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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE R.J. MULLEN, SR. AND FAMILY FOUNDATION C/O TERESA MULLEN		A Employer identification number 36-3690650	
		B Telephone number 847-234-2906	
Number and street (or P O box number if mail is not delivered to street address) 6681 N LEOTI AVENUE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60646		C If exemption application is pending, check here ► <input type="checkbox"/>	
G Check all that apply: Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change <input type="checkbox"/>		D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>	
H Check type of organization: Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation <input type="checkbox"/>		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 744,299.		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual Other (specify) <input type="checkbox"/>	
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income
1 Contributions, gifts, grants, etc., received			(c) Adjusted net income
2 Check ► <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B Interest on savings and temporary cash investments			(d) Disbursements for charitable purposes (cash basis only)
3			
4 Dividends and interest from securities		16,169.	16,187.
5a Gross rents			STATEMENT 1
b Net rental income or (loss)			
6a Net gain or (loss) from sale of assets not on line 10		24,245.	
b Gross sales price for all assets on line 6a		233,902.	
7 Capital gain net income (from Part IV, line 2)		21,557.	
8 Net short-term capital gain			
9 Income modifications Gross sales less returns and allowances			
10a			
b Less Cost of goods sold			
c Gross profit or (loss)			
11 Other income		10	
12 Total Add lines 1 through 11		40,414.	37,744.
13 Compensation of officers, directors, trustees, etc		7,500.	7,500.
14 Other employee salaries and wages			
15 Pension plans, employee benefits			
16a Legal fees			
b Accounting fees		3,815.	3,815.
c Other professional fees		7,502.	0.
17 Interest			
18 Taxes			
19 Depreciation and depletion			
20 Occupancy			
21 Travel, conferences, and meetings		480.	480.
22 Printing and publications			
23 Other expenses		1,653.	1,653.
24 Total operating and administrative expenses Add lines 13 through 23		20,950.	7,756.
25 Contributions, gifts, grants paid		55,000.	55,000.
26 Total expenses and disbursements. Add lines 24 and 25		75,950.	7,756.
27 Subtract line 26 from line 12		-35,536.	
a Excess of revenue over expenses and disbursements			
b Net investment income (if negative, enter -0-)		29,988.	
c Adjusted net income (if negative, enter -0-)			N/A

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,547.		
	2	Savings and temporary cash investments	7,714.	9,532.	9,532.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ►			
		Less accumulated depreciation ►			
	12	Investments - mortgage loans			
	13	Investments - other	STMT 5	678,525.	642,718.
	14	Land, buildings, and equipment: basis ►			
		Less accumulated depreciation ►			
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		687,786.	652,250.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ►)			
	23	Total liabilities (add lines 17 through 22)		0.	0.
Liabilities	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted		687,786.	652,250.
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 27 through 31				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
Net Assets or Fund Balances	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances		687,786.	652,250.
	31	Total liabilities and net assets/fund balances		687,786.	652,250.

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	687,786.
2	Enter amount from Part I, line 27a	2	-35,536.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	652,250.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	652,250.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALES OF PUBLICLY TRADED SECURITIES			P	VARIOUS	VARIOUS
b THRU GREENHAVEN CONTINUOUS COMMODITY INDEX					
c FUND K-1				VARIOUS	VARIOUS
d CAPITAL GAINS DIVIDENDS					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 227,374.		209,657.	17,717.		
b					
c			-2,688.		
d 6,528.			6,528.		
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			17,717.		
b			-2,688.		
c			6,528.		
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			2		21,557.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	61,715.	742,309.	.083139
2011	51,759.	787,917.	.065691
2010	108,308.	820,051.	.132075
2009	37,028.	729,272.	.050774
2008	65,207.	1,043,981.	.062460
2 Total of line 1, column (d)			2 .394139
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .078828
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 742,046.
5 Multiply line 4 by line 3			5 58,494.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 300.
7 Add lines 5 and 6			7 58,794.
8 Enter qualifying distributions from Part XII, line 4			8 68,448.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2013 estimated tax payments and 2012 overpayment credited to 2013

6a 1,075.

b Exempt foreign organizations - tax withheld at source

6b

c Tax paid with application for extension of time to file (Form 8868)

6c

d Backup withholding erroneously withheld

6d

7 Total credits and payments. Add lines 6a through 6d

7 1,075.

