Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: PETER D. AND CAROL GOLDMAN FOUNDATION

A Employer identification number: 36-3730772

Number and street (or P O box number if mail is not delivered to street address): 219 CARY AVENUE

Room/suite:

B Telephone number: 312-644-3200

City or town, state or province, country, and ZIP or foreign postal code: HIGHLAND PARK, IL 60035

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 5,682,751.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	506,371.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3,880.	3,880.		STATEMENT 1
	4 Dividends and interest from securities	110,626.	110,626.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	106,224.			
	b Gross sales price for all assets on line 6a 715,214.				
	7 Capital gain net income (from Part IV, line 2)		106,224.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	727,101.	220,730.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 3	25,963.	25,963.		0.
	17 Interest				
	18 Taxes STMT 4	2,564.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	25.	0.		25.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	28,552.	25,963.		25.
	25 Contributions, gifts, grants paid	202,800.			202,800.
	26 **Total expenses and disbursements.** Add lines 24 and 25	231,352.	25,963.		202,825.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	495,749.			
	b **Net investment income** (if negative, enter -0-)		194,767.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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10-10-13 LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	6,262.	8,694.	8,694.
	2	Savings and temporary cash investments	99,448.	22,453.	22,453.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	1,647.	2,040.	2,040.
	10a	Investments - U.S. and state government obligations STMT 6	200,251.	200,251.	222,140.
	b	Investments - corporate stock STMT 7	2,291,573.	2,789,424.	5,236,051.
	c	Investments - corporate bonds STMT 8	441,483.	50,000.	48,265.
	11	Investments - land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	108,076.	107,356.	141,250.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶ STATEMENT 10)	2,069.	1,858.	1,858.
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item 1)	3,150,809.	3,182,076.	5,682,751.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	3,150,809.	3,182,076.	
	30	**Total net assets or fund balances**	3,150,809.	3,182,076.	
	31	**Total liabilities and net assets/fund balances**	3,150,809.	3,182,076.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,150,809.
2	Enter amount from Part I, line 27a	2	495,749.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	3,646,558.
5	Decreases not included in line 2 (itemize) ▶ ADJ DONATED STOCK TO DONOR BASIS	5	464,482.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,182,076.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICALY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	715,214.		608,990.	106,224.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				106,224.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	106,224.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2	Total of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,895.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	3,895.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	3,895.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,400.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	1,400.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	2,495.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶		**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ PETER GOLDMAN Telephone no. ▶ 847-433-5110

Located at ▶ 219 CARY AVENUE, HIGHLAND PARK, IL ZIP+4 ▶ 60035

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X

See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

			Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

			Yes	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No

If "Yes," list the years ▶ ______, ______, ______, ______

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

▶ ______, ______, ______, ______

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER D. GOLDMAN 219 CARY AVENUE HIGHLAND PARK, IL 60035	PRESIDENT 2.00	0.	0.	0.
CAROL GOLDMAN 219 CARY AVENUE HIGHLAND PARK, IL 60035	SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	4,693,586.
b	Average of monthly cash balances			1b	78,418.
c	Fair market value of all other assets			1c	
d	**Total** (add lines 1a, b, and c)			1d	4,772,004.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	4,772,004.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	71,580.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	4,700,424.
6	**Minimum investment return.** Enter 5% of line 5			6	235,021.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	235,021.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,895.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,895.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	231,126.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	231,126.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	231,126.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	202,825.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	202,825.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	202,825.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				231,126.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			202,694.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 ____				
b From 2009 ____				
c From 2010 ____				
d From 2011 ____				
e From 2012 ____				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 202,825.				
a Applied to 2012, but not more than line 2a			202,694.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				131.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				230,995.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009 ____				
b Excess from 2010 ____				
c Excess from 2011 ____				
d Excess from 2012 ____				
e Excess from 2013 ____				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACCESS LIVING 115 WEST CHICAGO AVENUE CHICAGO, IL 60654	NONE	501(C)(3)	GENERAL SUPPORT	1,300.
ALZHEIMER'S ASSOCIATION 225 N. MICHIGAN AVE, SUITE 1700 CHICAGO, IL 60601	NONE	501(C)(3)	GENERAL SUPPORT	100.
AMERICAN BRAIN TUMOR ASSOCIATION 8550 W. BRYN MAWR AVENUE, SUITE 550 CHICAGO, IL 60631	NONE	501(C)(3)	GENERAL SUPPORT	100.
AMERICAN CANCER SOCIETY 225 NORTH MICHIGAN AVENUE #1210 CHICAGO, IL 60601	NONE	501(C)(3)	GENERAL SUPPORT	250.
AMERICAN LUNG ASSOCIATION 55 W. WACKER DRIVE, SUITE 1150 CHICAGO, IL 60601	NONE	501(C)(3)	GENERAL SUPPORT	100.
Total SEE CONTINUATION SHEET(S)			▶ 3a	202,800.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	3,880.	
4 Dividends and interest from securities			14	110,626.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	106,224.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		220,730.	0.

