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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation CMB FOUNDATION		A Employer identification number 36-3519496	
Number and street (or P O box number if mail is not delivered to street address) 15275 LITTLE ST MARYS ROAD		B Telephone number (see instructions) (847) 680-1195	
City or town, state or province, country, and ZIP or foreign postal code METTAWA, IL 60048		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 2,278,145	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	44,780	44,609		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 <input checked="" type="checkbox"/>	261,132			
	b Gross sales price for all assets on line 6a 930,572				
	7 Capital gain net income (from Part IV, line 2)		251,858		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) <input checked="" type="checkbox"/>	2,311			
	12 Total. Add lines 1 through 11	308,223	296,467		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule). <input checked="" type="checkbox"/>	1,850	1,850		
	c Other professional fees (attach schedule) <input checked="" type="checkbox"/>	22,332	22,332		
	17 Interest	1,034	1,034		
	18 Taxes (attach schedule) (see instructions) <input checked="" type="checkbox"/>	1,620	1,516		57
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule). <input checked="" type="checkbox"/>	4,158	4,158		
	24 Total operating and administrative expenses. Add lines 13 through 23	30,994	30,890		57
	25 Contributions, gifts, grants paid	99,365			99,365
	26 Total expenses and disbursements. Add lines 24 and 25	130,359	30,890		99,422
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	177,864			
	b Net investment income (if negative, enter -0-)		265,577		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	11,688	19,376	19,376
	2	Savings and temporary cash investments	13,597	14,928	14,928
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,396,934	1,499,012	2,028,319
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	320,659	215,522	215,522
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,742,878	1,748,838	2,278,145
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	1,742,878	1,748,838	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	1,742,878	1,748,838	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,742,878	1,748,838	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,742,878
2	Enter amount from Part I, line 27a	2	177,864
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,920,742
5	Decreases not included in line 2 (itemize) ▶ _____	5	171,904
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,748,838

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	251,858
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	43,897

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	90,505	2,014,755	0 044921
2011	98,655	2,007,122	0 049152
2010	100,555	1,839,496	0 054664
2009	74,695	1,602,309	0 046617
2008	115,699	2,014,319	0 057438

2	Total of line 1, column (d).	2	0 252792
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050558
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,143,387
5	Multiply line 4 by line 3.	5	108,365
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,656
7	Add lines 5 and 6.	7	111,021
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	99,422

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	5,312
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2.		3	5,312
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	5,312
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	5,123	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	5,123
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	2
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	191
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	Yes
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		
Website address N/A				
14	The books are in care of CHARLES BRENNAN Telephone no (847) 680-1195 Located at 15275 LITTLE ST MARYS ROAD 15275 LITT ST MARYS ROAD METTAWA IL ZIP +4 60048			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No				
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No				
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No				
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No				
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No				
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No				
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20 Yes No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No



Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CM BRENNAN  15275 LITTLE ST MARYS RD METTAWA, IL 60048	TRUSTEE 1 00	0	0	0
MARY BRENNAN  15275 LITTLE ST MARYS RD METTAWA, IL 60048	TRUSTEE 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,146,232
b	Average of monthly cash balances.	1b	29,795
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,176,027
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,176,027
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	32,640
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,143,387
6	Minimum investment return. Enter 5% of line 5.	6	107,169

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	107,169
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	5,312
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,312
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	101,857
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	101,857
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	101,857

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	99,422
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	99,422
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	99,422
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				101,857
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			1,616	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 99,422				
a Applied to 2012, but not more than line 2a			1,616	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				97,806
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				4,051
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV

4942(j)(3) or 4942(j)(5)

3

Part XV

1

2

[illegible]

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	99,365
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes

No

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

1a(1)

No

(2)

Other assets.

1a(2)

No

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

1b(1)

No

(2)

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

(3)

Rental of facilities, equipment, or other assets.

1b(3)

No

(4)

Reimbursement arrangements.

1b(4)

No

(5)

Loans or loan guarantees.

