldg, and equipment fund 0. 0. 29 Retained earnings, accumulated income, endowment, or other funds 39,185,013. 46,395,703. 30 Total net assets or fund balances 39,185,013. 46,395,703. 31 Total liabilities and net assets/fund balances Analysis of Changes in Net Assets or Fund Balances Part III

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30		
	(must agree with end-of-year figure reported on prior year's return)	1	39,185,013.
2	Enter amount from Part I, line 27a	2	4,246,205.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 9	3	3,021,373.
4	Add lines 1, 2, and 3	4	46,452,591.
5	Decreases not included in line 2 (itemize) ► PRIOR PERIOD TIMING DIFFERENCE	5	56,888.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	46,395,703.

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(a) List and desc	cribe the kind(es for Tax on Ir s) of property sold (e.g ommon stock, 200 shs	, real estate,		(b) Ho P - F D - [w acquired Purchase Donation		acquired lay, yr.)	(d) Date sold (mo., day, yr.)
1a									
b SEE ATTACHED	STATE	MENT							
C									<u> </u>
<u>d</u>									
<u>e</u>					L				
(e) Gross sales price		reciation allowed r allowable)		st or other basis expense of sale				ain or (loss s (f) minus	
<u>a</u>					-				
<u>b</u>					_				
d d					-				
e 14,729,436.				9,809,56	5				4,919,871.
Complete only for assets shown		imn (h) and owned by			,,,,		Il Gaine (C	ol (h) gain	
- Complete only for assets shown	1	djusted basis		cess of col. (i)				Col (h) gain not less tha	
(i) F.M.V as of 12/31/69		of 12/31/69		col. (j), if any				(from col. (
a	 	 			-+	<u> </u>	·		
b									
C									
d									
e									4,919,871.
	<u> </u>	∫ If gain, also enter	ın Part I. lina	7	7				· ·
2 Capital gain net income or (net c	apital loss)	If (loss), enter -0	- in Part I. line	7	}	2			4,919,871.
3 Net short-term capital gain or (lo	.cc) ac dafinad				7				
If gain, also enter in Part I, line 8	•	iii sections 1222(3) an	iu (0)		J				
If (loss), enter -0- in Part I, line 8					J.	3		N/A	
Part V Qualification U	Jnder Sec	tion 4940(e) for	Reduced	Tax on Net	Inves	stment In	come		
If section 4940(d)(2) applies, leave Was the foundation liable for the set If "Yes," the foundation does not qua Tenter the appropriate amount in	ction 4942 tax alify under sec	ion 4940(e). Do not co	mplete this pa	rt .					Yes X No
(a)		(b)		,	(c)			Donald	(d)
Base periód years Calendar year (or tax year beginn	ına ın)	Adjusted qualifying dis	tributions	Net value of no		ible-use assets	3	(col. (b) div	oùtión ratio vided by col. (c))
2012		3,30	0,279.		54.	164,31		,	.060931
2011			2,101.			625,200			.052892
2010			2,192.			351,509		-	.025694
2009		1,72	1,851.	•		497,70			.042517
2008		1,56	3,192.		49,	376,562	2.		.031659
2 Total of line 1, column (d)							2		.213693
3 Average distribution ratio for the	5-year base p	eriod - divide the total (on line 2 by 5,	or by the number	of years	5			
the foundation has been in existe	ence if less tha	n 5 years					3		.042739
4 Enter the net value of noncharita	ble-use assets	for 2013 from Part X,	line 5				4	6	1,850,247.
5 Multiply line 4 by line 3							5		2,643,418.
6 Enter 1% of net investment inco	me (1% of Par	t I, line 27b)					6		64,414.
7 Add lines 5 and 6							7		2,707,832.
8 Enter qualifying distributions fro	m Part XII. line	. 4					8		1,670,415.
If line 8 is equal to or greater tha	·		1h and come	lete that nart usin	na 1%	tay rate		<u> </u>	
See the Part VI instructions		Don in ruit VI, IIIIG	, 4110 00111	mar part usiii	.g.u.i/0	an rulu.			

orm <u>990</u>			385838			Page 4
Part V	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4	948	- see ins	truc	ctio	ns)
1a`Exer	npt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1					
Date	of ruling or determination letter: (attach copy of letter if necessary-see instructions)					
b Dom	estic foundations that meet the section 4940(e) requirements in Part V, check here 🕨 🔲 and enter 1%	1]]	.28	8,8	27.
of Pa	art I, line 27b					
c All o	ther domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).					
2 Tax	under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2				0.
3 Add	lines 1 and 2	3]	.28	8,8	27.
4 Sub	ıtle A (ıncome) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4				0.
5 Tax	based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	1	.28	3,8	27.
6 Cred	its/Payments:					
a 2013	B estimated tax payments and 2012 overpayment credited to 2013 6a 37,861.					
b Exer	npt foreign organizations - tax withheld at source					
c Tax	paid with application for extension of time to file (Form 8868) 6c 190,000.					
d Back	up withholding erroneously withheld					
7 Tota	credits and payments. Add lines 6a through 6d	7	[2	227	7,8	61.
8 Ente	r any penalty for underpayment of estimated tax. Check here X if Form 2220 is attached	8		1	.,7	69.
9 Tax	due If the total of lines 5 and 8 is more than line 7, enter amount owed	9				
10 Ove	payment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		97	7,2	65.
	the amount of line 10 to be Credited to 2014 estimated tax 97,265. Refunded	11				0.
Part V	II-A Statements Regarding Activities					
1a Duri	ng the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene	ın		T	Yes	No
any	political campaign?		1	a		Х
b Did i	t spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition))?	1	ы		X
If th	e answer is "Yes" to _{1a} or _{1b} , attach a detailed description of the activities and copies of any materials publishe	d or		Т		
	abuted by the foundation in connection with the activities					1
c Did t	he foundation file Form 1120-POL for this year?		1	c		Х
d Ente	r the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			T		
(1)	On the foundation. ► \$ 0 • (2) On foundation managers. ► \$ 0 •					1
e Ente	the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation		ŀ			1
man	agers.▶\$ 0.					1
2 Has	the foundation engaged in any activities that have not previously been reported to the IRS?		2	<u>?</u> [Х
lf "Y	es," attach a detailed description of the activities			Т		
3 Has	the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or	ſ				1
byla	ws, or other similar instruments? If "Yes," attach a conformed copy of the changes		[3	<u>;</u>		X
4a Did 1	he foundation have unrelated business gross income of \$1,000 or more during the year?		4	a	X	
b If "Y	es," has it filed a tax return on Form 990-T for this year?		4	b	Х	
5 Was	there a liquidation, termination, dissolution, or substantial contraction during the year?			<u> </u>		Х
If "Y	es," attach the statement required by General Instruction T					
6 Are	he requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:					ĺ
 By 	language in the governing instrument, or		į			
 By 	state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state	law				ĺ
rema	ıın ın the governing instrument?		_ 6	<u>; </u>	Х	L
7 Did 1	he foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV			<u>'</u>	Х	
8a Ente	the states to which the foundation reports or with which it is registered (see instructions)					
	e answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)		 			
	ch state as required by General Instruction G? If "No," attach explanation		8	ь	х	
9 Is th	e foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calei	ndar		\top		
year	2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	,		Х