13 Total. Add line 12, columns (b), (d), and (e) . . . . 13 220,730.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		NOT APPLICABLE	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
NOT APPLICABLE		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Peter D. Goldman 5/14/14 Trustee

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
RICHARD A. REISIN		5/15/14		P00079655

Firm's name ▶ OSTROW REISIN BERK & ABRAMS, LTD. Firm's EIN ▶ 36-2938874

Firm's address ▶ 455 N. CITYFRONT PLAZA DRIVE, SUITE 15
CHICAGO, IL 60611-5313

Phone no. 312-670-7444

Form 990-PF (2013)

323622
10-10-13

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at** *www irs gov/form990* .

OMB No 1545-0047

2013

Name of the organization	**Employer identification number**
PETER D. AND CAROL GOLDMAN FOUNDATION	36-3730772

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

PETER D. AND CAROL GOLDMAN FOUNDATION

Employer identification number

36-3730772

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER AND CAROL GOLDMAN 219 CARY AVE HIGHLAND PARK , IL 60035	$ 506,371.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
PETER D. AND CAROL GOLDMAN FOUNDATION	36-3730772

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	PUBLICALY TRADED SECURITIES	$ 506,371.	12/18/13

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

PETER D. AND CAROL GOLDMAN FOUNDATION

Employer identification number

36-3730772

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ART INSTITUTE OF CHICAGO 111 SOUTH MICHIGAN AVENUE CHICAGO, IL 60603	NONE	501(C)(3)	GENERAL SUPPORT	80.
ASSOCIATION OF HORIZON 3712 NORTH BROADWAY #335 CHICAGO, IL 60613	NONE	501(C)(3)	GENERAL SUPPORT	500.
BUILDON P.O. BOX 16741 STAMFORD, CT 06905	NONE	501(C)(3)	GENERAL SUPPORT	250.
CHICAGO COMMUNITY TRUST 225 NORTH MICHIGAN AVENUE #2200 CHICAGO, IL 60601	NONE	501(C)(3)	GENERAL SUPPORT	100.
CHICAGO MARITIME SOCIETY 310 S RACINE AVE., #6N CHICAGO, IL 60607	NONE	501(C)(3)	GENERAL SUPPORT	335.
CONGREGATION SOLEL 1301 CLAVEY ROAD HIGHLAND PARK, IL 60035	NONE	501(C)(3)	GENERAL SUPPORT	4,050.
CROHN'S AND COLITIS FOUNDATION OF AMERICA 386 PARK AVENUE SOUTH, 17TH FLOOR NEW YORK, NY 10016	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
CHESAPEAKE REGION ACCESSIBLE BOATING PO BOX 6564 ANNAPOLIS, MD 21401	NONE	501(C)(3)	GENERAL SUPPORT	100.
FOUNDATION FOR RETINAL RESEARCH 666 DUNDEE ROAD, SUITE 1104 NORTHBROOK, IL 60062	NONE	501(C)(3)	GENERAL SUPPORT	50.
G.I.R.F. 70 E LAKE ST #1015 CHICAGO, IL 60601	NONE	501(C)(3)	GENERAL SUPPORT	71,400.
Total from continuation sheets				200,950.

