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Return of Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
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 Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE TINY TIGER FOUNDATION C/O THOMAS E. SWANEY		A Employer identification number 36-3521718
Number and street (or P O box number if mail is not delivered to street address) ONE SOUTH DEARBORN STREET	Room/suite 09E021	B Telephone number (312) 853-7476
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60603		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 6,478,105.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		103,391.	103,391.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		303,002.			
b Gross sales price for all assets on line 6a 2,745,991.					
7 Capital gain net income (from Part IV, line 2)			303,002.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		45.	45.		STATEMENT 2
12 Total Add lines 1 through 11		406,438.	406,438.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		12,905.	3,226.		9,679.
b Accounting fees					
c Other professional fees STMT 4		41,816.	27,384.		14,432.
17 Interest					
18 Taxes STMT 5		7,010.	2,250.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		61,731.	32,860.		24,111.
25 Contributions, gifts, grants paid		87,000.			87,000.
26 Total expenses and disbursements. Add lines 24 and 25		148,731.	32,860.		111,111.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		257,707.			
b Net investment income (if negative, enter -0-)			373,578.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	54,896.	225,835.	225,835.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶ SEE STMT 7	19,577.		
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 6		5,136,159.	5,243,870.	6,252,270.
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		5,210,632.	5,469,705.	6,478,105.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Foundations that follow SFAS 117, check here ▶ ☐	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	5,210,632.	5,469,705.	
	30 Total net assets or fund balances	5,210,632.	5,469,705.	
31 Total liabilities and net assets/fund balances	5,210,632.	5,469,705.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,210,632.
2 Enter amount from Part I, line 27a	2	257,707.
3 Other increases not included in line 2 (itemize) ▶ BASIS ADJUSTMENT	3	1,366.
4 Add lines 1, 2, and 3	4	5,469,705.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,469,705.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED (SHORT TERM)	P		
b SEE ATTACHED (SHORT TERM)	P		
c SEE ATTACHED (LONG TERM)	P		
d SEE ATTACHED (LONG TERM)	P		
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 825,345.		762,869.	62,476.
b 163,977.		193,533.	<29,556.>
c 133,248.		123,847.	9,401.
d 1,553,287.		1,362,740.	190,547.
e 70,134.			70,134.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			62,476.
b			<29,556.>
c			9,401.
d			190,547.
e			70,134.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	303,002.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	232,448.	5,430,036.	.042808
2011	228,227.	5,541,113.	.041188
2010	228,116.	5,220,814.	.043694
2009	226,301.	4,508,087.	.050199
2008	217,031.	5,025,394.	.043187

2 Total of line 1, column (d)	2	.221076
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044215
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	5,954,068.
5 Multiply line 4 by line 3	5	263,259.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,736.
7 Add lines 5 and 6	7	266,995.
8 Enter qualifying distributions from Part XII, line 4	8	111,111.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	7,472.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,472.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,472.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	5,815.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,815.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,657.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14 The books are in care of ► <u>THOMAS E. SWANEY</u> Telephone no. ► <u>(312) 853-7000</u> Located at ► <u>ONE SOUTH DEARBORN STREET, CHICAGO, IL</u> ZIP+4 ► <u>60603</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____, _____			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____, _____, _____, _____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

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Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No
N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA W. FIELD 100 BELVIDERE, UNIT 6A BOSTON, MA 02199	PRES, TREAS, DIR 1.00	0.	0.	0.
NEWTON N. MINOW ONE SOUTH DEARBORN STREET CHICAGO, IL 60603	VP, ASST SEC, DIR 1.00	0.	0.	0.
THOMAS E. SWANEY ONE SOUTH DEARBORN STREET CHICAGO, IL 60603	SEC, DIR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ 0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
-----------	--

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments See instructions		
3		

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,893,951.
b	Average of monthly cash balances	1b	150,788.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	6,044,739.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,044,739.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	90,671.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,954,068.
6	Minimum investment return. Enter 5% of line 5	6	297,703.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	297,703.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	7,472.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,472.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	290,231.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	290,231.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	290,231.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	111,111.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	111,111.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	111,111.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				290,231.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			67,909.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 111,111.				
a Applied to 2012, but not more than line 2a			67,909.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				43,202.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				247,029.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