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

orm	990-PF (2013) FOUNDATION	36-3858	388	F	Page
	art VII-A Statements Regarding Activities (continued)				
11	'At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of		,		
	section 512(b)(13)? If "Yes," attach schedule (see instructions)		11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory	privileges?			
	If "Yes," attach statement (see instructions)		12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	ļ	13	X	
	Website address ► N/A				
14		► 847-44		<u>592</u>	
	Located at ▶ 900 MT. PLEASANT ROAD, WINNETKA, IL	ZIP+4 ▶60	<u>093</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			▶	
	and enter the amount of tax-exempt interest received or accrued during the year	15	N	/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank,			Yes	
	securities, or other financial account in a foreign country?		16		Х
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign				
	country >				
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required				
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
18	a During the year did the foundation (either directly or indirectly):	. .			
		es X No	!		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	res X No			
		∕es X No ∕es X No			
		es LA NO			
	(5) Transfer any income or assets to a disqualified person (or make any of either available	res X No			
	. , , ,	es 🔼 NO			
	(6) Agree to pay money or property to a government official? (Exception Check "No"	1			
	if the foundation agreed to make a grant to or to employ the official for a period after	res X No			
		62 [XX] 140			
•	o If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		~
	Organizations relying on a current notice regarding disaster assistance check here	N/21 ▶□	-"		
,	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected				
•	before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	ļ			
_	defined in section 4942(j)(3) or 4942(j)(5)):	ļ			
á	a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginni	na			
	before 2013?	res X No			
	If W/an " lot the years				
t	a Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect	ot .			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attac				
	statement - see instructions.)	N/A	2b		
•	: If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	ļ			
	, , <u>, , , , , , , , , , , , , , , , , </u>	į			
38	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time				
	during the year?	res 🗶 No			
ı	olf "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons a	fter			
	May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to	dispose			
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule			.	
	Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b		
48	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X

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b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that

had not been removed from jeopardy before the first day of the tax year beginning in 2013?

JOHN D & ALEXANDRA NICHO FORM 990-PF (2013) FOUNDATION	LS FAMILY		36-38583	88 Page 6
Part VII-B Statements Regarding Activities for Which I	Form 4720 May Be I	Required (continu	ued)	-
5a During the year did the foundation pay or incur any amount to				
'(1) Carry on propaganda, or otherwise attempt to influence legislation (section	n 4945(e))?	☐ Ye	s X No	<u> </u>
(2) Influence the outcome of any specific public election (see section 4955), o	r to carry on, directly or indir	ectly,		
any voter registration drive?			s X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes	?	☐ Ye	s X No	
(4) Provide a grant to an organization other than a charitable, etc., organization				
509(a)(1), (2), or (3), or section 4940(d)(2)?		☐ Y€	s X No	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or			
the prevention of cruelty to children or animals?	о. осоочно да , р оссо, о.		s X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	der the exceptions described			
section 53.4945 or in a current notice regarding disaster assistance (see instru		ga	N/A	5b
Organizations relying on a current notice regarding disaster assistance check h	•			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fi		ined		
expenditure responsibility for the grant?			s No	
If "Yes," attach the statement required by Regulations section 53 494		1/11		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on		s X No	
a personal benefit contract?		16		6b X
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		 	6b X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax s		Y6	es X No	 '
b If Yes, did the foundation receive any proceeds or have any net income attribution of the state of the stat				7b
Part VIII Information About Officers, Directors, Trust Paid Employees, and Contractors	ees, Foundation Ma	anagers, Highly	/	
1 List all officers, directors, trustees, foundation managers and their		(c) Compensation	(d) Contributions to	(=) Eypongo
(a) Name and address	(b) Title, and average hours per week devoted	(If not paid,	employee benefit plans and deterred	(e) Expense account, other
	to position	enter -0-)	compensation	allowances
JOHN D. NICHOLS	TRUSTEE			
900 MT. PLEASANT ROAD			_	
WINNETKA, IL 60093	12.00	0.	0.	0.
ALEXANDRA C. NICHOLS	TRUSTEE			
900 MT. PLEASANT ROAD			_	_
WINNETKA, IL 60093	16.00	0.	0.	0.
]			
		ì		
2 Compensation of five highest-paid employees (other than those inc		, enter "NONE."		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average	(a) Componention	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deferred compensation	allowances
NONE				
	ĺ			
	1			
				,
	1			
-	1			
	1			
Total number of other employees paid over \$50,000	1	1		0

FOUNDATION 36-3858388 Form 990-PF (2013) Page 7 Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued) 3 Five highest-paid independent contractors for professional services. If none, enter "NONE." (a) Name and address of each person paid more than \$50,000 (c) Compensation (b) Type of service NONE Total number of others receiving over \$50,000 for professional services Part IX-A | Summary of Direct Charitable Activities List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the Expenses number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. Amount N/A

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All other program-related investments. See instructions.

Total. Add lines 1 through 3

FOUNDATION

Р	Minimum Investment Return (All domestic foundations must complete this part. Foreign	gn foundat	tions, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
а	Average monthly fair market value of securities	1 1 2	a 56,455,071.
ь	Average of monthly cash balances	16	
	: Fair market value of all other assets	10	
d	i Total (add lines 1a, b, and c)	10	60 500 400
	Reduction claimed for blockage or other factors reported on lines 1a and		, , , ,
	1c (attach detailed explanation)	0.	
2	Acquisition indebtedness applicable to line 1 assets	2	2 0.
3	Subtract line 2 from line 1d	3	62,792,129.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	941,882.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	
6	Minimum investment return. Enter 5% of line 5	6	
P	Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundat foreign organizations check here and do not complete this part.)	ions and ce	ertain
1	Minimum investment return from Part X, line 6	1	3,092,512.
2a	Tax on investment income for 2013 from Part VI, line 5	27.	
b	Income tax for 2013 (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	20	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,963,685.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,963,685.
6	Deduction from distributable amount (see instructions)	6	
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,963,685.
_	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	_	1 680 415
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	
	Program-related investments - total from Part IX-B	1b	`
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	:
3	Amounts set aside for specific charitable projects that satisfy the:		
	a Suitability test (prior IRS approval required)	3a	
	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,670,415.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		.
	Income. Enter 1% of Part I, line 27b	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	<u> </u>
	Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the found 4940(e) reduction of tax in those years.	ation qualif	ties for the section

Form **990-PF** (2013)

Part XIII Undistributed Income (see instructions)

Form 990-PF (2013)

1. Distributable amount for 2013 from Part XI, into 7 2.,963,685. 2.,963,685. 2.,963,685. 1.,536,461. 3. Excess distributions curyover, if any, to 2013: a From 2009 b From 2009 c From 2010 d From 2011 e From 2011 f Total to fine 33 through e 4. Qualifying distributions for 2013 from 1 Total to fine 33 through e 4. Qualifying distributions for 2013 from 1 Total to fine 33 through e 4. Qualifying distributions for 2013 from 2 Part XII, line 4 ▶ ≤ 11,670,415. a Applied 2012, but not more than line 2a Applied to undestributed income of prior years (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) d Applied to 2013 distributable amount must us allow an observable of the corpus 5. Excess distributions out of corpus 1 S Exerces distributions out of corpus 5. Excess distributions out of corpus 1 C election required - see instructions) d Applied to 2013 distributable amount must us show in country left out of corpus 5. Excess distributions country or spined to 20 19 for an exercal applied are about 10 of 1		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
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2 Undestroeted returns of the rest of 1971 as of the end of 1971 and 170 (20 mily b) Total for prior years 3 Excess distributions carryover, if any, to 2013; a From 2006 b From 2010 c From 2010 c From 2011 c From 2012 c From 2012 c From 2012 c From 2014 c From 2014 c From 2015 c From 2016 c F	line 7				2,963,685.
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Subtract lines 7 and 8 from line 6a	* *				
10 Analysis of line 9: a Excess from 2009 b Excess from 2010 c Excess from 2011		0.			
a Excess from 2009 b Excess from 2010 c Excess from 2011					
c Excess from 2011	,				
c Excess from 2011	b Excess from 2010				
dEvenes from 2012	c Excess from 2011				
#EXC622 HOTH CO.15	d Excess from 2012				
e Excess from 2013	e Excess from 2013				