323631
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GLASA 400 E ILLINOIS RD LAKE FOREST, IL 60045	NONE	501(C)(3)	GENERAL SUPPORT	500.
GREATER CHICAGO FOOD DEPOSIT 4100 ANN LURIE PL CHICAGO, IL 60632	NONE	501(C)(3)	GENERAL SUPPORT	200.
HIGHLAND PARK HOSPITAL 777 PARK AVENUE WEST HIGHLAND PARK, IL 60035	NONE	501(C)(3)	GENERAL SUPPORT	100.
INVEST FOR KIDS 1775 SHERMAND ST #2075 DENVER, CO 80264	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
J.G.A.S.F. 875 N. MICHIGAN AVE., SUITE 3718 CHICAGO, IL 60611	NONE	501(C)(3)	GENERAL SUPPORT	16,900.
JEWISH CHILD AND FAMILY SERVICES 216 W JACKSON BLVD, SUITE 800 CHICAGO, IL 60606	NONE	501(C)(3)	GENERAL SUPPORT	300.
JEWISH UNITED FUND 30 S. WELLS ST., ROOM 3134 CHICAGO, IL 60606	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
JOFFREY BALLET 10 E RANDOLPH ST CHICAGO, IL 60601	NONE	501(C)(3)	GENERAL SUPPORT	100.
LEUKEMIA & LYMPHOMA SOCIETY 651 W WASHINGTON BLVD #400 CHICAGO, IL 60661	NONE	501(C)(3)	GENERAL SUPPORT	625.
LINKS 1200 MASSACHUSETTS AVENUE WASHINGTON, DC 20005	NONE	501(C)(3)	GENERAL SUPPORT	700.
Total from continuation sheets				

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05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LOU MALNATI'S CANCER RESEARCH BENEFIT 4043 N. RAVENSWOOD AVENUE CHICAGO, IL 60613	NONE	501(C)(3)	GENERAL SUPPORT	400.
LURIE CHILDREN'S HOSPITAL 225 EAST CHICAGO AVE CHICAGO, IL 60611	NONE	501(C)(3)	GENERAL SUPPORT	850.
MICHAEL ROLFE PANCREATIC CANCER FOUNDATION 17 NORTH STATE STREET, SUITE 1550 CHICAGO, IL 60602	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
NORTH SUBURBAN MEDICAL RESEARCH JUNIOR BOARD 225 EAST CHICAGO AVE, BOX 4 CHICAGO, IL 60611	NONE	501(C)(3)	GENERAL SUPPORT	1,220.
NORTHWESTERN UNIVERSITY SCLERODERMA PROGRAM 675 N ST CLAIR SUITE 14-100 CHICAGO, IL 60611	NONE	501(C)(3)	GENERAL SUPPORT	20,000.
OBLITERIDE PO BOX 19024 SEATTLE, WA 98109	NONE	501(C)(3)	GENERAL SUPPORT	50.
ORPHANS OF THE STORM 2200 RIVERWOODS ROAD RIVERWOODS, IL 60015	NONE	501(C)(3)	GENERAL SUPPORT	100.
PAWS 1997 N CLYBOURN AVE CHICAGO, IL 60614	NONE	501(C)(3)	GENERAL SUPPORT	50.
RAVINIA FESTIVAL ASSOCIATION 201 SAINT JOHNS AVE HIGHLAND PARK, IL 60035	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
RAVINIA NEIGHBORS ASSOCIATION PO BOX 804 HIGHLAND PARK, IL 60035	NONE	501(C)(3)	GENERAL SUPPORT	20.
Total from continuation sheets				

323631
05-01-13

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
REHABILITATION INSTITUTE OF CHICAGO 345 EAST SUPERIOR STREET CHICAGO, IL 60611	NONE	501(C)(3)	GENERAL SUPPORT	19,360.
SCLERODERMA FOUNDATION 300 ROSEWOOD DRIVE, SUITE 105 DANVERS, MA 01923	NONE	501(C)(3)	GENERAL SUPPORT	4,235.
SEA SCOUTS 1218 WEST ADAMS STREET CHICAGO, IL 60607	NONE	501(C)(3)	GENERAL SUPPORT	125.
SPECIAL OLYMPICS 1133 19TH STREET NW WASHINGTON, DC 20036	NONE	501(C)(3)	GENERAL SUPPORT	100.
SPEEDY ALTMAN MEMORIAL CAMP FOUNDATION P.O. BOX 21246 MINNEAPOLIS, MN 55421	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
THE JOSSELYN CENTER 405 CENTRAL AVENUE NORTHFIELD, IL 60093	NONE	501(C)(3)	GENERAL SUPPORT	50.
TULANE UNIVERSITY 6823 ST. CHARLES AVENUE NEW ORLEANS, LA 70118	NONE	501(C)(3)	GENERAL SUPPORT	50,000.
UNITED WAY 560 WEST LAKE STREET CHICAGO, IL 60661	NONE	501(C)(3)	GENERAL SUPPORT	500.
UNIVERSITY OF CHICAGO MEDICINE 5841 S MARYLAND AVE CHICAGO, IL 60637	NONE	501(C)(3)	GENERAL SUPPORT	100.
URBAN GATEWAYS 205 W RANDOLP ST #1700 CHICAGO, IL 60606	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
Total from continuation sheets				