8 Enter any **penalty** for underpayment of estimated tax. Check here if Form 2220 is attached

8

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10 775.

11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ►

775. Refunded ►

11 0.

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a Yes X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

1b Yes X

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1c Yes X

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2 Yes X

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3 Yes X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a Yes X

b If "Yes," has it filed a tax return on Form 990-T for this year?

4b Yes X

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5 Yes X

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

6 Yes X

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

7 Yes X

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

8b Yes X

IL, NE

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

of each state as required by General Instruction G? If "No," attach explanation

9 Yes X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Yes X

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) 11 X

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 X

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A 13 X

14 The books are in care of ► TERESA MULLEN Telephone no. ► 773-702-7442
Located at ► 6681 N. LEO TI AVENUE, CHICAGO, IL ZIP+4 ► 60646

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A Yes No X

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► 16 Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? □ Yes No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? □ Yes No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? X Yes No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? □ Yes No

(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) □ Yes No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► 1b X

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 1c X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? □ Yes No

If "Yes," list the years ► 2b N/A

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b N/A

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 2b N/A

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 3a Yes No

b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) 3b N/A

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	N/A	7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		7,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	Expenses
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	Amount
2		
3		
Total. Add lines 1 through 3		0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 745,842.
b	Average of monthly cash balances	1b 7,504.
c	Fair market value of all other assets	1c
d	Total (add lines 1a, b, and c)	1d 753,346.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 753,346.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 11,300.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 742,046.
6	Minimum investment return. Enter 5% of line 5	6 37,102.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 37,102.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 300.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 300.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 36,802.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 36,802.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 36,802.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 68,448.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 68,448.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 300.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6 68,148.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				36,802.
2 Undistributed income, if any, as of the end of 2013			0.	
a Enter amount for 2012 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	14,220.			
b From 2009	885.			
c From 2010	67,633.			
d From 2011	13,085.			
e From 2012	24,824.			
f Total of lines 3a through e	120,647.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 68,448.			0.	
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				36,802.
e Remaining amount distributed out of corpus	31,646.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	152,293.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	14,220.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	138,073.			
10 Analysis of line 9:				
a Excess from 2009	885.			
b Excess from 2010	67,633.			
c Excess from 2011	13,085.			
d Excess from 2012	24,824.			
e Excess from 2013	31,646.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)				
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed			
	Tax year		Prior 3 years	
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities.				
3	Subtract line 2d from line 2c			
	Complete 3a, b, or c for the alternative test relied upon:			
a "Assets" alternative test - enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b. The form in which applications should be submitted and information and materials they should include:

c. Any submission deadlines:

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE R.J. MULLEN, SR. AND FAMILY
FOUNDATION C/O TERESA MULLEN

Form 990-PF (2013)

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CATHOLIC CHARITIES 671 S. LEWIS WAUKEGAN, IL 60085	N/A	PC	GENERAL SUPPORT	10,000.
CHICAGO DANCE MEDIUM 1224 W. VAN BUREN STREET CHICAGO, IL 60607	N/A	PC	OPERATING EXPENSES	5,000.
LAKE COUNTY RESIDENTIAL DEVELOPMENT CORP. P.O. BOX 321 GURNEE, IL 60031	N/A	PC	GENERAL SUPPORT	10,000.
MANO-A-MANO FAMILY RESOURCE CENTER 222 E. MAIN STREET ROUND LAKE, IL 60073	N/A	PC	OPERATING EXPENSES	5,000.
NORTHFIELD TOWNSHIP FOOD PANTRY 3810 WEST LAKE AVENUE, STE. 101 GLENVIEW, IL 60026	N/A	PC	GENERAL SUPPORT	20,000.
Total	SEE CONTINUATION SHEET(S)			► 3a 55,000.
b Approved for future payment				
NONE				
Total				► 3b 0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	16,169.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	24,245.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		40,414.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	40,414.
(See worksheet in line 13 instructions to verify calculations.)					