THE TINY TIGER FOUNDATION

Form 990-PF (2013)

C/O THOMAS E. SWANEY

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

(a) 2013

Prior 3 years

(b) 2012

(c) 2011

(d) 2010

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

BARBARA W. FIELD

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE TINY TIGER FOUNDATION

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ADVENTURE UNLIMITED 5201 S. QUEBEC STREET GREENWOOD VILLAGE, CO 80111	N/A	PUBLIC	GENERAL FUND	6,500.
CHESTNUT HILL BENEVOLENT SOCIETY, CHESTNUT HILL, MA 910 BOYLSTON STREET CHESTNUT HILL, MA 02467	N/A	PUBLIC	GENERAL FUND	12,000.
YOUNG AUTHORS FOUNDATION, INC., NEWTON MA P. O. BOX 30 NEWTON, MA 02461	N/A	PUBLIC	GENERAL FUND	66,000.
BOSTON BALLET 19 CLARENDON STREET BOSTON, MA 02116	N/A	PUBLIC	GENERAL	2,500.
Total				87,000.
b Approved for future payment				
NONE				
Total				0.

Part XVI-A

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	103,391.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			01	45.	
8	Gain or (loss) from sales of assets other than inventory			18	303,002.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0.		406,438.	0.
13	Total. Add line 12, columns (b), (d), and (e)					406,438.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B

[illegible]

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN ACCOUNT V18892006 (QUALIFIED	173,525.	70,134.	103,391.	103,391.	
TO PART I, LINE 4	173,525.	70,134.	103,391.	103,391.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION SETTLEMENTS	45.	45.	
TOTAL TO FORM 990-PF, PART I, LINE 11	45.	45.	

FORM 990-PF	LEGAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SIDLEY AUSTIN LLP	12,905.	3,226.		9,679.
TO FM 990-PF, PG 1, LN 16A	12,905.	3,226.		9,679.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JP MORGAN MANAGEMENT FEES	27,084.	27,084.		0.
THE PHILANTHROPIC INITIATIVE	14,432.	0.		14,432.
JP MORGAN TAX PREP FEE	300.	300.		0.
TO FORM 990-PF, PG 1, LN 16C	41,816.	27,384.		14,432.

FORM 990-PF	TAXES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	2,250.	2,250.		0.
2013 ESTIMATED TAXES	4,760.	0.		0.
TO FORM 990-PF, PG 1, LN 18	7,010.	2,250.		0.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	6
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
INV BALANCED-RISK (F/K/A AIM INVT FDS BAL) ALL Y	COST	191,717.	183,253.	
ARTISAN INTL VALUE FUND-INV	COST	262,753.	335,278.	
ASTON OPTIMUM	COST	115,086.	147,993.	
BARC BREN ASIA BASKET 4/17/13	COST	0.	0.	
BARC BREN COMMODITY BSKT 2/13/14	COST	60,000.	59,598.	
BARC PARTICIPATING GOLD NOTE	COST	0.	0.	
BNP BREN EAFE 6/19/13	COST	0.	0.	
BNP DELTA ONE 6/14/13	COST	0.	0.	
COLUMBIA FDS SER TR (COLUMBIA ASIA PAX)	COST	115,108.	128,402.	
DB 95% PPN FX BASKET 2/1/13	COST	0.	0.	
DB MARKET PLUS 3/19/14	COST	60,000.	72,052.	
DB SARN CURRENCY BSKT 4/18/13	COST	0.	0.	
DELAWARE EMERGING MARKETS FUND	COST	55,900.	67,171.	
DODGE & COX FDS	COST	278,865.	289,995.	
DOUBLELINE TDS TR TRL RTN BD 1	COST	169,105.	161,994.	
EATON VANCE FLOATING RATE ADVANTAGE I	COST	115,867.	119,056.	
EATON VANCE MUT FDS TR - FLT RT	COST	0.	0.	
EATON VANCE MUT FDS TR - GLOBAL	COST	134,681.	126,231.	
EDGEWOOD GROWTH FUND	COST	0.	0.	
GATEWAY FUND - Y	COST	111,709.	119,355.	
GS BREN SPX 5/8/13	COST	0.	0.	
GS CONT BUFF EQ ESX 11/6/12	COST	0.	0.	
HARTFORD CAPITAL APPRECIATION FUND	COST	211,944.	322,040.	
HSBC DELTA ONE 6/14/13	COST	0.	0.	
ISHARES MSCI ALL COUNTRY ASIA EX	COST			
JAPAN INDEX		0.	0.	
ISHARES MSCI EAFE INDEX FUND	COST	419,465.	447,993.	
ISHARES RUSSELL MIDCAP INDEX FD	COST	79,690.	255,416.	
JPM BREN EAFE 3/6/13	COST	0.	0.	
JPM BREN EAFE 4/4/13	COST	0.	0.	