1b(5)

No

(6)

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-11-15

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name

DAVID J BLOCKOWICZ

Preparer's Signature

Date

2014-11-14

Check if self-employed

PTIN

P00442409

Firm's name

BLOCKOWICZ & TOGNOCCHI LLP

Firm's EIN

20-0195759

Firm's address

1701 E LAKE AVE STE 480 GLENVIEW, IL 60025

Phone no

(847) 998-6675

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GRESHAM FOREIGN MANAGERS	P	2013-01-01	2013-12-31
GRESHAM SELECT ASST MANAGERS	P	2013-01-01	2013-12-31
SCHEDULE ATTACHED	P	2013-01-01	2013-12-31
SCHEDULE ATTACHED	P	2012-01-01	2013-12-31
GRESHAM FOREIGN MANAGERS	P	2012-01-01	2013-12-31
GRESHAM SELECT ASSET MANAGERS	P	2012-01-01	2013-12-31
GRESHAM SELECT ASSET MGR SEC 1256	P	2012-01-01	2013-12-31


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,120			11,120
9,642			9,642
248,674		225,539	23,135
610,705		443,901	166,804
8,556			8,556
26,315			26,315
456			456


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,120
			9,642
			23,135
			166,804
			8,556
			26,315
			456


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
1000 FRIENDS OF FLORIDA 308 N MONROE S TALAHASSEE,FL 32301	NONE	PUBLIC	UNRESTRICTED	2,000
AIC SUSTAINING FELLOW 111 S MICHIGAN CHICAGO,IL 60603	NONE	PUBLIC	UNRESTRICTED	2,500
ALLENDALE ASSOCIATION 600 W GRAND LAKE VILLA,IL 60046	NONE	PUBLIC	UNRESTRICTED	1,500
AMERICAN INSTITUTE FOR CANCER RESEA 1759 R ST NW WASHINGTON,DC 20009	NONE	PUBLIC	UNRESTRICTED	100
ANTI CRUELTY SOCIETY 157 W GRAND CHICAGO,IL 60654	NONE	PUBLIC	UNRESTRICTED	1,000
BONEFISH & TARPON TRUST 24 DOCKSIDE LANE KEY LARGO,FL 33037	NONE	PUBLIC	UNRESTRICTED	1,000
BOYS & GIRSL CLUB OF MARTIN COUNTY 11500 S E LARES AVE HOBE SOUND,FL 33455	NONE	PUBLIC	UNRESTRICTED	1,000
BUSCH WILDLIFE SANCTUARY 2500 JUPITER PARK DR JUPITER,FL 33458	NONE	PUBLIC	UNRESTRICTED	500
CHICAGO BOTANIC GARDENS 1000 LAKE COOK RD GLENCOE,IL 60022	NONE	PUBLIC	UNRESTRICTED	2,000
CHOATE 333 CHRISTIAN ST WALLINGFORD,CT 06492	NONE	PUBLIC	UNRESTRICTED	20,000
CHRIST MEMORIAL CHAPEL 52 /S BEACH RD HOBE SOUND,FL 33455	NONE	PUBLICV	UNRESTRICTED	1,000
CHURCH OF THE HOLY SPIRIT 400 E WESTMINSTER AVE LAKE FOREST,IL 60045	NONE	PUBLIC	UNRESTRICTED	2,100
COLUMBIA BUSINESS SCHOOL 3022 BROADWAY MANHATTAN,NY 10027	NONE	PUBLIC	UNRESTRICTED	2,500
CONSERVE LAKE COUNTY 32492 N ALMOND RD GRAYSLAKE,IL 60030	NONE	PUBLIC	UNRESTRICTED	500
CORNELL UNIVER VETERINARY SCHOOL 602 TOWER RD ITHACA,NY 14853	NONE	PUBLIC	UNRSTRICED	1,000
Total  3a				99,365