323631
05-01-13

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
US SAILING P.O. BOX 1260, 15 MARITIME DRIVE PORTSMOUTH, RI 2871	NONE	501(C)(3)	GENERAL SUPPORT	500.
Total from continuation sheets				

323631
05-01-13

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOFEN & GLOSSBERG	3,880.	3,880.	
TOTAL TO PART I, LINE 3	3,880.	3,880.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GOFEN & GLOSSBERG	110,626.	0.	110,626.	110,626.	
TO PART I, LINE 4	110,626.	0.	110,626.	110,626.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAL & ADVISORY FEES	25,231.	25,231.		0.
K1 FLOW THRU INVESTMENT FEES	732.	732.		0.
TO FORM 990-PF, PG 1, LN 16C	25,963.	25,963.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	1,870.	0.		0.
IL DEPARTMENT OF REVENUE	694.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,564.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IL CHARITY BUREAU FEE	15.	0.		15.
IL ANNUAL REPORT	10.	0.		10.
TO FORM 990-PF, PG 1, LN 23	25.	0.		25.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS	X		200,251.	222,140.
TOTAL U.S. GOVERNMENT OBLIGATIONS			200,251.	222,140.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			200,251.	222,140.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - CORPORATE STOCKS	2,789,424.	5,236,051.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,789,424.	5,236,051.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - CORPORATE BONDS	50,000.	48,265.
TOTAL TO FORM 990-PF, PART II, LINE 10C	50,000.	48,265.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AP ALTERNATIVE ASSETS, LP	COST	107,356.	141,250.
TOTAL TO FORM 990-PF, PART II, LINE 13		107,356.	141,250.

FORM 990-PF OTHER ASSETS STATEMENT 10

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DISTRIBUTION RECEIVABLE	1,858.	1,858.	1,858.
MISCELLANEOUS RECEIVABLE	211.	0.	0.
TO FORM 990-PF, PART II, LINE 15	2,069.	1,858.	1,858.

Portfolio Appraisal

12/31/2013

Quantity	Security		Interest/ Dividend	Unit Adj. Cost	Total Adjusted Cost	Price	Market Value	Unrealized Profit/Loss	% Assets	Current Yield	Annual Income
TAXABLE FIXED INCOME											
U S GOVERNMENT BONDS											
220,726	U S Treasury Infl Index	04/15/14	1.25	90.72	200,251	100.64	222,140	21,889	3.9	1.2	2,759
					200,251		**222,140**	**21,889**	**3.9**	**1.2**	**2,759**
	TAXABLE FIXED INCOME Total				**200,251**		**222,140**	**21,889**	**3.9**	**1.2**	**2,759**
COMMON STOCKS											
CONSUMER DISCRETIONARY											
2,000	Comcast Cl A		0.78	22.12	44,250	51.97	103,930	59,680	1.8	1.5	1,560
2,000	Newell Rubbermaid		0.60	17.48	34,951	32.41	64,820	29,869	1.1	1.9	1,200
3,500	Ross Stores		0.68	5.10	17,858	74.93	262,255	244,397	4.6	0.9	2,380
2,000	Starbucks		1.04	24.52	~~49,030~~ 98,000	78.39	156,780	107,750	2.8	1.3	2,080
1,000	Target		1.72	58.82	58,821	63.27	63,270	4,449	1.1	2.7	1,720
1,000	Tiffany		1.36	37.42	37,420	92.78	92,780	55,360	1.6	1.5	1,360
					242,329		**743,835**	**501,505**	**13.1**	**1.4**	**10,300**
CONSUMER STAPLES											
2,000	Coca-Cola		1.12	22.00	44,000	41.31	82,620	38,620	1.5	2.7	2,240
1,000	Costco Wholesale		1.24	43.40	43,400	119.02	119,020	75,620	2.1	1.0	1,240
1,250	Nestle SA Sponsored ADR		1.82	27.97	34,964	73.42	91,781	56,817	1.6	2.5	2,269
1,500	Unilever NV NY Shs		1.19	33.39	50,082	40.23	60,345	10,263	1.1	2.9	1,779
					172,446		**353,766**	**181,320**	**6.2**	**2.1**	**7,529**
ENERGY											
1,500	Cameron International		0.00	58.37	87,557	59.53	89,295	1,738	1.6	0.0	0
500	Exxon Mobil		2.52	59.90	29,950	101.20	50,600	20,650	0.9	2.5	1,260
300	National-Oilwell Varco		1.04	77.18	23,154	79.53	23,859	705	0.4	1.3	312
1,500	Southwestern Energy		0.00	38.14	57,207	39.33	58,995	1,788	1.0	0.0	0
					197,868		**222,749**	**24,881**	**3.9**	**0.7**	**1,572**
FINANCIALS											
1,500	Allstate		1.00	50.58	75,874	54.54	81,810	5,936	1.4	1.8	1,500
1,780	American Express		0.92	34.06	60,635	90.73	161,499	100,864	2.8	1.0	1,638