JPM BREN EAFE 5/7/13	COST	0.	0.
JPM LARGE CAP GROWTH FD - SEL	COST	194,526.	279,834.
JPM REALTY INCOME FD	COST	0.	0.
JPMORGAN HIGH YIELD BOND FUND	COST		
SELECT		0.	0.
JPMORGAN INTERNATIONAL CURRENCY	COST	0.	0.
JPMORGAN MARKET EXPANSION INDEX	COST		
FUND		88,374.	126,065.
JPMORGAN SHORT DURATION BOND FUND	COST	210,842.	212,494.
JPMORGAN STRATEGIC INCOME	COST	116,537.	126,152.
NEUBERGER BERMAN MULTI CAP	COST		
OPPORTUNITIES FD		276,173.	384,772.
PIMCO FDS UNCONSTR BD	COST	116,758.	111,562.
POWERSHARES DB COMMODITY INDEX	COST	0.	0.
PRIMECAP ODYSSEY FUNDS ODYSSEY STK	COST		
FD		271,034.	363,081.
ROWE, T PRICE INTL FDS INC NEW ASIA	COST		
FD		77,160.	107,626.
SPDR GOLD TRUST	COST	0.	0.
SPDR S&P 500 ETF TRUST	COST	334,070.	467,635.
VANGUARD FI SECS F INTR TRM CP PT	COST		
FUND 71		0.	0.
BARC BEN BRENT 9/29/14	COST	30,000.	30,495.
BARC REN MXASJ 4/30/14	COST	60,000.	63,102.
HSBC BREN MXEA 3/19/14	COST	60,000.	64,806.
HSBC MARKET PLUS FX BSKT 10/21/14	COST	60,000.	51,840.
JPM BREN MXEA 4/16/14	COST	60,000.	64,884.
JPM CHINA REGION FD #3810	COST	58,585.	68,146.
JPM ENHANCED BETA DAILY RETURN	COST	65,000.	66,229.
JPM REN MXEA 10/16/14	COST	65,000.	67,087.
JPMORGAN TR I GL RES ENH IDX	COST	175,968.	200,931.
JPM TR I INTREPID EUR FD	COST	55,557.	57,845.
OAKMARK INTERNATIONAL FD	COST	246,691.	257,513.
PIMCO COMMODITY PL STRAT-P	COST	159,705.	158,960.
SG REN SXP 10/16/14	COST	80,000.	85,384.
TOTAL TO FORM 990-PF, PART II, LINE 13		5,243,870.	6,252,270.

TINY TIGER FOUNDATION

36-3521718

FORM 990-PF

STATEMENT 7

THE RECEIPT IN 2013 OF THIS ACCOUNT RECEIVALBE (REFLECTING THE RETURN OF A PRIOR MISS-BILLED AMOUNT) IS REFLECTED IN THE TOTAL END-OF-YEAR CASH BALANCE (\$225,835) ON PART II, LINE 2.