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CYSTIC FRIBOSIS FDN 150 N MICHIGAN AVE CHICAGO,IL 60601	NONE	PUBLIC	UNRESTRICTED	10,000
DAUGHERRS OF THE CINCINNATI 200 W 44TH ST NEWYORK,NY 10036	NONE	PUBLIC	UNRESTRICTED	250
DUCKS UNLIMITED ONE WATEFOWL WAY MEMPHIS,TN 38120	NONE	PUBLIC	UNRESTRICTED	150
ELEWA FARMS 1401 MIDDLEFORK DR LAKE FOREST,IL 60045	NONE	PUBLIC	UNRESTRICTED	250
EPISCOPAL CHRUCH OF YALE 305 CROWN ST NEW HAVEN,CT 06510	NONE	PUBLIC	UNRESTRICTED	500
EVANS SCHOLASRS ONE BRIAR GOLF,IL 60029	NONE	PUBLIC	UNRESTRICTED	500
FRIENDS OF LAKE FOREST RECREATION 400 HASTINGS RD LAKE FOREST,IL 60045	NONE	PUBLIC	UNRESTRICTED	100
FRIENDS OF RYERSON WOODS 21850 N RIVERWOODS RIVERWOODS,IL 60015	NONE	PUBLIC	UNRESTRICTED	1,500
GORTON COMMUNITY CENTER 400 E ILLINOIS ST LAKE FOREST,IL 60045	NONE	PUBLIC	UNRESTRICTED	500
GREEN CITY MARKET 2732 N CLARK ST CHICAGO,IL 60614	NONE	PUBLIC	UNRESTRICTED	100
GUARDIANS OF MARTIN COUNTY PO BOX 1489 HOBE SOUND,FL 33455	NONE	PUBLIC	UNRESTRICTED	2,500
HOBE SOUND COMMUNITY CHEST 11450 SOUTEAST DIXIE HWY HOBE SOUND,FL 33455	NONE	PUBLIC	UNRESTRICTED	1,000
HOBE SOUND NATTURE CENTER 13640 SE FEDERAL HWY HOBE SOUND,FL 33455	NONE	PUBLIC	UNRESTRICTED	450
JUPITER ISLAND HISTORICAL SOCIETY PO BOX 938 HOBE SOUND,FL 33455	NONE	PUBLIC	UNRESTRICTED	100
JUPITER ISLAND MEDICAL CLINIC 100 ESTRADA SQ HOBE SOUND,FL 33455	NONE	PUBLIC	UNRESTRICTED	7,000
Total  3a				99,365

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LAKE FOREST COLLETGE 555 N SHERIDAN LAKE FOREST,IL 60045	NONE	PUBLIC	UNRESTRICTED	5,000
LAKE FOREST HOSPITAL 660 N WESTMORELAND LAKE FOREST,IL 60045	NONE	PUBLIC	UNRESTRICTED	3,250
LAKE FOREST OLA 200 E DEERPATH LAKE FOREST,IL 60045	NONE	PUBLICV	UNRESTRICTED	2,150
LAKE FOREST PRESEVATION FDN 400 E ILLINOIS RD LAKE FOREST,IL 60045	NONE	PUBLIC	UNRESTRICTED	250
LOAVES & FISHES OF HOBE SOUND PO BOX 511 HOBE SOUND,FL 33475	NONE	PUBLIC	UNRESTRICTED	250
MEMORAL FUND 345 HUDSON ST NEWYORK,NY 10014	NONE	PUBLIC	UNRESRICTED	35
MPLALA WILDLIFE FDN PO BOX 137 RIVERWOODS,MD 21139	NONE	PUBLIC	UNRESTRICTED	250
NATIONAL MULTIPLE SCLEROSIS SOC 733 THIRD AVE NEWYORK,NY 10017	NONE	PUBLIC	UNRESRICTED	250
NATIVES HELPING NATIVES PO BOX 301 HOBE SOUND,FL 33475	NONE	PUBLIC	UNRESTRICVTED	150
NSCDA-CT 2715 Q ST NW WASHINGTON,DC 20007	NONE	PUBLIC	UNRESTRICTED	100
NSCDA-IL 2715 Q ST NW WASHINGTON,DC 20007	NONE	PUBLIC	UNRESTRICTED	75
OLD ELM SHOLARS FDN OLD ELM RD HIGHLAND PARK,IL 60045	NONE	PUBLIC	UNRESTRICTED	1,000
ORCA 485 LABELLEN VISTA WAY FREELAND,WA 98249	NONE	PUBLIC	UNRESTRICTRED	1,000
ORPHANS OF TJE STORM 2200 RIVERSOODS RD RIVERWOODS,IL 60015	NONE	PUBLIC	UNRESTRICTED	750
PORTCHLIGHT COUNSELING SERV PO BOX 1080 EVANSTON,IL 60204	NONE	PUBLIC	UNRESTRICTED	280
Total  3a				99,365