Quantity	Security	Interest/ Dividend	Unit Adj. Cost	Total Adjusted Cost	Price	Market Value	Unrealized Profit/Loss	% Assets	Current Yield	Annual Income
5,000	AP Alternative Assets LP	0.00	20.00	100,000	28.25	141,250	41,250	2.5	0.0	0
1,000	Berkshire Hathaway Cl B	0.00	71.85	71,849	118.56	118,560	46,711	2.1	0.0	0
400	IntercontinentalExchange Grp	2.60	102.23	40,892	224.92	89,968	49,076	1.6	1.2	1,040
1,000	JPMorgan Chase & Co	1.52	33.89	33,890	58.48	58,480	24,590	1.0	2.6	1,520
				383,140		**651,567**	**268,427**	**11.5**	**0.9**	**5,698**
HEALTH CARE										
1,000	Celgene	0.00	55.81	55,813	168.97	168,968	113,155	3.0	0.0	0
3,500	Gilead Sciences	0.00	6.87	24,032	75.10	262,850	238,818	4.6	0.0	0
1,000	GlaxoSmithKline PLC ADS	2.41	52.93	52,927	53.39	53,390	463	0.9	4.5	2,409
1,000	Johnson & Johnson	2.64	61.54	61,543	91.59	91,590	30,047	1.6	2.9	2,640
1,000	Merck	1.76	47.99	47,987	50.05	50,050	2,064	0.9	3.5	1,760
1,000	Novartis AG ADR	2.06	56.83	56,828	80.38	80,380	23,553	1.4	2.6	2,057
2,000	Pfizer	1.04	22.33	44,660	30.63	61,260	16,600	1.1	3.4	2,080
1,000	Thermo Fisher Scientific	0.60	36.05	36,055	111.35	111,350	75,295	2.0	0.5	600
				379,844		**879,838**	**499,994**	**15.5**	**1.3**	**11,547**
INDUSTRIALS										
3,000	American Airlines Group	0.00	17.03	51,086	25.25	75,750	24,664	1.3	0.0	0
500	Boeing	2.92	71.94	35,968	136.49	68,245	32,277	1.2	2.1	1,460
1,000	Caterpillar	2.40	55.49	55,490	90.81	90,810	35,320	1.6	2.6	2,400
6,000	General Electric	0.88	6.18	37,070	28.03	168,180	131,110	3.0	3.1	5,280
1,000	United Technologies	2.36	68.91	68,911	113.80	113,800	44,889	2.0	2.1	2,360
				248,525		**516,785**	**268,260**	**9.1**	**2.2**	**11,500**
INFORMATION TECHNOLOGY										
600	ASML Hldgs NV ADR	0.59	89.46	53,678	93.70	56,220	2,542	1.0	0.6	352
1,500	Cisco Systems	0.68	17.62	26,430	22.43	33,645	7,215	0.6	3.0	1,020
2,000	EMC	0.40	24.53	49,060	25.15	50,300	1,240	0.9	1.6	800
2,000	Microsoft	1.12	27.21	54,412	37.41	74,820	20,408	1.3	3.0	2,240
2,725	Oracle	0.48	17.36	47,307	38.26	104,259	56,951	1.8	1.3	1,308
2,000	QUALCOMM	1.40	41.08	82,160	74.25	148,500	66,340	2.6	1.9	2,800
5,000	Western Union	0.50	15.05	75,253	17.25	86,250	10,997	1.5	2.9	2,500
				388,300		**553,994**	**165,693**	**9.8**	**2.0**	**11,020**

