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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
Hattie A & Marie V Fatz Foundation

A Employer identification number
36-3818697

Number and street (or P O box number if mail is not delivered to street address)
1 N LaSalle Street Room 3000

Room/suite

B Telephone number (see instructions)
(312) 782-7320

City or town, state or province, country, and ZIP or foreign postal code
Chicago, IL 60602

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 1,172,607

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	61	61		
	4	Dividends and interest from securities	32,774	32,774		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	22,046			
	b	Gross sales price for all assets on line 6a 174,493				
	7	Capital gain net income (from Part IV, line 2)		3,217		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,076	1,076		
	12	**Total.** Add lines 1 through 11	55,957	37,128		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	10,000	10,000		
	b	Accounting fees (attach schedule)	6,000	6,000		
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,474	1,474		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	365	365		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	17,839	17,839		0
	25	Contributions, gifts, grants paid	70,000			70,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	87,839	17,839		70,000
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-31,882			
	b	**Net investment income** (if negative, enter -0-)		19,289		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	13,534	11,506	11,506
	2	Savings and temporary cash investments	918	12,372	12,372
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	2,243	1,832	888
	b	Investments—corporate stock (attach schedule)	1,141,192	1,100,989	1,147,841
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,157,887	1,126,699	1,172,607
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,157,887	1,126,699	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	1,157,887	1,126,699	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,157,887	1,126,699	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,157,887
2	Enter amount from Part I, line 27a	2	-31,882
3	Other increases not included in line 2 (itemize) ▶	3	694
4	Add lines 1, 2, and 3	4	1,126,699
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,126,699

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Capital Gains Distributions			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,217
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	70,000	1,181,893	000 059227
2011	60,000	1,076,011	000 055762
2010	60,000	1,066,853	000 056240
2009	69,376	906,716	000 076513
2008	70,000	1,190,268	000 058810

2	**Total** of line 1, column (d).	2	000 306552
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	000 061310
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,094,581
5	Multiply line 4 by line 3.	5	67,109
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	193
7	Add lines 5 and 6.	7	67,302
8	Enter qualifying distributions from Part XII, line 4.	8	70,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	193
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	193
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	193
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 664		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 1,000		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,664
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,471
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 1,471 **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	Yes	
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶ Telephone no ▶(312) 782-7320

Located at ▶1 N LaSalle St Suite 3000 Chicago IL ZIP+4 ▶606024003

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☐ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Kenneth F Theisen 1 N LaSalle Ste 3000 Chicago, IL 60602	Trustee 001 00	0		
Herbert J Theisen 1 N LaSalle Ste 3000 Chicago, IL 60602	Trustee 001 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,092,085
b	Average of monthly cash balances	1b	19,165
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,111,250
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,111,250
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	16,669
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,094,581
6	**Minimum investment return.** Enter 5% of line 5	6	54,729

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	54,729
2a	Tax on investment income for 2013 from Part VI, line 5	2a	193		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	193
3	Distributable amount before adjustments Subtract line 2c from line 1			3	54,536
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	54,536
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	54,536

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	70,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	70,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	193
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	69,807

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				54,536
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008. 11,769				
b From 2009. 25,288				
c From 2010. 7,567				
d From 2011. 7,011				
e From 2012. 11,423				
f **Total** of lines 3a through e.	63,058			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 70,000				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				54,536
e Remaining amount distributed out of corpus	15,464			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	78,522			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	11,769			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	66,753			
10 Analysis of line 9				
a Excess from 2009. . . . 25,288				
b Excess from 2010. . . . 7,567				
c Excess from 2011. . . . 7,011				
d Excess from 2012. . . . 11,423				
e Excess from 2013. . . . 15,464				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	7,883	2,035	53,343	63,261
b 85% of line 2a		6,701	1,730	45,342	53,773
c Qualifying distributions from Part XII, line 4 for each year listed	70,000	70,000	60,000	60,000	260,000
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	70,000	70,000	60,000	60,000	260,000
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	36,486	39,397	35,867	35,562	147,312
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

Kenneth F Theisen
1 N LaSalle St Ste 3000
Chicago, IL 60602
(312) 782-7320

b The form in which applications should be submitted and information and materials they should include

Letter Including program information and copy of IRS not for profit status

c Any submission deadlines

November 30

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

None

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				70,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	61	
4 Dividends and interest from securities			14	32,774	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	1,076	
8 Gain or (loss) from sales of assets other than inventory			18	22,046	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a Partnership Income Distributions			14		
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				55,957	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 55,957