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RUSH UNIVERSITY MEDICAL CENTER 1653 W CONGRESS PKWY CHICAGO,IL 60612	NONE	PUBLIC	UNRESTRICTED	250
SAIC 1710 SAIC DR MCCLEAN,VA 22102	NONE	PUBLIC	UNRESTRICTED	2,500
SAMARITAN CENTER 310 MONTGOMERY ST SYRACUS,NY 13202	NONE	PUBLIC	UNRESTRICTED	1,700
SF SPCA 201 FRANCICUS SPCA SAN FRANCISCO,CA 94103	NONE	PUBLIC	UNRESTRICTED	500
SOCIETY OF THE FOUR ARTS 2 FOUR ARTS PLAZA PALM BEACH,FL 33480	NONE	PUBLIC	UNRESTRICTED	1,250
WXEL PBS BEACH 23401 S CONGRESS BOYNTON BEACH,FL 33426	NONE	PUBLIC	UNRESTRICTED	500
TEACH FOR AMERICA 315 E 36TH ST NEWYORK,NY 10018	NONE	PUBLIC	UNRESTRICTED	5,000
USGA PO BOX 708 FAR HILLS,NJ 07931	NONE	PUBLIC	UNRESTRICTED	750
WETLANDS INITIATIVE 533 W JACKSON BLVE CHJICAGO,IL 60604	NONE	PUBLIC	UNRESTRICTED	250
WOMENS BOARD NORTHWESTERN MEM HOSP 541 N FAIRBANKS CHICAGO,IL 60611	NONE	PUBLIC	UNRESTRICTED	1,000
WOMENS BOARD OF LAKE FOREST HOSP 660 N WESTMORELAND RD LAKE FOREST,IL 60045	NONE	PUBLIC	UNRESTRICTED	2,250
WTTW 5400 N ST LOUIS CHICAGO,IL 60625	NONE	PUBLIC	UNRESTRICTRED	1,000
YALE UNIVERSITY & ALUMNI ET AL 157 CHURCH ST NEW HAVEN,CT 06510	NONE	PUBLIC	UNRESTRICTED	4,025
Total  3a				99,365

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions)
11 Other revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a GRESHAM FOREIGN MANAGERS			16	-120	
b GRESHAM SELECT ASSET MANAGE			16	5	
c GRESHAM FOREIGN MGRS SEC 12			18	335	
d GRESHAM FORGN MGRS ORD INC	900099	176			
e GRESHAM SELECT ASSET MGRS O	900099	108			
f GRESHAM FOREIGN MGRS ORD IN			16	1,135	
g GRESHAM SELECT ASSET MGRS O			16	672	

TY 2013 Accounting Fees Schedule

Name: CMB FOUNDATION

EIN: 36-3519496

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	1,850	1,850		

TY 2013 Compensation Explanation**Name:** CMB FOUNDATION**EIN:** 36-3519496

Person Name	Explanation
CM BRENNAN	
MARY BRENNAN	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: CMB FOUNDATION

EIN: 36-3519496

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
GRESHAM FOREIGN MANAGERS	2013-01	PURCHASE	2013-12		17				17	
GRESHAM SELECT ASST MANAGERS	2013-01	PURCHASE	2013-12		2,824				2,824	
GRESHAM FOREIGN MANAGERS	2012-01	PURCHASE	2013-12		5				5	
GRESHANM SELECT ASSET MANAGERS	2012-01	PURCHASE	2013-12		6,428				6,428	

**TY 2013 Investments Corporate
Stock Schedule****Name:** CMB FOUNDATION**EIN:** 36-3519496

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE & OTHER		
US EQUITY INVESTMETNS	909,769	1,278,828
U S EQUITY FUNDS	158,278	221,038
INT'L INVESTMENTS	205,273	286,319
COMMODITIES	44,155	43,676
ALTERNATIVE HIGH VOLATILITY	161,477	177,476
REITS	20,060	20,982

TY 2013 Investments - Other Schedule**Name:** CMB FOUNDATION**EIN:** 36-3519496

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GRESHAM FOREIGN MANAGES	AT COST	206,814	206,814
GESHAM SELECT ASSET MANAGERS	AT COST	8,708	8,708

TY 2013 Other Decreases Schedule

Name: CMB FOUNDATION

EIN: 36-3519496

Description	Amount
PASS THRU BOOK TAX DIFFERENCE	171,904

TY 2013 Other Expenses Schedule**Name:** CMB FOUNDATION**EIN:** 36-3519496

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
PASS THRU PORTFOLIO FEES	2,567	2,567		
PASS THRU OTHER DEDUCTIONS	1,466	1,466		
CREDIT CARD FEES	125	125		

TY 2013 Other Income Schedule**Name:** CMB FOUNDATION**EIN:** 36-3519496

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GRESHAM FOREIGN MANAGERS	-120		
GRESHAM SELECT ASSET MANAGERS	5		
GRESHAM FOREIGN MGRS SEC 123	335		
GRESHAM FORGN MGRS ORD INC	176		
GRESHAM SELECT ASSET MGRS ORD	108		
GRESHAM FOREIGN MGRS ORD INC	1,135		
GRESHAM SELECT ASSET MGRS ORD	672		