Form 990-PF (2013) FOUNDATION 36-3858388 Page 10 Part XIV Private Operating Foundations (see instructions and Part VII-A. question 9) N/A 1 a' If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling 4942(i)(3) or b Check box to indicate whether the foundation is a private operating foundation described in section 4942(1)(5) Prior 3 years 2 a Enter the lesser of the adjusted net Tax vear (a) 2013 (b) 2012 (c) 2011 (d) 2010 (e) Total income from Part I or the minimum investment return from Part X for each year listed **b** 85% of line 2a c Qualifying distributions from Part XII, line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III) (3) Largest amount of support from an exempt organization (4) Gross investment income Part XV | Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.) Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) JOHN D. NICHOLS b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest NONE Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here X if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed b The form in which applications should be submitted and information and materials they should include. c Any submission deadlines: d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

FOUNDATION

Form 990-PF (2013) FOUNDATION

[Part XV] Supplementary Information (continued)

36-3858388

Page 11

3 'Grants and Contributions Paid During the Year or Approved for Future Payment					
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount	
Name and address (home or business)	or substantial contributor	recipient	Contribution		
a Paid during the year					
SEE ATTACHED STATEMENT	NONE	501(C)(3)	UNRESTRICTED	1 664 466	
				1,664,465.	
Total			▶ 3a	1,664,465.	
b Approved for future payment					
NONE					
		 			
Total			N 01		
Total			▶ 3b	0.	

Form 990-PF (2013)

36-3858388 Page 12

Part XVI-A	Analysis of	Income-Producing	Activities

Enter gross amounts unless otherwise indicated	Unrelated b	ousiness income		led by section 512, 513, or 514	(e)
-	(a) Business	(b)	(C) Exclu- sion	(d)	Related or exempt
1 Program service revenue:	code	Amount	code	Amount	function income
a					
b	<u> </u>	· · · · · · · · · · · · · · · · · · ·	\vdash		
c		<u> </u>			
d					
e					
T					
g Fees and contracts from government agencies	<u> </u>		\vdash		
2 Membership dues and assessments	-				
3 Interest on savings and temporary cash investments			14	634.	
4 Dividends and interest from securities			14	1,581,309.	·
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	525990	56,252.	18	4,919,871.	
9 Net income or (loss) from special events		•			
10 Gross profit or (loss) from sales of inventory		•			
11 Other revenue:					
a SEE STATEMENT 13		-441,133.		227,929.	
b					
С					
d		·			
e				,	
12 Subtotal. Add columns (b), (d), and (e)		-384,881.		6,729,743.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	6,344,862.
(See worksheet in line 13 instructions to verify calculations.)					

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

13

Form	990-PF (2013) FOUNDATION	36-3858388	Pa	age '
Par	rt XVII Information Regarding Transfers To and Transactions and Relation	ships With Noncharitable		
•	Exempt Organizations			
1	Did the organization directly or indirectly engage in any of the following with any other organization described in sec	ction 501(c) of	Yes	N

•	Exempt Organ	izations					
1 Did t	he organization directly or indi	rectly engage in any of the followin	g with any other organizatio	n described in section 501(c) of	Yes	No
the C	ode (other than section 501(c)(3) organizations) or in section 52	7, relating to political organ	izations?	1		
a Trans	sfers from the reporting found	ation to a noncharitable exempt org	ganization of:				
(1)	Cash				1a(1)		<u> </u>
(2)	Other assets				1a(2)	L	Х
b Othe	r transactions:						ļ
(1)	Sales of assets to a noncharita	ble exempt organization			1b(1)	<u> </u>	X
(2)	Purchases of assets from a no	ncharitable exempt organization			1b(2)		Х
(3)	Rental of facilities, equipment,	or other assets			1b(3)		Х
(4)	Reimbursement arrangements				1b(4)	L	X
(5)	Loans or loan guarantees				1b(5)		X
(6)	Performance of services or me	mbership or fundraising solicitatio	ns		1b(6)	L	X
		ılıng lists, other assets, or paid em	• •		1c		X
		"Yes," complete the following sche				ets,	
		oundation. If the foundation receive	ed less than fair market valu	e in any transaction or sharii	ig arrangement, show in		
	• • • • •	other assets, or services received		T (1)			
a)Line no	(b) Amount involved	(c) Name of noncharitable	e exempt organization	(0) Description of transfer	rs, transactions and sharing ar	rangeme	ents
		N/A					
				<u> </u>			
	ļ <u></u>						
		-					
							
							
				-			
-				_			
				_			
			<u> </u>				
On loth	foundation directly or indirec	Itly affiliated with, or related to, one	or more tay evempt ereen:	zations described			
		r than section 501(c)(3)) or in sect	· -	zations described	Yes	x	□No
	es," complete the following sch		iidii 321 '		103		NO
וו ע	(a) Name of org		(b) Type of organization	(c) Descr	iption of relationship		
	N/A		(7)		· · · · · · · · · · · · · · · · · · ·		
	,				-		
		···					
		-					
		that I have examined this return, including				discuss	thie
Sign	and belief, it is true, correct and cor	mplete Declaration of preparer (other)tha.	n taxpayer) is based on all inform	nation of which preparer has any k	nowledge return with the shown below	ne prepar	rer
Here	140 h) Weekal	19-16-14	Principle	X Yes		No
	Signature of officer or trustee		Date	Title			
	Print/Type preparer's na	ame Preparer's s	ignature	Date Check	ıf PTIN		
	'	(1,1)	, AA	self- em	ployed		
Paid	PHYLLIS GA	MNON (LACU	102011	9/5/14	P00916	845	,
Prepa	rer Firm's name ► MCG	LADREY LEP	• -	Firm's	EIN ► 42-07143	25	
Use O							
	Firm's address ▶ 1	S. WACKER DRIVE	, STE 800				
		ICAGO, IL 60606	Phone	no. 312-634-3	400)	

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990 ·

OMB No 1545-0047

Name of the organization

JOHN D & ALEXANDRA NICHOLS FAMILY **FOUNDATION**

Employer identification number

36-3858388

Organization type (chec	ck one)
Filers of:	Section:
Form 990 or 990-EZ	501(c)() (enter number) organization
	4947(a)(1) nonexempt charitable trust not treated as a private foundation
	527 political organization
Form 990-PF	X 501(c)(3) exempt private foundation
	4947(a)(1) nonexempt charitable trust treated as a private foundation
	501(c)(3) taxable private foundation
General Rule	tion filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one
	mplete Parts I and II
Special Rules	
509(a)(1) and 1	01(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 70(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
total contribute	01(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, ons of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or of cruelty to children or animals. Complete Parts I, II, and III
contributions for If this box is ch purpose Do no	01(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, or use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000 lecked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., of complete any of the parts unless the General Rule applies to this organization because it received nonexclusively leable, etc., contributions of \$5,000 or more during the year.
•	on that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to

certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
JOHN D & ALEXANDRA NICHOLS FAMILY
FOUNDATION

Employer identification number

36-3858388

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed					
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
1	JOHN D NICHOLS 900 MOUNT PLEASANT ROAD WINNETKA, IL 60093	\$\$87,003.	Person X Payroll Noncash (Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
2	NICHOLS FAMILY INVESTMENT LP 900 MOUNT PLEASANT ROAD WINNETKA, IL 60093	\$\$	Person X Payroll			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Oncash Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
			Person Payroll Noncash (Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
			Person Payroll Oncash Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution			
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)			

Name of organization

JOHN D & ALEXANDRA NICHOLS FAMILY

Employer identification number

FOUNDATION

36-3858388

Part II	Noncash Property (see instructions) Use duplicate copies of Par	t II if additional space is needed	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		_	
		<u> </u>	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		<u> </u>	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		_	
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		_	
23453 10-24	-13		990, 990-EZ, or 990-PF) (2013