* Code W indicates Wash Sale

L indicates a Nondeductible Loss other than a Wash Sale

** Ordinary Income O indicates Ordinary Income gain or loss

F indicates Foreign Exchange gain or loss on Capital transactions

Stock and
other symbol
Cusp

Capital Gain and Loss Schedule

Short-Term Covered

THE TINY TIGER FOUNDATION

Account Number: V 18892-00-6

Description	Stock and other symbol Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
DELAWARE EMERGING MARKETS-I	DEMI 245914817		Various 08/13/13	7,432.371	110,593.68	105,398.87		5,194.81
EATON VANCE FLOATING RATE-I	EIBL 277911491		05/10/13 10/25/13	1,311.865	12,029.80	12,121.63		-91.83
HARTFORD CAPITAL APPRECIATION FUND	ITHI 416649309		11/07/12 02/05/13	447.487	16,409.34	14,610.45		1,798.89
HARTFORD CAPITAL APPREC-I	ITHI 416649309		11/07/12 10/25/13	1,047.046	48,300.24	34,186.05		14,114.19
ISHARES MSCI EMERGING MARKET INDEX	EEM 464287234		07/05/13 07/30/13	375.000	14,716.09	13,911.41		804.68
ISHARES MSCI EMERGING MARKET INDEX	EEM 464287234		07/05/13 07/30/13	1,177.000	46,220.10	43,663.29		2,556.81
ISHARES MSCI EAFE INDEX FUND	EFA 464287465		05/10/13 09/26/13	484.000	31,127.29	30,249.95		877.34
ISHARES MSCI CHINA INDEX FD	46429B671		Various 07/10/13	1,465.000	59,251.48	68,824.93		-9,573.45
JPM HIGH YIELD FD - SEL FUND 3580	OHYF 4812C0803		Various 07/05/13	401.688	3,225.56	3,235.04		-9.48
JPM INTL CURR INCOME FD - SEL FUND 3831	JCIS 4812A3296		Various 08/13/13	3,246.759	35,941.62	36,497.83		-556.21
JPM MKT EXP ENH INDEX FD - SEL FUND 3708	PGMI 4812C1637		12/14/12 08/13/13	723.852	9,475.22	7,557.02		1,918.20
JPM REALTY INCOME FD - INSTL FUND 1372	URTL 904504503		Various 09/26/13	4,931.133	58,532.55	56,360.54		2,172.01



THE TINY TIGER FOUNDATION
Account Number: V 18892-00-6

Capital Gain and Loss Schedule

Short-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital Transactions

Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330		12/14/12 07/05/13	2.999	32.62	33.00		- .38
NEUBERGER BERMAN MULTI CAP OPPORTUNITIES FUND	NMUL 64122Q309		12/11/12 02/05/13	776.277	9,191.12	8,717.59		473.53
PRIMECAP ODYSSEY FUNDS ODYSSEY STK FD	POSK 74160Q301		11/07/12 02/05/13	662.018	11,307.27	10,446.64		860.63
SPDR S&P 500 ETF TRUST	SPY 78462F103		Various 02/05/13	580.000	87,707.14	82,987.18		4,719.96
SPDR S&P 500 ETF TRUST	SPY 78462F103		Various 10/25/13	1,189.000	209,163.93	171,954.76		37,209.17
THE ARBITRAGE FUND-I	ARBN 03875R205		07/05/13 10/25/13	4,558.006	58,570.38	58,342.48		227.90
VANGUARD INTM TRM INV G-INV	VFIC 922031885		Various 07/05/13	366.668	3,549.35	3,769.90		-220.55

Total Short-Term Covered

825,344.78 762,868.56 62,476.22

THE TINY TIGER FOUNDATION
Account Number: V 18892-00-6

Capital Gain and Loss Schedule
Short-Term Non Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
CS REN HSCEI 02/20/14			02/01/13	60,000.000	43,890.00	60,000.00		-16,110.00
2XLEV- 16 25%CAP	22546TX97		07/09/13					
32.5%MAXRTRN								
INITIAL LEVEL-2/1/13: HSCEI12215								
USDCNH:								
POWERSHARES DB COMMODITY INDEX	DBC		03/05/13	562.000	14,684.92	15,176.47		-491.55
TRACKING FUND	73935S105		06/06/13					
POWERSHARES DB COMMODITY INDEX	DBC		Various	2,485.000	63,311.73	64,847.55		-1,535.82
TRACKING FUND	73935S105		12/18/13					
SPDR GOLD TRUST	GLD		10/08/12	311.000	42,090.44	53,509.26		-11,418.82
	78463V107		06/06/13					

Total Short-Term Non Covered

163,977.09 193,533.28 -29,556.19



Capital Gain and Loss Schedule
THE TINY TIGER FOUNDATION
Account Number: V 18892-00-6