TY 2013 Other Professional Fees Schedule**Name:** CMB FOUNDATION**EIN:** 36-3519496

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	19,752	19,752		
INVESTMENT ADVISORY PARTNERSHIPS	2,580	2,580		

TY 2013 Taxes Schedule**Name:** CMB FOUNDATION**EIN:** 36-3519496

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREGN TAX WITHHELD	1,448	1,448		
ILLINOIS ANNUAL REPORT'12	115	58		57
ILLINOIS EXCISE TAX	10	10		
FEDERAL EXCISE TAX 990T	47			

TY 2013 IRS Payment**Name:** CMB FOUNDATION**EIN:** 36-3519496**Routing Transit Number:****Bank Account Number:****Type of Account:** Checking**Payment Amount in Dollars and** 199**Cents:****Requested Payment Date:** 2014-11-14**Taxpayer's Daytime Phone** (847) 680-1195**Number:**

2013 Tax Information Statement

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number:
Recipient's Tax ID Number:

11001173186
XX-XXX9496

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
THE CMB FOUNDATION
C/O CHARLES M BRENNAN III, TTEE
15275 LITTLE ST. MARY'S ROAD
METTAWA, IL 60048

Page 6 of 24
Ref: 15;

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
001055102	AFLAC INC COMMON STOCK	AFL	275 0000	08/02/2013	11/15/2012	17,250 86	13,904 03	0 00	3,346 83	0 00	0 00
020002101	ALLSTATE CORPORATION COMMON STOCK	ALL	200 0000	12/20/2013	08/02/2013	10,760 80	10,458 50	0 00	302 30	0 00	0 00
125269100	CF INDUSTRIES HOLDINGS INC	CF	25 0000	08/02/2013	11/15/2012	4,881 62	4,896.86	0 00	-15 24	0 00	0 00
G27823106	DELPHI AUTOMOTIVE PLC	DLPH	100 0000	08/02/2013	01/22/2013	5,527 58	3,869 20	0 00	1,658 38	0 00	0 00
277432100	EASTMAN CHEMICAL COMPANY COMMON STOCK	EMN	95 0000	04/18/2013	08/20/2012	6,265 45	5,284 80	0 00	980 65	0 00	0 00
G10082140	ENERGY XXI BERMUDA	EXXI	400 0000	01/22/2013	09/24/2012	13,391 34	14,773 84	0 00	-1,382 50	0 00	0 00
364760108	GAP INC COMMON STOCK	GPS	170 0000	12/20/2013	08/02/2013	6,609 35	7,864 18	0 00	-1,254 83	0 00	0 00
38141G104	GOLDMAN SACHS GROUP INC COMMON STOCK	GS	140 0000	08/02/2013	12/20/2012	23,444 63	18,076 56	0 00	5,368 07	0 00	0 00
413086109	HARMAN INTERNATIONAL INDUSTRIES INC NEW COMMON STOCK	HAR	150 0000	01/22/2013	09/24/2012	7,377 64	7,242 36	0 00	135 28	0 00	0 00

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This is important tax information and is being furnished to you



2013 Tax Information Statement

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Ref: 15

BMO HARRIS BANK N A
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number:
Recipient's Tax ID Number:

110011173186
XX-XXX9496

Recipient's Name and Address:
THE CMB FOUNDATION
C/O CHARLES M. BRENNAN III, TTEE
15275 LITTLE ST MARY'S ROAD
METTAWA, IL 60048