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-11-10 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed	PTIN
Thomas K Sandor		2014-11-10	☑	
Firm's name ▶	Sandor Accounting Service		Firm's EIN ▶	
Firm's address ▶	164 Division St Suite 206 Elgin, IL 60120		Phone no (847) 530-5670	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Chicago Dance Company 21W421 Briar Cliff Rd Lombard, IL 60148	None	EOF	Dance Education	6,000
The Joffery Ballet 70 E Lake St Ste 1300 Chicago, IL 60601	None	EOF	Performing Arts Education	8,000
Chicago Architectural Foundation 224 S Michigan Ave Chicago, IL 60604	None	EOF	Architectural Education and Preservation	2,500
The Thomas More Society 29 S LaSalle St Ste 440 Chicago, IL 60603	None	EOF	Legal Education	3,000
The Friends of the Glenview Public Library 1930 Glenview Rd Glenview, IL 60025	None	EOF	Literacy Education	5,000
Foster 2 Home Animal Shelter PO Box 1581 Arlington Heights, IL 60006	None	EOF	Animal Welfare	1,000
Grant Park Orchestral Association 205 E Randolph Chicago, IL 60601	None	EOF	Public Music Programs	8,000
Oak Park Concert Choral 924 N Oak Park Ave Oak Park, IL 60302	None	EOF	Public Music Programs	500
Capital Hill Arts Workshop 545 7th St SE Washington, DC 20003	None	EOF	Arts Education	1,000
Ann and Robert Lurie Childrens Hospital 225 E Chicago Ave Chicago, IL 60611	None	EOF	Medical Research	25,000
Maryville Academy 1150 N River Rd Des Plaines, IL 60016	None	EOF	Child Welfare and Education	10,000
Total . . . . ▶ **3a**				70,000

TY 2013 Accounting Fees Schedule

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 13000230

Software Version: 13.6.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Sandor Accounting Services	6,000	6,000		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: Hattie A & Marie V Fatz Foundation
EIN: 36-3818697
Software ID: 13000230
Software Version: 13.6.0.0

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Agco Corp	2012-06	P	2013-12		11,542	8,538			3,004	
Agilent Technologies	2012-06	P	2013-05		8,823	7,869			954	
Alcoa	2009-04	P	2013-07		4,708	13,661			-8,953	
CST Brands	2013-05	P	2013-07		1,042				1,042	
Ecolab	2011-08	P	2013-12		21,039	10,091			10,948	
GM Wts	2013-07	P	2013-07		1,137				1,137	
GM Wts	2013-07	P	2013-07		801				801	
John Hancock Cl A	2003-01	P	2013-06		3,400	3,380			20	
John Hancock Cl A	2003-01	P	2013-12		5,602	5,619			-17	
Hess Corp	2012-02	P	2013-05		13,473	13,155			318	
Hospitality PPTYS Tr	2006-05	P	2013-07		5,524	4,180			1,344	
Inergy LP Unit LP	2012-07	P	2013-06		4,501	4,024			477	
Johnson Control	2012-07	P	2013-06		3,640	2,776			864	
Motors Liquidating	2013-07	P	2013-07		351				351	
Nuveen Pfd Income Opp	2006-07	P	2013-04		9,184	11,846			-2,662	
Oceaneering Intl	2011-06	P	2013-12		15,586	5,508			10,078	
Pimco Global Stockplus	2005-05	P	2013-12		11,274	12,500			-1,226	
Raytheon	2011-05	P	2013-07		13,556	9,956			3,600	
Realty Income Corp	2004-12	P	2013-06		4,299	4,884			-585	
Western Assets Global Ptnshp	2003-01	P	2013-12		11,737	11,968			-231	
Gabelli Equity Trust	2003-01	P	2013-01		8,557	11,330			-2,773	
John Hancock Cl A	2003-01	P	2013-01		3,000	2,912			88	
John Hancock Cl A	2003-01	P	2013-01		8,500	8,250			250	

TY 2013 General Explanation Attachment

Name: Hattie A & Marie V Fatz Foundation
EIN: 36-3818697
Software ID: 13000230
Software Version: 13.6.0.0

TY 2013 Investments Corporate Stock Schedule

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 13000230

Software Version: 13.6.0.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
100 shares of Air Products Chemicals	7,105	11,178
600 shares of Apollo Investment Corp	14,159	5,085
200 shares of Mosaic Co	14,267	9,454
100 shares of Polypore International	5,884	3,890
200 shares of Couer d Alene Mines Corp	3,499	2,170
100 shares of DuPont	23,588	6,497
400 shares of Tortoise MLP Fund	10,000	12,912
400 shares of Chesapeake Energy Corp	13,014	10,856
160 shares of Exxon	5,490	16,192
1148 shares of Frontier Communications	9,606	5,338
200 shares of Illinois Tool Works	9,517	16,816
700 shares of General Electric	20,826	19,621
100 shares of IBM	5,072	18,757
200 shares of Macquire Infrastructure CO LLC	6,208	10,886
580 shares of Impac Mortgage Holding REIT	24,049	3,468
500 shares of Manitowoc Co, Inc.	12,257	11,660
200 shares of Consolidated Energy	8,036	7,608
1000 shares of Nuveen Preferred Income Opprotunity	10,575	
400 shares of Pfizer	34,722	12,252
300 shares of Altria Group	6,981	11,517
200 shares of Proctor Gamble	5,730	16,282
1000 shares of Realty Income Corp	7,445	37,330
400 shares of ATT	26,644	14,064
90 shares of Thornburg Mortgage	23,508	
200 shares of Chicago Bridge Iron	2,514	16,628
200 shares of United Technologies	4,514	22,760
300 shares of Petroleo Brasiliero ADR	10,576	4,134
200 shares of Verizon	9,585	9,828
1307 shares of Franklin Gold Precious Metals	23,039	20,857
3115 shares of Fundamental Investors	94,322	161,887