Name of organization

Employer identification number

JOHN D & ALEXANDRA NICHOLS FAMILY

			~~~
F()	HMD	ATI	()N

36-3858388

Part III	Exclusively religious, charitable, etc., indi year Complete columns (a) through (e) and t the total of exclusively religious, charitable, et	vidual contributions to section 501() he following line entry. For organizati c . contributions of \$1.000 or less fo	c)(7), (8), or (10) organizations that total more than \$1,000 for the ons completing Part III, enter r the year, (5) for this information once) \$				
	Use duplicate copies of Part III if addition						
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held				
<u> </u>							
		(e) Transfer of gi	ft				
	Transferee's name, address, a	nd 7ID + 4	Relationship of transferor to transferee				
-	Transieree 3 name, address, a	III T T	relationship of transfer of to transfer ce				
(-) N							
(a) No. from	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held				
Part I		<u> </u>					
		(e) Transfer of gr	ft				
-	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee				
(a) No. from	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held				
Part I	(-,	(-,	(2) = 2 = 2 = 2 = 2 = 2 = 2 = 2 = 2 = 2 =				
		(e) Transfer of gi	ft				
<u> </u>	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee				
	<u></u>						
(a) No. from	(I-) D 4 -: 44	(-) 116 -: (4)	(d) December of how with a hold				
Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held				
	<del>-</del>						
 		(e) Transfer of gr	<u> </u>				
		(e) Italiaiei Oi gi	••				
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee				

CONTINUATION FOR 990-PF, PART IV 36-3858388 PAGE OF 1

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (c) Date acquired (d) Date sold Purchase 2-story brick warehouse, or common stock, 200 shs MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation 1a FROM K-1 VARIOUS ATLAS PARTNERS VARIOUS BAIRD CAPITAL VARIOUS VARIOUS b FROM K-1 ENBRIDGE ENERGY VARIOUS VARIOUS c FROM K-1 d FROM K-1 KKR FINANCIAL HOLDINGS P VARIOUS VARIOUS e FROM K-1 KKR FINANCIAL HOLDINGS LLC (2) P VARIOUS VARIOUS 2.68 SHARES MARMON HOLDINGS INC. P VARIOUS 12/04/13 q PUBLICLY TRADED SECURITIES h PUBLICLY TRADED SECURITIES PUBLICLY TRADED SECURITIES FROM K-1 KKR FINANCIAL HOLDINGS P VARIOUS VARIOUS KKR FINANCIAL HOLDINGS LLC k FROM K-1  $\overline{P}$ VARIOUS VARIOUS I CAPITAL GAINS DIVIDENDS m n 0 (g) Cost or other basis (f) Depreciation allowed (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 1,492. -1,492. a 121,197. -121,197. b -65. 65. С 18,305. 18,305. đ 23,084. 23,084. е 10,889,018. 6,000,000. 4,889,018. 1,250,044. 1,243,267. 6,777. -115,319. 224,932. 340,251. h 2,047,041. 220,265. 2,267,306. 24,877. 24,877. 0. 31,375. 31,375. 0. 495. 495. m Π 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col (h)) Gains (excess of col (h) gain over col (k), (j) Adjusted basis (k) Excess of col. (i) (i) F M V as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any -1,492.a -121,197.b -65. C 18,305. d 23,084. 4,889,018. 6,777. -115,319. 220,265. Ō. Ō. 495. m π 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 2 Capital gain net income or (net capital loss) 4,919,871. 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

3

N/A

# John D & Alexandra Nichols Family Foundation

EIN: 36-3858388 FYE 12/31/13

**Charitable Contributions** 

Date	Name	Amount
01/07/2013	Museum of Science and Industry	250 00
01/28/2013		150 00
01/28/2013	PAWS Chicago	500 00
	Facets Multi-Media	3,000 00
	Landmarks Illinois	5,000 00
01/29/2013	WYCC-20	1,000 00
	WWCI (WTTW/WFMT)	14,700 00
	School of the Art Institute	1,000 00
02/08/2013		25,000 00
02/13/2013	The Field Museum	1,000 00
	Woman's Club of Evanston	500 00
02/15/2013	Art Institute of Chicago	25,000 00
	The Humane Society of the U S	500 00
	Writer's Theatre	500,000 00
03/04/2013	Music Institute of Chicago	100,000 00
	U.S. Fund for Unicef	24,700 00
	Chicago Public Library Foundation	1,500 00
	Chicago Botanic Garden	800 00
	Boys and Girls Club of Chicago	25,000 00
	Lurie Children's Foundation	1,000 00
	Chicago Botanic Garden	10,000 00
04/02/2013		24,000 00
	United States Naval Academy Foundation	1,000 00
	Clearbrook Shining Star Ball	2,000 00
	Northwestern Settlement	500 00
04/22/2013	Facing Histoy and Ourselves	25,000 00
	Chicago Symphony Orchestra	4,250 00
05/01/2013	After School Matters	10,000 00
05/02/2013	American Express	2,500 00
05/07/2013	American Express	10,000 00
05/10/2013	Kohl's Children's Museum	10,000 00
05/14/2013	Winnetka Community House	1,000 00
05/20/2013	Wildscreen USA	1,000.00
05/21/2013	Bank of America	14,700 00
05/28/2013		2,500 00
06/03/2013	Art Institute of Chicago	300,000 00
	Ars Viva Symphony Orchestra	1,000 00
	Music Institute of Chicago	3,500 00
	Goodman Theatre	10,000 00
	Bank of America	2,500 00
	Bank of America	1,000 00
	Harvard Art Museum	2,500 00
	Chicago Symphony Orchestra	50,000 00
	American Red Cross of Greater Chicago	10,000 00
	American Express	10,000 00
	American Express	450 00
	Music Institute of Chicago	1,000 00
	American Express	1,000 00
	After School Matters	10,000 00
	Columbia College	25,000 00
	Music Institute of Chicago	15,840 00
	Solti Foundation	1,000 00
	Kohl's Children's Museum	9,700 00
	Music Institute of Chicago	25,500 00
09/03/2013	Gary Comer Youth Center, Inc	1,000 00

09/04/2013 Writer's Theatre	5,000 00
09/04/2013 Rush Neuro Behavioral Center	5,000 00
09/11/2013 NorthShore Univ HealthSystem Foundation	2,500 00
09/12/2013 Music Institute of Chicago	2,000 00
09/16/2013 Harris Theater for Music and Dance	49,700 00
09/16/2013 Accelerate Institute	10,000 00
09/17/2013 Golden Apple Awards	1,000 00
10/07/2013 Chicago Botanic Garden	10,000 00
10/07/2013 Writer's Theatre	10,000 00
10/07/2013 Harvard Magazine	250 00
10/08/2013 Purdue Foundation	500 00