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
413875105	HARRIS CORPORATION DEL COMMON STOCK	HRS	150 0000	03/26/2013	09/24/2012	6,708 29	7,645 74	0 00	-937 45	0 00	0 00
423452101	HELMERICH & PAYNE INC COMMON STOCK	HP	20 0000	08/16/2013	03/26/2013	1,292 01	1,214 49	0 00	77 52	0 00	0 00
423452101	HELMERICH & PAYNE INC COMMON STOCK	HP	190 0000	10/18/2013	03/26/2013	14,537 10	11,537 63	0 00	2,999 47	0 00	0 00
457187102	INGREDION INC	INGR	125 0000	08/02/2013	09/24/2012	8,789 86	6,951 49	0 00	1,838 37	0 00	0 00
460146103	INTERNATIONAL PAPER COMPANY COMMON STOCK	IP	150 0000	08/16/2013	03/26/2013	7,188 80	6,826 94	0 00	361 86	0 00	0 00
460146103	INTERNATIONAL PAPER COMPANY COMMON STOCK	IP	100 0000	09/25/2013	03/26/2013	4,671 95	4,551 29	0 00	120 66	0 00	0 00
581550103	MCKESSON HBOC INC COMMON STOCK	MCK	20 0000	12/20/2013	08/16/2013	3,237 98	2,439 65	0 00	798 33	0 00	0 00
594918104	MICROSOFT CORPORATION COMMON STOCK	MSFT	75 0000	01/22/2013	06/21/2012	2,033 97	2,264 51	0 00	-230 54	0 00	0 00
628530107	MYLAN LABORATORIES INC COMMON STOCK	MYL	230 0000	08/16/2013	04/18/2013	8,339 83	6,429.14	0.00	1,910 69	0 00	0 00

This is important tax information and is being furnished to you.

TEP190967_20_522 12/14/20

2013 Tax Information Statement

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Ref: 15

BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number:
Recipient's Tax ID Number:

110011173186
XX-XXX9496

Recipient's Name and Address:

THE CMB FOUNDATION
C/O CHARLES M. BRENNAN III, TTEE
15275 LITTLE ST. MARY'S ROAD
METTAWA, IL 60048

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
67073Y106	NV ENERGY INC	NVE	310 0000	03/26/2013	08/20/2012	6,147 62	5,695 32	0 00	452 30	0 00	0 00
67073Y106	NV ENERGY INC	NVE	665 0000	04/18/2013	08/20/2012	13,843 78	12,217 38	0 00	1,626 40	0 00	0 00
722005667	PIMCO COMMODITY RR STRAT-INS	PCRIX	78 3280	12/04/2013	12/12/2012	437 85	531 06	0 00	-93 21	0 00	0 00
85771P102	STATOIL ASA	STO	890 0000	08/16/2013	03/26/2013	19,252 32	21,724 28	0 00	-2,471 96	0.00	0 00
871503108	SYMANTEC CORPORATION COMMON STOCK	SYMC	740 0000	12/20/2013	Various	16,667 63	16,008.22	0 00	659 41	0 00	0 00
88732J207	TIME WARNER CABLE INC	TWC	60 0000	03/26/2013	05/24/2012	5,702 32	4,581.68	0 00	1,120 64	0.00	0 00
891092108	TORO COMPANY COMMON STOCK	TTC	275 0000	08/02/2013	08/20/2012	13,485 29	10,566 66	0 00	2,918 63	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COMMON STOCK	UNH	60 0000	03/26/2013	07/20/2012	3,316 01	3,350 97	0 00	-34 96	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COMMON STOCK	UNH	110 0000	04/18/2013	07/20/2012	6,591 50	6,143 43	0 00	448 07	0.00	0 00

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BMO HARRIS BANK N.A.
111 WEST MONROE STREET 10C
CHICAGO, IL 60603

Account Number:
Recipient's Tax ID Number:

110011173186
XX-XXX9496

Recipient's Name and Address:
THE CMB FOUNDATION
C/O CHARLES M BRENNAN III, TTEE
15275 LITTLE ST. MARY'S ROAD
METTAWA, IL 60048

☐ Corrected

☐ 2nd TIN notice

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Cusip	Description	Stock or Other Symbol						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
91324P102	UNITEDHEALTH GROUP INC COMMON STOCK	UNH						
125 0000	08/02/2013 08/20/2012		9,047 38	6,681 13	0 00	2,366 24	0 00	0 00
931142103	WAL-MART STORES INC COMMON STOCK	WMT						
25 0000	03/26/2013 07/20/2012		1,873 22	1,808 00	0 00	65 22	0 00	0 00
Total Short Term Sales			248,673.98	225,539.34	0.00	23,134.63	0.00	0.00
Long Term Sales								
001055102	AFLAC INC COMMON STOCK	AFL						
225 0000	08/02/2013 12/21/2011		14,114 34	9,357 34	0 00	4,757 00	0 00	0 00
03076C106	AMERIPRISE FINANCIAL INC	AMP						
100 0000	12/20/2013 04/25/2011		11,209 82	6,248 09	0 00	4,961 73	0 00	0 00
031162100	AMGEN INC COMMON STOCK	AMGN						
60 0000	09/25/2013 02/23/2012		6,762 49	4,034 77	0 00	2,727 72	0 00	0 00
031162100	AMGEN INC COMMON STOCK	AMGN						
130 0000	10/18/2013 Various		14,880 70	7,983 70	0 00	6,897 00	0 00	0 00
031162100	AMGEN INC COMMON STOCK	AMGN						
135 0000	12/20/2013 02/03/2011		15,426 86	7,503 27	0 00	7,923 59	0 00	0 00
046353108	ASTRAZENECA PLC SPONSORED ADR	AZN						
125 0000	03/26/2013 09/20/2010		6,169 99	6,520 69	0 00	-350 70	0 00	0 00