Relationship of Activities to the Accomplishment of Exempt Purposes

THE R.J. MULLEN, SR. AND FAMILY
FOUNDATION C/O TERESA MULLEN

36-3690650

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS-CHARLES SCHWAB	22,697.	6,528.	16,169.	16,169.	
GREENHAVEN CONTINUOUS COMMODITY INDEX	0.	0.	0.	18.	
TO PART I, LINE 4	22,697.	6,528.	16,169.	16,187.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
O'CONNOR DAVIES, LLP	3,815.	0.		3,815.
TO FORM 990-PF, PG 1, LN 16B	3,815.	0.		3,815.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	7,502.	7,502.		0.
TO FORM 990-PF, PG 1, LN 16C	7,502.	7,502.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LIABILITY INSURANCE	246.	0.		246.
ASF DUES	725.	0.		725.
CT CORP	649.	0.		649.
FILING FEES	33.	0.		33.
K-1 DEDUCTIONS	0.	254.		0.
TO FORM 990-PF, PG 1, LN 23	1,653.	254.		1,653.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT

5

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS-SEE ATTACHMENT A	COST	619,692.	711,070.
OTHER INVESTMENTS-SEE ATTACHMENT A	COST	23,026.	23,697.
TOTAL TO FORM 990-PF, PART II, LINE 13		642,718.	734,767.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 6

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN	EXPENSE CONTRIB	ACCOUNT
TERESA MULLEN C/O FOUNDATION, 6681 N. LEOTI AVENUE CHICAGO, IL 60646	PRESIDENT 1.00	3,000.	0.	0.	
KATHRYN MULLEN IDLAS C/O FOUNDATION, 6681 N. LEOTI AVENUE CHICAGO, IL 60646	SECRETARY 0.50	1,000.	0.	0.	
RICHARD J. MULLEN C/O FOUNDATION, 6681 N. LEOTI AVENUE CHICAGO, IL 60646	TREASURER 0.30	1,000.	0.	0.	
MARGARET MULLEN C/O FOUNDATION, 6681 N. LEOTI AVENUE CHICAGO, IL 60646	BOARD MEMBER 0.50	500.	0.	0.	
ROBERT J. MULLEN C/O FOUNDATION, 6681 N. LEOTI AVENUE CHICAGO, IL 60646	BOARD MEMBER 0.50	2,000.	0.	0.	
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		7,500.	0.	0.	

Charles SCHWAB
INSTITUTIONAL

Schwab One® Account of
R J MULLEN SR AND FAMILY
FOUNDATION

Statement Period
December 1-31, 2013

Investment Detail - Cash and Money Market Funds [Sweep]

	Market Value	% of Account Assets
Cash	354.24	<1%
Cash	354.24	<1%
Total Cash	354.24	<1%
	Market Price	Current Yield
Money Market Funds [Sweep]	Quantity	Market Price
SCHWAB US TREAS MONEY FD: SWUXX	9,177.3100	1.0000
Total Money Market Funds [Sweep]		9,177.31
Total Cash & Money Market [Sweep]		9,531.55

Investment Detail - Mutual Funds

	Market Price	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
BAIRD CORE PLUS BD INST SYMBOL: BCOIX	10.7700	36,683.18	5%	11.24	38,300.00 (1,616.82)

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endpoint codes and symbols on this statement

Charles SCHWAB
INSTITUTIONAL

Schwab One® Account of
R J MULLEN SR AND FAMILY
FOUNDATION

Statement Period
December 1-31, 2013

Investment Detail - Mutual Funds (continued)