Long-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ISHARES MSCI ALL COUNTRY ASIA	AAXJ		06/26/12	1,410.000	83,343.22	71,415.45		11,927.77
EX JAPAN INDEX FUND	464288182		09/26/13					
VANGUARD INTM TRM INV G-INV	VFIC		06/08/12	5,155.464	49,904.89	52,431.07		-2,526.18
	922031885		07/05/13					
Total Long-Term Covered					133,248.11	123,846.52		9,401.59

THE TINY TIGER FOUNDATION
Account Number: V 18892-00-6

Capital Gain and Loss Schedule
Long-Term Non Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
BARC BREN ASIA BASKET 04/17/13			03/30/12	55,000.000	55,726.32	55,000.00		726.32
10%BUFFER-2 XLEV- 7.4%CAP		06738K2G5	04/17/13					
14 8%MAXRTRN								
INITIAL LEVEL-03/30/12 ASIA								
BASKET:100.00								
BARC PARTICIPATING GOLD NOTE			09/28/12	60,000.000	44,248.31	60,000.00		-15,751.69
10/09/13		06741THL4	10/09/13					
LNKD TO GOLDLNP								
85%BARRIER, 17.50%MAXRTRN								
9/28/12; INITIAL STRIKE: 1776.00								
BNP BREN EAFE 06/19/13			06/01/12	55,000.000	67,650.00	55,000.00		12,650.00
10%BUFFER- XLEV- 11.5%CAP		05567L5L7	06/19/13					
23%MAXRTRN								
INITIAL LEVEL-06/01/12-SX5E:2068.66								
UKX:5260.19 TPX:708.93								
BNP DELTA ONE MSCI WORLD TR 6/14/13			05/25/12	75,000.000	94,498.95	75,000.00		19,498.95
100%A.F. UNCAPPED		05567L5F0	06/14/13					
INITIAL LEVEL5/25/12 NDDUWI:3000 541								
DB SARN CURRENCY BSKT 04/18/13			04/15/11	60,000.000	73,200.00	60,000.00		.00
LNKD TO AUD CAD & NOK VS JPY		2515A15V9	04/18/13					13,200.00 F
11% P.A. CPN, 10% BUFFER, 22%MAXRTRN								
04/15/2011								
DB 95% PPN FX BASKET 2/1/13			07/29/11	45,000.000	42,750.00	45,560.31		.00
LINKED TO MXN INR CNY & RUB VS USD		2515A1B59	02/01/13					-2,810.31 F
1 40XLEV, UNCAPPED								
7/29/11								
DELAWARE EMERGING MARKETS-I		DEMI	08/26/11	172.472	2,566.39	2,335.27		231.12
		245914817	08/13/13					

J.P. Morgan

Capital Gain and Loss Schedule

THE TINY TIGER FOUNDATION

Account Number: V 18892-00-6

Long-Term Non Covered

* Code W indicates Wash Sale
 L indicates a Nondeductible Loss other than a Wash Sale
 ** Ordinary Income O indicates Ordinary Income gain or loss
 F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
DELL INC	DELL		00/00/00		45.23	.00		45.23
REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE DELL INC CLASS ACTION, DUE V18892006 THE TINY TIGER FOUNDATION FUTURE	24702R101		01/15/13					
DODGE & COX INTERNATIONAL STOCK	DODF		09/20/07	1,824.945	66,993.73	88,272.60		-21,278.87
	256206103		04/24/13					
EATON VANCE FLOATING RATE-I	EIBL		02/17/10	5,988.841	54,917.67	51,983.14		2,934.53
	277911491		10/25/13					
EDGEWOOD GROWTH FUND	EGFI		01/04/11	8,270.645	120,916.83	94,119.94		26,796.89
	0075W0759		02/05/13					
GS BREN SPX 05/08/13			04/20/12	110,000.000	123,420.00	110,000.00		13,420.00
10%BUFFER-2 XLEV- 6.1%CAP	38143U2J9		05/08/13					
12 2%MAXRTRN								
INITIAL LEVEL-04/20/12 SPX:1378.53								
GS CONT BUFF EQ SPX 11/06/13			10/19/12	60,000.000	69,000.00	60,000.00		9,000.00
80% CONTIN BARRIER- 4.15%CPN			11/06/13					
15% CAP	38143U7X3							
INITIAL LEVEL-10/19/12 SPX:1433.19								
HARTFORD CAPITAL APPREC-I	ITHI		02/24/10	321.537	14,832.50	9,687.91		5,144.59
	416649309		10/25/13					
HSBC DELTA ONE MSCI WORLD TR 6/14/13			05/25/12	75,000.000	94,498.96	75,000.00		19,498.96
100%A.F. UNCAPPED	4042K1P49		06/14/13					
INITIAL LEVEL5/25/12 NDDUWI:3000.541								
ISHARES MSCI EAFE INDEX FUND	EFA		06/01/11	1,158.000	74,473.97	70,532.51		3,941.46
	464287465		09/26/13					