10/21/2013 AKC Canine Health Foundation	1,000 00
11/04/2013 Chicago Foundation for Education	2,500 00
11/05/2013 The Humane Society of the U S	1,000 00
11/05/2013 Lincoln Park Zoo	500 00
11/05/2013 Urban Gateways	1,500 00
11/05/2013 Friends of the Library (Winn /Northfield)	100 00
11/05/2013 Salvation Army	250 00
11/05/2013 Loomis Chaffee	10,000 00
11/08/2013 Cedille Chicago NFP	1,000 00
11/12/2013 Music Institute of Chicago	25,000 00
11/13/2013 Harvard Divinity School	5,000 00
11/13/2013 Harvard Graduate School of Education	10,000 00
11/15/2013 Millennium Park, Inc	10,000 00
11/15/2013 Art Institute of Chicago	5,000 00
11/18/2013 A Better Chicago	1,000 00
11/18/2013 Lyric Opera of Chicago	2,500 00
11/18/2013 WTTW	25,000 00
11/18/2013 International House	1,500 00
11/20/2013 Openlands Project	1,000 00
11/20/2013 American Express	1,000 00
11/22/2013 Bank of America	161 19
11/25/2013 women's Board - University of Chicago	1,000 00
11/25/2013 The Field Museum	500 00
12/03/2013 Women's Media Group, Inc	500 00
12/03/2013 Chicago Philharmonic Society	10,000 00
12/03/2013 Greater Chicago Food Depository	1,500 00
12/03/2013 Chicago Humanities Festival	2,500 00
12/09/2013 Winnetka Historical Society	1,000 00
12/13/2013 Wounded Warrior Project	50 00
12/16/2013 Facets Multi-Media	1,000 00
12/16/2013 Music Institute of Chicago	50,000 00
12/18/2013 Heifer International	120 00
12/23/2013 School of the Art Institute	2,500 00
12/23/2013 Harvard Art Museum	1,000 00
12/24/2013 Harvard University	25,000 00
12/24/2013 Museum of Science and Industry	5,000 00
12/31/2013 Friends of Harvard Football	10,000 00
12/31/2013 Chase Card Services	101 50
	1,664,464.69

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FORM '990	-PF	G	AIN OR (LOSS)	FROM SALE	OF	ASSETS		STATEMENT 1
DESCRIPT	(A) ION OF	PROPERTY				MANNER CQUIRED	DATE ACQUIR	
FROM K-1	ATLAS	PARTNERS	_		PU	JRCHASED	VARIOU	JS VARIOUS
	GRO	B) OSS PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c. e	(F)
<u> </u>		0.	1,492	•	0.		0.	-1,492.
DESCRIPT	(A) ION OF	PROPERTY				MANNER ACQUIRED	DATE ACQUIF	
FROM K-1	BAIRD	CAPITAL	<del>_</del>		PU	JRCHASED	VARIOU	JS VARIOUS
	GRO	B) OSS PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E)	c. 0	(F) GAIN OR LOSS
_		0.	121,197	•	0.		0.	-121,197.
DESCRIPT	(A) ION OF	PROPERTY				MANNER CQUIRED	DATE ACQUIF	
FROM K-1	ENBRI	DGE ENERG	Y		PU	JRCHASED	VARIOU	JS VARIOUS
	GRO	B) OSS PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c. G	(F) GAIN OR LOSS
_		0.	65	•	0.	,	0.	-65.

	<del></del>						
DESCRIPT	(A) ION OF PROPERTY				ANNER QUIRED	DATE ACQUIRED	DATE SOLD
FROM K-1	KKR FINANCIAL	HOLDINGS		PUR	CHASED	VARIOUS	VARIOUS
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
_	18,305.	0.		0.		0.	18,305.
DESCRIPT	(A) TION OF PROPERTY				ANNER QUIRED	DATE ACQUIRED	DATE SOLD
FROM K-1	KKR FINANCIAL	HOLDINGS LLC (2	:)	PUR	CHASED	VARIOUS	VARIOUS
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
_	23,084.	0.		0.		0.	23,084.
DESCRIPT	(A) ION OF PROPERTY				ANNER QUIRED	DATE ACQUIRED	DATE SOLD
2.68 SHA	RES MARMON HOLD	INGS INC.		PUR	CHASED	VARIOUS	12/04/13
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
_	10,889,018.	6,000,000.		0.		0.	4,889,018.
DESCRIPT	(A) 'ION OF PROPERTY				ANNER QUIRED	DATE ACQUIRED	DATE SOLD
PUBLICLY	TRADED SECURIT	IES					
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C. GAI	(F) N OR LOSS
	1,250,044.	1,243,267.		0.		0.	6,777.

DESCRIPT	(A) ION OF PROPERTY				IANNER CQUIRED	DA ACQU	TE IRED	DATE	SOLD
PUBLICLY	TRADED SECURIT	 IES						_	
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	c	CATN	(F)	nee
_	224,932.	340,251.		0.		0.	GAIN		,319.
DESCRIPT	(A) ION OF PROPERTY				IANNER CQUIRED	DA ACQU	TE IRED	DATE	SOLD
PUBLICLY	TRADED SECURIT	 IES							
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE	C		(F)	าสส
_	2,267,306.	2,047,041.		0.		0.			,265.
	(A) ION OF PROPERTY KKR FINANCIAL	_		AC	MANNER CQUIRED RCHASED		TE VIRED		SOLD IOUS
TROM N I	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE		(E) DEPRE			(F)	
_	24,877.	0.		0.		0.		24	,877.
DESCRIPT	(A) ION OF PROPERTY				MANNER CQUIRED		TE IRED	DATE	SOLD
FROM K-1	KKR FINANCIAL	— HOLDINGS LLC (2	:)	PUF	RCHASED	VARI	ous	VAR	IOUS
	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE SALE	OF	(E) DEPRE			(F)	oss
_	31,375.	0.		0.		0.		31	,375.
CAPITAL	GAINS DIVIDENDS	FROM PART IV						•	495.
TOTAL TO	FORM 990-PF, P	ART I, LINE 6A						4,976	,123.

FORM '990-PF INTER	EST ON SAVINO	S AND T	EMPOR	ARY (	CASH	INV	ESTMENTS	Sī	PATEMENT	2
SOURCE					INV	(B) INVESTMENT INCOME		(C) ADJUSTED NET INCOME		
NORTHERN TRUST CHEC	CKING		63	34.			634.			
TOTAL TO PART I, L	INE 3		63	34.			634.			
FORM 990-PF	DIVIDENDS	AND INT	EREST	FRO	M SEC	URI	TIES	Sī	TATEMENT	3
SOURCE	GROSS AMOUNT	CAPIT GAIN DIVIDE	IS	RE	(A) VENUE BOOK		(B) NET INVES MENT INCO		(C) ADJUSTE NET INCO	
CHARLES SCHWAB #2247 MERRILL LYNCH	10,537.		0.	:	10,53	7.	10,53	7.		
#4234	216,737.		0.	2	16,73	7.	216,73	7.		
MERRILL LYNCH #4235	762,793.		0.	7	62,79	3.	762,79	3.		
NORTHERN TRUST #6855	591,737.		495.	5	91,24	2.	591,24	2.		
TO PART I, LINE 4	1,581,804.		495.	1,5	81,30	9.	1,581,30	9.		
FORM 990-PF		OTHER	RINCOL	1E				Sī	PATEMENT	4
DESCRIPTION			REV	(A) /ENU: BOO!			(B) T INVEST- ENT INCOME		(C) ADJUSTED NET INCOM	
ATLAS PIPELINE PART BAIRD CAPITAL PART COPANO ENERGY K1 ENBRIDGE ENERGY K1 KINDER MORGAN ENERG KKR FINANCIAL HOLD KKR FINANCIAL HOLD MAGELLAN MIDSTREAM WEBER-STEPHEN PRODU WEBER-STEPHEN PRODU OTHER INCOME	NERS GY PARTNERS INGS LLC INGS LLC (2) PARTNERS JCTS LLC		-	55 -255 -137 -364 111 201 23 180	,080. ,069. ,881. ,632. ,410. ,007. ,106. ,488. ,443. ,000. 686.		3,320 55,069 117 -8,897 35,374 36,820 72,764 32 32,644			
TOTAL TO FORM 990-1	PF, PART I, I	LINE 11		-213	,204.	: ==	227,929 ———	· <del></del>		

FORM '990-PF	ACCOUNTI	NG FEES	STATEMENT 5			
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