Bond Funds (continued)		Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
LOOMIS SAYLES INVESTMENT GRADE BD CL Y SYMBOL: LSIX	5,934 1810	11 9000	70,616.75	9%	10 86	64,426.84		6,189.91
OPPENHEIMER INTL BOND FD CL Y SYMBOL: OIBYX	12,096 8970	6.0800	73,549.13	10%	5 73	69,609.34		3,939.79
TEMPLETON GLOBAL BOND FUND ADV CL SYMBOL: TGBAX	5,574.7030	13 0900	72,972.86	10%	11 81	66,203.50 ^b		6,769.36
Total Bond Funds	27,501.8830		253,824.92	34%		238,539.68		15,282.24
Equity Funds		Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
COLUMBIA ACORN INTL FD CL R5 SYMBOL: CAIRX	840 3510	46 6600	39,210.78	5%	34.85	30,069.80		9,140.98
EATON VANCE ATLANTA CAP SMID CAP A SYMBOL: EAASX	1,305 9690	22 9600	29,985.05	4%	10.12	16,800.34		13,184.71
GATEWAY FUND CL Y SYMBOL: GTEYX	1,971.7380	28.9900	57,160.68	8%	23.21	45,773.33		11,387.35
HARDING LOEVNER FRONTIER EMERG MKTS INST SYMBOL: HLFMX	1,851.8360	8.5200	15,777.64	2%	7.70	14,262.23		1,515.41

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SIPC
ATTACHMENT A PAGE 2 OF 4

Charles SCHWAB
INSTITUTIONAL

Schwab One® Account of
R J MULLEN SR AND FAMILY
FOUNDATION

Statement Period
December 1-31, 2013

Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
HENDERSON INTL OPPTY FD CL I SYMBOL: HFOIX	1,394.3450	26.9900	37,633.37	5%	22.97	31,573.99 ^a	6,059.38
ING GLOBAL REAL ESTATE CL I SYMBOL: IGLIX	2,971.8310	18.3200	54,443.94	7%	13.02	39,571.83	14,872.11
OPPENHEIMER DEVELOPING MKTS FD CL Y SYMBOL: ODVYX	1,006.9530	37.5600	37,821.15	5%	34.40	34,640.15	3,181.00
RS GLOBAL NATURAL RESOURCES FD CL Y SYMBOL: RSNYX	690.0290	35.7600	24,675.44	3%	35.95	24,806.18	(130.74)
TFS MARKET NEUTRAL FUND SYMBOL: TFSMX	2,835.7210	15.2600	43,273.10	6%	15.63	44,331.18	(1,058.08)
THORNBURG INTL VALUE FD INST CLASS SYMBOL: TGVIIX	1,209.6880	32.0600	38,782.60	5%	29.77	35,810.84 ^a	2,971.76
VANGUARD 500 INDEX FD SIGNAL SHRS SYMBOL: VIFSX	557.7320	140.7200	78,484.05	11%	113.88	63,512.52	14,971.53
StateEquity Funds	1,663,930		1,663,247.80	100%	1,663,247.80	1,663,247.80	76,955.60
State Mutual Funds	4,948,0260		5,163,069.72	100%	5,163,069.72	5,163,069.72	14,000.00

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Charles SCHWAB
INSTITUTIONAL

Schwab One® Account of
**R J MULLEN SR AND FAMILY
FOUNDATION**

Statement Period
December 1-31, 2013

Investment Detail - Other Assets

Other Assets	Quantity	Market Price	Market Value	% of Account Assets	Unrealized Gain or (Loss)	Holding Days	Holding Period
	Units Purchased	Cost Per Share	Cost Basis	Acquired			
IQ HEDGE MULTI STRT ETF MULTI STRATEGY TRACKER SYMBOL: QAI	818.0000	28.9700	23,697.46	3%	671.41		
90.0000	28.1414	2,532.73	07/15/13		74.57	169	Short-Term
100.0000	28.1415	2,814.15	07/15/13		82.85	169	Short-Term
100.0000	28.1415	2,814.15	07/15/13		82.85	169	Short-Term
100.0000	28.1415	2,814.15	07/15/13		82.85	169	Short-Term
153.0000	28.1684	4,309.78	07/15/13		122.63	169	Short-Term
275.0000	28.1494	7,741.09	07/15/13		225.66	169	Short-Term
		23,026.05					
Total Other Assets	818.0000	23,697.46	3%	671.41			
	Total Cost Basis:	23,026.05					
					Total Investment Detail	744,298.73	
					Total Account Value	744,298.73	
					Total Cost Basis	642,718.12	