J.P. Morgan

THE TINY TIGER FOUNDATION
Account Number: V 18892-00-6

Capital Gain and Loss Schedule

Long-Term Non Covered

* Code. W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ISHARES RUSSELL MIDCAP INDEX FUND	IWR 464287499		11/21/08 10/25/13	207.000	29,829.57	9,686.36		20,143.21
JPM BREN EAFE 03/06/13								
10%BUFFER-2 XLEV- 9.5%CAP	48125VNB2		02/17/12 03/06/13	55.000.000	58,321.56	55,000.00		3,321.56
19% MAXRTN								
INITIAL LEVEL-02/17/12: SX5E:2520.31								
UKX:5905.07 TPX 810.45								
JPM BREN EAFE 04/04/13								
10%BUFFER-2 XLEV- 9.5%CAP	48125VRR3		03/16/12 04/16/13	55.000.000	57,157.82	55,000.00		2,157.82
19%MAXRTN								
INITIAL LEVEL-03/16/12: SX5E:2608.30								
UKX:5965.58 TPX:866.73"								
JPM BREN EAFE 05/07/13								
10%BUFFER-2 XLEV- 9.35%CAP	48125WQ0		04/20/12 05/07/13	55.000.000	64,708.19	55,000.00		9,708.19
18.7%MAXRTN								
INITIAL LEVEL-04/20/12: SX5E:2311.27								
UKX:5772 15 TPX:811.94								
JPM HIGH YIELD FD - SEL								
FUND 3580	OHYF 4812C0803		Various 07/05/13	6,274.696	50,385.80	38,450.02		11,935.78
JPM HIGH YIELD FD - SEL								
FUND 3580	OHYF 4812C0803		11/21/08 10/25/13	7,248.223	59,797.84	40,155.16		19,642.68
JPM INTL CURR INCOME FD - SEL								
FUND 3831	JCIS 4812A3296		02/17/10 08/13/13	2,450.077	27,122.35	26,072.38		1,049.97
JPM LARGE CAP GROWTH FD - SEL								
FUND 3118	SEEG 4812C0530		01/04/11 02/05/13	2,049.018	51,450.83	43,131.83		8,319.00
JPM MKT EXP ENH INDEX FD - SEL								
FUND 3708	PGMI 4812C1637		Various 08/13/13	3,859.800	50,524.78	37,874.61		12,650.17

THE TINY TIGER FOUNDATION
Account Number: V 18892-00-6

Capital Gain and Loss Schedule

Long-Term Non Covered

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** Ordinary Income O indicates Ordinary Income gain or loss

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Stock and
other symbol

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330	04/16/08 07/05/13	640.484	6,968.48	6,865.99		102.49
POWERSHARES DB COMMODITY INDEX TRACKING FUND	DBC 73935S105	05/19/10 12/18/13	3,815.000	97,196.88	83,011.73		14,185.15
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0.000329643	78463V107	00/00/00 01/07/13	311.000	16.33	.00		16.33
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0.000355489	78463V107	00/00/00 02/21/13	311.000	16.88	.00		16.88
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0.000341809	78463V107	00/00/00 03/12/13	311.000	16.40	.00		16.40
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0.000353866	78463V107	00/00/00 04/09/13	311.000	16.79	.00		16.79
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0.000403935	78463V107	00/00/00 05/10/13	311.000	17.33	.00		17.33
Total Long-Term Non Covered				1,553,286.69	1,362,739.76		180,157.24

10,389.69 F