MCGLADREY LLP	11,850.	5,925.		5,925.		
TO FORM 990-PF, PG 1, LN 16B	11,850.	5,925.		5,925.		
FORM 990-PF (	OTHER PROFES	SIONAL FEES	S'	TATEMENT 6		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
MERRILL LYNCH INVESTMENT MGMT FEES	97,430.	97,430.		0.		
TO FORM 990-PF, PG 1, LN 16C	97,430.	97,430.		0.		
FORM 990-PF	TAX	ES	S'	ratement 7		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
FOREIGN TAXES PAID FEDERAL TAX ILLINOIS FILING FEE FOREIGN TAXES PAID - K-1S	38,523. 45,000. 25. 16,741.	38,523. 0. 0. 16,741.		0. 0. 25. 0.		
TO FORM 990-PF, PG 1, LN 18	100,289.	55,264.		25.		

FORM '990-PF	OTHER E	XPENSES		STATEMENT	8
DESCRIPTION	(A) (B) EXPENSES NET INVES PER BOOKS MENT INCO		(C) ADJUSTED NET INCOM		
BANK FEES	45.	45.			0.
K-1 - ATLAS PARTNERS	24.	24.			0.
K-1 - BAIRD CAPITAL	27,460.	27,460.			0.
K-1 - ENBRIDGE ENERGY LP	232.	232.			0
K-1 - KINDER MORGAN K-1 - KKR FINANCIAL	32,431.	32,431.			0
HOLDINGS, LLC	91,050.	24,761.			0
K-1 - KKR FINANCIAL	JI,050.	24,701.			Ū
HOLDINGS, LLC	168,152.	44,747.			0.
K-1 - NONDEDUCTIBLES	3,965.	0.			0
K-1 - WEBER-STEPHENS PRODUCTS LLC	67.	67.			0.
rkobocis ale					
TO FORM 990-PF, PG 1, LN 23	323,426.	129,767.			0.
DESCRIPTION				AMOUNT	
<del></del>				AMOUNT	
K-1 BOOK - TAX DIFFERENCES				AMOUNT 21,3 3,000,0	
K-1 BOOK - TAX DIFFERENCES	II, LINE 3			21,3	00.
		E STOCK		21,3 3,000,0 3,021,3	73.
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN	CORPORAT	E STOCK		21,3	00.
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN TOTAL TO FORM 990-PF, PART II			OOK VALUE	21,3 3,000,0 3,021,3	73.
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN TOTAL TO FORM 990-PF, PART II	CORPORAT		OK VALUE 237,596.	21,3 3,000,0 3,021,3 STATEMENT FAIR MARKE VALUE	73. 10
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN  TOTAL TO FORM 990-PF, PART II  FORM 990-PF  DESCRIPTION  10,115.477 SHARES ABBOTT LABO 10,264.467 SHARES ABBVIE INC	CORPORAT	BC		21,3 3,000,0 3,021,3 STATEMENT	10 T
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN  TOTAL TO FORM 990-PF, PART II  FORM 990-PF  DESCRIPTION  10,115.477 SHARES ABBOTT LABO 10,264.467 SHARES ABBVIE INC 65,432.184 SHARES ALLIANZGI N	CORPORAT	BC	237,596. 265,188.	21,3 3,000,0 3,021,3 STATEMENT FAIR MARKE VALUE 387,7 542,0	73. 10 T
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN  TOTAL TO FORM 990-PF, PART II  FORM 990-PF  DESCRIPTION  10,115.477 SHARES ABBOTT LABO 10,264.467 SHARES ABBVIE INC 65,432.184 SHARES ALLIANZGI N STRATEGY FD	CORPORAT  PRATORIES  OF DIVID IN	BC	237,596. 265,188. 949,682.	21,3 3,000,0 3,021,3 STATEMENT  FAIR MARKE VALUE  387,7 542,0 1,158,8	10 T 26 67
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN  TOTAL TO FORM 990-PF, PART II  FORM 990-PF  DESCRIPTION  10,115.477 SHARES ABBOTT LABO 10,264.467 SHARES ABBVIE INC 65,432.184 SHARES ALLIANZGI N STRATEGY FD 2,474 SHARES APERAM SA NY REG	CORPORAT  DRATORIES  NFJ DIVID IN	BC	237,596. 265,188. 949,682. 0.	21,3 3,000,0 3,021,3 STATEMENT  FAIR MARKE VALUE  387,7 542,0  1,158,8 45,6	100 T 26 67 04 48
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN  TOTAL TO FORM 990-PF, PART II  FORM 990-PF  DESCRIPTION  10,115.477 SHARES ABBOTT LABO 10,264.467 SHARES ABBVIE INC 65,432.184 SHARES ALLIANZGI N STRATEGY FD 2,474 SHARES APERAM SA NY REG 35,765.21 SHARES APPLIED MATE	CORPORAT  DRATORIES  OF DIVID IN  GISTRY  ERIALS INC	BC	237,596. 265,188. 949,682. 0. 209,023.	21,3 3,000,0 3,021,3 STATEMENT  FAIR MARKE VALUE  387,7 542,0  1,158,8 45,6 632,3	10 T 26 67 04 48 29
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN  TOTAL TO FORM 990-PF, PART II  FORM 990-PF  DESCRIPTION  10,115.477 SHARES ABBOTT LABO 10,264.467 SHARES ABBVIE INC 65,432.184 SHARES ALLIANZGI N STRATEGY FD 2,474 SHARES APERAM SA NY REG 35,765.21 SHARES APPLIED MATE 19,846.87 SHARES APTARGROUP I	CORPORAT  DRATORIES  OF DIVID IN  GISTRY  ERIALS INC	BC	237,596. 265,188. 949,682. 0. 209,023. 269,606.	21,3 3,000,0 3,021,3 STATEMENT  FAIR MARKE VALUE  387,7 542,0  1,158,8 45,6 632,3 1,345,8	10 T 26 67 04 48 29 16
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN  TOTAL TO FORM 990-PF, PART II  FORM 990-PF  DESCRIPTION  10,115.477 SHARES ABBOTT LABO 10,264.467 SHARES ABBVIE INC 65,432.184 SHARES ALLIANZGI N STRATEGY FD 2,474 SHARES APERAM SA NY REG 35,765.21 SHARES APPLIED MATE 19,846.87 SHARES APTARGROUP I 49,487 SHARES ARCELORMITTAL	CORPORAT  ORATORIES  OFJ DIVID IN  GISTRY  ERIALS INC	BC	237,596. 265,188. 949,682. 0. 209,023. 269,606. 2,474,154.	21,3 3,000,0 3,021,3 STATEMENT  FAIR MARKE VALUE  387,7 542,0  1,158,8 45,6 632,3 1,345,8 882,8	10 T 26 67 04 48 29 16 48
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN  TOTAL TO FORM 990-PF, PART II  FORM 990-PF  DESCRIPTION  10,115.477 SHARES ABBOTT LABO 10,264.467 SHARES ABBVIE INC 65,432.184 SHARES ALLIANZGI N STRATEGY FD 2,474 SHARES APERAM SA NY REG 35,765.21 SHARES APPLIED MATE 19,846.87 SHARES APTARGROUP I 49,487 SHARES ARCELORMITTAL 79,143.6777 SHARES ARES CAPIT	CORPORAT  ORATORIES  OF DIVID IN  GISTRY  ERIALS INC  INC  TAL CORP	BC	237,596. 265,188. 949,682. 0. 209,023. 269,606. 2,474,154. 1,423,159.	21,3 3,000,0 3,021,3 STATEMENT  FAIR MARKE VALUE  387,7 542,0  1,158,8 45,6 632,3 1,345,8	73 10 T 26 67 04 48 29 16 48 83
K-1 BOOK - TAX DIFFERENCES UNREALIZED GAIN  TOTAL TO FORM 990-PF, PART II  FORM 990-PF  DESCRIPTION  10,115.477 SHARES ABBOTT LABO 10,264.467 SHARES ABBVIE INC 65,432.184 SHARES ALLIANZGI N STRATEGY FD 2,474 SHARES APERAM SA NY REG 35,765.21 SHARES APPLIED MATE 19,846.87 SHARES APTARGROUP I 49,487 SHARES ARCELORMITTAL	CORPORAT  ORATORIES  OF DIVID IN  GISTRY  ERIALS INC  INC  TAL CORP	BC	237,596. 265,188. 949,682. 0. 209,023. 269,606. 2,474,154.	21,3 3,000,0 3,021,3 STATEMENT  FAIR MARKE VALUE  387,7 542,0  1,158,8 45,6 632,3 1,345,8 882,8	73 1 2667 0448 29648