Quantity	Security	Interest/ Dividend	Unit Adj. Cost	Total Adjusted Cost	Price	Market Value	Unrealized Profit/Loss	% Assets	Current Yield	Annual Income
MATERIALS										
2,000	Du Pont E I	1.80	51.10	102,197	64.97	129,940	27,743	2.3	2.8	3,600
1,000	Ecolab	1.10	51.98	51,978	104.27	104,270	52,293	1.8	1.1	1,100
1,000	Monsanto	1.72	75.45	75,448	116.55	116,550	41,102	2.1	1.5	1,720
1,500	Mosaic Company	1.00	35.26	52,889	47.27	70,905	18,016	1.3	2.1	1,500
1,200	Praxair	2.40	39.17	47,004	130.03	156,036	109,032	2.8	1.8	2,880
				329,516		**577,701**	**248,185**	**10.2**	**1.9**	**10,800**
TELECOMMUNICATION SERVICES										
1,032	Verizon Communications	2.12	29.39	~~30,332~~ 33,757	49.14	50,712	20,380	0.9	4.3	2,188
				30,332		**50,712**	**20,380**	**0.9**	**4.3**	**2,188**
UTILITIES										
2,000	PPL	1.47	26.83	53,656	30.09	60,180	6,524	1.1	4.9	2,940
				53,656		**60,180**	**6,524**	**1.1**	**4.9**	**2,940**
	COMMON STOCKS Total			**2,425,955**		**4,611,127**	**2,185,169**	**81.3**	**1.6**	**75,093**
REAL ESTATE INVESTMENT TRUSTS										
FINANCIALS										
8,000	Kite Realty Group Trust	0.24	10.03	80,235	6.57	52,560	-27,675	0.9	3.7	1,920
				80,235		**52,560**	**-27,675**	**0.9**	**3.7**	**1,920**
	REAL ESTATE INVESTMENT TRUSTS Total			**80,235**		**52,560**	**-27,675**	**0.9**	**3.7**	**1,920**
INVESTMENT FUNDS										
INTERNATIONAL EQUITY FUNDS										
11,738	Columbia Acorn Intl Fd-Z	1.19	13.14	154,221	46.68	547,930	393,709	9.7	2.6	13,983
1,000	iShares MSCI Asia Ex-Japan	1.07	52.06	52,064	60.31	60,310	8,246	1.1	1.8	1,066
1,000	iShares MSCI Brazil Capped Index	1.44	65.00	65,004	44.68	44,680	-20,324	0.8	3.2	1,441
5,000	iShares MSCI Japan Index Fd	0.13	11.81	59,040	12.14	60,695	1,655	1.1	1.1	675
				330,329		**713,615**	**383,286**	**12.6**	**2.4**	**17,164**
	INVESTMENT FUNDS Total			**330,329**		**713,615**	**383,286**	**12.6**	**2.4**	**17,164**

Quantity	Security		Interest/ Dividend	Unit Adj. Cost	Total Adjusted Cost	Price	Market Value	Unrealized Profit/Loss	% Assets	Current Yield	Annual Income
MISCELLANEOUS											
STRUCTURED NOTES - FIXED INCOME											
50,000	HSBC Bank USA CD	07/29/16	0.00	100.00	50,000	96.53	48,265	-1,735	0.9	0.0	0
					50,000		**48,265**	**-1,735**	**0.9**	**0.0**	**0**
	MISCELLANEOUS Total				**50,000**		**48,265**	**-1,735**	**0.9**	**0.0**	**0**
CASH & EQUIVALENTS											
	Cash				22,453		22,453		0.4		
					22,453		**22,453**	**0**	**0.4**		
	CASH & EQUIVALENTS Total				**22,453**		**22,453**	**0**	**0.4**		
Total Portfolio					**3,109,223**		**5,670,159**	**2,560,934**	**100.0**	**1.7**	**96,936**