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1500 shares of Gabelli Equity Trust	871	
1400 shares of Western Global Partners Income Fund	3,770	
1000 shares of Prospect Capital Corp	11,109	11,220
500 shares of Vale SA ADR	13,872	7,625
1000 shares of John Hancock Premium Dividend Fd II	11,392	11,600
3050 shares of John Hancock Income Fd Cl A	10,679	
900 shares of Veolia Environmental	21,967	14,724
100 shares of 3M Corp	7,276	14,025
1007 shares of Zweig Total Return Fund	34,016	14,038
559 shares of Claymore Sec Delta Global Agriculture	18,306	
500 shares of General Motors 7.25	12,500	
300 shares of Consolidated Water Co	4,555	4,230
200 shares of Cliffs Natural Resources	11,950	5,242
1000 shares of ING Global Equity Dividend	20,000	8,950
200 shares of JP Morgan Chase	7,286	11,696
400 shares of Macquarie Global Infrastructure	10,000	8,840
500 shares of Pimco Global Stockplus	54	
400 shares of Tortoise Energy Capital	9,850	13,060
200 shares of Bunge Ltd	12,911	16,422
400 shares of Conoco Phillips	10,920	28,260
200 shares of Schlumberger	12,175	18,022
300 shares of Freeport-McMoran Copper Gold	11,325	11,322
200 shares of Unitedhealth Group	7,473	15,060
875 shares of Blackrock Global Opportunities	2,460	
1400 shares of Blackrock Real Asset Equity	1,511	
200 shares of Honeywell Intl	10,418	18,274
300 shares of NII Holdings Inc Cl B	6,774	825
400 shares of Tortoise MLP Fd Inc	10,000	10,940
200 shares of Potash Corp Saskatchewan	9,750	6,592
300 shares of Sensata Technologies	9,788	11,631

Name of Stock	End of Year Book Value	End of Year Fair Market Value
300 shares of Tahoe Resources	5,775	4,992
500 shares of Weatherford Intl	10,445	7,745
300 shares of American International Group	9,974	15,315
200 shares of American Tower Corp REIT	14,472	15,964
400 shares of Cerner Corp	14,980	22,296
100 shares of Chart Industries Inc.	7,318	9,564
500 shares of Cisco Systems	10,544	11,215
600 shares of CSX Corp	13,595	17,262
500 shares of EMC Corp Mass	13,469	12,575
100 shares of WW Grainger	20,109	25,542
300 shares of Johnson Controls	8,526	15,390
1000 shares of Molycorp Inc.	9,570	5,620
500 shares of Morgan Stanley	9,336	15,680
500 shares of Omega Healthcare REIT	10,836	14,900
400 shares of Pan American Silver	9,759	4,680
200 shares of Peabody Energy	7,760	3,906
200 shares of Phillips 66		15,426
100 shares of Suburban Propane Partners LP	3,434	4,690
200 shares of Teva Pharmaceuticals ADR	8,131	8,016
200 shares of Triumph Group	13,551	15,214
300 shares of Valero Energy Corp	7,511	15,120
1000 shares of First Trust Four Corners	7,625	
500 shares of Allscripts Healthcare Solutions	6,841	7,730
500 shares of Corning Inc.	7,287	8,910
400 shares of Digital Global Inc	12,791	16,460
300 shares of Hertz Global Hldg	8,011	8,586
200 shares of Ichan Ent LP Depositary Unit	16,322	21,882
100 shares of ITC Holding	9,453	9,582
400 shares of Mylan Inc	12,714	17,360
300 shares of Stonemor Partners LP	8,259	7,656

Name of Stock	End of Year Book Value	End of Year Fair Market Value
675 shares of Brookfield Total Return Fd	23,941	16,038
500 shares of India Fund	10,960	10,000

TY 2013 Legal Fees Schedule

Name: Hattie A & Marie V Fatz Foundation
EIN: 36-3818697
Software ID: 13000230
Software Version: 13.6.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tews,Theisen Theisen	10,000	10,000		

TY 2013 Other Expenses Schedule

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 13000230

Software Version: 13.6.0.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Filing Fees	125	125		
Bank Service Charge	240	240		

TY 2013 Other Income Schedule

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 13000230

Software Version: 13.6.0.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Partnership Distributions	1,076	1,076	

TY 2013 Other Increases Schedule

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 13000230

Software Version: 13.6.0.0

Description	Amount
Prior Period adjustment	694

TY 2013 Taxes Schedule

Name: Hattie A & Marie V Fatz Foundation

EIN: 36-3818697

Software ID: 13000230

Software Version: 13.6.0.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tax on investment income	1,474	1,474		