JOHN D & ALEXANDRA NICHOLS FAMILY FOUNDA		36-3858388
31,000 SHARES BARCLAYS BANK PLC	740,544.	748,340.
4,500 SHARES CENOVUS ENERGY INC	0.	0.
3,000 SHARES CITIGROUP CAPITAL	63,269.	75,150.
8,040.2302 SHARES CONOCOPHILLIPS	438,842.	
30,000 SHARES DB CONT CAP TRUST III	754,539.	
17,994.8970 SHARES DOMINION RES INC NEW VA	673,483.	1,164,090.
269,468 SHARES EATON VANCE TAX MANAGED	2,447,097.	2,694,680.
30,000 SHARES EATON VANCE TAX ADVANTAGED GLOBAL	486,828.	
433,604 SHARES EATON VANCE FLOATING	4,780,838.	
64,725.151 SHARES EATON VANCE TAX MG GLOBAL	484,919.	
	1 050 240	1 272 567
75,122 SHARES EATON VANCE TX-AD GL DIV	1,059,348.	1,272,567.
88,020 SHARES EATON VANCE LTD DUR INC	1,331,287.	
150 SHARES EXPRESS SCRIPTS HLDG CO COM	1,989.	
2,348.236 SHARES EXXON MOBIL CORP	97,730.	237,641.
10,000 SHARES FOREST LABORATORIES INC		600,300.
30,717 SHARES HOST HOTELS & RESORTS INC	299,628.	<del>-</del>
17,874 SHS HSBC HLDF PLC	1,011,599.	
		985,394.
25,960 SHARES HSBC HOLDINGS	1,568,004.	
101,114.264 SHARES ILLINOIS TOOL WORKS	518,102.	8,501,687.
8,038.22 SHARES ISHARES CHINA LARGE CAP	201,665.	308,427.
1,431.857 SHARES JOHNSON & JOHNSON	59,850.	
1,673.158 SHARES JP MORGAN CHASE	51,331.	
27,712.6266 SHARES JP MORGAN CHASE & CO	1,343,655.	1,620,634.
4,333 SHARES KRAFT FOODS	1,545,055.	
		0.
24,000 SHARES ML CAPITAL TRUST III	600,000.	606,000.
13,000 SHARES MONDELEZ INTERNATIONAL INC	0.	0.
8,598.772 SHS NAT FUEL GAS CO	490,501.	613,952.
34,514 SHARES NFJ DIV INT PREM STRAT	0.	0.
8,400 SHARESS PENNWEST ENERGY	0.	0.
2,180.493 SHARES PEPSICO INC	81,717.	180,850.
10,204.804 SHARES PHILIP MORRIS INTL	525,489.	
3,961.3045 SHARES PHILLIPS 66	-	
	129,618.	
SANDBOX - MARBLES HOLDING	1,000,000.	
TASTE INC	100,000.	100,000.
190,171 SHS THIRD AVENUE FOCUSED	2,120,145.	2,129,915.
65,445.675 SHARES THIRD AVENUE FOCUSED CREDIT		
FUND	741,422.	732,992.
15,000 SHARES US BANCORP DEL COM	498,404.	606,000.
39,482.5904 SHARES US BANKCORP	948,062.	1,595,097.
7,600.914 SHARES VANGUARD INTL EMERGING MKTS	255,562.	312,702.
VITALIA NUTRITIONAL PRODUCTS	250,000.	250,000.
2,493.002 SHARES WALGREENS COMPANY	69,682.	143,198.
44,949.3451 SHARES WELLS FARGO	1,284,492.	2,040,700.
TOTAL TO FORM 990-PF, PART II, LINE 10B	33,393,618.	47,089,822.

FORM 990-PF	CORPORATE BONDS		STATEMENT 11
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
300,000 GENERAL ELECTRIC FORD MOTOR CREDIT - 600,000 ROYAL BANK SCOTLAND - 200,000	•	0. 615,000. 0.	0. 645,820. 0.
TOTAL TO FORM 990-PF, PART II, I	JINE 10C	615,000.	645,820.
FORM 990-PF C	OTHER INVESTMENTS		STATEMENT 12
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
19,000 SHARES ATLAS PIPLELINE	COST	<u></u>	
PARTNERS LP		569,125.	665,950.
BAIRD CAPITAL PARTNERS IV	COST	1,557,580.	1,557,580.
COPANO ENERGY LLC	COST	0.	0.
20,000 SHARES ENBRIDGE ENERGY PARTNERS L.P.	COST	85,263.	597,400.
GOLDMAN SACHS INVESTMENT PARTNER	RS COST	2,500,626.	2,986,966.
HAMILTON VANCE MTN GATE	COST	1,500,000.	1,500,000.
70,726 SHARES KINDER MORGAN LP	COST	-850,907.	5,704,759.
110,377.1138 SHARES KKR FINANCIA	AL COST		
HOLDINGS LLC		1,087,883.	1,345,497.
54,000 SHARES KKR FINANCIAL	COST	F40 050	650 660
HOLDINGS LLC	r coem	519,953.	658,260.
20,000 SHARES MAGELLAN MIDSTREAM PARTNERS LP	M COST	290,460.	1,265,400.
MARMON STOCK	COST	290,400.	1,205,400.
ROUND TABLE INVESTMENTS	COST	195,085.	195,085.
WEBER-STEPHEN PRODUCTS LLC	COST	714,497.	714,497.
TOTAL TO FORM 990-PF, PART II, I	JINE 13	8,169,565.	17,191,394.

FORM '990-PF	TO	HER REVENUE			STATEMENT 13
DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC- TION INCOME
ATLAS PIPELINE PARTNERS	531390				
LP		-105,400.	14	3,320.	
BAIRD CAPITAL PARTNERS		200,200	$\frac{14}{14}$	55,069.	
COPANO ENERGY K1	525990	-255,998.		117.	
ENBRIDGE ENERGY K1	525990	-128,735.		-8,897.	
KINDER MORGAN ENERGY	525990	·		•	
PARTNERS		-399,784.	14	35,374.	
KKR FINANCIAL HOLDINGS	525990	·		•	
LLC		74,187.	14	36,820.	
KKR FINANCIAL HOLDINGS	525990				
LLC (2)		128,342.	14	72,764.	
MAGELLAN MIDSTREAM	525990				
PARTNERS		23,456.	14	32.	
WEBER-STEPHEN PRODUCTS	525990				
LLC		147,799.	14	32,644.	
WEBER-STEPHEN PRODUCTS	525990				
LLC		75,000.			
OTHER INCOME	525990		14	686.	
TOTAL TO FORM 990-PF, PG	12, LN 11	-441,133.	_	227,929.	

### Form **8868**

(Rev January 2014)

Department of the Treasury Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

▶ Information about Form 8868 and Its instructions is at  $_{www_irs}$  gov/form8868  $\cdot$ 

OMB No 1545-1709

• If you a	re filing for an Automatic 3-Month Extension, complet	te only Pa	rt I and check this box			X
•	re filing for an Additional (Not Automatic) 3-Month Ex		, , , ,			
Do not co	mplete Part II unless you have already been granted a	an automa	tic 3-month extension on a previous	sly filed For	rm 8868	
Electroni	c filing (e-file). You can electronically file Form 8868 if y	ou need a	3-month automatic extension of tir	ne to file (6	months for a corp	poration
required t	o file Form 990·T), or an additional (not automatic) 3-moi	nth extens	ion of time. You can electronically f	ile Form 88	368 to request an	extension
of time to	file any of the forms listed in Part I or Part II with the exc	ception of	Form 8870, Information Return for	Transfers A	Associated With C	ertain
Personal	Benefit Contracts, which must be sent to the IRS in pap	er format	(see instructions) For more details	on the elec	tronic filing of this	form,
	irs.gov/efile and click on e-file for Chanties & Nonprofits					
Part I	Automatic 3-Month Extension of Time					
	ition required to file Form 990-T and requesting an autor	natic 6-mc	onth extension - check this box and	complete	_	
Part I only					▶	<b>-</b>   _
	corporations (including 1120-C filers), partnerships, REM ome tax returns	ICs, and ti	rusts must use Form 7004 to reque:			
	T				r's identifying nu	