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046353108	ASTRAZENECA PLC SPONSORED ADR	AZN	75 0000	03/26/2013	02/03/2011	3,701 99	3,589 49	0 00	112 50	0 00	0 00
046353108	ASTRAZENECA PLC SPONSORED ADR	AZN	175 0000	04/18/2013	02/03/2011	8,928 34	8,375 46	0 00	552 88	0 00	0 00
053332102	AUTOZONE INC COMMON STOCK	AZO	25.0000	03/26/2013	08/21/2009	9,811 78	3,817 62	0 00	5,994 16	0 00	0 00
124857202	CBS CORPORATION CLASS B W/I	CBS	280 0000	03/26/2013	Various	12,718 43	6,651 53	0 00	6,066 90	0 00	0 00
125269100	CF INDUSTRIES HOLDINGS INC	CF	45 0000	08/02/2013	Various	8,786 92	8,216 94	0 00	569 98	0 00	0 00
12646R105	CST BRANDS INC	CST	1111	05/14/2013	11/18/2011	3 57	1 80	0 00	1 77	0 00	0 00
12646R105	CST BRANDS INC	CST	26 0000	05/31/2013	11/18/2011	802 65	421 24	0 00	381 41	0 00	0 00
29266R108	ENERGIZER HOLDINGS INC COMMON STOCK	ENR	75 0000	10/18/2013	07/20/2012	6,989 26	5,819 15	0 00	1,170 11	0 00	0 00
30231G102	EXXON MOBIL CORPCOMMON STOCK	XOM	80 0000	10/18/2013	Various	6,993 06	6,734 27	0 00	258 79	0 00	0 00

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364760108	GAP INC COMMON STOCK	GPS									
250 0000	12/20/2013 11/15/2012					9,719 63	8,349 53	0 00	1,370 10	0 00	0 00
367829884	GATEWAY FUND-Y	GTEYX									
350 8770	10/18/2013 10/09/2012					10,000 00	9,670 17	0 00	329 83	0 00	0 00
369604AY9	GE COMPANY CO 5 000% 2/01/13										
25000 0000	02/01/2013 02/07/2008					25,000 00	25,903 00	0 00	-903 00	0 00	0 00
411511504	HARBOR FUND CAP APPRECIATION FUND	HACAX									
2224 9600	03/20/2013 01/26/2011					99,967 45	83,435 99	0 00	16,531 46	0 00	0 00
457187102	INGREDION INC	INGR									
55 0000	08/02/2013 02/03/2011					3,867 54	2,579 86	0 00	1,287 68	0 00	0 00
457187102	INGREDION INC	INGR									
100 0000	08/16/2013 09/20/2010					6,352 65	3,887 67	0 00	2,464 98	0 00	0 00
457187102	INGREDION INC	INGR									
70 0000	08/16/2013 02/03/2011					4,446 86	3,283 45	0 00	1,163 41	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES CAPITAL STOCK	IBM									
80 0000	03/26/2013 01/22/2004					16,972 63	7,831 20	0 00	9,141 43	0 00	0 00
459200101	INTERNATIONAL BUSINESS MACHINES CAPITAL STOCK	IBM									
30 0000	04/18/2013 01/22/2004					6,216 52	2,936 70	0 00	3,279 82	0 00	0 00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
459200101	INTERNATIONAL BUSINESS MACHINES CAPITAL STOCK	IBM									
40 0000	08/02/2013 Various					7,769.52	3,391.00	0.00	4,378.50	0.00	0.00
532457108	LILLY ELI & COMPANY COMMON STOCK	LLY									
500 0000	08/02/2013 Various					26,757.24	18,616.44	0.00	8,140.80	0.00	0.00
58155Q103	MCKESSON HBOC INC COMMON STOCK	MCK									
70 0000	08/02/2013 02/03/2011					8,610.56	5,368.29	0.00	3,242.27	0.00	0.00
594918104	MICROSOFT CORPORATION COMMON STOCK	MSFT									
300 0000	01/22/2013 Various					8,135.89	8,069.60	0.00	66.29	0.00	0.00
594918104	MICROSOFT CORPORATION COMMON STOCK	MSFT									
300 0000	03/26/2013 09/19/2011					8,484.28	8,046.00	0.00	438.28	0.00	0.00
716768106	PETSMART INC COMMON STOCK	PETM									
70.0000	03/26/2013 02/22/2010					4,342.11	1,924.10	0.00	2,418.01	0.00	0.00
716768106	PETSMART INC COMMON STOCK	PETM									
130 0000	08/02/2013 02/22/2010					9,635.30	3,573.32	0.00	6,061.98	0.00	0.00
717081103	PFIZER INC COMMON STOCK	PFE									
375 0000	09/25/2013 09/19/2011					10,685.44	6,735.00	0.00	3,950.44	0.00	0.00
722005667	PIMCO COMMODITY RR STRAT-INS	PCRIX									
7654 9920	12/04/2013 Various					42,791.40	59,464.18	0.00	-16,672.78	0.00	0.00