Type or	Name of exempt organization or other filer, see instru		. 37	Employer	identification num	nber (EIN) or
print	JOHN D & ALEXANDRA NICHOLS	r AMII	u I		26 20502	00
File by the	FOUNDATION				36-38583	
due date for filing your	Number, street, and room or suite no. If a P O. box, s 900 MOUNT PLEASANT ROAD	ee instruc	tions	Social se	curity number (SS	N)
return See instructions	City, town or post office, state, and ZIP code For a fo	oreign add	ress, see instructions	<del></del>		
	WINNETKA, IL 60093	•	•			
						<del> </del>
Enter the	Return code for the return that this application is for (file	e a separa	te application for each return)			0 4
Applicati	on	Return	Application			Return
ls For		Code	ls For			Code
	or Form 990-EZ	01	Form 990-T (corporation)			07
Form 990		02	Form 1041-A		•	08
Form 472	0 (individual)	03	Form 4720 (other than individual)			09
Form 990	-PF	04	Form 5227			10
Form 990	T (sec. 401(a) or 408(a) trust)	05	Form 6069			11
Form 990	-T (trust other than above)	06	Form 8870			12
	JOHN D. NICHOL					
	ooks are in the care of > 900 MT. PLEASAL	NT RO	AD - WINNETKA, IL	60093		
	one No ► 847-441-6592		Fax No 🕨			
	organization does not have an office or place of busines					<b>-</b>
<ul><li>If this</li></ul>	s for a Group Return, enter the organization's four digit	1				
box 🕨	. If it is for part of the group, check this box	and atta	ich a list with the names and EINs o	of all memb	ers the extension	is for.
1 Ire	quest an automatic 3-month (6 months for a corporation	•	•			
_		t organiza	tion return for the organization nam	ed above.	The extension	
	or the organization's return for X calendar year 2013 or					
	tax year beginning	an	d ending			
		, , u.,		·	<del>-</del> `	
2  ft	ne tax year entered in line 1 is for less than 12 months, o	heck reas	on Initial return	Final return	n	
	☐ Change in accounting period					
	nis application is for Forms 990-BL, 990-PF, 990-T, 4720	, or 6069,	enter the tentative tax, less any			7 061
	refundable credits. See instructions			3a	\$ 22	7,861.
<b>b</b> If the	nis application is for Forms 990 PF, 990-T, 4720, or 6069	-	•			7,861.
						/ ADI.
	imated tax payments made Include any prior year overp			3b	\$ 3	7,001.
c Bal	imated tax payments made Include any prior year overp ance due. Subtract line 3b from line 3a Include your pa using EFTPS (Electronic Federal Tax Payment System)	yment wit	th this form, if required,	3b 3c		0,000.

instructions

Form 880	58 (Rev. 1-2014)					Page 2		
• If you	are filing for an Additional (Not Automatic) 3-Month Ex	tension, c	complete only Part II and check this	box		لتدا ⊾		
Note. Or	ily complete Part II if you have already been granted an a	utomatic	3-month extension on a previously fi	led Form 8	3868			
	are filing for an Automatic 3-Month Extension, complet			<del> </del>	<del></del>			
Part II	Additional (Not Automatic) 3-Month E	xtensio	n of Time. Only file the origina	al (no co	pies neede	:d).		
			Enter filer's			e instructions		
Type or	Name of exempt organization or other filer, see instru-	Employer	number (EIN) or					
print	JOHN D & ALEXANDRA NICHOLS I	AMIL D	ĭ		36-385	ឧឧឧឧ		
File by the due date for	. ,				Social security number (SSN)			
filing your return. See	gyour Jun. See 900 MOUNT PLEASANT ROAD					(2214)		
instructions	City, town or post office, state, and ZIP code. For a fow WINNETKA, IL 60093	oreign add	lress, see instructions					
Enter the	e Return code for the return that this application is for (file	e a separa	te application for each return)			0 4		
Applicat	ion	Return	Application			Return		
is For		Code	Is For			Code		
	0 or Form 990-EZ	01						
Form 99	0-BL	02	Form 1041-A			08		
Form 47:	20 (individual)	03	Form 4720 (other than individual)			09		
Form 99	0-PF	04	Form 5227			10		
Form 99	0-T (sec. 401(a) or 408(a) trust)	05	Form 6069					
	0-T (trust other than above)	06	Form 8870	<del> </del>		12		
STOP! D	o not complete Part II if you were not already granted		natic 3-month extension on a prev	iously file	d Form 8868	•		
	JOHN D. NICHOLS  Sooks are in the care of  900 MT. PLEASA		አኮ – ህተለለምጥኛል TI.	ennas				
	hone No. > 847-441-6592	11 110.	Fax No F	00033				
-	organization does not have an office or place of busines	s in the U						
	is for a Group Return, enter the organization's four digit					oup, check this		
box 🕨	. If it is for part of the group, check this box							
			BER 15, 2014.					
	r calendar year 2013 , or other tax year beginning		, and endin	g		<del>-</del>		
6 If 1	the tax year entered in line 5 is for less than 12 months, o	check reas	son. Initial return	l Fınal r	etum			
	Change in accounting period							
7 St	ate in detail why you need the extension				<u> </u>			
	DDITIONAL TIME IS NEEDED TO	GATHE	R THE INFORMATION	NECES	SARY TO	FILE A		
<u>C</u>	OMPLETE AND ACCURATE RETURN.							
			A. A		<u> </u>	<del></del>		
	this application is for Forms 990-BL, 990-PF, 990-T, 4720	, or 6069,	enter the tentative tax, less any	8a	s	227,861.		
-	onrefundable credits. See instructions. This application is for Forms 990-PF, 990-T, 4720, or 6069	anter ar	wy refundable credits and estimated	- Ca	9	22.,002.		
	x payments made. Include any prior year overpayment al			-				
	reviously with Form 8868.	nowca as	a orean and any amount paid	8b	s	227,861.		
	plance due. Subtract line 8b from line 8a Include your pa	avment wi	th this form, if required, by using		7			
	TPS (Electronic Federal Tax Payment System). See instr	•	,,	8c	\$	0.		
			st be completed for Part II	only.	•			
Under pe it is true,	nalties of perjury, I declare that I have examined this form, inclu correct <del>, and</del> complete, and fhat I am authorized to prepare this f	ding accom orm.	panying schedules and statements, and t	o the best o	f my knowledge	and belief,		
Signature	Title >	CPA		Date	<u>► 811.</u>	3114		
					Form 88	368 (Rev. 1-2014)		