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Cusip	Description	Stock or Other Symbol						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
755111507	RAYTHEON COMPANY COMMON STOCK	RTN						
220 0000	12/20/2013 Various		19,538 98	8,040 90	0 00	11,498 08	0 00	0 00
78462F103	S & P 500 DEPOSITARY RECEIPT TR UNIT SER 1	SPY						
140 0000	11/18/2013 07/30/2002		25,203 77	12,527 20	0 00	12,676 57	0 00	0 00
78462F103	S & P 500 DEPOSITARY RECEIPT TR UNIT SER 1	SPY						
200 0000	12/17/2013 07/30/2002		35,735 39	17,896 00	0 00	17,839 39	0 00	0 00
871503108	SYMANTEC CORPORATION COMMON STOCK	SYMC						
450 0000	12/20/2013 11/15/2012		10,135 72	7,927 88	0 00	2,207 84	0 00	0 00
881609101	TESORO PETROLEUM CORPORATION COMMON STOCK	TSO						
350 0000	09/25/2013 05/24/2012		15,884 08	8,038 07	0 00	7,846 01	0 00	0 00
872540109	TJX COMPANIES INC NEW COMMON STOCK	TJX						
160 0000	09/25/2013 04/16/2010		8,904 85	3,670 20	0 00	5,234 65	0 00	0 00
891092108	TORO COMPANY COMMON STOCK	TTC						
150 0000	08/02/2013 07/20/2012		7,355 62	5,970 69	0 00	1,384 93	0 00	0 00
89417E109	TRAVELERS COMPANIES INC	TRV						
80 0000	09/25/2013 04/16/2010		6,873 97	4,203 20	0 00	2,670 77	0 00	0 00
91324P102	UNITEDHEALTH GROUP INC COMMON STOCK	UNH						
5 0000	08/02/2013 07/20/2012		361 89	279 25	0 00	82 64	0 00	0 00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
902973304	US BANCORP COMMON STOCK	USB	375 0000	01/22/2013	Various	12,338 73	9,432 27	0 00	2,906 46	0 00	0 00
902973304	US BANCORP COMMON STOCK	USB	295 0000	09/25/2013	Various	10,833 80	7,008 33	0 00	3,825 47	0 00	0 00
91913Y100	VALERO REFNG & MARKETING CO COMMON STOCK	VLO	90 0000	03/26/2013	11/18/2011	4,045 27	1,964 74	0 00	2,080 53	0 00	0 00
91913Y100	VALERO REFNG & MARKETING CO COMMON STOCK	VLO	235 0000	12/20/2013	11/18/2011	11,132 75	4,707 10	0 00	6,425 65	0 00	0 00
92343V104	VERIZON COMMUNICATIONS COMMON STOCK	VZ	100 0000	03/26/2013	09/19/2011	4,930 21	3,615 99	0 00	1,314 22	0 00	0 00
931142103	WAL-MART STORES INC COMMON STOCK	WMT	5 0000	03/26/2013	02/03/2011	374 64	278 65	0 00	95 99	0 00	0 00
Total Long Term Sales						610,704.89	443,901.33	0.00	166,803.54	0.00	0.00

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